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In situ detection of reactive oxygen species spontaneously generated on lead acid battery anodes: a pathway for degradation and self-discharge at open circuit†

Abdelilah Asserghine,^a Aravind Baby,^{ab} Seth T. Putnam,^a Peisen Qian,^a Elizabeth Gao,^c Huimin Zhao^d and Joaquín Rodríguez-López^{id}*^a

Prospects for refurbishing and recycling energy storage technologies such as lead acid batteries (LABs) prompt a better understanding of their failure mechanisms. LABs suffer from a high self-discharge rate accompanied by deleterious hard sulfation processes which dramatically decrease cyclability. Furthermore, the evolution of H₂, CO, and CO₂ also poses safety risks. Despite the maturity of LAB technologies, the mechanisms behind these degradation phenomena have not been well established, thus hindering attempts to extend the cycle life of LABs in a sustainable manner. Here, we investigate the effect of the oxygen reduction reaction (ORR) on the sulfation of LAB anodes under open circuit (OC). For the first time, we found that the sulfation reaction is significantly enhanced in the presence of oxygen. Interestingly, we also report the formation of reactive oxygen species (ROS) during this process, known to hamper cycle life of batteries *via* corrosion. Electron spin resonance (ESR) and *in situ* scanning electrochemical microscopy (SECM) unambiguously demonstrated the presence of OH[•] and of H₂O₂ as the products of spontaneous ORR on LAB anodes. High temporal resolution SECM measurements of the hydrogen evolution reaction (HER) during LAB anode corrosion displayed a stochastic nature, highlighting the value of the *in situ* experiment. Balancing the ORR and HER prompts self-discharge while reaction of the carbon additives with highly oxidizing ROS may explain previously reported parasitic reactions generating CO and CO₂. This degradation mode implicating ROS and battery corrosion impacts the design, operation, and recycling of LABs as well as upcoming chemistries involving the ORR.

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1. Introduction

Despite their technology dating back to the nineteenth century, lead-acid batteries (LABs) remain one of the most popular secondary batteries on the market due to their mature chemistry, proven safe operation, and inexpensive production.¹ LABs continue to make up approximately 70% of the rechargeable battery market and have a variety of applications in automotive, photovoltaics, and grid level energy storage.^{2–4} LABs contain porous Pb anodes with carbon additives and PbO₂ cathodes

immersed in a 4.2 M H₂SO₄ electrolyte.⁵ A primary drawback of LABs is that they have a short lifespan of around 300 cycles, thus demanding frequent replacements.⁶ Disposal of failed LABs produces around 2.46 million tons of waste annually, most of which is Pb, a toxic heavy metal.^{7,8} Recycling of LABs is possible through pyrometallurgical and hydrometallurgical processes, but these methods are energy intensive, expensive, and create environmental issues.^{8–10} On the other hand, sustainable refurbishing LABs eliminates disassembly/reassembly processes and could minimize the latter issues.¹¹ Thus, understanding the sources of electrode passivation and materials degradation becomes critical in determining refurbishment strategies.

The main source of LAB failure is the accumulation of redox inactive crystals of PbSO₄ on both the anode and the cathode during discharge. Additionally, even without cycling, LABs suffer from a fast self-discharge rate of about 10 to 15% in only 24 h, which is much higher than other battery technologies.¹² Self-discharge of LABs strongly depends on the composition of the anode,^{13–17} and the temperature of storage.^{18–20} Self-

^aDepartment of Chemistry, University of Illinois Urbana-Champaign, 600 S Mathews Ave., Urbana, IL, 61801, USA. E-mail: joaquinr@illinois.edu

^bDepartment of Materials Science and Engineering, University of Illinois Urbana-Champaign, 1304 W Green St., Urbana, IL, 61801, USA

^cU.S. Army Corps of Engineers, ERDC Construction and Engineering Research Laboratory (CERL), Champaign, IL, 61822, USA

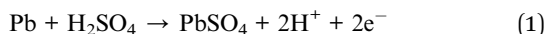
^dDepartment of Chemical and Biomolecular Engineering, University of Illinois at Urbana-Champaign, Urbana, IL, 61801, USA

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discharge occurs when the LABs are stored in the charged state leading to the spontaneous formation of PbSO₄ crystals on the anode (eqn (1) and (2)) and cathode (eqn (3) and (4)) surfaces.

