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## Identifying the active sites and intermediates on copper surfaces for electrochemical nitrate reduction to ammonia†

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Copper (Cu) is a widely used catalyst for the nitrate reduction reaction (NO<sub>3</sub>RR), but its susceptibility to surface oxidation and complex electrochemical conditions hinders the identification of active sites. Here, we employed electropolished metallic Cu with a predominant (100) surface and compared it to native oxide-covered Cu. The electropolished Cu surface rapidly oxidized after exposure to either air or electrolyte solutions. However, this oxide was reduced below 0.1 V vs. RHE, thus returning to the metallic Cu before NO<sub>3</sub>RR. It was distinguished from the native oxide on Cu, which remained during NO<sub>3</sub>RR. Fast NO<sub>3</sub><sup>−</sup> and NO reduction on the metallic Cu delivered 91.5 ± 3.7% faradaic efficiency for NH<sub>3</sub> at −0.4 V vs. RHE. In contrast, the native oxide on Cu formed undesired products and low NH<sub>3</sub> yield. Operando shell-isolated nanoparticle-enhanced Raman spectroscopy (SHINERS) analysis revealed the adsorbed NO<sub>3</sub><sup>−</sup>, NO<sub>2</sub>, and NO species on the electropolished Cu as the intermediates of NH<sub>3</sub>. Low overpotential NO<sub>3</sub><sup>−</sup> and NO adsorptions and favorable NO reduction are key to increased NH<sub>3</sub> productivity over Cu samples, which was consistent with the DFT calculation on Cu(100).

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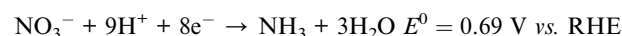
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## 1. Introduction

Ammonia (NH<sub>3</sub>) is imperative for agricultural fertilizers and hydrogen-carrying fuel.<sup>1–3</sup> However, the excessive use of artificial fertilizer has disrupted the nitrogen cycle, causing nitrates (NO<sub>3</sub><sup>−</sup>) to drain into groundwater and rivers, and nitrous oxide (N<sub>2</sub>O) emissions.<sup>4–6</sup> There is growing interest in using reactive nitrogen pollutants as an NH<sub>3</sub> source to address environmental concerns and explore clean energy alternatives. Electrochemical NO<sub>3</sub><sup>−</sup> reduction reaction (NO<sub>3</sub>RR) in water is the representative method, offering additional advantages over the Haber–Bosch process in terms of mild reaction conditions (room temperature, atmospheric pressure, and no need for natural gas

feedstock),<sup>7–12</sup> and outperforming electrochemical N<sub>2</sub> reduction in kinetics.<sup>13–15</sup>

NO<sub>3</sub>RR involves eight electron-transfer processes with a thermodynamic reduction potential (*E*<sup>0</sup>) of +0.69 V vs. the reversible hydrogen electrode (RHE).<sup>16–18</sup>



Computational simulations envisioned a sequential deoxygenation process from NO<sub>3</sub><sup>−</sup> to nitric oxide (\*NO, where the asterisk symbol indicates surface adsorption of the species) or nitride (\*N), followed by hydrogenation to yield NH<sub>3</sub>.<sup>18–20</sup> Two key steps determine activity and selectivity; (i) the initial NO<sub>3</sub><sup>−</sup> reduction to nitrite (\*NO<sub>2</sub>) is the rate-determining step. The sluggish process caused significant onset overpotential.<sup>21,22</sup> (ii) The \*NO binding strength on the catalyst significantly impacts selective NH<sub>3</sub> production. Weak NO adsorption leads to NO dissolution or NO–NO coupling, yielding NO, N<sub>2</sub>O, NH<sub>2</sub>OH, or N<sub>2</sub> byproducts.<sup>17,18,21–24</sup>

Various catalysts have been investigated to optimize \*NO<sub>3</sub><sup>−</sup> and \*NO adsorption. Copper (Cu) emerges as the most economical choice in addition to its ability to create a significant potential gap between NO<sub>3</sub><sup>−</sup> reduction and hydrogen evolution reaction (HER). Both Cu(111)<sup>25–28</sup> and (100) facets<sup>9,29</sup> exhibited reasonable activity in overall processes, and their performance was further enhanced when forming alloys or

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bimetallic structures with Ru, Rh, Pd, or Ir.<sup>11,30–34</sup> However, the vulnerable nature of the Cu surface has posed challenges in identifying the actual active sites and their roles; the metallic Cu undergoes quick oxidation in the air or an electrolyte solution. Conversely, the Cu oxides are electrochemically reduced. However, degrees of oxidation and reduction vary depending on the conditions and have not been easily controlled. For instance, CuO nanostructures transformed into Cu/Cu<sub>2</sub>O heterostructures through *in situ* reduction.<sup>12</sup> Although the heterostructure showed better NH<sub>3</sub> productivity, identifying the true active sites has proven difficult due to the presence of defect/strain structures with varying surface roughnesses<sup>9,35–37</sup> and the complexity of valence states at Cu/Cu<sub>2</sub>O sites.<sup>38</sup>

