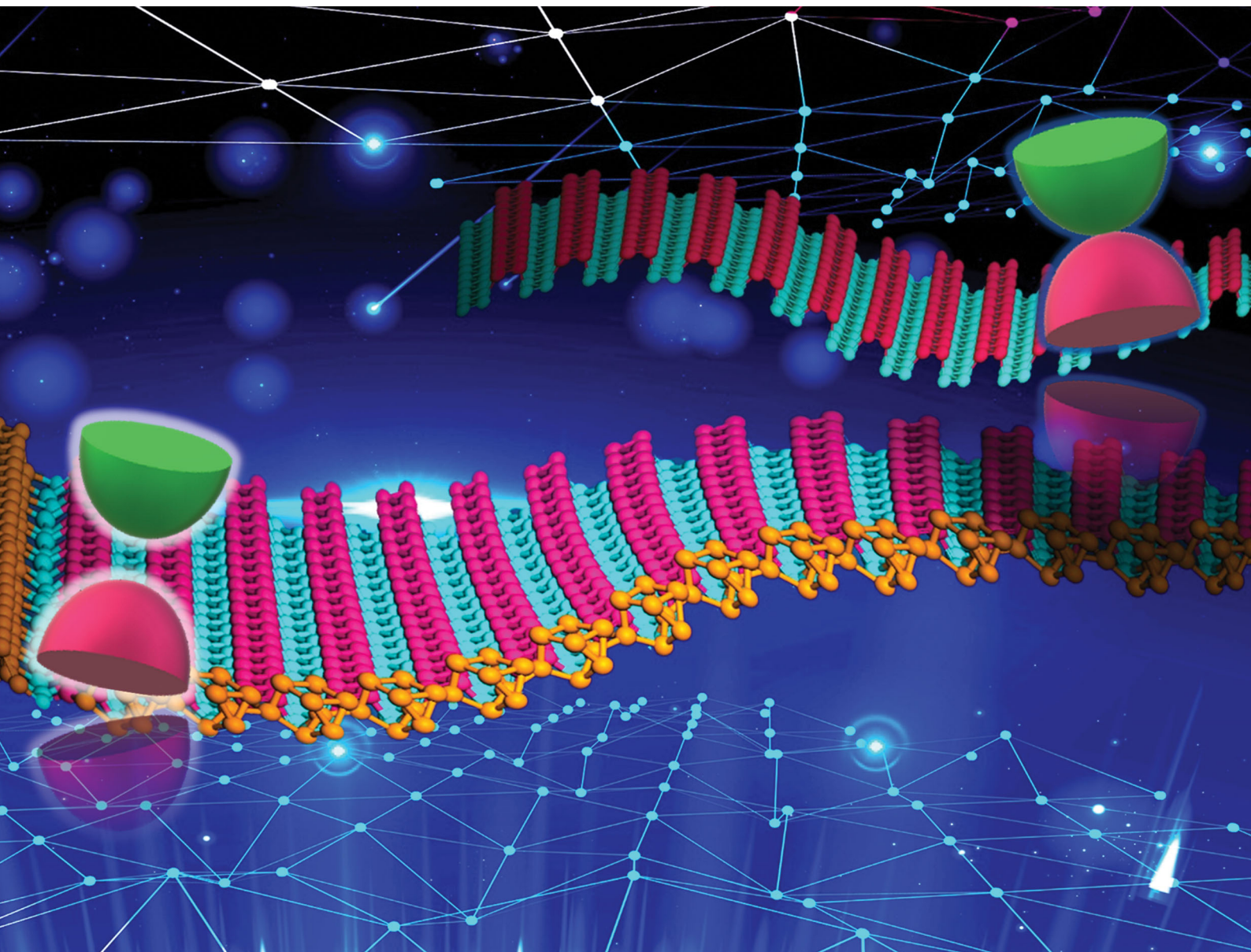


# Nanoscale Horizons

The home for rapid reports of exceptional significance in nanoscience and nanotechnology



[rsc.li/nanoscale-horizons](https://rsc.li/nanoscale-horizons)



ISSN 2055-6756



# Self-passivation leads to semiconducting edges of black phosphorene†

Li Ping Ding <sup>ab</sup> and Feng Ding <sup>\*ac</sup>

Cite this: *Nanoscale Horiz.*, 2021, 6, 148

Received 19th August 2020,  
Accepted 2nd December 2020

DOI: 10.1039/d0nh00506a

[rsc.li/nanoscale-horizons](http://rsc.li/nanoscale-horizons)

The edges of black phosphorene (BP) have been extensively explored. The previous experimental observations that all the BP edges are semiconducting implies that the as-cut edges of BP tend to be reconstructed. Here we present a global structural search of three typical BP edges, namely armchair, zigzag and zigzag-1 edges. It is found that all the three pristine edges are metastable, and all of them can be quickly self-passivated by (i) forming P=P double bonds (one  $\sigma$  and one  $\pi$  bond), (ii) reconstructing new polygonal rings will all P atoms bonded with three  $sp^3$  bonds or (iii) forming a special P(2)–P(4) configuration with a two-coordinated P atom accommodating two lone pair electrons and one four-coordinated P atom without lone pair electrons. Highly different from the pristine edges, all these highly stable reconstructed edges are semiconducting. This study showed that the reconstruction of the edges of a 2D material, just like the surfaces of a 3D crystal, must be considered for both fundamental studies and practical applications. Besides BP, this study also sheds light on the structures and properties of the edges of many other 2D materials.

### New concepts

Similar to the surface of three-dimensional (3D) materials, the edge of a two-dimensional (2D) material has a great impact on its fundamental properties and applications. In this study, using black phosphorene as an example, we showed that the complete understanding of the edge reconstruction of a 2D material is possible because of the reduced dimensionality. Three typical edges of black phosphorene (BP), namely armchair, zigzag and zigzag-1 edges, have been studied based on a global structural search method, which is different from the previous manual studies. It is found that all the three pristine edges are metastable, and they can be quickly self-passivated by three types of configurations. Highly different from the previous pristine edges, all these highly stable reconstructed edges are found to be semiconducting, which explains the puzzling experimental observations. This study implies that the highly stable reconstructed edges of BP are expected to pave a way for stabilizing BP for real applications. Furthermore, it also demonstrates the importance of considering the edge reconstruction in understanding the fundamental properties of various 2D materials and, therefore, carefully re-exploring the electronic properties of the edges of various 2D materials, such as those of various 2D nanoribbons, is necessary.

