# Journal of Materials Chemistry C



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# PAPER

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Cite this: J. Mater. Chem. C, 2023, 11, 10058

Received 4th May 2023, Accepted 1st July 2023 DOI: 10.1039/d3tc01555f

rsc.li/materials-c

## Introduction

In our increasingly interconnected world, new trends for sustainable energy management, including energy harvesting, storage and conversion, in miniature devices have emerged.<sup>1–4</sup> Ferroelectric ceramics are thus becoming increasingly important and their miniaturization is turning out to be critical.<sup>3,5</sup> There is a need for microsystems that manage multiple energy operations in a single device, thus offering all-in-one energy harvesting, storage and conversion.<sup>1–4,6</sup>

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# Multifunctional flexible ferroelectric thick-film structures with energy storage, piezoelectric and electrocaloric performance<sup>†</sup>

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As a major challenge, sustainable energy management and energy self-sufficiency require microsystems that manage multiple energy operations in a single device. In this work, flexible thick-film structures with promising energy storage and electrocaloric cooling capabilities as well as piezoelectric properties are developed. The functional thick-film layer is based on relaxor-ferroelectric 0.65Pb(Mg1/3Nb2/3)O3- $0.35PbTiO_3$  (PMN-35PT) directly deposited on a flexible polyimide substrate by an aerosol deposition method. The thick-film structures exhibit a promising recoverable energy-storage density of 10.3 J cm<sup>-3</sup>. After extensive bending tests, the structures showed no signs of degradation. The high bendability and durability are confirmed by stable energy storage properties after bending up to a radius of 1.5 mm (2.4% bending strain) and 10<sup>5</sup> repeated bending cycles. The developed thick-film structures also exhibit a piezoelectric coefficient  $d_{33}$  of ~80 pm V<sup>-1</sup>. Using two direct electrocaloric measurement methods, we demonstrated that the electrocaloric temperature change in the prepared PMN-35PT thick-film structures reaches a maximum of 0.87 K at 63.5  $^{\circ}$ C and 300 kV cm<sup>-1</sup>, which exceeds the value of 0.72 K at  $\sim$ 65 °C and 60 kV cm<sup>-1</sup> reported for bulk ceramics of the same composition. The PMN-35PT thick films prepared here are thick-film structures with excellent flexibility, promising for future multifunctional microsystems that manage multiple energy operations, enabling comprehensive energy harvesting, storage and conversion to thermal energy.

> Piezoelectric energy harvesting is one of the most interesting energy harvesting principles in the microscale because it offers great potential for harvesting kinetic energy, *e.g.*, from mechanical motions, flow and vibrations, which are available in many fields such as transportation, household, in-water applications, microfluidics, body motion and *in vivo* energy for biomedical applications.<sup>7,8</sup> Piezoelectric energy harvesting is usually realized using ferroelectric materials as they have an outstanding electro-mechanical coupling factor and piezoelectric coefficient.<sup>9</sup>

> Dielectric capacitors are used for the capacitive energy storage in pulsed power and power-conditioning electronic applications.<sup>10</sup> Since their non-linear polarization-electric field hysteresis can be easily modified, ferroelectric materials can achieve high energy-storage density and efficiency, which makes them promising for energy-storage.

> The conversion of electrical energy to thermal energy is currently dominated by a well-established vapor-compression cooling technology, which is environmentally problematic and poorly energy efficient. Significant improvements in energy efficiency and environmental safety can be achieved by alternative solid-state cooling through the electrocaloric (EC) effect, which also allows miniaturization of cooling devices.<sup>11–15</sup>

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<sup>†</sup> Electronic supplementary information (ESI) available. See DOI: https://doi.org/ 10.1039/d3tc01555f

#### Paper

Despite the possibility of miniaturization of EC cooling technology, there is a lack of reports addressing the EC effect using direct measurement methods in ceramic thin (below 1  $\mu$ m) and thick films (up to 100 µm).<sup>16-18</sup> EC materials in form of bulk ceramics show promising and well-characterized EC cooling performance. However, despite the miniaturization concept, it is not possible to scale down bulk ceramics to the micrometer level for cooling flexible and portable electronics, so thick film technology must be used for this purpose.<sup>19,20</sup> A spray-coating method for depositing of a few micrometer thick ceramic films at room temperature, known as the aerosol deposition (AD) method, is attracting increasing attention.<sup>21-26</sup> Recently, it has been shown that nano-grained micrometer-thick films can be deposited on low-melting point substrates such as polymers.<sup>27-30</sup> Such ceramic-polymer structures allow not only great flexibility but also reliable determination of the EC effect using direct EC measurement methods.<sup>17</sup>

Numerous studies have presented self-charging power systems with combined energy harvesting and storage. For example, mechanical energy is harvested and stored in capacitive, electrochemical or battery storage devices that can power, for example, medical health monitoring and wearable smart electronics.<sup>4,31–36</sup> However, there are no reports of elements with combined energy harvesting, storage and EC cooling capabilities determined by direct measurement methods, especially in the form of thin or thick films that can also be implemented in flexible applications.

