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Aqueous processing of organic semiconductors enabled by stable nanoparticles with built-in surfactants†

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The introduction of oligoether side chains onto a polymer backbone can help to stabilise polymeric dispersions in water without the necessity of surfactants or additives when conjugated polymer nanoparticles are prepared. A series of poly(3-hexylthiophene) (P3HT) derivatives with different content of a polar thiophene derivative 3-((2-methoxyethoxy)methyl)thiophene was interrogated to find the effect of the polar chains on the stability of the formed nanoparticles, as well as their structural, optical, electrochemical, and electrical properties. Findings indicated that incorporation of 10–20 percent of the polar side chain led to particles that are stable over a period of 42 days, with constant particle size and polydispersity, however the particles from the polymer with 30 percent polar side chain showed aggregation effects. The polymer dispersions showed a stronger solid-like behaviour in water with decreasing polar side chain content, while thin film deposition from water was found to afford globular morphologies and crystallites with more isotropic orientation compared to conventional solution-processed films. As a proof-of-principle, field-effect transistors were fabricated directly from the aqueous dispersions demonstrating that polymers with hydrophilic moieties can be processed in water without the requirement of surfactants.

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Introduction

Organic semiconductors (OSCs), which can be divided into small molecules¹ and conjugated polymers, are widely investigated for their potential applications in a variety of research fields, including solar energy harvesting,^{2,3} display technologies,^{4,5} thermoelectric devices,^{6,7} bioelectronic systems,^{8,9} chemical sensors,^{1,10,11} thin-film transistors^{12–14} and as bioimaging probes.^{15,16} In the field of electronic manufacturing, OSCs hold an advantage over their inorganic counterparts because of their mechanical properties (flexi-

bility, stretchability), relatively low cost, tuneability *via* chemical synthesis, and their solubility in organic solvents for their controlled processability into devices using scalable methods for industrial manufacture.¹⁷ The most common solvents used to process OSCs during the fabrication of organic electronic devices are mainly chlorinated and highly volatile solvents.¹⁸ These solvents are toxic, harmful for the environment and suspected of causing cancer,¹⁹ because of these reasons of concern, investigations into developing “greener” processing alternatives have increased in recent years.¹⁸

Polymeric dispersions in aqueous media are an attractive alternative to avoid the use of halogenated solvents, especially if these dispersions can be processed using the same solution processing methods employed for conventional semiconductor inks.^{3,20} In a few cases, polymer dispersions have already been widely applied in the fabrication of thin-film organic electronics, for example in the case of poly(3,4-ethylenedioxythiophene) polystyrene sulfonate (PEDOT:PSS), where PSS works as a counter ion stabilising the dispersion.^{21,22} Conjugated polymer nanoparticles (CPNs) are polymeric dispersions typically used in bioimaging applications.^{16,23,24} These CPNs are a good alternative to dyes because often the latter are employed in silica matrices prone to colour leaching. The use of CPNs

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can overcome the leaching because the polymer itself is the probe and does not require an external matrix.²⁵ The intrinsic hydrophobic nature of most OSCs require the use of surfactants to stabilise the aqueous dispersions and prevent agglomeration, and in some cases, the aid of co-stabilisers is necessary to generate the corresponding dispersion with appropriate particle uniformity and stability. Furthermore, the polymer solubility in a given solvent used for creating the dispersion determines the final polymer loading in the dispersion²⁶ which is important for the subsequent solution processing step.

Surfactants and other additives can also act as charge carrier traps in the semiconductor layer which is one of the main reasons to avoid the use of CPNs to fabricate organic electronic devices along with the low polymeric content often achieved with dispersions.^{20,27} To avoid the use of surfactants, OSCs can be chemically modified by the incorporation of hydrophilic side chains that facilitate solubility in polar solvents and formation of the corresponding aqueous dispersion.^{9,28–31} Although these polymers have proven their potential as probes for biomarkers,³² and have overcome the use of surfactants, the implementation of CPNs in organic electronics has been limited due to the low content of the polymer in the corresponding dispersion.³³ These polymer dispersions can be prepared using several methodologies, for example, nanoprecipitation and miniemulsion.³⁴ Nanoprecipitation methodology requires the use of a water-miscible organic solvent that usually carries the polymer, once the addition of the polymer solution into the aqueous phase is made, the organic solvent is evaporated and the polymer is precipitated in small particles.³⁵ Miniemulsion is a method where two non-miscible solvents form a stable emulsion using high energy methods, usually ultrasonication. Both emulsion methodologies can either use surfactants and co-stabilisers or be surfactant-free if the polymer contains the corresponding hydrophilic moieties.³⁴ Herein, we have studied a series of