Reported determinant reactions of the anode:^{18–21}

Oxidation:



Reduction:



Reported determinant reactions of the cathode:^{18–21}

Oxidation:

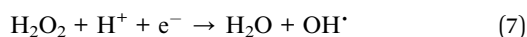
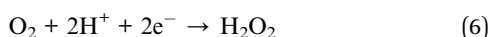


Reduction:



During self-discharge, CO₂, CO, O₂, and H₂ gases have been detected at open circuit (OC) conditions.^{18,19,22–24} Particularly, CO and H₂ are regarded as flammable gases, which threaten the operational safety and pose the risk of explosions.²⁵ Extensive research is underway to enhance the stability of LABs by changing the composition of the negative electrodes to minimize the rate of self-discharge and suppress the gas emissions.^{26–33} However, in order to improve the performance of LABs, the origins of the rapid self-discharge and the insidious side reactions should be explored.

Previous reports suggest that O₂ gas can be produced from the spontaneous oxidation of water at the positive electrode (eqn (3)).¹⁸ Additionally, it can also diffuse from the atmosphere into the electrolyte, particularly in flood-type LABs. Meanwhile, H₂ gas is generated on the anodes from the spontaneous reduction of protons (H⁺) (HER) by Pb (eqn (2)).¹⁸ On the other hand, the origins of CO₂ and CO evolution are not well-established. A likely source could be the carbon additives in the LAB anode. These are used for increasing electrode conductivity and suppressing the occurrence of hard sulfation.^{34,35} Thus, the possible mechanism of CO₂ and CO evolution could be the direct oxidation of the carbon additives in the commercial Pb (Pb–C) anodes, but this requires an oxidative potential >1.3 V vs. RHE.³⁶ To consolidate these observations, we hypothesized that carbon and Pb could be directly oxidized by the reactive oxygen species (ROS) generated from the ORR on the Pb–C anodes (eqn (5)–(8)).³⁷ It is well known that ROS such as H₂O₂, O₂^{•−}, and OH[•] possess high oxidation powers (1.78 V, 0.64 V, and 2.8 V vs. RHE, respectively).³⁸



In this work, we investigate the effect of spontaneous ORR on the sulfation of pure Pb strips and Pb–C anodes using scanning electron microscopy (SEM) and X-ray diffraction (XRD) studies. In addition, we report evidence for the *in situ* detection of H₂O₂ and OH[•] on Pb–C anodes under OC conditions using scanning electrochemical microscopy (SECM) as well as through radical trapping techniques.^{39–43}

2. Materials and methods

2.1. Materials and reagents

Pb sheets (0.03-inch thickness, 99.8%) and potassium nitrate (KNO₃, 99%) were purchased from Fisher chemicals. 5,5-Dimethyl-1-pyrroline *N*-oxide (DMPO, 97%) was purchased from Sigma Aldrich. Graphite rods (3 mm diameter, 99.9995%) were purchased from Alfa Aesar. Pb–C anodes used in the studies were procured from 6N2-2A batteries manufactured by Power-sonic (Fig. S1A–C†). Pt (99.9%) and Au (99.9%) wires of diameter 25 μm was obtained from Goodfellow. H₂SO₄ and HNO₃ were supplied by Macron.

2.2. Scanning electron microscopy (SEM) and energy dispersive X-ray spectroscopy (EDX)

SEM was performed on a Hitachi S4800 at a typical accelerating voltage of 10 kV. EDX was performed in the same system with an Oxford Instruments Ultim Max 100 mm² large silicon area drift detector. A typical accelerating voltage of 20 kV with a working distance of 15 mm was maintained for the EDX measurements.

