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1, 61Donor and acceptor engineering for BINOL
based AIEgens with enhanced fluorescence
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Organic AIEgens have been widely applied in multiple areas. However, most of them were designed based on AIE core skeletons. It's still a formidable challenge to design efficient AIEgens from simple ACQ fluorophores. Herein, we provided and perfected a strategy for developing new AIEgens by donor and acceptor engineering. By this strategy, we have synthesized two series of organic AIEgens based on a core skeleton of BINOL. The effect of steric hindrance and the electronic effect of the substituent on the optical properties have been discussed. Notably, the introduction of a donor and acceptor with large steric hindrance achieved fluorophores **2b** and **2c** with efficient solid emission, a high AIE effect factor and a large Stokes shift; typically, **2b** exhibited a good performance in bioimaging. Meanwhile, the effect of the balance between the electron donor and acceptor should be paid attention. This AIEgen design strategy serves as new inspiration for turning ACQ skeletons to AIEgens.

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Introduction

Organic fluorophores have great prospects in materials, biological and environmental sciences due to the multiple advantages of easy processing, a wide range of sources, structural diversity, tunable optical properties, *etc.*¹ But traditional fluorophores have been problematic in practice because of aggregation-caused quenching (ACQ), which is caused by inherent strong intermolecular π - π stacking interactions consuming the excitation energy.² Since the emergence of the concept of aggregation-induced emission (AIE), fluorescence materials based on AIE characteristics have attracted much attention due to the straightforward solution to the problem of ACQ, because avoiding the rebarbative ACQ phenomenon could obtain organic fluorophores with superior optical properties. So AIEgens have been widely used in optoelectronic and biological areas.³ Not only that, some of them have been recognized as advanced functional materials in high performance OLEDs,⁴ sensing and imaging,⁵ super resolution imaging,⁶ specific cancer cell imaging,⁷ theranostics, *etc.*⁸ Therefore, it's of great significance to develop more AIE molecules and continue this great work.

As we know, there are two common ways to achieve AIE fluorophores. One is to modify the existing AIE structures, donor (D) and acceptor (A) as well as other functional groups, which could improve the fluorescence performance⁹ or realize multiple applications.¹⁰ Another one is to conjugate an AIE molecule with ACQ luminophores, which is an effective way to transform ACQ luminophores to AIE luminogens.¹¹ The Tang group have obtained a few FR/NIR AIE fluorophores through this way.¹² Admittedly, many excellent AIE fluorophores have been designed by these two methods, but the development of AIE fluorophores also was limited by expanding on a known AIE structure. Therefore, it is urgent and meaningful to find a new and common way to design AIE fluorophores. In order to break the limitation of inherent thinking, we decided to abandon those AIE structures such as TPE but focus on ACQ structures. We want to convert large numbers of ACQ luminophores into AIE luminescence. It's of great significance and challenging work.

Decoding the working mechanism of AIE has important fundamental significance to provide design guidance for the development of new AIE systems for new practical applications.¹³ Despite some controversy, restriction of intramolecular motions RIM has been generally recognized as central to the AIE working mechanism, not only in conventional AIEgens but also in some nonconventional systems, such as clusteration-triggered emission (CTE)¹⁴ and suppression of Kasha's rule.¹⁵ Most AIEgens show highly twisted propeller-like structures compared to conventional ACQ dyes, which suggests that the twisted structure plays a critical role in the AIE phenomenon.¹³ In solution, a highly twisted

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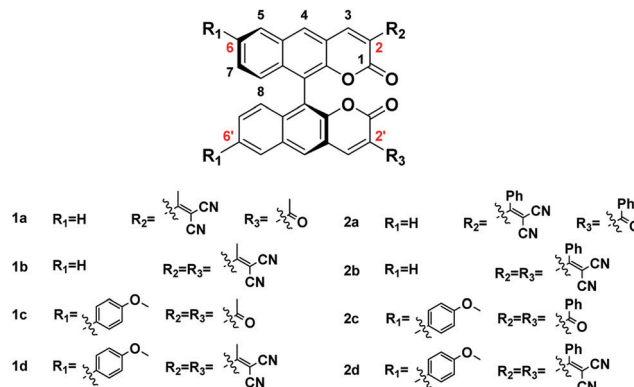


structure exhibits weak emission or is completely non-luminescent due to the free intramolecular motions; conversely intense emission will be observed in the aggregation state due to the RIM. These phenomena and mechanisms guide people on how to construct AIE fluorophores.

