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## 2D free-standing Ge-doped ZnO: excellent electron-emitter and excitation-power-dependent photoluminescence redshift

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Two-dimensional (2D) free-standing Ge-doped ZnO nanostructures were synthesized on graphite substrate. A single nanosheet composed of amounts of nanograins with size of  $\sim 20$  nm exhibits excellent field electron emission capability that can deliver a current as large as  $30 \text{ mA cm}^{-2}$  at  $E = 9.0 \text{ V } \mu\text{m}^{-1}$ . The amazing performance originates from the high electron conductivity owing to Ge doping, as well as the enriched emitting spots on the surface of the structure. Additionally, we observed the temperature- and excited-power-dependent redshift of photoluminescence (PL) in the temperature range of 77–300 K, which was attributed to the local thermal effect driven nonradioactive enhancement. The rich defect and impurity levels result in alternative recombination mechanisms in the temperature range.

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### Introduction

The imperious demands for various optoelectric functionalities in modern technologies ranging from LED and FED to bio-sensing have been promoting fundamental research about semiconductors with promising properties,<sup>1–3</sup> aiming to achieve advanced device applications. As an important type of third-generation semiconductor, ZnO was confirmed to integrate critical features like a wide direct bandgap (3.37 eV), large exciton binding energy (60 meV), and defect-, temperature- and size-dependent light emission.<sup>4–6</sup> Its rich properties have been explored and investigated as a novel device. For example, Wang's group carried out some advanced work about the energy conversion by utilizing the piezoelectricity and thermoelectricity of wurtzite ZnO, which presents a promising nanogenerator application of nanostructure ZnO.<sup>7,8</sup> Yang *et al.* proved experimentally that 1D ZnO nanostructures could be used in future photonic devices both for light transport and as micro-nano laser resources.<sup>9,10</sup>

There are extremely rich levels in doped ZnO, which can be introduced by various defects and impurities. Recently, the topic of light emission resulting from NBE (Near-band Edge Emission) and defects has been frequently investigated. Many interesting light emission behaviors dominated by various physical mechanisms have been exposed. Generally speaking, the emission related to the UV region, often observed in highly crystalline ZnO, is mainly attributed to NBE-like recombination

of defect-bound excitons and free excitons owing to the very large exciton binding energy (60 meV). The highly efficient UV light emission makes ZnO a good candidate to be applied in photonic and optoelectronic devices operated in the UV region. On the other hand, defects are often introduced into the lattice to modify the electric structure, which can result in rich optical phenomenon, *i.e.* tunable emission driven by excited wavelength, excited power, and even temperature.<sup>11–13</sup> Actually, the renormalized level would absolutely influence the performance of the material in other fields, such as electrical properties.<sup>14</sup> Therefore, the doping design of ZnO nanostructures also provides an important way to endow them with advanced properties and improved performance for device application. Using Ge as the dopant, ZnO with improved photonic and electronic properties has been prepared. Various synthesis methods have been developed, such as pulsed laser deposition technique,<sup>15</sup> colloidal synthesis<sup>16</sup> and radio-frequency magnetron sputtering.<sup>17</sup> Ge-doped ZnO crystals have been proved to exhibit enhanced visible-light transmittance,<sup>15</sup> interesting plasmonic behavior,<sup>16</sup> and improved bias stability in FET applications<sup>17</sup> and so on.

In this work, we realized 2D Ge doped ZnO nanostructures with the common chemical vapor deposition method (CVD). The field electrons emission performance and the PL properties were investigated. Some interesting topics were proposed during the test, such as the large current emission and power-dependent redshift of the PL spectrum. We hope these results can enrich the ZnO research in future.

### Experimental

The sample synthesis was carried out in a self-designed and -built furnace as demonstrated previously.<sup>18–20</sup> In detail,

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a mixture of ZnO and C black (30 mg, atomic ratio 2 : 1) was put at the center of a polished ceramic sheet and 5 mg of GeO<sub>2</sub> was placed by the side. A piece of graphite sheet was placed 6 cm away from the GeO<sub>2</sub> powder. The whole ceramic sheet was then pushed into a semi-closed corundum tube, which was pushed into the furnace with ZnO/C powder in the high temperature zone. After the chamber vacuum degree reached  $\sim 10^{-1}$  Pa by using a mechanic pump, H<sub>2</sub> at a flow of 100 sccm and CH<sub>4</sub> at a flow of 5 sccm were introduced until the pressure of the chamber was 5 kPa. At the same time, the temperature was raised to 1150 °C by changing the heating power. Both the pressure and temperature were held for 60 min. Finally, the brown sample was found to have grown steadily on the graphite surface.

