



Cite this: *Polym. Chem.*, 2016, 7, 3156

# First double hydrophilic graft copolymer bearing a poly(2-hydroxyethyl acrylate) backbone synthesized by sequential RAFT polymerization and SET-LRP†

Yinan Cui,<sup>‡a</sup> Xiuyu Jiang,<sup>‡a</sup> Chun Feng,<sup>a</sup> Guangxin Gu,<sup>\*b</sup> Jie Xu<sup>b</sup> and Xiaoyu Huang<sup>\*a</sup>

Two well-defined double hydrophilic graft copolymers comprising a hydrophilic poly(2-hydroxyethyl acrylate) (PHEA) backbone and hydrophilic poly(*N*-isopropylacrylamide) (PNIPAM) side chains were synthesized by the combination of reversible addition–fragmentation chain transfer (RAFT) polymerization, single-electron-transfer living radical polymerization (SET-LRP), and a grafting-from strategy. A 2-hydroxyethyl 2-((2-chloropropanoyloxy)methyl)acrylate monomer containing an SET-LRP initiating group (–OCOCH(CH<sub>3</sub>)Cl) was first RAFT homopolymerized to provide a PHEA-based homopolymer bearing a Cl-containing SET-LRP initiating group in every repeated unit with a narrow molecular weight distribution ( $M_w/M_n = 1.17$ ). This homopolymer directly initiated SET-LRP of *N*-isopropylacrylamide at ambient temperature to afford the desired well-defined poly(2-hydroxyethyl acrylate)-*graft*-poly(*N*-isopropylacrylamide) graft copolymers ( $M_w/M_n \leq 1.33$ ) with hydroxyl in every repeated unit of the backbone without polymeric functionality transformation. The thermo-responsive phase behavior of the obtained double hydrophilic graft copolymers was investigated by UV/vis spectroscopy, <sup>1</sup>H NMR, and dynamic light scattering (DLS).

Received 18th March 2016,  
Accepted 7th April 2016

DOI: 10.1039/c6py00489j

www.rsc.org/polymers

## Introduction

Double hydrophilic copolymers (DHCs), which consist of two different hydrophilic segments, have entirely aroused considerable interest because of their environmental friendliness and biocompatibility. In particular, double hydrophilic block copolymers have been widely investigated, which respond to external stimuli<sup>1–15</sup> including pH,<sup>1–6</sup> temperature,<sup>4–15</sup> redox,<sup>11</sup> ions,<sup>12,15</sup> light,<sup>13</sup> and NO,<sup>14</sup> and show different phase behaviors<sup>16–23</sup> such as self-assembly,<sup>16–22</sup> or co-assembly<sup>24</sup> in aqueous solution or organic solvents. It apparently turned out that this class of copolymer could be extensively applied in biomedical areas,<sup>25–30</sup> for example as a templating agent and carrier for drug delivery systems.

However, few studies have focused on double hydrophilic graft copolymers, due to the difficult synthetic process. In order to deepen the understanding of the relationship between the complicated structure and unique properties, our group has made an effort to develop a variety of double hydrophilic graft copolymers in recent years. Feng *et al.* synthesized a series of double hydrophilic graft copolymers of poly(*N*-isopropylacrylamide)-*b*-[poly(ethyl acrylate)-*g*-poly(2-(diethylamino)ethyl-methacrylate)] (PNIPAM-*b*-(PEA-*g*-PDEA)) by the combination of atom transfer radical polymerization (ATRP) and a grafting-from strategy, which could lead to self-assembly forming different aggregates in aqueous media driven by varying pH and/or temperature, and obviously showed “schizophrenic” micellization properties.<sup>31</sup> We also reported metallic nano-particles that were stabilized by double hydrophilic graft copolymers,<sup>32,33</sup> the size of which could be controlled by adjusting the ratio of metal ion to graft copolymer or varying the length of the side chain. Moreover, Jiang *et al.* reported a dual-stimuli responsive polymer system, poly(acrylic acid)-*g*-poly(*N*-vinylcaprolactam) (PAA-*g*-PNVCL) in 2013, which possessed responsiveness to pH and temperature simultaneously.<sup>34</sup>

Generally, when constructing a double hydrophilic polymer system, pendant carboxyls are introduced into the polymeric chain to maintain the hydrophilicity of the corresponding

<sup>a</sup>Key Laboratory of Synthetic and Self-Assembly Chemistry for Organic Functional Molecules, Shanghai Institute of Organic Chemistry, Chinese Academy of Sciences, 345 Lingling Road, Shanghai 200032, People's Republic of China.

E-mail: xyhuang@mail.sioc.ac.cn; Fax: +86-21-64166128; Tel: +86-21-54925310

<sup>b</sup>Department of Materials Science, Fudan University, 220 Handan Road, Shanghai 200433, People's Republic of China. E-mail: guangxingu@fudan.edu.cn; Fax: +86-21-65649937; Tel: +86-21-55664033

†Electronic supplementary information (ESI) available. See DOI: 10.1039/c6py00489j

‡Both authors contributed equally to this work.



segment, for instance poly(acrylic acid) (PAA)<sup>34</sup> or poly(methacrylic acid) (PMAA)<sup>33</sup> have been extensively employed in structuring procedures.<sup>35–39</sup> However, the pendent hydroxyls of poly(2-hydroxyethyl methacrylate) (PHEMA) or poly(2-hydroxyethyl acrylate) (PHEA) have hardly been introduced into polymeric chains, though they can be prepared by reversible addition–fragmentation chain transfer (RAFT) polymerization or ATRP. Indeed, to the best of our knowledge, no one has reported the synthesis of a double hydrophilic graft polymer containing PHEMA or PHEA segments with hydroxyl side groups. This is because the pendant hydroxyls of HEA/HEMA are treated with other functionalities to link the side chains so that the hydrophilic hydroxyls are transformed into hydrophobic ester groups.<sup>31,32</sup> To construct a new PHEA-based graft copolymer with a hydrophilic PHEA backbone, our group has designed and synthesized a novel trifunctional monomer, 2-hydroxyethyl 2-((2-chloropropanoyloxy)methyl)acrylate (HECPMA), which possessed a polymerizable double bond, a Cl-containing initiating group (–OCOCH(CH<sub>3</sub>)Cl), and a hydrophilic hydroxyl group simultaneously, and we reported the first example of an amphiphilic graft copolymer bearing a hydrophilic PHEA backbone with hydroxyl in every repeated unit of the backbone in 2014.<sup>40</sup>

Herein, on the basis of the trifunctional HECPMA monomer developed by our group, we report the synthesis of a well-defined double hydrophilic graft copolymer bearing a PHEA backbone, poly(2-hydroxyethyl acrylate)-*g*-poly(*N*-isopropylacrylamide) (PHEA-*g*-PNIPAM) by successive reversible-deactivation radical polymerization (RDRP). The well-defined PHEA backbone was first formed by RAFT homopolymerization of HECPMA monomer, which possessed a RDRP initiating group (–OCOCH(CH<sub>3</sub>)Cl) and a pendant hydroxyl in every repeated unit. Single-electron-transfer living radical polymerization (SET-LRP) of *N*-isopropylacrylamide (NIPAM) was directly initiated by –OCOCH(CH<sub>3</sub>)Cl to afford the target product of PHEA-*g*-PNIPAM while the hydroxyl remained inert. This kind of double hydrophilic graft copolymer showed thermo-responsive phase behavior in aqueous media.