2.3. X-ray diffraction (XRD)

XRD was performed using the Rigaku Miniflex 600 powder XRD system in the scan range 10–70° with Cu–Kα radiation and a wide area scintillation counter. Standard operating conditions of 40 kV and 15 mA were used with a scan rate of 0.02° s^{−1}. The intensities of all peaks are normalized to the Pb peak at 31.4°, which is the most intense peak in most of the samples. For elucidating and comparing the formation of PbSO₄, we use the (011) peak. It has a sufficiently high intensity, and the region is devoid of any peaks from Pb oxides.

2.4. Electron Spin resonance (ESR)

5,5-Dimethyl-1-pyrroline-*N*-oxide (DMPO) was utilized as a radical spin trap for the detection of radical species. We employed DMPO as a trapping molecule due to its capability to capture various possible oxygenated radicals, including (OH[•], OOH[•], and SO₄^{•−}). This assay is very specific to the type of radicals formed, providing a distinct fingerprint for each of the formed adducts (Fig. S2†). ESR experiments were performed by soaking various electrodes at OCP in solution of 5 mL of 100 mM DMPO and 5 mL of 0.5 M of H₂SO₄. Two independent experiments were performed by soaking the Pb–C anode in 0.5 M H₂SO₄ + 100 mM DMPO under aerated (purging O₂) and deaerated (purging Ar) conditions for 1 hour each. Aliquots of the DMPO and electrolyte solutions were transferred to a Wilmad Glass quartz flat cell. The spectra were acquired at room temperature (~298 K) with an EMXplus X-band instrument. The measurements



conditions were: center field = 3480 G; sweep width = 100 G; sweep time = 30 seconds; averages = 8 scans; microwave power = 20 mW; receiver gain = 30 dB; modulation amplitude = 1 G; microwave frequency = 9.783382 GHz.

2.5. Scanning electrochemical microscopy

All SECM measurements were conducted using the CHI-920D scanning electrochemical microscope. Au and Pt ultramicroelectrodes (UMEs) were prepared following an established procedure from literature.⁴¹ An Au or Pt wire of diameter 25 μm is thermally sealed into a borosilicate glass capillary and the sealed end is sharpened conically on a polishing wheel. The tip of the probe is then polished over a felt pad with aqueous alumina slurries of successively decreasing particle sizes till 0.05 μm . An Ag/AgCl/3 M KCl electrode connected to an agar salt bridge (0.1 M KClO₄) was used as the reference electrode with a graphite rod as the counter electrode.

2.5.1. H₂ detection. To detect H₂ gas *in situ*, the substrate-generation tip-collection (SG/TC) mode of the SECM technique was used to monitor the H₂ evolution at the close vicinity of the Pb–C anode under OCP conditions. Herein, a 12.5 μm Pt UME was employed as an H₂ sensing SECM probe.^{44–46} In the SECM measurement, the Pt probe was biased at 0.1 V vs. Ag/AgCl/3 M KCl and kept at a fixed distance of 50 μm from the Pb–C anode surface at OCP, while immersed in 0.5 M H₂SO₄. The solution was then purged with Ar to prevent any parasitic reactions that can result from ORR and interfere with the detection of H₂. In this experiment, the Pt probe was first placed at 50 μm from the surface and the current was recorded for 100 s. The probe was then retracted by 1000 μm into the solution bulk and the current was measured for another 100 s. This cycle was repeated three times.

2.5.2. H₂O₂ detection. To detect H₂O₂ *in situ*, SG/TC mode of the SECM technique was used to detect H₂O₂.^{40–42} In this experiment a 12.5 μm Pt UME was used as the SECM probe at an applied potential of 1.1 V vs. Ag/AgCl/3 M KCl. The SG/TC SECM experiment was then carried out by first measuring the current in the close vicinity (10 μm) of Pb–C anode at OCP while immersed in Ar-saturated 0.5 M H₂SO₄. Afterwards, the solution was saturated with O₂ and the current at the Pb surface was recorded. Then the Pt probe was retracted by 1000 μm (bulk) and the current was recorded for another 100 s. This cycle was repeated three times.