For characterization, FESEM (Auriga-4525, Carl Zeiss, German), TEM (Tecnai G2 F30, FEI, USA), and XRD (D8 Advance, Bruker, German) were applied. The FE and PL measurements were carried out using home-made equipment.

## Results and discussions

The structure and composition characterization are shown in Fig. 1. As one can see in Fig. 1(a), the as-prepared ZnO on graphite substrate is composed of dense free-standing nano-sheets. Fig. 1(b) is a typical bright field TEM image of a piece of a ZnO nanosheet and Fig. 1(c) corresponds to the HRTEM analysis of a selected area, which points to hexagonal ZnO. In order to confirm its composition and element distribution, EDS mapping was carried out, as shown in Fig. 1(d)–(g). Herein, we identified the structures as Ge-doped ZnO nanosheets. The XPS further confirms the composite by detecting the Ge 3d and ZnLM2 signals, as shown in Fig. 1(h) and (i), which agrees with the binding energy of Ge at 31.73 and 29.39 eV. The latter one points to pure Ge, while the former signal is from the Ge–O bond, which shifts lower than in GeO<sub>2</sub> (32.5–33.1 eV). We believe the peak can be finally attributed to the Ge atoms occupying Zn sites in the ZnO lattice. The tiny pure Ge may exist in amorphous form because we did not find any crystal Ge during careful HRTEM characterization.

The field electron emission properties of the as-synthesized sample were measured in a vacuum chamber at a pressure of  $10^{-7}$  torr at room temperature. Column-shaped stainless-steel probes with radii of 0.2 mm were used as the anode, as previously demonstrated in detail.<sup>21,22</sup> The fresh sample grown on graphite substrate was used as the cathode, which was stuck to a Cu plate by double-sided conductive carbon tape. In this condition, electrons can be easily transported to the micro-nano structures through the conductive graphite substrate and the carbon tape. In the measuring circuit, the emission current was directly determined using a Keithley 6485.

Before measurement, the distance between the anode and the cathode was adjusted to be 200  $\mu\text{m}$ . Then a series of voltage and current values was recorded, which is shown in the form of a  $J$ – $E$  curve as in Fig. 2(a). The sample displayed very good field emission properties with electron emission tune-on ( $E_{\text{to}}$ ) and threshold fields ( $E_{\text{thr}}$ ) of 4.66 V  $\mu\text{m}^{-1}$  and 7.9 V  $\mu\text{m}^{-1}$ , respectively, which are defined to be the macroscopic electric fields required to generate a current density of 10  $\mu\text{A cm}^{-2}$  and 10 mA

$\text{cm}^{-2}$ , respectively. According to the curve, the current density  $J$  shows a very steep increase along with the electric field  $E$ . The  $J$  value is as high as  $\sim 30 \text{ mA cm}^{-2}$  with an electric field of 9.0 V  $\mu\text{m}^{-1}$ , which demonstrates the high field enhancement factor. Although the resulted  $E_{\text{to}}$  value is not fascinating enough comparing to some cold cathode emitters,<sup>23,24</sup> the large current-emission capability at low electric field is amazing, declaring the uniqueness of it. This performance is even better than most nanotips.<sup>25,26</sup> We believe the Ge dopant plays an important part in the enormously improved FE performance. It works effectively with several available mechanisms.