## Results and discussion

### Preparation of RDRP macroinitiator

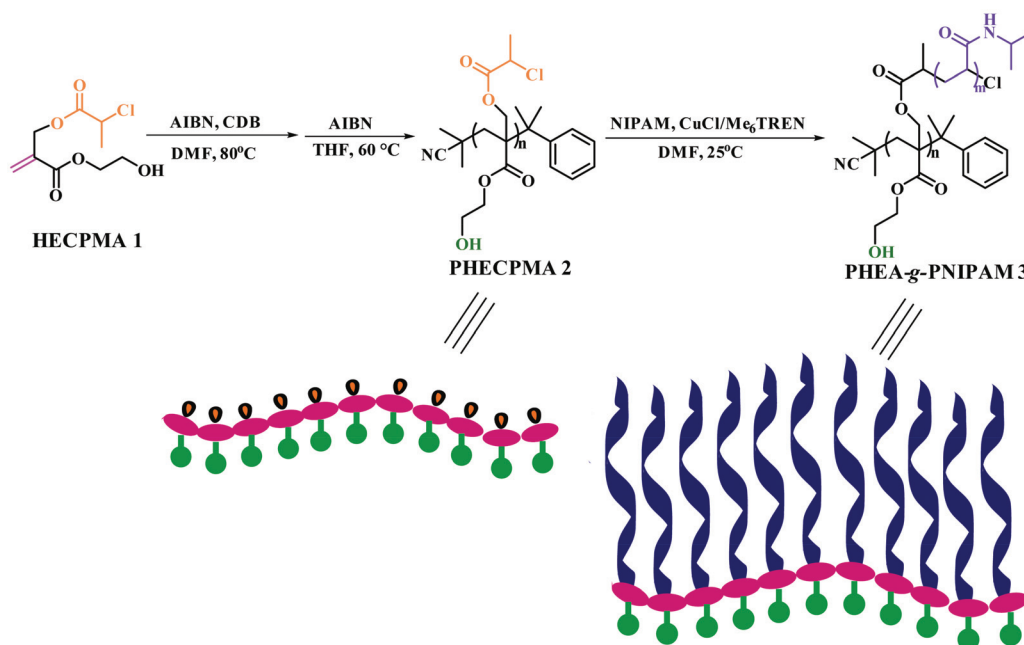
Since the example of a double hydrophilic graft copolymer consisting of a hydrophilic PHEA/PHEMA backbone with hydroxyl in every repeated unit of the backbone has been never reported, a HECPMA monomer<sup>40</sup> possessing a polymerizable double bond, a Cl-containing RDRP initiating group (–OCOCH(CH<sub>3</sub>)Cl), and a hydroxyl concurrently, which was developed by our group in 2014, was employed in the current case to construct the PHEA-backbone-containing double hydrophilic graft copolymer. A pendant –OCOCH(CH<sub>3</sub>)Cl initiating group exists in the HECPMA monomer, which means that the most popular “grafting-from” strategy<sup>41–49</sup> compared to the other two “grafting-through” and “grafting-onto” approaches can be employed in the present work. This method requires the

preparation of a macroinitiator followed by the polymerization of the second monomer for the formation of side chains, initiated by pendant initiating sites on the polymeric backbone of the macroinitiator. Therefore, the HECPMA monomer was first homopolymerized to form the desired macroinitiator with a pendant initiating group in every repeated unit.

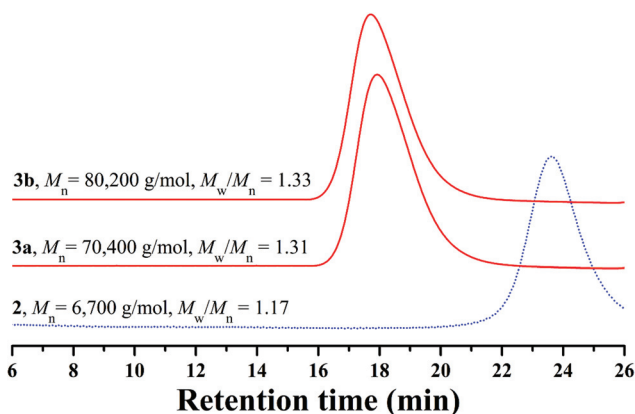
The –OCOCH(CH<sub>3</sub>)Cl initiating group can certainly initiate ATRP or SET-LRP of common vinyl monomers, and ATRP/SET-LRP of functional monomers bearing halogen-containing initiating groups provided a hyperbranched polymer, not a linear polymer.<sup>50</sup> So, RAFT polymerization,<sup>51–55</sup> the most versatile RDRP process because of its mild reaction conditions, variety of monomers with controlled polymerization, tolerance of monomer functionalities, and utilization for the preparation of copolymers with different architectures, may be the right way to polymerize the HECPMA functional monomers containing RDRP initiating groups. In the current case, HECPMA is a kind of acrylate monomer, for which CDB was often chosen as the chain transfer agent (CTA).<sup>56</sup> Thus, the RAFT homopolymerization of the HECPMA **1** monomer was performed in DMF at 80 °C using AIBN as an initiator and CDB as the CTA (Scheme 1). The crude product was a pink powder due to the presence of a dithiobenzoate end functionality. Although the content of the dithiobenzoate moiety was quite low, it may influence the subsequent RDRP graft polymerization and the observation during the subsequent polymerization may be interfered with by the pink color of the dithiobenzoate moiety. The dithiobenzoate end group was then removed by AIBN (20 eq.)<sup>57</sup> and a white powder was obtained after the reaction, which demonstrated the absence of the dithiobenzoate residue. The complete removal of the dithiobenzoate end group was also confirmed by the disappearance of the characteristic peak of a dithiobenzoate end group (510 nm) in the UV/vis spectrum after the treatment with AIBN<sup>57</sup> compared to that before the treatment.

The white powder was first characterized by GPC and a unimodal and symmetric eluent peak with a narrow molecular weight distribution of 1.17 appeared as shown in Fig. 1, which affirmed the well-defined structure of the obtained product from the RAFT homopolymerization. The chemical structure of the white powder was examined by FT-IR, <sup>1</sup>H NMR, and <sup>13</sup>C NMR. The FT-IR spectrum of the homopolymer is shown in Fig. 2A and the typical stretching vibration absorption signal of the double bond of the HECPMA monomer was not found to appear at 1636 cm<sup>–1</sup>, which illustrates the successful polymerization of HECPMA monomer. This point was also verified by the disappearance of the resonance signals of the double bond (5.00–7.00 ppm) in the <sup>1</sup>H NMR spectrum (Fig. S1A†), and those at 129.0 and 134.4 ppm in the <sup>13</sup>C NMR spectrum (Fig. S1B†). The presence of a polyacrylate skeleton after the RAFT homopolymerization of the HECPMA monomers was demonstrated by the resonance signals at 1.97 (CH<sub>2</sub>CCO<sub>2</sub>) ppm in the <sup>1</sup>H NMR spectrum (Fig. S1A†), and at 44.4 (CH<sub>2</sub>CCO<sub>2</sub>) and 64.7 (CH<sub>2</sub>CCO<sub>2</sub>) ppm in the <sup>13</sup>C NMR spectrum (Fig. S1B†). The RAFT mechanism of the polymerization was evidenced by the weak resonance signals

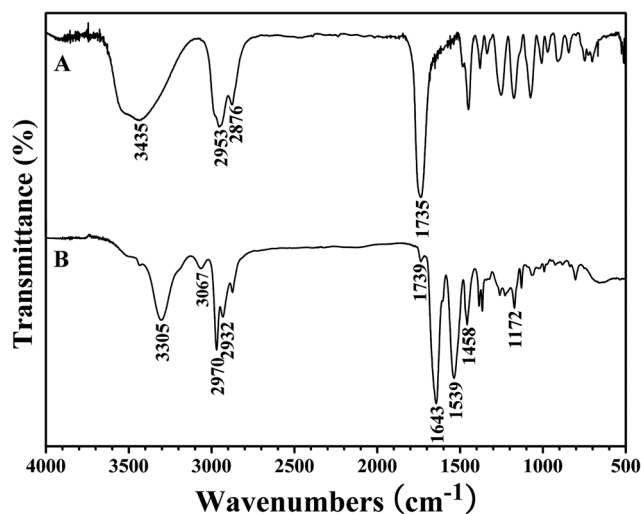




**Scheme 1** Synthesis of PHEA-g-PNIPAM well-defined double hydrophilic graft copolymer via sequential RAFT polymerization and SET-LRP.