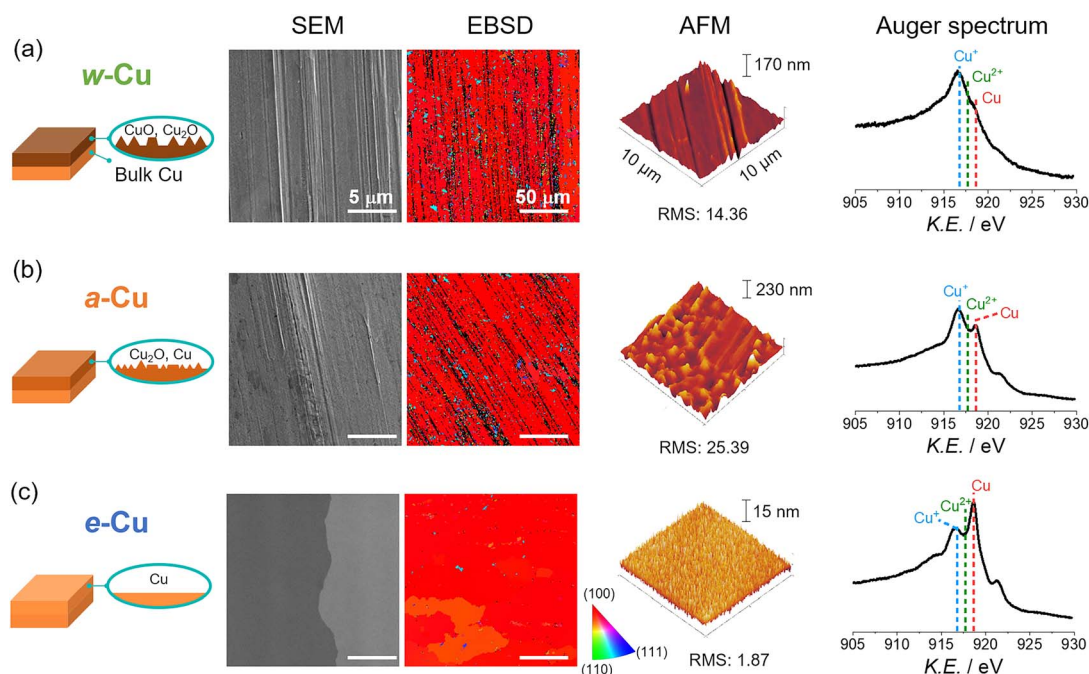
Here, we focused on the Cu surface states that are essential as the active sites to determine NO<sub>3</sub>RR activity and selectivity. Three surface states of Cu foil were prepared, representing the native oxide-covered Cu, partially etched native oxide, and metallic Cu surface with a predominant (100) facet. It was found that the metallic Cu surface was oxidized by air or electrolyte solution. However, this oxide layer was rapidly eliminated below 0.1 V vs. RHE (before starting NO<sub>3</sub>RR). The reverted metallic Cu surface facilitated NO<sub>3</sub><sup>−</sup> and NO reductions and offered 91.5 ± 3.7% of NH<sub>3</sub> faradaic efficiency at −0.4 V vs. RHE. In contrast, native oxide on Cu was not fully removed under the same electrochemical condition, providing inferior NH<sub>3</sub> productivity. *Operando* Raman spectroscopy analysis revealed \*NO<sub>3</sub><sup>−</sup>, \*NO<sub>2</sub>, and \*NO species on metallic Cu as the intermediates of NH<sub>3</sub>. Subsequent reductions of \*NO<sub>3</sub><sup>−</sup> and \*NO are associated with NH<sub>3</sub> productivity, which is further supported by the DFT calculation. In contrast, the native

oxide on Cu did not clearly show these intermediates and appeared \*NO with higher overpotential.

## 2. Results and discussion

We prepared three types of Cu foils using different surface treatments (Scheme S1 and Experimental details in ESI†). First, as-received Cu foil was washed with acetone, isopropanol, and de-ionized (DI) water sequentially using bath sonication for 5 min each. The resulting Cu foil was named w-Cu. The second and third methods eliminated the native oxide layer of w-Cu.<sup>39,40</sup> The w-Cu was soaked in glacial acetic acid for 5 min,<sup>41</sup> which was indicated as a-Cu. Separately, w-Cu was electrochemically polished by applying 2 V for 5 min in 85 wt% H<sub>3</sub>PO<sub>4</sub> solution,<sup>42,43</sup> denoted as e-Cu. All these treatments were conducted just before surface characterizations or NO<sub>3</sub>RR tests.

The as-received Cu surface state and morphology remained intact in w-Cu (Fig. 1a). Scanning electron microscope (SEM) and atomic force microscope (AFM) displayed stripe patterns on the Cu surface, attributed to root-mean-square (RMS) roughness at 14.4 nm in 100 μm<sup>2</sup> area. While a-Cu had a similar surface pattern, a surface RMS increased to 25.4 nm (Fig. 1b). It indicated non-uniform and incomplete surface etching. Electron backscatter diffraction (EBSD) analysis revealed a prevalent Cu(100) facet (red) over both w-Cu and a-Cu surfaces, while various polycrystals also appeared with small domains. Chemical analyses using Auger spectra (Fig. 1) and X-ray photoelectron spectroscopy (XPS, Fig. S1†) showed intense Cu<sup>+</sup> and Cu<sup>2+</sup> signals for both samples, *i.e.*, the presence of Cu<sub>2</sub>O and CuO.<sup>44</sup>



