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# Hydrophobic ion pairing: encapsulating small molecules, peptides, and proteins into nanocarriers

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Hydrophobic ion pairing has emerged as a method to modulate the solubility of charged hydrophilic molecules ranging in class from small molecules to large enzymes. Charged hydrophilic molecules are ionically paired with oppositely-charged molecules that include hydrophobic moieties; the resulting uncharged complex is water-insoluble and will precipitate in aqueous media. Here we review one of the most prominent applications of hydrophobic ion pairing: efficient encapsulation of charged hydrophilic molecules into nano-scale delivery vehicles – nanoparticles or nanocarriers. Hydrophobic complexes are formed and then encapsulated using techniques developed for poorly-water-soluble therapeutics. With this approach, researchers have reported encapsulation efficiencies up to 100% and drug loadings up to 30%. This review covers the fundamentals of hydrophobic ion pairing, including nomenclature, drug eligibility for the technique, commonly-used counterions, and drug release of encapsulated ion paired complexes. We then focus on nanoformulation techniques used in concert with hydrophobic ion pairing and note strengths and weaknesses specific to each. The penultimate section bridges hydrophobic ion pairing with the related fields of polyelectrolyte coacervation and polyelectrolyte-surfactant complexation. We then discuss the state of the art and anticipated future challenges. The review ends with comprehensive tables of reported hydrophobic ion pairing and encapsulation from the literature.

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## 1. Introduction, overview, and terminology

In the twenty years since Meyer and Manning's classic 1998 review,<sup>1</sup> hydrophobic ion pairing (HIP) has gained prominence as a useful strategy for making charged hydrophilic molecules into hydrophobic complexes. The technique has a number of applications and has been used, among others, to dissolve molecules in supercritical CO<sub>2</sub>,<sup>2</sup> dissolve enzymes in organic solvents without losing activity,<sup>3</sup> improve intestinal adsorption<sup>4–6</sup> or skin permeation,<sup>7,8</sup> or otherwise enhance bioavailability.<sup>9</sup>

This review will focus on one of the most prevalent uses of hydrophobic ion pairing: the complexation and encapsulation of charged hydrophilic small molecule, peptide, or protein therapeutics into drug delivery vehicles. The first section summarizes the general rules for hydrophobic ion pairing. We discuss drug eligibility and class-specific considerations, review commonly-used counterions, and outline key parameters such as counterion pK<sub>a</sub>. The third section focuses on formulation techniques that have been used to encapsulate hydrophobic complexes into nanoparticles, microparticles, and emulsions for drug delivery. The fourth section discusses how ion paired drug payloads are released from their delivery vehicles. The fifth

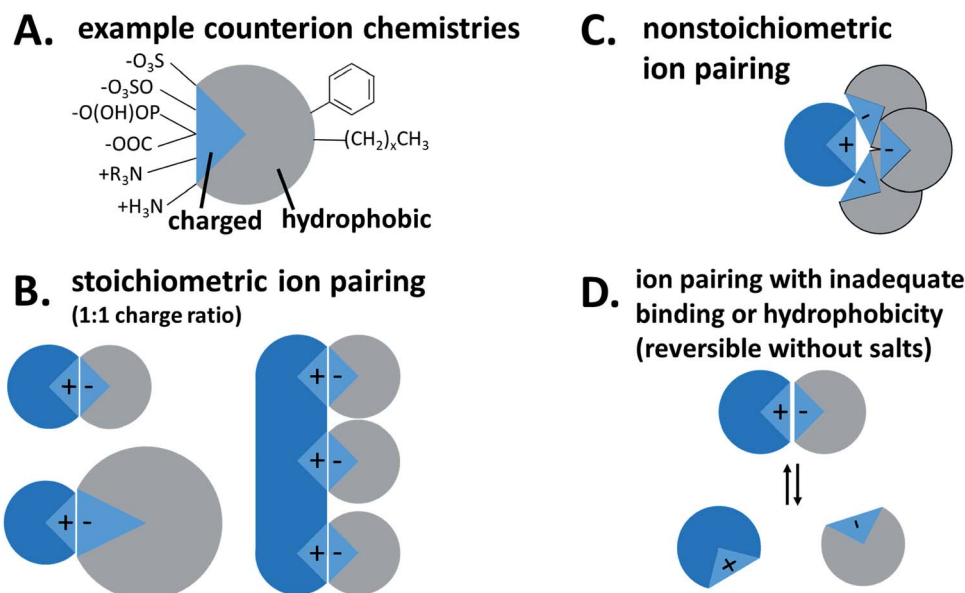
section bridges the HIP technique with polyelectrolyte-polyelectrolyte complexation ('coacervation') and polyelectrolyte-surfactant complexation, related fields that have remained largely unconnected from the hydrophobic ion pairing literature. We do not review another related field, nucleotide complexation with cationic lipids to form lipoplexes or solid lipid nanoparticles, but provide references to a number of excellent reviews. At the end of the article we present tables to organize the reported results of hydrophobic ion pairing used for encapsulation. The tables are sorted by both therapeutic and counterion for easy reference and rapid comparison (Fig. 1).

Hydrophobic ion pairing is the process of forming ionic interactions<sup>10</sup> between a charged hydrophilic molecule with an oppositely-charged counterion.<sup>1</sup> The counterion contains at least one hydrophobic domain such as an alkyl tail or aromatic ring. The complexation increases hydrophobicity by two main mechanisms: first, the molecule's natural charge is masked, mitigating solubility in polar solvents such as water. Second, the hydrophobic groups on the counterion, typically nonpolar aliphatic tails or aromatic groups, help to coat the original molecule's surface area with hydrophobic domains that exclude water.

For our purposes, the charged hydrophilic is a drug or dye and may be referred to as an 'active pharmaceutical ingredient (API)' or 'therapeutic.' The counterion is referred to in the literature as a 'hydrophobic counterion,' 'ion pair(ing agent) (IP),' or 'salt former.' Due to their amphiphilic chemical nature,

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**Fig. 1** Hydrophobic ion pairing schematic. (A) Possible charged groups (left) and hydrophobic moieties (right) for a counterion. (B) Stoichiometric ion pairing between a cationic API (blue) and anionic counterion. (C) Non-stoichiometric ion pairing. (D) Reversible ion pairing due to inadequate binding or hydrophobicity.

many hydrophobic counterions used are surfactants, so the term 'surfactant' may be used as well. The act of forming an ionic association between the two species is termed either 'hydrophobic ion pairing' or 'ionic complexation,' and the resulting paired species is a 'hydrophobic complex' or 'HIP complex.' We will discuss later why we do not use the term 'salt.'

Another important piece of terminology is the stoichiometry between the two species. In the HIP literature, there is no standard convention for reporting the ratio of hydrophilic therapeutic to counterion. Molar ratio (reported either as a ratio of  $x:y$  or as a fraction), mass ratio, charge ratio, and N/P ratio – *i.e.* ratio of positive to negative charges, usually reported as a fraction, from the lipoplex literature – have all been used. Consider a 1300 Da peptide with five cationic groups that is paired with five molecules of a monovalent counterion of molecular weight 280 Da (Fig. 2A). Reporting ratios as drug-counterion, this complex has a molar ratio of 1 : 5 or 0.2, a mass ratio of 0.93, a charge ratio of 1 : 1, and an N/P ratio of 1. Charge and molar ratios are the most intuitive of these, and the  $x : y$  ratio nomenclature is more intuitive than fractions.

We recommend that future researchers in the field use *charge ratios* and report the ratio as 'drug : counterion' rather than as a fraction. Charge ratio is a useful and intuitive parameter in HIP, and should be reported whenever possible. Both molecules' degrees of ionisation may vary with pH; when possible, the charge ratio should be reported at the pH of the complexation.<sup>11</sup> When describing the charge ratio of a system where one molecule is zwitterionic, researchers should note whether their reported charge ratio is based on the molecule's *net* charge or charge of *only one type*. We recommend the latter, but this is not always possible for large proteins, where only net charge can readily be determined. Consider the example above; if the peptide had one anionic group in addition to five cationic

groups, the charge ratio of peptide cations (5) to counterion anions (5) is still 1 : 1 (Fig. 2B). The peptide : counterion charge ratio calculated from the peptide's *net* charge of  $(5-1)=4$ , though, is 4 : 5 or 1 : 1.25, suggesting an excess of counterions when none actually exists. Reporting the molar ratio along with the charge ratio should clarify this point, provided an accurate counting of what charged groups exist on each species is included. In this review, we have converted reported stoichiometries into charge ratios to facilitate comparisons.

## 2. Hydrophobic ion pairing

Hydrophobic ion pairing is an attractive technique for encapsulating water-soluble therapeutics using formulation strategies optimized for water-insoluble drugs. These strategies are desirable because new strategies to encapsulate hydrophilic molecules in nano-scale delivery vehicles remain challenging.<sup>12</sup> Low drug loadings, poor encapsulation efficiencies, and a lack of scalability continue to prevent many liposome and nanoparticle formulations of biologic therapeutics from reaching the market.<sup>12</sup> The potential benefits of encapsulation – targeting, protection from enzymatic degradation, improved circulation time, enhanced bioavailability, controlled release, reduced toxicity, and overall improved drug performance – are strong driving motivations to develop scalable, highly-loaded formulations with high encapsulation efficiencies.<sup>13,14</sup> This is particularly attractive for biologic (peptide and protein) therapeutics, whose circulation time unprotected in the blood may be as low as minutes.<sup>12</sup>

Nanoparticle formulation strategies for hydrophobic drugs have been developed to address the growing number of new, strongly hydrophobic therapeutics.<sup>15,16</sup> These techniques – oil-in-water emulsions, nanoprecipitation, solid lipid nanoparticles, *etc.* – are designed to take advantage of a drug's



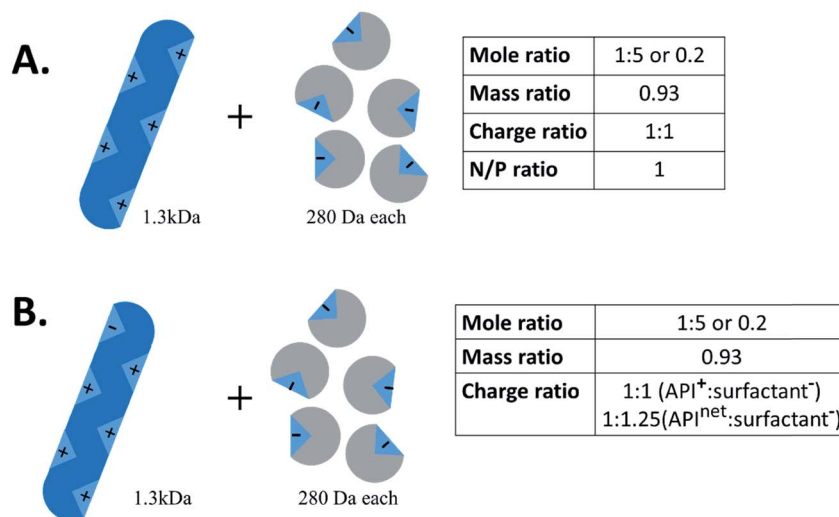


Fig. 2 Example schematic of hydrophobic ion pairing between a 1.3 kDa peptide and 280 Da anionic surfactants. When reporting charge ratio, it is helpful to specify if the value given is based on the API's net charge (typical for proteins) or total number of one kind of charge.

hydrophobicity/lipophilicity. They do not translate easily to the encapsulation of hydrophilic therapeutics.<sup>17</sup> HIP solves this problem by temporarily modifying the therapeutics to increase their hydrophobicity and allow encapsulation. When the modification is undone, the original hydrophilic therapeutic is regenerated.<sup>1</sup> We will discuss ways of controlling dissociation to tune release elsewhere in the review. In many reported cases, the de-complexed released therapeutic remains fully active; this has been shown even for large proteins with tertiary structure-dependent activity.<sup>18</sup>

Modifying a drug's solubility profile to make it more hydrophobic for encapsulation is also the goal of some prodrug strategies;<sup>19</sup> both techniques temporarily add hydrophobic groups to a hydrophilic molecule.<sup>20</sup> Unlike prodrug approaches, HIP does not modify any covalent bonds on the original API. This is important from a regulatory standpoint: prodrugs require full FDA approval but the requirements for hydrophobic ion pairs may not be as stringent, depending on the other changes made to the formulation.<sup>21</sup>

## 2.1 Thermodynamics

**Mechanisms of solubilisation in aqueous solution.** Why are molecules soluble or insoluble in aqueous solutions? Understanding the fundamental mechanisms of solubilization helps understand the principles behind HIP. The solubility or phase behaviour of a species is determined by entropy and enthalpy. Entropy is the state of disorder in a system and is determined by the statistical number of configurations a system can attain. For small molecules, that entropy is determined by the concentration of the solute in the solvent. The entropic contribution to the chemical potential for a dilute solute in an ideal system is  $\mu_i^{\text{ideal}} = \mu_{i0} + kT \ln x_i$ , where  $\mu_i$  is the chemical potential ( $\mu_{i0}$  is the chemical potential of the pure species) and  $x_i$  is the molar fraction of the solute. It can be seen that entropy always favours dissolution, *i.e.* increasing the degrees of freedom in the system is favoured.