**Fig. 1** GPC traces of PHECPMA 2 and PHEA-g-PNIPAM 3 in LiBr-added DMF.



**Fig. 2** FT-IR spectra of PHECPMA 2 (A) and PHEA-g-PNIPAM 3 (B).

(7.00–7.50 ppm) in the  $^1\text{H}$  NMR spectrum (Fig. S1A<sup>†</sup>) and weak peaks at 126.1 and 128.3 ppm in the  $^{13}\text{C}$  NMR spectrum (Fig. S1B<sup>†</sup>), which were attributed to the phenyl end groups originating from the CTA of CDB. Furthermore, we can see the stretching vibration absorption of carbonyl at  $1735\text{ cm}^{-1}$  and the broad peak at  $3435\text{ cm}^{-1}$  corresponding to the stretching vibration absorption of hydroxyl in Fig. 2A. In particular, it should be noted that the peak at 4.62 ppm (Fig. S1A<sup>†</sup>) belongs to the resonance signal of 1 proton of  $\text{CH}_3\text{CHCl}$ , which evidenced that the RDRP initiating groups remained inert during RAFT polymerization. The proton resonance signal at 4.87 ppm corresponds to the hydroxyl of  $\text{CH}_2\text{CH}_2\text{OH}$ . The resonance signal of the 2 protons of  $\text{CH}_2\text{CCH}_2\text{O}$  was found to be located at 4.07 ppm after polymerization (Fig. S1A<sup>†</sup>), and was

located at 4.93 ppm in the HECpMA monomer due to the presence of a double bond. Now, it can be concluded from all the aforementioned evidence that RAFT homopolymerization of the HECpMA 1 monomer was successfully performed and provided a well-defined PHECPMA 2 homopolymer bearing a  $-\text{OCOCH}(\text{CH}_3)\text{Cl}$  RDRP initiating group in every repeated unit.

Though linear poly(methyl methacrylate) standards were employed to calibrate the GPC system, the molecular weight of the PHECPMA 2 homopolymer ( $6700\text{ g mol}^{-1}$ ) obtained from GPC was not very accurate because of the relatively strong polarity of the pendant hydroxyl groups in the homopolymer. Thus, GPC/MALS was employed in the current case to



determine the absolute molecular weight of the PHECPMA 2 homopolymer and the value was  $13\,460\text{ g mol}^{-1}$ . The number of HECPPMA repeated units in the PHECPMA 2 homopolymer was then estimated from the data of absolute molecular weight according to eqn (1) (187 and 236.5 are the molecular weights of the CTA moiety and HECPPMA monomer, respectively). The result showed that every PHECPMA 2 chain possessed 56.1 Cl-containing RDRP initiating groups.

$$n_{\text{HECPMA}} = (M_{n,\text{GPC/MALS}} - 187)/236.5 \quad (1)$$

### Synthesis of the PHEA-*g*-PNIPAM well-defined graft copolymer

PHEA-*g*-PNIPAM well-defined graft copolymer was designed to be constructed by RDRP of NIPAM initiated by the pendant  $-\text{OCOCH}(\text{CH}_3)\text{Cl}$  initiating groups of the PHECPMA 2 homopolymer *via* the grafting-from strategy. Herein, RDRP of NIPAM with a feeding ratio ([NIPAM]:[Cl group]) of 100:1 was performed in DMF at ambient temperature using the PHECPMA 2 homopolymer as a macroinitiator and CuCl/Me<sub>6</sub>TREN as a catalytic system. Two PHEA-*g*-PNIPAM graft copolymers with different molecular weights were obtained by extending the polymerization time (1.0–1.5 h) as summarized in Table 1. It can be seen from Table 1 that the molecular weights of both graft copolymers ( $\geq 70\,400\text{ g mol}^{-1}$ ) obtained from conventional GPC are much higher than that of the PHECPMA 2 macroinitiator ( $6700\text{ g mol}^{-1}$ ) obtained from conventional GPC, evidencing the occurrence of the RDRP of NIPAM. Moreover, both graft copolymers presented unimodal and symmetric eluent peaks with relatively narrow molecular weight distributions ( $M_w/M_n \leq 1.33$ ) in Fig. 1, which showed that the polymerization of NIPAM proceeded as a controlled process and intermolecular coupling could be neglected.<sup>45</sup>

Note that DMF, a polar solvent with strong polarity, was used as the solvent for the RDRP of NIPAM as DMF is a good solvent for both PHECPMA and PNIPAM polymers. However, CuX has been reported to disproportionate into Cu(0) and CuX<sub>2</sub> readily in polar solvents including DMF in the presence of Me<sub>6</sub>TREN ligand.<sup>58–62</sup> Therefore, a control experiment was conducted to clarify the mechanism of the RDRP of NIPAM in the current case. Two different mixtures (2 mL DMF + 9.9 mg CuCl (0.1 mmol) + 27  $\mu\text{L}$  Me<sub>6</sub>TREN (0.1 mmol), or 2 mL DMF + 13.45 mg CuCl<sub>2</sub> (0.1 mmol) + 27  $\mu\text{L}$  Me<sub>6</sub>TREN (0.1 mmol)) were added separately to two Schlenk flasks under N<sub>2</sub>, followed by three cycles of freezing–pumping–thawing. Both solutions were stirred at 25 °C for 30 min and the solution containing

CuCl<sub>2</sub> turned blue without any precipitation at the bottom of the flask (inset of Fig. 3). But, the solution containing CuCl turned green and a clear black sediment appeared at the bottom of the flask (inset of Fig. 3). In addition, the maximum UV absorption wavelength of the solution containing CuCl was located at 925 nm (blue line in Fig. 3) close to that of the solution containing CuCl<sub>2</sub> (green line in Fig. 3), which meant that this absorption might originate from the Cu(II) complex. Both facts strongly supported that Cu(I) disproportionated into Cu(0) and Cu(II) species in DMF in the presence of the Me<sub>6</sub>TREN ligand and the black precipitate should be Cu(0) because of the limited solubility of Cu(0). Previous studies have demonstrated that the mechanism of SET-LRP invokes the reversible activation of dormant polymer chains *via* a Cu(0)-mediated outer-sphere electron transfer process involving heterolytic C–X cleavage.<sup>58,62,63</sup> This mechanism shows that the disproportionation of Cu(I)X generates a Cu(0) activator and Cu(II)X<sub>2</sub> deactivator *in situ* and the extent of Cu(I)X disproportionation is mainly dependent on the selection of ligand and solvent.<sup>64–73</sup> Thus, it can be concluded from the above-mentioned results that the mechanism of the RDRP of NIPAM should be that of SET-LRP. Indeed, SET-LRP has emerged as a powerful tool for the rapid synthesis of various polymers with excellent control of molecular weight, molecular weight dispersity, high chain end fidelity, and ultrahigh molecular weight in polar and nonpolar solvents.<sup>59,62,64,65,67</sup>