poly(3-hexylthiophene) (P3HT) derivatives with different content of a polar thiophene derivative 3-((2-methoxyethoxy)methyl)thiophene incorporated in a random fashion to give statistical copolymers with varying ratios of hydrophilic and hydrophobic side chains (see Fig. 1). The emulsification of the polymers was systematically studied to generate a protocol for the corresponding polymer dispersions, along with the characterisation of their structural, optical, and electrochemical properties. Thin films were prepared *via* spray-coating from aqueous dispersion and compared to their conventionally solution-processed counterparts and studied in detail to assess their suitability for the fabrication of organic electronic devices exemplified by an organic field-effect transistor (OFET). Our work shows that the control of polar side chain content in P3HT based polymers can be used to tune the applicability of CPNs for organic electronics and that relatively short hydrophilic motifs can enable stable and surfactant-free semiconductor dispersions.

Results and discussion

Polymer synthesis

The statistical thiophene copolymers used in this study were synthesised according to the literature using the Grignard metathesis method.^{36,37} The procedure started with the synthesis of 2,5-dibromo-3-hexylthiophene and 2,5-dibromo-3-((2-methoxyethoxy)methyl)thiophene.³⁷ Following lithiation of the corresponding brominated monomers to generate the magnesium chloride complexes *via* transmetalation, use of Ni(dppp)Cl₂ as catalyst lead to the generation of the corresponding copolymers by controlling the ratio between the two thiophene species. Using molar ratios of 95 : 5, 90 : 10, 80 : 20 and 70 : 30 between the reactive 3-hexylthiophene and 3-((2-methoxyethoxy)methyl)thiophene species, we obtained polymers **P5**, **P10**, **P20**, and **P30**, respectively, where the number

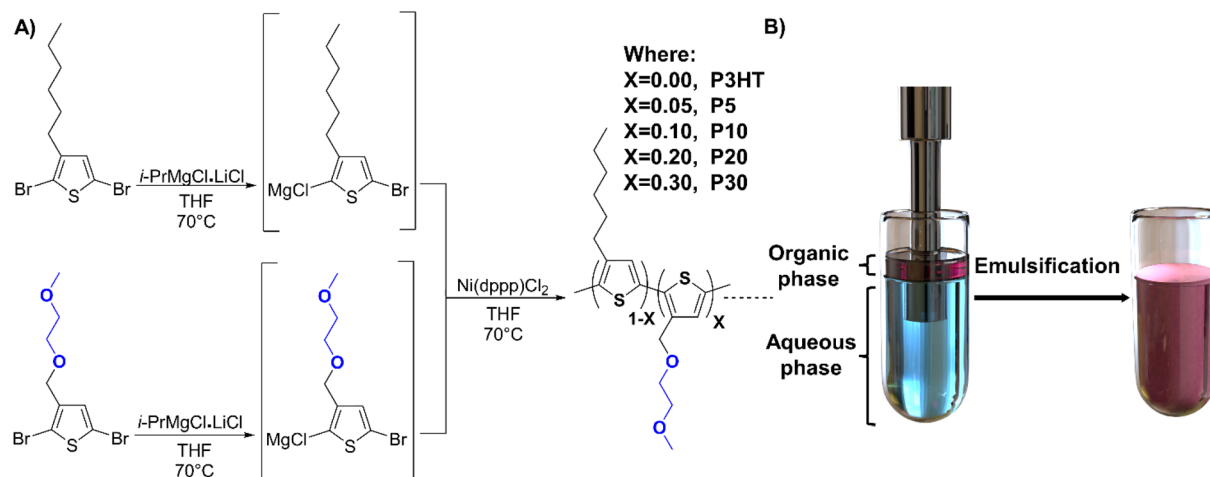


Fig. 1 (A) Schematic representation of the random copolymer synthesis. (B) Representation of the ultrasonication process to generate the corresponding surfactant-free polymer dispersion.



