



QM/MM Modeling of Class A β-Lactamases Reveals Distinct Acylation Pathways for Ampicillin and Cefalexin

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Complete List of Authors:	Song, Zilin; Southern Methodist University, Department of Chemistry Trozzi, Francesco; Southern Methodist University, Department of Chemistry Palzkill, Timothy; Baylor College of Medicine, Pharmacology Tao, Peng; Southern Methodist University, Department of Chemistry

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2	Pathways for Ampicillin and Cefalexin
3	Zilin Song, ^a Francesco Trozzi, ^a Timothy Palzkill, ^b Peng Tao ^{a,c,*}
4	
5	^a Department of Chemistry, Center for Research Computing, Center for Drug Discovery,
6	Design, and Delivery (CD4), Southern Methodist University, Dallas, Texas 75205, United
7	States;
8	^c orcid.org/0000-0002-2488-0239
9	
10	^b From the Verna and Marrs McLean Department of Biochemistry and Molecular Biology
11	and Department of Pharmacology and Chemical Biology, Baylor College of Medicine,
12	Houston, Texas 77030, United States; orcid.org/0000-0002-5267-0001;
13	
14	*Correspondence: ptao@smu.edu
15	

16 Abstract

17 Efficient mechanism-based design of antibiotics that are not susceptible to β-lactamases is hindered by 18 the lack of comprehensive knowledge on the energetic landscapes for the hydrolysis of various β-19 lactams. Herein, we adopted efficient quantum mechanics/molecular mechanics simulations to explore 20 the acylation reaction catalyzed by CTX-M-44 (Toho-1) β-lactamase. We show that the catalytic 21 pathways for β-lactam hydrolysis are correlated to substrate scaffolds: using Glu166 as the only general 22 base for acylation is viable for ampicillin but prohibitive for cefalexin. The present computational 23 workflow provides quantitative insights to facilitate the optimization of future β-lactam antibiotics.

25 Antibiotic resistance undermines the effective treatment of bacterial infections. The application of β-26 lactam drugs has elevated many bacterial strains to inactivate common β-lactam based antibiotics 27 families. One major source of β -lactam resistance stems from β -lactamases, bacterially-produced enzymes that effectively hydrolyze β-lactam drugs.¹⁻³ β-lactamases are generally classified into four 28 29 groups: classes A, C, D are serine-based, and class B are zinc-based. Class A serine β-lactamases 30 (ASBLs) represent a severe threat due to their prevalence in infectious strains and affinity to a wide range of B-lactams.^{4,5} The inactivation of B-lactams by ASBLs has been extensively explored by 31 32 pioneering computational and experimental studies. Conserved in most ASBLs, a widely-accepted 33 catalytic mechanism has been proposed that β -lactamase-promoted hydrolysis is a serine-mediated 34 acylation-deacylation process.^{6–19} The acylation pathways have shown flexibility as this process could be mediated by either Lys73 or Glu166 acting as the general base (Fig 1a).⁶⁻⁸ While the acylation 35 process is believed to be conserved in all AS β Ls, their catalytic efficiency (k_{cat}/K_M) against different β -36 lactam substrates has been shown to be diverse.^{2,3,9} Among hundreds of β -lactam-based antibiotics being 37 38 developed, the most successful efforts involve engineering the β -lactam cyclic scaffold.²⁰ In this regard, 39 understanding the underlying interaction landscapes resulting from modifications on substrate structures 40 can be informative for future optimization and design of novel antibiotic series.



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43 Figure 1. Mechanisms of acylation in ASβLs and structures of the model substrates. (a) The general
44 mechanism of β-lactam acylation mediated by ASβL; (b) Structures of ampicillin (AMP) and cefalexin
45 (CEX).

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47 CTX-M is a representative ASBL group and has been identified as an immediate menace to 48 commonly prescribed β-lactam antibiotics.⁴ The CTX-M enzyme class is characterized by its enhanced catalytic efficiency (k_{cat}/K_M) against cephalosporin antibiotic families.⁵ The hydrolysis of most 49 50 cephalosporins deviates from that of other β -lactams by bearing a leaving group at its C3' position. 51 Expelling the C3' leaving group would trigger a series of rearrangements, allowing its dihydrothiazine 52 nitrogen to stay as an unprotonated imine after the acylation. However, an exception is cefalexin (CEX) 53 which adopts a C3' methyl as a poor leaving group (Fig. 1b); The protonation of the CEX cephem amine 54 is thus inevitable. CEX also poses enhanced resistance against CTX-M hydrolysis compared to other

