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Influence of water intercalation and hydration on chemical decomposition and ion transport in methylammonium lead halide perovskites

Un-Gi Jong,^{ab} Chol-Jun Yu,^{id}*^a Gum-Chol Ri,^a Andrew P. McMahon,^d Nicholas M. Harrison,^e Piers R. F. Barnes^d and Aron Walsh^{id}*^c

The application of methylammonium (MA) lead halide perovskites, $\text{CH}_3\text{NH}_3\text{PbX}_3$ ($\text{X} = \text{I}, \text{Br}, \text{Cl}$), in perovskite solar cells has made great recent progress in performance efficiency during recent years. However, the rapid decomposition of these materials in humid environments hinders outdoor application, and thus, a comprehensive understanding of the degradation mechanism is required. We investigate the effect of water intercalation and hydration of the decomposition and ion migration of $\text{CH}_3\text{NH}_3\text{PbX}_3$ using first-principles calculations. We find that water interacts with PbX_6 and MA through hydrogen bonding, and the former interaction increases gradually, while the latter hardly changes when going from $\text{X} = \text{I}$ to Br and to Cl. Thermodynamic calculations indicate that water exothermically intercalates into the perovskite, and suggest that the water intercalated and monohydrated compounds are stable with respect to decomposition. More importantly, the water intercalation reduces the activation energies for vacancy-mediated ion migration, which become higher going from $\text{X} = \text{I}$ to Br and to Cl. Our work indicates that hydration of halide perovskites must be avoided to prevent the degradation of solar cells upon moisture exposure.

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1 Introduction

Perovskite solar cells (PSCs) using methylammonium lead halide perovskites MAPbX_3 (MA = methylammonium cation CH_3NH_3^+ ; $\text{X} =$ halogen anion I^- , Br^- or Cl^-) as light harvesters have opened up a new stage in the field of photovoltaics. The rapid rise of power conversion efficiency of PSCs from the initial 3.81% reported by Kojima *et al.* in 2009 (ref. 1) to over 20% (ref. 2 and 3) within a few years is quite remarkable, as compared with other types of solar cells.^{4–6} Moreover, much low cost of cell fabrication by chemical methods and much abundance of raw materials have attracted great attention, inspiring people with hope that solar power will become competitive with fossil fuels in the electricity market in the near future. In spite of a great advance in research and development of PSCs during the past years, there still remain some obstacles to prevent commercialization of PSCs, such as

toxicity of lead⁷ and mostly poor material stability of these halide perovskites.^{8–12}

First-principles calculations revealed that MAPbI_3 , the most widely used hybrid perovskite halide in PSCs, may be decomposed exothermally¹³ and such intrinsic instability can be cured by mixing halogen ions.^{14,15} On the other hand, moisture has been reported to play a critical role as an extrinsic factor in the degradation of PSC performance.¹⁶ Although controlled humidity conditions or a small amount of H_2O during processing has positive effects on PSC performance such as enhancement of the reconstruction in perovskite film formation and triggering nucleation and crystallization of perovskite phase,^{17–19} H_2O molecule is known to damage the integrity of perovskite films. Some researchers asserted that MAPbI_3 may readily hydrolyze in the presence of water due to deprotonation of CH_3NH_3^+ by H_2O , resulting in degradation products such as CH_3NH_2 , HI and PbI_2 .^{8,20,21} In contrast different degradation reactions in perovskite films under humidity condition have been reported. Huang *et al.*²² focused on the interaction between H_2O and MAPbI_3 and suggested that degradation of MAPbI_3 under ambient condition may produce PbCO_3 and α - PbO rather than PbI_2 .