## Introduction

On the tenth anniversary of the birth of graphene, another single element two-dimensional (2D) material, black phosphorene (BP), was successfully exfoliated from the black phosphorus bulk and immediately received considerable attention because of its

exotic properties, such as very high carrier mobility, tunable bandgap and high anisotropy.<sup>1–3</sup> Unlike graphene, 2D black phosphorus is a promising semiconductor possessing a direct band gap which can be tuned from 0.59 eV (five-layers) to 1.51 eV (mono-layer) by thickness control.<sup>4</sup> In addition, its peculiar orthorhombic structure resembling corrugated cardboard results in high anisotropy with respect to the in-plane direction. Together with the high carrier mobility of over  $1000 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  at room temperature, the layer-dependent direct band gap and anisotropic property promise its applications in high performance optoelectronics,<sup>5,6</sup> transistors<sup>7,8</sup> and other novel devices.<sup>9–14</sup> For example, BP based field-effect transistors<sup>2,7,8</sup> have exhibited a high on/off ratio of  $10^5$ . Meanwhile, in sharp contrast with graphene, BP is known to be highly unstable and to stabilize BP is the primary goal towards its applications.

As is well known, the surface is a key part of a three-dimensional (3D) material, and it may affect the mechanical, electronic and chemical properties of the material greatly, but

<sup>a</sup> Center for Multidimensional Carbon Materials, Institute for Basic Science (IBS), Ulsan 44919, Republic of Korea. E-mail: f.ding@unist.ac.kr

<sup>b</sup> Department of Optoelectronic Science & Technology, School of Electronic Information and Artificial Intelligence, Shaanxi University of Science & Technology, Xi'an 710021, China

<sup>c</sup> Department of Materials Science and Engineering, Ulsan National Institute of Science and Technology (UNIST), Ulsan 44919, Republic of Korea

† Electronic supplementary information (ESI) available: The metastable edge structures of black phosphorene, the band structures based on the PBE method, the experimental results from Meunier's group, the band structures and density of states of pristine edges, and the band gaps of edge reconstructed BPNRs as a function of their width. See DOI: 10.1039/d0nh00506a

finding the exact surface structure of a 3D material is a great challenge until now. Just like the surface of a 3D material, the edges of a 2D material also have a great impact on its properties,<sup>15–19</sup> and it is lucky that the reduced dimensionality allows us to explore the exact atomic structure of the one dimensional (1D) edges of 2D materials relatively easily.<sup>20,21</sup> In this study, we explore the edge reconstruction of BP and the electronic properties of the reconstructed edges. Generally, a freshly cleaved edge of a 2D material has some unsaturated orbitals or dangling bonds, which results in a high formation energy of the edge and possible rearrangement of electrons or atoms in the vicinity of the edge to form more stable bonding configurations or reconstructed edges. A reconstructed edge generally has very different electronic, optical and magnetic properties from the pristine one and is more practical for real applications.<sup>19,22–26</sup>

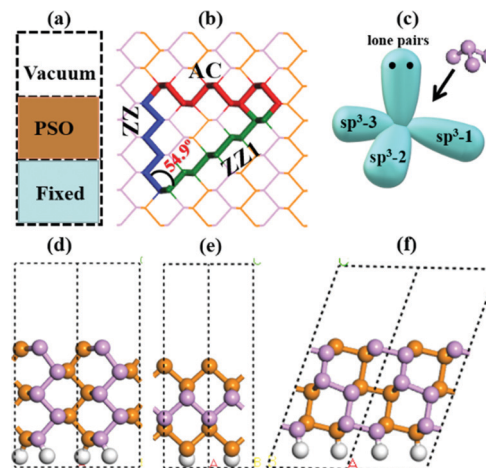
Because of the excellent properties of BP, BPNRs have drawn great attention and have been explored extensively. Although some previous studies have mentioned the possibility of edge reconstruction, most of them consider freshly cleaved pristine BP edges and have found that BPNRs with pristine armchair (AC) edges are semiconducting,<sup>27,28</sup> while those with pristine zigzag (ZZ) and zigzag-1 (ZZ1) edges are metallic.<sup>29–32</sup> In 2014, Meunier *et al.*<sup>33</sup> determined a model for phosphorene edge reconstruction through a combination of high-resolution scanning tunneling spectroscopy (STS) and first-principles calculations. Their results showed that most of the dangling bonds of the edge are self-passivated by switching the coordination number of edge atoms. In 2016, Gao *et al.*<sup>34</sup> identified a highly stable zigzag edge with nanotube-like reconstruction theoretically. In 2017, Kim and co-workers<sup>35</sup> showed two reconstructed models of the ZZ edge of few-layer phosphorene based on transmission electron microscopy (TEM) and density functional theory (DFT) calculations. These pioneering studies emphasized the fact that the as-cut edges of BP are generally unstable and may undergo reconstructions. However, all the structures in previous studies were made manually based on the experiences of the researchers and a systematic search for all possible edge configurations and the exploration of their electronic stabilities are still absent.

In this study, we present a systematic study on the edge reconstruction of BP based on an unbiased global search method with the software package of Crystal structure AnaLYsis by Particle Swarm Optimization (CALYPSO),<sup>36,37</sup> combined with first-principles calculations. Three series of reconstructed phosphorene edges along the AC, ZZ and ZZ1 directions, respectively (Fig. 1b), are revealed and their relative stabilities are calculated. It was found that all highly stable edges are self-passivated and are semiconducting, which proves that edge self-passivation is highly important for determining the electronic properties of BPNRs and their applications.