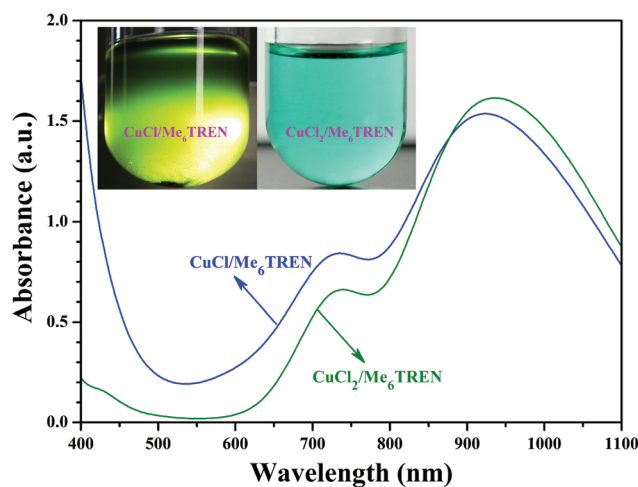


Fig. 3 UV/vis spectra of DMF solutions containing CuCl/Me<sub>6</sub>TREN and CuCl<sub>2</sub>/Me<sub>6</sub>TREN, the insets are digital images of the solutions.

Table 1 Synthesis of PHEA-*g*-PNIPAM well-defined graft copolymer<sup>a</sup>

Entry	[NIPAM]:[Cl group]	Time (h)	$M_{n,\text{GPC}}^b$ ( $\text{g mol}^{-1}$ )	$M_w/M_n^b$	N%	$N_{\text{NIPAM}}^c$	$n_{\text{NIPAM}}^d$	$M_{n,\text{EA}}^e$ ( $\text{g mol}^{-1}$ )
3a	100:1	1.0	70 400	1.31	11.485	1540.4	27.4	187 800
3b	100:1	1.5	80 200	1.33	11.685	2023.5	36.1	242 400

<sup>a</sup> Initiated by PHECPMA 2 macroinitiator ( $M_{n,\text{GPC}} = 6700\text{ g mol}^{-1}$ ,  $M_{n,\text{GPC/MALS}} = 13\,460\text{ g mol}^{-1}$ ,  $M_w/M_n = 1.17$ , 56.1 Cl-containing ATRP initiating groups) in DMF. [Cl group]:[CuCl]:[Me<sub>6</sub>TREN] = 1:1:1, polymerization temperature: 25 °C. <sup>b</sup> Measured by GPC in DMF at 35 °C. <sup>c</sup> The total number of NIPAM repeated units obtained from elemental analysis. <sup>d</sup> The number of NIPAM repeated units per side chain obtained from elemental analysis. <sup>e</sup> Calculated from elemental analysis.



SET-LRP of NIPAM initiated by the PHECPMA 2 macro-initiator was performed at relatively low conversions (<52%) of NIPAM to suppress the intermolecular coupling reactions.<sup>41,74</sup> The polymerization kinetics were investigated as depicted in Fig. 4. It was found that the conversion of NIPAM increased linearly with the extension of polymerization time. These first order polymerization kinetics clearly showed a constant number of propagating species during the polymerization, which is a typical character of SET-LRP.<sup>62</sup>

PHEA-*g*-PNIPAM 3 graft copolymer was characterized by FT-IR and <sup>1</sup>H NMR. In comparison with the FT-IR spectrum of the PHECPMA 2 macroinitiator (Fig. 2A), new signals originating from the PNIPAM side chains appeared in Fig. 2B after the SET-LRP of NIPAM. The peaks located at 3305 and 1643 cm<sup>-1</sup> were attributed to the characteristic stretching vibration absorption of -N-H and C=O of the amide group in the NIPAM repeated unit, respectively, while the band at 1539 cm<sup>-1</sup> corresponded to the bending vibration absorption of -N-H of the amide group. The signals of the ester carbonyl (1739 cm<sup>-1</sup>) and hydroxyl (3438 cm<sup>-1</sup>) groups still appeared in Fig. 2B, showing the existence of the PHEA backbone; however, both signals became weaker due to the introduction of the PNIPAM side chains. <sup>1</sup>H NMR spectrum of the PHEA-*g*-PNIPAM 3 graft copolymer is shown in Fig. 5. The strong peak located at 1.16 ppm ('a') was attributed to the 6 protons of NCH(CH<sub>3</sub>)<sub>2</sub> in the PNIPAM side chains and the broad peak at 2.09 ('c') ppm originated from the 1 proton of CH<sub>2</sub>CH in the NIPAM repeated unit. The weak resonance signal at 3.49 ('g') ppm corresponded to the 2 protons of CO<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>OH in the HEA repeated unit, indicating the presence of the PHEA backbone. In brief, FT-IR and <sup>1</sup>H NMR measurements confirmed the co-existence of the PHEA backbone and PNIPAM side chains in the PHEA-*g*-PNIPAM 3 graft copolymer.

In particular, it should be pointed out that no proton resonance signal was found around 4.50 ppm in Fig. 5 while the resonance signal of the 1 proton of -OCOCH(CH<sub>3</sub>)Cl appeared at

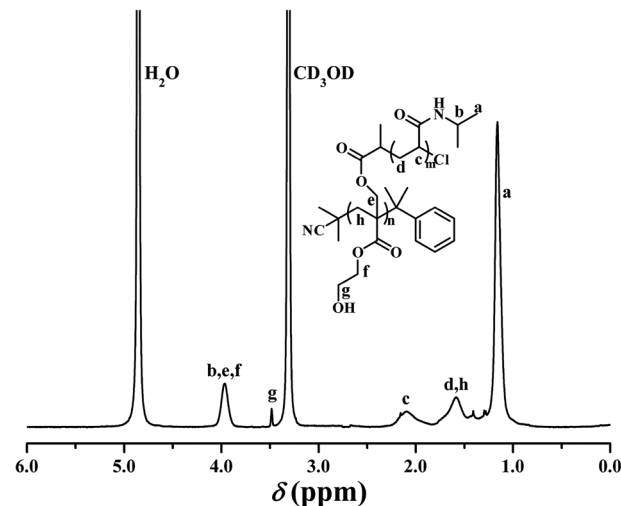


Fig. 5 <sup>1</sup>H NMR spectrum of PHEA-*g*-PNIPAM 3 in CD<sub>3</sub>OD.

4.62 ppm in Fig. S1A.† This fact distinctly demonstrates that the initiation efficiency for the SET-LRP of NIPAM was 100% in the present work, *i.e.* PHEA-*g*-PNIPAM 3 possessed 56.1 PNIPAM side chains.