Some reports found that the formation of monohydrated $\text{CH}_3\text{NH}_3\text{PbI}_3 \cdot \text{H}_2\text{O}$ or dehydrated $(\text{CH}_3\text{NH}_3)_4\text{PbI}_6 \cdot 2\text{H}_2\text{O}$ intermediate phase is the initial step in the perovskite decomposition process under 80% humidity exposure.^{23–26} It was found subsequently that these intermediate phases are unstable in

^aDepartment of Computational Materials Design, Faculty of Materials Science, Kim Il Sung University, Ryongnam-Dong, Taesong District, Pyongyang, Democratic People's Republic of Korea. E-mail: ryongnam14@yahoo.com

^bNatural Science Centre, Kim Il Sung University, Ryongnam-Dong, Taesong District, Pyongyang, Democratic People's Republic of Korea

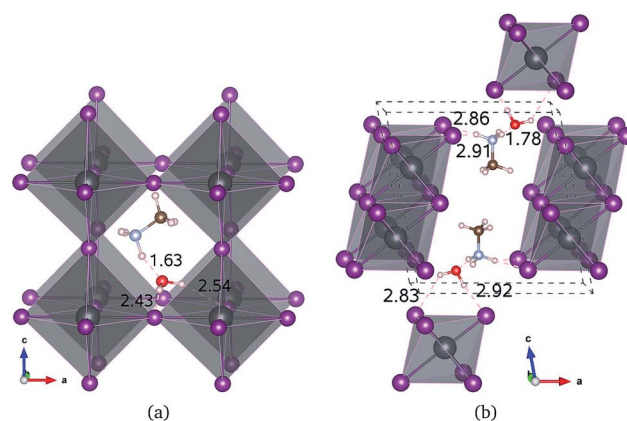
^cDepartment of Materials, Imperial College London, London SW7 2AZ, UK. E-mail: a.walsh@imperial.ac.uk

^dDepartment of Physics, Imperial College London, London SW7 2AZ, UK

^eDepartment of Chemistry, Imperial College London, London SW7 2AZ, UK

2 Computational methods

In order to compare the free energies of formation for pristine and hydrated phases, additional calculations of the harmonic phonon density of states were calculated using the codes Phonopy⁵⁰ and VASP (PBEsol functional).⁵¹ The Gibbs free energy of formation (G_{solid}) is calculated from the sum of the DFT internal energy (U), the vibrational entropy (S_{vib}), and the configurational entropy (S_{conf}):



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$$G_{\text{solid}} = U + PV - T(S_{\text{vib}} + S_{\text{conf}}) \quad (1)$$

here S_{conf} takes account of the configurational entropy (rotational activity) of the MA^+ ion from statistical mechanics, which cannot be extracted directly from static DFT calculations.⁵² To complement the solid-state calculations, the free energy of water vapour was estimated from a standard ideal gas expression, calibrated by the DFT energy of the water molecules in an otherwise empty unit cell.

3 Results

3.1 Water-perovskite interaction

For the water intercalated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$, the intercalated water molecule, with organic molecular MA^+ ion, resides in the large interstitial space formed by a huge framework consisting of Pb and I atoms of inorganic PbI_6 octahedra (for $\text{X} = \text{Br}$ and Cl , similar structures are observed). It is bonded with H atoms of NH_3 moiety of MA and I atoms of PbI_6 ; the bond length between the O atom of water and the H atom of NH_3 is ~ 1.63 Å, and those between the H atoms of water and the I atoms of PbI_6 are 2.43 and 2.54 Å. In Fig. 1(b) for the monohydrated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$, the corresponding bond lengths are observed to be slightly longer, *i.e.*, 1.78, 2.83, and 2.92 Å. These indicate that the interactions between water molecule and inorganic PbI_6 as well as organic MA are through hydrogen bonding, as already pointed out in the previous works.^{35,53,54}