2.5.3. OH[•] radical detection. To detect OH[•] radicals *in situ*, SG/TC mode of the SECM technique was used as reported previously by our group.³⁹ Herein, a 12.5 μm Au UME was used as the SECM probe positioned at about 10 μm from the Pb–C anode surface immersed in 0.5 M H₂SO₄ + 10 mM DMPO. The Pb–C anode was maintained at OCP and a cyclic voltammogram (CV) was recorded at the UME from 0 to 1.1 V vs. Ag/AgCl/3 M KCl at a scan rate of 20 mV s^{−1}.

3. Results and discussion

To study the effect of ORR on the sulfation of the Pb–C anode, we used *ex situ* SEM and XRD characterization. The Pb–C

surface prior to immersion in H₂SO₄ is porous (Fig. 1A) and composed of Pb crystals and C as determined by the corresponding SEM and EDX spectra (Fig. S1†). Upon immersing the anodes in Ar-saturated 4.2 M H₂SO₄ for 1 h, the surface was covered with small needle-shaped crystals (Fig. 1B, yellow arrows) interspersed by some larger polygonal crystals. However, when the immersion is performed in O₂-saturated 4.2 M H₂SO₄ for 1 h, we observed that the polygonal crystals are larger in size and more in number compared to the sample immersed in the Ar-saturated solution (Fig. 1C, green arrows). Existing battery literature and our own experiments with discharging LABs (Fig. S3†) indicate that these are PbSO₄ crystals formed by oxidation of Pb during battery discharge.²³

To confirm our observations, we used XRD to identify the products of the surface reactions happening on Pb–C anodes. Interestingly, XRD peaks belonging to PbSO₄ and Pb oxides appear when the Pb–C anodes are immersed in H₂SO₄ (Fig. 1D) under both conditions. The relative intensity of the PbSO₄ (011) peak (vs. the Pb (111) peak) is 0.09 after immersing the Pb–C anode in Ar-saturated H₂SO₄ for 1 h (Fig. 1E, blue curve), showing that significant amounts of PbSO₄ are formed on the surface. The relative intensity grows to 0.13 when the immersion is performed in O₂-saturated H₂SO₄ (Fig. 1E, red curve). This reiterates the observations from the SEM. Nevertheless, even in the absence of O₂, there is some PbSO₄ observed on the Pb–C anodes. We theorize that this could be caused by HER (eqn (1) and (2)) or due to the galvanic corrosion of Pb by C, a ubiquitous additive in LAB anodes. When Pb is in electrical contact with C, a galvanic cell is established, with Pb acting as the anode since C is more noble than Pb. This drives the oxidation of Pb into Pb²⁺, which then combines with SO₄^{2−} ions in the electrolyte to form PbSO₄. To test this idea, we performed the same experiments using strips of pure Pb (Fig. S4†). We observed the formation of some PbSO₄ under argon conditions underlining that HER is likely the dominant factor as no C was present. Furthermore, we observed a large increase in the intensity of the PbSO₄ peaks, confirming that the presence of O₂ aggravates self-sulfation.

To confirm that HER is occurring, H₂ gas was monitored *in situ* using SECM operated in the Substrate Generation/Tip Collection mode (SG/TC) using a 12.5 μm Pt UME as an SECM probe biased at 0.1 V for H₂ oxidation as shown in Fig. 2A.^{44–47} Fig. 2B illustrates that the recorded currents at the close vicinity of the Pb–C anode (50 μm from the Pb surface) are significantly high, in the range of 1 to 12 nA. On the other hand, the currents recorded in the bulk, far away from the surface, are very small, around 0.1 nA. This underlines that HER is happening on the Pb surface. In fact, the recorded currents at the surface are generated as transient peaks, possibly due to the intermittent formation of H₂ bubbles on the Pb surface (Fig. 2B). Such transient peaks have been reported in collision electrochemistry in the case of nanoparticle or nanobubble collisions,^{48–53} but not in the LAB literature, to the best of our knowledge. Such observations highlight the relevance of the SECM *in situ* experiment in characterizing the LAB corrosion process at OC.