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early generations of penicillin or cephalosporins. In particular, Nitanai *et al.*⁹ showed that the catalytic efficiency (k_{cat}/K_M) of CEX hydrolysis mediated by Toho-1 (also known as CTX-M-44) is 0.119 μ M⁻¹ s⁻¹, which is 17-fold lower than that of ampicillin (AMP, 2.11 μ M⁻¹ s⁻¹). Whereas AMP and CEX structurally differ only in their signature penam/cephem bicyclic rings (Fig. 1b), the cephem scaffold of CEX evidently showed higher hydrolysis resistance even to the CTX-M enzyme class.

60 Pioneering computational efforts applying hybrid Quantum Mechanical/Molecular Mechanical 61 (QM/MM) techniques have provided fruitful insights into antibiotic resistance driven by ASBLs.⁶⁻⁸ Compared to other methods, the QM/MM Chain-of-States (CoS) approaches²¹⁻²⁴ are inherently 62 63 advantageous for computational efficiency and accuracy. As the CoS methods optimize the transition 64 path in the original conformational space, exhaustive exploration in the reaction-coordinates or 65 collective-variables reduced space can be avoided. Moreover, we demonstrated in a recent study¹¹ that the constraint-based Replica Path Method^{21,22} optimized minimum energy pathways (MEPs) could 66 provide barrier heights that are compatible to experimentally determined k_{cat} for AS β L-catalyzed 67 hydrolysis. In this study, the acylation pathways of AMP and CEX hydrolysis in Toho-1 was 68 69 investigated using QM/MM CoS calculations.

The high-resolution crystal structures of Toho-1/benzylpenicillin (PDB entry: 5KMW, 1.10 Å)¹⁰ and 70 71 Toho-1/cephalothin (PDB entry: 2ZQ9, 1.07 Å)⁹ acyl-enzyme complexes were used as template systems 72 to create structures for Toho-1/AMP and Toho-1/CEX complexes. The topology files of AMP and CEX were derived from CHARMM General Force Field (CGenFF)²⁵⁻²⁷. The ligand topologies in the template 73 74 systems were then substituted to create initial structures for Toho-1/AMP and Toho-1/CEX complexes. 75 As Lys73 and Glu166 are both potential general bases during the acylation step, systems with alternative 76 protonation states on Lys73 and Glu166 were prepared to account for acylation pathways via different 77 general base residues: first with protonated Lys73 and deprotonated Glu166 (noted as R1), and the other

78 with deprotonated Lys73 and protonated Glu166 (noted as R2). The protonation states of other titratable 79 residues are assigned referring to additional pKa calculations (Table S1) and neutron diffraction data of 80 the *apo*-state Toho-1¹². A total of 4 enzyme-ligand models were created, protonated, optimized, and 81 equilibrated using a semi-empirical QM/MM scheme with the third-order Density Functional Tight 82 Binding theory with the 3OB parameter set (DFTB3/3OB)^{28,29} as the QM potential and CHARMM36 force field (C36)³⁰ as the MM counterpart (see Supporting Information, SI, Fig. S1, Fig. S2 for details). 83 84 The interatomic distances between the key reacting heavy atoms during a 100 ps molecular dynamic 85 simulation using the DFTB3/3OB/C36 potential are shown in Table 1; it is noted that the distribution of 86 key reacting distances does not significantly differ between the 2 systems. The initial structures of the 87 pathway calculations were selected as the snapshots that have the minimal inter-heavy-atom distances 88 between the reacting functional groups of the four residues (Ser70, Lys73, Ser130, and Glu166), the 89 catalytic water and the β -lactam.

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Table 1. The mean interatomic distances between key reacting heavy atoms in the DFTB3/3OB/C36
dynamics. Parenthesis denote the standard deviation (unit: Å).

