



Cite this: *Dalton Trans.*, 2019, **48**, 16426

## Insight into the mechanism of CO-release from trypto-CORM using ultra-fast spectroscopy and computational chemistry†‡

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Photolysis of trypto-CORM, *fac*-[Mn(tryp)(CO)<sub>3</sub>(NCMe)] (tryp = tryptophanate) at 400 nm results in controlled CO-release which may be utilised to inhibit the growth of *Escherichia coli* (*E. coli*). An investigation into the fundamental processes which underpin the CO-release event is described. Time-dependent density functional theory (TD-DFT) indicates that irradiation at 400 nm results in LMCT from the indole group of the amino acid to orbitals based on the metal as well as the carbonyl and NCMe ligands. Ultra-fast time-resolved infra-red spectroscopy (TRIR) demonstrates that in NCMe solution, photolysis (400 nm) results in loss of CO in under 3 ps with the sequential generation of three new states with two carbonyl ligands and a coordinated tryptophanate. The first species is assigned to vibrationally hot <sup>3</sup>[Mn(tryp)(CO)<sub>2</sub>(NCMe)] which undergoes cooling to give the complex in its *v* = 0 state. This triplet state then undergoes solvation (*τ* ≈ 20 ps) with a concomitant change in spin to give [Mn(tryp)(CO)<sub>2</sub>(NCMe)<sub>2</sub>] which persists for the remainder of the experiment (800 μs). These data indicate that following the initial photochemically induced loss of CO, any thermal CO loss is much slower. Related experiments with trypto-CORM in a mixture of DMSO and D<sub>2</sub>O gave analogous data, indicating that this process also occurs in the medium used for the evaluation of biological properties.

Received 16th August 2019,  
Accepted 14th October 2019

DOI: 10.1039/c9dt03343b

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## Introduction

Despite its deserved reputation as a poison, carbon monoxide has been shown to have well-defined beneficial therapeutic effects. CO is produced naturally in mammals, primarily through the physiological degradation of heme, it acts as a signalling molecule and has been shown to protect against ischemic damage and organ graft rejection, it is antibacterial, antimalarial and may act as a vasodilator.<sup>1–3</sup> Although controlled treatment with CO gas may be envisaged as a route to harness these beneficial effects, its lack of selectivity means that alternative delivery methods are needed. This has resulted in the advent of carbon monoxide-releasing molecules

(CO-RMs) which are species which liberate CO when subjected to a suitable stimulus.<sup>4–7</sup> The vast majority of CO-RMs are transition metal carbonyl complexes which presumably represents the ability of these elements to readily coordinate CO.<sup>8</sup> Although there are a vast number of metal carbonyl complexes, control over the spatial and temporal delivery of CO remains a challenge. CO-RMs are needed in which the rate, extent and location of CO-release are controlled. This may be achieved by molecules which selectively localise in certain organs<sup>9</sup> or are activated by specific triggers.<sup>10</sup> A number of stimuli have therefore been explored to control the CO-release event, such as activation by enzymes,<sup>11–13</sup> as well as thermal,<sup>14–18</sup> electrochemical<sup>19</sup> and magnetic heating-induced release.<sup>20</sup> However, light offers the benefit of temporal and spatial control over the CO-loss event and therefore the photochemical activation of CO-RMs (photoCO-RMs) has been extensively explored.<sup>21–24</sup>

Complexes based on manganese(i) represent the most common class of photoCO-RMs.<sup>25–37</sup> These complexes have antibacterial activity,<sup>25,26,34,35</sup> may be woven into materials and activated with a photosensitiser,<sup>32</sup> or activated by two-photon excitation.<sup>33</sup> We have recently reported the synthesis and antibacterial activity of trypto-CORM (Fig. 1) a manganese-based carbonyl complex with a coordinated tryptophanate ligand.<sup>38</sup>

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† This manuscript is dedicated to Professor Robin Perutz, an inspirational scientist, colleague and mentor on the occasion of his 70<sup>th</sup> birthday.

‡ Electronic supplementary information (ESI) available: Details xyz coordinates and collated energies for DFT calculations. See DOI: 10.1039/c9dt03343b

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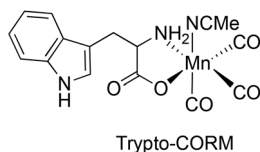


Fig. 1 Structure of trypto-CORM.

Trypto-CORM is able to inhibit the growth of *E. coli* only when exposed to visible (400 nm) light. The behaviour is organism-dependant, for example, trypto-CORM shows some activity against *Neisseria gonorrhoeae* in the dark.<sup>39</sup> Control experiments with leg-haemoglobin (which has an extremely high binding affinity for CO) indicate that this activity is due to the released CO.

An understanding of the pathways that lead to CO loss and the nature of the resulting species has provided important fundamental insight into the structure and bonding of transition metal complexes.<sup>40</sup> For example, Perutz and Turner demonstrated that photolysis of  $[\text{Cr}(\text{CO})_6]$  in a xenon matrix results in the formation of square-based pyramidal  $[\text{Cr}(\text{CO})_5]$  with a Cr–Xe interaction.<sup>41</sup> This illustrated the high Lewis acidity of such fragments and provided support for the development of bonding models such as the isolobal analogy. The dynamics of the photochemical loss of CO and subsequent solvation has been explored using time-resolved spectroscopy. Light is employed to photo-dissociate a CO ligand and the structure of the resulting transient intermediates probed using electronic or vibrational spectroscopy.<sup>42–44</sup> Such studies have assisted with the identification of  $\sigma$ -complexes, and informed the understanding of unusual bonding modes in transition metal species.<sup>45–49</sup>

Time-resolved vibrational spectroscopy has been used extensively to probe behaviour in organic solvents, however, there are far fewer reports of this method being applied to study the light-induced loss of CO from photoCO-RMs in more biologically relevant media.<sup>19,36</sup> Such studies, when coupled with a detailed computational understanding of their photochemistry<sup>50</sup> (for example the nature of the electronic transitions and excited state structures which lead to the CO-loss event) will inform the development of new classes of photoCO-RMs.