In aerated conditions, the SEM and XRD experiments showed the formation of larger PbSO₄ crystals. We hypothesize that this is due to the additional contribution of ORR on the Pb–





Fig. 1 SEM images and XRD of Pb–C anodes. SEM images of (A) fresh Pb–C anodes (B) Pb–C anodes immersed in Ar-saturated 4.2 M H_2SO_4 for 1 h, (C) Pb–C anodes immersed in O_2 -saturated 4.2 M H_2SO_4 for 1 h. X-ray diffractograms of (D) growth of PbSO_4 and Pb oxide peaks with immersion of Pb–C anodes in 4.2 M H_2SO_4 in the presence of Ar and O_2 , (E) comparison of peak intensities of PbSO_4 (110) across the immersed Pb–C anodes. The peaks are denoted as follows: α = Pb, β = Pb_3O_4 , γ = α -type PbO_2 , and δ = fluorite-type PbO_2 . Peaks denoted by planes belong to PbSO_4 .



Fig. 2 SG/TC SECM experiments for detecting H_2 and H_2O_2 . (A) Schematic of detection of H_2 produced on the Pb–C anode surface at the Pt UME SECM probe under Ar saturated conditions. (B) Chronoamperograms (current vs. time curves) recorded at the $12.5\ \mu\text{m}$ Pt UME biased at 0.1 V vs. Ag/AgCl/3 M KCl near the surface ($50\ \mu\text{m}$) and in the bulk for three cycles ($1000\ \mu\text{m}$) in 0.5 M H_2SO_4 . (C) Schematic of detection of H_2O_2 produced on the Pb–C anode surface at the Pt UME SECM probe under O_2 saturated conditions. (D) Chronoamperograms recorded at the Pt UME SECM probe biased at 1.1 V vs. Ag/AgCl/3 M KCl in Ar-saturated condition near the surface ($50\ \mu\text{m}$) and in the bulk ($1000\ \mu\text{m}$) for three cycles in 0.5 M H_2SO_4 .



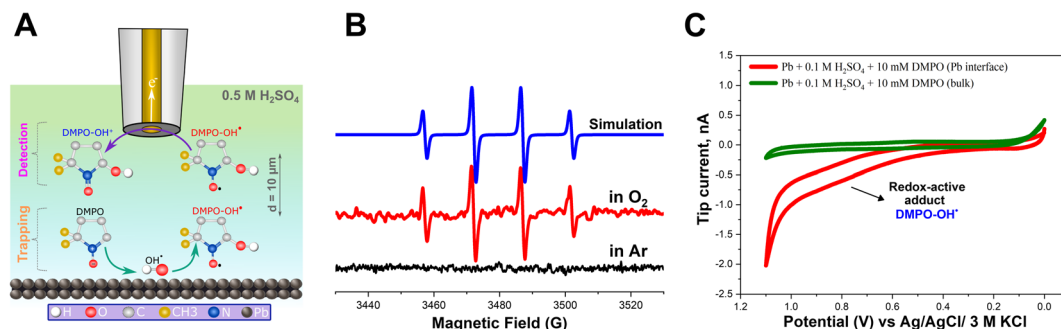


Fig. 3 Detection of $[\text{DMPO-OH}]^\bullet$. (A) Schematic for DMPO binding with OH^\bullet to produce $[\text{DMPO-OH}]^\bullet$ which is then detected at the Au UME probe in an SG/TC SECM experiment. (B) ESR spectra recorded in $0.5 \text{ M H}_2\text{SO}_4 + 100 \text{ mM DMPO}$ after soaking Pb-C anodes for 1 h in Ar and O_2 saturated conditions with the corresponding Easy Spin simulation fitting. (C) Cyclic voltammograms performed on the $12.5 \mu\text{m}$ Au UME probe from 0 to 1.1 V vs. Ag/AgCl/3 M KCl at a scan rate of 20 mV s^{-1} near the surface ($10 \mu\text{m}$) of Pb-C anode immersed in $0.5 \text{ M H}_2\text{SO}_4 + 10 \text{ mM DMPO}$ and in the solution bulk ($1000 \mu\text{m}$).