There is some subtlety with water as the aqueous solvent, since the hydrogen bonding interactions between water molecules adds an entropy contribution to the water solvent itself.<sup>22</sup> That entropic contribution determines observations such as the Hofmeister series, where the specific salt cations and anions influence solubility.<sup>23</sup> For this review, we will ignore this effect, since the concept of counter ion binding and precipitation does not require a detailed understanding of water structure.

Water is a unique solvent and is the strongest of the hydrogen bonding fluids. The polarity of the water molecule gives water a high dielectric constant:  $\epsilon = 80$ . This is in contrast to the dielectric constant of a hydrophobic oil phase (*e.g.* dodecane), which will have  $\epsilon = 2$ . The dielectric constant determines the strength of electrostatic interactions between elementary charges. The interaction energy between a positive and negative charge in solution is  $F = \frac{k}{\epsilon} \frac{q_1 q_2}{r^2}$ . As the dielectric constant increases, therefore, the force holding ions together decreases. Hydrophobic ion pairs stay insoluble in part because they usually include large nonpolar groups that exclude water from fully solvating the ionic-ionic interaction sites. The hydrophobic ion paired precipitate or core of a NC has a low dielectric constant, which magnifies the strength of the electrostatic attractions. This same concept arises in the protein literature, where the interactions between anionic and cationic peptides in the hydrophobic core of a globular protein enhance its stability. However, the same residues on the surface of a protein would enhance its water solubility. It often remains unclear if any water remains associated with the pair in a nanoparticle core; the best data addressing this question comes from studies of ionomers.<sup>24–27</sup>

## 2.2 Eligibility for hydrophobic ion pairing and commonly-used counterions

**Eligibility for hydrophobic ion pairing.** For a therapeutic molecule to be eligible for HIP, it must contain at least one charged group. Many antibiotics contain amine groups that are



positively charged at physiological pH and can be used for this purpose. Anionic carboxylic acid groups are also commonly used as pairing sites in HIP. Molecules with a strong net charge or only one type of charge are the most straightforward to complex, since a single counterion species can be used. Zwitterionic molecules with both anionic and cationic charges present a more complicated challenge; here, shifting the solution pH to turn off one type of charge can be an effective strategy. This is presented in more detail below. Another consideration for HIP eligibility is the molecule's charge density (charge per molecular weight). Intuitively, adding a single hydrophobic counterion onto a small molecule that has a single charge and molecular weight approximately 300 Da will increase hydrophobicity more than adding the same single counterion to a peptide with one charge and a molecular weight of 3000 Da, *i.e.* the charge per surface area is lower on the larger molecule. Depending on the desired hydrophobicity of the final complex, a given charged molecule may have too low of a charge density, such that adding a hydrophobic counterion will not sufficiently increase hydrophobicity to affect precipitation.

We typically do not use or recommend using the term 'salt' to describe the complexes formed by hydrophobic ion pairing, because 'salts' are commonly understood to refer to crystalline assemblies of stoichiometric amounts of oppositely-charged ions. HIP complexes may be less crystalline than the original drug used,<sup>28–30</sup> and non-stoichiometric charge ratios are common.

We pause here to briefly address the field of nucleic acid encapsulation and delivery. Nucleic acids – plasmid DNA, linear DNA, siRNA, mRNA, *etc.* – have been packaged into solid lipid nanoparticles (SLNs) or lipoplexes through ionic complexation between cationic lipids and the nucleic acid's anionic phosphate backbone. This strategy shares a number of similarities with hydrophobic ion pairing, with a few notable exceptions. The most significant is that the regular charge along the phosphate backbone gives nucleic acids a strong, uniform charge density along the molecule. This is different from the small molecule, peptide and protein therapeutics discussed here, which often have less ordered regions of hydrophobicity and hydrophilicity/charge. For the reader familiar with HIP but not SLNs/lipoplexes, we recommend a number of reviews.<sup>31–37</sup>

**Common counterions.** Because the counterions used for hydrophobic ion pairing should contain at least one charged group and at least one hydrophobic domain, ionic surfactants are common. These may be either anionic or cationic and typically contain either one or two charged groups. Fatty acids or other carboxylic acid-containing surfactants such as oleic acid, stearic acid, or deoxycholic acid, or their sodium salts, have been extensively used. Pamoic acid, which has two carboxylic acid groups, has been effective in cases where fatty acids were not.<sup>38</sup> Sulfates are also popular, most frequently sodium dodecyl sulfate and sodium docusate. Two-tailed phospholipids such as dimyristoyl phosphatidyl glycerol have been used as well. Anionic polymers such as dextran sulfate have also been investigated, most frequently for complexation with multivalent peptide or protein therapeutics. Though HIP language has been used to describe this kind of polyelectrolyte

complexation, the mechanisms differ in important ways. That distinction is the subject of Section 5 of this review (Table 1).

The most common cations in the HIP literature are quaternary amines and alkylamines (see Table 2). Quaternary amines are permanently charged, so complexation is possible over a wider range of pH values than primary, secondary, or tertiary amines. The permanent charge is usually cytotoxic, and using quaternary amines adds toxicity to otherwise nontoxic formulations.<sup>39</sup> A wide variety of quaternary amines is commercially available, with varying lengths and numbers of alkyl tails that lead to an easily tuneable range of hydrophobicities.<sup>40</sup> Researchers have recently reported efforts to synthesize arginine-based cationic surfactants for HIP, which should be both biodegradable and non-cytotoxic.<sup>41</sup>

### Specific considerations by drug molecule class

**Small molecules.** Many small molecule drugs have only one ionic group. Depending on the  $pK_a$  of the ionic group and the drug's solubility, HIP is relatively straightforward and can be carried out in water. In a typical 'pre-forming' scenario for hydrophilic small molecules, the drug and counterion are each dissolved in water and mixed to form a precipitate.<sup>11,42</sup> It is worth noting that small molecules with ionizable groups may be manufactured either as a salt or in the free acid/base form. The free acid/base is usually less soluble in water than the salt, but might not be hydrophobic enough for a desired encapsulation strategy.<sup>43</sup> Since species must be charged in order to ion pair, salt forms of the drug and hydrophobic counterion may be preferred. When the drug is manufactured in the free acid/base form, conversion to a readily-dissociating salt form (*e.g.* mesylate, ammonium, or sodium) before HIP may assist complexation. A drawback of this approach is that it increases the solution's overall ionic strength, which can drive decomplexation and drug release from a delivery vehicle by ion exchange.<sup>38,44,45</sup> Researchers should examine the effect of ionic strength on their specific systems to determine if one charge equivalent of soluble counterions such as sodium or ammonium will noticeably affect release.

Some ionic small molecule drugs such as lumefantrine (for structure, see Table 3) are already hydrophobic, so it is not possible to form an aqueous solution as the starting point for HIP. Hydrophobic ion pairing an already-hydrophobic drug can be useful – for example, to decrease drug crystallinity<sup>30,46,47</sup> – but the complex formation is more challenging. Lumefantrine's tertiary amine has a  $pK_a$  of 8.7, but the drug's log  $P$  of 9.2 severely limits its ability to dissolve, and the amine to become charged, in water.<sup>48</sup> Dissolving lumefantrine free base in a nonpolar solvent such as tetrahydrofuran guarantees dissolution, but the extent of the amine's charge is more difficult to control and measure in a non-aqueous environment. As mentioned above, conversion to a salt form before complexation may be useful (Table 4).

**Peptides.** Many antibiotic peptides such as nisin and colistin (for structure, see Table 3) are cationic and strongly water soluble, with log  $P$  values less than 0. Basic amino acid residues in the peptide (lysine, histidine, arginine) are positively charged at physiological or acidic pH and are sites for ion pairing. Some cationic peptide drugs are manufactured as sulfate salts that



Table 1 Example anionic counterions used in hydrophobic ion pairing

Name	Structure	MW, Da	pK <sub>a</sub>	log <i>P</i>	Used to pair with
1-Hydroxy-2-naphthoic acid (xinafoic acid)		188.2	3.02	2.6	AZD2811 (ref. 38 and 89)
2-Naphthalene sulfonic acid (NSA)		208.2	−1.8	2.14	Atazanavir <sup>28</sup>
Brilliant blue FCF		792.8	5.83 and 6.58	−1.45	Atenolol <sup>155</sup>
Carboxy methyl polyethylene glycol (CM-PEG)		PEG length not given			Bovine serum albumin <sup>56</sup> Lysozyme <sup>56</sup> r-met-HuGdNF <sup>56</sup>
Cholesteryl hemisuccinate		486.7	5.8	8.5	Colistin <sup>156</sup> Doxorubicin <sup>112</sup>
Cholic acid (sodium cholate)		408.6	4.98	2.02	AZD2811 (ref. 38 and 89) Bovine serum albumin <sup>56</sup> Lysozyme <sup>56</sup> r-met-HuGdNF <sup>56</sup> Insulin <sup>157</sup>
Decanoic acid (sodium decanoate/sodium caprate)		194.3	4.9	4.09	Octreotide <sup>9,96</sup>
Dimyristoyl phosphatidyl glycerol (DMPG)		666.9	1.89	9.2	Insulin <sup>42</sup> Salmon calcitonin <sup>85</sup>
Dioleoyl phosphatidic acid (DOPA)		701	1.3	13.2	Doxorubicin <sup>71</sup> Gefitinib <sup>30</sup>
Docosahexaenoic acid		328.5	4.89	6.75	Doxorubicin <sup>70</sup>
Hexadecylphosphate		320.4		6.38	Doxorubicin <sup>158</sup> Thymopentin <sup>159</sup> Tobramycin <sup>160</sup>
Linoleic acid		280.5	4.77	6.8	Vancomycin <sup>64</sup>
N,N-Dipalmitoyl-L-lysine					Colistin <sup>156</sup>





Table 1 (Contd.)

Name	Structure	MW, Da	pK <sub>a</sub>	log P	Used to pair with
Oleic acid (sodium oleate also used)		282.5	5	6.78	AZD2811 (ref. 38 and 89) Berberine <sup>161</sup> Desmopressin <sup>77,86</sup> Dorzolamide <sup>81</sup> Doxorubicin <sup>106,121</sup> Insulin <sup>86,102,162</sup> Leuprolide <sup>86,94,101</sup> Lumefantrine <sup>48</sup> Lycobetaine <sup>163</sup> Lysozyme <sup>57,58</sup> Octreotide <sup>96</sup> OZ439 (ref. 43 and 154) Polymyxin B <sup>78</sup> Salmon calcitonin <sup>85</sup> Vincristine <sup>164</sup>
Pamoic acid (disodium pamoate also used)		388.4	2.68	6.17	AZD2811 (ref. 38) Bovine serum albumin <sup>165</sup> Cinnarizine <sup>47</sup> Clozapine <sup>47</sup> Donepezil <sup>166</sup> Insulin <sup>165</sup> Leuprolide <sup>165</sup> Polymyxin B <sup>78</sup> Doxorubicin <sup>61</sup> Propanolol <sup>61</sup> Quinidine sulfate <sup>61</sup> Verapamil <sup>61</sup>
Sodium acetate		82	4.7	−0.2	
Sodium cholesteryl sulfate		466.3	3.13	4.2	Colistin <sup>156</sup>
Sodium decanesulfonate (SDS)		244.3		3.75	Doxorubicin <sup>116</sup>
Sodium deoxycholate		392.6	4.65	3.8	AZD2811 (ref. 38) Bovine serum albumin <sup>165</sup> Ciprofloxacin <sup>88</sup> Insulin <sup>80,93,165</sup> Lanreotide <sup>98</sup> Leuprolide <sup>165</sup> Mitoxantrone diHCl <sup>79</sup> Octreotide <sup>9,96</sup> Papain <sup>97</sup> Salmon calcitonin <sup>85</sup> α-Chymotrypsin <sup>167</sup> Atazanavir <sup>28</sup> AZD2811 (ref. 38 and 89) Bevacizumab <sup>168</sup> Bovine serum albumin <sup>56,169</sup> Cisplatin <sup>83</sup> Concanavalin A <sup>167</sup> Desmopressin <sup>45,77,86,170</sup> Doxorubicin <sup>116</sup> Gentamycin <sup>82,117–119,171</sup> Irinotecan <sup>29</sup> Lanreotide <sup>98</sup> Leuprolide <sup>45,84,86,110,172</sup> Lysozyme <sup>56</sup> Minocycline <sup>173</sup>
Sodium docusate (AOT, sodium dioctyl sulfosuccinic acid, sodium bis-2-ethylhexyl-sulfosuccinate)		444.6	−0.75	5.2	



Table 1 (Contd.)