## Results and discussion

### The structures of the reconstructed edges of BP

To explicitly explore the edge reconstruction of BP, we have performed a systematic structural search. As shown in Fig. 1a,



**Fig. 1** (a) The theoretical model for edge reconstruction searching. (b) The three edges in the BP single crystal lattice. (c) The  $sp^3$  hybridized orbital model of a phosphorous atom. The freshly cleaved edge models of pristine (d) armchair (AC), (e) zigzag (ZZ) and (f) zigzag-1 (ZZ1) edges. The dangling bonds on one side of each ribbon are saturated by hydrogen atoms. The orange spheres, pink spheres and gray spheres represent the lower level phosphorus atoms, the upper level phosphorus atoms and the hydrogen atoms, respectively.

there are three parts in the search model: fixed, relaxed and the vacuum region. Three types of highly symmetric edges (Fig. 1b), AC (Fig. 1d), ZZ (Fig. 1e) and ZZ1 (Fig. 1f), are considered. The purpose of frozen nanoribbons with hydrogen-passivated armchair (zigzag) edges is to simplify the edge prediction calculations. The edge formation energies of the final structures are calculated by:

$$E_{\text{edge-f}} = \frac{(2E_2 - E_1)}{2L} \quad (1)$$

where  $E_2$  is the energy of the edge reconstructed BPNR,  $E_1$  is the energy of a double sized BPNR with both edges terminated by hydrogen atoms and  $L$  is the length of the BPNR.

The top five most stable structures and their formation energies of the AC, ZZ and ZZ1 edges are presented in Fig. 2. For comparison, the broadly explored pristine edge structures in previous studies<sup>27–31</sup> are also shown. More low-lying energy structures are displayed in Fig. S1 of the ESI.† It is found that some of the optimized structures have been reported in previous experimental<sup>33,35</sup> and theoretical studies,<sup>34</sup> indicating the reliability of our global structural search. To verify the effect of van der Waals force correction in the calculations, we re-optimized the lowest energy reconstructed-edge structures (AC-I, ZZ-I and ZZ1-I) and pristine edge structures using the DFT-D3 and DFT-D2 methods.<sup>38,39</sup> The results are shown in Fig. 3 and Table S1 (ESI†). It is found that the stability orders of the structures based on the three methods are identical, and the structures with edge reconstruction are still more stable than the pristine ones.

In all stable isomers of bulk phosphorus, an  $sp^3$ -hybridized P atom bonds with three neighboring P atoms and one of its  $sp^3$  orbitals is occupied by a lone pair (Fig. 1c). A pristine





Fig. 2 The highly stable structures of AC, ZZ and ZZ1 edges together with their edge formation energies (units of  $\text{eV } \text{\AA}^{-1}$ ) shown below each configuration. The pristine edge structures without considering edge reconstruction are shown with a gray background. The structure ZZ-II with a light blue background has been reported in the literature.<sup>34</sup> The orange, pink, red and green spheres represent the lower level phosphorus atoms, the upper level phosphorus atoms, and the phosphorus atoms which have four  $\text{sp}^3$  bonds and two  $\text{sp}^3$  bonds, respectively.

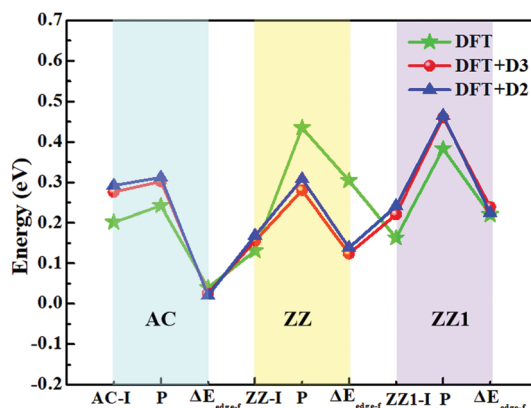


Fig. 3 The edge formation energies (units of  $\text{eV } \text{\AA}^{-1}$ ) of the reconstructed edges, AC-I, ZZ-I and ZZ1-I, as well as those of pristine AC, ZZ and ZZ1 edges (expressed by P) calculated by three different methods: DFT, DFT-D3 and DFT-D2. The edge formation energy differences ( $\Delta E_{\text{edge-f}}$ ) between the pristine and reconstructed edges are also presented. The light green, yellow and purple regions indicate AC, ZZ and ZZ1 edges, respectively.

phosphorene edge generally has unpaired electrons known as dangling bonds, leading to a high formation energy and lower stability. As will be seen in our analysis, the dangling bonds in most low-lying reconstructed edges are properly passivated and the stabilities of these edges are greatly enhanced. From their edge formation energies shown below each configuration of Fig. 2, we can see that most of these reconstructed edges are much more stable than the pristine ones.

The most stable AC edge, AC-I, has a quadrangle and a pentagon in a unit cell (shown with the region with a yellow background). This leads to a unique edge reconstruction with one P atom being two coordinated and one of its neighboring P atoms being four coordinated; we name it the P(2)–P(4) reconstruction hereafter. The edge formation energy of this structure is  $0.202 \text{ eV } \text{\AA}^{-1}$ , which is lower than the pristine AC edge ( $0.242 \text{ eV } \text{\AA}^{-1}$ ). The P(2)–P(4) reconstruction is also shown in other low-lying structures, such as AC-IV, AC-VII, AC-IX and AC-XII (some of these structures are shown in Fig. S1, ESI†). In the reconstructed edge of the second low-lying structure (AC-II), the two P edge atoms are connected by a double bond  $\text{P}=\text{P}$  (one  $\sigma$  and one  $\pi$  bond). The  $\text{P}=\text{P}$  reconstruction is highly different from the  $\text{sp}^3$  hybridized P atoms in bulk black phosphorus isomers.<sup>41,42</sup> In edge structure AC-V, four edge atoms were reconstructed into a  $\text{P}_4$  cluster and the  $\text{P}_4$  cluster connects with the bulk part of BP with two  $\sigma$  bonds. In such a configuration, all atoms have three  $\text{sp}^3$  bonds. However, the angles between neighboring  $\text{sp}^3$  bonds in structure AC-V are very different from those in bulk BP, resulting in a relative higher energy of the AC-V edge.