We have recently employed TRIR spectroscopy to investigate the behaviour of metal complexes  $\text{fac-}[\text{Mn}(\text{ppy})(\text{CO})_3(\text{L})]$  ( $\text{ppy}$  = 2-phenylpyridine, L = ligand) generated by the light-induced dissociation of CO from  $[\text{Mn}(\text{ppy})(\text{CO})_4]$ .<sup>51,52</sup> Complexes based on this scaffold have found uses as CO-RMs<sup>53–55</sup> and in catalysis.<sup>56–59</sup>

These studies have provided insight into the solvation of unsaturated species and the role of these complexes as catalysts for C–H functionalisation. For example, photolysis (355 nm) of  $[\text{Mn}(\text{ppy})(\text{CO})_4]$  in media such as heptane, toluene,  $\text{CH}_2\text{Cl}_2$  and ethers results in CO loss in under 1 ps and the generation of solvent complexes  $\text{fac-}[\text{Mn}(\text{ppy})(\text{S})(\text{CO})_3]$  (S = solvent).<sup>51</sup> The solvation event is kinetically controlled, for example, in tetrahydrofuran, the solvent initially binds to the

manganese through two electrons of a C–H bond which is followed by an ultrafast (ps) rearrangement to the more thermodynamically stable oxygen-bound form.<sup>51</sup> Performing the experiments in the presence of substrates used in the direct C–H functionalisation reactions, such as  $\text{PhC}_2\text{H}$ , allowed for the observation of the resulting  $\pi$ -alkyne complexes and the migratory insertion reaction leading to C–C bond formation.<sup>52</sup>

It was anticipated that related studies using trypto-CORM would provide insight into the nature of the species formed following the light-induced loss of CO. A combined computational (DFT) and experimental (TRIR) study of the light-induced dissociation of CO from trypto-CORM is described herein.

## Results and discussion

### Vibrational and electronic spectra of trypto-CORM

The ground state infra-red spectrum of trypto-CORM exhibits three bands in the metal carbonyl region in MeOH solution at 2037, 1933 and 1921  $\text{cm}^{-1}$ , corresponding to the symmetric and (two) asymmetric stretching modes expected for an octahedral complex with three *fac*-coordinated carbonyl ligands. An additional broader band, assigned to the ester group of the coordinated tryptophan, is observed at 1638  $\text{cm}^{-1}$ . This band is red-shifted when compared to the mode in the free amino acid.<sup>38</sup> These strong metal- and organic-carbonyl modes are ideal reporting groups to probe changes in the coordination environment of the manganese following photolysis.

The lowest energy band in the UV-vis spectrum of trypto-CORM in NCMe has a maximum at 360 nm ( $\epsilon$  = 1443  $\text{mol}^{-1} \text{ dm}^3 \text{ cm}^{-1}$ ) which tails into the visible region of the spectrum (Fig. 2a).<sup>38</sup> Photolysis of trypto-CORM at 400 nm results in light-induced bleaching of this band and instigates the CO release process.<sup>38</sup> The nature of the transitions which constitute this band were modelled with TD-DFT at the PBE0/DGDZVP/def2tzv level of theory, with implicit solvation in NCMe. The calculations at this level indicate that the lowest energy transition should occur at 431 nm and has a 100%  $\text{HOMO}_{98} \rightarrow \text{LUMO}_{99}$  composition. A less intense feature is predicted at 408 nm (100%  $\text{HOMO}_{98} \rightarrow \text{LUMO}+1_{100}$ ). The HOMO is based on the indole of the tryptophanate ligand whereas the LUMO and LUMO+1 are metal-centred (Fig. 2(b)–(d)). In addition some of the higher energy transitions involve electron density on the ester group of the amino acid ligand (see ESI† for complete list) in the occupied orbital. Therefore irradiation at 400 nm is best viewed as resulting in a ligand-to-metal-charge transfer (LMCT).

### TRIR spectroscopy on trypto-CORM

The TRIR studies were performed using the LIFETIME system<sup>60</sup> in the ULTRA facility at the Rutherford Appleton Laboratory using the time-resolved multiple-probe spectroscopy ( $\text{TR}^{\text{MPS}}$ ) method.<sup>61</sup> This allows for the acquisition of time-resolved spectra with pump–probe delays between 1 ps and 1 ms. A pump wavelength of 400 nm was employed to simulate the conditions used in the biological experiments.<sup>38</sup>

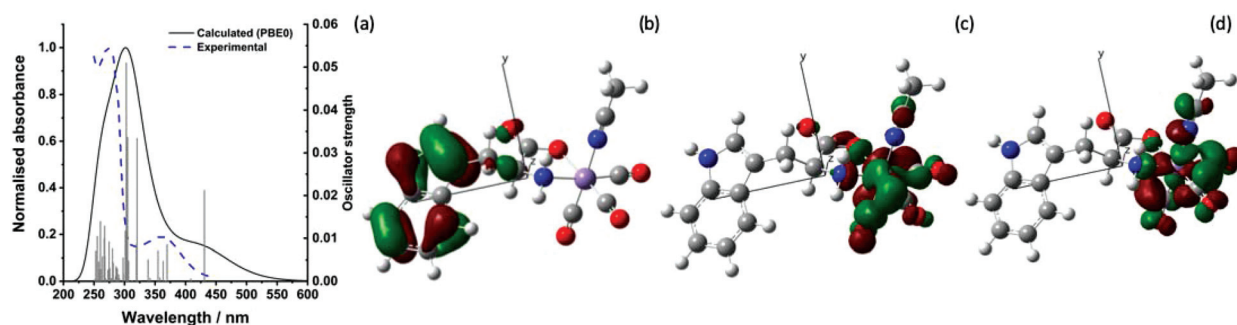


Fig. 2 (a) Experimental and predicted electronic spectra of trypto-CORM (b) HOMO<sub>98</sub> (c) LUMO<sub>99</sub> and (d) LUMO+1<sub>100</sub> calculated at the PBE0/DGDZVP/def2tzv level. Isosurface shown at the 0.04 level.

The initial experiments focussed on understanding the photolysis of trypto-CORM in NCMe solution as it was anticipated that prompt photo-dissociation of CO would result in the formation of a vacant coordination site that would be occupied by the coordinating solvent. The TRIR spectroscopic data are presented as difference spectra with negative bands corresponding to the species which are lost on irradiation and the positive bands due to the photoproducts.

