

REVIEW

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Material design, development, and trend for surface-enhanced Raman scattering substrates

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Surface-enhanced Raman scattering (SERS) is a powerful and non-invasive spectroscopic technique that can provide rich and specific chemical fingerprint information for various target molecules through effective SERS substrates. In view of the strong dependence of the SERS signals on the properties of the SERS substrates, design, exploration, and construction of novel SERS-active nanomaterials with low cost and excellent performance as the SERS substrates have always been the foundation and the top priority for the development and application of the SERS technology. This review specifically focuses on the extensive progress made in the SERS-active nanomaterials and their enhancement mechanism since the first discovery of SERS on the nanostructured plasmonic metal substrates. The design principles, unique functions, and influencing factors on the SERS signals of different types of SERS-active nanomaterials are highlighted, and insight into their future challenge and development trends is also suggested. It is highly expected that this review could benefit a complete understanding of the research status of the SERS-active nanomaterials and arouse the research enthusiasm for them, leading to further development and wider application of the SERS technology.

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1 Introduction

Raman spectroscopy, a powerful vibrational optical spectroscopic technique, is used to provide unique and detailed fin-

gerprint information for target molecules on chemical structures, molecular interactions, *etc.*^{1–5} However, the inherent weakness of Raman signals due to the low probability that nearly one in a million scattered photons belongs to the Raman scattering restricts its development and further wider application, especially in the fields of trace analysis and surface science, which has been broken through in the 1970s with the discovery of surface-enhanced Raman scattering (SERS) by molecules adsorbed on nanostructured metal

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surfaces.^{6–10} Interestingly, the Raman enhancement factors by SERS can be up to 10^8 or even larger owing to the introduction of SERS-active nanomaterials as the substrates.¹¹ For example, in 1997, the SERS detection of single rhodamine 6G molecules adsorbed on the selected silver colloidal nanoparticles (NPs) were achieved by Nie *et al.*, revealing the ultra-sensitivity of SERS up to the single-molecule level and meanwhile prompting its huge application potential in more widespread fields, especially in surface science.¹² At present, SERS has become a versatile analytical tool with ultra-sensitivity in material characterization, analytical science, biomedicine, and so on, driven by the continuous development of nanoscience and nanotechnology.^{13–15}

SERS is a surface-sensitive and intrinsically nanostructure-based phenomenon, and the SERS enhancement effect largely depends on the properties of the nanostructured SERS-active substrates, including the component, size, shape, structure, local environment, surface chemistry, and interaction with target molecules.¹⁶ Hence, the design, exploration, and construction of effective SERS-active nanomaterials as the SERS substrates are the foundation and the top priority for the development and applications of SERS technology all the time. Theoretically, any material that can support the activity of plasmons at the excitation wavelength can be used as the SERS substrates. In fact, for analysis purposes and further practical applications, high-quality SERS spectra are necessary, so the SERS substrates not only need to meet the basic requirements of high sensitivity, good reproducibility, and long-term stability but also need to overcome many challenges on how to improve the selectivity and multifunctionality for target molecules, to eliminate matrix interferences, and so on.^{13,17}

In the past few decades, aiming to realize the highly-effective SERS enhancement, improve the SERS detection sensitivity, and widen the SERS application, SERS-active nanomaterials as the SERS substrates have undergone extensive development from original single-component materials (*e.g.*, metals, semiconductors, graphene, and metal-organic frameworks) to abundant composite materials with functional multi-components.^{18–21} Meanwhile, the SERS enhancement mechanism has also mainly evolved from a single long-range

electromagnetic or short-range chemical mechanism to a combination mechanism of both of them. In this review, the SERS mechanism is first briefly introduced owing to its guiding significance for material design. Subsequently, the design and development of a series of the reported SERS-active nanomaterials are highlighted according to their components, and at the same time the factors that influence the SERS signals are discussed. Finally, future challenges and development trends in the design, exploration, and construction of SERS-active nanomaterials are prospected.

2 SERS mechanism

Compared with the progress made in SERS experiments and applications, the research on the theory of SERS mechanisms has been relatively lagging behind and is still being debated owing to the complexity of the system with the SERS effect. So far, there are two theories largely accepted to explain the SERS effect, the physical electromagnetic enhancement mechanism, and the chemical enhancement mechanism. Moreover, the physical electromagnetic enhancement mechanism is believed to play a major role in the observed SERS signals.^{11,22}

When the incident light interacts with a molecule, the external electromagnetic field can change the charge distribution of the molecule and generate an induced dipole.²³ Raman scattering is emitted by the induced dipole (\mathbf{p}) oscillating at a frequency (ω_R), which is different from the frequency of the incident light (ω_L). The induced dipole depends on the electromagnetic field in its position (\mathbf{E}_{Loc}) and its Raman polarizability tensor (α^R). In most cases, the induced dipole can be described within a linear approximation and expressed as:

$$\mathbf{p}(\omega_R) = \alpha^R(\omega_R, \omega_L) \cdot \mathbf{E}_{Loc}(\omega_L) \quad (1)$$

From classical electrodynamics, the intensity of Raman scattering (I) is proportional to $|\mathbf{p}|^2$:

$$I \propto |\mathbf{p}|^2 \quad (2)$$

Thus, by changing the polarizability tensor and the local electromagnetic field, a more intensive Raman signal can be obtained. Generally, electromagnetic enhancement is achieved by enhancing the local electromagnetic field whereas chemical enhancement originates from changes in the Raman polarizability tensor.

The enhancement factor (EF) is one of the most important metrics used to quantitatively measure the enhancement. It can be expressed as $EF = (I_{SERS}/I_{NRS}) \times (N_{NRS}/N_{SERS})$, where I and N represent the signal intensity and the number of detected molecules of SERS or normal Raman scattering (NRS).^{24,25} Obviously, this definition focuses on the intrinsic characteristics of the SERS substrates and can measure the average SERS enhancements of each molecule on different substrates.²⁶ However, the challenge of accurately estimating the number of detected molecules makes this EF parameter



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unable to directly reflect the changes of the SERS signal intensity in experiment results. Hence, for many applications, analytical enhancement factor (AEF) is defined for conveniently comparing SERS signals to normal Raman signals under given experimental conditions, which can be expressed as $AEF = (I_{\text{SERS}}/I_{\text{NRS}}) \times (c_{\text{NRS}}/c_{\text{SERS}})$, where c represent the concentration of the detected molecules of SERS or NRS.^{24,26}

2.1 Electromagnetic mechanism

Electromagnetic mechanism (EM) refers to the enhancement of the local electric field caused by plasmon resonance excitation, which is the major origin of the enhancement of the SERS-active nanomaterials based on metal nanostructures.^{27–29} When the incident light interacts with plasmonic metal nanostructures, it can excite the conductive electrons of plasmonic metal nanostructures into collective oscillations, resulting in the amplification of the local electromagnetic field. Namely, the energy of the incident light is confined in a small region around plasmonic metal nanostructures with a strongly enhanced electromagnetic field.

When a Raman process happens nearby plasmonic metal nanostructures, the enhanced local electromagnetic field leads to enhanced polarization.²³ Based on the above eqn (1) and (2), the enhancement can be described with a factor $M_{\text{Loc}}(\omega_{\text{L}}) = |E(\omega_{\text{L}})|^2/|E_0(\omega_{\text{L}})|^2$, where the subscript “0” relates to the Raman process occurring without nearby plasmonic metal nanostructures. The enhanced local electromagnetic field also enhances the re-radiation process with another factor $M_{\text{Loc}}(\omega_{\text{R}}) = |E(\omega_{\text{R}})|^2/|E_0(\omega_{\text{R}})|^2$. Combining these two contributions, the overall EF of EM can be expressed as:

$$EF_{\text{EM}} \approx M_{\text{Loc}}(\omega_{\text{L}})M_{\text{Loc}}(\omega_{\text{R}}) \quad (3)$$

Usually, EF_{EM} can be further simplified by ignoring the Raman shift, so that ω_{L} can be approximately equal to ω_{R} and meanwhile the well-known $|E|^4$ -approximation can be obtained.

$$EF_{\text{EM}} \approx \frac{|E_{\text{Loc}}(\omega_{\text{L}})|^4}{|E_0(\omega_{\text{L}})|^4} \quad (4)$$

Thus, it can be seen that EM depends on the SERS substrates not on the type of target molecules, the intensity of which can be adjusted by modulating the property of the SERS substrates including the size, shape, constituent, and arrangement.³⁰ Moreover, in addition to plasmon resonance, some other phenomena can also lead to electromagnetic enhancement, such as Mie resonance, which can enhance the local electromagnetic field and usually happens in particles with sizes comparable to the wavelength of the incident light, and “slow photons”, which can enhance the light adsorption owing to the increased effective optical path length and usually appear in the red edge of the photonic band gap in a photonic crystal.^{20,31–40}

2.2 Chemical mechanism

Chemical mechanism (CM) refers to the enhancement in polarizability caused by chemical effects. Among them, the Raman enhancements induced by molecular resonance, ground-state or static chemical interaction, and charge transfer (CT) resonance are the three major contributions.^{41–43} Molecular resonance can enhance Raman signals by several orders when the incident wavelength is resonant or pre-resonant with the molecular transitions, which may be enhanced or quenched by the SERS substrates.⁴⁴ Ground-state chemical enhancement comes from the changes in the structure and charge distribution of target molecules adsorbed or bonded on the SERS substrates owing to the interaction between target molecules and the nanostructured SERS substrates, which is independent of any excitation in the whole systems.^{45,46} Usually, the EF of this enhancement is usually under 10^2 and does not dominate in CM enhancements reported in many works.^{42,47,48} In comparison, the CT resonance between target molecules and the SERS substrates can more effectively magnify the polarizability of target molecules, which requires strong interactions between target molecules and the SERS substrates and energy level matching between the highest occupied molecular orbital (HOMO)/lowest unoccupied molecular orbital (LUMO) of the target molecules and the conduction band/valence band of the semiconductor substrates or the Fermi level of the metal substrates.^{31,42,49} When the energy of the incident light matches with that of the CT transitions between target molecules and the SERS substrates, the molecular polarizability can be increased, and meanwhile, the enhanced Raman signals can be observed.