In the last few years, various AIE fluorophores have been reported which were based on various ACQ luminophores. People modified large steric groups on ACQ structures to get twisted structures, so as to convert their fluorescence behavior to AIE. The earliest case was reported by Diau, Hsu and co-workers. When the α -olefinic hydrogen atoms of DSB were replaced by methyl groups to generate methylated derivative PPB, the solid fluorescence behavior changed from ACQ to AIE.¹⁶ The crystal structure revealed that the strong π - π stacking interactions of DSB consumed the excitation energy and weakened the light emission, and the strong π - π stacking interactions of PPB were avoided due to the steric hindrance of the two methyl groups,¹⁷ and this made PPB highly emissive in the solid state by the deactivated RIR process. Someone used pyrene to modify fluorophores to convert fluorescence behavior due to its large steric hindrance and high luminous efficiency.¹⁸ Recently, P. K. Iyer's group modified a long alkyl chain to develop a smart AIEgenic nanostructure from a conventional ACQ-prone moiety.¹⁹ Tang's group used bulky aromatic groups successfully resulting in a twisted molecular conformation, which converted the fluorescence behavior from ACQ to AIE, and the increasing of the steric hindrance greatly improved the fluorescence quantum yield.²⁰ These results tell us we can get an AIE molecule based on an ACQ group, and the mechanism was to get a twisted structure just the same as before.

These phenomena and conclusions inspired us very well. In 2018, our group provided a strategy for developing new AIE fluorophores based on BINOL derivative (*S*)-[10,10-bibenzo[*g*]-chromene]-2,20-dione by decorating with diverse electronic and steric effects.²¹ Unfortunately, only one of the four compounds (**BIN-COP**) has the AIE effect. The quantum yield (QY) of **BIN-COP** in the solid state was only 0.97%, and the AIE effect numerical parameter (α_{AIE}) of **BIN-COP** was just 1.31%. In terms of fluorescence properties, it was far from what we expected. The α_{AIE} factor is another important parameter in addition to the QY, which can be quantified by the extent of emission enhancement from the solution state to the aggregation state;¹¹ it's defined as below: $\alpha_{\text{AIE}} = \phi_{\text{F,solid}}/\phi_{\text{F,solution}}$. This definition provides us with an important design idea to improve the AIE effect: reducing the quantum yield in the solution state while increasing the quantum yield of the solid state.

Tang's group has effectively raised the AIE effect by reducing the quantum yield in solution.²² When enhancing the electron donor effect, molecular rotation was sped up to serve as non-radiative pathways to deactivate the excited states in the solution, and such rotational motions could be restricted in the aggregation state. In addition, color tunable AIEgens were obtained. The result also can be induced by enhancing the electron acceptor.²³ There was also comprehensive regulation of the two, and the emission in solution was completely quenched.²⁴ And there was another phenomenon – when the electronic effect was enhanced to a



Scheme 1 Design of molecular fluorophores.

certain extent, the fluorescence will be quenched.²⁵ From these research achievements, we have summarized and provided a systematic AIE fluorescence design strategy based on modifiable ACQ fluorescence: modifying several structures with large steric hindrance and a suitable electronic effect. It is conceivable that you can get some good results through our strategy: an ACQ to AIE transformation and enhanced fluorescence performance, which could be concretely described as: a better AIE effect, a larger solid quantum yield, larger Stokes shifts and tunable emission.

In this study, we have developed eight fluorophores with different AIE properties by our strategy (Scheme 1). As expected, with the change of electronic properties and steric hindrance, we have successfully obtained several fluorophores with much higher efficiency emission and a much better AIE effect than **BIN-COP**. Meanwhile, color tunable fluorophores with a large Stokes shift of BINOL derivatives were obtained, which initiated and developed color tunable solid luminescent materials of BINOL derivatives. In particular, the probe was applied in cell imaging.

Results and discussion

Donor and acceptor engineering on the molecular fluorophores

Our design strategy mainly includes two aspects, steric hindrance and electronic effects of substituent groups. As shown in Scheme 1, we built a D- π -A structure to investigate our strategy, which could create an organic fluorophore with tunable optical properties.²⁶ We chose methoxyphenyl as an electron donor which has a steric hindrance effect. We chose acetyl, benzoyl, methyl allyl dinitrile and aryl allyl dinitrile as electron acceptors which have different steric hindrance and electronic effects. The nitrile group has been frequently utilized in the design of AIE fluorophores due to its steric hindrance and electronic effects,²⁷ which can be readily appreciated from the data shown by the Ma group.²⁸ Obviously, for the electron donating effect: methoxyphenyl > H, for the electron accepting effect: methyl allyl dinitrile > aryl allyl dinitrile > acetyl- > benzoyl-, and for steric hindrance: aryl allyl dinitrile > methyl allyl dinitrile > benzoyl- > acetyl-, methoxyphenyl > H. To investigate donor and acceptor engineering, we made a subtle modification in the electron acceptor at position 2 (R_2/R_3); acetyl- and methyl allyl



dinitrile were used as the acceptor to afford the fluorophores **1a** and **1b**, and methyl allyl dinitrile was used as the donor at position 6 (R_1) conjugated with **1a** and **1b** to afford the fluorophores **1c** and **1d**, respectively. To further investigate the steric hindrance of the substituent group, aryl substituents were used on the basis of **1a–1d** to afford the fluorophores **2a**, **2b**, **2c** and **2d**, respectively (Scheme 1).