C anode. ORR on most non-noble metals produces H_2O_2 .⁵⁴ Thus, we targeted the detection of substrate-generated ROS *in situ* (eqn (5)–(8)) on the Pb-C anode surface under OC conditions. Using the SECM, we detected H_2O_2 at the close vicinity of the Pb-C anode ($10 \mu\text{m}$ from the surface) using a $12.5 \mu\text{m}$ Pt UME as an SECM probe biased at 1.1 V for H_2O_2 oxidation as shown in Fig. 2C.^{37–40} We observed that the current on the Pb-C surface under Ar conditions is about -0.5 nA (Fig. 2D), whereas under O_2 -saturated conditions, the current raises to -2.25 nA . After retracting the Pt UME to the bulk ($1000 \mu\text{m}$ from the Pb surface), the measured current drops to that observed under Ar. This highlights the significant production of H_2O_2 from the Pb surface, with an estimated concentration of $\sim 0.3 \text{ mM}$ (Fig. S5†).

In addition, it is possible that the resulting H_2O_2 can be reduced on the Pb-C anode surface, leading to the formation of OH^\bullet radicals (eqn (7)). However, the direct detection of the radicals is very challenging due to their short lifetime of a few nanoseconds. Therefore, we added DMPO as a trapping molecule that forms a stable adduct with OH^\bullet radicals (Fig. 3A).^{55–57} The $[\text{DMPO-OH}]^\bullet$ radical adduct can then be detected *ex situ* using ESR spectroscopy. The data recorded with ESR are shown in Fig. 3B. Under deaerated conditions, no paramagnetic signal was observed because no ORR is expected to take place on the Pb-C anode surface due to the limited concentration of O_2 (Fig. 3B, black curve). This agrees with the SECM experiment which did not detect H_2O_2 under Ar-saturated conditions. On the other hand, the ESR recorded in aerated conditions illustrates the splitting feature ($1:2:2:1$ integration ratio) for the $[\text{DMPO-OH}]^\bullet$ adduct (Fig. 3B, red curve). With the easy spin simulation (Fig. 3B, blue curve), the following parameters are obtained: $a_N = 14.88 \text{ G}$ (equal to 41.81 MHz), $a_H = 15.02 \text{ G}$ (equal to 42.02 MHz) which correspond perfectly with the reported hyperfine coupling constants of $[\text{DMPO-OH}]^\bullet$.^{55–57} We also observed a similar feature in the case of pure Pb strips (Fig. S6†).

In addition to ESR, we also electrochemically detected the $[\text{DMPO-OH}]^\bullet$ adduct *in situ* using SECM. The SG/TC mode was used, enabling us to detect the $[\text{DMPO-OH}]^\bullet$ adduct at the close vicinity of the Pb-C anode surface under OC conditions. Herein, a $12.5 \mu\text{m}$ Au UME was used as the SECM probe positioned at

about $10 \mu\text{m}$ from the Pb-C anode surface immersed in $0.5 \text{ M H}_2\text{SO}_4 + 10 \text{ mM DMPO}$ (Fig. 3A). The Pb-C anode was maintained at OC potential and a cyclic voltammogram (CV) was recorded at the UME (Fig. 3C, red curve). The expected redox wave at $\sim 0.8 \text{ V}$ corresponding to $[\text{DMPO-OH}]^\bullet$ adduct oxidation was observed.³⁹ Retraction of the tip showed that this signal was absent in the bulk solution. The SECM experiments agree with the ESR measurements, proving that OH^\bullet radicals are formed on the Pb-C anode surface. Additional control experiments (Fig. S7†) were performed to further confirm that the redox wave at 0.8 V is caused by $[\text{DMPO-OH}]^\bullet$.