Time-resolved spectra in the region 1780–2100 cm<sup>-1</sup> (Fig. 3) exhibited strong negative bands corresponding to the ground state spectrum of trypto-CORM. The observation of these ground state bleach (GSB) bands demonstrates that trypto-

CORM is lost on photolysis at 400 nm. Analysis of the resulting spectra indicated the formation of three different species. Two, **A** and **B**, were present in spectra recorded with pump-probe delays longer than 3 ps – data recorded with shorter delays were broad and featureless. A spectrum recorded with a pump-probe delay of 10 ps showed the presence of two peaks between 1950 and 1980 cm<sup>-1</sup> (Fig. 4, top). Gaussian deconvolution (Table 1) of these bands gave band positions of (1964.8 ± 1.5) cm<sup>-1</sup> and (1976.7 ± 0.6) cm<sup>-1</sup> assigned to **A** and **B** respectively. A further feature was observed centred at *ca.* 1800 cm<sup>-1</sup>. This was also successfully deconvoluted into two bands (Fig. 4, bottom) with peak positions of (1813.7 ± 1.7) and (1799.6 ± 3.5) cm<sup>-1</sup>, assigned to **A** and **B** respectively. These

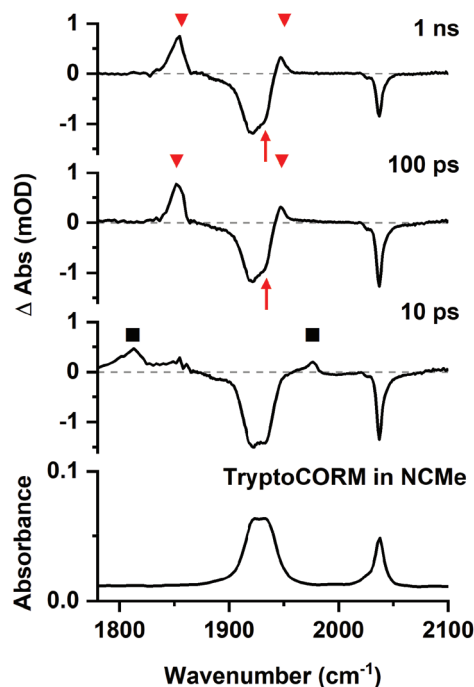


Fig. 3 Bottom IR spectrum of trypto-CORM in NCMe in the region 1780–2100 cm<sup>-1</sup>. Top time-resolved difference IR spectra for the photolysis (400 nm) of trypto-CORM in NCMe with pump-probe delays of 10 ps, 100 ps, and 1 ns showing bands for **A** and **B** (■) and **C** by (▼). The red arrows show the apparent recovery of the GSB for trypto-CORM.

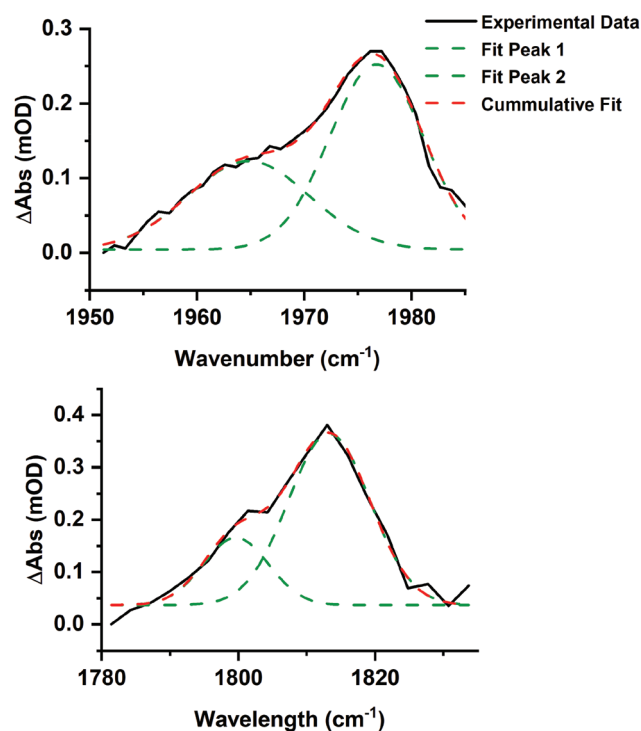


Fig. 4 Gaussian deconvolution of the bands at in the region 1950–1990 cm<sup>-1</sup> (top,  $R^2 = 0.986$ ) and 1780–1830 cm<sup>-1</sup> (bottom,  $R^2 = 0.970$ ) at 10 ps. Parameters are listed in Table 1.

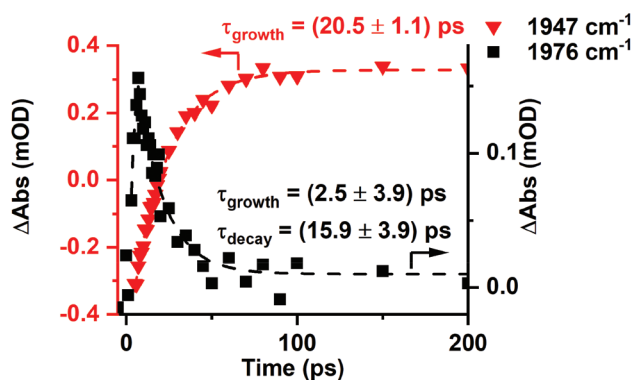
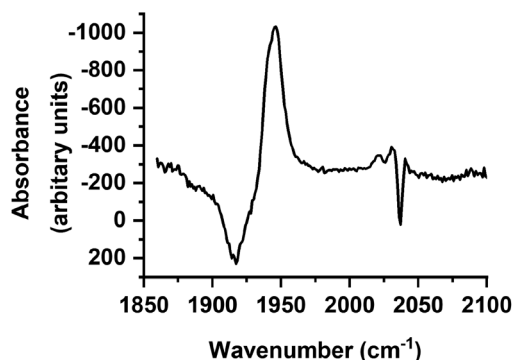
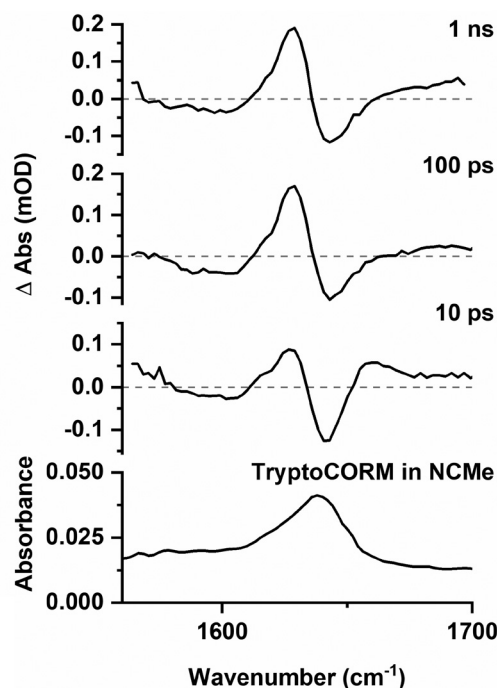
**Table 1** Derived parameters from the Gaussian deconvolution of the bands for complexes **A** and **B** at 10 ps