We could draw conclusions as defined below: the electron push–pull effect of these molecular fluorophores: **BIN-COM** < **1a** < **1b** < **1c** < **1d** (or **BIN-COP** < **2a** < **2b** < **2c** < **2d**); **BIN-COP** ~ **2d** < **BIN-COM** ~ **1d**, and the steric hindrance of these substituents: **BIN-COM** < **1a** < **1b** < **2a** < **2b**; **BIN-COP** < **2a** < **2b**; **1c** < **2c** < **1d** < **2d**. Preparation details and physical characterization of the BINOL(S) dialdehyde and **BIN-COM** and **BIN-COP** were given in the reported literature.²¹ Methoxyphenyl was connected with BINOL(S) dialdehyde through a Suzuki coupling reaction. Compounds **BIN-COM**, **BIN-COP**, **1c**, and **2c** were readily achieved by using a cyclization reaction between BINOL(S) dialdehyde derivatives and appropriate esters, and the dinitrile substituent compounds **1a**, **1b**, **1d**, **2a**, **2b** and **2d** were readily achieved by a one-step condensation reaction between ketones and malononitrile. All the compounds were easily purified by column chromatography or recrystallization with a reasonable yield. All of the target products were characterized by ¹H NMR, ¹³C NMR, and high resolution mass spectrometry. The synthesis details are presented in the ESI.†

Frontier molecular orbitals and crystal structures

To better understand the electronic transitions of these fluorophores, density functional theory calculations were performed. The ground state geometries of all compounds were optimized using DFT with the B3LYP hybrid functional at the basis-set level of 6-31G*. As shown in Fig. 1, the calculation results showed that the majority of the electron cloud distribution of the highest occupied molecular orbital (HOMO) was very similar for each compound, which was located on the BINOL moiety and the electron-donating moieties. While the electron cloud of the lowest unoccupied molecular orbital (LUMO) was spread primarily over the coumarin and –C(X)R framework and the electron-accepting group, the LUMO was more delocalized over the electron acceptor group with increasing accepting effect. It demonstrated the existence of intramolecular charge transfer (ICT) character for these compounds and was consistent with the experimental results of solvent effects.

The energy levels of the HOMO rise obviously with increasing electron donating effect, and the energy levels of the LUMO decrease with increasing electron accepting effect. It showed that **1d** and **2d** have a lower energy gap (ΔE) from the HOMO to the LUMO than other molecules due to the D– π –A structure; the ΔE values of **1d** and **2d** were 2.87 eV and 2.74 eV, respectively. With the decrease of the energy gap, the larger the red shift of the emission. It suggests that **1d** and **2d** have larger Stokes shifts, which is consistent with the experimental results. The substantial shifting of electron clouds indicates the occurrence of twisted intramolecular charge transfer (TICT) in these AIEgens upon excitation, which is responsible for their large Stokes shifts