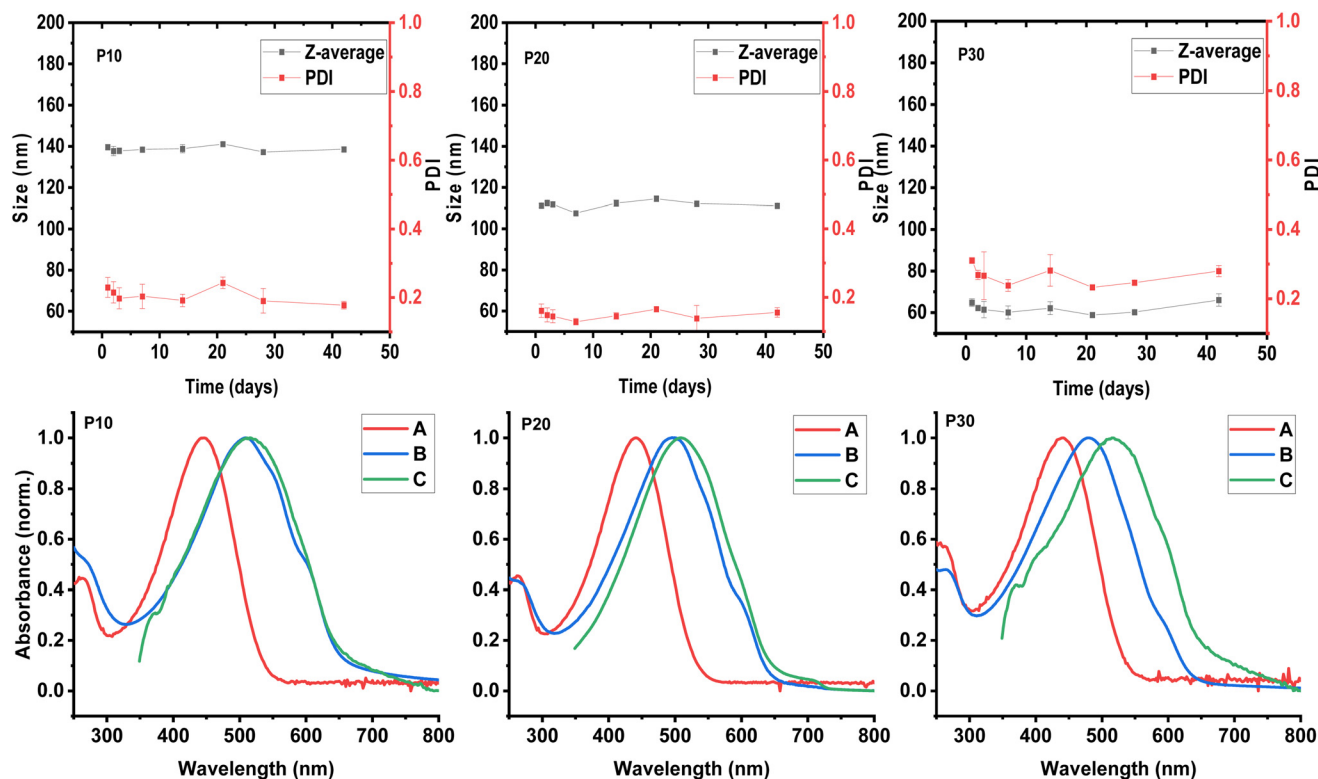


Fig. 2 (Top) Analysis of particle stability over time via DLS measurements from **P10** (left), **P20** (centre), and **P30** (right) dispersions in water. (Bottom) UV-vis spectra of (A) polymer solutions in chloroform, (B) polymer dispersions in water and (C) thin films spin-cast from chloroform solutions, for **P10** (left), **P20** (centre), and **P30** (right).

affect the uniformity of the generated polymer thin film, with broader distributions affording less uniform film morphologies to the detriment of electronic properties. In the case of the **P30** dispersion, an increase in PDI value from ~ 0.25 to values approaching 0.3 was observed during time, although the particle size remained relatively constant. The variation in PDI indicates that the nanoparticle populations are changing, probably due to the adherence between particles, also known as aggregation or flocculation.³⁹ The aggregation of particles could lead to an eventual precipitation of the polymeric material; this is supported by the bimodal distribution observed in the particle size distribution measurement by intensity (see Fig. S2[†]), indicating at least two different populations of particles.