More importantly, CT resonance can be further enhanced by molecular resonance and exciton resonance. According to the theory of SERS modelled by Lombardi and coworkers,^{31,50,51} the polarizability tensor can be expressed by the sum of three terms derived by Albrecht,⁵² $\alpha_{\sigma\rho} = A + B + C$, where σ and ρ are the scattered and incident polarization direction. The A-term is normally related only to resonance Raman scattering, and the other two terms, B-term and C-term, involve molecule-to-substrate CT and substrate-to-molecule CT, respectively. Taking the semiconductor substrates, for example, a typical term in the sum for either B- or C-term looks like:⁵¹

$$R_{\text{mol-CT}}(\omega) = \frac{(\mu_{\text{mol}} \cdot E)(\mu_{\text{CT}} \cdot E)h_{\text{mol-CT}}\langle i|Q_k|f \rangle}{((\omega_{\text{Mie}}^2 - \omega^2) + \gamma_{\text{Mie}}^2)((\omega_{\text{CT}}^2 - \omega^2) + \gamma_{\text{CT}}^2)((\omega_{\text{mol}}^2 - \omega^2) + \gamma_{\text{mol}}^2)} \quad (5)$$

The denominator contains possible Mie resonance ($\omega = \omega_{\text{Mie}}$) belonging to EM, CT resonance ($\omega = \omega_{\text{CT}}$) and molecular resonance ($\omega = \omega_{\text{mol}}$). CT resonance (μ_{CT}) is coupled to molecular resonance (μ_{mol}) through the Herzberg–Teller coupling constant ($h_{\text{mol-CT}}$), and “borrows” intensity from it, as shown in the numerator, where the last term ($\langle i|Q_k|f \rangle$) is the transition moment of a molecular normal mode. In a semiconductor-molecule system, exciton resonance in a semi-

conductor can also contribute to CT resonance in the same way. Therefore, if the frequency of molecular and exciton resonance matches with that of CT resonance, proper incident light can simultaneously excite these resonances, and higher CM enhancement can be obtained.

Compared to EM, CM relies on chemical interaction and energy level matching between target molecules and the SERS substrates, which inherently offers selective enhancement and can be improved by manipulating the properties of the SERS substrates, including the component, electronic structure, crystallinity, defect, and morphology.^{19,53} Moreover, through CM, desirable SERS signals can also be generated and observed by plasmon-free materials.

3 Single-component SERS-active nanomaterials

At the early stage, classical noble metal substrates including Au, Ag, and Cu were mainly selected as the SERS-active nanomaterials owing to the easy generation of surface plasmon resonance and excellent EM enhancement abilities in the visible range.⁵⁴ Subsequently, considering the limitation of noble metal nanostructures for SERS detection, single-component SERS-active nanomaterials are gradually widened to transition metals, semiconductors, graphene, metal-organic frameworks (MOFs), *etc.* Their SERS activity is closely related to their properties.

3.1 Metals

Among all the plasmonic metal substrates, both Au and Ag are the two most widely used substrates for SERS due to their relatively higher stability in ambient conditions. Relatively, Au exhibits excellent chemical stability and low biological toxicity, which make it a better choice for most complex conditions, especially in biological applications.^{55,56} Ag is less stable than Au, however, the lower imaginary part of the dielectric constant in the visible and near-infrared region of Ag makes it tend to get stronger local electromagnetic field and SERS enhancement.⁵⁴ Theoretically, at a suitable laser frequency, the EM EF of 25 nm spherical Au single particles is about 10^3 – 10^4 , while 10^5 – 10^6 for Ag.^{23,57}

There are two common strategies to tune the plasmon resonance of single-component metal substrates. One strategy is to precisely regulate the morphology of metal nanostructures. For single plasmonic metal NPs, their high curvature regions, such as tips and edges, present stronger local electromagnetic fields than other regions.⁵⁸ Moreover, the frequency of the surface plasmon resonance can also be effectively modulated by morphology regulation, which enables the metal SERS substrates to meet the application requirements of different laser frequencies.⁵⁹ The other strategy is to create the “hotspot” regions in the conjunctions and gaps among NPs by the assembly. Le Ru and Etchegoin have calculated that, compared with single Au nanospheres with a diameter of 25 nm, the local EF of the dimer with a 2 nm gap constructed by two

identical 25 nm Au nanospheres in the “hotspot” region is around one hundred thousand times ($\sim 3 \times 10^5$) that of single nanospheres, and its average EF is thousands of times that of single nanospheres.⁶⁰ In fact, many experiments have confirmed that the local EFs in these created “hotspot” regions are at least several orders of magnitude higher than in other regions of plasmonic metal nanostructures.^{61–64} Hence, this strategy is more effective than morphology regulation and the resulting enhancement dominates the overall enhancement based on metal nanostructures.^{60,64}

Spherical Au and Ag NPs are most commonly used as SERS substrates because of easy synthesis. Due to the isotropy, the plasmon resonances of Au and Ag nanospheres mainly depend on their size in a given dielectric environment. Small Au and Ag nanospheres (few tens of nanometers) exhibit an extinction band at around green and blue region (in hydrosol), respectively, which is related to their dipole plasmon resonance.^{65,66} With increase of the particle size, the dipole plasmon resonance red-shifts and broadens, accompanied by excitation of the higher-order multipole plasmon resonances (such as quadrupole and octupole) at shorter wavelengths (Fig. 1A and B).^{65,67,68} Correspondingly, the higher EM enhancement can be obtained by optimizing the size of Au and Ag nanospheres under a given laser frequency. Taking Ag quasi-spherical NPs for example, the highest SERS enhancement to rhodamine 6G was observed from NPs with the size of 150 nm under 532 nm laser excitation (Fig. 1C), while the maximum enhancements appeared in 175 nm and 225 nm Ag NPs under 633 and 785 nm laser excitation, respectively.⁶⁵ Notably, compared with the extinction spectra, the plasma coupling effect between NPs under the SERS detection condition can lead to a redshift of the dipole, quadrupole, and higher-order modes in the extinction band.

Compared to the isotropic spherical NPs, anisotropic Au and Ag NPs can offer more tunable plasmon resonance and higher EM enhancement owing to the existence of the high curvature regions. For example, Au or Ag nanorods have transverse plasmon resonance modes in the short-wavelength region and strong longitudinal plasmon resonance modes in the long-wavelength region. The longitudinal surface plasmon resonance is mainly dependent on the aspect ratio of nanorods and can be tuned from the visible to the near-infrared region (Fig. 1E).^{69–73} Sivapalan *et al.* carefully studied the dependence of plasmon resonance frequency on the aspect ratio of Au nanorods and found that the maximum SERS enhancement was observed for nanorods that have a plasmon band blue-shifted from the incident laser excitation wavelength (Fig. 1D–F).⁷³ Similarly, other anisotropic Au and Ag NPs, such as nanotriangles, nanocubes, nanowires, nanostars, and nanoflowers also exhibit morphology-dependent plasmon resonances and can generate higher single-particle enhancement due to a large number of sharp tips, edges, and gaps on their surfaces.^{66–80} Xie *et al.* found that the SERS intensity of Au nanoflowers prepared by a simple one-pot method was around 10-fold that of Au nanospheres with similar size in an aqueous solution.⁸¹ Niu *et al.* revealed that Au nanostars with high symmetry exhibited nearly four times stronger single-particle



Fig. 1 (A) Illustration of Ag NPs with increasing size, (B) their extinction spectra and (C) the corresponding SERS spectra of rhodamine 6G under 532 nm laser excitation. Reproduced from ref. 65 with permission from John Wiley & Sons, copyright 2016. (D) Illustration of Au nanorods with different aspect ratio, (E) their extinction spectra and (F) the corresponding SERS spectra of methylene blue under 785 nm laser excitation. Reproduced from ref. 73 with permission from American Chemical Society, copyright 2013.

enhancement and higher reproducibility than those of asymmetric nanostars.⁸² Subsequently, Harder *et al.* demonstrated that the SERS signals of Au nanostars to uranyl molecules were enhanced with an increase in their branches and aspect ratios, namely, an increase of the branches and aspect ratios could improve the plasmonic properties of Au nanostars for SERS enhancement, especially the electromagnetic enhancement associated with the bonding plasmonic modes and the plasmon resonance caused by branch–branch coupling.⁸³ Of course, the enhancement of single NPs can also be improved by creating the roughened surface on the pre-prepared NPs, selectively depositing additional plasmonic NPs on the pre-prepared nanoparticle templates, *etc.*^{25,84,85}

Furthermore, in order to obtain more effective enhancement, nanoparticle aggregates, and assemblies are gradually used for SERS detection because they can offer conjunctions and gaps as the “hotspot” regions.^{10,25,86,87} In the liquid phase, inorganic salts can be added as the aggregating agents to promote the appropriate aggregation of Au and Ag NPs and achieve high SERS enhancement with the formation of effective SERS hotspots.^{88–91} In 1997, Kneipp *et al.* discovered that the addition of NaCl solution could make the citrate-stabilized Ag colloids slightly aggregate to 100–150 nm-sized clusters, which exhibited single-molecule level sensitivity to crystal violet molecules.⁸⁶ The inorganic salts can not only promote aggregation of NPs but also guide target molecules into the vicinity of the hotspots by electrostatic interactions between target molecules and specific adsorbed halide ions so that high sensitivity can be achieved.^{88,92} In 2019, Lu *et al.* found that despite NaCl and NaI with the same concentration could induce aggregation of Ag NPs to a similar degree, Ag NPs with NaI exhibited 4-order higher sensitivity than Ag NPs with NaCl in detecting positively charged tropane alkaloids in

aqueous solution due to the coadsorption of I^- and tropane alkaloids onto Ag NPs.⁸⁸ Moreover, with the help of organic linkers, NPs, especially anisotropic NPs can be assembled into different configurations, which also plays an important role in affecting the SERS enhancement.^{93–95} Taking nanorods as an example, the end-to-end configuration tends to show higher EF than the side-by-side configuration because the gaps in the end-to-end configuration are located between high curvature regions with higher local electromagnetic fields.⁹³