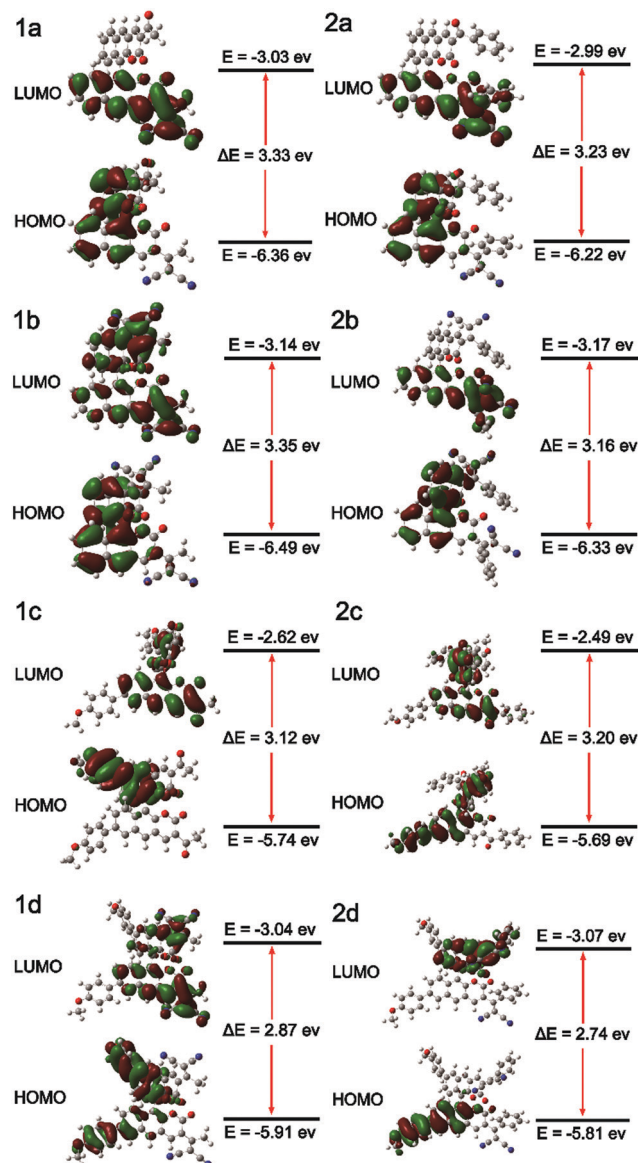


Fig. 1 Molecular orbital amplitude plots of the HOMO and LUMO energy levels of fluorophores **1a–2d** calculated by using the B3LYP/6-31G(d,p) basis set.

and redshift in DMSO.²² Through comparing the ΔE values of series 1 and 2, it's discovered that: **1a** < **2a**, **1b** < **2b**, **1c** > **2c**, and **1d** < **2d**. The results of **2a**, **2b**, and **2d** were different from **2c** due to the conjugation length of aryl allyl dinitrile. We can see the reason from the electron clouds; as shown in Fig. 1. **2c**, the weak electron accepting effect of acyl- could only involve a small part of the benzene ring in ICT, and the weaker electron accepting effect of the substituent group led to this special result: $\Delta E_{1c} > \Delta E_{2c}$. Comparing the ΔE values with **BIN-COP**, all of the eight molecular fluorophores decrease significantly, which suggests a red emission peak.

To obtain the underlying reasons for these significant substituent groups with different steric hindrance and electronic effect dependent emission behavior, inspection of single crystals was performed. The single crystal structures of **2b**, **1c**, and **2c** are