Optical and electrochemical properties

The aqueous polymeric dispersions were characterised by UV-vis spectroscopy to probe the solid-like behaviour that is typically observed in CPNs. Firstly, the solution and solid-state UV-vis spectra were recorded for each copolymer (**P5**, **P10**, **P20**, and **P30**) as well as hydrophobic and hydrophilic homopolymers **P3HT** and **P3MEMT** as reference (see Fig. S3[†]). In accordance with the literature, the polymer series show a gradual blue-shift in the absorption maximum ($\lambda_{\max}$) with increasing polar content both in solution and for thin films spin-cast from chloroform solutions (5 mg mL^{-1}) onto glass substrates.

In the solid state, the spectra of **P3HT** and **P5** displayed a clear shoulder around 595 nm; this shoulder is ascribed to the 0–0 vibronic transition and decreases in intensity with increasing polar content and completely vanishes for **P3MEMT**, suggesting increasing structural disorder in the thin films with higher polar content, as also reported previously.³⁷

The UV-vis spectra for the aqueous CPN dispersions were prepared by taking aliquots of each dispersion and diluting in DI water. The $\lambda_{\max}$ of these spectra followed the blue-shift trend that was observed for solution and thin-film spectra with $\lambda_{\max}$ values of 509 nm, 497 nm, and 480 nm for **P10**, **P20** and **P30** respectively (see Fig. 2). The UV-vis spectrum of the **P10** dispersion shows two low-energy shoulders at 591 and 543 nm, suggesting an increase in the interactions of π -orbitals (vibronic coupling) indicative of a higher degree of polymer backbone planarity. The $\lambda_{\max}$ of the **P10** dispersion shows a minor blue-shift of 6 nm relative to the thin film processed from chloroform solution and a red-shift of 65 nm when compared with the chloroform solution spectrum, corroborating the solid-like behaviour of the polymer nanoparticles dispersed in water. The spectrum of the **P20** dispersion shows a defined shoulder at 596 nm from the 0–0 vibronic transition; the $\lambda_{\max}$ represents a blue-shift of 12 nm when compared to the $\lambda_{\max}$ of the corresponding thin film processed from chloroform solution, and a red shift of 57 nm when compared to the chloroform solution. The spectrum of the **P30** dispersion, on the



other hand, shows a small shoulder at 590 nm indicating some degree of planarity, however, the $\lambda_{\max}$ is blue-shifted 35 nm when compared to the solid-state spectrum and red-shifted 40 nm when compared to the solution spectra. It is noticeable how the solid-like behaviour of the polymeric dispersions decreases with increasing polar content, which could be related to a better interaction with the aqueous medium and/or the previously observed increase in disorder of the polymer when the polar content increases.

The previously mentioned calibration curves for the reference dispersions using SDS as surfactant was generated by taking aliquots of 20 μL and measured by UV-vis spectroscopy, subsequently the linear fitting obtained from the absorbance at $\lambda_{\max}$ at different concentrations allowed the determination of the corresponding molar extinction coefficient (ϵ_m) of each polymer. Assuming a neglectable effect of the surfactant on the absorption, the concentrations of the surfactant-free dispersions were determined by applying the Beer-Lambert law (see Fig. S5†).

The deposition of thin films to study the potential application of these CNP dispersions to fabricate organic electronics was subsequently investigated. Early attempts to generate thin films from the polymeric dispersions were unsuccessful due to the low concentration when spin-coating and slot-die coating were tested. This led us to explore the spray-coating

technique to generate the corresponding thin-films; parameters like the spray distance and substrate temperature were optimised to generate the corresponding thin films. The ideal parameters found to deposit a thin layer are: 15 cm of distance from the tip nozzle to the substrate which was heated at 90 $^{\circ}\text{C}$, with a slow spray rate of 0.5 mL of each dispersion.