Relaxor-ferroelectrics (1-x)Pb(Mg<sub>1/3</sub>Nb<sub>2/3</sub>)O<sub>3</sub>-xPbTiO<sub>3</sub> (PMN-100xPT) exhibit good piezoelectric, energy storage and electrocaloric properties. For example, PMN-35PT bulk ceramics show high piezoelectric coefficients ( $d_{33}$  up to 700 pC N<sup>-1</sup>)<sup>37-39</sup> and high energy storage potential due to low dielectric losses (*i.e.*, 0.04 at 1 kHz).<sup>39,40</sup> In addition, PMN-35PT bulk ceramics also exhibit electrocaloric properties, namely 0.9 K at 60 kV cm<sup>-1</sup> and 107 °C.<sup>41,42</sup> However, for miniaturization, electronic ceramic components must be fabricated in the form of thin or thick films.

In this work, we prepared several micrometer thick PMN-35PT films on flexible polyimide (PI) substrates. We used the AD method, which allows the deposition of fully dense ceramic thick films with exceptional adhesion properties on any substrate materials, including polymers.<sup>21,22</sup> The fabricated PMN-35PT thick films are dense and after thermal annealing at moderate temperatures (400 °C) they exhibit promising recoverable energy storage density ( $U_{rec} = 10.3 \text{ J cm}^{-3}$  at 1000 kV cm<sup>-1</sup>), piezoelectric coefficient ( $d_{33} \sim 80 \text{ pm V}^{-1}$ ) and EC temperature change ( $\Delta T_{EC} = 0.87 \text{ K}$  at 63.5 °C), as well as exceptional flexibility (tolerating 2.4% bending strain). Thus, the PMN-35PT thick films prepared here are flexible thick film structures with multifunctional properties that enable energy harvesting, storage and conversion to thermal energy.

## Materials and methods

The  $0.65Pb(Mg_{1/3}Nb_{2/3})O_3$ - $0.35PbTiO_3$  ceramic powder was prepared by mechanochemical-activation-assisted synthesis, followed by furnace annealing in air at 900 °C and final

planetary ball milling. A detailed description of the powder processing can be found in ref. 28. Prior to aerosol deposition, the PMN–35PT powder was sieved through an 80  $\mu$ m sieve and vacuum dried at 100 °C and 10 mbar for 12 h. A commercial polyimide (PI) foil (Kapton<sup>®</sup> HN, DuPont, DE, USA) with a thickness of 125  $\mu$ m served as substrate. The bottom Cr/Au electrode was deposited on the substrate (first 30 nm Cr, then ~1  $\mu$ m Au) using a RF-magnetron sputtering system (5 Pascal, Italy). The AD equipment was provided by InVerTec, Germany and the schematic of the setup is shown elsewhere.<sup>43</sup> The process parameters of AD are listed in (ESI<sup>†</sup> S1). After AD, the samples were cleaned with ethanol and some of them also thermally annealed at 400 °C for 1 h with heating and cooling rates of 2 K min<sup>-1</sup>. Further on, non-annealed and annealed samples will be referred to as "as-deposited" and "annealed".

X-ray diffraction (XRD) analysis was performed under the same conditions as in ref. 28. A high-resolution diffractometer (X'Pert PRO, PANalytical, The Netherlands) was used to record diffraction patterns using Cu-Ka<sub>1</sub> radiation in the Bragg-Brentano geometry and in a  $2\theta$  range of  $10^{\circ}$ – $120^{\circ}$ . The Topas R package (version 2.1, Bruker AXS GmbH, Germany) was used for Rietveld refinement and the line-profile of the samples was fitted using the Fundamental Parameters Approach (FPA).<sup>44</sup> The FPA uses the geometrical properties of the diffraction experiment to build up the instrumental line width from first principles. It thus allows an explicit determination of the sample-dependent line-broadening contributions to the peak profile, which are dominated by the microstrain and the broadening of the crystallite size.<sup>45</sup> A tetragonal P4mm structure was used to calculate the crystallite size and microstrain of the PMN-35PT solid solution in powders and films. A cubic ( $Fm\bar{3}m$ ) crystal structure was used to fit the Au reflections originating from the bottom electrode. The agreement factors of the Rietveld refinement are listed in ESI<sup>†</sup> S2.

Scanning electron microscopy (SEM) and piezo-response force microscopy (PFM) analyses of the surfaces and crosssections of polished thick films were performed using a fieldemission scanning electron microscope (FE-SEM, JSM-7600F, JEOL, Japan) and an atomic force microscope (AFM; MFP-3D, Asylum Research, CA, USA). Details of sample preparation and SEM and PFM analyses are in ref. 28 and 46. The images were scanned in dual AC resonance-tracking (DART) mode. For PFM imaging, an electrical voltage of 15-25 V and a frequency of  $\sim$  350 kHz were used. The thickness and porosity of the thick films were evaluated from SEM cross-sectional images. For the estimation of porosity, the Image Tool software (UTHSCSA Image Tool Version 3.00. 2002,47) was used. The local PFM amplitude and phase hysteresis loops were measured in DART switching spectroscopy mode (DART-SS). The measurements were performed on the polished surface samples in switching spectroscopy off-electric-field mode using the pulsed DC step signal and the superimposed AC drive signal, as described in.<sup>48</sup> The sequence of increasing electric field steps was used with 20 Hz and a maximum amplitude of 100 and 70 V for the as-deposited and annealed samples, respectively. Three cycles were measured with the triangular envelope frequency of 0.2 Hz.

A superimposed sinusoidal AC signal with an amplitude of 20 V and a frequency of  $\sim$  350 kHz was applied.