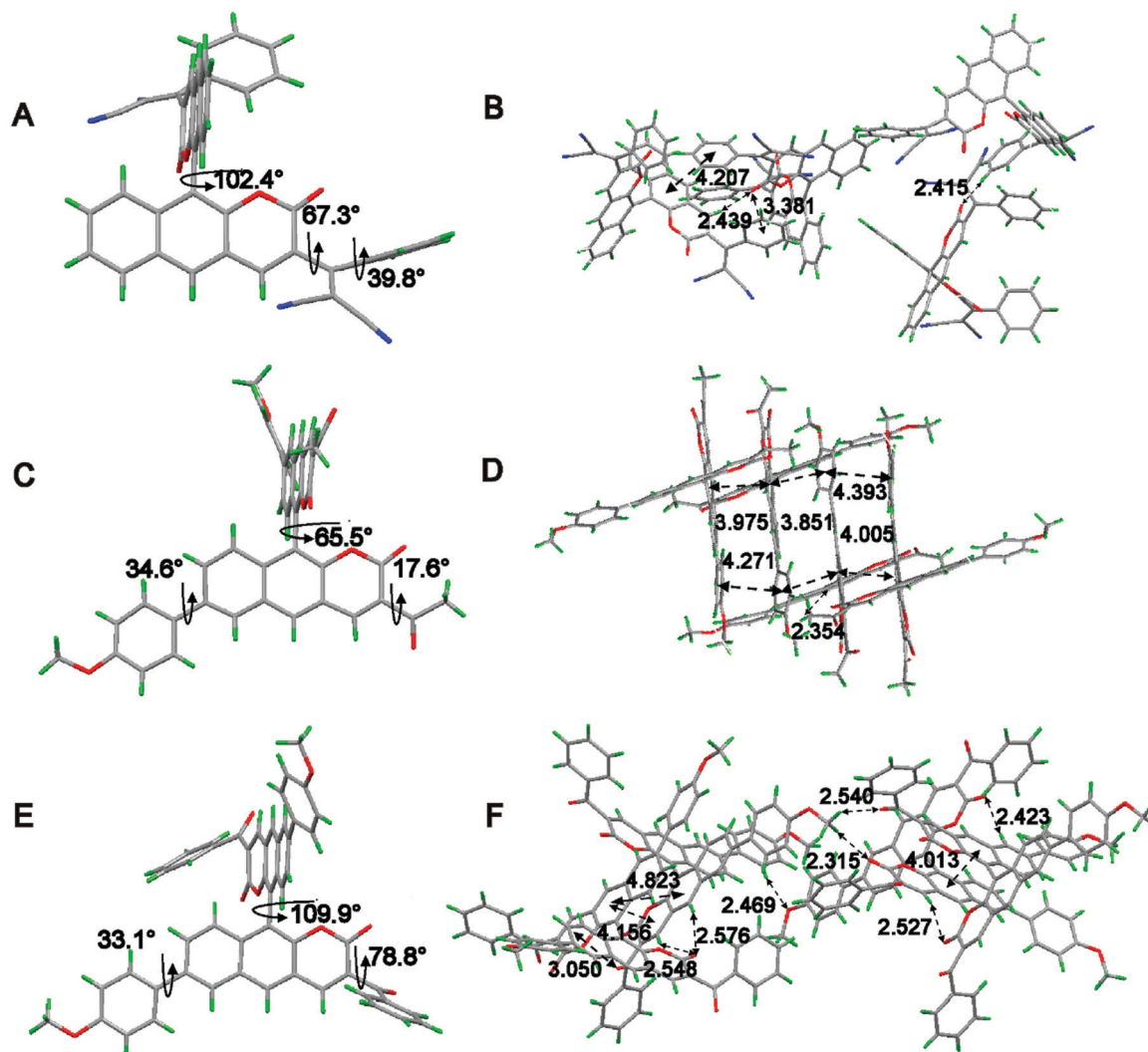


Fig. 2 Single-crystal structures of (A) **2b**, (C) **1c** and (E) **2c** and the molecular packing of (B) **2b**, (D) **1c** and (F) **2c**. Carbon, hydrogen, nitrogen and oxygen atoms are shown in grey, green, blue, and red, respectively. The single-crystal data can be found in the CCDC, the deposition numbers of **2b**, **1c** and **2c** are 1907970, 1907963 and 1907968, respectively.

shown in Fig. 2 and Fig. S1 (ESI[†]), and the distances between the adjacent molecules are shown in Table S1 (ESI[†]). According to our previous reports,²¹ **BIN-COM** has an ACQ effect; such a phenomenon results from the rare steric hindrance, resulting in a short distance between the two adjacent planes, and leading to encountering strong and consecutive intermolecular π - π stacking interactions, and resulting in weakening of the fluorescence in the solid state. In contrast, **BIN-COP** shows a twisted molecular structure. The torsion angle between the central benzocoumarin planes is 62.9°, and the torsion angle between the central benzocoumarin plane and the R group is 47.5°, and the distance between the central benzocoumarin planes of two adjacent molecules is 4.828 Å, which can avoid fluorescence quenching in either the solid state or aggregation state by avoiding intermolecular π - π interactions. Compared with **BIN-COP**, the substituent groups of **2b** are aryl allyl dinitrile, which has a larger steric hindrance. As shown in Fig. 2A, the torsion angle between the central benzocoumarin planes is 102.0°, and the torsion

angles of the dinitrile group with the central benzocoumarin plane and phenyl are 67.3° and 39.8°, respectively. As a result, **2b** is a more distorted structure than **BIN-COP**, which apparently resulted from steric congestion between aryl allyl dinitrile and neighboring phenyl rings. As shown in Fig. 2B, **2b** doesn't have two parallel planes like **BIN-COP**, and the distance of two adjacent molecules is 4.207 Å (> 4.076 Å). Tang's group reported that there was no typical π - π stacking interactions in the 4.076 Å distance,²⁹ and Xu's group observed that the ACQ phenomenon existed in bis(4-carbazol phenyl)sulfone, whose distance between two adjacent phenyls was just 3.70 Å, and a strong AIE phenomenon was noted in bis(4-phenothiazine phenyl)sulfone when the steric hindrance was enhanced.³⁰ The above data fully illustrate that aryl allyl dinitrile can distort the molecular structure even more, and change the way that the molecules pack, which results in eliminating intermolecular π - π interactions completely, and then enhancing their emission efficiency in the solid state and aggregation state as we expected.