It has been proved that the molecular weight of the graft copolymer measured by conventional GPC is very different from the 'real' value.<sup>75,76</sup> 'Absolute' molecular weights of the PHEA-*g*-PNIPAM 3 graft copolymers were obtained from elemental analysis since only the PNIPAM side chains possessed the N element. From the data of the absolute molecular weight of the PHECPMA 2 macroinitiator ( $M_n = 13\,460$  g mol<sup>-1</sup>) and the nitrogen content of PHEA-*g*-PNIPAM 3 graft copolymers, the total number of NIPAM repeated units ( $N_{\text{NIPAM}}$ ) and the length of the PNIPAM side chain ( $n_{\text{NIPAM}}$ ) can be calculated according to eqn (2) and (3) (13 460 and 113.16 are the molecular weights of the PHECPMA 2 macroinitiator and NIPAM, respectively; 56.1 is the number of -OCOCH(CH<sub>3</sub>)Cl initiating sites on the backbone), respectively, and the results are summarized in Table 1. Indeed, the 'absolute' molecular weights obtained from elemental analysis were much higher than those obtained from conventional GPC.

$$N\% = 14N_{\text{NIPAM}} / (113.16 N_{\text{NIPAM}} + 13\,460) \quad (2)$$

$$n_{\text{NIPAM}} = N_{\text{NIPAM}} / 56.1 \quad (3)$$

Thus, all this evidence strongly supported the PHEA-*g*-PNIPAM 3 graft copolymer possession of a well-defined structure: a poly(2-hydroxyethyl acrylate) backbone (56.1 repeated units) and 56.1 PNIPAM side chains (length: 27.4 or 36.1 NIPAM repeated units).

#### Thermo-responsive phase behavior of the PHEA-*g*-PNIPAM graft copolymer

It is well known that PHEA is a kind of water-soluble polymer because the pendant hydroxyl groups have hydrophilicity while PNIPAM is a kind of thermo-responsive polymer, which

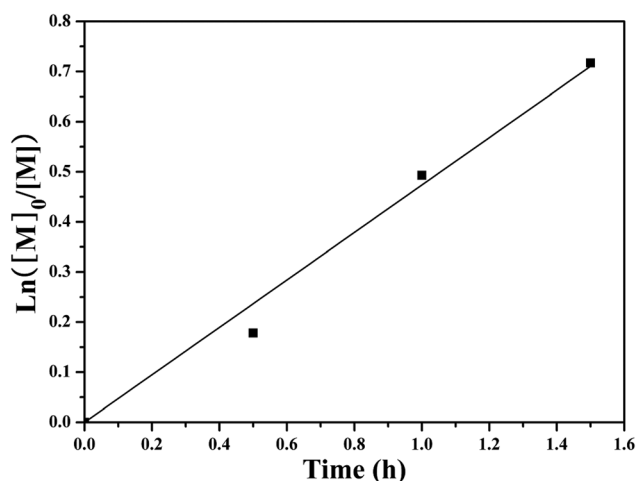


Fig. 4 Kinetics plot for SET-LRP graft copolymerization of NIPAM in DMF.



undergoes an abrupt phase transition upon heating at its lower critical solution temperature (LCST) around 32 °C.<sup>77</sup> PNIPAM with this LCST is readily soluble in cold water, but undergoes a phase transition to an insoluble state when heated above a certain temperature at which an abrupt change in conformation, solubility, and hydrophilic–hydrophobic balance of the polymer occurs.<sup>78</sup> PNIPAM contains a large number of hydrophilic amide groups, but also contains hydrophobic groups. Hydrogen bonding between the hydrophilic segments of the polymeric chain and water molecules is dominant at temperatures lower than the LCST. When the temperature is raised, a partial displacement of water from the polymer coil weakens these hydrogen bonds and increases interactions between the hydrophobic segments of the polymer.<sup>79</sup> Therefore, the transition from a hydrophilic state to hydrophobic state occurs at a certain temperature, the LCST, and the polymer phase separates. Considering the double hydrophilic nature of the PHEA-*g*-PNIPAM 3 graft copolymer comprising a hydrophilic PHEA backbone and densely grafted hydrophilic thermo-responsive PNIPAM side chains, it can be expected that the PHEA-*g*-PNIPAM 3 graft copolymer will exhibit thermo-responsive phase behavior in aqueous solution.

As shown in the insets of Fig. 6, a colorless and homogeneous aqueous solution of PHEA-*g*-PNIPAM 3 graft copolymer at 25 °C (below the LCST) turned turbid at 50 °C (above the LCST). This transition was reversible upon cooling and the solution became transparent again. The phase transition temperature of the PHEA-*g*-PNIPAM 3 graft polymers could be determined from the temperature dependence of their UV/vis transmittance. Fig. 6 shows the UV/vis transmittance of the aqueous solutions of the PHEA-*g*-PNIPAM 3 graft copolymer with different concentrations as a function of temperature in a heating process. For copolymer **3a** with shorter PNIPAM side chains, the transmittance of the aqueous solutions at 27.5 °C was 87% (0.5 mg mL<sup>-1</sup>), 83% (1 mg mL<sup>-1</sup>), and 77% (2 mg mL<sup>-1</sup>); all three samples displayed a sharp and reversible transition at the LCST. The transmittance of the aqueous solutions decreased sharply with the rising of the temperature above the LCST. The transmittance of the aqueous solutions at 70 °C was 74.8% (0.5 mg mL<sup>-1</sup>), 50.8% (1 mg mL<sup>-1</sup>), and 18.3% (2 mg mL<sup>-1</sup>). This fact pointed out that the PNIPAM side chains became hydrophobic upon raising the temperature, and therefore the PHEA-*g*-PNIPAM 3 graft copolymer possessed thermal responsiveness.

The values of the LCSTs of the aqueous solutions of the PHEA-*g*-PNIPAM **3a** graft copolymer were 32 °C (0.5 mg mL<sup>-1</sup>), 31.5 °C (1 mg mL<sup>-1</sup>), and 31 °C (2 mg mL<sup>-1</sup>), which were the same as those of the PHEA-*g*-PNIPAM **3b** graft copolymer with longer PNIPAM side chains. These results indicated that the LCST of the PHEA-*g*-PNIPAM 3 graft copolymer was dependent on the concentration of the copolymer, not the length of the PNIPAM side chain, which is similar to reports in the previous literature.<sup>80–82</sup>

The thermo-sensitivity of the PHEA-*g*-PNIPAM 3 graft copolymer was also checked by temperature-dependent <sup>1</sup>H NMR. <sup>1</sup>H NMR is a versatile technique that reflects the change in the

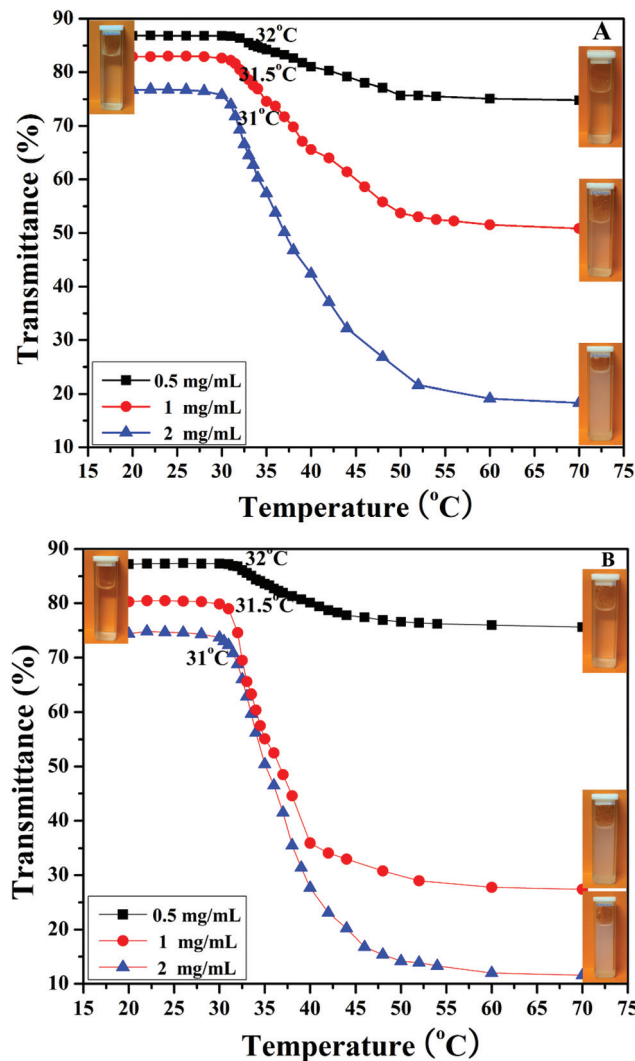


Fig. 6 Temperature-dependent transmittance for aqueous solutions of PHEA-*g*-PNIPAM **3a** (A) and **3b** (B) graft copolymers with different concentrations.