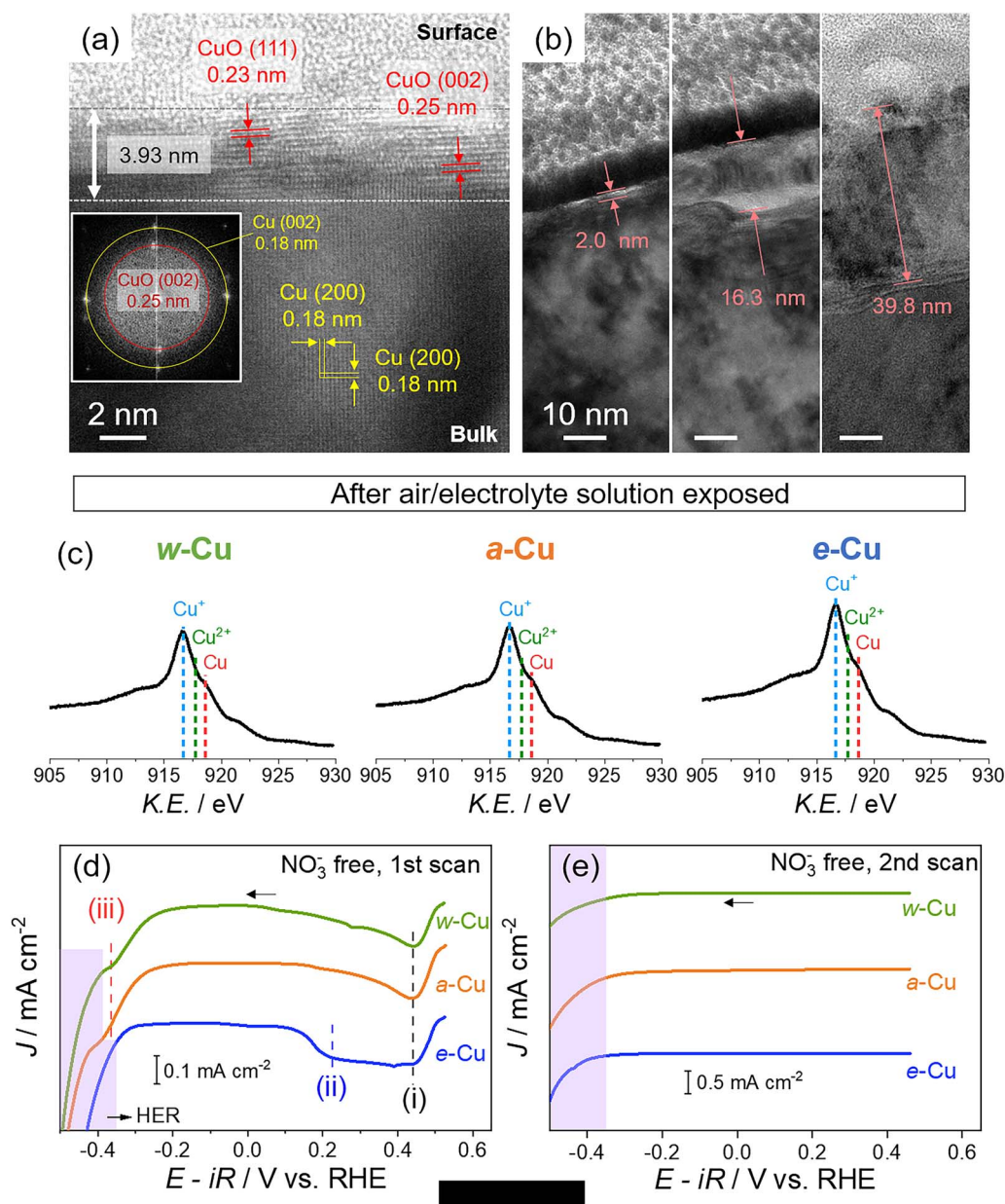
**Fig. 1** Surface characteristics of Cu electrodes with different surface treatments through (a) solvent washing (top panel, w-Cu), (b) acid-etching (middle panel, a-Cu), and (c) electropolishing (bottom panel, e-Cu). Analyses of each sample showed schematic illustration, SEM image, electron backscatter diffraction (EBSD) image with a color indicator (right bottom), AFM image, and Auger Cu LMM spectrum (from the left to the right side). All scale bars in SEM and EBSD indicate 5 μm and 50 μm, respectively. RMS stands for root-mean-square roughness with nanometer unit. Dashed lines in Auger spectra indicate Cu<sup>+</sup> (916.8 eV), Cu<sup>2+</sup> (917.7 eV), and Cu<sup>0</sup> (918.6 eV).



Quantitatively, the surface of w-Cu was covered by 81%  $\text{Cu}^+$  and 21%  $\text{Cu}^{2+}$  (Fig. S2†). There were no metallic  $\text{Cu}^0$  present in the Cu Auger spectra. In comparison, a-Cu had a partially metallic Cu surface (37%) due to etching, although the major species was  $\text{Cu}^+$  (63%). Native Cu oxide on w-Cu was further analysed using cross-sectional transmission electron microscopy (TEM), exhibiting  $\text{CuO}(111)$  and  $(002)$  with 0.23 and 0.25 nm  $d$ -spacings, respectively (Fig. 2a). The thicknesses were varied from 2 to 40 nm in multiple areas (Fig. 2b).

In contrast, e-Cu had a stripe-free and even surface with  $\text{Cu}(100)$  facet (RMS: 1.9 nm, Fig. 1c). Auger and XPS analyses identified the prevalent metallic  $\text{Cu}^0$  (71% coverage) on the surface, demonstrating the complete elimination of the oxide layer (Fig. S1 and S2†).