Name	Structure	MW, Da	pK <sub>a</sub>	log P	Used to pair with
					Mtb8.4 (ref. 174) Naloxone <sup>117</sup> Naltrexone <sup>117</sup> Octreotide <sup>9</sup> r-met-HuGdNF <sup>56</sup> Tobramycin <sup>175</sup> Trypsin <sup>167</sup> Vancomycin <sup>176</sup>
Sodium dodecyl benzenesulfonate (SDBS)		348.5	−1.7	3.73	Polymyxin B <sup>78</sup>
Sodium dodecyl sulfate (sodium lauryl sulfate)		288.4	−1.5	1.6	Bovine serum albumin <sup>56</sup> Desmopressin <sup>77,86</sup> Dorzolamide <sup>81</sup> IGG-Fab fragment <sup>90</sup> Insulin <sup>49,58,84,86,111,177,178</sup> Irinotecan <sup>29</sup> Leuprolide <sup>11,84,86</sup> Lysozyme <sup>18,56,57</sup> Melittin <sup>109</sup> Octreotide <sup>92,96</sup> Polymyxin B <sup>78</sup> r-met-HuGdNF <sup>56</sup>
Sodium laurate (sodium dodecanoate)		222.3	4.95	5.3	Bovine serum albumin <sup>165</sup> Insulin <sup>165</sup> Leuprolide <sup>165</sup>
Sodium n-octadecyl sulfate (sodium stearyl sulfate)		372.5		6.8	Desmopressin <sup>77</sup> Lanreotide <sup>98</sup>
Sodium stearate (stearic acid also used)		306.5	4.7	8.23	Desmopressin <sup>77</sup> Doxorubicin <sup>61</sup> Propanolol <sup>61</sup> Quinidine sulfate <sup>61</sup> Verapamil <sup>61</sup>
Sodium stearyl glutamate (SSG)		435.6		6.3	Bovine serum albumin <sup>165</sup> Insulin <sup>165</sup> Leuprolide <sup>165</sup>
Sodium taurodeoxycholate (STDC)		499.7	−0.94	4.5	Doxorubicin <sup>72,95,116</sup> Idarubicin <sup>95</sup>
Sodium tetradecyl sulfate		316.4	−1.1	5.04	Doxorubicin <sup>95</sup> Idarubicin <sup>95</sup>
Sodium tripolyphosphate		367.9	0.89	−1.9	Irinotecan <sup>29</sup>



Table 1 (Contd.)

Name	Structure	MW, Da	pK <sub>a</sub>	log <i>P</i>	Used to pair with
Taurocholic acid (sodium taurocholate also used)		515.7	1.4	0.79	Bovine serum albumin <sup>56</sup> Lanreotide <sup>98</sup> Lysozyme <sup>56</sup> r-met-HuGdNF <sup>56</sup> IGG-Fab fragment <sup>90</sup>
Vitamin E (α-tocopherol) succinate		530.8	4	10.2	Doxorubicin <sup>105</sup>

dissociate readily in water and do not have the same solubility and ionization challenges as hydrophobic small molecules. For peptides with only cationic charges such as polymyxin B, aqueous complexation with anionic surfactants is straightforward. Zwitterionic peptides are more challenging, however. If a peptide contains both cationic and anionic groups, it is possible that complexing only the cationic sites and leaving anionic sites charged and exposed (or *vice versa*) will impart sufficient hydrophobicity for the desired application. This is especially true when one kind of charged site significantly outnumbers the other, as in the case of a COOH-terminated peptide with five cationic sites. Complexing five out of the six charged sites with hydrophobic counterions may reduce water solubility enough to enable encapsulation.

When there are approximately the same number of cationic and anionic sites on a zwitterionic peptide, though, complexing only one charge may not be sufficient. It is preferable to use only one counterion species to complex a molecule, rather than adding both anionic and cationic hydrophobic counterions (which will invariably pair with each other and precipitate, complicating stoichiometry and adding difficult-to-separate insoluble salts to the system) in an attempt to complex every charged site. In this case, shifting the pH to turn off one type of charge is a valid approach. Consider insulin, a 5.8 kDa peptide with 51 residues, 6 of which are cationic and 6 anionic. Insulin has no net charge at its isoelectric point at pH 5.3. Researchers have reported shifting the solution pH either up or down from 5.3 to deprotonate insulin's basic residues or protonate its acidic residues, respectively.<sup>42,49–53</sup> With only one type of charge, the peptide can then be hydrophobically ion paired (Fig. 3).

Researchers should consider several factors when using a pH shifting strategy. First, peptides are subject to degradation under basic conditions, so shifting the pH to strongly acidic is likely preferable.<sup>54</sup> Second, the complexing counterions are subject to protonation or deprotonation under extreme pH conditions as well. Insulin has only cationic charges at pH 1.5, but an anionic fatty acid counterion such as oleic acid (pK<sub>a</sub> ~ 5) will be protonated under those conditions too. A much more acidic counterion such as sodium dodecyl sulfate (pK<sub>a</sub> ~ 1.5) or sodium docusate (pK<sub>a</sub> ~ 0.75) must be used. These sulfate surfactants are less biocompatible than fatty acids, in part because of this difference in pK<sub>a</sub>. The same considerations

apply when shifting the pH to basic. Quaternary amines may be the only groups to reliably retain their cationic charge at a high pH, but using these cytotoxic surfactants to complex an anionic peptide presents its own challenges.

**Proteins.** Protein therapeutics are commonly zwitterionic, and all the considerations of net charge, ratio of basic to acidic residues, pI, and pH shifting that apply to zwitterionic peptides also apply to proteins. An additional complication when complexing proteins is their sensitivity to denaturation. Some surfactants such as sodium dodecyl sulfate disrupt tertiary structure and cause proteins to denature.<sup>55</sup> Using 'gentler' surfactants such as fatty acids may cause less degradation, but might also prevent the pH shifting approaches discussed above.

A popular model protein for hydrophobic ion pairing and encapsulation is lysozyme, which is cationic at physiological pH.<sup>18,56–59</sup> Lysozyme's enzymatic activity can be easily measured *via* a cell lysis assay; therefore, testing whether or not the protein was denatured during complexation, encapsulation, and release is straightforward. Devrim *et al.* found that even when using sodium dodecyl sulfate as an ion pairing agent, released lysozyme retained over 80% of its enzymatic activity.<sup>18</sup> Yoo *et al.* reported that the enzyme was more stable in DMSO when ion paired using SDS or oleate, and postulated that HIP complexation could help stabilize a protein's tertiary structure.<sup>57</sup> Notably, lysozyme tends to refold into its native active form, so not all techniques that claim to 'retain' the protein's activity will do so for all enzymes.

### 2.3 Key parameters for hydrophobic ion pairing

The following section is intended to guide the reader in choosing an effective hydrophobic counterion for a given encapsulation and/or delivery system. It is important to note that the goals for a given delivery system – *e.g.* drug chemistry, drug loading, encapsulation technique, biological target, release profile, *etc.* – are the most important factors when choosing a suitable counterion. This section will overview how parameters such as drug : counterion charge ratio and counterion chemistry affect those goals.

**Counterion chemistry: hydrophobicity.** The log *P*, the logarithm of the octanol–water partition coefficient, is a typical measure of hydrophobicity that is convenient for HIP. For





Table 2 Example cationic counterions used in hydrophobic ion pairing

Name	Structure	Mol. wt.	pK <sub>a</sub>	log P	Paired with
Arginine-hexadecanoyl ester (AHE)		398.6		0.19	Daptomycin <sup>41</sup> Heparin <sup>41</sup>
Arginine-nonyl ester (ANE)		300.5		−0.06	Daptomycin <sup>41</sup> Heparin <sup>41</sup>
Benethamine( <i>N</i> -benzyl-2-phenylethanamine)		211.3		3.6	Retinoic acid <sup>65,108,115</sup>
Chitosan		Varies			Insulin <sup>53</sup>
Dodecylamine (laurylamine)		185.3	10.6	5.2	Retinoic acid <sup>146,107,114</sup>
Hexadecyl trimethylammonium(cetrimonium) bromide (CTAB)		364.5	—	2.69	Ovalbumin <sup>39</sup> Pemetrexed <sup>103</sup> Poly(I:C) <sup>39</sup>
Maprotiline		277.4	10.5	5.1	Retinoic acid <sup>115</sup>
<i>N</i> <sup>α</sup> -Deoxycholyl-L-lysyl-methylester		534.8		3.8	Pemetrexed <sup>4</sup>
<i>N,N'</i> -Dibenzyl ethylenediamine(benzathine)		240.3		2.86	α-Lipoic acid <sup>47</sup>
<i>N,N</i> -Dimethyl dodecylamine (DDA)		213.4	9.97	5.91	Am80 (ref. 40)
<i>N,N</i> -Dimethyl hexylamine		129.2	10.4	2.72	Am80 (ref. 40)
<i>N,N</i> -Dimethyl octadecylamine(dimethyl stearamine)		297.6		8.8	Am80 (ref. 40)
Stearylamine(octadecylamine)		269.5	10.7	7.7	Retinoic acid <sup>146,65,107,114</sup>
Tetrabutyl ammonium bromide (TBAB)		322.4	—	2.1	Bromothymol blue <sup>66</sup> Rose bengal <sup>66</sup>
Tetraheptyl ammonium bromide (THA)		490.7	—	8.16	Isoniazid methanesulfonate <sup>179</sup>
Tetrahexyl ammonium bromide		434.6	—	6.16	Bromothymol blue <sup>66</sup> Rose bengal <sup>66</sup>



Table 2 (Contd.)

Name	Structure	Mol. wt.	pK <sub>a</sub>	log P	Paired with
Tetraoctyl ammonium bromide (TOAB)		546.7	—	9.16	Bromothymol blue <sup>66</sup> Rose bengal <sup>66</sup>
Tetrapentyl ammonium bromide (TPA)		378.5	—	4.14	Isoniazid methanesulfonate <sup>179</sup>
Triethylamine (TEA)		101.2	10.8	1.65	Retinoic acid <sup>146,65,107,114</sup>

a given charged head group, the longer or more saturated an alkyl tail, or the more alkyl tails, the higher the log *P*. Stearic acid (lipid number 18 : 0), for example, has a higher log *P* than both capric acid (lipid number 10 : 0) and oleic acid (lipid number 18 : 1). Quaternary amines also follow this trend, though their alkyl tails are fully saturated. Dimethyl dihexadecyl ammonium bromide (two methyl tails and two C<sub>16</sub> tails) is more hydrophobic than CTAB (three methyl tails and one C<sub>16</sub> tail), and tetraheptyl ammonium bromide (four C<sub>7</sub> tails) is more hydrophobic than tetrabutyl ammonium bromide (four C<sub>4</sub> tails). Note that log *P* values for a free acid/base or an ionized surfactant may be different when reported from measurements or calculations. In general, the higher the log *P* of the counterion used, the higher the log *P* of the resulting complex.<sup>40,60</sup>

The most hydrophobic counterion is not always the best to use. Increasing alkyl tail length or number of tails increases molecular weight, meaning the final complex will have a lower mass fraction of drug. This drives down drug loading in a delivery vehicle, all else (charge ratio, encapsulation efficiency, *etc.*) being equal. Availability and cost are another factor, since not all fatty acids or quaternary amines are commercially available at high purity and low cost. Solubility limitations are discussed in the following paragraph. Finally, comparing log *P* values among fatty acids is straightforward, but it is difficult *a priori* to compare the effect of a fatty acid *vs.* a bile acid or other carboxylic acid surfactant (*e.g.* oleic acid *vs.* pamoic acid, which is divalent) on complexation.

Extremely hydrophobic counterions, particularly those with protonated (free acid) carboxylic head groups, are difficult to dissolve in water for ion pairing. For the pairing to be effective, care should be taken to ensure that both species are dissolved and ionized prior to complexation. We recommend using a counterion's most water-soluble salt form, usually a sodium salt for anions and a bromide salt for cations.<sup>61</sup> For example, oleic acid is sparingly soluble in water, but sodium oleate is water-soluble up to 10 wt%.<sup>62,63</sup>

When choosing among different counterions with various log *P* values, it is important to keep in mind why HIP is needed. This will vary by the encapsulation technique used. For example, when using nanoprecipitation, the primary goal of

complexation is to *decrease water solubility*. When using an emulsion or SLN approach, however, the main goal is to *increase lipophilicity*. These distinctions will be discussed in further detail in Section 3, which focuses on encapsulation strategies, but we will give a brief example here. Consider vancomycin, a 1450 Da peptide with a single ionisable primary amine. We have found that vancomycin cannot be made to precipitate in Flash NanoPrecipitation, even using HIP, due to its low charge density. Kalhapure *et al.*, however, improved vancomycin encapsulation efficiency from 16.8% to and 70.7% by pre-forming a vancomycin : linoleic acid complex prior to formulation by hot homogenization and ultrasonication using the solid lipid Compritol 888 ATO and additional surfactants.<sup>64</sup> It is likely that vancomycin's increased lipophilicity, rather than improved hydrophobicity, led to this result. Adding oleate's 18-carbon tail to vancomycin likely improved the API's ability to interact with and remain associated with Compritol 888's alkyl tail.

A counterion's log *P* value is therefore not the only factor to consider when considering hydrophobicity.<sup>56</sup> It is important to remember that in addition to excluding water, hydrophobic domains on a counterion can interact hydrophobically and sterically with (1) one another, (2) hydrophobic domains on the complexed drug, and (3) the delivery vehicle's polymers, lipids, or surfactants.<sup>65,66</sup> Hydrophobic interactions may make a counterion with aromatic groups more suitable for use than one with an aliphatic tail, for example, or give rise to favourable cooperativity between a drug and counterion with an unsaturated aliphatic tail, even though one with a saturated tail may have a higher log *P*. These interactions remain an active area of research.