The SECM and ESR experiments highlight that ORR on Pb-C form H_2O_2 and OH^\bullet . Normally, the formation of OH^\bullet on metals and semiconductors occurs at a very positive potential $>1 \text{ V vs. RHE}$,³⁹ but in the case of Pb, we found that OH^\bullet forms spontaneously at OC. The presence of ROS is regarded as detrimental to battery systems, since they have a high oxidation power which leads to the degradation of various components in the battery.^{58–61} In the present study, ROS formation opens an avenue to explain the reported formation of CO_2 and CO in LABs.^{19,23} Here, spontaneous formation of OH^\bullet occurs on the Pb-C anode surface at OC (Fig. 4, initial stage). Subsequently, there is a high chance that the carbon in the Pb-C anodes can directly be oxidized by the OH^\bullet (Fig. 4, final stage). The electrochemical oxidation of carbon to CO_2 and CO in aqueous solutions happens at a potential higher than 1.3 V vs. RHE .³⁶ The significantly higher oxidation potential of OH^\bullet at 2.8 V vs. RHE will be sufficient to oxidize carbon *via* the following reactions (eqn (9)–(12)).⁶²





Fig. 4 Proposed mechanism for carbon additive degradation and accelerated sulfation in the presence of ROS. Showing the HER and ORR taking places on the Pb particles at the initial stage, followed by the sulfation process and carbon binder degradation by the OH^\cdot forming CO_2 .

4. Conclusion

In this paper, we investigated the effect of ORR on the sulfation of Pb–C anodes. We found that in the absence of O_2 , sulfation is still happening due to HER, but the formed PbSO_4 crystals are relatively small. On the other hand, when O_2 is present, the sulfation is enhanced and large PbSO_4 crystals are observed ($>5\ \mu\text{m}$). This implies that ORR plays a significant role in the sulfation mechanism. In addition, using ESR and SECM unambiguously demonstrated for the first time the presence of OH^\cdot and of H_2O_2 as the products of spontaneous ORR on Pb–C anode. Our experimental findings may explain the origin of the parasitic reactions reported in the LABs, particularly the evolution of CO_2 and CO which can happen from the direct oxidation of the carbon additives in the Pb–C anode by ROS. The subsequent degradation of the carbon additives will eventually be detrimental to the battery due to the loss of conductivity. In addition, ROS may enhance the self-discharge of the anode forming PbSO_4 and accelerate electrode grid corrosion. Till now, most of the research on reducing gas evolution in LAB systems have focused on suppressing HER on the Pb–C anode. But our findings suggest that O_2 plays a much more significant role in the sulfation mechanism. Hence, quantifying ROS generation should be considered to improve future LAB anodes and minimize self-sulfation for enhanced performance. Better performing LABs with longer cycle life can have implications in lead waste reduction.^{11,15,63} However, our results and methodology are not only applicable to LABs. Monitoring ORR-related processes at air-metal batteries is crucial to understand the formation of reactive species that cause interfacial passivation due to interactions with organic components. The methods developed here to detect ROS at OC are relevant for elucidating general mechanistic aspects of battery electrode corrosion, where the intentional^{58–61} or adventitious presence of O_2 could have a determining impact on performance and lifetime of batteries beyond LABs.

Data availability

All the data supporting this article have been uploaded as part of the ESI.†

Author contributions

Abdelilah Asserghine (conceptualization, methodology, validation, formal analysis, investigation, writing – original draft, writing – review & editing, visualization), Aravind Baby (methodology, validation, formal analysis, investigation, writing – original draft, writing – review & editing, visualization), Seth T Putnam (investigation, writing – review & editing), Peisen Qian (formal analysis), Elizabeth Gao (project administration), Hui-min Zhao (validation, writing – review & editing, funding acquisition), Joaquín Rodríguez-López (conceptualization, methodology, validation, formal analysis, investigation, writing – original draft, writing – review & editing, visualization, supervision, funding acquisition).

Conflicts of interest

There are no conflicts to declare.

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