The thin films obtained *via* spray-coating from the polymer dispersions showed $\lambda_{\max}$ values of 505 nm, 508 nm, and 492 nm for **P10**, **P20**, and **P30**, respectively. These values represent a blue-shift when compared with the thin films prepared by spin-coating from organic solutions as depicted in Fig. 3. The spectra for the thin films obtained from the **P10** and **P20** dispersions show a similar pattern; in both cases the presence of two shoulders at 550 nm and 594 nm was observed, the shoulders are better defined for the films obtained from the dispersions than for those obtained from chloroform solution, indicating stronger intermolecular interactions for the former. The film obtained from the **P30** dispersion displays a larger blue-shift of over 20 nm compared to the spin-cast film and a less-pronounced low-energy shoulder, suggesting a more disordered structure for the **P30** spray-coated film compared to the film spin-cast from solution.

The electrochemical properties of the thin films obtained from the polymer dispersions were examined by cyclic voltammetry (CV) and compared to the corresponding polymer films

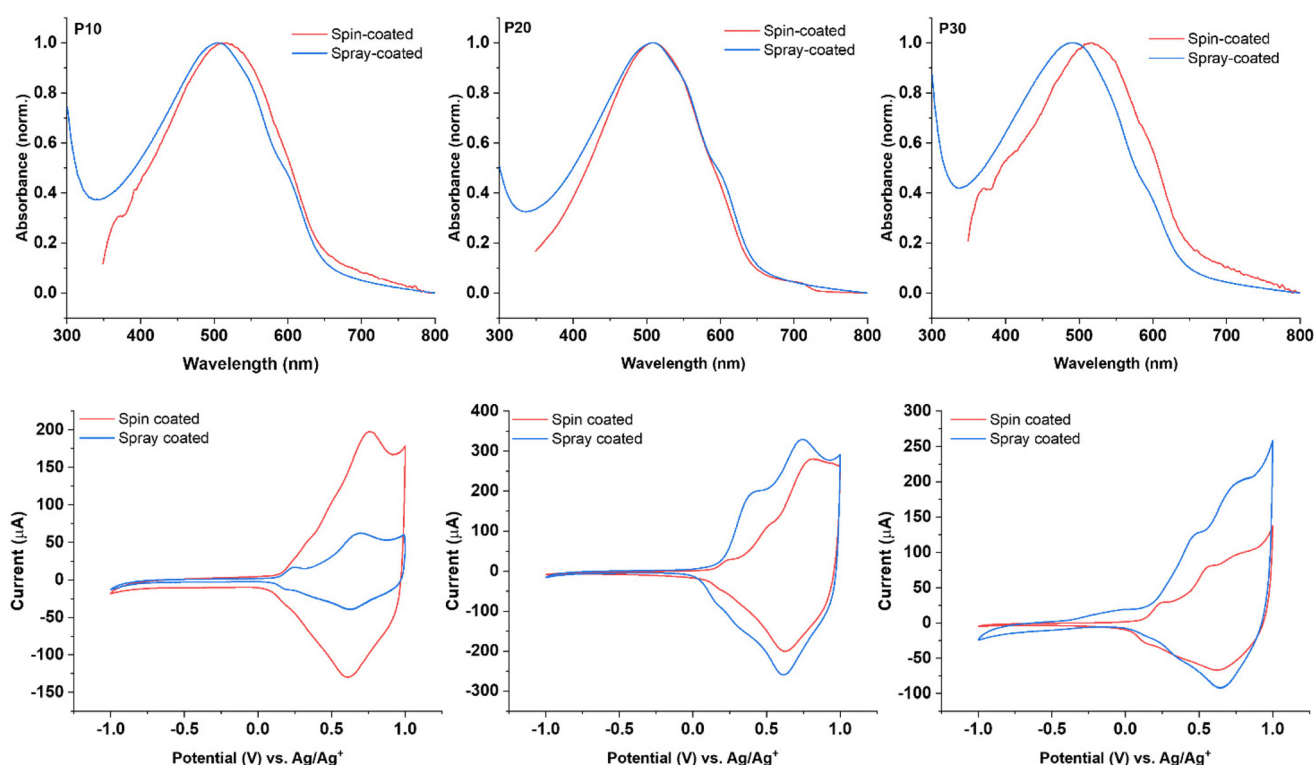


Fig. 3 (Top) Solid state UV-vis spectroscopy of thin films deposited *via* spin-coating from organic solutions in chloroform (5 mg mL^{-1}) and *via* spray-coating from polymer dispersions (1 mg mL^{-1}). (Bottom) Cyclic voltammograms for thin films deposited onto indium tin oxide (ITO) coated glass *via* spin-coating from organic solutions in chloroform (5 mg mL^{-1}) and *via* spray-coating from polymer dispersions (1 mg mL^{-1}) in 0.1 M solution of tetrabutylammonium hexafluorophosphate in acetonitrile at a scan rate of 100 mV s^{-1} , plots correspond to the second scan of each measurement of **P10** (left), **P20** (centre), and **P30** (right).

spin-cast from solution. All films showed a reversible oxidative process irrespective of deposition technique with comparable onsets of oxidation when comparing the films deposited from solution and dispersion for each polymer (see Fig. 3). These results are in accordance with the literature,³⁷ demonstrating that the polymer films deposited from dispersion broadly retain the electrochemical properties when compared with common solution processing techniques.

Solid state structure and surface morphology

First attempts of deposition by spray-coating carried out with substrates at 65 °C led to non-uniform films due to the aggregation of the droplets before the water evaporation, however, the obtained films were characterised by atomic force microscopy (AFM) to determinate the surface morphology of the generated particles as illustrated in Fig. 4. The films