Three types of Cu foils were susceptible to oxidation when electrochemical cells were assembled in ambient air and stabilized in an electrolyte solution. Thus, the actual surface states before  $\text{NO}_3\text{RR}$  tests should be identified. We analysed Cu surfaces after air-tight H-cell installation (details in Fig. S3†)



**Fig. 2** Characteristics of native oxide on Cu foils and after air/electrolyte solution oxidation. (a and b) Cross-sectional TEM images of w-Cu in multiple areas, prepared by focused ion beam (FIB) milling. (a)  $d$ -spacing of  $\text{CuO}$  from native oxide and  $\text{Cu}(200)$  and corresponding FFT image (inset) (b) varied native oxide thicknesses of w-Cu. (c) Auger Cu LMM spectra of Cu foils after exposure to air and Ar-saturated 1 M KOH solution. (d and e) Cathodic linear sweep voltammogram (LSV) profiles of Cu foils in Ar-saturated 1 M KOH solutions. Potentials were swept from open circuit potential (0.53 V) for (d) the initial and (e) the second scan at a rate of  $5 \text{ mV s}^{-1}$ . The violet regions indicate hydrogen evolution reaction (HER).



and argon (Ar) gas purge into 1 M KOH solution for 10 min. Auger spectra revealed a growing  $\text{Cu}^+$  signal on e-Cu and a-Cu compared to a negligible change on w-Cu (Fig. 2c), indicating immediate oxidation arising from the metallic Cu sites. We also note that residual organic contaminants appearing on w-Cu were removed by soaking in the KOH solution (Fig. S1 and S4†).

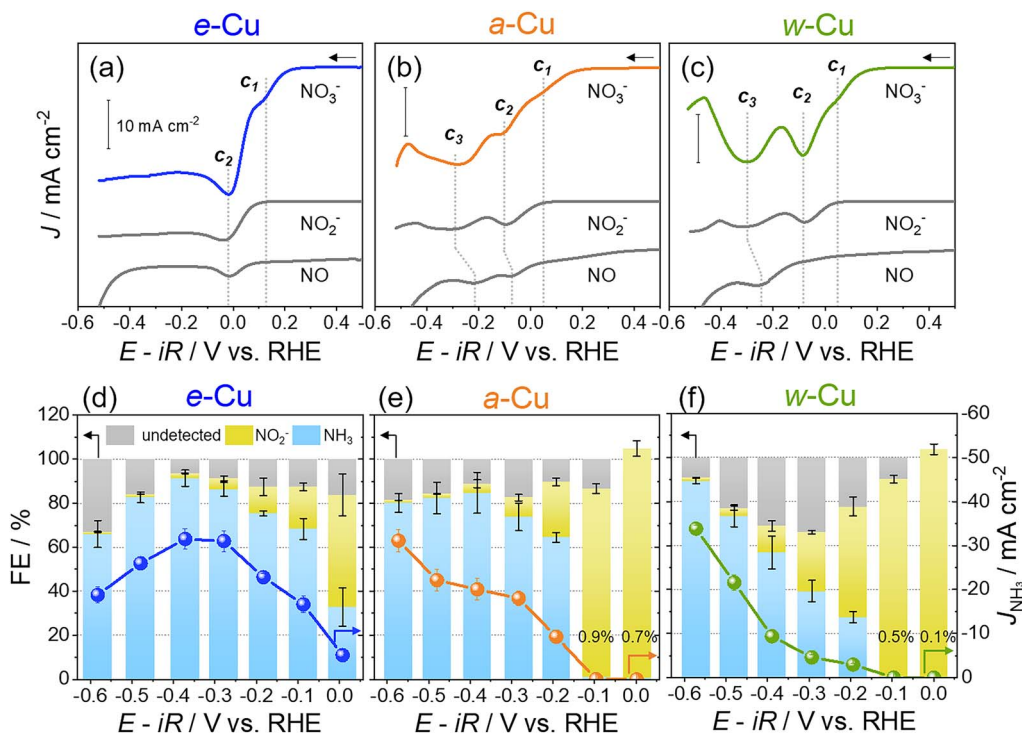
However, the instantly oxidized Cu differs from the native oxide; the former was rapidly removed before or during the initial  $\text{NO}_3\text{RR}$  tests. We tracked different reduction potentials of these oxide layers in 1 M KOH solution. Cathodic linear sweep voltammetry (LSV) in Fig. 2d shows three potential regions: (i) 0.4–0.5 V (vs. RHE unless otherwise stated), (ii) 0.1–0.4 V, and (iii) –0.25––0.45 V. The (i) includes the  $\text{CuO}$  reduction to  $\text{Cu}_2\text{O}$ , and the (ii) and (ii) regions indicate  $\text{Cu}(\text{OH})_2/\text{Cu}_2\text{O}$  reduction to  $\text{Cu}^0$ .<sup>45–47</sup> The cathodic signal of  $\text{CuO}$  was developed in (i) for all samples. However, the  $\text{Cu}(\text{OH})_2/\text{Cu}_2\text{O}$  reduction in the (ii) region was applied for e-Cu only. With higher overpotentials (close to HER), the  $\text{Cu}^+$  reduction appeared for a-Cu and w-Cu, but not e-Cu, as shown in (iii) region.<sup>46,48–50</sup> The appearance of the cathodic peaks in (iii) is, therefore, likely due to the thick native oxide (up to 40 nm).<sup>45,46,48,49,51</sup> During the second LSV scan, all oxide/hydroxide signals vanished (Fig. 2e). It indicates that the metallic Cu surface was recovered on e-Cu below 0.1 V, whereas the native oxide was reduced to below –0.4 V.