solubility of the copolymer because the proton resonance signals of the desolvated and associated segments become strongly attenuated or even vanish, whereas the proton resonance signals of the water-soluble segments remain unchanged. To deeply understand the phase transition behavior of the PHEA-*g*-PNIPAM 3 graft copolymer, temperature-dependent <sup>1</sup>H NMR spectra of copolymer **3a** in D<sub>2</sub>O ranging from 20 °C to 40 °C were collected and are shown in Fig. 7. It can be seen that the corresponding signals of both the PHEA and PNIPAM segments appeared below 30 °C, indicating the complete dissolution of the whole graft copolymer. However, a drastic drop in the peak intensity of the corresponding protons of the PNIPAM side chains (peaks 'a', 'b', 'c', and 'd') was detected when the temperature was raised above 32 °C and these peaks almost completely disappeared at 40 °C because the intramolecular hydrogen bonding between the PNIPAM segment induced the collapse of the PNIPAM chains and the PNIPAM segment became water-insoluble.



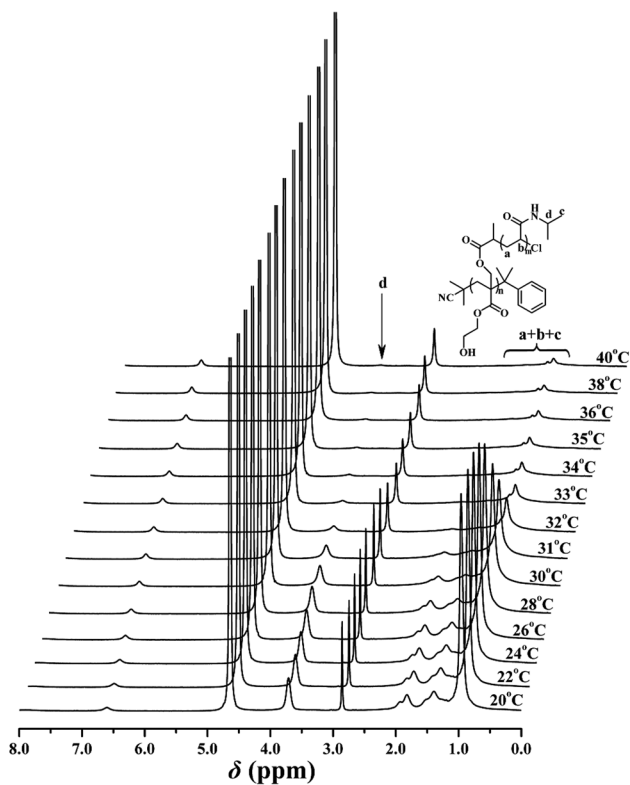


Fig. 7 Temperature-dependent  $^1\text{H}$  NMR spectra of PHEA-*g*-PNIPAM **3a** in  $\text{D}_2\text{O}$ .

Further insight into the thermo-responsive behavior of the PHEA-*g*-PNIPAM **3** graft copolymer was investigated by DLS. The hydrodynamic diameter ( $D_h$ ) of the PHEA-*g*-PNIPAM **3b** graft copolymer was obviously influenced by the temperature as shown in Fig. 8. When the temperature was just 20 °C, copolymer **3b** dissolved molecularly in aqueous media with low  $D_h$ s around 20 nm (Fig. 8). However, the  $D_h$  of the PHEA-*g*-PNIPAM **3** graft copolymer increased sharply around 31 °C upon the

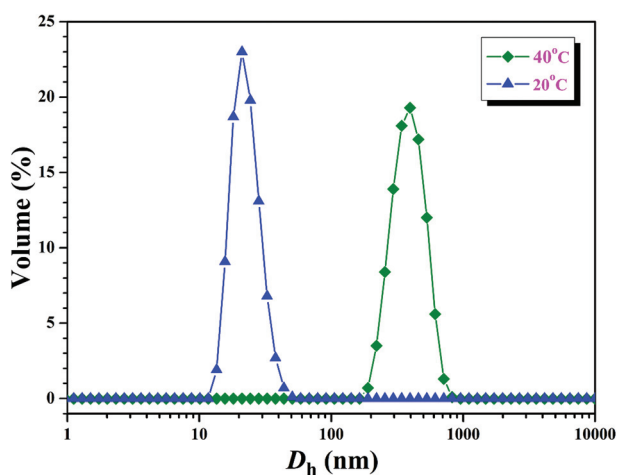


Fig. 8 Hydrodynamic diameter distributions of the PHEA-*g*-PNIPAM **3b** graft copolymer at different temperatures with a concentration of  $1 \text{ mg mL}^{-1}$ .

increase of the temperature and the  $D_h$  of copolymer **3b** increased to about 390 nm at 40 °C (Fig. 8). This result is in good accordance with those of UV/vis and  $^1\text{H}$  NMR.

To summarize, the above-mentioned results have shown that the PHEA-*g*-PNIPAM **3** graft copolymer was sensitive to temperature: it dissolved molecularly below 30 °C, and it displayed a sharp transition from a double hydrophilic to amphiphilic nature at the LCST in aqueous solution.

## Conclusions

We have presented a detailed synthesis of PHEA-*g*-PNIPAM well-defined double hydrophilic graft copolymers with relatively narrow molecular weight distributions ( $M_w/M_n \leq 1.33$ ) through successive RDRP *via* the grafting-from strategy, using HECPPMA trifunctional monomer as a starting material. Both the syntheses of the backbone and side chains are controllable and the whole synthesis process avoided post-polymerization functionality transformation because HECPPMA monomer containing a RDRP initiating group could be copolymerized with another suitable monomer without the RDRP initiating group providing well-defined backbones containing a certain amount of initiating sites, and furthermore affording well-defined graft copolymers with a tunable density of side chains. Moreover, the mechanism of the graft copolymerization of NIPAM was proved to be that of SET-LRP. The graft copolymer consisted of a hydrophilic PHEA backbone and hydrophilic thermo-sensitive PNIPAM side chains, thus PHEA-*g*-PNIPAM graft copolymer possessed a double hydrophilic nature. To the best of our knowledge, this is the first example of a double hydrophilic graft copolymer bearing a hydrophilic PHEA backbone. UV/vis,  $^1\text{H}$  NMR, and DLS results showed that aqueous solutions of PHEA-*g*-PNIPAM graft copolymers responded sharply to temperature and their LCSTs were dependent on the concentration of the solution. The development of the HECPPMA trifunctional monomer will make a great contribution to the controlled synthesis of well-defined graft copolymers because PNIPAM hydrophilic side chains used in the current case can be easily extended to various hydrophilic polymers to generate a great deal of double hydrophilic graft copolymers with different stimuli-responsiveness.