For the electrical measurements, top Au electrodes with diameters of 1.5 and 3.0 mm were deposited by a RF-magnetron sputtering. The aixACCT TF analyzer 2000 (aixACCT Systems GmbH, Germany) was used to measure the polarization–electric field (*P–E*) hysteresis loops of the films. The waveform of the applied electric field was unipolar and sinusoidal at 100 Hz. For the energy storage calculations, the second *P–E* loop measured in sequence was used rather than the first. The *P–E* loops measured before and after bending tests were performed with an electric field of 500 kV cm<sup>-1</sup>.

Static tensile bending tests of thick film samples were performed by conforming the thick film samples to steel cylinders with specified radii between 12 and 1.5 mm. The bending strain was calculated from the bending curvature using the multilayer model, which is described in ESI† S3. Fatigue tensile bending tests were performed on a linear sliding moving stage. The custom-build sample holder allowed bending of free-standing samples (ESI† video). The minimum bending radius and bending frequency were kept constant at 3 mm and 1 Hz, respectively. The minimum bending radius was set using a 2D laser displacement sensor (sensor head LJ-G080, controller LJ-G5001, Keyence, Japan). The maximum number of bending cycles was 10<sup>5</sup>.

Converse piezoelectric coefficient  $(d_{33})$  measurements of thick film samples glued on a rigid substrate were performed with a home-made setup based on an optical-fiber sensor (MTI 2100 Fotonic Sensor; MTI Instruments Inc., NY, USA) equipped with a SRS DS360 voltage generator (Stanford Research Systems Inc., CA, USA), Trek 609E-6 high-voltage amplifier (Trek Inc., NY, USA), DSOX1204A oscilloscope (Keysight Technologies, CA, USA), SR 560 low-noise pre-amplifier (Stanford Research Systems Inc., CA, USA) and SRS 830 DSP lock-in amplifier (Stanford Research Systems Inc., CA, USA). Due to the low displacement signals (on the nm level) a lock-in technique was used and the  $d_{33}$  was determined from the first-order harmonic strain response. A subcoercive electric field (up to 80 kV cm<sup>-1</sup>) was applied in a bipolar and sinusoidal waveform at 10 Hz. Prior to the measurements, the samples were poled by applying a DC electric field of 500 kV cm<sup>-1</sup> at room temperature for 15 min.

Direct EC measurements were performed using two different thermometric methods. One is high-resolution calorimetry based on temperature measurements with a small thermistor attached to the sample and the other is based on temperature measurements with a non-contact infrared (IR) camera, referred to here as the IR camera method. For both measurement methods, a sample with a diameter of the top Au electrode of 3.0 mm was used.

For the calorimeter method, the sample was prepared by gluing Cu wires with a diameter of 80  $\mu$ m to the top and bottom electrodes with an Ag-filled epoxy adhesive (EPO-TEK<sup>®</sup> EE129-4, Epoxy Technology, MA, USA). A miniature radial glass bead thermistor (GR500KM4261J15, Measurement Specialties, VA, USA) was bonded to the top electrode with a general-purpose adhesive. During measurements, the temperature was stabilized

with an accuracy of  $\pm 1$  mK using a modified differential scanning calorimeter (Netzsch DSC 204 F1, Germany). In the DSC measurement cell, the sample was kept in air by suspending it from the sample holder with Cu wires. A Pt1000 temperature probe was used to control the ambient temperature. The EC induced temperature change of the sample was measured with the thermistor whose resistance was monitored with a Keithley 2100 digital multimeter (Keithley Instruments, OH, USA). To trigger the EC effect, the DC electric field was applied to the sample with a steplike waveform and a period of 200 s (i.e., 100 s on-field and 100 s off-field) using a Keithley High Voltage Source-Measure Unit 237 (Keithley Instruments, OH, USA). Measurements were performed in a temperature range of 31-82 °C. After stabilization at a certain temperature, the electric field was applied with progressively increasing amplitude from 100 to 250 kV cm<sup>-1</sup> with a step of 50 kV cm<sup>-1</sup>. A heat capacity analysis was performed to determine the correction factor (k), i.e., the ratio between the intrinsic EC temperature change ( $\Delta T_{\rm EC}$ ) of the PMN-35PT film and the  $\Delta T$ measured with calorimetry (ESI<sup>+</sup> S4). Further details about the method can also be found in ref. 17.

For the IR camera measurements, a  $\sim$  3.5 µm thick black ink layer was printed on the top Au electrode to increase the emissivity of the sample surface and to allow accurate monitoring of its temperature. In addition, no further sample preparation steps were required for these measurements. Measurements were performed using an IR camera (FLIR X6580sc, OR, USA) with a forced-cooling quantum InSb detector. The DC electric field, *i.e.*, a step-like waveform with a period of 1 s (*i.e.*, 0.5 s on-field and 0.5 s off-field), was generated with a Keithley 3390 arbitrary waveform generator (Keithley Instruments, OH, USA) and a Trek 2220 high-voltage amplifier (Trek Inc., NY, USA) and monitored with a DSO5014A oscilloscope (Agilent Technologies, CA, USA). Ambient temperature was controlled using a THM S600 temperature stage (Linkam Scientific Instruments, UK) with active cooling by liquid N<sub>2</sub> (LNP95). Measurements were performed in a temperature range of 11-72 °C. After stabilization at a given temperature, three cycles of each electric field amplitude (i.e., 200, 250 and 300 kV cm<sup>-1</sup>) were applied. Numerical modelling of heat dissipation was performed to evaluate the ratio between the adiabatic  $\Delta T_{\rm EC}$  of the PMN–35PT film and the  $\Delta T$  measured at the top of the black ink layer. A finite element method was introduced using the heat transfer module of COMSOL Multiphysics software (version 5.6) and a 2D model was created assuming the dimensions of the real sample. More information about the complete EC measurement procedure using the IR camera (black ink emissivity calculations, IR camera acquisition, details of the numerical model and its refinement with the experimental data) can be found in ref. 17.