When going from I to Br and to Cl, the $(\text{H}_2\text{O})\text{O}-\text{H}(\text{MA})$ bond length increases a little for the water intercalated phases or hardly change for the monohydrated phases, whereas the $(\text{H}_2\text{O})\text{H}-\text{X}(\text{PbX}_6)$ bond lengths decrease distinctly (see Table 1). On consideration that water intercalation causes a volume expansion, we estimate a relatively volume expansion rate as $r_{\text{vol}} = (V - V_0)/V_0 \times 100\%$, where V is the volume of water intercalated or monohydrated phase and V_0 the volume of pseudo-cubic MAPbX_3 phase per formula unit. This gradually increases going from $\text{X} = \text{I}$ to Br and to Cl. Consequently, it can be deduced that decreasing the atomic number of halogen component induces an enhancement of the interaction between water and inorganic PbX_6 matrix through hydrogen bonding, while maintaining those interactions between water and the MA ion, resulting in the contraction of the interstitial space and the volume, which might cause difficulty of water intercalation and ion migration.

To estimate the ease of water intercalation, we calculated the intercalation energy by

$$E_{\text{int}} = E_{\text{MAPbX}_3 \cdot \text{H}_2\text{O}} - (E_{\text{MAPbX}_3} + E_{\text{H}_2\text{O}}) \quad (2)$$

where $E_{\text{MAPbX}_3 \cdot \text{H}_2\text{O}}$, E_{MAPbX_3} , and $E_{\text{H}_2\text{O}}$ are the total energies of the water intercalated or monohydrated bulk, pseudo-cubic bulk unit cells per formula unit, and an isolated water molecule, respectively. The intercalation energies in the water intercalated phases were calculated to be -0.53 , -0.43 , and -0.36 eV for $\text{X} = \text{I}$, Br, and Cl, which are smaller in magnitude than those in the monohydrated phases as -0.87 , -0.78 , and -0.69 eV, as presented in Table 2. On this basis that formation of the monohydrated phases is energetically preferred over formation of water intercalated phases. When going from $\text{X} = \text{I}$ to Br and to Cl, the magnitude of water intercalation energy decreases, indicating that water intercalation becomes more difficult as the atomic number of halogen component decreases. This can be interpreted with the analysis of the interaction between water and perovskite, and the structural property. We note that, although negative intercalation energies imply the exothermic process of water intercalation, a certain amount of energy (kinetic barrier) could be required for water molecule to intercalate into the perovskite halides, as penetration of water into the perovskite iodide surface is required.^{33–36}

We further considered decomposition of water intercalated and monohydrated phases by calculating the decomposition energy,

$$E_{\text{dec}} = (E_{\text{PbX}_2} + E_{\text{MAX}} + E_{\text{H}_2\text{O}}) - E_{\text{MAPbX}_3 \cdot \text{H}_2\text{O}} \quad (3)$$

where E_{PbX_2} and E_{MAX} are the total energies of crystalline PbX_2 (space group: $P3m1$) and MAX (space group: $Fm\bar{3}m$), respectively. As shown in Table 2, the decomposition energies were calculated to be positive, indicating that the decomposition is an endothermic process. At this moment, it is worth to compare with those for the pristine perovskite halides without water. The decomposition of $\text{MAPbI}_3 \rightarrow \text{MAI} + \text{PbI}_2$ is exothermic due to the negative decomposition energy of -0.06 eV, whereas those of MAPbBr_3 and MAPbCl_3 are also endothermic with the positive decomposition energies of 0.10 and 0.23 eV.^{14,15} Interestingly, the decomposition energy in magnitude is in the reverse order to the intercalation energy going from $\text{X} = \text{I}$ to Br and to Cl. This indicates that water could intercalate more readily into the halide perovskite but the formed water-included compounds would be more resistant to decomposition into their relevant components as decreasing the atomic number of halogen component.