## Acknowledgements

The authors are thankful for the financial support from National Natural Science Foundation of China (21274162 and 21474127) and Shanghai Scientific and Technological Innovation Project (14520720100, 14QA1404500, and 13ZR1464800).

## Notes and references

- 1 S. Lee, K. Saito, H. R. Lee, M. J. Lee, Y. J. Shibasaki, Y. Oishi and B. S. Kim, *Biomacromolecules*, 2012, **13**, 1190–1196.



- 2 E. Molina, J. Warnant, M. Mathonnat, M. Bathfield, M. In, D. Laurencin, C. Jerome, P. Lacroix-Desmazes, N. Marcotte and C. Gerardin, *Langmuir*, 2015, **31**, 12839–12844.
- 3 S. Kumar, R. Acharya, U. Chatterji and P. De, *Langmuir*, 2013, **29**, 15375–15385.
- 4 G. Yang, Z. Yang, C. G. Mu, X. D. Fan, W. Tian and Q. Wang, *Polym. Chem.*, 2015, **6**, 3382–3386.
- 5 M. Bathfield, J. Warnant, C. Gerardin and P. Lacroix-Desmazes, *Polym. Chem.*, 2015, **6**, 1339–1349.
- 6 Y. C. Tang, L. Liu, J. H. Wu and J. X. Duan, *J. Colloid Interface Sci.*, 2013, **397**, 24–31.
- 7 Y. Y. Jiang, G. H. Liu, X. R. Wang, J. M. Hu, G. Y. Zhang and S. Y. Liu, *Macromolecules*, 2015, **48**, 764–774.
- 8 R. I. S. Romao, M. Beija, M. T. Charreyre, J. P. S. Farinha, A. M. P. S. G. Silva and J. M. G. Martinho, *Langmuir*, 2010, **26**, 1807–1815.
- 9 S. Petrova, C. G. Venturini, A. Jager, E. Jager, M. Hruby, E. Pavlova and P. Stepanek, *RSC Adv.*, 2015, **5**, 62844–62854.
- 10 P. Pramanik and S. Ghosh, *J. Polym. Sci., Part A: Polym. Chem.*, 2015, **53**, 2444–2451.
- 11 N. Chan, S. Y. An, N. Yee and J. K. Oh, *Macromol. Rapid Commun.*, 2014, **35**, 752–757.
- 12 M. Khimani, S. Yusa, A. Nagae, R. Enomoto, V. K. Aswal, E. Kesselman, D. Danino and P. Bahadur, *Eur. Polym. J.*, 2015, **69**, 96–109.
- 13 Y. H. Wu, H. M. Hu, J. M. Hu, T. Liu, G. Y. Zhang and S. Y. Liu, *Langmuir*, 2013, **29**, 3711–3720.
- 14 J. M. Hu, M. R. Whittaker, S. H. Yu, J. F. Quinn and T. P. Davis, *Macromolecules*, 2015, **48**, 3817–3824.
- 15 J. B. Li, J. Liang, W. L. Wu, S. J. Zhang, K. Zhang and H. Y. Zhou, *New J. Chem.*, 2014, **38**, 2508–2513.
- 16 S. M. Brosnan, H. Schlaad and M. Antonietti, *Angew. Chem., Int. Ed.*, 2015, **54**, 9715–9718.
- 17 M. Hartlieb, D. Pretzel, M. Wagner, S. Hoepfener, P. Bellstedt, M. Gorchach, C. Englert, K. Kempe and U. S. Schubert, *J. Mater. Chem. B*, 2015, **3**, 1748–1759.
- 18 N. Morimoto, T. Wazawa, Y. Inoue and M. Suzuki, *RSC Adv.*, 2015, **5**, 14851–14857.
- 19 A. Matuszewska, M. Uchman, A. Adamczyk-Wozniak, A. Sporzynski, S. Pispas, L. Kovacic and M. Stepanek, *Biomacromolecules*, 2015, **16**, 3731–3739.
- 20 N. Morimoto, K. Muramatsu, T. Wazawa, Y. Inoue and M. Suzuki, *Macromol. Rapid Commun.*, 2014, **35**, 103–108.
- 21 Q. Jin, G. Y. Liu and J. Ji, *Chin. J. Chem.*, 2014, **32**, 73–77.
- 22 A. Wibowo, K. Osada, H. Matsuda, Y. Anraku, H. Hirose, A. Kishimura and K. Kataoka, *Macromolecules*, 2014, **47**, 3086–3092.
- 23 J. P. Wu, Z. Wang, Y. H. Yin, R. Jiang and B. H. Li, *Macromolecules*, 2015, **48**, 8897–8906.
- 24 M. Uchman, S. Pispas, L. Kovacic and M. Stepanek, *Macromolecules*, 2014, **47**, 7081–7090.
- 25 E. Y. Zeynep, D. Antoine, C. Brice, B. Frank and J. Christine, *J. Mater. Chem. B*, 2015, **3**, 7227–7236.
- 26 G. Layrac, M. Destarac, C. Gerardin and D. Tichit, *Langmuir*, 2014, **30**, 9663–9671.
- 27 X. L. Hu, Y. Li, T. Liu, G. Y. Zhang and S. Y. Liu, *ACS Appl. Mater. Interfaces*, 2015, **7**, 15551–15560.
- 28 V. Dordovic, M. Uchman, M. Reza, J. Ruokolainen, A. Zhigunov, O. I. Ivankov and P. Matejcek, *RSC Adv.*, 2016, **6**, 9884–9892.
- 29 E. Seo, S. J. Ko, S. H. Min, J. Y. Kim and B. S. Kim, *Chem. Mater.*, 2015, **27**, 4789–4798.
- 30 N. Mebarek, R. Vicente, A. Aubert-Pouessel, J. Quentin, A. L. Mausset-Bonnefont, J. M. Devoisselle, C. Jorgensen, S. Bégu and P. Louis-Plence, *Eur. J. Pharm. Biopharm.*, 2015, **92**, 216–227.
- 31 C. Feng, Z. Shen, L. N. Gu, S. Zhang, L. T. Li, G. L. Lu and X. Y. Huang, *J. Polym. Sci., Part A: Polym. Chem.*, 2008, **46**, 5638–5651.
- 32 C. Feng, L. N. Gu, D. Yang, J. H. Hu, G. L. Lu and X. Y. Huang, *Polymer*, 2009, **50**, 3990–3996.
- 33 L. N. Gu, Z. Shen, C. Feng, Y. G. Li, G. L. Lu, X. Y. Huang, G. W. Wang and J. L. Huang, *J. Mater. Chem.*, 2008, **18**, 4332–4340.
- 34 X. Y. Jiang, C. Feng, G. L. Lu, Y. J. Li and X. Y. Huang, *Polym. Chem.*, 2013, **4**, 3876–3884.
- 35 J. F. Gohy, *Adv. Polym. Sci.*, 2005, **190**, 65–136.
- 36 K. Yu and A. Eisenberg, *Macromolecules*, 1998, **31**, 3509–3518.
- 37 S. Dai, P. Ravi and K. C. Tam, *Soft Matter*, 2008, **4**, 435–449.
- 38 C. M. Schilli, M. F. Zhang, E. Rizzardo, S. H. Thang, Y. K. Chong, K. Edwards, G. Karlsson and A. H. E. Muller, *Macromolecules*, 2004, **37**, 7861–7866.
- 39 H. I. Lee, J. Pietrasik, S. S. Sheiko and K. Matyjaszewski, *Prog. Polym. Sci.*, 2010, **35**, 24–44.
- 40 X. Y. Jiang, G. L. Lu, C. Feng and X. Y. Huang, *Polym. Chem.*, 2014, **5**, 4915–4925.
- 41 G. L. Cheng, A. Boker, M. F. Zhang, G. Krausch and A. H. E. Muller, *Macromolecules*, 2001, **34**, 6883–6888.
- 42 S. S. Sheiko, B. S. Sumerlin and K. Matyjaszewski, *Prog. Polym. Sci.*, 2008, **33**, 759–785.
- 43 G. Cavallaro, M. Licciardi, M. D. Stefano, G. Pitarresi and G. Giammona, *Macromolecules*, 2009, **42**, 3247–3257.
- 44 Y. Q. Zhang, Z. Shen, D. Yang, C. Feng, J. H. Hu, G. L. Lu and X. Y. Huang, *Macromolecules*, 2010, **43**, 117–125.
- 45 C. Feng, Y. J. Li, D. Yang, J. H. Hu, X. H. Zhang and X. Y. Huang, *Chem. Soc. Rev.*, 2011, **40**, 1282–1295.
- 46 X. Y. Jiang, Y. J. Li, G. L. Lu and X. Y. Huang, *Polym. Chem.*, 2013, **4**, 1402–1411.
- 47 C. Feng, G. L. Lu, G. Sun, X. W. Liu and X. Y. Huang, *Polym. Chem.*, 2014, **5**, 6027–6038.
- 48 H. Liu, S. Zhang, C. Feng, Y. J. Li, G. L. Lu and X. Y. Huang, *Polym. Chem.*, 2015, **6**, 4309–4318.
- 49 B. B. Xu, G. X. Gu, C. Feng, X. Jiang, J. H. Hu, G. L. Lu, S. Zhang and X. Y. Huang, *Polym. Chem.*, 2016, **7**, 613–624.
- 50 K. Matyjaszewski, S. G. Gaynor and A. H. E. Muller, *Macromolecules*, 1997, **30**, 7034–7041.
- 51 S. Pal, S. G. Roy and P. De, *Polym. Chem.*, 2014, **5**, 1275–1284.
- 52 A. P. Bapat, J. G. Ray, D. A. Savin, E. A. Hoff, D. L. Patton and B. S. Sumerlin, *Polym. Chem.*, 2012, **3**, 3112–3120.