Although liquid-phase SERS detection with metal NPs has good reproducibility for quantitative analysis,⁹⁶ its detection sensitivity is restricted seriously by the concentration of NPs. In contrast, the solid SERS substrates constructed by densely packed NPs can easily overcome this problem and has much better detection stability in complex environments, the prerequisite of which is controllable and reproducible construction of uniform highly ordered solid SERS substrates.⁹⁶ Moreover, for practical applications, the solid SERS substrates also need to meet the requirements of reproducibility with relative standard deviation (RSD) under 20% either from spot-to-spot or substrate-to-substrate.⁹⁷ In view of these, many methods have been developed for the preparation of the solid SERS substrates, including assembly, template, and lithography, and many uniform solid SERS substrates with excellent detection sensitivity, stability, and reproducibility have been reported.^{25,96,98–100} For example, the self-assembled large-scale monolayer of Au NPs with the hexagonal close-packed structure and interparticle gaps smaller than 2 nm (Fig. 2A) can be used as a suitable SERS substrate with high activity ($EF \sim 10^6$), high stability (~ 45 days) and high uniformity ($RSD < 10\%$) due to the low spot-to-spot and substrate-to-substrate variations in intensity (Fig. 2B),⁸⁷ while the large-area two-layer vertically close-packed arrays of Au nanorods exhibited a limit of detec-



Fig. 2 (A) Transmission electron microscope (TEM) image of the monolayer of Au NPs through interfacial assembly and (B) SERS mapping spectra of 1,4-benzenedithiol for 100 different spots on the same monolayer film. Reproduced from ref. 87 with permission from American Chemical Society, copyright 2016. (C) Top view scanning electron microscope (SEM) image of the two-layer vertically close-packed arrays of Au nanorods through controlled evaporation with a cross-sectional image (inset) and (D) Raman mapping image at peak 1616 cm⁻¹ of malachite green on the arrays. Reproduced from ref. 99 with permission from American Chemical Society, copyright 2018.

tion (LOD) down to 10⁻¹⁵ M, an RSD under 20%, and exceptional detecting reproducibility for the detection of malachite green molecules (Fig. 2C and D).⁹⁹

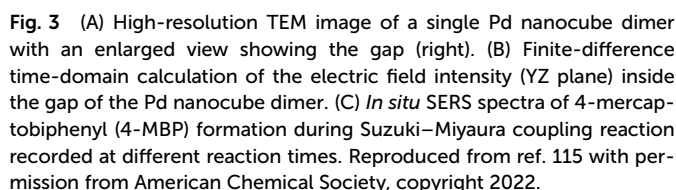
Uniform solid SERS substrates of metals can also be fabricated by using SERS-inactive materials as the template. Taking the metal-film-over-nanosphere (MFON) substrates as an example, they can be fabricated using polystyrene or silica nanospheres as a cost-effective template, and correspondingly, their surface roughness, stability, and reproducibility are determined by the close packing and arrangement of the templated spheres.^{101–103} Typically, gold or silver is evaporated or sputtered onto a closely packed monolayer of polystyrene or silica nanospheres to form the MFON substrates. Benefiting from the mature assembly processes for polystyrene or silica nanospheres and the deposition process, uniform and large-area MFON substrates up to square centimeters can be fabricated.^{104–106} The enhancement from MFON can be optimized by varying the size of nanospheres. As Lin *et al.* reported, for a range of nanosphere sizes from 430 nm to 1500 nm diameters, the optimum SERS signal with EF of 4.3×10^6 was obtained using the AgFON substrate fabricated by drop-coating polystyrene nanospheres with a diameter of approximately 1000 nm when the wavelength of the incident light was 532 nm.¹⁰⁵ In addition to continuous MFON, the immobilized nanorod assembly (INRA) substrates containing nanoscale pillars over nanospheres with small gaps can also be obtained by manipulating the details of metal film deposition. Greeneltch and coworkers reported that the AgINRA substrates on polystyrene nanospheres have highly tunable

LSPR from visible to near-infrared regions with an increase in the diameters of the support nanospheres.¹⁰⁶ Thus, the enhancement ability of the AgINRA substrates can be optimized to fit different laser wavelengths by tuning the relative position of LSPR maxima with respect to the laser wavelength. A high EF of 1.0×10^8 under a 1064 nm laser was achieved when the LSPR maximum (~ 1100 nm) was suitably red-shifted to the laser wavelength, which is even higher than the optimized EFs under shorter laser wavelength (1.3×10^7 at 633 nm and 4.9×10^7 at 785 nm).¹⁰⁶

Apart from Au and Ag, some other metals (Cu, Al, Pt, Fe, Co, Ni, Ru, Rh, Pd, *etc.*) can also be used as the SERS-active substrates. Cu nanostructures can support strong surface plasmon resonance in the visible region and have high SERS enhancement comparable to Au and Ag.¹⁰⁷ Al nanostructures have considerable EF up to 10^4 – 10^6 and a unique advantage that they can support surface plasmon resonance in the ultra-violet region, which is hard for the nanostructures of Au, Ag, and Cu.^{108–110} Notably, SERS in the deep ultra-violet region can excite many biological molecules including protein (*e.g.*, α -helical peptide (LA)₇¹¹¹) and DNA (*e.g.*, DNA base adenine¹¹² and 12-mer single-standard DNA¹¹³) in this region so that more selective and sensitive biological detection can be achieved. However, both Cu and Al have low chemical stability because they are easy to be oxidized in air and form native oxide layers on their surfaces, which limits their further applications. For other transition metals (Pt, Fe, Co, Ni, Ru, Rh, Pd, *etc.*), the reported results show that their SERS enhancement is usually relatively weak (EFs are mostly 10 – 10^3), which cannot support the practical applications.^{30,114} Excitingly, with subtle construction, nanostructures of these transition metals may offer higher and sufficient enhancement for particular applications. A recent work by Wang *et al.* showed that the single dimer of Pd nanocubes with ~ 1 nm gap exhibits $\sim 2.8 \times 10^4$ EF with the highest values computed for the top corners facing toward each other, which is enough for *in situ* detection of Suzuki–Miyaura coupling reaction catalyzed by the dimer of Pd nanocubes (Fig. 3).¹¹⁵

3.2 Semiconductors

The surface research of SERS-active semiconductor nanomaterials can be traced back to the 1980s.^{53,116,117} After decades of development, more and more semiconductor materials have been reported to exhibit SERS enhancement, including a variety of inorganic semiconductors (*e.g.*, metal oxides,^{47,118–122} metal sulfides,^{123–128} metal selenides,^{129–131} metal tellurides,^{132–134} metal halides,^{135–138} and Si,^{139,140}) and a small number of organic semiconductors (*e.g.*, α,ω -diperfluorohexylquaterthiophene¹⁴¹). In the meantime, the EFs of these semiconductors have improved from less than 10^3 to the EF value (10^5 – 10^7) equivalent to gold and silver nanostructures.^{48,119,134,142–145} In comparison with metal substrates, most semiconductor substrates not only can exhibit significant charge transfer enhancement owing to their additional optical and electrical properties but also have a lot more control over their properties, including constituents, defects, doping, crystallinity, size, and shape. Thus, more



Defect engineering is one of the effective strategies to modulate the electronic structures of semiconductors, which can even change the SERS activities of semiconductor materials.^{48,146,147} Taking non-SERS active $\alpha\text{-MoO}_3$ as an example, the introduction of oxygen vacancy defects can make it transform to the SERS-active substrates.⁴⁸ More importantly, the SERS EF can be greatly enhanced by controlling the oxygen vacancy defect concentration and the SERS performance can also be optimized according to the detecting target molecules and the activating laser wavelength (Fig. 4A). For the detection of rhodamine 6G on $\alpha\text{-MoO}_{3-x}$ nanobelts, the EF can be as high as 1.8×10^7 with a LOD of 10^{-8} M under the 532 nm laser excitation. Meanwhile, an effective electric current model based on the influence of oxygen vacancy defects was proposed by Li *et al.*, which can quantitatively describe the photo-induced CT process between the target molecules and the semiconductor substrates and anticipate the SERS activity of metal oxide semiconductors such as CrO_3 , Cr_2O_3 , and Ta_2O_5 .⁴⁸

A

without Oxygen defect

with Oxygen defect

10^{-6} M R6G 532nm

10^{-5} M R6G 633nm

10^{-5} M R6G 785nm

$\times 1/2$

1507 1585

Raman Shift (cm^{-1})

B

10%-Mo-Ta₂O₅

15%-Mo-Ta₂O₅

20%-Mo-Ta₂O₅

10000

10^{-7} M MV

Raman Shift (cm^{-1})

C

Intensity (a.u.)

Raman Shift (cm^{-1})

1113 (ν_1)

1100

1124

1118

1058A

1058B

1634

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1641

1585

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10^{-7} M MV

10%-Mo-Ta₂O₅

15%-Mo-Ta₂O₅

20%-Mo-Ta₂O₅

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10^{-7} M MV

1113 (ν_1)

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20%-Mo-Ta₂O₅

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10000

10^{-7} M MV

10%-Mo-Ta₂O₅

15%-Mo-Ta₂O₅

20%-Mo-Ta₂O₅

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1113 (ν_1)

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1058A

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1585

10000

10^{-7} M MV

10%-Mo-Ta₂O₅

15%-Mo-Ta₂O₅

20%-Mo-Ta₂O₅

10000

10^{-7} M MV

1113 (ν_1)

1100

1124

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1058A

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10%-Mo-Ta₂O₅

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Fig. 4 (A) SERS spectra of rhodamine 6G on α -MoO₃ without (black) and with (green) oxygen vacancies excited with 532, 633 and 785 nm lasers. Reproduced from ref. 48 with permission from The Royal Society of Chemistry, copyright 2017. (B) SERS spectra of 10⁻⁷ M MV on Mo-doped Ta₂O₅ substrates (x = 10%, 15%, and 20% of Mo percentage) under the 532 nm laser excitation. Reproduced from ref. 148 with permission from John Wiley & Sons, copyright 2019. (C) Measured (M) and simulated (S) SERS spectra of adsorbed 4-MBA molecules on a single α -(amorphous) and c -(crystalline) ZnO nanocage under the 633 nm laser excitation. Reproduced from ref. 121 with permission from John Wiley & Sons, copyright 2017.