## Results and discussion

# Structural and microstructural analysis of PMN-35PT thick films

To confirm a successful aerosol deposition of PMN-35PT thick films on golden polyimide (Au/PI) substrates, the crystal structure and microstructure of as-deposited and thermally

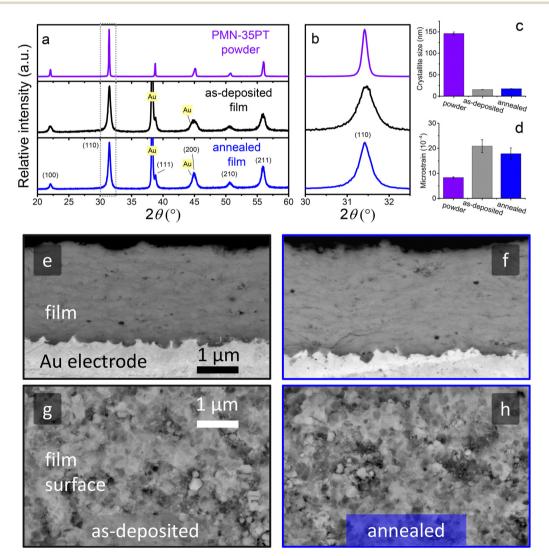
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annealed samples were analysed by XRD and SEM, respectively. The XRD patterns of the PMN-35PT powder and the corresponding as-deposited and annealed films are shown in Fig. 1(a) and (b). No secondary phases were detected; all reflections correspond to the perovskite phase except for two Au reflections corresponding to the bottom electrode. The thick films show a remarkable peak broadening due to a decrease in crystallite size and/or an increase in microstrain.

Quantitative Rietveld refinement analysis was performed to calculate crystallite size and microstrain (Fig. 1(c) and (d)). The PMN-35PT powder contains large crystallites (146 nm  $\pm$  3 nm) and a relatively low microstrain ( $8.4 \times 10^{-4} \pm 0.3 \times 10^{-4}$ ). After the AD process, the formed thick films exhibit a significantly smaller crystallite size (15.4 nm  $\pm$  0.3 nm) compared to the powder (10-fold reduction after AD) and a significant increase in microstrain ( $20.9 \times 10^{-4} \pm 2.6 \times 10^{-4}$ ). The decrease in crystallite size and the increase in microstrain are due to the

high-energy particle collisions during AD. It has been shown that only a sufficient decrease in crystallite size and increase in microstrain can lead to successful deposition that forms consolidated thick films.<sup>24</sup> Additional annealing of the films has a rather small effect on the crystallite size (17.5 nm  $\pm$  0.3 nm) and a moderate effect on the microstrain (17.9  $\times$  10<sup>-4</sup>  $\pm$  2.3  $\times$  10<sup>-4</sup>), both shown in (Fig. 1(c) and (d)).

The SEM analysis of the as-deposited and annealed PMN-35PT thick films shows no significant difference in microstructure (Fig. 1(e)–(h)). Micrographs of cross-sections show films with a thickness of 2.5  $\mu$ m and Au bottom electrodes (Fig. 1(e) and (f)). Both as-deposited and annealed PMN-35PT thick films exhibit high density (estimated porosity as low as 1%) and good adhesion to Au/PI substrates. On the cross-sectional micrographs nm-sized pores can be seen, while the surface micrographs show a typical surface morphology (Fig. 1(g) and (h)).



**Fig. 1** (a) XRD patterns in the  $2\theta$  range of  $20-60^{\circ}$  for PMN-35PT powder, as-deposited and annealed thick films; (b) magnified view of (110) reflection. The diffraction peaks corresponding to the gold and perovskite phases are indexed according to the cubic notation (JCPDS 04-0784 and 81-0861, respectively). The corresponding (c) crystallite size and (d) microstrain were determined using Rietveld refinement. SEM micrographs of as-deposited (e and g) and annealed (f and h) films in cross-section (e and f) and surface (g and h) views. The PI substrate is not visible in panels (e) and (f).

# Energy-storage properties and flexibility of PMN-35PT thick films

The unipolar P-E hysteresis loops were measured on as-deposited and thermally annealed PMN-35PT thick films (Fig. 2(a)). The as-deposited films show a linear behaviour at a low electric field (200 kV cm<sup>-1</sup>) and a more lossy dielectric behaviour with increasing electric field (up to 1000 kV cm<sup>-1</sup>). Annealing of the films leads to relaxor-ferroelectric P-E behaviour with a slimmer hysteresis. Such improvement of P-E behaviour is a consequence of thermally activated stress relaxation and recombination of charged defects.<sup>26,49,50</sup> The shape of the P-E loops is maintained even at lower driving frequencies (ESI<sup>+</sup> S5). The maximum polarization reaches 42  $\mu$ C cm<sup>-2</sup> at 1000 kV cm<sup>-1</sup>. The films can withstand very high electric fields, which makes them promising for capacitive energy-storage applications, especially when high electric fields are required. Weibull analysis was performed to evaluate the dielectric breakdown strength, which reaches 1033 and 968 kV cm<sup>-1</sup> for the as-deposited and annealed thick films, respectively (ESI<sup>+</sup> S6).