Table 1 Hydrogen bond length (Å) and relative volume expansion rate of water intercalated phase $\text{MAPbX}_3 \cdot \text{H}_2\text{O}$ and monohydrated phase $\text{MAPbX}_3 \cdot \text{H}_2\text{O}$

	X	O–H(MA)	H–X ₁	H–X ₂	r_{vol} (%)
MAPbX ₃ ·H ₂ O	I	1.63	2.43	2.54	7.10
	Br	1.66	2.24	2.31	10.18
	Cl	1.69	2.09	2.15	13.39
MAPbX ₃ ·H ₂ O	I	1.78	2.83	2.92	4.40
	Br	1.77	2.65	2.81	9.40
	Cl	1.79	2.34	2.83	10.21

Table 2 Intercalation energy (E_{int}) of water into MAPbX_3 perovskite halides and decomposition energy (E_{dec}) of water intercalated phase $\text{MAPbX}_3 \cdot \text{H}_2\text{O}$ and monohydrated phase $\text{MAPbX}_3 \cdot \text{H}_2\text{O}$ (unit: eV)

	X	E_{int}	E_{dec}
MAPbX ₃ ·H ₂ O	I	−0.53	0.47
	Br	−0.43	0.54
	Cl	−0.36	0.60
MAPbX ₃ ·H ₂ O	I	−0.87	0.81
	Br	−0.78	0.88
	Cl	−0.69	0.92



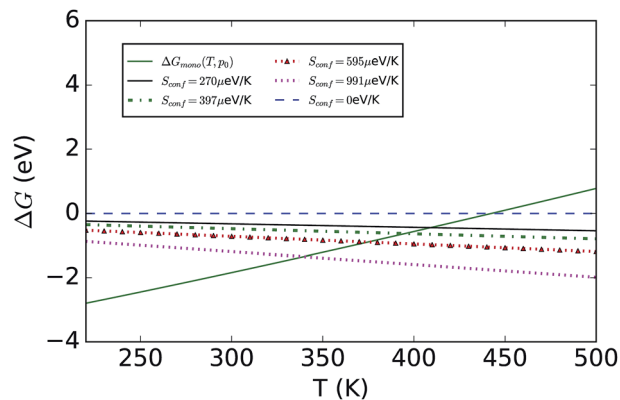


Fig. 2 Calculated Gibbs free energies as a function of temperature for the monohydrate with the water vapour pressure fixed at the standard state of $p_0 = 1$ bar. Also shown are the reference free energies of MAPbI_3 assuming different values for the (statistical mechanical) configurational entropy due to the motion of the MA^+ cation, S_{conf} . In the example shown, additional entropy shifts the transition temperature for hydrate formation to lower temperature values (from $T = 445$ K to $T = 345$ K).

To consider finite-temperature effects, additional calculations were performed to compute the Gibbs free energy of each phase (see Fig. 2). Formation of the hydrated compound is found to be favourable at lower temperatures due to the large energy gain from creation of the hydrate *versus* a state containing MAPbI_3 and water vapour. The calculations confirm the results stated earlier, but also predict that at higher temperatures the pristine MAPI_3 phase is stabilised relative to the monohydrate by the increasing entropy of the water vapour and the vibrational entropy of the MAPI_3 phase. The inclusion of configuration entropy associated with the rotational freedom of the MA^+ ion acts to further stabilize the material against hydration.

3.2 Dynamics of water incorporation and charged defects

We turn our attention to how the ions and water molecule diffuse inside the water intercalated or monohydrated perovskites, trying to find out their role in material instability. It is well known that diffusion of ions in crystalline solid is associated with point defects such as a site vacancy and/or interstitial. While such migrations of ions or defects can provide explicit explanations for the performance of PSC device such as ionic conduction, hysteresis, and field-switchable photovoltaic effect,^{37–41,55–57} these might have important implications for material stability.