Firstly, the introduction of Ge elements in high concentration by occupying Zn sites in the lattice would produce large amounts of itinerant electrons, which contribute a lot to improving the conductivity of the structures.<sup>27</sup> This helps to establish a smooth circuit for electrons. Furthermore, the high density of Ge impurity in the ZnO lattice results in a lower work function than pure ZnO, which is helpful to promote electron emission under an external field. On the other hand, the self-assembled free-standing 2D micro-nano units serve as a nanoparticle-based host for an electron source, in which several advantages are integrated together. For example, the sub-20 nm nanoparticles act as natural tips for electron emission with a very large field enhancement factor ( $\beta$ ). Except for the nanosheet edges, the whole lateral parts of the structures are ready to contribute to the emission as a result of the ragged surface. These points, *i.e.* high conductivity, large emission area and large  $\beta$ , demonstrate the advantages of the novel structures. As exposed by XPS analysis, a little pure Ge exists in the sample. As characterized by TEM and analyzed in the growth part, we hypothesized that Ge may be distributed on the surface of the Zn<sub>x</sub>Ge<sub>1-x</sub>O particles in an amorphous state, because we did not find Ge nanoparticles despite much effort having been made.<sup>18</sup> As is well recognized, Ge has very large Bohr exciton radius ( $\sim 24 \text{ nm}$ ) and low work function, which is to say that both the confinement effect and low surficial potential barrier would enhance the electron emission enormously. Noticeably, a Ge composite between adjacent Zn<sub>x</sub>Ge<sub>1-x</sub>O particles would also provide a smooth electron transport channel.

Herein, we would like to analyze the  $J$ – $E$  relation using the Fowler–Nordheim (F–N) model in order to give analytical and semi-quantitative data for assessing the FE capability of the novel material. According to typical F–N theory, the field emission current  $J$  can be expressed in the following form:<sup>28</sup>

$$J = A(\beta^2 E^2 / \Phi) \exp\left(-B\Phi^{3/2} / \beta E\right)$$

where  $A = 1.54 \times 10^{-10}$  in units of A (eV) V<sup>-2</sup>,  $B = 6.83 \times 10^9$  in units of (eV)<sup>-3/2</sup> V m<sup>-1</sup>,  $\beta$  is the field enhancement factor,  $E$  is the applied field ( $E = V/d$ ), and  $\Phi$  is the effect work function of the emission tip. By plotting  $\ln(J/E^2)$  versus  $1/E$ , traditional F–N plots can be obtained, as shown in Fig. 2(b), which indicates that the electron emission  $J$ – $E$  curves agree with the F–N model. The slope of the linear F–N curve represents the relationship of  $\beta$  and  $\Phi$  according to slope =  $-B\Phi^{3/2}/\beta$ , in which all of the dimensions use the international system of units. If the value of