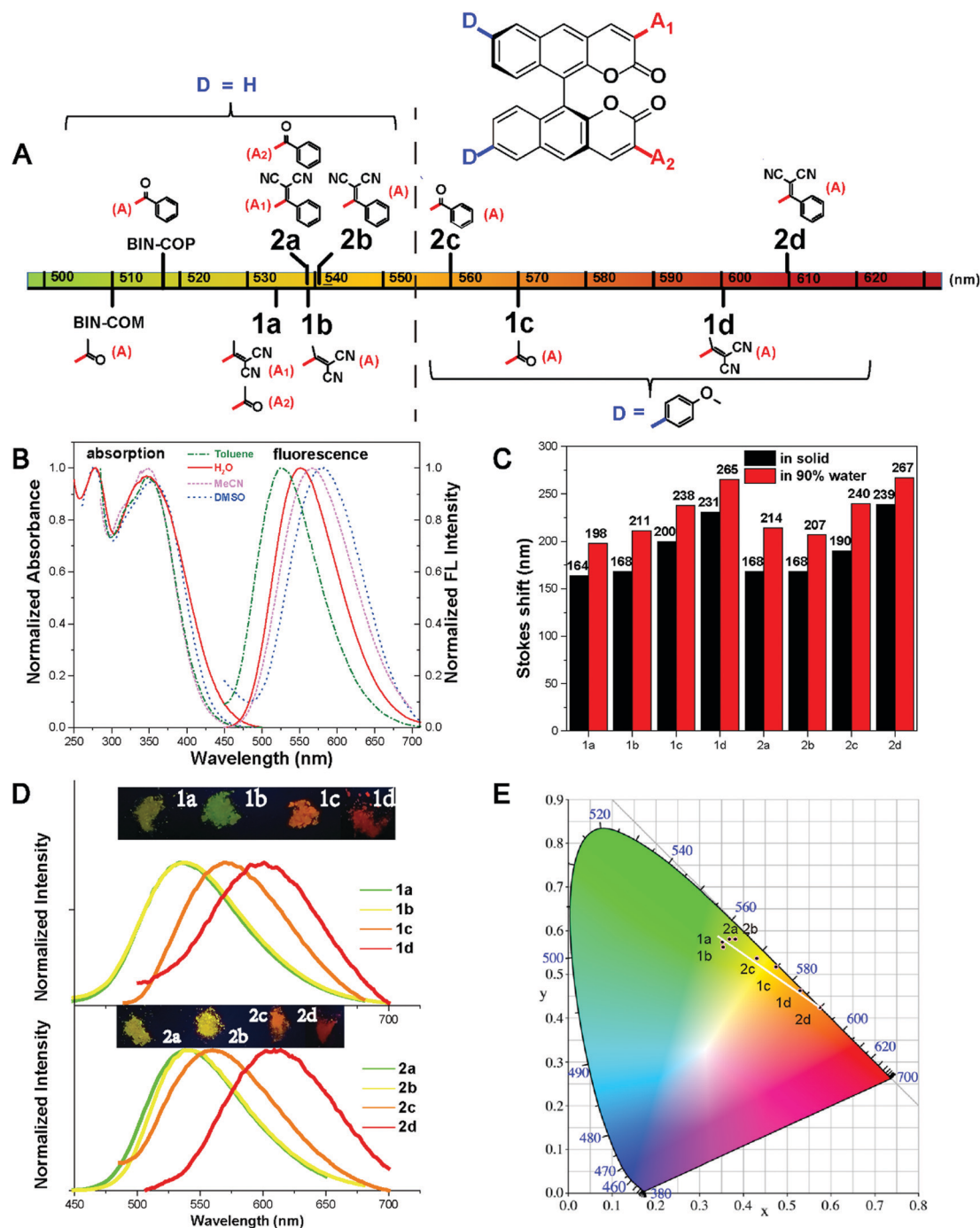


Fig. 3 (A) Effect of subtle structure modification on solid state emission. (B) Absorption and emission spectra of **2b** in different solutions. (C) Stokes shift ($\Delta\lambda$) of all compounds in the solid and aggregation state (90 vol% water fractions in DMSO/water). (D) Normalized fluorescence spectra of **1a–1d** and **2a–2d** in the solid state, $\lambda_{\text{ex}} = 370$ nm, and photographs taken under irradiation with 365 nm UV light. (E) Solid fluorescence spectra of all compounds plotted on a CIE 1931 chromaticity diagram.

behavior changes from the ACQ-effect to AIE-active. When we modified methoxyphenyl at the 6/6' positions of **BIN-COM** to get **1c**, the fluorescence behavior changes from the ACQ-effect to AIE-active, too. Not only that, the fluorescence performance is enhanced in the solid state ($\text{QY}_{\text{BIN-COM}} = 0.98\%$, $\text{QY}_{1b} = 2.55\%$, $\text{QY}_{1c} = 2.93\%$). When the substituent group alters from methyl allyl dinitrile (**1b**) and acetyl- (**1c**) to aryl allyl dinitrile and

benzoyl-, the corresponding compounds **2b** and **2c** show higher emission; the quantum yields of them in the solid state (QYs) are both 6.3%. The fluorescence performance was further improved. Obviously, **2b** is much better than **BIN-COP** ($\text{QY} = 0.97\%$) due to the substituent group change from benzoyl- to aryl allyl dinitrile. These results are attributed to the increase of the steric hindrance and conjugation length; the more distorted structure enhances