The most important energy-storage properties are recoverable energy-storage density ( $U_{rec}$ ) and energy-storage efficiency ( $\eta$ ).  $U_{rec}$  is the energy recovered during discharge and is obtained by integrating the area between the discharge curve and the ordinate (blue patterned area in Fig. 2(a)). The  $\eta$  is the ratio between the recovered and stored energy ( $\eta = U_{rec}/(U_{rec} + U_{loss})$ ), where  $U_{loss}$  represents the hysteresis losses, the area between the charge and discharge curves (grey patterned area in Fig. 2(a)).

Fig. 2(b) shows  $U_{rec}$  and  $\eta$  of as-deposited (grey) and annealed (blue) thick films. The  $U_{\rm rec}$  value increases almost linearly with electric field, but the increase is much higher for annealed thick films. At 1000 kV cm<sup>-1</sup>, the  $U_{\rm rec}$  value reaches 6.2 and 10.3 J cm<sup>-3</sup> for as-deposited and annealed films, respectively. On the other hand, the  $\eta$ -value decreases with increasing electric field. In as-deposited films,  $\eta$  decreases from 91% at 100 kV cm<sup>-1</sup> to 47% at 1000 kV cm<sup>-1</sup> ( $\Delta \eta = 44\%$ ). In annealed thick films, the decrease in  $\eta$  is much smaller  $(\Delta \eta = 7\%)$ .  $\eta$  decreases from 72% at 100 kV cm<sup>-1</sup> to 65% at 1000 kV cm<sup>-1</sup>. At 1000 kV cm<sup>-1</sup> the energy storage properties of the annealed PMN–35PT films on the PI substrates are comparable to other AD thick films on conventional, more expensive Pt/Ti/SiO<sub>2</sub>/Si substrates. The reported  $U_{\rm rec}$  and  $\eta$  values (at 1000 kV cm<sup>-1</sup>) of AD thick films on Pt/Ti/SiO<sub>2</sub>/Si substrates range from 9.7 to 15.1 J  $\text{cm}^{-3}$  and from 70% to 84%, respectively.<sup>51–55</sup> However, further improvement of the  $U_{\rm rec}$  is possible by increasing the dielectric breakdown strength, e.g., PMN-35PT films reported by Park *et al.* reach 2000 kV cm<sup>-1</sup>, leading to a  $U_{\rm rec}$  of 35 J cm<sup>-3</sup>.<sup>53</sup>

To evaluate the flexibility of the PMN–35PT films on PI substrate, the energy-storage properties were measured after tensile bending (Fig. 2(c)).  $U_{\rm rec}$  and  $\eta$  measured at 500 kV cm<sup>-1</sup> are shown in Fig. 2(d) after reaching different bending radii. Both the deposited and annealed thick films exhibit excellent flexibility, as the energy storage properties did not deteriorate after bending down to a radius of 1.5 mm, *i.e.*, a bending strain

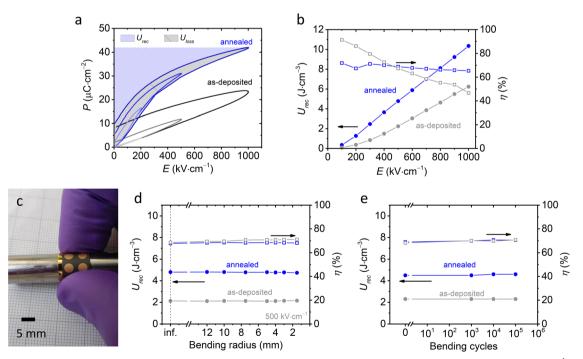


Fig. 2 (a) Unipolar P-E hysteresis loops of as-deposited (grey) and annealed (blue) PMN-35PT thick films measured up to 200 kV cm<sup>-1</sup>, 500 kV cm<sup>-1</sup> and 1000 kV cm<sup>-1</sup>.  $U_{rec}$  and  $U_{loss}$  of the annealed layer are shown by the blue and grey patterned areas, respectively. (b) The electric field dependence of  $U_{rec}$  and  $\eta$  for the deposited and annealed thick films. (c) Photograph of the sample under tensile bending test. (d)  $U_{rec}$  and  $\eta$  values (at 500 kV cm<sup>-1</sup>) at different bending radii. (e)  $U_{rec}$  and  $\eta$  values at 500 kV cm<sup>-1</sup> as a function of bending cycles measured after the fatigue test (repetitive bending down to a radius of 3 mm). The lines between the data points are only a guide for the eye.

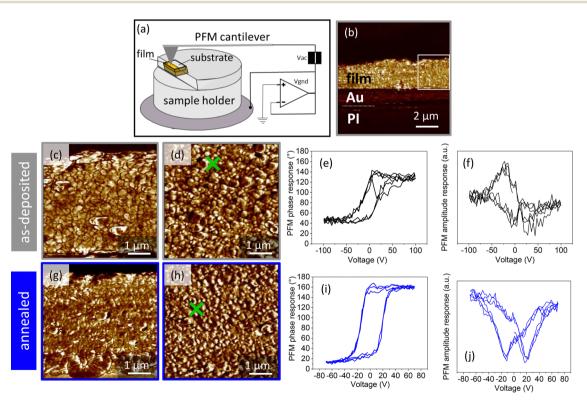
of 2.4%. In addition, visual inspection of the films after bending did not reveal any damage on the thick film surfaces.