| Band position/cm <sup>-1</sup> | Peak width at half height/cm <sup>-1</sup> | Integration | Assignment |
|--------------------------------|--------------------------------------------|-------------|------------|
| 1976.7 ± 0.6                   | 10.4 ± 0.9                                 | 2.74 ± 0.42 | <b>B</b>   |
| 1964.8 ± 1.5                   | 13.4 ± 3.5                                 | 1.69 ± 0.62 | <b>A</b>   |
| 1813.7 ± 1.7                   | 14.1 ± 3.3                                 | 4.93 ± 1.35 | <b>B</b>   |
| 1799.6 ± 3.5                   | 11.3 ± 6.1                                 | 1.55 ± 1.22 | <b>A</b>   |

features are at a very low frequency for a metal carbonyl stretching mode.

The presence of two bands is consistent with the photochemically induced loss of a CO ligand from trypto-CORM to give species with two mutually *cis* carbonyl ligands. Analysis of the intensity of the bands with time revealed that **A** had a greater initial concentration than **B**. The change in intensity of **B** with time was fitted to an exponential growth then decay function ( $\tau_{\text{growth}} = (2.5 \pm 3.9)$  ps and  $\tau_{\text{decay}} = (15.9 \pm 3.9)$  ps, Fig. 5). This was interpreted as initial formation of **A** which then transformed into **B** with a short lifetime. Although it was not possible to obtain a satisfactory fit to first order kinetics for **A**, qualitatively the loss of **A** corresponded with the growth of **B** (see ESI†).

Over the course of *ca.* 100 ps, the bands for **B** decreased in intensity to be replaced by a peak at 1853 cm<sup>-1</sup> and a smaller feature at 1947 cm<sup>-1</sup>: these were assigned to a third photo-product, **C** (Fig. 3). Inspection of the bands due to the GSB indicated some apparent recovery in intensity of the band due to trypto-CORM at 1933 cm<sup>-1</sup> (highlighted with an arrow in Fig. 3). It was suspected that this was due to the overlap between the GSB bands for trypto-CORM and a peak for **C**. In order to determine if this was the case, the spectrum of trypto-CORM was subtracted from the difference spectrum recorded with a pump-probe delay of 1 ns. The resulting spectrum (Fig. 6) shows the presence of peak with a band position of 1946 cm<sup>-1</sup>, leading to the conclusion that **C** was a *cis*-dicarbonyl complex. The growth of **C** proceeded with a statistically identical lifetime to the loss of **B**,  $\tau_{\text{growth}} = (20.5 \pm 1.1)$  ps, indicating that **B** → **C**.

**Fig. 5** Change in intensity of the bands due to **B** (■) and **C** (▼) with time. Dashed lines show fits against exponential growth/decay (**B**) and exponential growth (**C**) kinetics.**Fig. 6** Difference spectrum in the region 1850–2100 cm<sup>-1</sup> recorded with a pump-probe delay of 1 ns with ground state bleach signal subtracted.**Fig. 7** Bottom IR spectrum of trypto-CORM in NCMe in the region 1560–1700 cm<sup>-1</sup>. Top time-resolved difference IR spectra for the photolysis (400 nm) of trypto-CORM in NCMe with pump-probe delays of 10 ps, 100 ps, and 1 ns.

Difference spectra recorded in the region 1500–1700 cm<sup>-1</sup> exhibited a strong GSB at *ca.* 1639 cm<sup>-1</sup> (Fig. 7), consistent again with the loss of trypto-CORM on photolysis. Bands that could be assigned to the ester group in **A**, **B** and **C** were observed at short (<20 ps) and long (>100 ps) pump-probe delays respectively. The small changes in the band position of the ester mode was taken to indicate that the environment of the tryptophanate ligand had not changed significantly from either the parent trypto-CORM, or between **A**, **B** and **C**.

In order to evaluate the behaviour of trypto-CORM in an aqueous medium, the experiment was repeated in a

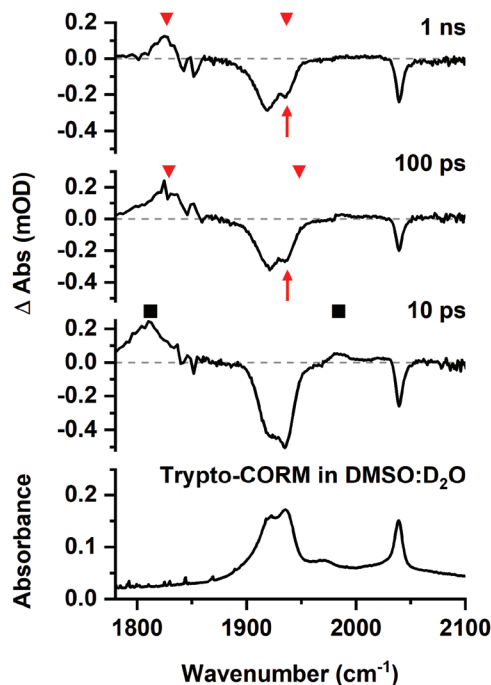


Fig. 8 Bottom IR spectrum of trypto-CORM in DMSO:D<sub>2</sub>O (1:9 v:v) in the region 1780–2100 cm<sup>-1</sup>. Top time-resolved difference IR spectra for the photolysis (400 nm) of trypto-CORM in NCMe with pump–probe delays of 10 ps, 100 ps, and 1 ns showing bands for A (■) and C by (▼). The red arrows show the apparent recover of the GSB for trypto-CORM.