The most stable reconstructed ZZ-I edge exhibits a greatly reconstructed nanotube-like structure, which is very different from those shown in previous studies.<sup>27–31,33–35</sup> In comparison with the pristine AC edge, the pristine ZZ edge possesses a much larger formation energy,  $0.435 \text{ eV } \text{\AA}^{-1}$ , but the ZZ-I edge has a much lower formation energy of  $0.131 \text{ eV } \text{\AA}^{-1}$  only. It is worth mentioning that the tube-like zigzag edge reconstruction that was proposed by Gao and coauthors in a previous study (structure z5 in ref. 34) is the second low-lying reconstructed ZZ edge (ZZ-II) in this work. In the ZZ-I structure and the most low-lying reconstructed edges, all phosphorus atoms are bonded with three neighboring atoms and the bonding configuration of P(2)–P(4) is also seen in some low-lying structures (e.g., ZZ-V, ZZ-VII, ZZ-VIII, ZZ-IX and ZZ-XI), which further validated the preferred bonding configuration analysis.

The pristine ZZ1 edge has a similar bonding configuration to the pristine ZZ one and is also highly unstable and the formation energy of the most stable reconstructed edge (ZZ1-I) is just  $0.032 \text{ eV } \text{\AA}^{-1}$  higher than that of the ZZ-I edge. Similar to the ZZ edge, many low-lying reconstructed ZZ1 edges have the bonding configuration of three neighboring  $\sigma$  bonds (ZZ1-II, ZZ1-VI, ZZ1-VIII, ZZ1-IX and ZZ1-XI) and some have the P(2)–P(4) bonding configuration (ZZ1-III, ZZ1-IV).

To explore the effect of edge stress, which has been confirmed to have a significant effect on pristine BP nanoribbons,<sup>40</sup> we have optimized the unit cell length of BP ribbons with the three most stable edges (AC-I, ZZ-I and ZZ1-I) and two types of pristine edges (AC and ZZ). The residual stress *versus* the inverse width of the BP nanoribbons is shown in Fig. S2 of the ESI†. From Fig. S2 (ESI†), a linear relationship is observed between the residual strain and  $1/W$ . The effect of the edge stress on the structures with AC and AC-I edges is minor, and the ZZ, ZZ-I and ZZ1-I edges have much larger residual strain, which is in good agreement with the previous results (AC and ZZ edges).<sup>40</sup> Obviously, the residual strains of various edges of



Fig. 4 Reconstruction processes from the pristine AC, ZZ and ZZ1 edges to the most stable reconstructed edges. The energy profiles of the AC, ZZ and ZZ1 edge reconstruction processes are shown as wine, green and blue lines, respectively. The corresponding initial (IS), transition (TS), intermediate (Int) and final states (FS) are presented below the energy profiles. The orange spheres, pink spheres, green spheres and red spheres represent the lower level phosphorus atoms, the upper level phosphorus atoms, and the phosphorus atoms which are two coordinated and four coordinated, respectively.

BP ribbons are less than 2%, indicating that the effect of edge stress on the morphology of BP ribbons is negligible.

Next, let's consider how difficult it is to reconstruct a pristine edge of BP. By searching the transition path and the corresponding energy barriers, we analyze the kinetic processes of the three pristine edge reconstructions. The optimized route of a pristine AC edge reconstruction is presented using the wine line in Fig. 4. The pristine AC edge can be easily transformed into the most stable edge *via* AC-II as an intermediate state by overcoming two small barriers of 0.558 and 0.387 eV, respectively. This means such an edge reconstruction may occur quickly at room temperature and thus the experimental observation of pristine AC edges in BP is impossible. As shown by the green line in Fig. 4, the barrier of the ZZ edge reconstruction, 0.324 eV, is even lower than that of the AC edge and, therefore, the experimental observation of the pristine ZZ edge at room temperature is also impossible. In comparison with the ZZ edge, there is a low energy intermediate for the ZZ1 edge reconstruction and the barrier between the intermediate and the final structure is  $\sim 1.4$  eV, which is significantly larger than those of the AC and ZZ edge reconstructions. Although such a barrier is a little higher, overcoming such a barrier is quite easy at an elevated temperature, such as 500 K.

### The types and stabilities of BP edge reconstruction

As mentioned above, all the low-lying AC, ZZ and ZZ1 reconstructed edges are dominated by the three primary types of

specific edge configurations shown in Fig. 5a, P(2)–P(4) (*e.g.*, AC-I), P=P (*e.g.*, AC-II) and a reconstructed edge with all P atoms having three  $sp^3$  bonds (*e.g.*, AC-V). The high stabilities of these three types of bonding configurations can be quantified based on the formation energy of  $P_xH_y$  stoichiometries, which is defined as:

$$E_f = \frac{E(P_xH_y) - xE(P) - y\frac{E(H_2)}{2}}{x} \quad (2)$$

where  $E(P_xH_y)$ ,  $E(P)$  and  $E(H_2)$  are the energies of  $P_xH_y$ , a P atom in BP and an  $H_2$  molecule, respectively. The calculated formation energies of different types of  $P_xH_y$  stoichiometries with  $x = 1, 2, y = 1, 2, 3, 4$  are shown in Fig. 5b. The order of their stabilities is  $PH_3 \approx PH_2-PH_2 > PH-PH_3 \approx PH=PH > PH_2$ . This result clearly indicates that (i) each P atom connected by three  $sp^3$  bonds ( $PH_3$  and  $PH_2-PH_2$ ), which is similar to the bonding configuration of bulk phosphorus, is the most preferred bonding configuration; (ii) the bonding configuration of one P atom possessing two  $sp^3$  bonds connecting with a neighboring P atom possessing four  $sp^3$  bonds ( $PH-PH_3$ ) or two neighboring P atoms forming a double bond ( $HP=PH$ ) is the secondary option of edge reconstruction; and (iii) the bonding configuration in which the P atom has dangling bonds ( $PH_2$ ) is highly unfavorable. This further explains why the freshly cleaved edges of phosphorene, which always have some dangling bonds, tend to be reconstructed.



Fig. 5 (a) Three types of bonding configurations taking the AC-I, AC-II and AC-V edge-reconstructed structures as examples, where LP is the abbreviation of lone pair. (b) The formation energy together with the highest occupied orbitals (HOMOs), the lowest unoccupied orbitals (LUMOs), natural population analysis (NPA, in e units) and P–P bond lengths (in Å units) of some  $P_xH_y$  stoichiometries.