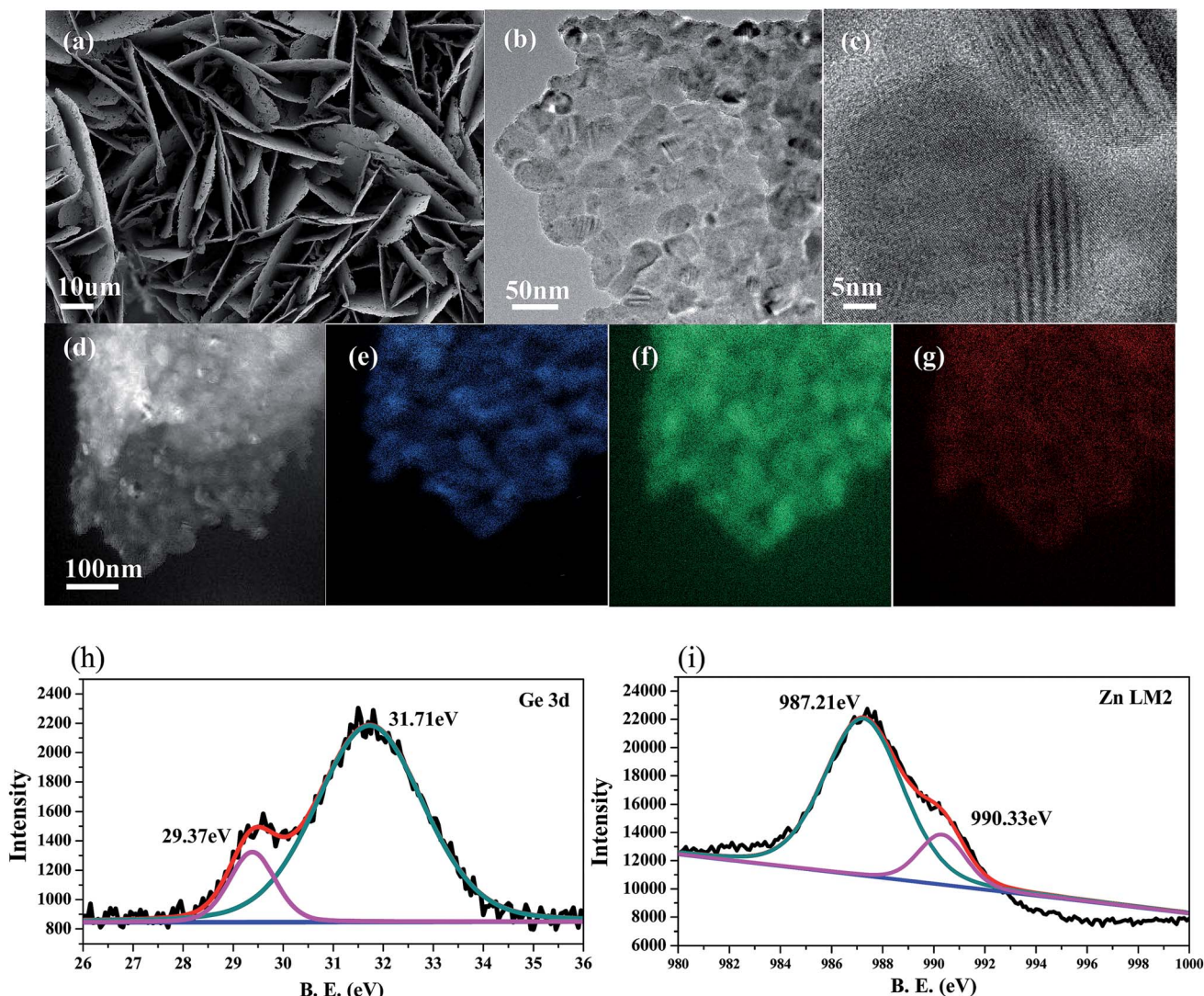


Fig. 1 The structural and composite characterization of the sample. (a) SEM images of the as-synthesized sample. (b) Bright field TEM image. (c) High resolution TEM image. (d–g) EDS mapping points to the elements distribution of Ge, Zn and O. (h and i) XPS analysis of the sample corresponding to the Ge 3d and ZnLM2 signals, respectively.

$\Phi$  was 5.0 eV, the  $\beta$  equals 1529, which is even higher than the value of nanowire tips.<sup>29,30</sup>

The PL spectra of Ge doped ZnO multi-crystalline nanosheets were acquired using  $\mu$ -PL test technology assembled with a 325 nm HeCd laser.<sup>31</sup> The inset of Fig. 3(a) displays the optical photograph of the nanosheet used for the experiment recorded from the CCD assembled on the confocal microscope. As shown in Fig. 3(a), the spectra are surprisingly dependent on the excitation power applied. In detail, the main NBE peak is located at 379.5 nm when 0.1%  $I_0$  was used, while the value is 412.5 nm if  $I_0$  was applied to excite the sample. At the same time, the intermediate powers result in different emission features, *i.e.* 1% (383.3 nm), 10% (383.9 nm), 30% (390.8 nm), and 60% (398.6 nm). Noticeably, the defect-related green emission is stable at 522 nm and 550 nm, as shown in Fig. 3(b). Herein, we mainly focus our consideration on the NBE emission. Actually, the excitation power-dependent redshift has been investigated in

detail.<sup>32,33</sup> Yang and Li proposed that laser-induced local heating effect is the origin of the phenomenon and the established theoretical model agrees well with the experiment results.<sup>34</sup> However, Rao *et al.* pointed out that the heating effect alone cannot explain the observed shift in the PL with the variation in excitation intensity, based on the fact that some samples were free of spectrum shift and the same phenomenon was even found in a liquid nitrogen environment.<sup>12</sup> Here in our case, all of the samples exhibit similar performance and a redshift occurs even at 77 K. Based on the careful characterization of the sample, we investigated the spectral behavior depending on changes to the excitation power and temperature.

According to the radiative recombination rate model, the PL intensity,  $I_{\text{em}}$ , depends on the excitation laser  $I_{\text{ex}}$ , as:

$$I_{\text{em}} = \eta(I_{\text{ex}})^{\alpha}$$





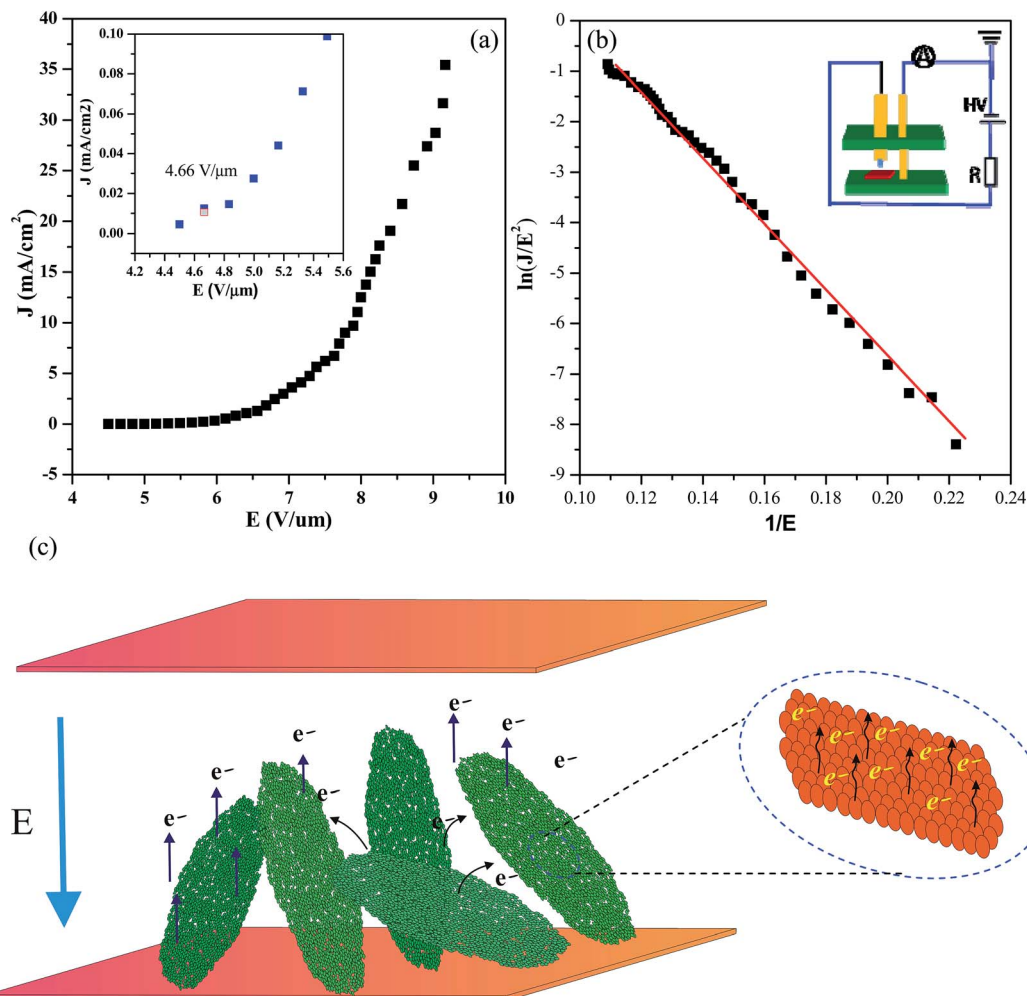


Fig. 2 FE investigation of the Ge doped ZnO nanosheets. (a) Typical  $J$ - $E$  curve; the inset is the magnified data at the low emission current region. (b) The  $F$ - $N$  relationship corresponding to the  $J$ - $E$  curve; the inset is the experimental illustration. (c) Electron emission illustration of the sample.

in which  $\eta$  is the emission efficiency and  $\alpha$  points to the radiative mechanism. In Fig. 3(c), the  $\alpha$  value was fitted to be  $\sim 1.12$  (the last point was not considered in the fit process owing to saturation emission occurring), commonly attributed to the exciton-like transition as free and bound exciton recombination takes place.<sup>35</sup> When the excitation power is above 60%, the emission exhibits a saturated behavior, which deviates from the linear dependence. Moreover, we provide the dependent relationship between emission energy and excitation power, as shown in Fig. 3(d). Here we hypothesize that the temperature (laser induced self-heating effect) related band renormalization and additional rich defect level contribute to the redshift. Moreover, the recombination mechanism may proceed alternatively if the laser power was high enough.