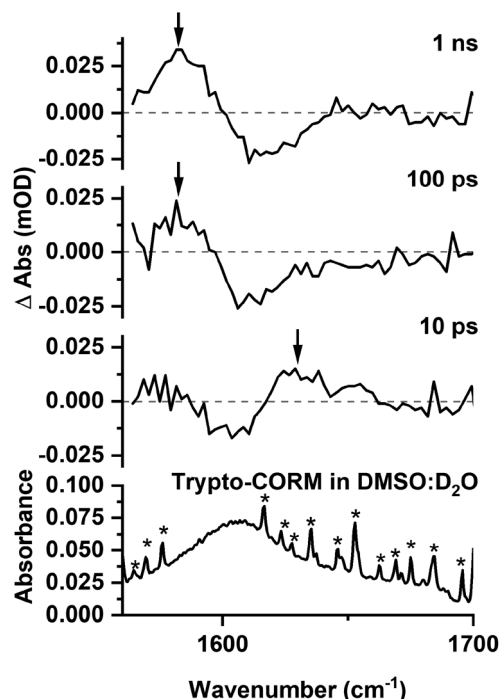


Fig. 9 Bottom IR spectrum of trypto-CORM in DMSO:D<sub>2</sub>O (1:9 v:v) in the region 1560–1700 cm<sup>-1</sup>. Top time-resolved difference IR spectra for the photolysis (400 nm) of trypto-CORM in NCMe with pump–probe delays of 10 ps, 100 ps, and 1 ns, band positions marked with an arrow. \* indicates bands due to H<sub>2</sub>O contamination.

DMSO:D<sub>2</sub>O (1:9 v:v) solution. The lower solubility of the complex in this medium compared to NCMe entailed that the resulting spectra were less intense. However, it was evident from the resulting data that related processes were occurring in both media. In the region between 1800 and 2100 cm<sup>-1</sup> bands were observed at 1985 and 1812 cm<sup>-1</sup> (Fig. 8) which were assigned to the DMSO analogues of A and B. After 100 ps these bands had been replaced by a new peak at 1826 cm<sup>-1</sup> and, as was the case for NCMe, the GSB for the trypto-CORM at *ca.* 1935 cm<sup>-1</sup> had recovered in intensity (Fig. 7, red arrows), indicating a band from a species analogous to C overlapping with that from trypto-CORM.

Spectra recorded in the region 1560–1700 cm<sup>-1</sup> (Fig. 9) were weak, however, it was possible to observe a GSB and that the peaks for transient species present at 10 ps. A band assigned to C was present at 1 ns.

These observations demonstrate that the behaviour of trypto-CORM is similar in both media. Given that our previous data had indicated that the NCMe ligand in the complex is thermally labile, it was anticipated that speciation in a DMSO/D<sub>2</sub>O mixture was complicated. However, the shifts of the bands in the region 1800–1850 cm<sup>-1</sup> to lower energy when compared to NCMe suggest that DMSO was coordinated to the metal.<sup>51</sup>

### Density functional theory

The TRIR spectroscopy on trypto-CORM had demonstrated the formation of species A, B and C. In order to elucidate the

potential structures of these states, a number of trial structures were probed by DFT. Geometries were optimised at the BP86/SV (P) level of theory, vibrational modes and subsequent free energy corrections were also calculated at this level. Single point energies were then calculated on these geometries at the D3BJ-PBE0/def2-TZVPP level with solvent correction using COSMO in NCMe.<sup>62</sup> We have previously used this method on related studies to calculate reaction pathways for C–C bond formation in the coordination sphere of manganese.<sup>51,52</sup> In these cases, there was a linear relationship between the calculated and experimental values for the reaction profiles studied and also between the calculated vibrational modes of the metal carbonyl ligands with those determined by time-resolved infra-red spectroscopy.

The TRIR data had demonstrated that the products must contain two carbonyl ligands. Furthermore, as only small changes were observed in the position of the C=O mode of the coordinated tryptophanate, it was assumed that the binding mode of this ligand did not substantially change on photolysis.

Two general classes of photoproducts were considered on this basis. The first were based on an 18-electron [Mn(trypt)(CO)<sub>2</sub>(NCMe)<sub>2</sub>], [1], framework. Four possible isomers (Fig. 10) are possible for this composition. The resulting geometry optimisations predicted, as expected, that all four of the complexes formed pseudo-octahedral geometries. In order to evaluate the relative Gibbs free energies, [1a] was taken as the reference state. Complex [1b] was found to lie at +14 kJ mol<sup>-1</sup>,

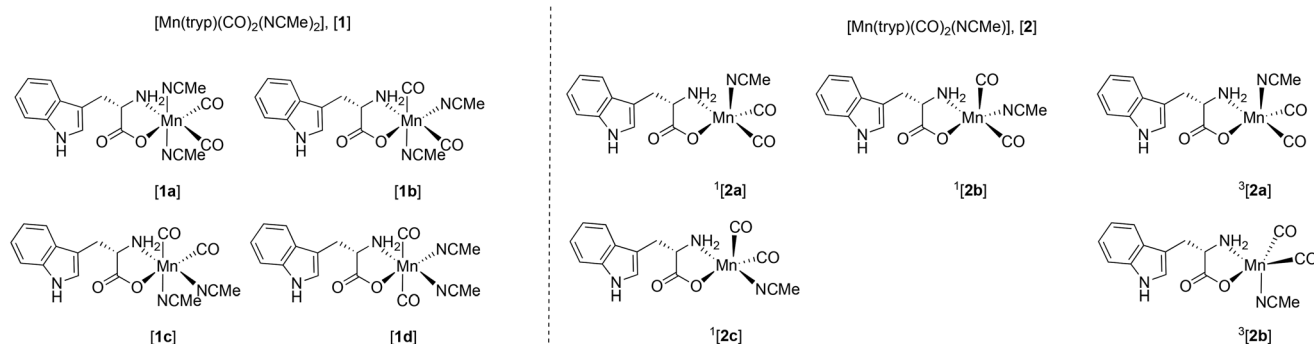


Fig. 10 Potential structures for complexes A and C studied by DFT.

[1c] at 3 kJ mol<sup>−1</sup> whereas [1d] with two mutually *trans* carbonyl ligands is at a much higher energy (+74 kJ mol<sup>−1</sup>).