It was established that, although as in other inorganic perovskite oxides several types of point defects could be formed in the hybrid perovskite halides, including vacancies (V_{MA} , V_{Pb} , V_{X}), interstitials (MA_i , Pb_i , X_i), cation substitutions (MA_{Pb} , Pb_{MA}) and antisite substitutions (MA_{X} , Pb_{X} , X_{MA} , X_{Pb}), vacancies except V_{Pb} have the lowest formation energies, while others are unstable both energetically and kinetically.^{41,57} In this work we thus considered only vacancies V_{MA} and V_{X} in the pristine, water-intercalated, and monohydrated phases, which could

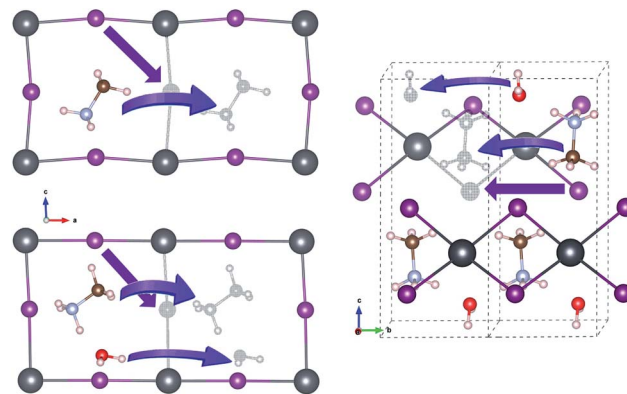


Fig. 3 Schematic view of vacancy-mediated ion and molecule migrations in the pristine (left-top), water-intercalated (left-bottom), and monohydrated (right) perovskite halides. Colour scheme for atoms is the same to Fig. 1.

support vacancy-mediated ionic diffusion. For migration of the water molecule inside the water intercalated or monohydrated phases, water vacancy $V_{\text{H}_2\text{O}}$ is formed and allowed to migrate.

For vacancy-mediated ion migration in the pristine and water intercalated MAPbX_3 phases, we follow the three vacancy transport mechanisms established in the previous works,^{37,38,40} where vacancies are allowed to conventionally hop between neighbouring equivalent sites. According to these mechanisms, X^- at a corner site of PbX_6 octahedron migrates along the octahedron edge towards a vacancy in another corner site, and MA^+ hops into a neighbouring vacant cage formed by the inorganic scaffold. Water molecule migrates along the similar path to MA^+ case. For the cases of monohydrated phase, we have devised plausible paths for each of the three defects, and pick out one that has the lowest activation energy, as discussed below in detail. Fig. 3 shows the schematic view of vacancy-mediated ion and molecule migration paths. Special attention was paid to obtaining the well-converged structures of the start and end point configurations with structural relaxations with convergence criteria as $0.01 \text{ eV } \text{\AA}^{-1}$ atomic forces. The activation energies for these vacancy-mediated migrations are summarised in Table 3.

To see whether our computational models and parameters could give reasonable results for the ionic migrations, the

Table 3 Activation energies (eV) of vacancy-mediated X^- , MA^+ ions, and H_2O molecule migrations in the pristine pseudo-cubic phases MAPbX_3 , water-intercalated phases $\text{MAPbX}_3 \cdot \text{H}_2\text{O}$, and monohydrated phases $\text{MAPbX}_3 \cdot \text{H}_2\text{O}$

	X	X^-	MA^+	H_2O
MAPbX_3	I	0.55	1.18	
	Br	0.58	1.20	
	Cl	0.62	1.24	
$\text{MAPbX}_3 \cdot \text{H}_2\text{O}$	I	0.22	0.38	0.28
	Br	0.29	0.54	0.31
	Cl	0.35	0.63	0.42
$\text{MAPbX}_3 \cdot \text{H}_2\text{O}$	I	0.44	1.14	0.78
	Br	0.47	1.18	0.89
	Cl	0.49	1.23	1.08