**Counterion chemistry: pK<sub>a</sub> and pH.** Counterions must be charged to ionically complex. Sulfate and sulfonate anions and quaternary amine cations are essentially always charged in aqueous environments, but the degree of ionization for carboxylic acids and primary, secondary, and tertiary amines varies with pH. Therefore pH and pK<sub>a</sub> (of the drug molecule and the counterion) are both important to consider during HIP. Operating at a pH near one species' pK<sub>a</sub> value is not advised, because charge ratios are difficult to predict and control



Table 3 Examples of hydrophobic ion pairing, sorted by therapeutic

Name	Structure/etc.	Paired with	Formulation technique
$\alpha$ -Chymotrypsin	25 kDa protein, 241 residues, pI: 8.75	Sodium docusate <sup>167</sup>	Solvent evaporation with polymethyl methacrylate, polystyrene, or poly(vinyl acetate) <sup>167</sup>
$\alpha$ -Lipoic acid		<i>N,N'</i> -Dibenzylethylene diamine (DBDA), <sup>47</sup> note: included pamoic acid to frustrate $\alpha$ LA : DBDA recrystallization and improve encapsulation	PLA- <i>b</i> -PEG NPs by Flash NanoPrecipitation, <i>in situ</i> HIP <sup>47</sup>
Am80		<i>N,N</i> -Dimethyldodecyl amine (DDA) <sup>40</sup> <i>N,N</i> -Dimethylhexyl amine <sup>40</sup> <i>N,N</i> -Dimethyloctadecyl amine <sup>40</sup>	Block copolymer micelles by evaporation-sonication <sup>40</sup>
Atazanavir		2-Naphthalene sulfonic acid <sup>28</sup> Sodium docusate (AOT) <sup>28</sup>	SEDDS <sup>28</sup>
Atenolol		Brilliant blue FCF <sup>155</sup>	PLGA NPs by nanoprecipitation <sup>155</sup>
AZD2811		Oleic acid <sup>38,89</sup> 1-Hydroxy-2-naphthoic acid <sup>38,89</sup> Cholic acid <sup>38,89</sup> Sodium deoxycholate <sup>38</sup> Docusate sodium <sup>38,89</sup> Pamoic acid <sup>38</sup>	Oil in water (o/w) nanoemulsification solvent extraction to form PLA-PEG NPs using <i>in situ</i> HIP <sup>38,89</sup>
Berberine		Oleic acid <sup>161</sup>	Liquid crystalline nanoparticulates by a hydrotrope method <sup>161</sup>
Bevacizumab	149 kDa antibody	Docusate sodium <sup>168</sup>	Lipid coacervation <sup>168</sup>
Bovine serum albumin (BSA)	66.5 kDa protein, 583 residues, pI: 4.7	Cholic acid <sup>56</sup> CM-PEG <sup>56</sup> Sodium dodecyl sulfate <sup>56</sup> Taurocholic acid <sup>56</sup> Sodium docusate <sup>56</sup>	Double emulsion <sup>56</sup> Single emulsion <sup>56</sup>
Bromothymol blue		Dextran sulfate <sup>91</sup> Sodium deoxycholate <sup>165</sup> Sodium laurate <sup>165</sup> Sodium stearyl glutamate <sup>165</sup> Pamoic acid disodium <sup>165</sup> Tetrabutylammonium bromide <sup>66</sup> Tetrahexylammonium bromide <sup>66</sup> Tetraoctylammonium bromide <sup>66</sup>	Double emulsion <sup>56</sup> Single emulsion <sup>56</sup> SEDDS <sup>169</sup> Solid in oil in water (S/O/W) to form PLGA NPs <sup>91</sup> SEDDS <sup>165</sup>
Chlorhexidine		Losartan <sup>152</sup>	Nanoprecipitation <sup>152</sup>
Cinnarizine		Pamoic acid, <sup>47</sup> note: Also unsuccessfully tried camphor-10 sulfonic acid (micellized), cinnamic acid, palmitic acid, and oleic acid	PLA- <i>b</i> -PEG NPs by Flash NanoPrecipitation, <i>in situ</i> HIP <sup>47</sup>



Table 3 (Contd.)

Name	Structure/etc.	Paired with	Formulation technique
Ciprofloxacin		Sodium deoxycholate <sup>88</sup>	Oil-in-water (o/w) submicron emulsion <sup>88</sup>
Cisplatin		Sodium docusate <sup>83</sup>	Stearic acid coacervation <sup>83</sup>
Clozapine		Pamoic acid <sup>47</sup>	PLA- <i>b</i> -PEG NPs by Flash NanoPrecipitation, <i>in situ</i> HIP <sup>47</sup>
Colistin		Cholesteryl hemisuccinate <sup>156</sup> <i>N,N</i> -Dipalmitoyl-L-lysine <sup>156</sup> Sodium cholesteryl sulfate <sup>156</sup>	PLA NPs by emulsion evaporation <sup>156</sup>
Concanavalin A	104–112 kDa protein (tetramer), pI: 4.5–5.5	Sodium docusate <sup>167</sup>	Solvent evaporation with polymethyl methacrylate, polystyrene, or poly(vinyl acetate) <sup>167</sup>
Dalargin		Dextran sulfate <sup>104</sup>	PLGA-PEG NPs by S/O/W emulsion <sup>104</sup>
Daptomycin		Arginine-hexadecanoyl ester <sup>41</sup> Arginine-nonyl ester <sup>41</sup>	N/A; proof-of-concept HIP using novel cationic surfactants demonstrates precipitation and increased log <i>P</i> <sup>41</sup>
Desmopressin		Oleic acid <sup>77</sup> Sodium docusate <sup>45,77,86,170</sup> Sodium dodecyl sulfate <sup>77,86</sup> Sodium stearate <sup>77</sup> (note: less effective than SDS, SEDDS <sup>45,77,86,170</sup> AOT, and oleate) Sodium stearyl sulfate <sup>77</sup> (note: less effective than SDS, AOT, and oleate)	
Dexamethasone valine valine prodrug		Dextran sulfate <sup>120</sup>	PLGA NPs by S/O/W emulsion <sup>120</sup>
Donepezil		Pamoic acid <sup>166</sup>	High pressure homogenization with D- $\alpha$ -tocopherol polyethylene glycol 1000 succinate <sup>166</sup>
Dorzolamide		Oleic acid <sup>81</sup> Sodium dodecyl sulfate <sup>81</sup>	PLGA NPs or PEG3-PSA microparticles by S/O/W emulsion <sup>81</sup>
Doxorubicin		Alginate acid <sup>61</sup> Cholesteryl hemisuccinate <sup>112</sup> Dextran sulfate <sup>61,95</sup> Dioleoyl phosphatidic acid (DOPA) <sup>71</sup> Docosahexaenoic acid <sup>70</sup> Hexadecylphosphate <sup>158</sup> Hyaluronic acid <sup>153</sup>	Microemulsion by stearic acid coacervation <sup>61</sup> Thin film dispersion <sup>112</sup> Microemulsion by stearic acid coacervation <sup>61</sup> Warm wax microemulsion solvent evaporation <sup>95</sup> PLA- <i>b</i> -PEG NPs by nanoprecipitation <sup>71</sup> SLNs by hot melt ultrasound emulsification <sup>70</sup> SLNs by warm oil-in-water microemulsion with stearic acid and taurocholate sodium <sup>158</sup> Thin film dispersion by lipid film hydration with suspended HIP complex and homogenization <sup>153</sup>



Table 3 (Contd.)

Name	Structure/etc.	Paired with	Formulation technique
		Oleic acid <sup>106,121</sup>	70 °C high-pressure homogenization <sup>121</sup> High-pressure film homogenization <sup>106</sup>
		Sodium acetate <sup>61</sup>	Microemulsion by stearic acid coacervation <sup>61</sup>
		Sodium alginate <sup>61</sup>	Microemulsion by stearic acid coacervation <sup>61</sup>
		Sodium decanesulfonate <sup>116</sup>	Microemulsion by stearic acid coacervation <sup>116</sup>
		Sodium docusate <sup>116</sup>	Microemulsion by stearic acid coacervation <sup>116</sup>
		Sodium stearate <sup>61</sup>	Microemulsion by stearic acid coacervation <sup>61</sup>
		Sodium taurodeoxycholate <sup>72,95,116</sup>	Warm wax microemulsion solvent evaporation <sup>95</sup> Microemulsion by stearic acid coacervation <sup>116</sup> Microemulsion by shear and ultrasonic homogenization after drying from molten stearyl alcohol <sup>72</sup>
		Sodium tetradecyl sulfate <sup>95</sup>	Warm wax microemulsion solvent evaporation <sup>95</sup>
		Vitamin E succinate <sup>105</sup>	SLNs by hot melt ultrasound emulsification <sup>105</sup>
Gefitinib		Dioleoyl phosphatidic acid (DOPA) <sup>30</sup>	Nanoprecipitation with doxorubicin-conjugated PLA- <i>b</i> -PEG NPs <sup>30</sup>
Gentamicin		Sodium docusate <sup>82,117–119,171</sup>	PLA microparticles by precipitation with compressed antisolvent <sup>117,171</sup> Microparticles by PCA using stabilizer poly(methyl vinyl ether- <i>co</i> -maleic anhydride) <sup>118</sup> PCA with no stabilizer <sup>82,119</sup> PLGA NPs by emulsion solvent evaporation <sup>82,119</sup>
Heparin		Arginine-hexadecanoyl ester <sup>41</sup> Arginine-nonyl ester <sup>41</sup>	N/A; proof-of-concept HIP using novel cationic surfactants demonstrates precipitation and increased log <i>P</i> <sup>41</sup>
Idarubicin		Dextran sulfate <sup>95</sup> Sodium taurodeoxycholate <sup>95</sup> Sodium tetradecyl sulfate <sup>95</sup>	Warm wax microemulsion solvent evaporation <sup>95</sup>
IGG-Fab fragment 48 kDa protein		Sodium dodecyl sulfate <sup>90</sup> Taurocholic acid <sup>90</sup> Dextran sulfate <sup>90</sup>	Modified nanoprecipitation <sup>90</sup> S/O/W PLGA NPs <sup>90</sup>
Insulin	5.8 kDa peptide, 51 residues (6 cationic and 6 anionic), pI: 5.3	Cholic acid <sup>157</sup> Chitosan <sup>53</sup> Dimyristoyl phosphatidyl glycerol <sup>42</sup> Oleic acid <sup>58,102,162</sup> Pamoic acid disodium <sup>165</sup> Sodium laurate <sup>165</sup> Sodium stearyl glutamate <sup>165</sup> Sodium deoxycholate <sup>80,93,165</sup>	Reverse micelle-double emulsion using palmitic and stearic acid <sup>157</sup> Homogenization and stabilization with SDS <sup>53</sup> SNEDDS <sup>42</sup> S/O/W emulsion <sup>58</sup> PLGA NPs by emulsion solvent diffusion <sup>102,162</sup> SEDDS <sup>165</sup>
Irinotecan		Sodium docusate <sup>84,86</sup>	PLGA NPs by emulsion solvent diffusion <sup>93</sup> S/O/W emulsion <sup>80</sup> SEDDS <sup>165</sup> SEDDS <sup>86</sup> Stearic acid coacervation <sup>84</sup> Stearic acid coacervation <sup>84</sup>
		Sodium dodecyl sulfate <sup>49,84,111,177,178</sup>	PLGA NPs by emulsion solvent diffusion <sup>111,177,178</sup> Electrospray with stearic or pamoic acid <sup>49</sup>
		Sodium docusate <sup>29</sup> Sodium dodecyl sulfate <sup>29</sup> Sodium tripolyphosphate <sup>29</sup>	PEG- <i>b</i> -PLGA NPs via water/oil/water double emulsion; <i>in situ</i> HIP <sup>29</sup>
		Tetraheptylammonium bromide <sup>179</sup>	Precipitation with compressed antisolvent (PCA) <sup>179</sup>





Table 3 (Contd.)