The stability of the P=P configuration (AC-II) can be easily understood based on the similarity of graphene AC edge reconstruction, where two unpaired electrons of a pair of neighboring atoms form an additional C-C  $\pi$  bond.<sup>21</sup> In a BP edge, the two neighboring dangling  $\sigma$  bonds and the two unpaired electrons tend to be reconstructed into a  $\pi$  bond between the two neighboring edge P atoms, which leads to the transformation from P\*-P\* (where \* represents a dangling bond or radical) to a P=P double bond with the P atoms  $sp^2$  hybridized. This existence of a  $\pi$  bond can be clearly seen in the highest occupied orbital (HOMO) of the HP=PH cluster (as shown in Fig. 5b) and the two lone pairs (green regions) and a  $\sigma$  bond (red region) are shown in the HOMO-1 orbital. Besides, the length of the P=P double bond (2.050 Å) is about 10% shorter than that of the single bond, shown in the PH<sub>2</sub>-PH<sub>2</sub> (2.256 Å) configuration. In the most preferred bonding configurations (PH<sub>3</sub> and PH<sub>2</sub>-PH<sub>2</sub>), the lone pairs (red regions) are shown in their HOMOs. The HOMOs, the LUMOs (lowest unoccupied orbitals) and the natural population analysis (NPA) were obtained by using the Gaussian 09 program package.<sup>43</sup>

The stability of the P(2)-P(4) configuration can be understood based on the octet rule. In the P(2)-P(4) configuration, each P atom is  $sp^3$  hybridized and has four  $\sigma$  orbitals. The four orbitals of the P atom which is four coordinated are occupied by sharing electrons with its neighbors. Two  $\sigma$  orbitals of the P atom which is two coordinated are occupied by sharing electrons with neighboring P atoms to form  $\sigma$  bonds, while the other two  $\sigma$  orbitals are occupied by two lone pairs, which can be clearly seen in the HOMO of the PH-PH<sub>3</sub> molecule (Fig. 5b). With two lone pairs, the P atom which is two coordinated must be electron rich and the P atom without lone pairs must be electron deficient. The computational results clearly showed that the P atom with two lone pairs is heavily negatively charged ( $-0.35 e$ ) and its neighboring P atom, which is four coordinated, is positively charged ( $+0.39 e$ ). Such a bonding configuration ensures that each atom satisfies the octet rule and thus is a stable configuration as shown in many low-lying edge structures.

In order to further verify the thermal stability of these reconstructed edges, we have performed molecular dynamics (MD) simulations of the three lowest-energy BP nanoribbons (with AC-I, ZZ-I and ZZ1-I edges) at a temperature of 900 K. The energy profiles and some structures observed in the MD simulations are shown in Fig. S3 of the ESI.† It is found that all these edges only undergo slight changes in the MD trajectories of  $\sim 9$  ps (see the videos in the ESI†) and all the final structures of the simulations can be easily optimized to be the initial ones exactly (AC-I, ZZ-I and ZZ1-I), indicating the high stability of these edges.

Besides the thermal stability of these edges in a vacuum, it is important to explore their chemical stability in an ambient environment. We have studied the reactions between the edge reconstructed BP nanoribbons and O<sub>2</sub> and H<sub>2</sub>O molecules, respectively. As can be seen in Fig. 6, the dissociation of an H<sub>2</sub>O molecule on the ZZ-I edge is endothermic with a high reaction barrier of 2.493 eV (Fig. 6a). The dissociation of an H<sub>2</sub>O molecule on the AC-I and ZZ1-I edges is slightly exothermic and



Fig. 6 The minimum energy reaction pathways for the dissociation of (a) H<sub>2</sub>O and (b) O<sub>2</sub> molecules on three reconstructed edges (AC-I, ZZ-I and ZZ1-I), respectively. The balls represent the relative energies of the pristine AC, ZZ and ZZ1 edges in the energy profiles. The pink, red and gray balls represent phosphorus, oxygen, and hydrogen atoms, respectively.

the reactions are as high as 1.853 eV and 2.034 eV, respectively, suggesting that all these three types of reconstructed edges are chemically stable to H<sub>2</sub>O. For the dissociation of an O<sub>2</sub> molecule on the three reconstructed edges (AC-I, ZZ-I and ZZ1-I), all the processes are exothermic, and the energy barriers (Fig. 6b) are relative lower, implying that the O<sub>2</sub> molecule can react with BP at room temperature. For comparison, the reaction energies of H<sub>2</sub>O or O<sub>2</sub> dissociation on the pristine AC, ZZ and ZZ1 edges are also shown in Fig. 6. The exothermic reaction energy ( $-0.918$  eV) of H<sub>2</sub>O dissociation on the pristine AC edge agrees well with the previous theoretical value ( $\sim 0.8$  eV).<sup>44</sup> It can be clearly seen that all the reconstructed edges are more stable than the pristine ones.

In a brief summary, we have systematically explored the most stable configurations of three typical edges of BP and significant reconstruction to self-passivate the dangling bonds formed during the cleavage of phosphorene was found for all edges. Three typical self-passivation configurations, the formation of P(2)-P(4), P=P double bonds and all atoms forming three  $\sigma$   $sp^3$  bonds, have been found to dominate most of the low-lying edge configurations. Besides, the kinetic analysis proves that the energy barriers of edge passivation are all very small, which means that the pristine edges of BP are hardly observed experimentally.

### Electronic properties of the reconstructed edges of BP

With very high carrier mobility and a mild band gap, the electronic properties of BP are highly distinct from those of other 2D materials. The electronic properties of the edge of BP have been extensively explored based on the BP nanoribbon



(BPNR) model. The results of edge reconstruction shown above proved that pristine edges in BP or BPNRs with pristine edges are hardly observed in real experiments, so understanding the electronic properties of the reconstructed edges is important for both fundamental studies and applications. To explore the electronic properties with high accuracy, all the electronic band structures, band decomposed charge densities and densities of states (DOSs) are calculated based on the HSE06 exchange–correlation functional, which is known to be highly reliable for electronic property calculations.<sup>4,45,46</sup>

The calculated band structures of some edge-reconstructed BPNRs are displayed in Fig. 7. In all the plots of the band structures, the conduction band minimum (CBM) and valence band maximum (VBM) of 2D phosphorene are labeled as 2D-CBM and 2D-VBM, respectively. In comparison, the band structures calculated by the PBE method are presented in Fig. S4 (ESI†) and we can see clearly that the band gaps are greatly underestimated.