The second class of potential photoproducts considered were 16-electron complexes [Mn(tryp)(CO)<sub>2</sub>(NCMe)], [2], in which a CO ligand had dissociated leaving a formally vacant coordination site at the metal. Both singlet <sup>1</sup>[Mn(tryp)(CO)<sub>2</sub>(NCMe)], <sup>1</sup>[2], and triplet <sup>3</sup>[Mn(tryp)(CO)<sub>2</sub>(NCMe)], <sup>3</sup>[2] spin states were calculated. Three geometric isomers were located for <sup>1</sup>[2] which possessed geometries intermediate between a trigonal bipyramid and a square-based pyramid with the largest angle in the equatorial plane being *ca.* 150° in all cases. This is in marked contrast to the related 16-electron complex *fac*-[Mn(ppy)(CO)<sub>3</sub>] which is calculated to have a square-based pyramidal shape (angles in the basal plane 168° and 170°).<sup>51</sup> This species is proposed to be generated from the photochemically induced loss of CO from [Mn(ppy)(CO)<sub>4</sub>], however, solvation occurs within 1 ps. The difference in geometry between the complexes <sup>1</sup>[2] and *fac*-[Mn(ppy)(CO)<sub>3</sub>] may be assigned to the presence of the coordinated ester group in the tryptophan ligand. This is a good  $\pi$ -donor group and may provide electron density for the formally vacant d-orbital in a d<sup>6</sup> 16-electron system.<sup>63</sup> The significant shortening of the Mn–O bond length in all three isomers of <sup>1</sup>[Mn(tryp)(CO)<sub>2</sub>(NCMe)] (all less than 1.93 Å) when compared to trypto-CORM (calculated 2.020 Å) and the four isomers of [Mn(tryp)(CO)<sub>2</sub>(NCMe)<sub>2</sub>] (all longer than 2 Å) presumably reflect this effect. Complex <sup>1</sup>[2c], in which the two carbonyl ligands and the oxygen of the tryptophanate ligand occupy the three equatorial sites, is the lowest energy (−17 kJ mol<sup>−1</sup> relative to <sup>1</sup>[2a]) of the three isomers investigated.

Two minima were located for <sup>3</sup>[Mn(tryp)(CO)<sub>2</sub>(NCMe)] despite using a number of different starting geometries. These only differ in the orientation of the indole substituent with respect to the NCMe ligand. In <sup>3</sup>[2a] the indole is on the opposite face to the NCMe, in <sup>3</sup>[2b] it is on the same face. Despite only a small conformational difference, the DFT calculations indicated a remarkable difference in energy. Complex <sup>3</sup>[2a] has an energy of −16 kJ mol<sup>−1</sup> relative to <sup>1</sup>[2a] whereas <sup>3</sup>[2b] is at −117 kJ mol<sup>−1</sup>. A further analysis of the calculations indicated this difference in energy is almost entirely due to the empirical dispersion correction. If this correction is not applied, then

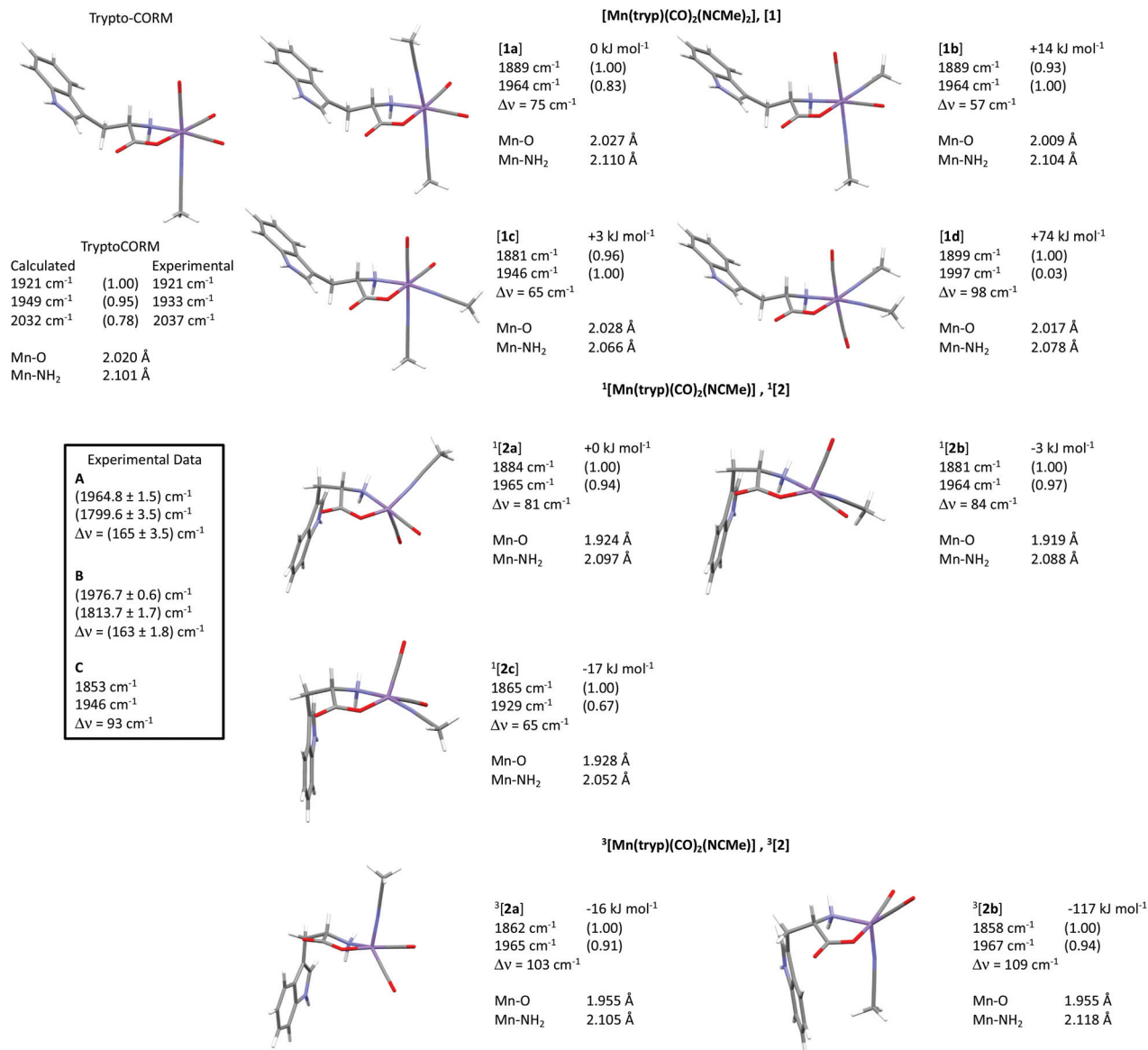
the relative energies of the two isomers are −18 kJ mol<sup>−1</sup> (<sup>3</sup>[2a]) and −8 kJ mol<sup>−1</sup> (<sup>3</sup>[2b]). This significant dispersion effect is assigned to an interaction between the methyl group of the NCMe ligand and the  $\pi$ -system of the indole which is present in <sup>3</sup>[2b], but not <sup>3</sup>[2a]. However, significant care must be taken in the interpretation of such an effect as the current model is only accounting for intramolecular interactions. It is highly likely that the indole in <sup>3</sup>[2a] (and indeed in all of the other structures examined that do not show this effect) is interacting with the NCMe solvent in a similar fashion. It is argued that the D3-correction is, in this instance, significantly over stabilising <sup>3</sup>[2b].