(iii) EM enhancement around the “gap” and “tip” of the anisotropic Ta₂O₅ nanorods, which was realized by regulating the photo-induced CT resonance frequency of the Mo-doped Ta₂O₅ substrates to be quasi-equivalent to the electromagnetic resonance frequency nearby 532 nm and the given 532 nm laser by energy engineering through element doping.¹⁴⁸ This “coupled resonance” strategy proposed by Yang *et al.* provides a new way to obtain the ultra-sensitive SERS-active semiconductor nanomaterials.

Besides those above, many other strategies can be applied to obtain higher EFs for SERS-active semiconductor substrates.^{153–156} For instance, (1) size control. Nanoparticle size plays an important role to affect the SERS intensity, especially when it is smaller than the exciton Bohr radius, quantum confinement effect can lead to a strong size dependence of the SERS spectra and higher enhancement by tuning the position of band edge in semiconductors.^{53,124,157} (2) Crystallinity regulation. In 2017, Guo *et al.* first observed the remarkable SERS activity in amorphous ZnO nanocages, the EF of which (up to 6.62×10^5) for 4-mercaptobenzoic acid (4-MBA) was higher than that of the crystalline counterparts (Fig. 4C).¹²¹ First-principles density functional theory (DFT) simulations further confirmed that the metastable electronic states of the amorphous surfaces of ZnO nanocages can improve the interfacial CT process by weaker constraint to surface electrons compared with their crystalline counterpart. (3) Shape control. Among various semiconductors, two-dimensional (2D) semiconductors, especially transition metal dichalcogenides (TMDs) and transition metal chalcogenides have

received special attention owing to their unique thickness-dependent physico-chemical properties with enhanced chemical-based CT processes.^{126,158–162} Excitingly, the 2D 1T'-WTe₂ atomic layers exhibit a very high EF of 1.8×10^9 for the detection of rhodamine 6G with femtomolar level concentration.¹³²

(4) Environmental change. Recently, the low temperature has been proved to boost the SERS activity of porous ZnO nanosheets, which can be attributed to the efficient photo-induced CT process enhanced by suppressing phonon-assisted non-radiative recombination on surface defect states in the semiconductor-molecule system.¹⁶³

Compared with inorganic semiconductors, the research on the SERS enhancement of pure organic semiconductors is still very limited. Until 2017, the SERS signals on nanostructured organic semiconductor films for molecular detection were observed for the first time by Yilmaz and co-workers.^{141,164} When methylene blue is used as a probe molecule, the nanostructured α,ω -diperfluorohexylquaterthiophene (DFH-4T) films without any additional plasmonic layer exhibit the unprecedented EF of 3.4×10^3 , indicating the successful extension of the SERS-active substrates to pure organic semiconductors.¹⁴¹ Moreover, by adjusting the number of the thiophene rings in the central chain of DFH-4T (for instance, to DFH-5T), or by replacing the fluorines with hydrogen (such as with DH-4T), the exact location of the band edges can be finely tuned, so that more precise control over the location of CT transitions to and from a specific molecule to be detected can be realized and correspondingly the selectivity of organic semiconductors to the specific molecules in the mixture can be further improved.¹⁶⁵ Subsequently, Demirel *et al.* reported another nanostructured film of the small molecule 5,5'-diperfluorophenyl-2,2':5',2'':5'',2'''-quaterthiophene (DFP-4T) that consisted of a fully π -conjugated diperfluorophenyl-substituted quaterthiophene structure as an efficient SERS platform for detection of methylene blue.¹⁶⁶ DFP-4T exhibits a higher EF of 2.7×10^5 and a LOD of as low as 10^{-9} M for methylene blue, which is comparable to those reported for the best inorganic semiconductors and even intrinsic plasmonic metal-based SERS platforms.¹⁶⁶ Recently, Deneme *et al.* revealed the influence of π -backbone structure design of organic semiconductors on SERS enhancement.¹⁶⁷ After carbonyl functionalization of the fused thienoacene π -system in 2,7-dioctyl[1]benzothieno[3,2-*b*][1]benzothiophene (C₈-BTBT) the resulting nanostructured 1,10-(benzo[*b*]benzo[4,5]thieno[2,3-*d*]thiophene-2,7-diyl)bis(octan-1-one) (D(C₇CO)-BTBT) film exhibited nearly twenty-fold stronger SERS signal for methylene blue molecules than C₈-BTBT and additional enhancement for other three dye molecules (crystal violet, rhodamine 6G, and malachite green) (Fig. 5A and B). The low-lying LUMO and the face-on π -backbone of D(C₇CO)-BTBT film enabled CT resonance through strong π -orbital interactions between analyte and semiconductor molecules, which originates from dipolar C=O...C=O interactions, hydrogen bonds, and strengthened π -interactions in D(C₇CO)-BTBT compared to C₈-BTBT (Fig. 5C).¹⁶⁷ Apart from small molecular organic semiconductors, a few semiconductive organic substrates, includ-



Fig. 5 SERS spectra of (A) methylene blue and (B) rhodamine 6G analytes on D(C₇CO)-BTBT and C₈-BTBT films. (C) Energy level diagram for the current analyte/o-SERS systems for D(C₇CO)-BTBT and C₈-BTBT showing the frontier molecular orbital (HOMO/LUMO) energies and plausible (shown with solid black arrow)/non-plausible (shown with dashed red arrows) transitions. μ_{PICT} stands for photoinduced charge-transfer between analyte and semiconductor under the excitation of 785 nm. Raman signals were produced during the transitions shown with blue solid arrows. Reproduced from ref. 167 with permission from Springer Nature, copyright 2021.

ing π -conjugated polymers^{168,169} and peptide nanotubes,¹⁷⁰ are also reported.

3.3 Graphene

Since the first report about the Raman enhancement on the surface of monolayer graphene in 2010 by Zhang, Liu, and co-workers,¹⁷¹ graphene has developed into one of the most important and widely studied 2D SERS materials.^{53,158,164,172} Many factors such as the number of layers, the surface properties and the interaction between graphene and target molecules have a remarkable impact on the CM enhancement effect of graphene.¹⁵⁸ Moreover, owing to the unique electronic structure and morphology, graphene can quench fluorescence and suppress self-absorption of molecules adsorbed on its surface, leading to the low LOD in the magnitude of 10^{-8} – 10^{-10} M realized for the detection of some specific analytes on pristine graphene.^{45,173} In 2015, Huang *et al.* investigated the molecular selectivity of graphene-enhanced Raman scattering toward a variety of different molecules with different molecular properties and discussed the selection rules with reference to two main features of the molecules, namely the molecular energy levels and molecular structures.¹⁷⁴ They found that when the HOMO or LUMO levels of the molecules are on a suitable energy range with respect to the fermi level of graphene, strong interaction, and CT between graphene and molecules can lead to high Raman enhancement.¹⁷⁴ If the molecule can further meet the symmetric requirement, including D_{nh} symmetry (the dihedral groups of molecular symmetry, adapted from group theory), the interaction and CT between graphene and molecules will be stronger and correspondingly a much higher Raman enhancement will be achieved.

Furthermore, the Fermi level of graphene can shift by controlling the nitrogen doping levels, and if the shift can align with the LUMO level of target molecules, CT can be enhanced, leading to the lower LOD of 10^{-11} M for dye molecules.¹⁷⁵

3.4 Metal-organic frameworks

In recent years, MOFs, hybrid organic-inorganic materials with unique periodic framework structures, have attracted a lot of attention as independent SERS substrates owing to their excellent properties such as molecular enrichment ability, selectivity, gas sensing capability, and additional chemical enhancement ability.^{13,17} The first example was discovered and reported by Yu *et al.* in 2013. They successfully observed the adsorption orientation-dependent SERS effect of methyl orange on two types of MOF substrates, MIL-100 and MIL-101, although the EF value was only 120.¹⁷⁶ In 2019, the high Raman enhancement on MOFs (EF = 1.9×10^6 for rhodamine 6G on ZIF-67) was realized.¹⁷⁷ More importantly, because of the high tailorability, MOFs can be used as the SERS substrates with molecular selectivity, which is very difficult to realize for the traditional SERS plasmonic-nanoparticle substrates relying on EM.^{13,177} For instance, Sun *et al.* confirmed the strong impact of three different types of structural engineering on the SERS performance of MOFs, including (i) metal ion replacement (Fig. 6A and B), (ii) pore-structure optimization (Fig. 6C and D), and (iii) surface modification.¹⁷⁷ Furthermore, Xu *et al.* revealed that the enhancement effect could be improved by adjusting the electronic structure of MOFs, and specifically, after doping with Cu^{2+} , the doped ZIF-67 substrates showed a high EF of 6.07×10^6 , an exceptional detection sensitivity with 10^{-8} M of methylene blue as the probe molecule, and good signal reproducibility with an RSD of 14.1%, as well as out-

standing signal stability with an RSD of 2.59%.¹⁷⁸ In 2020, MIL-100(Fe) was identified for the first time as an excellent SERS-active substrate for the capture and recognition of diverse volatile organic compounds with high sensitivity (*e.g.*, LOD of 2.5 ppm for toluene) owing to its unique organic-inorganic structures, in which the aromatic ligand could bind with the aromatic volatile organic compounds through π - π interaction and the metallic nodes could coordinate with polarized small molecules.¹⁷⁹ In 2021, Chen *et al.* reported a mixed valence state Mo-MOF with high SERS activity triggered by UV irradiation (EF = 1.33×10^5 for the detection of crystal violet), demonstrating that the creation of oxygen vacancies could induce the formation of the SERS-active sites in MOFs.¹⁸⁰ Recently, more strategies for higher enhancement in MOFs substrates including reducing the thickness of 2D-MOFs and stabilizing photo-induced vacancy defects in MOFs are gradually reported.^{181,182} All these results have proven that the SERS-active MOF platform presents great modifiability and expandability, which is of great significance for further wide applications of MOF materials for SERS detection.