Name	Structure/etc.	Paired with	Formulation technique
Isoniazid methanesulfonate		Tetrapentylammonium bromide <sup>179</sup>	
Lanreotide		Sodium deoxycholate <sup>98</sup> Sodium docusate <sup>98</sup> Sodium stearyl sulfate <sup>98</sup> Taurocholic acid <sup>98</sup>	SNEDS <sup>98</sup>
Leuprolide		Oleic acid <sup>94,101</sup> Sodium deoxycholate <sup>165</sup> Sodium laurate <sup>165</sup> Sodium stearyl glutamate <sup>165</sup> Pamoic acid disodium <sup>165</sup> Sodium docusate <sup>45,84,86,172</sup>	PLGA microspheres by O/W emulsion <sup>101</sup> SMEDDS <sup>94</sup> SEDDS <sup>165</sup>
Loperamide		Sodium dodecyl sulfate <sup>11,84</sup> Sodium stearate <sup>87</sup>	SEDDS <sup>45,86</sup> Stearic acid coacervation <sup>84</sup> Oligosaccharide ester microparticles by spray drying <sup>172</sup> Solid lipid nanoparticles and nanostructured lipid carriers by high pressure homogenization <sup>110</sup> Stearic acid coacervation <sup>84</sup> Hydrogen bonding complexation between polyacrylic acid and Pluronic F68 (ref. 11) Solid lipid NPs by: solvent diffusion <sup>87</sup> Oil-in-oil (O/O) emulsion-evaporation <sup>87</sup>
Losartan		Dextran sulfate <sup>104</sup>	PLGA-PEG NPs by S/O/W emulsion <sup>104</sup>
Lumefantrine		Chlorhexidine <sup>152</sup>	Nanoprecipitation <sup>152</sup>
Lycobetaine		Oleic acid <sup>48</sup>	SEDDS <sup>48</sup>
Lysozyme	14.4 kDa protein, 129 residues, pI: 11.35	Oleic acid <sup>163</sup>	Emulsion by lipid film hydration high-pressure homogenization <sup>163</sup>
Melittin	2.8 kDa peptide, 26 residues, pI: 12.01	Cholic acid <sup>56</sup> CM-PEG <sup>56</sup> Dextran sulfate <sup>59</sup> Oleic acid <sup>57,58</sup> Sodium docusate <sup>56</sup> Sodium dodecyl sulfate <sup>18,57</sup> Taurocholic acid <sup>56</sup>	Double emulsion <sup>56</sup> Single emulsion <sup>56</sup> Double emulsion <sup>56</sup> Single emulsion <sup>56</sup> Emulsion solvent diffusion <sup>59</sup> PLGA NPs by emulsion diffusion <sup>57</sup> S/O/W emulsion <sup>58</sup> Double emulsion <sup>56</sup> Single emulsion <sup>56</sup> PLGA NPs by emulsion diffusion <sup>57</sup> S/O/W emulsion: Polymer/lipid NPs <sup>18</sup> Double emulsion <sup>56</sup> Single emulsion <sup>56</sup>
Minocycline		Sodium dodecyl sulfate <sup>109</sup>	PLGA nanoparticles by emulsion solvent diffusion <sup>109</sup>
		Sodium docusate <sup>173</sup>	PLGA NPs by emulsion-solvent-diffusion <sup>173</sup>



Table 3 (Contd.)

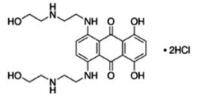
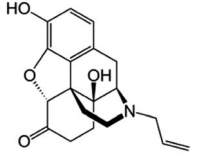
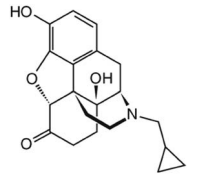
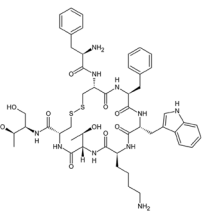
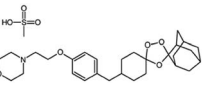
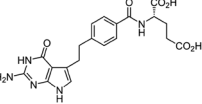
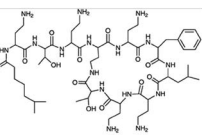
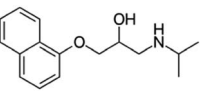
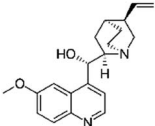
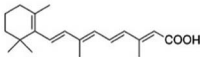
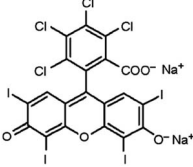
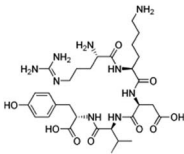
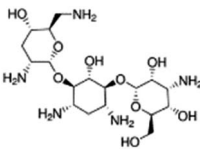
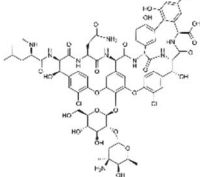
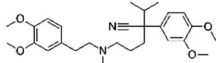
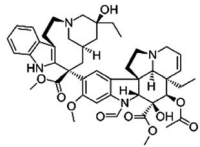
Name	Structure/etc.	Paired with	Formulation technique
Mitoxantrone dihydrochloride		Sodium deoxycholate <sup>79</sup>	Nanoprecipitation <sup>79</sup>
Mtb8.4	Protein, TB antigen, pI: 6.3	Sodium docusate <sup>174</sup>	PLG microspheres by emulsification <sup>174</sup>
Naloxone		Sodium docusate <sup>117</sup>	PLA microparticles by precipitation with compressed antisolvent <sup>117</sup>
Naltrexone		Sodium docusate <sup>117</sup>	PLA microparticles by precipitation with compressed antisolvent <sup>117</sup>
Octreotide		Dextran sulfate <sup>92</sup> Oleic acid <sup>96</sup> Sodium decanoate <sup>9,96</sup> Sodium deoxycholate <sup>9,96</sup> Sodium docusate <sup>9</sup> Sodium dodecyl sulfate <sup>92,96</sup>	S/O/W emulsion <sup>92</sup> SNEDDS <sup>96</sup> SNEDDS <sup>96</sup> SEDDS <sup>9</sup> SNEDDS <sup>96</sup> SEDDS <sup>9</sup> SEDDS <sup>9</sup> S/O/W emulsion <sup>92</sup> SNEDDS <sup>96</sup>
Ovalbumin (OVA)	43 kDa protein, 385 residues, pI: 5.19	Cetrimonium bromide (CTAB) <sup>39</sup>	pH-sensitive polyketal microparticles by single emulsion <sup>39</sup>
OZ439 mesylate (artefenomel)		Sodium oleate <sup>43,154</sup>	HPMCAS NPs by Flash NanoPrecipitation; <i>in situ</i> HIP <sup>43,154</sup>
Papain	23.4 kDa protein, 212 residues, pI: 8.8–9.6	Sodium deoxycholate <sup>97</sup>	SEDDS <sup>97</sup>
Pemetrexed		Cetrimonium bromide (CTAB) <sup>103</sup> <i>N</i> <sup>α</sup> -Deoxycholy-L-lysyl-methylester <sup>4</sup>	Lyotropic liquid crystalline nanoparticles by homogenization ( <i>in situ</i> HIP) <sup>103</sup> W/O/W emulsion <sup>4</sup>
Polymyxin B		Oleic acid sodium salt <sup>78</sup> Pamoic acid sodium salt <sup>78</sup> Sodium dodecyl sulfate <sup>78</sup> Sodium dodecyl benzenesulfonate <sup>78</sup>	PCL- <i>b</i> -PEG NPs by Flash NanoPrecipitation (FNP), <i>in situ</i> HIP, <sup>78</sup> note: sodium decanoate, myristate, deoxycholate, 2-naphthalenesulfonate, 1-heptanesulfonate, 1-octane-sulfonate, and 1-decanesulfonate formed a precipitate when mixed with polymyxin B at 1 : 1 charge ratio but did not form NPs by FNP. Sodium hexanoate, benzenesulfonic acid, camphorsulfonic acid, and 1,2-ethanesulfonate did not form a precipitate when mixed with polymyxin B at 1 : 1 charge ratio.
Poly(inosinic acid)-poly(cytidylic acid) (poly(I : C))	Double-stranded RNA analog, TLR3 agonist	Cetrimonium bromide (CTAB) <sup>39</sup>	pH-sensitive polyketal microparticles by single emulsion <sup>39</sup>
Propranolol		Alginate acid <sup>61</sup> Dextran sulfate <sup>61</sup> Sodium acetate <sup>61</sup> Sodium stearate <sup>61</sup>	Microemulsion by stearic acid coacervation <sup>61</sup>



Table 3 (Contd.)

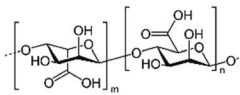
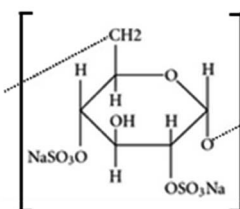
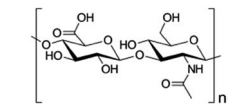
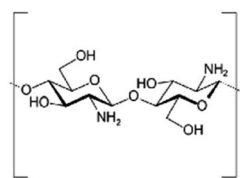
Name	Structure/etc.	Paired with	Formulation technique
Quinidine sulfate		Alginic acid <sup>61</sup> Dextran sulfate <sup>61</sup> Sodium acetate <sup>61</sup> Sodium stearate <sup>61</sup>	Microemulsion by stearic acid coacervation <sup>61</sup>
r-met-HuGdNF	Recombinant methionyl human Glial-cell line derived neurotrophic factor	Cholic acid <sup>56</sup> CM-PEG <sup>56</sup> Sodium docusate <sup>56</sup> Sodium dodecyl sulfate <sup>56</sup> Taurocholic acid <sup>56</sup> Benethamine <sup>65,108,115</sup> Laurylamine <sup>46,107,114</sup>	Double emulsion <sup>56</sup> , single emulsion <sup>56</sup>
Retinoic acid		Maprotiline (both HCl and free base) <sup>115</sup> Stearylamine <sup>46,65,107,114</sup> Triethylamine <sup>46,65,107,114</sup>	Hot melt homogenization using ultrasound emulsification <sup>41–43,65,108,115</sup>
Rose bengal		Tetrabutylammonium bromide <sup>66</sup> Tetrahexylammonium bromide <sup>66</sup> Tetraoctylammonium bromide <sup>66</sup>	Encapsulated into polystyrene microparticles using compressed carbon dioxide to plasticize polystyrene MPs and allow diffusion in <sup>66</sup>
Salmon calcitonin	3.4 kDa peptide, 32 residues, pI: 8.86	Dimyristoyl phosphatidyl glycerol (DMPG) <sup>85</sup> Oleic acid <sup>85</sup> Sodium deoxycholate <sup>85</sup>	PLGA NPs by solvent diffusion <sup>85</sup>
Thymopentin		Hexadecylphosphate <sup>159</sup>	Warm oil in water microemulsion <sup>159</sup>
Tobramycin		Hexadecylphosphate <sup>160</sup> Sodium docusate <sup>175</sup>	Warm oil in water microemulsion <sup>160</sup> PLGA NPs by O/W emulsion <sup>175</sup>
Trypsin	23 kDa protein, 220 residues, pI: 10.1–10.5	Sodium docusate <sup>167</sup> Linoleic acid <sup>164</sup> Sodium docusate <sup>176</sup>	Solvent evaporation with polymethyl methacrylate, polystyrene, or poly(vinyl acetate) <sup>167</sup> Hot homogenization and ultrasonication <sup>64</sup> SEDDS <sup>176</sup>
Vancomycin			
Verapamil		Alginic acid <sup>61</sup> Dextran sulfate <sup>61</sup> Sodium acetate <sup>61</sup> Sodium stearate <sup>61</sup>	Microemulsion by stearic acid coacervation <sup>61</sup>
Vincristine		Oleic acid <sup>164</sup>	High pressure homogenization <sup>164</sup>

when one species is only partially ionized. Fig. 4 illustrates the pH window over which polymyxin B and oleic acid can be paired.

For peptide and protein drugs with many ionizable groups, the isoelectric point pI is a straightforward parameter to use, rather than trying to account for the  $pK_a$  and ionizable state of each charged residue. As in charged polymers, the curve of



**Table 4** Examples of some polyvalent counterions used to encapsulate charged APIs. For a more complete survey of polyelectrolyte coacervation, see ref. 124–141

Name	Structure	MW, Da	pK <sub>a</sub>	log P	Used to pair with:
<b>Anions</b>					
Alginate acid (sodium alginate also used)		Varies	1.5–3.5	–1.5	Doxorubicin <sup>61</sup> Propanolol <sup>61</sup> Quinidine sulfate <sup>61</sup> Verapamil <sup>61</sup> Bovine serum albumin <sup>91</sup> Dalargin <sup>104</sup> Dexamethasone valine valine prodrug <sup>120</sup> Doxorubicin <sup>61,95</sup> Idarubicin <sup>95</sup> IGG-Fab fragment <sup>90</sup> Loperamide <sup>104</sup> Lysozyme <sup>59</sup> Octreotide <sup>92</sup> Propanolol <sup>61</sup> Quinidine sulfate <sup>61</sup> Verapamil <sup>61</sup>
Dextran sulfate		Varies	<2		
Hyaluronic acid		Varies	2.9	–8.2	Doxorubicin <sup>153</sup>
<b>Cations</b>					
Chitosan		Varies			Insulin <sup>53</sup>

charge *versus* pH for proteins is typically broader about the pI than an individual monomer would be. Curves denoting net charge *versus* pH are available for many proteins in the literature.<sup>67–69</sup>

When either the drug or counterion used has a carboxylic acid or non-quaternary amine head group, the resulting complex may demonstrate pH-sensitive dissociation, which can be used to tune drug release. pH-dependent release is useful in drug delivery, for example, for targeting to endosomes or tumors. Cationic peptides are popular in the HIP literature; these are positively charged at physiological and acidic pHs, so pH-dependent release could be accomplished by pairing them with fatty acids, rather than sulfates or

phosphates. At a pH below the acid's pK<sub>a</sub>, carboxylic acid become protonated, forming the uncharged free acid and decomplexing from their cationic counterparts. Hydrophobic and steric interactions from the former ion pair remain effective, but faster drug release can be expected.<sup>30,70–72</sup> This will be discussed in more detail in the section on drug release.

Pinkerton *et al.* note that the pK<sub>a</sub> values of the two charged species should be different by at least two pH units for an ion pair to reliably form. Importantly, the authors pointed out that solvent quality affects pK<sub>a</sub> values. Therefore, when complexing in a mixed solvent of water and organics increasing the volume fraction of water may be useful to ensure complexation between



**Fig. 3** Schematic illustrating the pH-shifting strategy using glycine as a model API. At low pH, carboxylic acid groups are protonated and uncharged. At high pH, amines are deprotonated and uncharged. For some zwitterionic APIs, researchers have reported shifting pH to one extreme to turn off one type of charge prior to ion pairing.