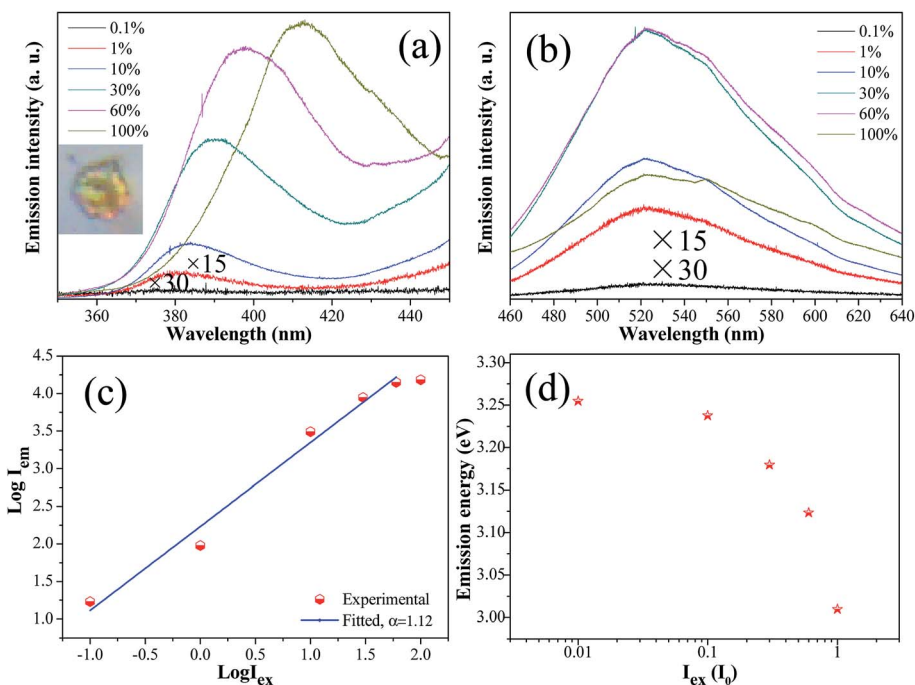
To get some insight into this issue, temperature-dependent excitation was carried out upon another unit in the temperature range of 77–300 K, as shown in Fig. 4. Fig. 4(a) shows the  $T$ -dependent emission energy of the sample at various excitation powers and Fig. 4(b) points to the power-dependent emission energy at various temperatures, in which we can analyze the influence of temperature and power, respectively. Firstly, the

curves were fitted according to the band gap renormalization model, also known as the electro-photon interaction, which results in the expression as:

$$E(T) = E(0) - \frac{2\lambda}{\exp(\theta/T) - 1}$$

Where  $E(0)$  is the PL energy at 0 K,  $\lambda$  points to the interaction between an electron and an average photon, and  $\theta$  corresponds to the mean temperature value of both the longitudinal acoustic and optical photons taking place in the interaction. For PL spectra acquired by 5% excitation, the fitted values are  $E(0) = 3.26$  eV,  $\lambda = 0.07$  and  $\theta = 327$  K. For the case of 100% excitation, the values are  $E(0) = 3.19$  eV,  $\lambda = 0.44$  and  $\theta = 458$  K, respectively. The fitted curves are shown in Fig. 4(c). The fitted  $E(0)$  seems smaller than values of a single ZnO crystal (3.37 eV), while the values of  $\lambda$  and  $\theta$  are larger than other reports.<sup>32,33</sup> We think several factors may influence this result, *i.e.* doping effect, large density of defects as well as surface levels and the intense photon participation even at low temperature. The comparison between the two curves provides us with important insight. The photon energy-related parameter  $\theta$  and electron-average