The calculated vibrational modes for the metal carbonyl groups at the BP86/SV(P) level for all the structures explored are presented in Fig. 11. The values have been scaled using the linear empirical relationship from our previous study on related manganese carbonyl complexes.<sup>52</sup> As shown in Fig. 11, using this method also give a good approximation to the experimental values of trypto-CORM.

### Structural assignment and mechanistic discussion

The TRIR data indicate that photolysis of trypto-CORM results in the process A → B → C. Focussing firstly on the identity of species C, the DFT-predicted bands for complex [1c] are the closest match to the experimentally determined values (Fig. 11). The predicted bands for [1a] and [1b] are similar, but are all at higher energy than [1c]. Across all the compounds investigated, the asymmetric stretching mode is predicted to occur at higher energy than the experiment, but for [1c] the symmetric stretch is a close match to experiment. Complex [1d] can be excluded as the two mutually *trans* carbonyl ligands would entail that the symmetric stretching mode would be at high energy with a very low intensity when compared to the experimental values.

The assignment of the short-lived species observed in the TRIR experiments, A and B, is more complex. As shown in Table 1, these species show unusually low frequency bands for the asymmetric stretch with a large difference in energy between the two bands,  $\Delta\nu$ . The fact that only two metal-carbonyl bands are observed for A and B supports an assignment to complexes containing two mutually *cis* carbonyl ligands. This



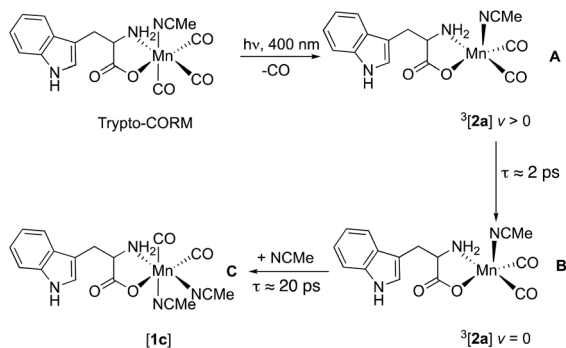
**Fig. 11** Calculated structures, scaled infra-red modes for the metal carbonyl stretches (with normalised predicted intensities), relative free energies at 298 K in kJ mol<sup>-1</sup> and Mn–O and Mn–NH<sub>2</sub> bond lengths, of trypto-CORM and isomers of [1], <sup>1</sup>[2] and <sup>3</sup>[2] at the D3-PBE0/def2-TZVPP/BP86/SV (P) level of theory. Manganese is shown in purple, carbon grey, hydrogen white, oxygen red and nitrogen blue. The relative energies of complexes [1] are relative to [1a], those for [2] relative to <sup>1</sup>[2a]. Δν refers to the difference in frequency between the two carbonyl modes.

indicates that the CO-loss event must occur in less than 3 ps: the data are not consistent with the formation of a tricarbonyl complex which then undergoes CO loss on a ps timescale to give C,¶ as is observed in chromium arene complexes.<sup>64</sup> An examination of the data in Fig. 11 reveals that only the two isomers of <sup>3</sup>[2] are predicted to have a large (>100 cm<sup>-1</sup>) value

of Δν. Complexes <sup>3</sup>[2] are also predicted to have one of the lowest energy bands for the asymmetric stretching mode. Therefore, states A and B are both assigned to <sup>3</sup>[2c]. One important spectroscopic feature of note is the extremely low frequency of the asymmetric stretch for both A and B. This is in a region more typical of bridging carbonyl ligands, however, the mass spectrum of trypto-CORM indicates that the complex is monomeric, precluding this assignment.

It is proposed that vibrational cooling is responsible for the process A → B. As the energy of the photon which results in photodissociation is greater than that needed to break the M–CO bond, <sup>3</sup>[2c] is generated in a vibrationally excited state (ν > 0).<sup>65</sup> Due to the anharmonic nature of the vibrational

¶ We are grateful to a referee who suggested the possibility that complexes A and B may be singlet excited states of trypto-CORM which then undergo CO loss to give dicarbonyl C. This possibility had been discounted on the basis that A and B exhibit two bands in the difference spectrum (Fig. 3). However, we cannot completely discount the presence of a weak feature obscured by the GSB for trypto-CORM.



**Scheme 1** Proposed mechanism for the photochemically induced loss of CO from trypto-CORM.

energy well, cooling to leads to a blue shift in energy of these modes, as observed for **A**  $\rightarrow$  **B**. Indeed, the difference in frequency between the bands in **A** and **B** (*ca.*  $14\text{ cm}^{-1}$ ) is consistent with the former being the  $v = 1 \rightarrow 2$  transition of  $^3[2c]$ , whereas the latter is  $v = 0 \rightarrow 1$ .<sup>66</sup>

A mechanistic picture is proposed, Scheme 1, in which the ultra-fast photodissociation of CO from trypto-CORM results in the formation of  $^3[2]$  in a vibrationally excited state (**A**) which undergoes cooling to  $v = 0$  to give **B**. A change in spin with coordination of the solvent gives **[1c]** (**C**). Our calculations indicate that the binding of the solvent to  $^3[2]$  would be unfavourable as attempts to calculate a triplet spin isomer of **[1]** were unsuccessful. As expected for a  $d^6$  18-electron octahedral complex,  $^3[1]$  is metal-ligand dissociative and the geometry optimisation resulted in loss of an NCMc ligand with the metal adopting a structure related to  $^3[2]$ . Hence solvent coordination is predicted to only occur to the single state. The lifetime for the formation of **C** (**[1c]**) is  $\approx 20$  ps and solvation of unsaturated  $d^6$  metal complexes by NCMc can occur in 1 ps,<sup>67</sup> hence the change in spin-state is proposed to be rate controlling. Similar behaviour has been reported for photochemically generated  $^3[\text{Fe}(\text{CO})_4]$  and  $^3[\text{Co}(\eta^5\text{-C}_5\text{H}_5)(\text{CO})]$ .<sup>68</sup> Recent studies on  $^3[\text{Mn}(\eta^5\text{-C}_5\text{H}_5)(\text{CO})_2]$  have indicated that the nature of the solvent effects the lifetime of the triplet state which may indicate a solvent-promoted change in spin as an additional mechanistic pathway.<sup>69</sup>

## Conclusions

Photolysis of trypto-CORM at 400 nm results in ultrafast CO dissociation and formation of  $^3[\text{Mn}(\text{trypt})(\text{CO})_2(\text{NCMe})]$  in a vibrationally hot state which then undergoes cooling. This triplet state subsequently forms a long-lived species, assigned to *all-cis*- $[\text{Mn}(\text{trypt})(\text{CO})_2(\text{NCMe})_2]$ , **[1c]**, with a lifetime of  $\approx 20$  ps. This species remains unchanged for the duration of the experiment (800  $\mu\text{s}$ ). Previous studies have demonstrated that trypto-CORM is capable of releasing between two and three molecules of CO<sup>38</sup> and these data indicate that any subsequent thermal loss of CO must be slower than 800  $\mu\text{s}$ .