As presented in Fig. 7, our calculation shows that all the reconstructed edges are semiconducting and the bandgaps of them are 1.26, 1.23 and 2.11 eV for AC-I, ZZ-I and ZZ1-I, respectively. The band gap opening at the reconstructed edges of BP can be easily understood by the self-passivation of dangling bonds or the unpaired electrons of the pristine edges. Both the AC-I and ZZ-I edges have occupied edge states between the VBM and CPM of bulk BP, and thus the band gaps of both AC-BPNR and ZZ-BPNR are greatly reduced in comparison with the band gap of bulk BP ( $\sim 2.0$  eV). An important feature of all these BPNRs is the edge states above the bulk VBM. In a previous experimental study,<sup>33</sup> the electronic properties of BP edges have been explored and the key features, that there are four peaks above the VBM of the bulk (inset of Fig. 7 and Fig. S5a, ESI†), are in excellent agreement with our study. As shown in Fig. 7, we can see that the DOSs of BPNRs with reconstructed AC-I or ZZ1-I edges show the exact same feature as that shown in the experimental  $dI/dV$  curve, which confirms that the edges of BP observed in the previous experiment must be reconstructed. Furthermore, the band gaps of the three edge reconstructed BPNRs as a function of the width of the BPNR have been explored (Fig. S6, ESI†). It is found that all the edge reconstructed AC-I BPNRs have indirect band gaps and most BPNRs with reconstructed ZZ-I and ZZ1-I edges have direct band gaps at the G point. When the width of the ribbon is small, the band gap of AC-I increases with the ribbon width ( $< \sim 1.35$  nm), while the band gap of ZZ-I decreases very slightly and that of ZZ1-I undergoes odd–even oscillation ( $< \sim 2.25$  nm). It may be due to the fact that the direct interaction between the two edges becomes dominant when the two edges are very close to each other, which results in the irregular variation of the band gaps. Once the width of a BPNR is greater than  $\sim 2.25$  nm, the band gap will not vary much with the further increase of the width. This implies that tuning the band gap of a BPNR by varying its width is not as efficient as that by increasing the thickness of BP, which allows the band gap to be reduced from  $\sim 2.0$  to 0.3 eV.<sup>4,33,47</sup>

In comparison, the band structures of the pristine BPNRs are shown in Fig. S7. We can see that only BPNRs with pristine AC

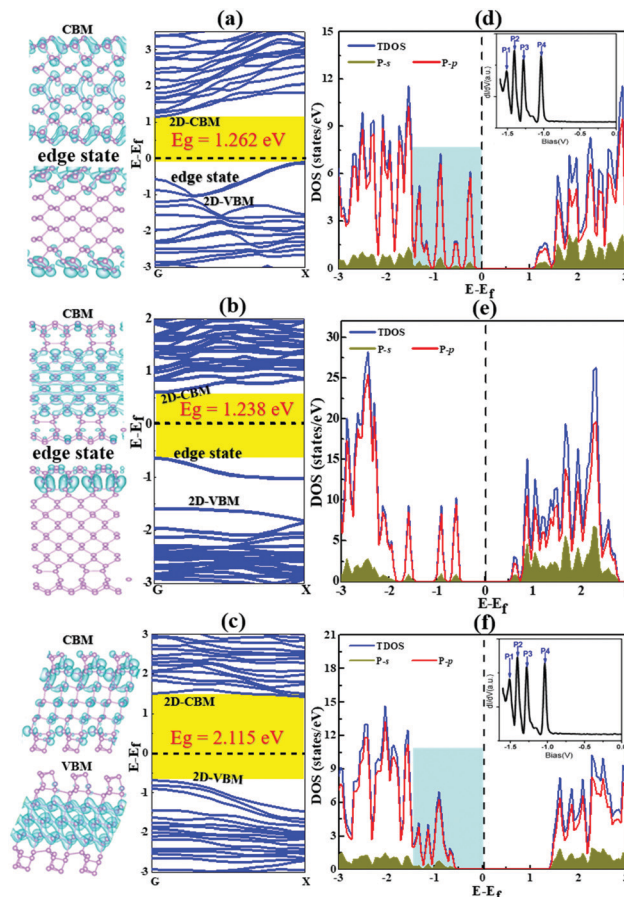


Fig. 7 The band structures and band decomposed charge density of BP nanoribbons with the most stable reconstructed edges, AC-I (a), ZZ-I (b) and ZZ1-I (c). The valence band maximum (VBM) and conduction band minimum (CBM) are labeled as 2D-VBM and 2D-CBM, respectively, and the edge states around the Fermi level are also labeled in all plots. (d–f) The corresponding density of states of these BPNRs. The Fermi level is set at 0 eV. The DOSs of both the AC-I and ZZ1-I edges within the light blue background are similar to the experimental  $dI/dV$  spectra<sup>33</sup> (Copyright 2014, American Chemical Society) as shown in the inserted figure.

edges are semiconducting with a band gap of 1.02 eV. In a unit cell of a pristine AC edge, the two dangling bonds are located at two neighboring AC atoms and the two unpaired electrons and the two half-filled orbitals will rehybridize into a new chemical bond and make the two edge atoms bonded with a double bond. The double bonds formed at the pristine AC edge greatly enhance its stability and the paired electrons lead to its semiconducting properties. With the dangling bonds distributed along the pristine ZZ and ZZ1 edges, it is not surprising that both edges are significantly less stable and are conducting. Their edge states are located between the VBM and CBM of bulk BP and both are half-occupied, which contributes to the conductivity of the pristine edges.