Atom pairs	Toho/AMP:R1	Toho/CEX:R1	Toho/AMP:R2	Toho/CEX:R2
Ser70 Oy – AMP C7 or CEX C8	2.43 (0.17)	2.58 (0.18)	2.44 (0.17)	2.57 (0.18)
Lys73 Nζ – Ser130 Oγ	2.85 (0.15)	2.95 (0.32)	3.07 (0.25)	3.15 (0.32)
Ser130 Oy – AMP N4 or CEX N5	3.60 (0.23)	3.86 (0.26)	3.67 (0.31)	3.63 (0.31)
Ser70 O γ – Water _{cat} O	2.65 (0.10)	2.65 (0.09)	_	_
Glu166 Oc2 – Watercat O	3.06 (0.23)	2.77 (0.17)	_	_
Ser70 Oγ – Lys73 Nζ	_	-	2.88 (0.13)	2.93 (0.17)

94 A total of 5 structures (noted as Toho/AMP: R1, R2, and Toho/CEX: R1, R1a, R2) were chosen 95 from the production trajectories. These 5 frames were then subjected to calculations at Density 96 Functional Theory (DFT) level. The DFT QM region covers important active site fragments: β-lactams, 97 the catalytic water, the surrounding residues (Ser70, Lys73, Ser130, Glu166, Asn170, Lys234, Thr235, 98 Ser237), together with a surrounding solvent molecule for the reaction pathway calculations. The hybrid density functional B3LYP³¹ was used in conjunction with Pople's 6-31G double ζ basis set³² for the OM 99 100 atoms (B3LYP/6-31G/C36). The experimentally known stable states (reactant and acyl-enzyme) were 101 first subjected to geometry optimizations at the DFT/MM level. The optimized states were then 102 connected by a series of replicated conformations (replicas) that linearly intercepted the Cartesian space. 103 The Replica Path Method with holonomic constraints²¹ implemented in CHARMM³³ was applied for all 104 pathway optimizations through its interface³⁴ to Q-Chem³⁵. In order to comprehensively explore the 105 stable intermediates along the reaction, the replicas on the initial MEPs were independently minimized 106 to the nearest local minimum states. The final MEPs were then obtained by re-optimizing the chain-of-107 replicas that connects the local minimums identified from the initial pathways. The energetic profiles on the B3LYP/6-31G/C36 optimized MEPs were further refined with the augmented $6-31++G^{**}$ basis set³⁶. 108 Lonsdale et al.^{37,38} proposed that the contribution from the dispersion effect is critical to accurately 109 110 account for enzymatic reaction profiles, therefore the D3 dispersion correction of Grimme³⁹ was also 111 applied in the single point energy calculations (B3LYP-D3/6-31++G**/C36). The locations of the 112 transition states are approximated by the replica with the highest energy on the optimized minimal 113 energy path. This dual-level DFT/MM workflow has been previously validated for closely resembling the catalytic barriers in similar ASBL systems.¹¹ The ChElPG scheme⁴⁰ was employed for the charge 114 115 population analysis along the chain-of-states.

116 Pioneering theoretical studies proposed that the acylation of β -lactams could be mediated by either 117 Glu166 along or concertedly with Lys73. Hermann et al.⁶ first reported that the acylation reaction could 118 be mediated using Glu166 as the basic proton host in ASBL hydrolysis. In a similar ASBL/penam system, 119 Merouch *et al.*⁸ further proposed that Lys73 is a viable alternative for the general base that accepts the 120 Ser70 hydroxyl proton. Augmented by extensive Machine-Learning regression analysis, our previous 121 work¹¹ on TEM-1 acylation pathways bridged the discrepancies between the energetics reported from 122 the above pioneer studies. In the present study, both pathways for acylation were investigated for AMP 123 and CEX.

124 The optimized reactant structures of Toho/AMP differ from Toho/CEX by the hydrogen bonding 125 networks between the penam/cephem carboxylate and the residues Thr235, Ser237 (Fig 2, Fig. S3). 126 Practically, the Ser237 hydroxyl is generally outside of the H-bonding region of the AMP carboxylic 127 group. The reactant configuration is therefore stabilized by a water molecule serving as the H-bond 128 bridge between the Ser237 hydroxyl and the AMP carboxylate (Toho/AMP:R1, Fig. 2a). Meanwhile, the 129 CEX adopts a more flexible binding pattern: the hydroxyl group from Ser237 could either form direct 130 hydrogen interacting to the substrate carboxyl group (Toho/CEX:R1, Fig. 2b) or to a solvent water 131 molecule (Toho/CEX:R1a, Fig. 2c). The superimposed conformations of the reactant states show that 132 the QM residues, the substrates and the catalytic water share a similar orientation (Fig. S4), indicating 133 that the optimized reactant structures are in the equivalent stationary potential energy state. As for the product acyl-enzyme states, Vandavasi et al.¹² observed two Lys73 conformers in the perdeuterated 134 135 acyl-enzyme complex of Toho(Glu166Ala)/cefotaxime (PDB entry: 5A93, 2.20 Å). In our study, the 136 conformations of all AE1 states agree with the B conformer that carries a deprotonated Lys73 amine 137 with its sidechain resting in an extended configuration (Fig. S5). Notably, we observed an alternative 138 Lys73 deprotonated acyl-enzyme local minimum state (AE2) on all acylation pathways. The AE2 states