pseudo-cubic MAPbI_3 was first tested. As listed in Table 3, the activation energies for I^- and MA^+ migrations were calculated to be 0.55 and 1.18 eV, respectively, which are comparable with 0.58 and 0.84 eV reported in ref. 37, and 0.32–0.45 and 0.55–0.89 eV in ref. 38, but higher than 0.16 and 0.46 eV in ref. 40. With respect to the crystalline lattice, we used the pseudo-cubic lattice as in the work in ref. 37, while Haruyama *et al.*³⁸ and Azpiroz *et al.*⁴⁰ used the tetragonal lattice. An exchange–correlation (XC) functional including dispersion (vdW) interactions was used in our work and the work in ref. 38, whereas PBEsol and PBE without vdW correction were used in ref. 37 and 40, respectively. Therefore, the slight discrepancies might be associated with the different crystalline lattices and XC functionals without vdW correction, not with the supercell size. Most important, the activation energy for I^- migration is lower than that for MA^+ migration in all the above-mentioned works, convincing that the results obtained in this work can be used to find out the influence of water on ion diffusion.

For I^- migration in the monohydrated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$, which is structurally characterized by 1-dimensional edge sharing PbI_6 octahedra connected in [010] direction, we devised four migration pathways along the three octahedron edges in different directions and across the space between separated octahedra. The lowest activation energy was found for the migration along the edge in [010] direction, while the highest value over 2 eV was found for the one across the space, implying this pathway less likely. Fig. 4 shows the I^- migration pathways along the octahedron edge in the three kinds of phases and the corresponding activation energy profile. It is found that, when water intercalates into the perovskite, the activation energy

decreases, indicating more facile diffusion of I^- ion upon water intercalation. Meanwhile, the activation energy in the monohydrated phase is higher than in the water intercalated phase but still lower than in the pristine phase. This demonstrates that the intercalated water molecule enhances diffusion of ions in hybrid perovskite halides, facilitating the formation of hydrated phases. However, once the hydrated phase is formed, diffusion of ions becomes a little harder. Similar arguments hold for MAPbBr_3 and MAPbCl_3 .

An MA^+ ion in the monohydrated phase was enforced to migrate along the almost straight pathway in [010] direction since there is no channel in [100] direction due to the wall formed by PbI_6 octahedra and the channel in [001] direction has much longer distance. It should be noted that MA^+ cation in the water intercalated phase is allowed to diffuse equally both in [100] and [010] directions but not allowed to move in [001] direction due to the presence of water molecule on the path. Fig. 5 shows the intermediate states during MA^+ migrations in the pristine, water-intercalated, and monohydrated MAPbI_3 phases and the corresponding energy profile. We can see distortions of PbI_6 octahedra during migration, much more clearly in the case of the water intercalated phase due to the rather strong interaction between PbI_6 and methylammonium, which may cause difficult MA^+ ion migration.

Relatively high activation energies for MA^+ ion migrations were found for the pristine (1.18 eV) and monohydrated phases (1.14 eV), while low activation energy of 0.38 eV was found for the water intercalated phase. This indicates that inclusion of water in the perovskite halides reduces the activation energy for MA^+ ion migration as in the case of halogen ion migration. As discussed above, the volume expansion rate of the water intercalated phase is larger than that of the monohydrated phase, and thus, water intercalation can induce much more space expansion, resulting in the enhancement of MA^+ ion diffusion. When compared to I^- migration, MA^+ migration can be said to