In the next experiment, the flexural fatigue tolerance of the films was evaluated by repeated tensile bending down to a radius of 3 mm (1.2% bending strain). Fig. 2(e) shows the energy storage properties of the deposited and annealed films measured at 500 kV cm<sup>-1</sup> after 10<sup>3</sup>, 10<sup>4</sup> and 10<sup>5</sup> repetitions.  $U_{\rm rec}$  and  $\eta$  remain stable and do not show significant variations even after 10<sup>5</sup> bending cycles. Since the PMN–35PT films on the PI substrate showed no degradation in energy-storage performance after extensive bending tests applying substantially high bending strain, they have the potential to be integrated into various flexible electronic systems.

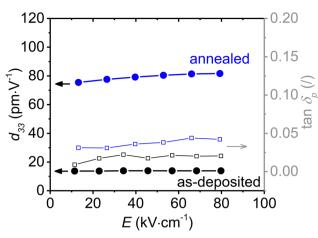
# Electromechanical properties of PMN-35PT thick films at the micro and macro scale

PFM was performed to study the local piezoelectric response and to image the ferroelectric domain structure. A schematic representation of a PFM experiment of the samples in crosssection is shown in Fig. 3(a) and the PFM out-of-plane amplitude images of polished sample surfaces and cross-sections are shown in Fig. 3(b)–(d), (g) and (h). The images confirm piezoelectrically active grains with size in the order of 100 nm. From the out-of-plane PFM amplitude images of the samples in cross-section, it is evident that both films are piezoelectrically active throughout the film thickness. All other components of the investigated sample, *i.e.*, the bottom Au electrode, the PI substrate and the encapsulating epoxy resin above the film, showed no piezoelectric response (dark areas in Fig. 3(b)). Switching spectroscopy was performed on thick film surfaces and shows a local hysteresis loop of the PFM phase (Fig. 3(e) and (i)) and PFM amplitude (Fig. 3(f) and (j)). The PFM phase response shows clear piezoelectric switching behaviour in both films (Fig. 3(e) and (i)). However, in the annealed films, the PFM switching is more distinguished and the local hysteresis loops are therefore more saturated (Fig. 3(i)).

The macroscopic electromechanical properties of the PMN– 35PT thick films were evaluated by measuring converse  $d_{33}$ and tangent of piezoelectric phase angle  $(\tan \delta_p)$ , as shown in Fig. 4. The  $d_{33}$  reaches 14 pm V<sup>-1</sup> for as-deposited films and 75–82 pm V<sup>-1</sup> for annealed films, depending on the amplitude of applied electric field. A five times higher  $d_{33}$  value for thick films after annealing indicates that stress relaxation has an effect on the piezoelectric response. In addition, the annealed films also show an increase in  $d_{33}$  with electric field amplitude coupled to an increase of  $\tan \delta_p$  with field. Although weak (relative increase of  $d_{33}$  with field of 8%), this nonlinearity and nonlinear hysteresis are attributed to extrinsic piezoelectric



**Fig. 3** (a) Experimental setup for PFM measurements on samples in cross-section. For more information, see ref. 46. (b) PFM out-of-plane amplitude image of as-deposited PMN-35PT thick film/Au electrode/PI substrate in cross-section view. (c) Higher magnification of PFM out-of-plane amplitude image of the as-deposited film marked by the white square on panel (b). (d) PFM out-of-plane amplitude image from the surface of as-deposited film. Please note that piezoelectric performance cannot be quantitatively evaluated because the images were scanned in DART mode to improve the signal-to-noise ratio. The local (e) PFM phase and (f) PFM amplitude hysteresis loops measured at the location marked by the green cross in panel (d). PFM out-of-plane amplitude image of the annealed film in (g) cross-section and (h) surface views. The local (i) PFM phase and (j) PFM amplitude hysteresis loops measured at the location marked by the green cross in panel (h).



**Fig. 4** Converse piezoelectric coefficient ( $d_{33}$ , left axis) and tangent of piezoelectric phase angle (tan  $\delta_{\rm p}$ , right axis) as a function of electric field amplitude of as-deposited (black) and annealed (blue) PMN-35PT thick films measured at 10 Hz. The lines between the data points are only a guide for the eye.

contributions related to irreversible interface dynamics.<sup>56–59</sup> A similar increase in the irreversible contribution to the dielectric permittivity was previously demonstrated in PMN–35PT thick films after thermal annealing.<sup>30</sup> The as-deposited and annealed films exhibit reasonable tan  $\delta_p$  values, *i.e.*, 0.01–0.02 and 0.03–0.04, respectively. The overall electromechanical properties of annealed PMN–35PT thick films are promising for future flexible piezoelectric energy harvesting devices. However, the  $d_{33}$  in aerosol-deposited thick films is limited due to the film-substrate clamping effect<sup>60,61</sup> and small grain size.<sup>38</sup> For example, screen-printed PMN–35PT thick films on platinized Al<sub>2</sub>O<sub>3</sub> substrates that were annealed at 950 °C exhibit

higher  $d_{33}$  (typically between 130 and 170 pC N<sup>-1</sup>) due to the large grain size.<sup>60,62,63</sup> In another study, Han *et al.* demonstrated the effect of substrate clamping on piezoelectric properties of AD thick films.<sup>64</sup> By increasing the film thickness from 1 µm to 55 µm in annealed (700 °C) Pb(Zr<sub>0.52</sub>Ti<sub>0.48</sub>)O<sub>3</sub> thick films on Si substrates, the effective piezoelectric coefficient  $d_{33}$  increases from 75 pm V<sup>-1</sup> to 210 pm V<sup>-1</sup>,<sup>64</sup> indicating on weakening of the clamping effect.