Next,  $\text{NO}_3\text{RR}$ s were examined with 50 mM  $\text{KNO}_3$ . Cathodic LSVs showed two waves for e-Cu and three for a-Cu and w-Cu (Fig. 3a–c). The initial ( $c_1$ ) and second waves ( $c_2$ ) appeared for

all Cu foils, while the third one ( $c_3$ ) at higher overpotentials only emerged for a-Cu and w-Cu (Fig. 3a–c). To identify each signal,  $\text{NO}_2^-$  or NO, which are key intermediates in  $\text{NO}_3\text{RR}$ , was added to a 1 M KOH solution without  $\text{NO}_3^-$ .<sup>29</sup> Adding 50 mM  $\text{NaNO}_2$  vanished the  $c_1$  wave, identifying  $c_1$  to the  $\text{NO}_3^-$  reduction to  $\text{NO}_2^-/\text{NO}$ . NO gas was generated by Cu powder and neat nitric acid ( $\text{HNO}_3$ ) reaction and introduced to the electrochemical cell through the Ar stream (Scheme S2†).<sup>52</sup> Emerging NO cathodic waves notably relied on the Cu surfaces; the metallic Cu on e-Cu promoted the NO reduction at  $c_2$  (–0.02 V) with a significant current density ( $j$ ), whereas the native Cu oxide of w-Cu reduced NO at  $c_3$  (–0.31 V). The a-Cu allowed both  $c_2$  (–0.10 V) and  $c_3$  (–0.28 V) due to the coexistence of both metallic Cu and Cu oxide.<sup>21</sup> Consequently, metallic Cu offered more active sites for prompt NO reduction and caused the absence of  $c_3$ .

For the potential aspect, e-Cu offered more positive (*i.e.*, lower overpotentials) for  $c_1$  (>100 mV) and  $c_2$  (>50 mV) compared to those for a-Cu and w-Cu (Table 1). The rate-determining  $\text{NO}_3^-$  reduction to  $\text{NO}_2^-$  was significantly catalysed by the metallic Cu and improved overall reaction kinetics. Additionally, the Tafel slope of e-Cu was smaller ( $71.4 \text{ mV dec}^{-1}$ ) than that of a-Cu and w-Cu ( $>100 \text{ mV dec}^{-1}$ ) (Fig. S5†) and even superior to the ones of previously reported Cu-based catalysts (Table S1†).<sup>21,38,53–57</sup>

Furthermore, the active sites on e-Cu were stable. Cyclic voltammograms (CVs) exhibited constant NO-reducing waves during three cycles, although sample surfaces were roughened



**Fig. 3** Electrochemical  $\text{NO}_3\text{RR}$  for Cu foils in Ar-saturated 1 M KOH solutions with 50 mM  $\text{KNO}_3$ . (a–c) Cathodic LSVs for (a) e-Cu, (b) a-Cu, and (c) w-Cu at a scan rate of  $5 \text{ mV s}^{-1}$ . The  $c_1$ – $c_3$  indicate cathodic waves, and all scale bar indicates  $10 \text{ mA cm}^{-2}$ .  $\text{NO}_2^-$  and NO curves (gray) were acquired from 50 mM  $\text{NaNO}_2$  and NO gas, respectively, instead of  $\text{NO}_3^-$ . (d–f) Potential-dependent faradaic efficiency (FE, bar graphs with the left y-axis) of  $\text{NH}_3$  (sky blue),  $\text{NO}_2^-$  (pale yellow), and undetected products (gray) by colorimetric analyses for (d) e-Cu, (e) a-Cu, and (f) w-Cu. The partial current density of  $\text{NH}_3$  ( $J_{\text{NH}_3}$ ) is indicated as dots with the right y-axis.

Table 1 Evaluations of NO<sub>3</sub>RR with different Cu foils

	Surface states Auger spectra	Cathodic potentials in LSV (V vs. RHE)   cathodic reactant(s)						FE <sub>NH<sub>3</sub></sub> (%)		Yield rate <sup>b</sup> (mass yield rate) <sup>c</sup>	EE <sub>NH<sub>3</sub></sub> (%)
								0 V	−0.4 V	−0.4 V	−0.4 V
		<i>c</i> <sub>1</sub> <sup>a</sup>	<i>c</i> <sub>2</sub>	<i>c</i> <sub>3</sub>							
e-Cu	Cu <sup>0</sup> (71%)	0.15	NO <sub>3</sub> <sup>−</sup>	−0.02	NO <sub>2</sub> <sup>−</sup> , NO	NA	—	41.6	91.5	143.1 (2.43)	30.8
a-Cu	Cu <sup>+</sup> (63%)	0.05		−0.10	NO <sub>2</sub> <sup>−</sup> , NO	−0.28	NO	0.7	84.8	95.5 (1.62)	28.4
w-Cu	Cu <sup>+</sup> (81%)	0.03		−0.08	NO <sub>2</sub> <sup>−</sup>	−0.31	NO	0.1	56.9	43.8 (0.75)	19.0

<sup>a</sup> *c*<sub>1</sub> potentials were indicated from the 2nd derivatives of LSV curves. <sup>b</sup> The unit is μmol cm<sup>−2</sup> h<sup>−1</sup>. <sup>c</sup> The unit is mg cm<sup>−2</sup> h<sup>−1</sup>.

during NO<sub>3</sub>RR (Fig. S6 and S7†). To depict electrochemical active surface area (ECSA), we estimated the roughness factors from two methods: the RMS surface roughness from the AFM measurement and the electric double layer capacitance (EDLC) relative to the specific capacitance, as shown in Table S2 and S3.† Both methods consistently resulted in the lowest roughness factor and ECSA for the as-prepared e-Cu. During NO<sub>3</sub>RR, the EDLC of e-Cu increased more than a-Cu and w-Cu (Fig. S8a†). However, the geometric-area-normalized current densities were constant during CV cycling (Fig. S6†). In addition, e-Cu still exhibited the highest ECSA-normalized current density in the fourth cycled CV (Fig. S8 and Table S3†). It corroborated the stable and preserved active sites on e-Cu regardless of the increased surface roughness.