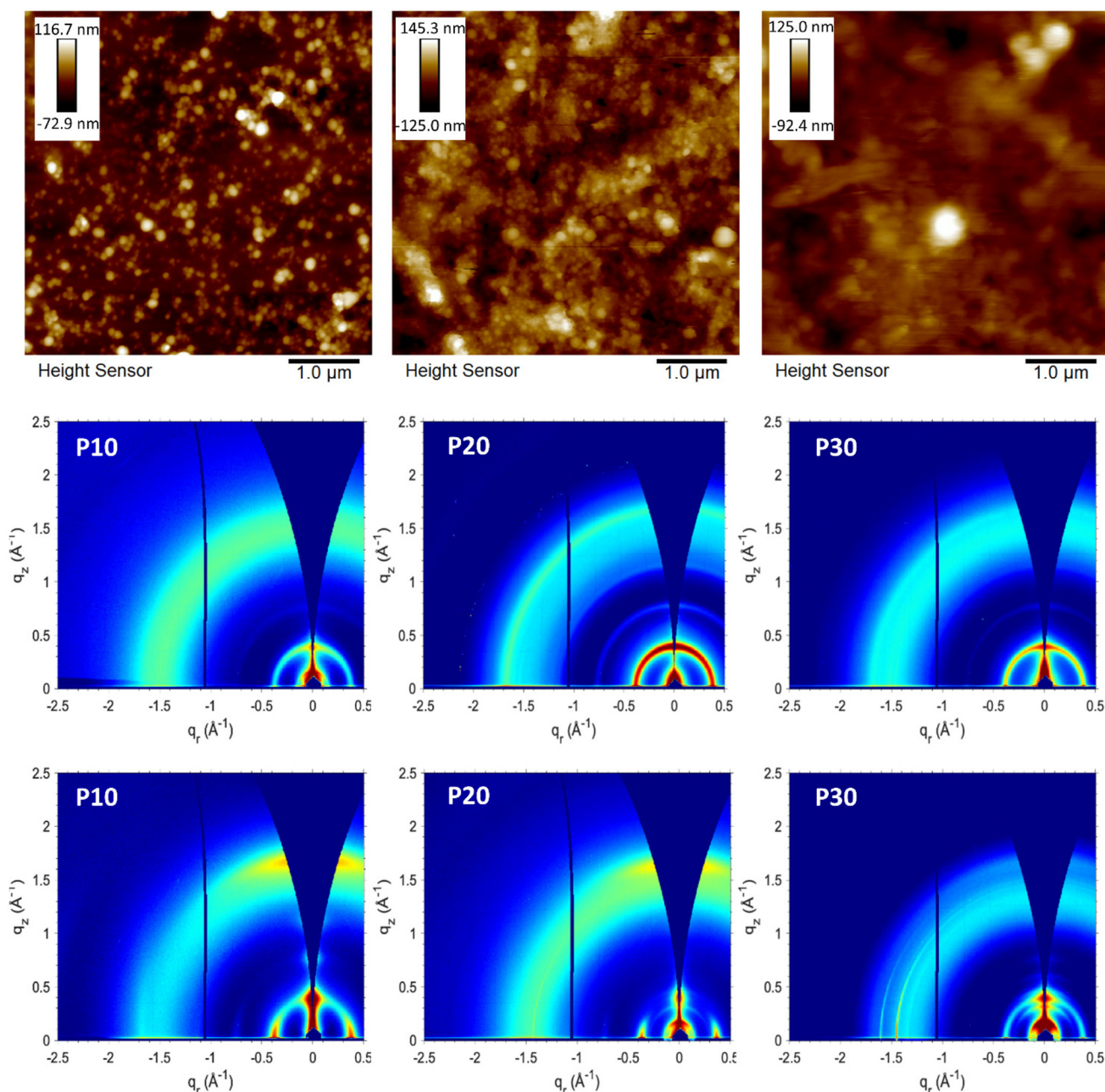


Fig. 4 (Top) Atomic force micrographs of the polymer dispersions deposited via spray-coating onto glass substrates at 65 °C; P10 (left), P20 (centre), and P30 (right). Scale bars (insets) are in nm units. (Middle) 2D GIWAXS patterns of the polymer films deposited onto silicon substrates from aqueous dispersions via spray-coating at 90 °C. (Bottom) 2D GIWAXS patterns of the polymer films deposited onto silicon substrates from chloroform solutions via spin-coating.



obtained from the **P10** and **P20** dispersions showed a granular or globular morphology, derived from the spherical shape of the polymeric particles. The spherical morphology has been commonly reported when different materials undergo ultrasonication treatment. The film obtained from the **P30** dispersion shows an amorphous surface morphology; although some spherical particles can be observed, the characteristic granular morphology observed for **P10** and **P20** is not prevailing. We hypothesise that this is related to the increased polar content, which can promote a better interaction with the water, leading to less packed and organised polymer domains in the generated particles. This more amorphous morphology for **P30** is in good agreement with the blue-shifted absorption spectra discussed above.

The films obtained when the dispersions were deposited onto substrates heated to 90 °C (Fig. S8†) presented a better large-area uniformity and showed the same nano- and micro-scale characteristics as the films deposited at 65 °C (Fig. 4 and Fig. S9†) with defined spherical particles for **P10** and **P20** with a globular morphology, and an amorphous morphology with some spherical particles for **P30**. We infer from the AFM data that the temperature of the substrate does not have a significant impact on the nanoscale morphology of the particles, however, as mentioned the higher temperature is required to avoid aggregation of the aqueous droplets during deposition and drying which will impair film uniformity. To further characterise the nanoparticles obtained, transmission electron microscopy (TEM) was carried out for each sample. Following density gradient centrifugation to remove larger aggregates formed during aging, the supernatants were collected and analysed by TEM and DLS. TEM images (Fig. S10†) confirmed the spherical morphology of the nanoparticles obtained, for **P10** and **P20** particle sizes between 40 and 110 nm were observed, whereas **P30** showed particles ranging in size from 17 to 82 nm. Qualitative agreement with DLS measurements was confirmed by the obtained DLS particle sizes of 97 nm, 109 and 48 nm for **P10**, **P20** and **P30**, respectively. For all samples, the formation of clusters that can lead to larger particles can be observed.