#### Electrocaloric properties of PMN-35PT thick films

The EC effect of the prepared PMN-35PT films was measured directly using two different thermometric methods, referred to here as the high-resolution calorimetry and IR camera method. The first method relies on temperature measurements with a small thermistor attached to the sample, while the other method uses a fast IR camera. The choice of two different EC direct methods not only allowed us to validate the results obtained, but also gave us the opportunity to perform the measurements at different electric fields. In particular, the precise temperature stabilization of the calorimeter method allows the measurement of the EC induced  $\Delta T$  at lower applied electric fields (100–200 kV  $cm^{-1}$ ), while the fast temperature measurement of the IR camera and thus the use of a shorter electric field pulse allows the application of larger electric fields (up to 300 kV cm<sup>-1</sup>) without the risk of dielectric breakdown. The difference in the measurement principle is clearly evident in the typically obtained EC cycles and the time scale of the occurrence of EC heating and cooling peaks, where in the case of the calorimetry the peak of the EC induced  $\Delta T$  is reached after 0.7 s (Fig. 5(a)), while the IR camera records it in 1.5 ms (Fig. 5(b)).

The EC measurements of the as-deposited PMN-35PT thick films by the calorimeter method showed an excessive Joule

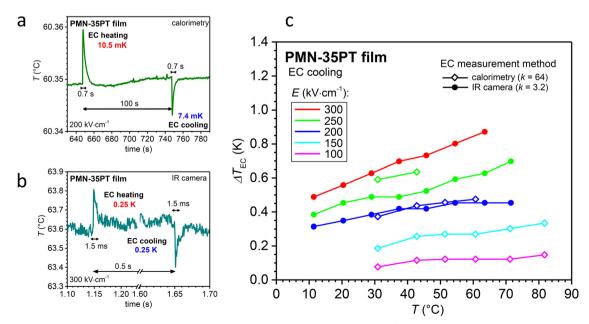


Fig. 5 Typical EC cycle obtained by (a) calorimeter method at 60.35 °C and 200 kV cm<sup>-1</sup> and (b) IR camera at 63.6 °C and 300 kV cm<sup>-1</sup>. (c) Temperature- and field-dependent  $\Delta T_{EC}$  values of the annealed PMN–35PT thick films measured by the calorimeter (open squares) and the IR camera (solid circles) methods.

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heating effect (ESI<sup>†</sup> S4). The latter, which occurs progressively above 100 kV cm<sup>-1</sup>, completely overshadowed the EC effect. Therefore, an accurate and systematic analysis of the EC effect could only be performed on thermally annealed samples where the Joule heating was negligible. This is consistent with the *P*-*E* measurements (Fig. 2(a)), where the shape of the loops indicates a higher electrical conductivity of the as-deposited samples compared to the annealed samples.

The intrinsic  $\Delta T_{\rm EC}$  of annealed PMN-35PT thick films obtained by both measurement methods at different temperatures and applied electric fields is shown in Fig. 5(c), where the open squares and solid circles represent the data from the calorimeter and the IR camera method, respectively. The evaluated  $\Delta T_{\rm EC}$  is composed of two parts, namely the measured  $\Delta T$ and the correction factor (k), which indicates the ratio between adiabatic  $\Delta T_{\rm EC}$  and measured  $\Delta T$  and is applied specifically for each method (see ESI<sup>†</sup> S4 and ref. 17 for more details). Despite different measurement principles and a difference in the magnitude of the k (i.e., 3.2 for the IR camera compared to 64 for the calorimeter method), the results of the two methods agree quite well, especially at lower electric fields, while the results at higher fields, *i.e.*, 250 kV  $\text{cm}^{-1}$ , show a slightly larger discrepancy. The main reason for this discrepancy is most likely due to the increased measurement uncertainty that occurs differently for each measurement method. For the calorimeter method, the main contribution to uncertainty results from the determination of the correction factor (i.e., the determination of the heat capacity values of EC active and non-active components), whereas for the IR camera method, the main source of error is related to the larger noise of the temperature measurement (estimated deviation of 30 mK). For the calorimeter method the estimated uncertainty level is 21%, while for the IR camera method the estimated uncertainty levels are ranging from 18% to 29%. Further details can be found in ESI<sup>+</sup> S4, where different contributions to the overall uncertainty are estimated for the two methods. The  $\Delta T_{\rm EC}$  shows an increasing trend with increasing temperature over the entire measured temperature range (11-82 °C), which is consistent with the increase in the dielectric permittivity over this temperature range (ESI<sup>†</sup> S7). When the maximum electric field of 300 kV cm<sup>-1</sup> was applied, the intrinsic  $\Delta T_{\rm EC}$  in the PMN-35PT films reached a value of 0.63 K at 29 °C. The maximum intrinsic  $\Delta T_{\rm EC}$  of 0.87 K was measured at 63.5 °C (Fig. 5(c)).