Fig. 4 An example species diagram showing the percent ionization of polymyxin B and oleic acid as a function of pH. From approximately pH 6.5 to 9, both species are nearly 100% ionized and could be paired with clear expectations about the resulting complex's charge ratio.

an anion and cation with  $pK_a$  values close to neutral.<sup>47,73,74</sup> Other researchers have noted that physical confinement, *e.g.* in a delivery vehicle, may affect  $pK_a$  values as well; this phenomenon has the potential to affect HIP, and further study is needed.<sup>75,76</sup>

An interesting study that to our knowledge has not been carried out in the literature would examine pH-sensitive ion paired drug release behavior as a function of counterion head group. For example, Zupancic *et al.* paired cationic desmopressin with both sodium *n*-octadecyl sulfate and sodium stearate.<sup>77</sup> The two have aliphatic tails of similar lengths, but the former has a sulfate head group and the latter has a carboxylic acid. If paired with a cationic API and encapsulated (*ceteris paribus*, and in a system with no other ionic or pH-sensitive components), we would expect the *n*-octadecyl sulfate system's release profile not to vary between pH values of *e.g.* 6.5, 4.5, and 2.5. The system containing stearate should release differently at the three pH values, since stearate's  $pK_a$  is 4.7. Zupancic *et al.* found that sodium docusate and sodium oleate complexed with and precipitated desmopressin more effectively than either stearate or *n*-octadecyl sulfate, so the latter two counterions were not examined further. Both counterions must effectively complex with and precipitate the drug of interest. It is possible that desmopressin (1.1 kDa, 1 cationic charge) has too low of a charge density for the experiment proposed above.

We have discussed counterion  $pK_a$  and  $\log P$  values independently in the previous two sections. Researchers have noted that for a given counterion, it is prudent to also consider  $pK_a$  and  $\log P$  together.<sup>56,65,78</sup> Carneiro *et al.* noted that triethylamine was a worse hydrophobic counterion for pairing with all-trans retinoic acid than both benethamine and stearylamine. Although triethylamine is a stronger base than the other two counterions, and should therefore be able to interact more easily with retinoic acid, it is so much less hydrophobic that the resulting complex does not have the desired lipophilicity.<sup>65</sup> Likewise, Lu *et al.* screened fifteen counterions as candidates to form hydrophobic complexes with the pentacationic peptide polymyxin b.<sup>78</sup> We found that at constant counterion  $pK_a$ , the threshold  $\log P$  required to form an ion pair hydrophobic

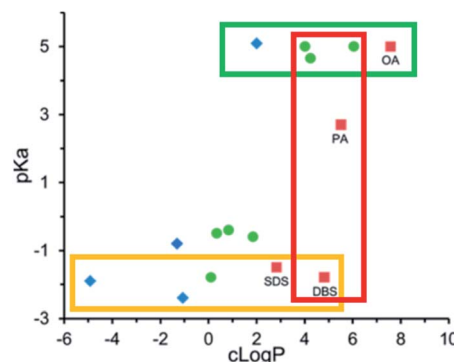


Fig. 5  $pK_a$  and  $\log P$  values for various anionic counterions. Complexes were pre-formed in MQ water at a 1 : 1 charge ratio with polymyxin b. Blue diamonds indicate no precipitate was observed; green circles indicate a precipitate was observed, but was insufficiently hydrophobic for nanoprecipitation; and red boxes indicate a sufficiently hydrophobic precipitate was formed. Adapted with permission from H. Lu, P. Rummaneeethorn, K. Ristorph, and R. K. Prud'homme, Hydrophobic Ion Pairing of Peptide Antibiotics for Processing into Controlled Release Nanocarrier Formulations, *Mol. Pharmaceutics*, 2018, 15(1), 216–225. Copyright (2017) American Chemical Society.<sup>78</sup>

enough for their encapsulation method (nanoprecipitation) varied. For aliphatic fatty acid sodium salts such as sodium hexanoate, sodium decanoate, and sodium oleate, precipitates formed at counterion  $\log P$  values above 4. Only sodium oleate,  $\log P \sim 6.8$ , formed complexes that precipitated as required for encapsulation (green box, Fig. 5). Sulfate surfactants formed sufficiently hydrophobic complexes at and above  $\log P$  values of  $\sim 2$  (yellow box, Fig. 5), suggesting that the sulfate surfactants interact more strongly with polymyxin b's cationic charges and form an ion pair more readily than the carboxylic acids. At a counterion  $\log P$  of 5, dodecylbenzene sulfate formed a sufficiently hydrophobic complex, but fatty acids decanoate and myristate did not (red box, Fig. 5).

We recommend that researchers complex their drug of interest using a suite of counterions at first, noting the  $pK_a$  and  $\log P$  values of the counterions used. The resulting complex's aqueous solubility and/or lipophilicity can be measured, and counterion chemistry or charge ratio can be varied to tune these values as desired.

**Complexation: pre-formed vs. *in situ*.** Ion paired complexes may be formed either prior to or during encapsulation; we call the former a 'pre-formed' complex and the latter an '*in situ*' complex. In the literature, the vast majority of complexes are pre-formed in water or a water–organic mixture, then isolated by precipitation or filtration, washed, and dried.<sup>39,49</sup> This approach allows researchers to measure the complex's  $\log P$  empirically and fully characterize it using techniques such as differential scanning calorimetry (DSC), X-ray diffraction (XRD), NMR, FTIR, *etc.*<sup>79</sup> Isolated complexes are often loaded into an oil phase or organic solvent (*e.g.* DCM<sup>80,81</sup> or acetone<sup>82</sup>) and treated as a lipophilic molecule. Since the oils and organics used are aprotic and often nonpolar, dissociation is unlikely.

Pre-formed complexes have a known stoichiometry and are already paired together, meaning electrostatic interactions between the drug and other delivery vehicle components are





less likely to occur during encapsulation. This is particularly advantageous when encapsulation relies on charged species, such as lipid pH coacervation to encapsulate a complex. In lipid coacervation, ionized lipids are precipitated by dropping the solution pH below their  $pK_a$  values.<sup>83,84</sup> An undissolved hydrophobic pre-formed complex is less likely to ion pair with the lipids used than a dissolved, charged drug would be.

Many researchers have noted that when pre-forming at drug : surfactant charge ratios above 1 : 1, excess surfactants form micelles.<sup>50,85–88</sup> A solution that is cloudy and has visible precipitates at a 1 : 1 charge ratio may become clear when more surfactant is added, indicating the presence of micelles that solubilize the hydrophobic complex. When the  $\log P$  of these micelle-loaded complexes is measured, it is unsurprisingly lower than the complex alone.<sup>50,61</sup> For this reason, many studies using the pre-forming approach have stayed at or near a 1 : 1 drug : surfactant charge ratio to avoid micelles. Using higher charge ratios (*e.g.* 1 : 2 drug : surfactant, 1 : 4, *etc.*) should not be fully ruled out, though. Drying the pre-formed complex by lyophilization should disrupt micelles and yield a complex with a stoichiometry closer to the desired charge ratio, which may be required to tune release. This will be discussed further in the following section.

*In situ* ion pair formation is less common but avoids the micellization problem. Ashton *et al.* and Song *et al.* successfully paired AZD2811 with anionic surfactants during their nano-emulsion's formation, and Mussi *et al.* added docosahexaenoic acid to the oily phase of their SLN emulsion to pair with doxorubicin *in situ*.<sup>38,70,89</sup> Pinkerton *et al.* and Lu *et al.* complexed small molecule and peptide APIs with counterions during rapid mixing in nanoprecipitation.<sup>43,47,78</sup> A comparison between pre-formed and *in situ* ion pairs at a 1 : 1 charge ratio found no appreciable difference in the size of NPs formed by nanoprecipitation.<sup>78</sup>

**Charge ratio.** Screening multiple drug : counterion molar ratios is a straightforward series of experiments to perform. By doing so, researchers have measured how a number of important parameters vary with charge ratio: complexation efficiency,<sup>18,51,57,86–88,90–98</sup> complex  $\log P$ <sup>50,98–100</sup> and zeta potential,<sup>93,101,102</sup> drug encapsulation efficiency,<sup>30</sup> and even droplet size in a SEDDS (self-emulsifying drug delivery system).<sup>94</sup> Complexation efficiency in water is typically measured by centrifuging precipitated complexes and measuring the amount of free drug in the supernatant. When measured this way, efficiency is often reported as going through a maximum near a 1 : 1 drug : counterion charge ratio because of the solubilization of drug into micelles at higher ratios (more counterion) and insufficient complexation at lower ratios (less counterion). We note again that at the higher charge ratios, complex formation is not less efficient than at the 1 : 1, but that solubilization into micelles at equilibrium results in less complex settling during centrifugation. The final solution contains solubilized drug in thermodynamically stable micelles, and a second phase which is the drug : counterion complex with a different stoichiometry.

$\log P$  measurements as a function of charge ratio are sometimes reported to go through a maximum around 1 : 1 as well.

This is seen particularly if the experiment conducted involved forming an ion pair in water and then adding octanol.<sup>50</sup> At charge ratios with higher counterion concentrations, micelles will have already formed in water by the time octanol is added and will be very unlikely to partition into the octanol phase. A better experimental design is to dissolve counterions first in octanol-saturated water and then add the drug of interest,<sup>99</sup> or to dry the pre-formed complex before adding it into an octanol-water system.<sup>86,98</sup> In this case,  $\log P$  *vs.* charge ratio shows asymptotic behavior at higher counterion charge ratios.

Drug release as a function of drug : counterion charge ratio has also been reported.<sup>71,78,103</sup> As may be expected, at charge ratios with more equivalents of counterion, the release rate of drug from delivery vehicle slows. This is likely because the complex is more hydrophobic, or slower to dissociate, or both. We will discuss this phenomenon in more detail in the following section.

### 3. Encapsulation techniques

A number of common encapsulation techniques have been applied to molecules during or after hydrophobic ion pairing. This section discusses specific considerations that should be made when using these techniques to encapsulate an ion paired molecule. A general overview of some processing parameters and important outcomes such as encapsulation efficiency and drug loading is provided.

Encapsulation efficiency (EE): the encapsulation efficiency for a given drug formulation is calculated by measuring the amount of free drug (*i.e.* not encapsulated in or associated with delivery vehicles) after complexation and encapsulation. Separating free drug from delivery vehicles may be done *via* ultra-filtration or centrifugation, when the free drug and delivery vehicles have very different sizes, or by a technique such as size exclusion chromatography, for separating proteins from nanoparticles where filtration is ineffective. Once the amount of free API is measured, encapsulation efficiency is reported according to the following equation:

$$\% \text{ EE} = 100 \times \left( 1 - \frac{\text{mass of unencapsulated API}}{\text{total mass of API}} \right)$$

For a formulation technique to be implemented at the industrial scale, high encapsulation efficiency – *i.e.* less material lost during processing – is desirable. High drug loading and few unit operations are also preferred.

Many papers report that HIP enabled researchers to encapsulate molecules that they previously could not, or that the technique improved their system's encapsulation efficiency, sometimes by more than 50%.<sup>18,49,84,87,88,90,101,104–110</sup> Encapsulation efficiencies higher than 90%<sup>49,60,84,102,103,105,106,111</sup> and as high as 100%<sup>57,78,82,109,112</sup> have been reported.

Drug loading: the mass fraction of API in a nanodelivery vehicle is the drug loading. The rest of the vehicle mass consists of excipients such as lipids, stabilizing polymers, oils, *etc.* Using HIP, drug loadings ranging from 3–7%,<sup>85,106,112</sup> 10–20%,<sup>57,81,101</sup> and up to 30% (ref. 47, 78 and 113) have been reported. Some formulation



strategies have inherent limits on drug loading; for example, S/O/W emulsions form percolation networks when the oil phase containing a hydrophobic drug reaches too high a volume fraction within a single droplet.<sup>12</sup> Therefore, in these systems there is an inverse relationship between drug loading and vehicle stability, which is undesirable at scale and for clinical application.

### 3.1 Emulsions

Single (e.g. oil-in-water, O/W)<sup>38,39,56</sup> and double (e.g. solid-in-oil-in-water or water-in-oil-in-water, S/O/W or W/O/W),<sup>18,90,91</sup> etc. – see Table 3 emulsions have been used to encapsulate ion paired complexes into droplets that may then be dried or otherwise further processed. Both require surfactants to stabilize, and typically a non-ionic species such as PVA is used. Using an ionic surfactant as an emulsion stabilizer may interfere with the hydrophobic complex's formation or stability.

Researchers commonly pre-form hydrophobic complexes prior to introducing them into an emulsified system. This has an advantage over *in situ* formation in that the complex may be added to the oil phase before emulsification, which promotes better encapsulation. If the hydrophilic component were introduced in the aqueous phase and the hydrophobic counterion were introduced *via* the oil phase, pairing would likely occur at oil-water interfaces if at all (and the degree of counterion ionization in the oil phase would be difficult to determine and control). If both components were added unpaired in a mixed oil phase, pairing would again be limited by ionization. Finally, if API and counterion were introduced in water and allowed to pair, the resulting hydrophobic complex would need to partition *into* the oil droplets, which would take longer and be less efficient than loading the pre-formed complex into oil, where it will prefer to remain.