## Conclusions

In summary, our global search has shown that all the pristine edges of black phosphorene, including AC, ZZ and ZZI, are energetically not stable and they can be quickly reconstructed

into more stable ones under ambient conditions. Three series of reconstructed edges are revealed and those highly stable ones are self-passivated by three primary configurations: (i) forming out-of-plane polygons with all P atoms bonded with three  $sp^3$  bonds; (ii) forming a special P(2)–P(4) configuration with one P atom accommodating two lone pair orbitals and another P atom having four  $sp^3$  bonds; and (iii) forming a double bond between the two neighboring P atoms. Further analysis showed that all the reconstructed edges are semiconducting and the band gaps are drastically different from those of the pristine edges. This study implies that most previously predicated electronic properties of BPNRs are hardly observed experimentally. In real applications, the highly stable reconstructed edges of BP are expected to pave a way for stabilizing BP. This study clearly demonstrates the importance of considering the edge reconstruction in understanding the fundamental properties of various 2D materials and, therefore, carefully re-exploring the electronic properties of the edges of various 2D materials, such as 2D nanoribbons, is necessary.

## Computational details

We have explored the reconstructions of AC, ZZ and ZZ1 edges of black phosphorene by using the CALYPSO package.<sup>36,37</sup> CALYPSO is an unbiased global structure search package which has the capability of predicting the surface reconstruction of bulk materials.<sup>48,49</sup> During the structural search, we considered two supercells with one and two unit cell sizes, respectively, and the atoms far from the edge were fixed during the structural optimization to mimic the bulk of black phosphorene. During the structure search, 60% of the 50 candidates in each generation are generated by the Particle Swarm Optimization (PSO) algorithm and another 40% are generated randomly and all the structures are optimized by the density functional theory (DFT) method implemented in the Vienna Ab initio Simulation Package (VASP)<sup>50,51</sup> with a relatively low cutoff energy of 300 eV. After the search terminated at 30 generations, the most stable 100 structures were further optimized by using a cutoff energy of 450 eV. The generalized gradient approximation (GGA) with the Perdew–Burke–Ernzerhof (PBE) functional and projector augmented wave (PAW) potentials are adopted in all calculations.<sup>52,53</sup> The energy and force convergence criteria of structure optimization are  $10^{-5}$  eV and  $0.01$  eV  $\text{\AA}^{-1}$ , respectively. A vacuum space larger than  $15$   $\text{\AA}$  was used to avoid interactions between neighboring images along the non-periodic directions. The calculations of the electronic properties are carried out using the Heyd–Scuseria–Ernzerhof (HSE06)<sup>54,55</sup> hybrid exchange–correlation functional. The energy barriers are calculated by using the climbing image nudged elastic band (CI-NEB) method<sup>56</sup> with a force threshold of  $0.02$  eV  $\text{\AA}^{-1}$ .

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

This work was supported by the Institute for Basic Science (IBS-R019-D1) of South Korea and the computational resources from CCM, IBS, National Natural Science Foundation of China (No. 11804212), Natural Science Foundations of Shaanxi Province (No. 2019JM-053), and Youth Talent Invitation Scheme of Shaanxi Association for science and technology (No. 20190506). We acknowledge Dr Bo Gao, who is a group member of Prof. Yan-Ming Ma, for his help during the structure search.

## Notes and references

- 1 A. S. Rodin, A. Carvalho and A. H. Castro Neto, *Phys. Rev. Lett.*, 2014, **112**, 176801.
- 2 L. Li, Y. Yu, G. J. Ye, Q. Ge, X. Ou, H. Wu, D. Feng, X. H. Chen and Y. Zhang, *Nat. Nanotechnol.*, 2014, **9**, 041411.
- 3 X. Ling, H. Wang, S. X. Huang, F. N. Xia and M. S. Dresselhaus, *Proc. Natl. Acad. Sci. U. S. A.*, 2015, **112**, 4523–4530.
- 4 J. S. Qiao, X. H. Kong, Z. X. Hu, F. Yang and W. Ji, *Nat. Commun.*, 2014, **5**, 4475.
- 5 J. Bullock, M. Amani, J. Cho, Y. Z. Chen, G. H. Ahn, V. Adinolfi, V. R. Shrestha, Y. Gao, K. B. Crozier, Y. L. Chueh and A. Javey, *Nat. Photonics*, 2018, **12**, 601–607.
- 6 J. Liu, Y. Chen, Y. Li, H. Zhang, S. Zheng and S. Xu, *Photonics Res.*, 2018, **6**, 198–203.
- 7 R. A. Doganov, S. P. Koenig, Y. Yeo, K. Watanabe, T. Taniguchi and B. Özyilmaz, *Appl. Phys. Lett.*, 2015, **106**, 083505.
- 8 F. Ahmed, Y. D. Kim, Z. Yang, P. He, E. H. Hwang, H. S. Yang, J. Hone and W. J. Yoo, *Nat. Commun.*, 2018, **9**, 3414–3421.
- 9 L. Chen, G. Zhou, Z. Liu, X. Ma, J. Chen, Z. Zhang, X. Ma, F. Li, H. M. Cheng and W. Ren, *Adv. Mater.*, 2016, **28**, 510–517.
- 10 Q. Li, H. Liu, Z. Yao, J. Cheng, T. Li, Y. Li, C. Wolverton, J. Wu and V. P. Dravid, *ACS Nano*, 2016, **10**, 8788–8795.
- 11 G. Zhao, T. L. Wang, Y. L. Shao, Y. Z. Wu, B. B. Huang and X. P. Hao, *Small*, 2017, **13**, 1602243.
- 12 J. Hu, Z. Guo, P. E. McWilliams, J. E. Darges, D. L. Druffel, A. M. Moran and S. C. Warren, *Nano Lett.*, 2016, **16**, 74–79.
- 13 L. Kou, T. Frauenheim and C. Chen, *J. Phys. Chem. Lett.*, 2014, **5**, 2675–2681.
- 14 Y. Yao, H. Zhang, J. Sun, W. Ma, L. Li, W. Li and J. Du, *Sens. Actuators, B*, 2017, **244**, 259–264.
- 15 P. Koskinen, S. Malola and H. Häkkinen, *Phys. Rev. Lett.*, 2008, **101**, 115502.
- 16 T. Wassmann, A. P. Seitsonen, A. M. Saitta, M. Lazzeri and F. Mauri, *Phys. Rev. Lett.*, 2008, **101**, 096402.
- 17 B. Huang, M. Liu, N. Su, J. Wu, W. Duan, B. L. Gu and F. Liu, *Phys. Rev. Lett.*, 2009, **102**, 166404.
- 18 J. Gao, J. Zhao and F. Ding, *J. Am. Chem. Soc.*, 2012, **134**, 6204–6209.
- 19 X. Yin, Z. L. Ye, D. A. Chenet, Y. Ye, K. O'Brien, J. C. Hone and X. Zhang, *Science*, 2014, **344**, 488–490.