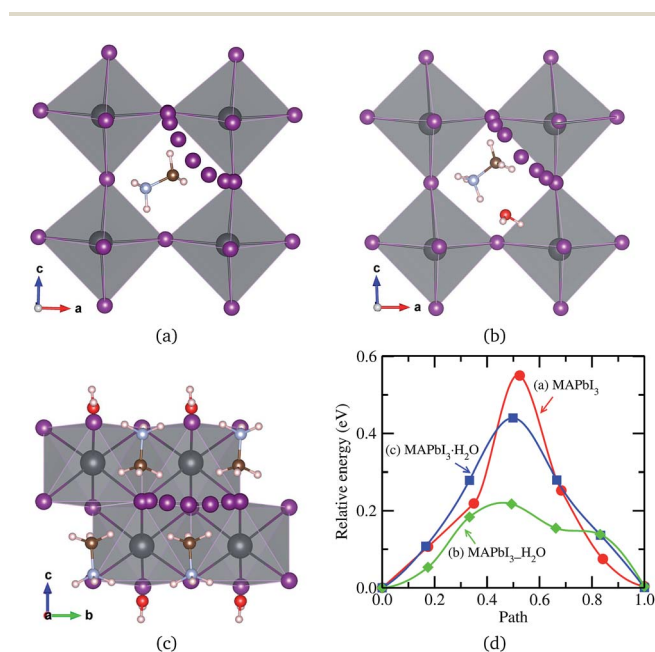


Fig. 4 I^- ion migrations in (a) pseudo-cubic MAPbI_3 , (b) water intercalated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$ and (c) monohydrated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$, and (d) corresponding activation energy profile. All the atoms are allowed to relax during migration, resulting in slight distortion of PbI_6 octahedra.

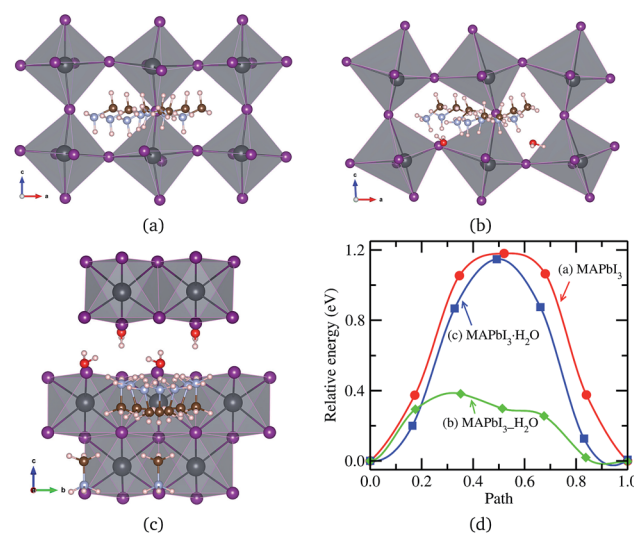


Fig. 5 MA^+ ion migration in (a) pseudo-cubic MAPbI_3 , (b) water intercalated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$ and (c) monohydrated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$, and (d) corresponding activation energy profile.



be more difficult due to higher activation energy in agreement with the previous works,^{37,38,40} in which the bottleneck comprising four I^- ions and the high level of orientational motion of the MA^+ ion were pointed out to be the reasons for such hard migration.

Finally, a H_2O molecule was allowed to migrate in the same direction as MA^+ ion in the water intercalated and monohydrated phases, as represented in Fig. 6. The activation energy in the water intercalated phase was calculated to be 0.28 eV, which is low enough to diffuse inside the bulk crystal and form the hydrated phase. As in the cases of ion migrations, this is lower than in the monohydrated phase. At this stage, it is worthwhile to compare with the initial process of water penetration into MAPbI_3 surface. From the first-principles calculations,^{33,36,58} it was found that, when water molecules are brought into contact with MAPbI_3 surface, the water molecule in the inside region is 0.2–0.3 eV more stable than the one in the outside region, and thus, the water molecule is strongly driven to diffuse into the inside of MAPbI_3 . The activation barrier for this process was calculated to be 0.31 eV (ref. 33) or 0.27 eV (ref. 36) at low coverage of water and 0.82 eV (ref. 33) at high coverage. These are comparable to the barrier of water diffusion within the bulk crystal in this work, which can be regarded as a continuation of the water penetration, indicating easy formation of water intercalated and further hydrated phase. As can be seen in Table 3, a water molecule can migrate more easily than a MA^+ ion, which might be due to the larger molecular size of MA and its stronger interaction with environmental components, but has a lower activation barrier than X^- ion in both phases. On the other hand, the activation barrier of water migration in the monohydrated phase is higher than the one in

the water intercalated phase, as in the cases of X^- and MA^+ ion migrations.