- 53 M. Lejars, A. Margaillan and C. Bressy, *Polym. Chem.*, 2013, **4**, 3282–3292.
- 54 M. Kaupp, T. Tischer, A. F. Hirschbiel, A. P. Vogt, U. Geckle, V. Trouillet, T. Hofe, M. H. Stenzel and C. Barner-Kowollik, *Macromolecules*, 2013, **46**, 6858–6872.
- 55 Y. Y. Zhang, Z. Z. Cheng, X. R. Chen, W. Zhang, J. H. Wu, J. Zhu and X. L. Zhu, *Macromolecules*, 2007, **40**, 4809–4817.
- 56 S. H. Wang, Q. X. Shen, M. H. Nawaz and W. A. Zhang, *Polym. Chem.*, 2013, **4**, 2151–2157.
- 57 S. Perrier, P. Takolpuckdee and C. A. Mars, *Macromolecules*, 2005, **38**, 2033–2036.
- 58 V. Percec, A. V. Popov, E. Ramirez-Castillo, M. Monteiro, B. Barboiu, O. Weichold, A. D. Asandei and C. M. Mitchell, *J. Am. Chem. Soc.*, 2002, **124**, 4940–4941.
- 59 V. Percec, T. Guliashvili, J. S. Ladislaw, A. Wistrand, A. Stjern Dahl, M. J. Sienkowska, M. J. Monteiro and S. Sahoo, *J. Am. Chem. Soc.*, 2006, **128**, 14156–14165.
- 60 M. J. Monteiro, T. Guliashvili and V. Percec, *J. Polym. Sci., Part A: Polym. Chem.*, 2007, **45**, 1835–1847.
- 61 G. Lligadas and V. Percec, *J. Polym. Sci., Part A: Polym. Chem.*, 2008, **46**, 2745–2754.
- 62 B. M. Rosen and V. Percec, *Chem. Rev.*, 2009, **109**, 5069–5119.
- 63 T. Guliashvili and V. Percec, *J. Polym. Sci., Part A: Polym. Chem.*, 2007, **45**, 1607–1618.
- 64 G. Lligadas, B. M. Rosen, M. J. Monteiro and V. Percec, *Macromolecules*, 2008, **41**, 8360–8364.
- 65 N. H. Nguyen, B. M. Rosen, G. Lligadas and V. Percec, *Macromolecules*, 2009, **42**, 2379–2386.
- 66 N. H. Nguyen, H. J. Sun, M. E. Levere, S. Fleischmann and V. Percec, *Polym. Chem.*, 2013, **4**, 1328–1332.
- 67 M. E. Levere, N. H. Nguyen, X. F. Leng and V. Percec, *Polym. Chem.*, 2013, **4**, 1635–1647.
- 68 S. R. Samanta, H. J. Sun, A. Anastasaki, D. M. Haddleton and V. Percec, *Polym. Chem.*, 2014, **5**, 89–95.
- 69 S. R. Samanta and V. Percec, *Polym. Chem.*, 2014, **5**, 169–174.
- 70 S. R. Samanta, R. L. Cai and V. Percec, *Polym. Chem.*, 2014, **5**, 5479–5491.
- 71 S. R. Samanta, V. Nikolaou, S. Keller, M. J. Monteiro, D. A. Wilson, D. M. Haddleton and V. Percec, *Polym. Chem.*, 2015, **6**, 2084–2097.
- 72 S. R. Samanta, R. L. Cai and V. Percec, *Polym. Chem.*, 2015, **6**, 3259–3270.
- 73 M. Gavrilov, T. J. Zerk, P. V. Bernhardt, V. Percec and M. J. Monteiro, *Polym. Chem.*, 2016, **7**, 933–939.
- 74 M. F. Zhang, T. Breiner, H. Mori and A. H. E. Muller, *Polymer*, 2003, **44**, 1449–1458.
- 75 Z. F. Jia, Q. Fu and J. L. Huang, *Macromolecules*, 2006, **39**, 5190–5193.
- 76 L. N. Gu, Z. Shen, S. Zhang, G. L. Lu, X. H. Zhang and X. Y. Huang, *Macromolecules*, 2007, **40**, 4486–4493.
- 77 H. G. Schild, *Prog. Polym. Sci.*, 1992, **17**, 163–249.
- 78 J. Wang, P. Gao, L. Ye, A. Y. Zhang and Z. G. Feng, *Polym. Chem.*, 2011, **2**, 931–940.
- 79 Y. Qiu and K. Park, *Adv. Drug Delivery Rev.*, 2001, **53**, 321–339.
- 80 M. Gao, X. R. Jia, G. C. Kuang, Y. Li, D. H. Liang and Y. Wei, *Macromolecules*, 2009, **42**, 4273–4281.
- 81 J. Xu, J. Ye and S. Y. Liu, *Macromolecules*, 2007, **40**, 9103–9110.
- 82 J. Ramos, A. Imaz and J. Forcada, *Polym. Chem.*, 2012, **3**, 852–856.