- 20 X. T. Jia, M. Hofmann, V. Meunier, B. G. Sumpter, J. Campos-Delgado, J. M. Romo-Herrera, H. Son, Y. P. Hsieh, A. Reina, J. Kong, M. Terrones and M. S. Dresselhaus, *Science*, 2009, **323**, 1701–1705.
- 21 P. Koskinen, S. Malola and H. Häkkinen, *Phys. Rev. Lett.*, 2008, **101**, 115502.
- 22 A. H. Castro Neto, F. Guinea and N. M. R. Peres, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2006, **73**, 205408.
- 23 Y. W. Son, M. L. Cohen and S. G. Louie, *Nature*, 2006, **444**, 347–349.
- 24 Y. Li, Z. Zhou, S. Zhang and Z. Chen, *J. Am. Chem. Soc.*, 2008, **130**(167), 39–16744.
- 25 E. V. Castro, N. M. R. Peres, J. M. B. Lopes dos Santos, A. H. C. Neto and F. Guinea, *Phys. Rev. Lett.*, 2008, **100**, 026802.
- 26 X. H. Deng, Z. Y. Li and J. L. Yang, *J. Phys. Chem. Lett.*, 2020, **11**, 7531–7535.
- 27 M. U. Farooq, A. Hashmi and J. Hong, *ACS Appl. Mater. Interfaces*, 2015, **7**, 14423–14430.
- 28 X. Peng, A. Copple and Q. Wei, *J. Appl. Phys.*, 2014, **116**, 144301.
- 29 W. Li, G. Zhang and Y. W. Zhang, *J. Phys. Chem. C*, 2014, **118**, 22368–22372.
- 30 H. Guo, N. Lu, J. Dai, X. Wu and X. C. Zeng, *J. Phys. Chem. C*, 2014, **118**, 14051–14059.
- 31 A. Carvalho, A. S. Rodin and A. H. C. Neto, *Europhys. Lett.*, 2014, **108**, 47005–47011.
- 32 J. H. Huang, X. F. Wang, Y. S. Liu and L. P. Zhou, *Nanoscale Res. Lett.*, 2019, **14**, 145–156.
- 33 L. B. Liang, J. Wang, W. Z. Lin, B. G. Sumpter, V. Meunier and M. H. Pan, *Nano Lett.*, 2014, **14**, 6400–6406.
- 34 J. F. Gao, X. J. Liu, G. Zhang and Y. W. Zhang, *Nanoscale*, 2016, **8**, 17940–17949.
- 35 Y. J. Lee, J. Y. Yoon, D. Scullion, J. S. Jang, E. J. G. Santos, H. Y. Jeong and K. Kim, *J. Phys. D: Appl. Phys.*, 2017, **50**, 084003.
- 36 Y. Wang, J. Lv, L. Zhu and Y. Ma, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2010, **82**, 094116.
- 37 S. Grimme, *J. Comput. Chem.*, 2006, **27**, 1787–1799.
- 38 S. Grimme, J. Antony, S. Ehrlich and H. Krieg, *J. Chem. Phys.*, 2010, **132**, 154104.
- 39 Y. Wang, J. Lv, L. Zhu and Y. Ma, *Comput. Phys. Commun.*, 2012, **183**, 2063–2070.
- 40 V. Sorkin and Y. W. Zhang, *Nanotechnology*, 2015, **26**, 235707.
- 41 M. Hart, E. E. White, J. Chen, C. M. McGilvery, C. J. Pickard, A. Michaelides, A. Sella, M. S. P. Shaffer and C. G. Salzmann, *Angew. Chem., Int. Ed.*, 2017, **56**, 8144–8148.
- 42 M. Ruck, D. Hoppe, B. Wahl, P. Simon, Y. K. Wang and G. Seifert, *Angew. Chem., Int. Ed.*, 2005, **44**, 7616–7619.
- 43 M. J. Frisch, *et al.*, *Gaussian 09 (Revision C.0)*, Gaussian, Inc., Wallingford, CT, 2009.
- 44 T. M. Zhang, Y. Y. Wan, H. Y. Xie, Y. Mu, P. W. Du, D. Wang, X. J. Wu, H. X. Ji and L. J. Wan, *J. Am. Chem. Soc.*, 2018, **140**, 7561–7567.
- 45 S. Khalid, A. Sharan and A. Janotti, *Phys. Rev. B*, 2020, **101**, 125105.
- 46 L. Qiu, J. C. Dong and F. Ding, *Nanoscale*, 2019, **11**, 7135–7139.
- 47 H. Liu, A. T. Neal, Z. Zhu, Z. Luo, X. F. Xu, D. Tománek and P. D. Ye, *ACS Nano*, 2014, **8**, 4033–4041.
- 48 S. H. Lu, D. Fan, C. K. Chen, Y. S. Mei, Y. M. Ma and X. J. Hu, *Carbon*, 2020, **159**, 9–15.
- 49 Q. Wang, R. Pang and X. Q. Shi, *J. Phys. Chem. C*, 2015, **119**, 22534–22541.
- 50 G. Kresse and J. Hafner, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1994, **49**, 14251–14269.
- 51 G. Kresse and J. Furthmüller, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1996, **54**, 11169–11189.
- 52 J. P. Perdew, K. Burke and M. Ernzerhof, *Phys. Rev. Lett.*, 1996, **77**, 3865–3868.
- 53 P. E. Blöchl, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1994, **50**, 17953–17979.
- 54 J. Heyd, G. E. Scuseria and M. Ernzerhof, *J. Chem. Phys.*, 2003, **118**, 8207–8215.
- 55 J. Paier, M. Marsman, K. Hummer, G. Kress, I. C. Gerber and J. G. Angyan, *J. Chem. Phys.*, 2006, **125**, 249901.
- 56 G. Henkelman, B. P. Uberuaga and H. Jónsson, *J. Chem. Phys.*, 2000, **113**, 9901–9904.