The determined maximum  $\Delta T_{\rm EC}$  value is comparable to the maximum value measured in PMN-35PT bulk ceramic samples, which is reported as 0.9 K at 107 °C and 60 kV cm<sup>-1 41</sup> and 0.55 K at 115 °C and 30 kV cm<sup>-1.42</sup> However, when comparing the  $\Delta T_{\rm EC}$  values of PMN-35PT bulk ceramics and aerosol deposited thick films at similar temperature, *i.e.* ~65 °C, the  $\Delta T_{\rm EC}$  value of thick films exceeds the value reported for bulk ceramics (*i.e.* 0.72 K at 60 kV cm<sup>-1</sup>).<sup>41</sup> This indicates a great potential of AD thick films for EC cooling applications. In addition, due to the much smaller thickness of the films compared to bulk ceramics, about five times lower voltage is required to apply about five times larger electric field. Also note that the prepared films can withstand high electric fields. This is due to the high dielectric breakdown strength of the

aerosol-deposited PMN–35PT thick films associated with a very high density and small grain size, as can be seen from the microstructures shown in Fig. 1(f) and (h). Therefore, the aerosol deposition technique opens a new opportunity in the field of EC applications, which could lead to the fabrication of more efficient EC thick film structures for cooling future flexible electronics. These results could stimulate further research in this field to fabricate EC thick films on polymer substrates using the best EC materials, *e.g.*, lead-based Pb(Sc<sub>0.5</sub>Ta<sub>0.5</sub>)O<sub>3</sub>,<sup>65,66</sup> and lead-free BaTiO<sub>3</sub><sup>67</sup> and to investigate the effects of bending on their EC performance.

## Conclusions

To provide a concept for multiple energy operations in a single device, we prepared ferroelectric PMN-35PT thick films on flexible structures with energy storage, piezoelectric and EC performance. For the deposition of PMN-35PT thick films on polyimide substrates, the AD method was used because it enables deposition of ceramics on any substrate materials due to its room temperature impact consolidation mechanism. The as-deposited 2.5  $\mu$ m thick PMN-35PT films on PI substrates were single-phase and exhibited a dense microstructure with porosity of only 1%. After thermal annealing at 400 °C, the microstructure did not change. The crystallite size remained similar as prior annealing (~18 nm), while the decrease in microstrain after annealing indicates a relaxation of stresses. As a result of the high density, the thick films exhibit high dielectric breakdown strength of ~1000 kV cm<sup>-1</sup>.

The annealed PMN–35PT thick films exhibit relaxor-ferroelectric character with high electric polarization of 42  $\mu$ C cm<sup>-2</sup> and promising energy storage properties, namely  $U_{\rm rec}$  of 10.3 J cm<sup>-3</sup> and  $\eta$  of 65% at 1000 kV cm<sup>-1</sup>. Both the as-deposited and annealed films exhibit high bendability and durability, as confirmed by stable energy storage properties after bending to a radius of 1.5 mm (2.4% bending strain) and repeating 10<sup>5</sup> bending cycles. A piezoelectrically active, fine-grained micro-structure was found in both as-deposited and annealed films by PFM. The annealed films exhibit a piezoelectric coefficient  $d_{33}$  of ~80 pm V<sup>-1</sup>, which was determined by macroscopic displacement measurements in sub-coercive regime.

Moreover, this work is one of not so many reports to show reliable direct EC measurements on ceramic thick films.<sup>16,17</sup> The annealed PMN–35PT thick films were measured by two methods: high-resolution calorimetry and IR camera. The measured intrinsic  $\Delta T_{\rm EC}$  of both methods are in reasonable agreement, which reinforces the reliability of the measurement results. The  $\Delta T_{\rm EC}$  in the annealed PMN–35PT films reached a maximum of 0.87 K at 63.5 °C and 300 kV cm<sup>-1</sup>, which is higher than the previously reported  $\Delta T_{\rm EC}$  for PMN–35PT bulk ceramics (*i.e.* 0.72 K at ~65 °C and 60 kV cm<sup>-1 41</sup>). This is due to the high dielectric breakdown strength of the aerosol-deposited PMN–35PT thick films, which is associated with a very high density and a small sub-micrometre grain size. Therefore, aerosol deposition technology opens up new opportunities in EC applications that could lead to the fabrication of EC thick-film structures for cooling future electronics.

In conclusion, the PMN-35PT thick films prepared here are multifunctional thick-film structures with flexibility, electrocaloric cooling and energy storage capability, and piezoelectric performance. Further development of such structures could open up new applications for various energy operations and enable comprehensive energy harvesting, storage and conversion to thermal energy.

# Conflicts of interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Acknowledgements

M. S., T. R., A. L., J. V. and H. U. acknowledge the Slovenian Research Agency (research project J2-3058 and N2-0212, research core funding P2-0105 and P2-0248) and JSI Director's fund 2017-ULTRACOOL. They thank A. Iacomini for discussions and J. Cilenšek, N. Suban and M. Karypidou for help in the laboratory. U. P., V. K. and E. D. acknowledge the Fonds National de la Recherche (FNR) of Luxembourg for support of this work under the BRIDGES2020/MS/15410586/CALPOL/Defay project.

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