A HIP complex's final geometry and possible amphiphilicity are another parameter that should be considered. A hydrophilic API's water-soluble charged group may be complexed by HIP, but other polar regions on the molecule may result in an amphiphilic complex that may tend to accumulate on the emulsion droplets' oil-water interface.<sup>97</sup> Using excess counterion, or a counterion with larger hydrophobic regions, may partially mitigate this effect. Proteins may be stabilized from denaturation at oil-water interfaces may be stabilized *via* ion pairing if they are complexed in such a way that their tertiary structure is largely preserved and their hydrophilic regions, which would lead to interfacial aggregation if exposed, are hidden.<sup>12,100</sup>

### 3.2 Lipid nanoparticles

Hydrophobic complexes have been incorporated into solid lipid nanoparticles either by emulsification from a hot melt<sup>46,65,70,105,107,108,114,115</sup> or by stearic acid coacervation.<sup>83,84,116</sup> In the former, non-ionic lipids and surfactants such as glyceryl behenate were heated and added to an oil phase along with a pre-formed complex. The hot oily phase was added to water and sonicated. With no other charged species present, it is unlikely that the ion pair was disrupted prior to encapsulation. Carneiro *et al.* note that without complexation, the API of interest, all-trans retinoic acid, resides primarily at the lipid–

water interface, and that hydrophobic complexation helps incorporate it more fully in the lipid matrix.<sup>65</sup>

Lipid nanoparticle formation by stearic acid coacervation involves lowering the pH of a solution of water and ethanol containing stearic acid to protonate and precipitate it as a free acid.<sup>83,84,116</sup> In these systems, a pre-formed hydrophobic complex was added along with ethanol into the hot aqueous solution of stearic acid. Since ion pair formation and stability vary with ionic strength and pH, it remains unknown if the ion pair remained together during this formulation strategy, or if dissociation (and possibly re-pairing between the drug and stearic acid, before the pH dropped too low) occurred. Therefore the final stoichiometry and identity of the ion pair are difficult to know, even using a pre-formed system in the presence of additional potential ion pairing partners.

### 3.3 Precipitation

Controlled nanoprecipitation techniques such as Flash Nano-Precipitation take advantage of diffusion-limited aggregation between precipitating molecules in an aqueous or mixed solvent system. Hydrophobic complexes are well-suited for this approach, since their water solubility is very poor and they precipitate quickly. Rapid precipitation followed by stabilization, e.g. surface deposition of the hydrophobic block of a block copolymer, yields kinetically trapped core-shell nanoparticles.<sup>47</sup> Rapid, good mixing will result in homogeneous nucleation and growth, which is desirable in nanoprecipitation. Heterogeneous nucleation or poor mixing may allow sufficient time for the formation of a thermodynamically favoured micelle phase from excess hydrophobic counterion. This is undesirable because the hydrophobic polymers or polymer blocks used in nanoprecipitation may not deposit onto a micelle's charged surface as they would onto a hydrophobic surface, and the same kinetically-trapped particle may not be formed.

Researchers have demonstrated both *in situ* and pre-formed ion pairing approaches with nanoprecipitation. Water is typically used as an antisolvent to induce precipitation, so using salt forms of the API is a straightforward method of ensuring an initially ionized state of the API. This means that *in situ* complex formation, followed immediately by precipitation, is easy to accomplish. Unlike the water–oil systems such as those used for emulsions, nanoprecipitation systems use water-miscible organic solvents, meaning interfacial partitioning is not a factor. The main limit to complexation is therefore diffusion, falling in line with nanoprecipitation's typical diffusion-limited aggregation kinetics. Lu *et al.* reported that even in a Flash NanoPrecipitation system, where rapid mixing on the order of 2 ms is followed by nucleation, growth, and stabilization by block copolymer adsorption all within about 20 ms, complexes formed *in situ* were efficiently encapsulated.<sup>43,78</sup> This suggests the time scale of complexation and precipitation is less than 20 ms. This is comparable to the precipitation time of a strongly hydrophobic (log *P* > 5) molecule – or pre-formed hydrophobic complex – in the same system.

Precipitation with a compressed antisolvent (PCA) has also been used to encapsulate HIP complexes into nanoparticles or microparticles.<sup>82,117–119</sup> Because the mixing in PCA is between



a solvent containing the drug of interest and a chamber of pressurized gas, pre-formation of the hydrophobic complex is required.

### 3.4 Others

Other formulation strategies can be viewed through a similar lens to the one we have used above. Techniques that treat a pre-formed complex such as a typical hydrophobic molecule are valid provided they have not neglected the complex's sensitivity to salts and pH. For example, self (micro/nano)-emulsifying drug delivery systems (S[M/N]EDDS) may use either ionic or non-ionic surfactants. We described when discussing lipid nanoparticles that ionic surfactants could disrupt ion pairing or exchange with a complex's counterions – these considerations are important to keep in mind when modifying a system usually used to encapsulate a non-ionic hydrophobic molecule to one capable of encapsulating a HIP complex.

Systems that require pH modulation are not wholly ineligible for use with HIP, but care should be taken to ensure that the complex is not disrupted if possible. Consider Iqbal *et al.*, who used a unique method of interpolymer complexation between polyethylene glycol and poly(acrylic acid) to form nanoparticles.<sup>11</sup> This required adjusting the solution pH to 3 to protonate poly(acrylic acid); the cationic drug of interest was pre-formed with docusate and introduced along with Pluronic F68 in ethanol into an acidic aqueous PAA solution. Docusate has a sulfonate head group that should remain charged at pH 3, and PAA was already protonated and uncharged before the complex was added. Taken together, these suggest the pre-formed leuprolide:docusate complex was likely to survive intact in this formulation technique than in (1) one where it encountered another ionized species (as in the case of stearic acid coacervation discussed above) or (2) a system using a hydrophobic counterion (*e.g.* a fatty acid) that would be deprotonated at the final pH.

## 4. Ion paired drug release from a delivery vehicle

Drug release from a delivery vehicle containing a hydrophobic complex varies with the type of vehicle (core-shell nanoparticle, SLN, double emulsion, SEDDS, *etc.*), but useful similarities exist. The ion paired drug will behave like a hydrophobic molecule as long as it remains complexed. Once complexation is reversed, the original hydrophilic molecule and hydrophobic counterion are regenerated and will usually partition out of the delivery vehicle. De-complexation is driven by one of two main mechanisms: counterion competition by salts or pH-driven charge negation. The former occurs when salts in the surrounding medium are able to access the complex and outcompete the hydrophobic ion pair, leading to dissociation. The high ionic strength in the surrounding medium screens the charges between the two regenerated species, so re-complexation is unlikely. The former follows a similar mechanism, protonating or deprotonating one of the charged species and leading to de-complexation.

Both mechanisms depend on water accessing the hydrophobic complex. For this reason, the vehicle's type and

geometry are both important. Core-shell nanoparticles have a less water-accessible core than PLGA-stabilized double emulsions, for example, and it may be expected that they release drugs more slowly in similar salt/pH conditions. Because water can access ion pairs at the water-vehicle interface much more readily than complexes deep in the vehicle core, release is expected to occur from the outside in. After ion pairs at the surface have been de-complexed and partition into the bulk phase, water will be able to access the complexes deeper in the vehicle. During this 'erosion,' the vehicle itself may lose structural integrity or collapse.<sup>61</sup> For vehicles with low drug loading, the hydrophobic complex's location in the vehicle is another factor to consider. A complex with amphiphilic character that resides primarily on the vehicle surface is easily water-accessible, and rapid burst release may be observed.<sup>65,97,111</sup>

The fact that de-complexation is a precursor to this type of release explains why slower drug release is seen at higher charge ratios.<sup>71,78,103</sup> A monovalent drug complexed with a single monovalent counterion should fully dissociate much more quickly than a drug complexed with four, and will not release from the vehicle until it is fully dissociated. In the 1 : 4 charge ratio case, only one of the counterions can truly form an ion pair with the drug (see the preceding section on drug : counterion charge ratio). The remaining three counterions can remain associated with the complex, though, adsorbing onto the first counterion *via* tail-tail hydrophobic interactions. The resulting large hydrophobic surface area serves as a mass transfer barrier that slows water diffusion to the site of ion pairing. For this reason, it is more difficult for water to access and dissociate the 1 : 4 complex than the 1 : 1 complex, so drug release is slower. The probability of a drug re-complexing with a hydrophobic counterion after salt-driven decomplexation also increases with the number of hydrophobic counterions near a drug molecule.

After dissociation, the therapeutic and counterion are regenerated. Without its hydrophobic counterion, the therapeutic is likely too hydrophilic to remain associated with the vehicle (even if the solution pH has turned off the drug's charge) and will partition into the bulk. Depending on its chemistry and the bulk pH, the counterion may either diffuse into the bulk or remain with the vehicle. For example, we found that at a 1 : 4 polymyxin B : oleate charge ratio, drug release plateaued around 35%. This suggests that after soluble polymyxin b was released, the poorly-water-soluble oleate fatty acids remain with the nanoparticle and may form an oleate/oleic acid liquid crystal phase in or around the NP core. This type of plateauing release profile was not observed for polymyxin paired at a 1 : 4 polymyxin : SDS charge ratio, because SDS is more water-soluble and prefers to partition into the bulk.<sup>78</sup>

Complexation formation, dissociation, and release have all been found to be a function of bulk ionic strength.<sup>18,38,44,45,72,120</sup> As expected, at higher ionic strength, it is more difficult to form complexes due to charge screening, and, if formed, complexes dissociate and drugs are released faster at higher salt concentrations. PBS, sodium chloride, and serum are common release media. Researchers have found both complexation<sup>18,57,120,121</sup> and release<sup>30,38,48,70–72,105,112,121</sup> to be pH-dependent. These assays are



usually run at pH values at and below 7.3. In most cases, faster release at lower pH has been observed as expected.

This understanding of the mechanism behind salt- and pH-dependent release is useful. Many nano-scale delivery vehicles are stable in water and can be stored in deionized water without beginning to release their payload. For parenteral or oral formulations, the body's natural ionic strength will trigger release. pH-dependent release could be useful for targeting to endosomes, tumors, or different regions in the intestinal tract. On the other hand, pH sensitivity may rule out some long-term depot delivery strategies; for example, it has been well-documented that PLGA microparticles may acidify as the polymer is degraded over time.<sup>12,122</sup> An acid microenvironment could trigger ion pair dissociation and lead to faster release.

The previous several paragraphs have discussed drug release following ion pair dissociation. In general, unless a hydrophobic complex is exposed to salts or pH changes, it should behave like a hydrophobic molecule – depending on the vehicle and the chemistry of the species that make up the pair, the complex could still be released intact from the vehicle. Hydrophobic molecules in nano-scale delivery vehicles can diffuse and, depending on their solubility in the release medium, may still partition into the bulk. The complex's size and hydrophobic interactions with the delivery vehicle are barriers to diffusion.<sup>65,66,116</sup> When buffers containing only salts are used as simple release media, this type of release is unlikely. In more complex, more realistic release media – *i.e.* those containing some kind of hydrophobic sink such as albumins or bile salt micelles – this type of direct release, as well as salt- or pH-driven release, may occur simultaneously. The driving forces for

diffusion of all species, including paired and unpaired drugs and paired, unpaired, and uncharged counterions, should be considered.

It is straightforward to see that both major exit routes from a particle – either following dissociation or as an intact complex – depend on the complex itself and the counterion used. Alkyl tail length or hydrophobic group size, for example, affect both. In the case of post-dissociation release, larger hydrophobic surface areas decrease water permeability and slow decomplexation, as discussed in the paragraph about release as a function of charge ratio. And in the case of release as an intact complex, longer alkyl tails both decrease the complex's solubility in the bulk and increase steric and hydrophobic interactions that tend to keep the complex in its vehicle. As counterion hydrophobicity increases, therefore, release tends to decrease (Fig. 6).<sup>29,38,65,78,88</sup>

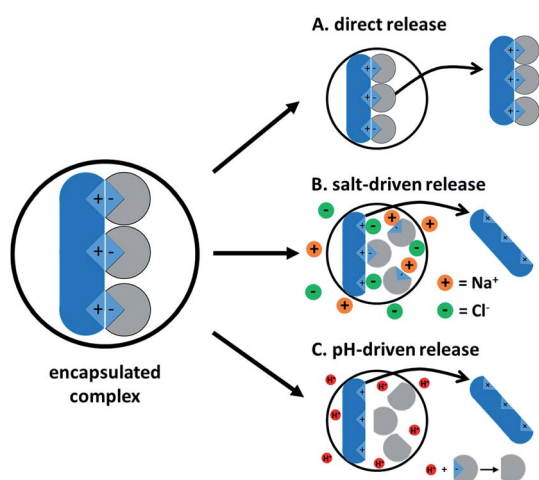
## 5. Bridging polyelectrolyte coacervation, polymer–surfactant complexation, and hydrophobic ion pairing

The HIP literature has generally not overlapped with the literature from the fields of polyelectrolyte–polyelectrolyte coacervation or polyelectrolyte–surfactant complexation. The fields share a number of similarities that we will highlight here. Polyelectrolyte complex coacervation used here refers to the phase separation induced when oppositely-charged polyelectrolytes or ionomers ion pair with one another, and is not to be confused with the acid-induced lipid precipitation technique mentioned earlier (which is also called ‘coacervation’). Polyelectrolyte–surfactant complexation has been studied extensively and is useful in a number of industrial applications, including personal care products and detergents.<sup>123</sup>

### 5.1 Polyelectrolyte coacervation

Ion pairing between polyelectrolyte species results in the formation of a highly electrostatically crosslinked complex that phase separates from its surrounding media; the new phase may be either a solid (‘precipitate’) or liquid (‘coacervate’), but the term ‘complex coacervation’ is applied in both cases.<sup>124–126</sup> Stoichiometric ratios (*i.e.* 1 : 1 cation : anion) are common in this literature, since these systems tend to form more distinct coacervate phases from charge neutral conditions – at uneven ratios, electrostatically-stabilized colloidal particles may form.<sup>125</sup> Like hydrophobic ion pairs, coacervate phases are sensitive to salt and may be dissociated at sufficient ionic strength or as a function of pH, depending on the chemistry.