4 Composite SERS-active nanomaterials

The extended construction of the SERS-active nanomaterials from a single component to two-or-more components is an inevitable trend for material development. On one hand, the limitation of single-component SERS-active nanomaterials, such as the reproducibility, stability, selectivity, and durability of plasmonic metal nanostructures, can be effectively overcome by controllable integration with other functional components. On the other hand, collective properties and improved performance in the composite SERS platforms can be obtained through the property synergy or complementarity among different functional components, which is more conducive to the realization of advanced applications in wider fields. Furthermore, the difficulty and complexity of mechanism revelation for composite SERS substrates owing to the complicated electronic structures and charge transfer are also increased exponentially. Up to now, many types of composite SERS-active nanomaterials with improved SERS performance have been developed by optimizing each component of the whole system. For instance, the combination of plasmonic metal nanostructures and inert oxides can result in higher stability and durability;^{183–186} Integration of plasmonic metal nanostructures with porous materials can provide additional advantages on selectivity, sensitivity, and specific recognition;^{17,187–189} Composites of two-or-more plasmonic metals can provide wider control over their plasmon properties than single metals.^{190–192} Hybridization of plasmonic metal nanostructures with semiconductors can effectively boost the light harvesting and conversion and enable high sensitivity.^{20,193–197} Besides those metal-based composite SERS-active materials, the SERS-active composites without plasmonic metals have also been exploited, which provides

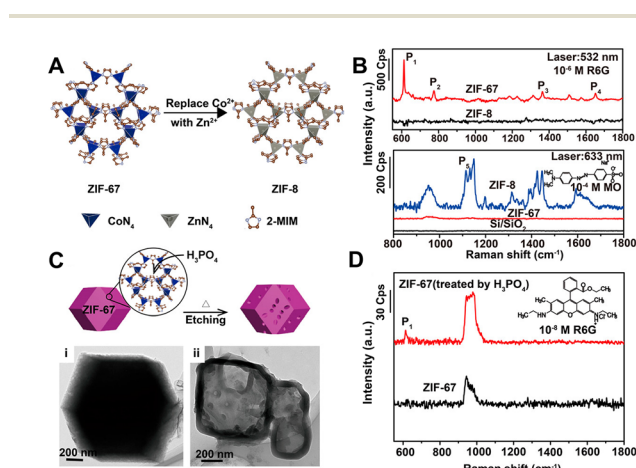


Fig. 6 (A) Illustration of metal ion replacement from ZIF-67 to ZIF-8. (B) SERS spectra of rhodamine 6G (R6G) (10^{-6} M) on the ZIF-67 and ZIF-8 substrates excited by 532 nm laser, and SERS spectra of methyl orange (MO) (10^{-4} M) on the ZIF-67 and ZIF-8 substrates excited by 633 nm laser. (C) Illustration of pore-structure optimization of ZIF-67 by phosphoric acid etching with TEM image of (i) raw ZIF-67 and (ii) acid-treated ZIF-67. (D) SERS spectra of R6G (10^{-8} M) on the raw ZIF-67 and acid-treated ZIF-67 substrates excited by 532 nm laser. Reproduced from ref. 177 with permission from American Chemical Society, copyright 2019.

more possibilities for further enrichment and construction of multi-functional SERS substrates.^{119,198–202} Mechanism of excess enhancement ability on composite SERS-active materials is complicated and under debate. Most existing studies suggest that the charge separation and transfer at the interface of heterostructures composed of the SERS-active nanomaterials play a key role in improving the CT process between substrates and target molecules to achieve stronger CM enhancement and increasing the electron density of metal nanostructures to achieve stronger EM enhancement.

4.1 Nanocomposites constructed by multicomponent metals

SERS-active nanocomposites constructed by multicomponent metals can exhibit more advantageous chemical and physical properties than single-component nanomaterials due to the synergetic effects associated with different metals and the corresponding change of the electronic structure and spatial arrangement mode induced by precise manipulation of the composition, structure, distribution, shape, *etc.*^{191,203,204} Typically, Au–Ag bimetallic nanocomposites exhibit broader tunable surface plasmon resonance (SPR) properties compared to pure Au NPs and higher chemical stability than pure Ag NPs, and, moreover, their spectral characteristics are closely dependent on their structure and composition.^{190,192,205,206} As demonstrated by Rituraj Borah and Sammy W. Verbruggen, the spectral shift is considerably different for both Au–Ag alloy and core–shell configurations, namely, the red shift is proportionate to the amount of Au for alloy NPs when moving from pure Ag to Au whereas the red shift is not gradual for core–shell NPs (Fig. 7A and B), and the position of localized SPR (LSPR) peaks for Au@Ag core–shell NPs are located at larger wavelengths than for alloy NPs of the same overall composition.¹⁹⁰ Kitaev and coworkers further found that a wide range

of LSPR peak tuning from 470–800 nm could be achieved for the obtained Au–Ag NPs through the formation of Au shells and rebuilding of Ag.²⁰⁵ Definitely, the influence of the adjustable LSPR properties of Au–Ag bimetallic nanocomposites on their SERS performance is notable and worthy of attention. Cui *et al.* revealed that the SERS activity of the core–shell Ag_{100–x}@Au_x bimetallic NPs toward thiophenol and *p*-aminothiophenol is critically dependent on the molar ratio of Ag to Au.²⁰⁷ With the increase of the Au molar fraction (*x*), the SERS activity enhances first and then weakens, and the maximum signal intensity appears at *x* = 18 and is 10 times stronger than that of pure Ag NPs owing to the presence of some pinholes that act as hotspots for the electromagnetic field enhancement on the surfaces of the core–shell Ag_{100–x}@Au_x bimetallic NPs. Similarly, Fan *et al.* explored the SERS properties of the Au_x–Ag_{10–x} alloy NPs, the enhancement trends of which are closely related to both the composition of the alloy NPs and the chemical nature of the probe molecules.²⁰⁸ As shown in Fig. 7C, for the probes 4-hydroxythiophenol (HTP) and thiophenol (TP), the best SERS performance was obtained for the highest Ag ratio, whereas for the two positively charged SERS probes, oxazine 720 and Nile Blue A, the alloy NPs with higher Au content provided the largest SERS signal. The relationship between the enhancement trends and the chemical nature of the probe molecules was assigned to the selective binding of probe molecules to different metallic domains on the alloy surface promoted by charge donation from Ag to Au atoms in the alloys. Besides the structure and composition, the SERS activity is also closely related to the morphology and the crystal facets of the core–shell Au–Ag composites. For instance, the Ag@Au concave cuboctahedra synthesized by titrating aqueous HAuCl₄ into a suspension of Ag cuboctahedra enclosed by both {100} and {111} facets exhibit excellent SERS activity that was more than 15-fold stronger than that of Ag@Au cuboctahedra and 70-fold stronger than that of the original Ag cuboctahedra at an excitation wavelength of 785 nm.²⁰⁹ That is because the deposition of Au on Ag cuboctahedra that are covered by a mix of {111} and {100} facets is at a ratio of 1 : 1.7 in terms of surface area, and correspondingly more sharpened corners and edges on concave cuboctahedra that can concentrate the electromagnetic field and lead to enormous SERS signals are formed compared to conventional cuboctahedra.

In order to improve the SERS enhancement for target molecules adsorbed on transition metals, fabrication of NPs with high SERS-active metal (such as Au and Ag) core and ultrathin transition metal shell is an effective way, so that transition metals can “borrow” the SERS activity from the Au or Ag core and ultimately achieve the average SERS enhancement of 10⁴–10⁵.^{9,210–212} Taking the 55 nm Au@1.4 nm Pt NPs as an example, the peak intensity of the SERS signals from this type of Au@Ptcore–shell NPs film electrode toward CO is about 40-fold stronger than 12 nm Pt nanocubes and about 200-fold stronger than the roughened Pt electrode.²¹² Moreover, effective integration of the catalytic property of transition metals and the enhanced SERS activity of noble metals

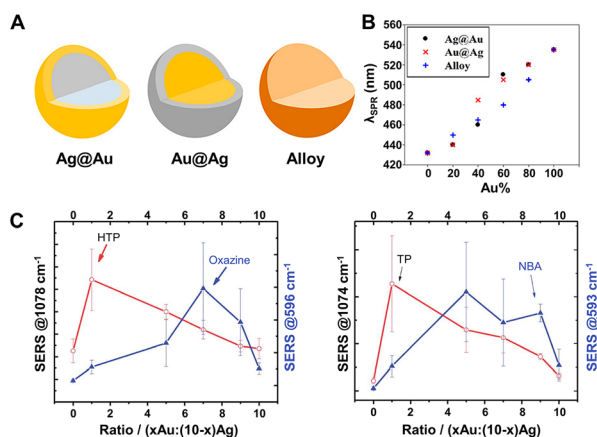


Fig. 7 (A) Structure illustrations of gold and silver bimetallic NPs. (B) Absorption peak shift with the incorporation of Au for Ag@Au, Au@Ag, and alloy NPs of 60 nm in diameter. Reproduced from ref. 190 with permission from American Chemical Society, copyright 2020. (C) SERS intensity dependence of 4-hydroxythiophenol (HTP), oxazine 720, thiophenol (TP) and nile blue A (NBA) on the Au:Ag ratio of Au–Ag alloy NPs. Reproduced from ref. 208 with permission from The Royal Society of Chemistry, copyright 2013.