The selectivity and yield of NH<sub>3</sub> production was assessed by chronoamperometry (CA) tests and colorimetric analyses of the electrolyte solution (NO<sub>2</sub><sup>−</sup> and NH<sub>3</sub> detection, Fig. S9–S11†).<sup>12,18,21</sup> For e-Cu, faradaic efficiency of NH<sub>3</sub> (FE<sub>NH<sub>3</sub></sub>) was 41.6% at 0.0 V and maximized to 91.5% at −0.4 V during 1 h reaction (Fig. 3d). Isotope labeling tests demonstrated that NO<sub>3</sub><sup>−</sup> was the exclusive N source to form NH<sub>3</sub> (Fig. S12†). Declining FE<sub>NH<sub>3</sub></sub> below −0.4 V was attributed to HER included in the increased undetected species. By comparison, w-Cu and a-Cu generated <1% FE<sub>NH<sub>3</sub></sub> at 0.0 V, where NO<sub>2</sub><sup>−</sup> was the primary product (Fig. 3e and f). A very small current density hindered a precise quantification (>100% FE), and this result is explained by an insignificant current density at *c*<sub>1</sub> in the LSV (Fig. 2b and c). High charge-transfer resistances obtained at 0.0 V and −0.1 V also illustrated sluggish NO<sub>3</sub><sup>−</sup> reduction on the native Cu oxide (Fig. S13†). At −0.4 V, although FE<sub>NH<sub>3</sub></sub> was developed to 84.8% (a-Cu) and 56.9% (w-Cu), the efficiency was still inferior to e-Cu. We also found that the undetected species became the maximum at −0.3 V from w-Cu and decreased toward the negative potential, distinct from the e-Cu trend (Fig. 3f). Undesired products, such as N<sub>2</sub>O, NH<sub>2</sub>OH, and N<sub>2</sub>, were produced from w-Cu (Fig. S14†) due to weak \*NO adsorption on the native oxide.<sup>58,59</sup> A subsequent decline of undetected species indicated a slow HER from the oxide layer that was not wholly eliminated during NO<sub>3</sub>RR. The a-Cu showed an in-between tendency as existing both Cu<sup>0</sup> and native oxide (Fig. 3e). Still, the contribution of metallic Cu for FE<sub>NH<sub>3</sub></sub> was notable at −0.2–−0.4 V. As a result, e-Cu showed an excellent NH<sub>3</sub> yield rate and half-cell energy efficiency (Table 1 and Fig. S15†), verifying the best NH<sub>3</sub> selectivity.

To further shed light on the NO<sub>3</sub>RR process, e-Cu and w-Cu surfaces were observed by *operando* electrochemical shell-isolated nanoparticle-enhanced Raman spectroscopy (SHINERS) using Au@SiO<sub>2</sub> nanoparticles and Nafion binder (Fig. 4a, S16 and S17, and details in ESI†). Spectra of as-prepared e-Cu and w-Cu surfaces showed peaks of Cu<sub>2</sub>O (430 cm<sup>−1</sup>), Cu(OH)<sub>x</sub> (489 cm<sup>−1</sup>), and CuO (630 cm<sup>−1</sup>) (the left panel of Fig. 4b, c and Table S4†). However, the oxide/hydroxide peaks from e-Cu weakened at 0.0–−0.2 V, validating a recovery of the metallic Cu surface during NO<sub>3</sub>RR (Fig. S18†). The trend is more evident when comparing peak-height intensity with potential change, as shown in Fig. S18.† During NO<sub>3</sub>RR, e-Cu showed that the peaks of Cu(OH)<sub>x</sub> and CuO were almost completely absent, while Cu<sub>2</sub>O species exhibited a relatively small decrease. In sharp contrast, w-Cu preserved all oxide peaks in 0.0–−0.5 V. At the end of NO<sub>3</sub>RR, the peaks of Cu oxides remained on w-Cu but not on e-Cu.

NO<sub>3</sub>RR intermediates were observed in 900–1700 cm<sup>−1</sup> region (the right panel of Fig. 4b, c and Table S5†), where peaks of Nafion binder and residual citrate used for the synthesis of Au nanoparticles (Fig. S19†) also appeared, marked as ● and ○, respectively. Before applying a potential, a strong symmetric stretching peak of NO<sub>3</sub><sup>−</sup> (ν<sub>s</sub>(NO<sub>3</sub><sup>−</sup>), 1047 cm<sup>−1</sup>) in the solution emerged. At 0.0 V, this intensity weakened on e-Cu, while asymmetric NO<sub>2</sub> of NO<sub>3</sub><sup>−</sup> peak (ν<sub>as</sub>(NO<sub>2</sub>), 1354 cm<sup>−1</sup>)<sup>60,61</sup> was intensified, possibly due to \*NO<sub>3</sub><sup>−</sup> adsorption (the right panel of Fig. 4b). Concurrently, peaks of \*NO<sub>2</sub> intermediates were observed as the result of NO<sub>3</sub><sup>−</sup> reduction,<sup>9,35–37</sup> assigned to ν<sub>as</sub>(\*ONO\*) (1290 cm<sup>−1</sup>) and ν<sub>as</sub>(\*ON = O) (1367 cm<sup>−1</sup>). More importantly, the appearance of \*NO (1608 cm<sup>−1</sup>) at 0.0–−0.1 V signified the suitable binding strength with e-Cu and the occurrence of subsequent hydrogenation. It caused the appearance of peaks of \*NH<sub>2</sub> (δ<sub>s</sub>(H\*NH), 1320 cm<sup>−1</sup>) at 0.0–−0.3 V and growing \*NH<sub>3</sub> associated δ<sub>as</sub>(H\*NH) (1578 cm<sup>−1</sup>) at −0.3 V. Rapid NH<sub>3</sub> production at −0.4 V then attenuated all Raman peak intensities, and HER obscured signals at −0.5 V.