The crystalline domains of the polymer films were investigated with grazing incidence wide-angle X-ray scattering (GIWAXS) (see Fig. 4, Fig. S11 and S12†) and compared with the literature.³⁷ Organic solutions and aqueous dispersions of the polymers were deposited *via* spin-coating and spray-coating respectively onto silicon substrates for the corresponding GIWAXS measurements. In general, all GIWAXS patterns displayed an in-plane *d*(100) lamellar peak regardless of the deposition method with little variation in the lamellar spacing (16–17 Å, see Table S1†). Compared to the films deposited by spin-coating from solution, the films deposited from the aqueous dispersions showed more distinct ring patterns indicative of an isotropic orientation of the crystallites relative to the substrate.⁴⁰ Isotropic orientation was expected for the films from polymer dispersions if the particle shape is retained after deposition, because of the random orientation that the particles adopt during the deposition. This contrasts

with the spin-coated films from solution that show a preferential edge-on orientation relative to the substrate with minor populations of face-on oriented crystallites. The AFM micrographs showed how the spherical or globular shape is retained for **P10** and **P20** films, explaining the isotropic behaviour observed in the GIWAXS patterns. Coherence lengths extracted from peak fitting of the lamellar (100) peaks were found to be 107 Å (~6 repeat units), 144 Å (~8 repeat units), and 114 Å (~7 repeat units) for **P10**, **P20**, and **P30**, respectively, when spin-coated from chloroform solution, meanwhile values of 101 Å (~6 repeat units), 133 Å (~8 repeat units), and 159 Å (~10 repeat units) are obtained for the corresponding spray-coated films from aqueous dispersion of **P10**, **P20** and **P30** respectively (see Table S1†). Apart from the more isotropic orientation of the crystallites in the films from the aqueous dispersions, as was expected, the GIWAXS data generally indicates that the solid-state packing is not markedly different when comparing the two deposition methods.

Finally, as a proof of concept, we investigated the possibility of fabricating organic electronic devices using these aqueous dispersions directly for the processing of the active layer. According to the literature,³⁷ a decrease in electrical performance with increasing polar content was anticipated, hence, only **P10** representing the lowest polar side chain content was tested in an organic field-effect transistor configuration as further detailed in the ESI (Fig. S13†). A bottom-gate bottom-contact OFET was fabricated by spray-coating the **P10** aqueous dispersion at 90 °C onto a Si/SiO₂ substrate with gold electrodes; to increase the wettability of the substrate, a 15-minute plasma treatment was performed. This un-optimised device was operational with an extracted hole mobility around $6 \times 10^{-3} \text{ cm}^2 \text{ Vs}^{-1}$. Albeit displaying lower charge carrier mobility compared with a reference device processed *via* spin-coating from a chloroform solution ($5 \times 10^{-2} \text{ cm}^2 \text{ Vs}^{-1}$), this initial device work highlights the potential of this approach for fabricating active semiconductor layers *via* purely aqueous processing.

Conclusions

In summary, we have demonstrated that stable semiconducting polymer dispersions can be obtained by the introduction of non-ionic polar side chains onto the polymer backbone, here exemplified by an ethylene glycol motif and the well-studied poly(3-hexylthiophene) polymer. The presence of the polar side chains stabilises the aqueous dispersions without the use of surfactants or additives over a period of 42 days. Increasing the polar side chain content in the polymer can act in detriment to the dispersion stability as shown by an increase in polydispersity index over time for the **P30** dispersions with 30% polar side chain content. The polymeric dispersions show a decrease in particle size with increasing polar content while blue-shifted absorption profiles likewise indicate a gradual loss of solid-state properties of the dispersions with increasing polar content. The dispersions were successfully de-



posited over different substrates *via* spray-coating at 90 °C. The isotropic distribution of crystallites observed by GIWAXS can be justified by the retention of the spherical shape of the particles once deposited, as corroborated by AFM and TEM measurements. Although a granular morphology was observed for **P10** and **P20** dispersions, **P30** showed a more amorphous behaviour, indicating an aggregation effect taking place with higher polar side chain content. To assess the applicability of these aqueous dispersions for organic electronic device fabrication, the **P10** dispersion with 10% polar side chain content was deposited onto a silicon substrate with patterned electrodes and characterised in a transistor configuration. A current response confirmed that the electrical properties are preserved, although the performance was lower when compared to devices where the semiconductor layer was spin-coated from organic solution.³⁷ Further work to optimise the deposition process and subsequent post-processing steps such as thermal annealing is likely to bridge that gap in electrical performance. Moreover, the process could be particularly attractive in emerging fields such as organic thermoelectrics and bioelectronics where semiconducting polymers with polar side chain content are frequently observed to have much enhanced device performance compared to their non-polar counterparts.

Conflicts of interest

The authors declare no conflict of interest.

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