An alternative pathway involving the light-induced loss of NCMc may also be considered. However, loss of the coordinated NCMc in the same solvent would, on re-coordination, regenerate trypto-CORM. If such a process is occurring then it must take place in under 3 ps as no evidence for a tricarbonyl photo-product,  $[\text{Mn}(\text{trypt})(\text{CO})_3]$ , was obtained in this study.

The experiments demonstrate that TRIR spectroscopy, coupled with DFT, can provide detailed information about the nature of the ultra-fast processes underpinning photochemical CO-release, including in model systems for an aqueous environment.

## Experimental

Trypto-CORM was prepared as described previously.<sup>38</sup> Time-resolved infra-red spectra were recorded on the LIFETIME instrument in the ULTRA facility at the Rutherford Appleton. Details of the experiment have been described previously,<sup>60</sup> however, in brief, the pump source was the output of a Yb: KGW amplifier providing 15 W, 260 fs pulses at 1030 nm with a 100 kHz repetition rate (Pharos). This was used to drive a BBO-based 515 nm pumped optical parametric amplifier (OPA) to deliver pulses at 400 nm. The pump beam was collimated, travelled over a computer programmable 0–16 ns optical delay (1200 mm long, double pass), and focused onto the sample. The pump energy at the sample was attenuated down to 500 nJ and focused down to a  $120 \times 120\text{ }\mu\text{m}^2$  spot. The probe source was the output of a Yb: KGW amplifier providing 6 W, 180 fs pulses at 1030 nm with a 100 kHz repetition rate (Pharos). This drove two 3 W BBO/KTA based OPAs. The two Pharos sources share a common 80 MHz oscillator to allow for pump–probe delay steps of 12.5 ns. The probe beam was split to provide probe and reference pulses. To go beyond pump–probe delays of 12.5 ns, subsequent seed pulses were selected from the 80 MHz oscillator. Data were collected using pump–probe delays ranging from 1 ps to 988.5  $\mu\text{s}$ . The probe beams were collimated, synchronised by a fixed optical delay, and focused by a gold parabolic mirror onto the sample. The three beams were overlapped on the sample using a 50  $\mu\text{m}$  pinhole. The probe beams were measured by two separate 128-element detectors. To cover the full spectroscopic window required, data from a number of different detector positions were combined to generate the required spectra.

For the experiments in NCMc, trypto-CORM *ca.* 30 mg was dissolved in 20 ml anhydrous NCMc and placed in a Duran flask. The experiments in DMSO/D<sub>2</sub>O were performed by dissolving *ca.* 13 mg of trypto-CORM in 2 ml DMSO before adding D<sub>2</sub>O to make the total volume 20 ml. The solutions were then flowed through a Harrick cell fitted with a 100  $\mu\text{m}$  Teflon spacer using a peristaltic pump for the duration of the experiment.

Data were initially visualised in the ULTRA View version 2 software,<sup>70</sup> where baseline-correction was undertaken. The resulting spectra were then exported as comma-separated values files into Origin 2019.<sup>71</sup> The spectra in the metal carbonyl region were calibrated against the GSB of an authentic

sample of trypto-CORM allowing for detector pixels to be allocated to specific frequencies. The region between 1860 and 1500  $\text{cm}^{-1}$  was calibrated against a 190  $\mu\text{m}$  polystyrene standard. Kinetic data were analysed using the *expdec* and *expgrowdec* functions in Origin 2019 and errors are presented as 95% confidence limits. Subtraction of the GSB from the difference spectrum in Fig. 5 was performed by scaling the difference spectrum and a spectrum of authentic trypto-CORM recorded in NCMe on an FTIR instrument to the peak at 2013  $\text{cm}^{-1}$ . The subtraction was then performed using the *Subtract Reference Data* feature in Origin 2019.

DFT calculations were performed using the TURBOMOLE V6.4 package using the resolution of identity (RI) approximation.<sup>72–78</sup> Initial optimisations were performed at the (RI)-BP86/SV(P) level, followed by frequency calculations at the same level. All minima were confirmed as such by the absence of imaginary frequencies. Single-point calculations on the (RI)-BP86/SV(P) optimised geometries were performed using the hybrid PBE0 functional and the flexible def2-TZVPP basis set. The (RI)-PBE0/def2-TZVPP SCF energies were corrected for their zero-point energies, thermal energies and entropies (obtained from the (RI)-BP86/SV(P)-level frequency calculations). Vibrational frequencies were scaled using the empirical relationship developed in our previous work [ $\nu_{\text{expt}} = (\nu_{\text{calc}} - 561.7)/0.7369$ ].<sup>52</sup> No symmetry constraints were applied during optimisations. Triplet states were optimised using a spin unrestricted formalism. Solvent corrections were applied with the COSMO<sup>62</sup> dielectric continuum model and dispersion effects modelled with Grimme's D3 method.<sup>79,80</sup> Energies, xyz coordinates and the first 50 lines of the vibrational spectra are presented in the electronic ESI.†

Additional TD-DFT calculations were performed using the Gaussian 16 Rev. A.03 Win64<sup>81</sup> at the PBE0/DGDZVP/def2tzv level, with NCMe as the implicit solvent using CPCM. The first 10 states calculated are collated in the ESI.†

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

We are grateful to the EPSRC (studentship to B. J. A., EP/M506680/1 an iCASE award with Syngenta, EP/N509413/1 studentship to L. A. H. and for the computational equipment used in this study, grants EP/H011455/1 and EP/K031589/1) the STFC (programme access to the ULTRA facility grant 1813) and the University of York (studentship to J. B. E.) for funding.

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