In comparison, w-Cu showed intense peaks of \*NO<sub>2</sub> (1367 cm<sup>−1</sup> of \*ON=O and 1450 cm<sup>−1</sup> of \*ON\*=O) at 0.0–−0.2 V (the right panel of Fig. 4c). Unlike the e-Cu case, new hydrogenated species emerged, such as δ(NH<sub>2</sub>) (1160 cm<sup>−1</sup>) possibly arising from NH<sub>2</sub>OH,<sup>62</sup> δ(\*NH) (1520 cm<sup>−1</sup>),<sup>62,63</sup> and δ(H\*NO) (1534 cm<sup>−1</sup>), with strong intensities. We presume that they are intermediates of byproducts (N<sub>2</sub>O, NH<sub>2</sub>OH, and N<sub>2</sub>) rather than those of NH<sub>3</sub>. Meanwhile, \*NO had not yet emerged



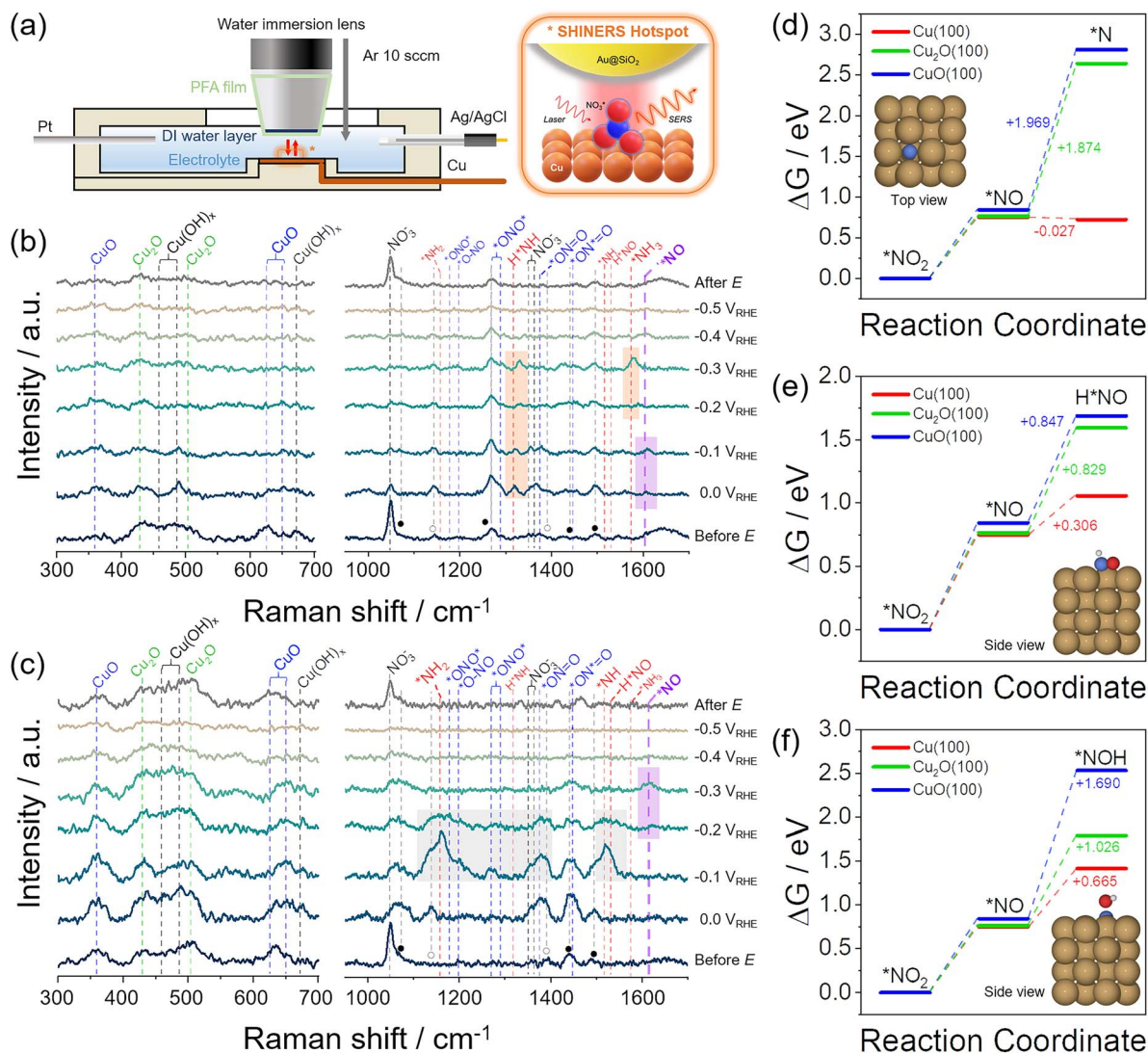


Fig. 4 Operando SHINERS analysis for  $\text{NO}_3^-$  reduction process on e-Cu and w-Cu electrodes. (a) Schematic illustration of an electrochemical cell. The right scheme illustrates the SERS hotspot defined by the SHIN ( $\text{Au@SiO}_2$ ) particle placed on top of the Cu surface undergoing a chemical reaction. (b and c) SERS spectra for (b) e-Cu and (c) w-Cu, before the test, 0.0 V—0.5 V vs. RHE, and after the test (from bottom to top). The potential was negatively scanned with  $-100$  mV step. Vertical dashed lines indicate vibrational frequencies of  $\text{CuO}$ ,  $\text{Cu}_2\text{O}$ ,  $\text{Cu(OH)}_x$ ,  $\text{NO}_3^-$  (black),  $\text{NO}_2^-$  (blue),  $\text{NO}$  (violet),  $\text{HNO}/\text{NH}/\text{NH}_2/\text{NH}_3$  (red). For the details of peak assignment, see Tables S4 and S5.† The orange, violet, and gray box indicate  $\text{NH}_2^*/\text{NH}_3^*$ ,  $\text{NO}$ , and byproduct intermediates, respectively. (d–f) Reaction free energy diagrams of (d)  $\text{NO}_2^- \rightarrow \text{N}$ , (e)  $\text{NO}_2^- \rightarrow \text{H}^*\text{NO}$ , and (f)  $\text{NO}_2^- \rightarrow \text{NOH}$  on  $\text{Cu(100)}$ ,  $\text{Cu}_2\text{O(100)}$ , and  $\text{CuO(100)}$  at pH = 14, calculated by DFT. The insets showed the optimized structures of three final intermediates adsorbed on  $\text{Cu(100)}$  (brown, blue, red, and white represent Cu, N, O, and H, respectively).

in this potential range, suggesting that the accessible NO is weakly absorbed on w-Cu or reacts undesirably with re-dissolved NO, which was supported by the increased undetected species in Fig. 3f. The apparent  $\text{NO}$  peak was observed at  $-0.3$  V, which aligned with the NO reduction potential ( $c_3$ ) in the LSV (Fig. 3c) and the increased  $\text{FE}_{\text{NH}_3}$  below  $-0.3$  V (Fig. 3f).

DFT calculations also supported the notable influence of the Cu valence state on  $\text{NO}_2^-$  and  $\text{NO}$  reductions using three Cu surface models:  $\text{Cu(100)}$ ,  $\text{Cu}_2\text{O(100)}$ , and  $\text{CuO(100)}$  (Fig. S20 and Computational details in ESI†). We first examined the formation of  $\text{NO}$  from the reduction of  $\text{NO}_2^-$  and calculated Gibbs free energy changes ( $\Delta G$ ) on different Cu surfaces at pH = 14 (Tables S6–S8†). The  $\Delta G$  value is positive on all Cu surfaces,