Compound	λ_{abs}^a [nm]	ε^a [M ⁻¹ cm ⁻¹]	λ_{em} [nm]			Quantum yield (%)		
			Soln ^b	Aggre ^c	Solid ^d	ϕ_F^b	ϕ_F^c	ϕ_F^d
1a	340	5.24×10^4	562	544	534	~ 0.1	0.58	3.1
1b	349	4.21×10^4	574	550	538	~ 0.1	3.23	2.55
1c	346	3.29×10^4	605	588	570	0	0.3	2.93
1d	379	1.35×10^4	—	612	601	0	~ 0.1	0.16
2a	354	6.20×10^4	579	551	538	~ 0.1	3.58	4.43
2b	354	6.22×10^4	582	553	541	~ 0.1	5.41	6.3
2c	340	4.80×10^4	608	580	560	0	3.46	6.3
2d	353	5.14×10^4	—	624	609	0	~ 0.1	0.15

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The particle size for **1a–2d** in the mixture with water fractions of 99% has been studied by using dynamic light scattering (DLS) (Fig. S7, ESI†). For example, in Fig. 4C the particle size of **2b** is 102 nm, confirming the formation of nano aggregates.

The fluorescence lifetime (τ) values of fluorophores **1a–2d** are listed in Table S5 (ESI†). As shown in Fig. S8 (ESI†) and Fig. 4D, we can intuitively see the changes of the fluorescence lifetime in the solution, aggregated and solid states, which is significantly increased from the dissolved state to the aggregated state. To verify the photoemission dynamics, the radiative transition rate constant (K_r) and the non-radiative transition rate constant (K_{nr}) were calculated using the fluorescence lifetimes and the quantum yields (Tables S6 and S7, ESI†). Taking compound **2b** as an example, K_{nr} (1.44×10^9) is remarkably greater than K_r (1.44×10^6) in solution. However, K_{nr} decreases to 3.76×10^8 while K_r enhances to 2.53×10^7 in the solid state. Such results suggest that restraining the non-radiative decay and exciting the radiative decay are the major ways to improve the quantum yield in the solid state.

Cell imaging of HepG2

The high fluorescence QY and large Stokes shift of **2b** can benefit biological imaging; the Stokes shift of **2b** in PBS buffer is 206 nm, which is highly desirable for bioimaging application by avoiding autofluorescence, and the unique AIE property could avoid the ACQ effect of traditional organic fluorescent probes. We found that **2b** can mix with oleic acid in PBS buffer to form nano-aggregates **OA–2b**, which improved the water-solubility of **2b**. As shown in Fig. S9A (ESI†), **OA–2b** has a smaller particle size in PBS buffer than **2b**, indicating that **OA–2b** can be better dispersed in PBS buffer, which suggests efficient emission based on AIE (Fig. S9B, ESI†). Oleic acid is often used as an assistant in lipid droplet (LD) application of biological imaging because it can enter the LDs and make them larger; we have imaged this process with **OA–2b** in live HepG2 cells by confocal laser scanning microscopy (CLSM). As shown in Fig. 5, within 1 hour, most **2b** gathered outside the cell membrane due to the hydrophobicity, while a little **OA–2b**