slightly differ from the AE1 states by the configuration of the deprotonated Lys73 amino (Fig. S6): the AE2 Lys73 Nζ adopts an extra hydrogen interaction to Ser70 Oγ, while the AE1 Lys73 does not form the H-bonds to the acyl-serine complex. While the conversion between AE1 and AE2 are found to be barrier-less on all acylation pathways, we note that the AE1 states are shown to be slightly more energetically favorable as their energies are generally 2-4 kcal mol⁻¹ lower than the AE2 states (Table S2).

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Figure 2. Conformations of R1 reactant states. The conformations of (a) Toho/AMP:R1; (b)
Toho/CEX:R1; (c) Toho/CEX:R1a. The hydrogen bonding interactions are noted as blue dashed lines.

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150 Our calculated Toho/AMP acylation pathways (Fig. 3a) closely resemble the potential energy 151 landscapes reported by Meroueh et al.⁸: the energy barrier for the acylation using Glu166 as general 152 base (14.0 kcal mol⁻¹) is moderately higher than that of Lys73/Glu166 concerted base (8.7 kcal mol⁻¹). 153 The Toho/AMP acylation pathways agree with both acylation mechanisms, indicating that either Lys73 154 or Glu166 could mediate the acylation process in Toho/AMP hydrolysis. The ChElPG charge profiles of 155 the Toho/AMP pathways align with the intuitive understanding of the reaction mechanism. As shown in 156 Fig. 3b and 3c, the decreasing charge population on AMP O7 between replica 20 to 27 is synergetic to 157 the increasing charge on Ser70 Oy, suggesting the formation of tetrahedral intermediate (with a formal

charge of -1 on AMP O7) during the serine addition. Furthermore, the locations of maximal charge profiles on AMP N4 are also correlated with the replica with the highest energy along the reaction progress, showing that the protonation of AMP N4 is strongly correlated with the rate of acylation, agreeing with previous observations¹¹.

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Figure 3. Energy profiles and the ChEIPG charges of key atoms along the acylation pathways in Toho-1 hydrolysis. (a) The acylation profiles of Toho/AMP; The ChEIPG charges along (b) the Toho/AMP: R1 to AE1 pathway, and (c) the Toho/AMP: R2 to AE1 pathway; (d) The energy profile and the ChEIPG charge profiles of the refined Toho/CEX: R1a to AE1 pathways, which is calculated from inserting 18 replicas between replica 24 and 31 (see SI); (e) The acylation profiles of Toho/CEX; The ChEIPG charges along (f) the Toho/CEX: R1 to AE1 pathway, (g) the Toho/CEX: R2 to AE1

pathway, and (h) the Toho/CEX: R1a to AE1 pathway. The vertical black solid lines in (a) and (d) indicate the location of AE1 and AE2. Numbers in parentheses and brackets denote the local minimum and maximum values of important states along the reaction path. Note that only ChElPG charge values of β -lactam carbonyl carbon (blue) and nitrogen (orange) are shown in (b), (c), (f), (g), (h). See also Table S3-S7 for detailed replica-wise energy components and ChElPG charges on key atoms.