enables *in situ* detection or monitoring of various catalytic reactions by SERS. Recently, Ze *et al.* synthesized Au@PtNi NPs by catalytically depositing active PtNi alloy shells on Au NP cores, on which the processes of the oxygen reduction reaction could be explored by using *in situ* electrochemical SERS.²¹³ By monitoring the direct signal of the intermediary species, *OOH, and its red-shift with the increase of the Ni content in the Au@PtNi NPs *via* SERS, the critical role of the Ni doping for efficient electron transfer as well as the enhanced oxygen reduction reaction activity was revealed, beneficial for clarification of the catalytic mechanism and further design of catalyst with high efficiency.²¹³ Ulteriorly, the design, and adoption of the mixed shells of transition metals with plasmonic metals can further enhance the SERS signals of adsorbents on transition metals. The Ag@Pd–Ag nanocubes synthesized by Qin's group were evidenced not only for *in situ* quantitative SERS monitoring the Pt-catalyzed reactions of the reduction of 4-nitrothiophenol (4-NTP) to 4-aminothiophenol (4-ATP) by NaBH₄ but also exhibited two-fold higher enhancement than that by Ag@Pd nanocubes.²¹⁴

In order to achieve more quantitative SERS analysis, the internal standards can also be embedded into the interface inside the core-shell metal nanocomposites for correcting the signal fluctuation between samples and measuring conditions.^{14,215–220} In 2015, Shen *et al.* successfully prepared one type of core-molecule-shell (CMS) NPs for quantitative SERS analysis, composed of Au nanospheres as the uniform core, Ag as the shell for higher enhancement, and both cysteamine and 4-mercaptopyridine in the molecular layer as the framework and the SERS internal standards, respectively.²¹⁵ By normalizing the raw signals of target molecules, 1,4-phenylene diisocyanide, to the intensity of the feature peak of 4-mercaptopyridine, an RSD of less than 8% and better linearity of the working curve was achieved. More importantly, in addition to 1,4-phenylene diisocyanide, the CMS NPs can also be applied to the quantitative SERS analysis of basic red 9 molecules with weak affinity to Ag over a large concentration range from 0.5 nM to 100 nM.²¹⁵ That is because, compared to directly adding internal standards, the embedding method can avoid the influence from outer environments to internal standards and the competitive absorption between target molecules and internal standards, thus extending its versatility to target molecules with a larger range of concentrations and even different affinity to the SERS substrates. Moreover, the CMS NPs can be further assembled into large-scale close-packed nanoparticle arrays to create more intensive and abundant SERS “hotspots” for lower RSD and more sensitive detection.^{216,217} Taking the monolayer array of Au@4-MBA@Ag CMS NPs fabricated through a commonly used interfacial self-assembly method by Wang and Li as an example (Fig. 8A), compared to the Au@Ag array without internal standard, the point-to-point RSD was decreased from 10.08% to 8.84% (Fig. 8B) and the batch-to-batch RSD was impressively decreased from 35.57% to 14.97% (Fig. 8C) for the detection of thiram.²¹⁶ Meanwhile, the LOD using the Au@4-MBA@Ag array for thiram detection could reach 0.38 ppb,²¹⁶ better than that of 1.1 ppb using the Au@Ag

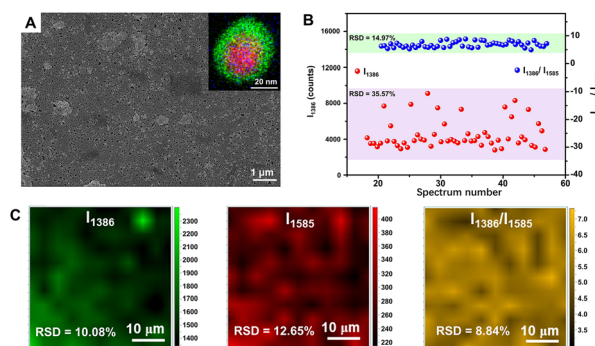


Fig. 8 (A) SEM image of interfacial self-assembled Au@4-MBA@Ag array on silicon wafer. Inset is the superimposed image of high angle annular dark field-scanning transmission electron microscopy-electron dispersive X-ray spectroscopy element mapping (red to Au, green to Ag, blue to S) of an Au@4-MBA@Ag CMS NP. (B) The random 54 SERS signals of thiram at 1386 cm⁻¹ and its ratio to 4-MBA at 1585 cm⁻¹ distributions from 5 batches of Au@4-MBA@Ag array. (C) Raman mapping of thiram with its signal at 1386 cm⁻¹, 4-MBA with its signal at 1585 cm⁻¹, and the ratio of them. Reproduced from ref. 216 with permission from Elsevier, copyright 2021.

array without the internal standards and 72 ppb using SiO₂@Au@4-MBA@Ag NPs without assembly.^{221,222}

4.2 Nanocomposites composed of metal and SERS-inactive non-metal nanomaterials

Development of the nanocomposite SERS substrates composed of plasmonic metals and SERS-inactive non-metallic nanomaterials such as various oxides and organic polymers has attracted much attention for the realization of superior SERS performance and more advanced applications through function integration. Although these non-metal nanomaterials do not have SERS activity, they can endow more functions to the nanocomposite SERS substrates, such as offering different interactions and selectivity from metal NPs toward target molecules, controlling the assembly of metal NPs and protecting metal NPs from aggregation and sintering, which completely depend on the intrinsic characteristics of the introduced non-metallic nanomaterials.^{9,17,223,224}

The shell-isolated NPs with an ultrathin inert dielectric shell surrounding the plasmonic metal nanoparticle core such as Au@SiO₂ are one of the most representative examples.^{15,184–186,225} In this system, the inert shells can not only prevent the direct contact of metal particles with the chemical environment, the surface of interest, and the target molecules but also can protect them from aggregation.^{9,224} Hence, compared to bare metal NPs, the shell-isolated NPs can exhibit better chemical stability and long-term performance. Notably, the intensity of the SERS signal is a function of the shell thickness, namely, with the increase of the shell thickness, the electromagnetic field enhancement as well as the SERS signal decreases exponentially with the increase of the distance between target molecules and the plasmonic metal nanoparticle core.^{49,212,226} Systematic study on the shell-isolated nanoparticle-enhanced Raman spectroscopy (SHINERS)

of Au@SiO₂ and Au@Al₂O₃ with shell thickness ranging from 2 to 20 nm by Tian *et al.* confirmed that the experimental data were in good agreement with the three-dimensional finite-difference time-domain (3D-FDTD) calculated results and strong optical signals could be detected only when the shell thickness was 2–4 nm.¹⁸⁴ More importantly, SHINERS extends the application of SERS to the atomically flat single-crystal surfaces, using which, the behavior of the adsorbed hydrogen on Pt (111) single crystals was examined for the first time.¹⁸⁴ Of course, in addition to adsorption on the shell surface, the molecules such as dyes or aromatic molecules can also be embedded between the plasmonic metal core and the inert shell of the shell-isolated NPs as SERS tags for immunoassay, multi-channel detection, and so on.^{227,228}

How to effectively and selectively capture target molecules onto the surface of the SERS substrates is one of the keys to achieving high-performance SERS detection.²²³ Aiming to solve this problem, MOFs have been introduced and gradually developed into an ideal material for combination with the plasmonic metal NPs owing to their high specific surface area, tunable pore size and volume, multiple coordination sites, strong adsorption capacities, beneficial to selectively capture the specific target molecules.^{13,17} For example, single core-shell Au@MOF-5 nanoparticle with a shell thickness of 3.2 ± 0.5 nm exhibited highly selective sensing properties toward CO₂ in gas mixtures, whereas no SERS signals were observed for bare Au NPs, pure MOF-5 spheres, and Au@MOF-5 NPs with a thicker MOF-5 shell (Fig. 9A–C).¹⁸⁸ Obviously, these sensing characteristics were attributed to both the near-field electromagnetic enhancement of the Au nanoparticle core (mostly within a distance of no more than 3 nm) and the specific adsorption capability of the uniform MOF-5 shell toward CO₂ molecules.²²⁹ Moreover, such SERS detection can be easily applied to other analytes such as dimethylformamide, ethanol, aromatic dyes, and volatile organic compounds. Thanks to the selective pre-concentration of the target molecules by the MOF shell to make them in close proximity to the plasmonic Au NPs, the substrate of Au nanoparticle-embedded MIL-101 (AuNPs/MIL-101) exhibited much stronger enhancement toward a series of aromatic amine compounds, especially benzidine (Fig. 9E), than Au colloids for liquid phase detection.¹⁸⁹ Selective SERS enhancement to molecules with different sizes was also realized due to the sieving effect from the nanoscopic pores of MIL-101. Selecting 4,4'-bipyridine and poly(4-vinylpyridine) with different molecular sizes as model analytes and using AuNPs/MIL-101 as the substrate, it was found that much stronger SERS signals were readily detected for 4,4'-bipyridine while almost no Raman response was observed for poly(4-vinylpyridine) (Fig. 9F), which was not able to diffuse into nanopores of the MIL-101 shell and to interact with the embedded Au NPs.¹⁸⁹ Besides MOFs, other materials such as porous polymer shells can also be used to highly selectively recognize target molecules, resulting in improvement of the SERS activity of the substrates.^{230,231}

How to effectively collect the SERS-active colloids based on plasmonic metals to improve the SERS enhancement is also a

major challenge for in-liquid molecular detection *via* SERS. The combination of plasmonic metals with magnetic materials can enable the position control and collection of the magnetic-plasmonic NPs by applying an external magnetic field in an analyte solution, and thus the enhanced SERS enhancement can be achieved by molecules located at the junction between the aggregated magnetic-plasmonic NPs, induced by collection.^{232–235} Usually, the magnetic-plasmonic NPs are constructed using iron oxides (Fe₃O₄ or Fe₂O₃) as the cores and Au with different shapes as the shells. After dispersing in fresh very diluted (10^{-8} M) aqueous solution of thiram and subsequent depositing on a silicon sheet *via* collection by magnets, the star-shaped Fe_{3-x}O₄-Au core-shell NPs have been successfully used as SERS substrates for thiram trace detection owing to their high saturation magnetization at room temperature and rough enough plasmonic surface, highlighting their capability to be used as chemical trace sensors.²³²