implying a thermodynamically energy-consuming reduction process. However, each surface has only a small difference in  $\Delta G$ , around 0.75 eV.

Subsequent reduction of  $\text{NO}$  through the  $\Delta G$  was calculated. Simulated intermediates were suggested as  $\text{N}^*$ ,  $\text{H}^*\text{NO}$ , and  $\text{NOH}^*$ ,<sup>11,18,64,65</sup> which are different in deoxygenation, hydrogenation, and final product determination steps.<sup>65–67</sup> Fig. 4d–f shows each intermediate's Gibbs free energy diagrams on Cu surfaces at pH = 14. The optimized structures of three intermediates adsorbed on each surface were presented in the inset and Fig. S21–S23.† The  $\text{Cu(100)}$  surface had the most favourable  $\Delta G$  value of  $-0.027$  eV for forming  $\text{N}^*$  from  $\text{NO}$ . In comparison, the other oxide surfaces had significantly large



positive values ( $>1.8$  eV) due to the thermodynamic barrier toward  $^*N$ , which was suggested to be a highly  $NH_3$ -selective intermediate.<sup>65</sup> The  $\Delta G$  for the formation of  $H^*NO$  and  $^*NOH$  are also calculated through  $^*NO$  reduction.  $Cu_2O(100)$  and  $CuO(100)$  surfaces showed the lowest  $\Delta G$  for  $H^*NO$  compared to  $^*N$  and  $^*NOH$ , consistent with the appearance of  $H^*NO$  species in the Raman spectrum at  $-0.1$ – $-0.2$  V (Fig. 4c). Nonetheless, the energy barrier for  $H^*NO$  and  $^*NOH$  formation with  $^*NO$  reduction was lower at the  $Cu(100)$  surface than at  $Cu$  oxides. It suggests that all intermediates from the  $^*NO$  reduction can be produced more easily on the metallic  $Cu$  surface, consistent with the available experimental results.

### 3. Conclusions

In summary, we studied  $Cu$  surface states for  $NO_3RR$  activity and selectivity. The native oxide layer on  $Cu$  involved slow  $NO_3^-$  and  $NO$  reduction and produced undesired products. In contrast, metallic  $Cu$  with an even surface produced  $NH_3$  with better activity and selectivity. Although the metallic  $Cu$  was immediately oxidized in air or electrolyte solution, this oxide was rapidly reduced below  $0.1$  V. Therefore, the metallic  $Cu^0$  surface was recovered in contrast to the persistent native oxide. Electrochemical tests, operando Raman spectroscopy, and DFT calculations consistently demonstrated the metallic  $Cu$  as the active sites of  $NO_3RR$ , attributed to suitable  $^*NO_3^-$  and  $^*NO$  adsorptions and following their rapid reductions.

### Data availability

All data associated with this publication are provided in the ESI.†

### Author contributions

Y. K. and H. R. B. designed the experiments; Y. K. and M. S. conducted electrochemical experiments; J. K. contributed to DFT calculations on free energy change under the supervision of Y. J.; J. P., H. S., and Z. H. K. advised to setup and interpret SHINERS experiments; H. S. simulated the Raman peak position of intermediates under the supervision of Z. H. K; Y. K., H. R. B., J. K., and Y. J. wrote the manuscript.

### Conflicts of interest

There are no conflicts to declare.

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