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176 However, Toho/CEX acylation demonstrates a different catalytic mechanism, as shown in Fig. 3e. 177 The acylation barrier using Glu166 as the general base is prohibitively high (26.5 kcal mol⁻¹). In 178 particular, the corresponding barrier further increases to 52.4 kcal mol⁻¹ when cefalexin substrate adopts 179 a similar binding pattern as ampicillin (Toho/CEX:R1a to AE1, Fig. 3d). These leave Lys73 as the 180 inevitable candidate to mediate deprotonation of the Ser70 hydroxyl during CEX acylation, which 181 confers an energetic barrier of 13.7 kcal mol⁻¹ (Toho/CEX:R2 to AE1). Further mechanistic insights can 182 be derived from the ChEIPG charge profiles. On the Glu166-mediated Toho/CEX acylation pathways 183 (Fig. 3e, 3g, 3h), a stable tetrahedral intermediate indicated by the temporarily decreased charge on β -184 lactam carbonyl oxygen (as in the corresponding Toho/AMP pathways) is less synergetic to the 185 formation of the tetrahedral intermediate. Moreover, the charge on the cephem nitrogen is largely 186 increased to 0.41 (Fig. 3e) and 0.22 (Fig. 3g) upon the barrier replica, which evidently suggests its poor 187 proton affinity to accept the proton transfer from Ser130. Alternatively, the dual-base mediated 188 Toho/CEX: R2 to AE1 pathways (Fig. 3f) demonstrates a similar charge profile to the corresponding 189 AMP acylation pathway. Interestingly, an increase of ChElPG charge on CEX C8 is seen uniquely upon 190 the formation of tetrahedral intermediate on this pathway (Fig. 3f, replica 18). Intuitively, the lone pair 191 on Ser70 Oy in the R2 configurations are oriented towards the ligand carbonyl carbon, potentially 192 activating the conjugated π orbital on the β -lactam bicyclic. While the π -conjugation in AMP (N4-

193 C7=O8) is localized to the β -lactam scissile C-N bond, it is extended along the cephem bicyclic 194 (C3=C4-N5-C8=O8) in CEX. The temporary charge increment on CEX C8 can therefore be interpreted 195 as the consequence of breaking the more delocalized π -conjugation on the cephem scissile bond during 196 the nucleophilic attack of Ser70 O γ . Accordingly, this explanation is also supported by the observation 197 that the tetrahedral intermediates on Toho/AMP and Toho/CEX pathways do not significantly differ 198 from each other in terms of heavy atom conformations (Fig. S7).

199 The computational barriers are further correlated with experimental kinetic studies (Table 2). Nitanai 200 et al.⁹ reported that the catalytic barrier (calculated from k_{cat}) of Toho/AMP hydrolysis is ~14.9 kcal 201 mol⁻¹, slightly lower by ~1.7 kcal mol⁻¹ than that of CEX (~16.6 kcal mol⁻¹). In our calculations, both 202 acylation barriers for Toho/AMP are sufficiently lower than the experimentally determined catalytic 203 barrier, suggesting that the acylation mechanism previously developed for AS β Ls are applicable to 204 Toho-1/AMP as well. In contrast, the only viable reaction pathway for CEX is the Lys73/Glu166 dual 205 base mechanism. The pathway that uses Glu166 as the only general base greatly exceeded the 206 experimental barrier (16.6 kcal mol⁻¹) by 9.9 kcal mol⁻¹.

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208	Table 2. The catalytic	barriers of ampicillin	and cefalexin hvd	rolvsis in Toho-1.

Source ^[a]	Systems	Energy barriers (kcal mol ⁻¹)	Method ^[b]
Shimizu-Ibuka <i>et al</i> . ^{13, [c]}	Toho-1/AMP	15.5	303.15K, Exp
Nitanai et al.9	Toho-1/AMP	14.9	303.15K, Exp
This study.	Toho-1/AMP	8.7 / 14.0 ^[d]	B3LYP-D3, CoS
Nitanai et al. 9	Toho-1/CEX	16.6	303.15K, Exp
This study.	Toho-1/CEX	13.7 / 26.5 ^[d]	B3LYP-D3, CoS

209 [a] Bold entries are computational results from this study;

[b] The experimental (Exp) catalytic barrier of Toho/AMP were derived from k_{cat} via the Eyring equations, the acylation barrier of Toho/CEX were derived from the ratio of k_{cat}/K_M to Toho/AMP;

[c] This study used the wild-type Toho-1 as the enzyme host while others used the
Arg274Asn/Arg276Asn Toho-1 mutant as the enzyme host;

[d] Values before "/" report the barrier of the Lys73/Glu166 concerted base acylation pathway. Values
after "/" report the Glu166 sole base acylation pathway.