To further improve the SERS performance of the composite substrates in practical applications, different functional components being able to enrich target molecules and SERS-active colloids can be integrated into more complex composites for sample preparation and detection all-in-one.^{236–239} For instance, Zhang *et al.* reported CoFe₂O₄@halloysite nanotubes (HNTs)/Au NPs composites with magnetic CoFe₂O₄ beads inside HNTs and SERS-active Au NPs on the surface of HNTs.²³⁸ The magnetism of CoFe₂O₄ beads, molecular enrichment of HNTs, and SERS-activity of Au NPs are all integrated into one composite nanomaterials. The resulting CoFe₂O₄@HNTs/Au NPs substrates have good pH stability from 3.0 to 11.0 and can realize rapid (within 5 min), sensitive (LOD down to 10^{-2} mg L⁻¹ and EF up to 10^7) and reproducible (RSD < 10%) detection of 4,4'-thioaniline and nitrofurantoin in fish feed and aquatic samples. Besides, other functional components, such as internal standards for more quantitative detection can also be integrated into all-in-one composite substrates.²³⁹

4.3 Nanocomposites consisted of metal and SERS-active non-metal nanomaterials

The efficient combination of both the EM and CM enhancement into one SERS substrate is of great significance in boosting overall SERS sensitivities and strengthening the SERS theories. The hybridization of plasmonic metals with SERS-active non-metal nanomaterials creates an ideal tunable platform for the exploration of the synergistic effect between EM and CM. Importantly, in order to realize the effective combination of EM and CM, the design of this type of nanocomposite needs to fully consider the distance dependence of EM enhancement and the interaction between target molecules and substrates related to CM enhancement so that different resonances belonging to EM and CM can be simultaneously excited under the same incident light as well as the ideal SERS enhancement can be observed. So far, many SERS-active non-metal nanomaterials have been introduced to combine with plasmonic metals, including MOFs, organic and inorganic semiconductors, and graphene, and correspondingly, the overall

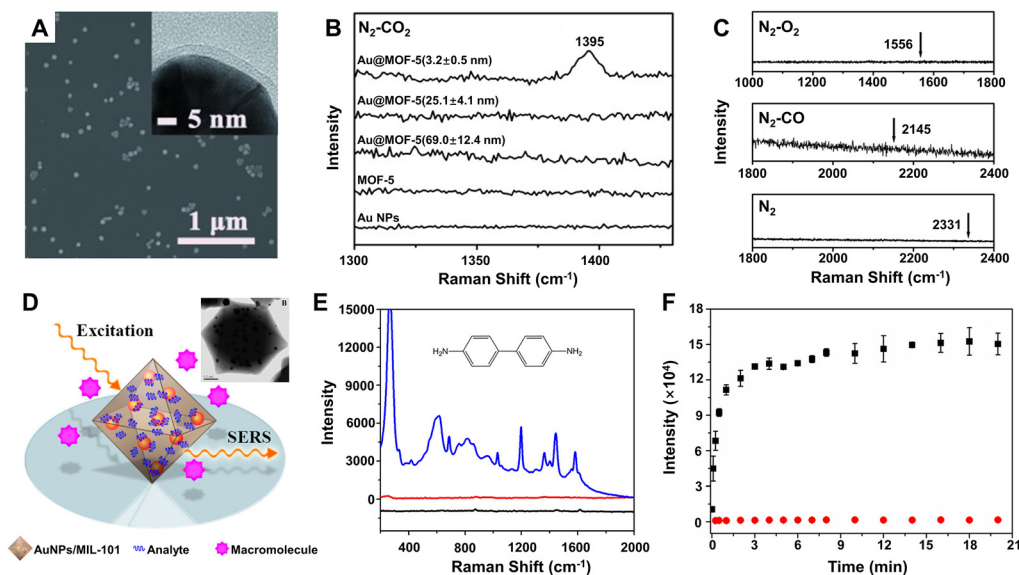


Fig. 9 (A) SEM and TEM (inset) images of the core-shell Au@MOF-5 NPs with shell thicknesses of 3.2 ± 0.5 nm. (B) SERS spectra of single Au NPs, single MOF-5 spheres, and single core-shell Au@MOF-5 NPs with different shell thickness toward CO_2 in the CO_2/N_2 gas mixture (the ratio is 1 : 5 for CO_2 vs. N_2) at room temperature. (C) SERS spectra of single Au@MOF-5 NPs with shell thicknesses of 3.2 ± 0.5 nm toward N_2 , CO, and O_2 with arrows point to their characteristic SERS peak positions. Reproduced from ref. 188 with permission from John Wiley & Sons, copyright 2013. (D) Illustration of AuNPs/MIL-101 for selective SERS detection with TEM image of AuNPs/MIL-101 (inset). (E) SERS spectra of benzidine on the Au NPs/MIL-101 substrate (blue lines), Au colloids substrate (red line), and virgin MIL-101 (black line). (F) Raman intensity plotted against time at 1030 and 1292 cm^{-1} for 4,4'-bipyridine (black square) and poly(4-vinylpyridine) (red circle). Reproduced from ref. 189 with permission from American Chemical Society, copyright 2014.

SERS performance has been significantly improved by optimization of each component in the hybrid nanocomposites. For example, after simply depositing concentrated gold nanoparticle colloids onto the MIL-100(Fe) substrate, the Au/MIL-100(Fe) composite substrate provided a remarkable EF up to 10^{10} , enabling a LOD down to 0.48 ppb for toluene detection, which was nearly 4 orders of magnitude lower than that of the MIL-100(Fe) substrate (2.5 ppm) without a covering of Au colloids.¹⁷⁹ Obviously, the improved sensing performance is closely related to the employed hotspots between colloidal Au NPs onto the MIL-100(Fe) substrate and attributed to both the CM enhancement from the charge transfer between toluene and MIL-100(Fe) and the EM enhancement from the surface plasmon resonance of Au. Similarly, after coating a thin Au layer on the superhydrophobic nanostructured organic DFH-4T film, remarkable Raman EFs ($\sim 10^{10}$) and extremely low analyte detection ($<10^{-21}$ M) for methylene blue were also achieved on the Au/DFH-4T composite substrate.¹⁴¹ More recently, Zhang *et al.* reported a general approach to a hybrid platform of Au nanoparticles on monolayer semiconductors by gold-mediated mechanical exfoliation followed by appropriate etching in KI/I_2 solution, which leaves randomly distributed and high-density Au NPs on the surface of monolayer semiconductor. The resulting Au NPs/ ReSe_2 , Au NPs/ MoS_2 , and Au NPs/ PdSe_2 have LOD approximately three to four orders of magnitude lower than their bare 2D materials counterparts.²⁴⁰

Both the distribution and density of carriers and the frequency of the plasmonic resonance can significantly affect the

SERS performance of the nanocomposites, which can be modulated by adjusting many factors, including the characteristics of each component in the nanocomposites and the excitation energy.¹⁹⁵ Zhang *et al.* fabricated an ordered Ag/ Cu_2S substrate with the hemispherical dual-shell structure (Ag monolayer as the inside shell and Cu_2S layer as the outside shell) onto the self-assembled monodispersed polystyrene nanostructures by magnetron sputtering and found that the carrier distribution and density could be easily monitored by controlling the thickness of the Cu_2S shell under different laser excitations and ultimately led to different SERS performance to be measured.¹⁹⁵ As shown in Fig. 10A, it is obvious that the Ag/ Cu_2S substrate with 30 min sputtering time showed higher enhancement toward 4-MBA than pure silver



Fig. 10 (A) Intensity of Raman peak at 1586 cm^{-1} of 4-MBA on Ag/ Cu_2S hemispherical dual-shell with various excitation wavelengths and sputtering times and (B) the corresponding coupling scheme in this Ag/ Cu_2S /4-MBA system. Reproduced from ref. 195 with permission from American Chemical Society, copyright 2018.

Strong coupling in the heterojunction interface can improve the separation of electron-hole pairs and further facilitate effective charge transfer, which is beneficial to CM enhancement in SERS. For example, the TiO_2/ZnO heterojunction composed of two classic inorganic semiconductors showed strong SERS enhancement (EF up to 6.8×10^5) for a non-resonant molecule of 4-MBA, higher than the performance measured with pure TiO_2 NPs, pure ZnO NPs, and their simple mixture as the substrates, respectively (Fig. 12A).²⁰² Through calculation, Jiang *et al.* found that the order of the SERS intensity ratio was as follows: TiO_2/ZnO heterojunction composite > mixture of TiO_2 and ZnO > TiO_2 > ZnO , which is consistent with the order of the intensity ratio between a non-totally symmetric mode (b_2 , assigned to the band at 1144 cm^{-1}) and a totally symmetric mode (a_1 , attributed to the band at 1075 cm^{-1}) in the SERS spectra of 4-MBA on these substrates, suggesting that the charge-transfer contribution significantly increased in the heterojunction (Fig. 12B).²⁰² Additionally, the charge-transfer process in the heterojunction can be effectively modulated using the engineering methods such as element doping and defect introduction. Zhou *et al.* designed and created a sponge-like Cu-doping NiO-SnO_2 p-n semiconductor heterostructure ($\text{SnO}_2\text{-NiO}_x/\text{Cu}$) as a CM-based SERS substrate with extremely high EF (1.46×10^{10} for copper phthalocyanine), mainly attributed to the enhanced charge separation efficiency of the $\text{SnO}_2\text{-NiO}_x/\text{Cu}$ heterojunction, the charge transfer resonance caused by Cu doping, and enrichment of the probe molecules by the porous nanosponge structure of the $\text{SnO}_2\text{-NiO}_x/\text{Cu}$ heterojunction.¹¹⁹ The doping of Cu introduced vacant oxygen into NiO and narrowed the band gap by shifting the Fermi level towards the conduction band, leading to the conversion of type-I $\text{SnO}_2\text{-NiO}$ heterojunction

into type-II $\text{SnO}_2\text{-NiO}_x/\text{Cu}$ heterojunction with more efficient charge separation and the improved charge transfer between adsorbed molecules and $\text{SnO}_2\text{-NiO}_x/\text{Cu}$ heterojunction and thus resulting in the incredible SERS performance improvement on $\text{SnO}_2\text{-NiO}_x/\text{Cu}$ with an EF 10^5 times higher than that on $\text{SnO}_2\text{-NiO}$ (Fig. 12C and D).