When the atomic number of halogen component decreases from $\text{X} = \text{I}$ to Br and to Cl, the activation barriers for ions and water molecule migrations increases monotonically, as shown in Table 3. In fact, when changing from I with larger ionic radius of 2.2 Å to Br with smaller ionic radius of 1.96 Å and to Cl with further smaller ionic radius of 1.81 Å, the lattice spacing and PbX_6 –MA bonding shrink while maintaining the intra-molecular MA spacing.^{14,15} This results in the enhancement of Pb–X interaction and makes passages of ions and water molecule more difficult. Similar arguments hold for water intercalated and monohydrated phases. The increase of activation barrier for ion migrations going from $\text{X} = \text{I}$ to Cl describes enhancement of material stability when mixed I atom with Br or Cl atom, and is coincident with aforementioned decomposition energetics.

4 Conclusion

To understand the degradation mechanism of perovskite solar cells upon exposure of MAPbI_3 to moisture, we have investigated the influence of water intercalation and hydration on decomposition and ion migrations of MAPbX_3 ($\text{X} = \text{I}, \text{Br}, \text{Cl}$) by first-principles calculations. The crystalline lattices and atomistic structures of water intercalated MAPbX_3 phases were suggested and optimized, together with those of monohydrated phases $\text{MAPbX}_3 \cdot \text{H}_2\text{O}$. Water is found to interact with both the lead halide framework and the methylammonium ions through hydrogen bonding. The calculated results for water intercalation energies and decomposition energies indicate that water can exothermically intercalate into the hybrid perovskite halides, while the water intercalated and monohydrated compounds are stable with respect to decomposition. More importantly, the hydrogen bond interaction induced by water greatly affects the vacancy-mediated ion migrations, for which the activation barrier decreases upon the water inclusion inside the perovskite halides. The activation energies for ion and water molecule migrations become higher as going from $\text{X} = \text{I}$ to Br and to Cl. These results clarify that degradation of PSCs upon moisture exposure originates from a multi-step process: the formation of water intercalated and hydrated compounds, followed by decomposition of these compounds, which provides insights to preventing this degradation at the atomic level.

Conflicts of interest

The authors declare no competing financial interest.

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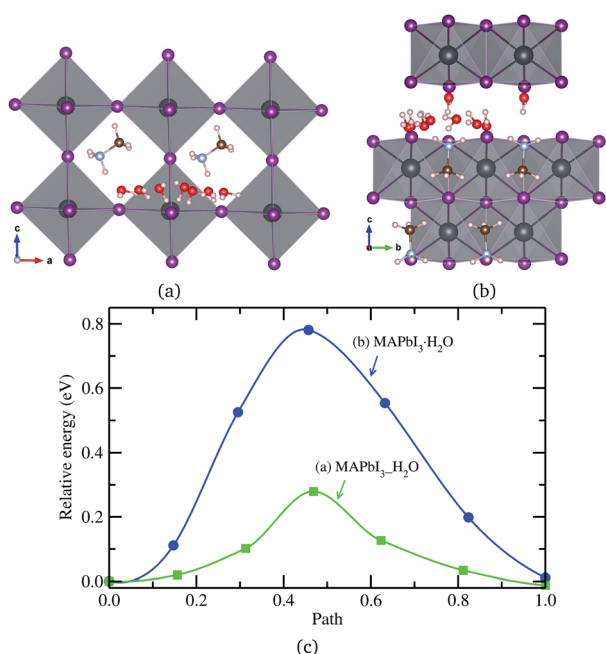


Fig. 6 H_2O molecule migrations in (a) water intercalated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$ and (b) monohydrated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$, and (c) corresponding activation energy profile.



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