The entropic and enthalpic effects of coacervate formation and phase separation have been studied and reported elsewhere.<sup>125,127–132</sup> Interestingly, polymers in a complex coacervate may remain mobile, and rearrangement is possible. Many studies focus on the coacervate's phase behaviour<sup>133</sup> or rheological<sup>134</sup> or thermal<sup>135</sup> properties. The final phase's log *P* (and hydrophobicity in general) is not a major concern in complex



**Fig. 6** A summary of how complexed drugs may be released from a delivery vehicle. (A) The intact complex may release directly from the particle into the bulk. This type of release is a function of the complex's solubility in the bulk, so hydrophobic sinks such as bile salt micelles or albumins in the bulk phase will provide more of a driving force than simply a buffer. (B) Salts lead to counterion competition and decomplexation, which is followed by release as the water-soluble drug is released into the bulk. (C) When counterions such as fatty acids are used, lowering the pH below their  $pK_a$  will lead to protonation. The protonated counterions will no longer complex the drug, leading to release as in (B).





coacervation, since the mechanism of phase separation is different and the ultimate goal of coacervation is not necessarily to increase hydrophobicity or modify solubility as in HIP.

Because complex coacervates form distinct phases, the technique has been studied as a possible method of encapsulating polyvalent drugs such as peptides and proteins.<sup>136–139</sup> For insufficiently charged proteins, ‘supercharging’ – adding more charged amino acids – increases charge density and can lead to more reliable coacervation.<sup>140</sup> Some similarities and differences between encapsulation using coacervation and HIP may be seen. Encapsulation *via* coacervation is typically carried out near or at a stoichiometric charge ratio.<sup>136,138</sup> This differs from the HIP literature, where a screen of different drug : counterion charge ratios is commonly performed and the resulting complex's  $\log P$  is characterized. Using a complex coacervate as a delivery vehicle introduces problems similar to W/O/W double emulsions; namely, a tradeoff between drug loading and encapsulation efficiency.<sup>141</sup> Another notable difference between HIP and PE coacervation other than the molecular weights of the species used is the lack of ionic crosslinking in much of the HIP literature. Most HIP studies use monovalent small molecule counterions (exceptions are discussed in the following paragraph), but PE coacervation depends on polyvalency to form its characteristic crosslinked polymer networks.

Some papers using small-molecule surfactants for HIP have also used polyvalent counterions to complex drugs, and most unfortunately fail to make the distinction between the two techniques in their descriptions of what was done. The most common polyvalent counterion in these cases is dextran sulfate, a polyanionic sugar that does not contain distinct hydrophobic domains.<sup>59,91,92,104,120</sup> When it is used to complex multivalent APIs such as proteins, dextran sulfate forms a complex coacervate rather than a true hydrophobic ion pair. The coacervate may still be encapsulated using methods that also encapsulate hydrophobic ion pairs, but drug release from ion pairs compared to coacervates may differ noticeably. Consider Song *et al.*, who found that drug release of an ion paired multivalent small molecule AZD2811 varied significantly whether using pamoic acid (divalent) or xinafoic acid (monovalent, effectively half of pamoic acid) at the same charge ratio.<sup>38</sup> The difference in release profile observed was most likely a function of crosslinking between divalent pamoic acid and the multivalent small molecule, which resulted in slower release. It is easy to see that a system containing dextran sulfate, which has much greater protein crosslinking potential than divalent pamoic acid, could be expected to have very different release kinetics from a system using a small molecule surfactant counterion. Understanding and carefully distinguishing between the two approaches will be beneficial for future studies aiming to ionically complex and encapsulate charged therapeutics.

## 5.2 Polymer–surfactant complexation

Polymer–surfactant complexation and phase behavior have been studied for decades.<sup>142,143</sup> The field has carefully examined precipitate formation as a function of many parameters familiar

to the hydrophobic ion pairing literature, including charge density,<sup>144</sup> stoichiometry, and formation and dissociation in the presence of salts.<sup>145</sup> Studies of self-assembly have developed binding isotherms and a thermodynamic understanding of surfactant monolayer and bilayer formation.<sup>123,146,147</sup> Important questions in this field include: what are the phase behavior and rheological properties (surface tension, viscosity, *etc.*) of polymer–surfactant complexes?<sup>144,148,149</sup> Do they form one-phase or two-phase systems, and does this change upon dilution – *i.e.*, what are the critical aggregation concentrations? What is the effect of surfactant alkyl tail length on this behavior?<sup>146,150</sup> Are the polymers binding to surfactant monomers, or micelles?<sup>145,151</sup>

The polymers used in these studies often have higher molecular weight and more regular charge spacing than the peptides and proteins complexed with surfactants in the HIP literature. As in the coacervation literature, imparting additional hydrophobicity to a polymer is not necessarily the ultimate goal of a study, and drug encapsulation is rarely discussed. These studies often examine wetting or solubilization behavior, and are useful in the development of new detergents and shampoos.

A familiarity with the field of polyelectrolyte-surfactant complexation will help hydrophobic ion pairing researchers appreciate similar sensitivities – *e.g.* to salts, temperature, or pH – that their own systems might experience. It will also help them develop an appreciation for surfactant phase behavior, which has not been well-characterized in most studies that use HIP to encapsulate a drug molecule.

## 6. Perspective

Encapsulating hydrophilic therapeutics *via* hydrophobic ion pairing is a useful technique and offers a number of attractive possibilities. In this section, we highlight four major ones: (1) co-encapsulating hydrophilic and hydrophobic drugs, (2) forming a HIP complex from two therapeutic species, (3) decreasing API crystallinity, and (4) tuning drug release rates by simply altering the counterion used in HIP.

Many encapsulation techniques that have been optimized for hydrophobic therapeutics can easily be adapted to work on ion paired hydrophobic complexes. This introduces a unique and powerful possibility: *straightforward co-encapsulation of hydrophilic and hydrophobic therapeutics into a single delivery vehicle at high loadings*. This is highly desirable, and is not possible using encapsulation techniques developed specifically for water-soluble drugs, *e.g.* W/O/W emulsions. Using two different encapsulation techniques to prepare two populations of particles encapsulating two therapeutics can be difficult (and expensive), especially if the particle chemistries, sizes, and fates are intended to match. There can be no guarantee of simultaneous delivery or even co-delivery in the body using a mixed population of particles, particularly at the single-cell level where local concentrations of both species may be important for therapeutic synergy.

Using HIP, researchers have co-encapsulated hydrophilic and hydrophobic therapeutics.<sup>30,71,103</sup> Tuning release rates of co-





encapsulated drugs from these two classes is an exciting prospect for future study. For example, Zhou *et al.* found their hydrophobic therapeutic released at a pH-independent rate, while the ion paired complex's release varied with pH.<sup>30</sup> Future formulations may take advantage of this difference in behaviour while still benefiting from co-localization. Or, if the mismatch is undesirable, researchers could tune parameters discussed above such as charge ratio, counterion chemistry, *etc.* to make hydrophilic and hydrophobic release rates match.

Another exciting opportunity of hydrophobic ion pairing is the co-delivery of two water-soluble charged APIs by ion pairing the two of them together. Denadai *et al.* formed a hydrophobic complex from two therapeutic small molecules, cationic chlorhexidine and anionic losartan, but did not encapsulate the result in a separate delivery vehicle.<sup>152</sup> Other papers report choosing their counterion based on therapeutic synergy. Kalhapure *et al.* chose from among several similarly-lipophilic counterions – palmitoleic, oleic, linoleic, linolenic, and arachidonic acid – by checking the ability of each to inhibit *S. aureus* growth. Linoleic acid's MIC was superior to the others, so it was used to pair with vancomycin.<sup>64</sup> Similarly Oliveira *et al.* ion paired doxorubicin with  $\alpha$ -tocopherol succinate to take advantage of the anticancer properties of each,<sup>105</sup> and Li *et al.* paired doxorubicin with hyaluronic acid since the latter is possibly useful for tumor targeting.<sup>153</sup>

Several papers have reported that ionic complexation with hydrophobic counterions formed an ion pair with lower crystallinity than its individual components.<sup>28–30,43,46,47,154</sup> Improved amorphous character may be useful for quickly releasing drugs, particularly as intact complexes, if desired. It may also be helpful for formulating hydrophobic ionic drugs into stable NPs with faster dissolution kinetics.<sup>43</sup> In this case, controls with a non-ionic analogue of the counterion (*e.g.* methyl esters instead of carboxylic acids; see Oliveira and Mussi for examples of this important control experiment) should be used to determine if reduced crystallinity is simply a co-core confinement effect or truly the result of HIP.<sup>70,105</sup>

For formulations containing a HIP complex, tuning drug release rates by changing the hydrophobic counterion or API : counterion charge ratio used is a straightforward and powerful tool for formulation scientists and drug delivery researchers.<sup>71,78,103</sup> Changing the amount or type of counterion used during a formulation technique while holding all other excipients and processing steps constant is relatively easy and should allow for several formulations with different release rates – and therefore possibly different PK profiles – to be developed and tested in rapid succession.

Hydrophobic ion pairing remains an active area of research, and several fundamental aspects of the process remain unclear: the precise dynamics of API-counterion assembly, aggregation/precipitation, and dissociation/release as a function of drug and surfactant chemistry (*e.g.* head group/ $pK_a$ , charge density, charge ratio, pH, ionic strength, hydrophobic moieties, *etc.*) are active areas of research. The phase behavior of surfactants used as hydrophobic counterions once paired is similarly unknown; this may prove to be an important parameter in modulating release.<sup>78</sup> Molecular dynamics simulations may be the best way

to examine the details of these phenomena, as they have been used extensively to study PE coacervation and PE-surfactant complexation.<sup>130,131</sup>

We have reviewed how and why drug release from an ion paired system varies with counterion. It remains unknown if a mixture of counterions in a single formulation could lead to two different release profiles simultaneously; *e.g.* preparing two different pre-formed complexes of a cationic peptide with two different anionic surfactants, then incorporating both into a single formulation to achieve an initial burst release followed by slower, steady release over time.

This review has summarized the many proof-of-concept studies that demonstrate hydrophobic ion pairing as a useful tool for complexing and encapsulating hydrophilic therapeutics across several classes – small molecules, peptides, protein fragments, and full proteins such as antibodies and enzymes – into nano-scale delivery vehicles. The technique is straightforward, uses accessible and inexpensive surfactants, and yields hydrophobic complexes that may be precipitated, emulsified, or otherwise packaged using existing technology. Fundamental questions remain about counterion phase behavior, the details of hydrophobic and ionic interactions during complexation, and the exact mechanism of drug release across several chemistries and external parameters. Moving forward, the field offers exciting possibilities such as co-encapsulation of hydrophobic and hydrophilic therapeutics, collaborations with the related fields of polyelectrolyte coacervation and polyelectrolyte-surfactant complexation, or the co-encapsulation and co-delivery of two ionic APIs by forming a HIP complex from the two of them.

## Abbreviations

<i>a priori</i>	Beforehand
API	Active pharmaceutical ingredient
<i>ceteris paribus</i>	With all else being equal
CTAB	Cetrimonium bromide
DCM	Dichloromethane
DSC	Differential scanning calorimetry
<i>et al.</i>	And the others
FDA	United States Food and Drug Administration
FTIR	Fourier-transform infrared spectroscopy
HIP	Hydrophobic ion pair/pairing
<i>in situ</i>	on site/in place
IP	Ion pair or ion pairing agent
NMR	Nuclear magnetic resonance
N/P ratio	Molar ratio of cationic nitrogen in lipids to anionic phosphorous in nucleic acids, used in the lipoplex literature
NCs	Nanocarriers
NPs	Nanoparticles
PBS	Phosphate-buffered saline
PLGA	Poly(lactic-co-glycolic acid)
SDS	Sodium dodecyl sulfate
SLN	Solid lipid nanoparticle



S(M/N)	Self-(micro/nano)emulsifying drug delivery system
EDDS	
XRD	X-ray diffraction

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## Conflicts of interest

There are no conflicts to declare.

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