The van der Waals (vdW) heterostructures, a type of vertical 2D heterostructures, in which the interlayer interaction is mainly the vdW force and no or little requirement for lattice matching between the different layers needs to be met, are able to combine various 2D materials with more complicated electronic structures and possibly enhanced interfacial charge transfer, providing a unique platform for SERS.^{198,201,248–250} In 2017, Tan *et al.* constructed a series of vdW heterostructures composed of the monolayer graphene (G) and WSe_2 (W) with artificially designed sequences using the wet-chemical transfer technique and found that the Raman enhancement effect on those heterostructures was dependent on the stacked methods.¹⁹⁸ Among them, the G/W (graphene on top) vdW heterostructure exhibited stronger SERS enhancement toward copper phthalocyanine than the isolated WSe_2 monolayer, the graphene monolayer, the W/G heterostructure, and the W/G/G/W heterostructure, but is equivalent to the G/W/G/W heterostructure. These differences were due to the different interlayer couplings in heterostructures related to electron transition probability rates, whereas the magnitude of the enhancement fact mainly depended on the top two layers. For the G/W heterostructure, the interlayer distance could be decreased from $\sim 4 \text{ nm}$ to $\sim 0.4 \text{ nm}$ to reach the optimized combination of graphene and the WSe_2 monolayer *via* carbon ion irradiation, enriching the electronic state density of graphene through the interlayer coupling and meanwhile promoting the charge transfer between copper phthalocyanine molecules and the substrate. In addition to CM enhancement, EM enhancement has also been reported in vdW heterostructures. In 2019, Ghopry and coworkers reported a novel TMD (MoS_2 and WS_2) nanodomains/graphene van der Waals heterostructure SERS substrate with the extraordinary SERS sensitivity of 5×10^{-11} to $5 \times 10^{-12} \text{ M}$ to rhodamine 6G, more than three orders of magnitude higher than that on single-layer TMD and graphene substrates.²⁴⁸ The SERS sensitivity was closely related to the nanodome morphology of the TMD layer and reached the maximum value when the nanodomains of MoS_2 have a lateral dimension on the order of 200–500 nm and a height in the range of 3–5 nm, and the peak intensity of the graphene's Raman signature with the TMD nanodomains was 8–10 times higher than that of graphene only. So, the authors suggested that the increased free carriers due to charge transfer across the vdW interface and optical excitation, and the confined morphology of MoS_2 (WS_2) allowed LSPR in nanodomains, which offered extra EM enhancement. Correspondingly, they attributed the high SERS sensitivity on TMD/graphene vdW heterostructure substrates to the combination of CM enhancement due to the enhanced dipole-dipole interaction at the TMD/graphene interface and the EM enhancement through the LSPR onto the photodoped TMD nanodomains/graphene.²⁴⁸



Fig. 12 (A) SERS spectra of 4-MBA (10^{-3} M) adsorbed on the surface of pure TiO_2 NPs, pure ZnO NPs, mixture of TiO_2 and ZnO NPs, and TiO_2/ZnO heterojunction composites. (B) The SERS intensity of the band at 1592 cm^{-1} and the ratio of I_{1144}/I_{1075} (b_2/a_1) for different substrates. Reproduced from ref. 202 with permission from Elsevier, copyright 2022. (C) Energy level diagram of copper phthalocyanine (CuPc) molecule adsorbed on $\text{SnO}_2\text{-NiO}_x/\text{Cu}$ SERS substrate. (D) Raman spectra of CuPc on Si (10^{-5} M), SnO_2 (10^{-5} M), $\text{SnO}_2\text{-NiO}$ (10^{-5} M), and $\text{SnO}_2\text{-NiO}_x/\text{Cu}$ (10^{-9} M) substrates under 785 nm laser excitation. Reproduced from ref. 119 with permission from John Wiley & Sons, copyright 2021.

5 Conclusion and outlook

A series of SERS substrates have been developed and reported in the past half century since the discovery of SERS and meanwhile, SERS technology has also attracted extensive attention from different fields such as chemistry, materials, physics, energy, and life science, owing to its application potentials in these areas. Unfortunately, all the existing SERS substrates have their own inherent disadvantages that are difficult to overcome, mainly focusing on generality, uniformity, application compatibility, sensitivity, selectivity, long-term stability, reproducibility, and other aspects, which seriously limits the further widespread practical application and even commercialization of SERS technology. Hence, designing, exploration, and construction of high-performance SERS substrates, especially those that can meet the requirements for practical applications still remains a great challenge and the core for the development of SERS technology for a long period of time. Based on the progress in the SERS substrates described above, further efforts can be devoted to the following promising directions, aiming to create more desirable and effective SERS substrates to address the increasingly stringent application requirements.

First of all, for the existing SERS substrates, the key to their further development is to explore and develop effective methods to solve the bottlenecks encountered by them so that improved SERS performance suitable for real-world applications can be achieved. Although the development of the methods with generality applicable to all types of SERS substrates is very challenging, some methods, according to the characteristics of the nanostructured materials and the SERS enhancement mechanism, including morphology and size control, structure design and optimization, surface modification and functionalization, and hotspot engineering, can be adopted to realize the effective improvement of the SERS performance. Among them, one of the critical difficulties is how to construct the nanostructures with highly ordered, abundant uniform, and reproductive hotspots for SERS enhancement by adjusting their size, spacing, shape, arrangement, and distribution. Hence, further development of simple, reliable, and novel nano processing techniques and hierarchical construction methods is highly expected, leading to the introduction of smaller nano gaps (less than 5 nm) as well as the construction of denser hotspot meshes and thereby addressing the limitations of the existing techniques or methods.

Secondly, the system of SERS-active nanomaterials needs to be further expanded and enriched. It is a long-standing key challenge to develop ideal SERS-active nanomaterials with low cost and excellent performance for SERS applications. (i) Finding new low-cost nanomaterials with SERS enhancement comparable to plasmonic noble metals especially Au and Ag is highly desirable. In contrast to plasmonic noble metals, the study of non-metal SERS-active nanomaterials is still at its early stage, and more non-metal nanomaterials with high enhancement ability, including semiconductors and MOFs need to be explored extensively and deeply. In particular, only a few examples of SERS-active organic semiconductors are

reported although their π -conjugated frameworks can provide infinite possibilities for optimizing charge transfer to specific target molecules through special customization. (ii) Combination or integration of different components is always one of the most effective ways to obtain ideal SERS substrates. The difficulty lies in how to effectively integrate the advantages of each component and make full use of their multiple contributions to induce and enhance the SERS signals through careful selection of functional components and reasonable design of the composite structures. For composite substrates, the combination of strong EM enhancement and relatively weak CM enhancement with intrinsic selectivity is an attractive way to achieve both highly sensitive and selective SERS enhancement. However, all the currently-developed methods to obtain the improved SERS substrates through effective integration of EM and CM (such as simple depositing and “borrowing” strategy) have their own limitations and face many challenges including controllable construction and complex mechanism revealment. It can be expected that if CM and EM enhancement can be combined in a multiplicative way, ultra-trace detection down to fM scale is very promising to be achieved for SERS. In addition, some new types of nanomaterials with specific functions, such as dielectrics and covalent organic frameworks, can be introduced to broaden the range of material selection and further make the foundation for the expansion of the SERS application field.

Last but not least, the design and development of SERS-active nanomaterials also need to fully consider their adaptability and applicability, needing to meet the standards for specific applications in most cases. For quantitative analysis, precise screening of internal standard molecules with no characteristic Raman peaks overlapped with those of target molecules in advance is very critical to achieve accurate, effective, and reliable quantitative SERS measurements. For biomedical applications, the biocompatibility, toxicity, and stability of SERS substrates should be in line with the requirements of biological systems. For the detection of special target molecules, such as chemical warfare agents, toxic vapors, explosives, and volatile organic compounds, the key challenge is the exploration of SERS-active nanomaterials for rapid and highly selective identification of these dangerous materials in a complex environment. For the detection of weakly adsorbed molecules, low sensitivity is an important issue, and it is urgent to focus on how to achieve stronger and more effective affinity between target molecules and SERS substrates by strengthening the interaction between them *via* the design of SERS-active nanomaterials. Of course, in practical applications, it is highly expected to overcome molecular universality, the most challenging bottleneck of SERS, and develop SERS-active nanomaterials that can detect a series of target molecules, especially those with similar structures, in complex mixtures and environments.

In summary, although great progress has been made, more efforts are still needed to be made to overcome the challenges and problems encountered in the design, development, and application of SERS-active nanomaterials. This is also of great

significance to further promote the enrichment and improvement of SERS theory, update the SERS spectrum library, and expand the SERS applications. It is highly hoped that the research enthusiasm on SERS-active nanomaterials can make the SERS technology develop into a powerful and universal tool in nanoscience, surface science, and analytical science and lead to many exciting applications in wider fields including energy, environment, and biomedicine.

Author contributions

Yue Ying: investigation, writing – original draft; Zhiyong Tang: writing – review and editing; Yaling Liu: conceptualization, writing – review and editing.

Conflicts of interest

There are no conflicts to declare.

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