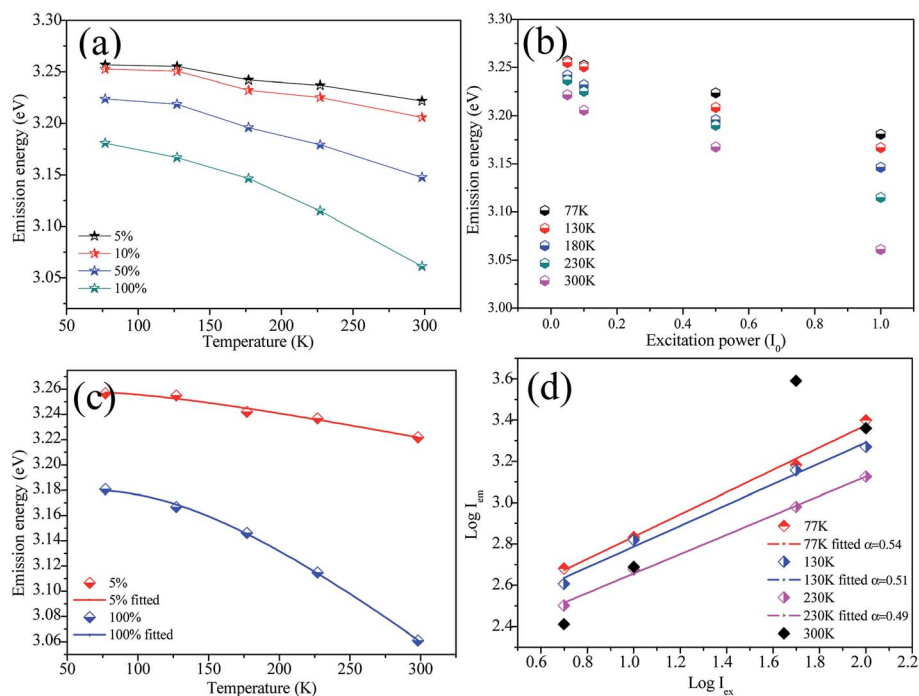




**Fig. 3** The room-temperature PL investigation of a single unit. (a) The PL spectra of the sample in the NBE region; the inset is the optical image of the nanosheet used. (b) Corresponding PL spectra in the visible region. (c) The relationship between  $I_{em}$  and  $I_{ex}$  extracted from (a). (d) The excited power-dependent emission energy.

photon interaction measurement  $\lambda$  display obvious increases in the case of higher excitation power, implying that the electron-photon interaction was enhanced owing to the self-heating effect of the excited laser.

The excitation power-dependent PL energy as shown in Fig. 4(b) can further support this conclusion, in which the PL displays an obvious redshift with the increase of excitation power even though the temperature remain unchanged.



**Fig. 4** The temperature-dependent PL spectra. (a) The temperature-dependent emission energy at various powers. (b) The excitation power-dependent emission energy at various temperatures. (c) The fitted results according to band gap renormalization model. (d) The relationship between  $I_{em}$  and  $I_{ex}$  at different temperatures.



Noticeably, the trend is more distinguished at elevated temperatures. These analyses indicate that the redshift resulted from the synergetic effect of temperature and excitation power. Additionally, the  $\eta$  values below 220 K are more or less than 0.5, implying that free-to-bound or donor-acceptor transitions dominate. Alternatively, the values deviate from linear fitting at 300 K shown as the violet spots in Fig. 4(d), which is similar to in Fig. 3(c). It indicates that the PL are dominated by different combination mechanisms at alternative temperatures involving the complex indirect transitions resulted from the rich defect and impurity levels. However, we think the activation factor of these recombination mechanisms is temperature, including environmental and self-heating by the excited laser. At low laser powers when the excitation is far from saturation, the heating effect-induced redshift (band gap renormalization) may be dominant. The continuous increase of the excited density results in two consequences. Firstly, the free exciton recombination and the interband transitions are saturated. Secondly, the nonradiative transitions would be enhanced. As the laser power and environmental temperature increase, the active levels relax, deviating from the conduction band bottom.

However, we can't decide if these levels are from Ge dopant or intrinsic defects because impurities and native defects co-exist and interplay. For example, the enrichment of Ge atoms at the interface between crystal grains (according to previous characterization) would increase the density of electron trapping, because the electrons trapped at the interface state can be charge compensated by ionized Ge, which illustrates the fact that the defect-related green emission is more obvious than the NBE emission in our samples.

## Conclusion

In conclusion, 2D Ge-doped ZnO nanostructures were synthesized by CVD method. The sample on graphite substrate exhibits good field electron emission properties with tune-on ( $E_{to}$ ) and threshold fields ( $E_{thr}$ ) of  $4.66 \text{ V } \mu\text{m}^{-1}$  and  $7.9 \text{ V } \mu\text{m}^{-1}$ , respectively. The emission current is as high as  $30 \text{ mA cm}^{-2}$  at the  $E$  value of  $9.0 \text{ V } \mu\text{m}^{-1}$ , which indicates the contribution of the small curvatures and rich spots of emitters. Such a good performance originates from the improved electron conductivity and the high density of emitters on the surface of the nanosheet. Additionally, a single unit displays temperature- and laser power-dependent PL performance. We attribute it to the band gap renormalization in the moderate excited condition. The local thermal energy provided by self-heating effect and environmental temperature drives the alternative recombination mechanism.

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