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217 In this study, we demonstrate that the AMP and CEX acylation energy landscapes differ from each 218 other during Toho-1 hydrolysis. Pioneering computational mechanistic studies^{6,8} suggested that 219 acylation could be mediated by either Glu166 solely or concertedly with Lys73 as the general proton 220 acceptor(s). In our calculations of both systems, the R1 pathway, which is mediated solely by Glu166 as 221 the base, confers a higher (potential) energy barrier than the R2 pathways. Using a cefotaxime bound Toho-1 system, Langan et al.¹⁴ showed that the transition from R1 to R2 confers a free energy barrier of 222 223 \sim 5 kcal mol⁻¹, suggesting fast transitions between R1 and R2. This observation leads to the question of 224 whether the R1 acylation pathway is mechanistically important in Toho-1 (or other ASBLs) catalysis. 225 Herein, the R1 acylation pathway is shown to be energetically prohibitive for CEX (Fig. 3e, Table 2), 226 leaving the Lys73/Glu166 dual base mechanism as the main viable pathway for its acylation. In the case 227 of AMP, whereas the investigated acylation barrier via the Glu166 sole base mechanism is sufficiently 228 lower than the experimentally determined kinetics (Table 2), the viability of the R1 pathway is not 229 evidently clear from the potential barrier alone. However, unlike Toho/CEX, we note that the ChElPG 230 charge profiles in Toho/AMP acylation demonstrate a similar pattern for the R1 and R2 pathways (Fig. 231 3b, 3c), suggesting that the R1 acylation mechanism is at least competitive to the R2 alternatives. The 232 viability of both R1 and R2 pathways in Toho-1 mediated β -lactam acylation was also supported by

pioneering computational^{6,8} and experimental¹⁵ studies. In our assessment, the acylation mechanism developed for ASβLs/benzylpenicillin, where both acylation pathways are accessible, is naturally transferable to Toho/AMP catalysis. However, the acylation pathway utilizing Glu166 as the general base was shown to be kinetically prohibitive for Toho/CEX as a result of the extended delocalization on N5, which is introduced by the C3=C4 double bond. The viable acylation pathway for CEX is thus the Lys73/Glu166 dual base mechanism.

239 Our calculations with CEX acylation also shed light onto the hydrolysis of other cephalosporins. As 240 noted above, CEX mechanistically stands out in the cephalosporin family as its β-lactam nitrogen has to 241 be protonated upon the formation of the acyl-enzyme product. However, common cephalosporins such as cephalothin and cefotaxime show higher catalytic efficiency $(k_{cat}/K_M)^{9,16,17}$, which suggests a much 242 243 lower acylation barrier than that of CEX. Such observations suggest that the cephem nitrogen may not 244 be protonated during the entire acylation processes of other cephalosporins. Through their crystallographic study, Olmos et al.¹⁸ recently observed that the departure of the C3' leaving group is 245 246 clearly simultaneous to the serine attack during the ASBLs/cefotaxime acylation, supporting the above 247 hypothesis. In this regard, the protonation of the cephem nitrogen, which was also previously validated 248 as the rate limiting step¹¹, could be avoided, and leading to the higher acylation rates observed in other 249 early generations of cephalosporins.

Currently, efficient mechanism-based development of new antibiotics is obstructed by the lack of sufficient knowledge on the energetic landscapes of various β -lactam hydrolysis. In the present study, we report that one enzyme can adopt different acylation pathways responding to different substrate structures. Using AMP and CEX as the model substrates and Toho-1 as the enzyme, our QM/MM CoS pathway calculations demonstrated that the acylation mechanism of Toho-1 can be substrate-dependent. The acylation pathways with Glu166 acting as the only general base are shown to be viable for AMP but

256 prohibitive for CEX. We attribute the low acylation activity in CEX to the lowered proton affinity of the 257 β -lactam nitrogen induced by the extended π -conjugation from the dihydrothiazine ring. In this regard, 258 the reactivity of the scissile C–N bond could be engineered by introducing additional π -conjugations to 259 the β -lactam. Accordingly, we note that similar structural features can also be seen on other robust β -260 lactam variants (such as carbapenems and aza-\beta-lactams^{19,41}). In conclusion, we report the distinct 261 mechanistic basis of the seemingly identical acylation barrier for Toho-1 mediated AMP and CEX 262 hydrolysis. On the basis of the comparative mechanistic analysis to Toho/AMP and Toho/CEX acylation 263 profiles, it is expected that the current study enlightens the flexibility of the AS β Ls mediated β -lactam 264 acylation and could facilitate future optimization and development of β -lactam based antibiotic drugs.

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439 **Conflict of Interest**

440 The authors declare no competing financial interest.