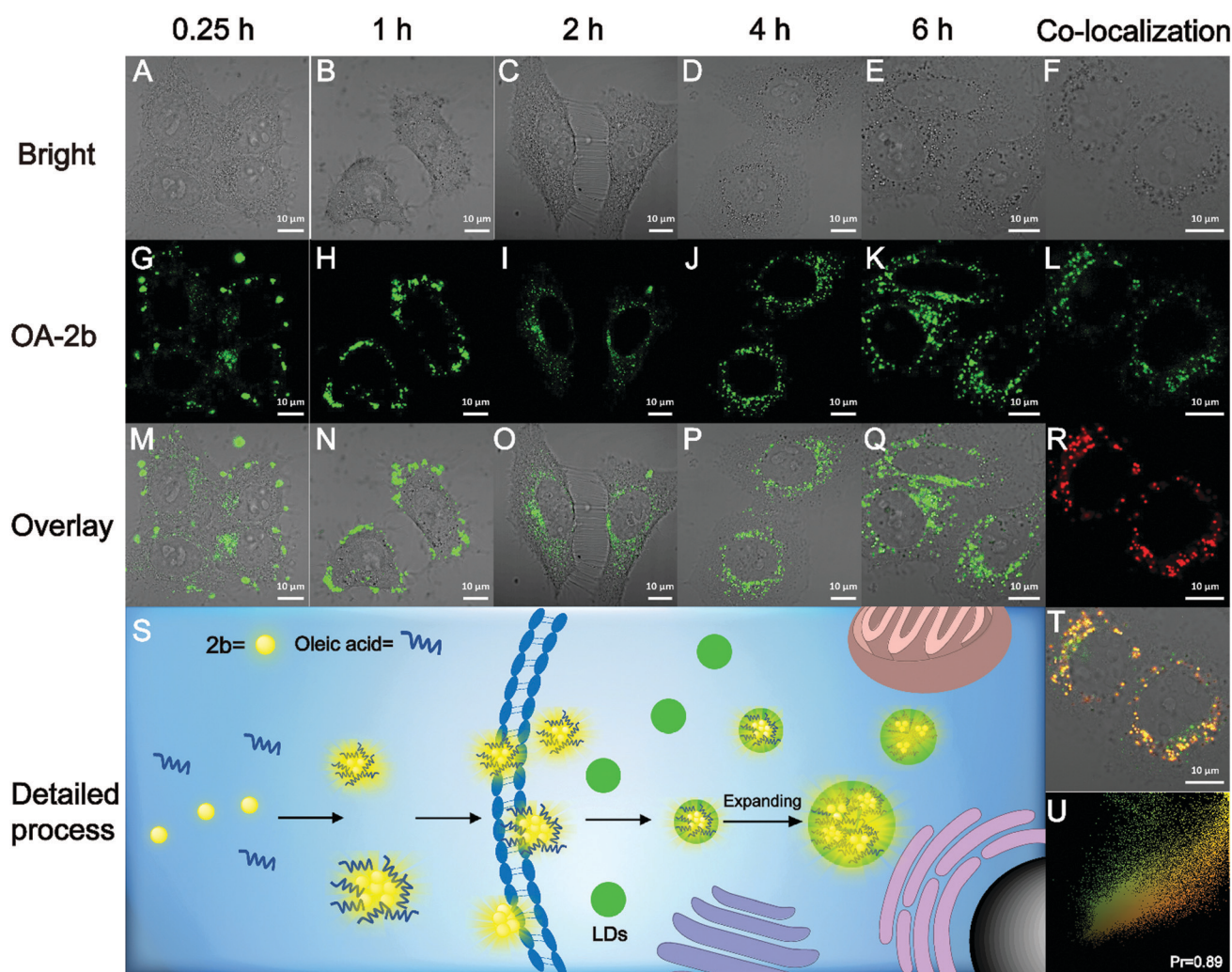


Fig. 5 Live HepG2 cells incubated with **OA–2b** for different times: (S) detailed process of LD imaging. Live HepG2 cells incubated with **OA–2b** for 6 hours and BODIPY 493/503 for 15 min: (L) the **2b** channel, (R) the BODIPY 493/503 channel, (X) the overlay of (L) and (R), and (Z) the co-localization. **2b** channel: λ_{ex} = 405 nm and λ_{em} = 535–640 nm; BODIPY 493/503 channel: λ_{ex} = 488 nm and λ_{em} = 480–520 nm.



entered the cell. In 2 hours, large amounts of **OA-2b** entered the cells and the emission of **OA-2b** was observed easily. As time went on, the amount of **OA-2b** in LDs gradually increased; after 4 hours, it achieved good imaging of HepG2 cells, and we can observe by the naked eye that the particles of LDs enlarged by about 2 times when incubated for 6 hours. We can see the enlarged inset details which are marked by the red ellipse in Fig. S10 (ESI[†]). The whole imaging process is detailed in Fig. 5S. The other data with different incubation times are presented in Fig. S11 (ESI[†]). After incubation with **OA-2b** for 6 hours, a commercially available LD-imaging agent BODIPY 493/503 was used to co-stain the HepG2 cells. To avoid optical crosstalk, we excited the **OA-2b** and BODIPY 493/503 at 405 and 488 nm, respectively. We collected the emission of **2b** from 535 to 640 nm, and BODIPY 493/503 from 480 to 520 nm, respectively. As shown in Fig. 5U, the result verified the functionality of oleic acid. Additionally, autofluorescence was completely avoided under this condition. As shown in Fig. S12 (ESI[†]), the cell viability experiments indicated that **2b** is suitable for biological applications with low cytotoxicity.

Conclusions

In this work, we have provided a donor and acceptor engineering strategy to change the fluorescence behavior and enhance the fluorescence performance with aggregation-induced emission. By enlarging steric hindrance it's able to enhance the fluorescence emission efficiency in the solid state by a twisted molecular conformation and to avoid the typical π - π stacking interactions. In addition, by adjusting the electron accepting group and electron donating group we can reverse the trend of the quantum yields between the solution and solid phases. We applied this strategy to the creation of new AIEgens based on BINOL. We have obtained two series of color tunable AIE-active fluorophores by subtle structure modification. Their optical properties and several single crystal packing structures have been systematically investigated. The results indicate that methoxyphenyl, benzoyl and aryl allyl dinitrile are efficient substituents for AIE fluorophores, especially aryl allyl dinitrile. Finally, we have obtained two representative fluorophores **2b** and **2c** with large Stokes shift and high quantum yield, and **2b** was successfully applied to HepG2 cell imaging very well. We believe our strategy provides a systematic and efficient way to build novel organic solid fluorophores from simple ACQ fluorophores. From fundamental and practical viewpoints, it will promote the rapid development of AIE fluorescence systems.

Conflicts of interest

There are no conflicts to declare.

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