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Electron beam induced epitaxial crystallization in a conducting and insulating a-LaAlO₃/SrTiO₃ system†

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Interfacial conductivity at the interface between two insulating oxides, that is 2DEG, shows a number of intriguing properties and applications, such as on/off switching with external electric fields, use in nanoscale electronic devices and tunable conductivity. Here, we report the effect of the interfacial conductivity on the kinetic behavior of electron-beam-induced epitaxial crystallization of an oxide amorphous thin film on an SrTiO₃ substrate. Epitaxial growth from the interface can occur without direct e-beam irradiation at the interface due to accumulated charge around the beam position in the insulating materials. 2DEG, which acts as a current path delays the crystallization kinetics, thus delicate control of the crystallized pattern shape and size is available. As a result, successful pattern writing with a width of about 5 nm was performed. The present work provides useful guidelines for coherent atomic scale e-beam patterning considering the critical distance of the electron beam from the interface for the epitaxial growth, e-beam dose rate effect on the growth rate and the heterostructure interfacial conductivity.

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Introduction

With the recent rapid progress in nanotechnology, device structures have become miniaturized, and the demand for fabricating and manipulating nanostructures has dramatically increased. Conventional photolithography, mostly applied to the manufacturing of semiconductor microchips and the fabrication of microelectromechanical systems (MEMS) devices, uses a light source that is not suitable for nanofabrication because of limitations such as the wavelength of light or diffraction issues.¹ For many years, other lithography techniques utilizing various sources including X-ray, electron beam (e-beam), and ion-beam sources have been investigated to obtain better performance.^{2–5} Of these techniques, e-beam lithography (EBL) is one of the most promising for nanoscale patterning owing to its excellent resolution and maskless patterning. However, it is still challenging to fabricate

nanostructures with dimensions of several nanometers because electrons are backscattered within the substrate over a large radius, even though the EBL technique used in scanning electron microscopy (SEM) has reached sub-10 nm patterns.^{3,6–8}

In contrast, the e-beam-induced microstructural changes in transmission electron microscopy (TEM) can be applied to attain more precise nanoscale patterning as small as a few nanometers, overcoming the limited resolution of EBL in SEM.^{9–11} In general, the interaction between the accelerated electrons and the specimen in TEM can cause several changes in the materials, such as defect generation, amorphization, and crystallization.^{12–16} It is well known that the point defects generated *via* knock-on damage play a crucial role in the crystallization of amorphous materials and the phase transitions of crystalline materials; that is, the point defects created by the elastic scattering of electrons induce a rearrangement of existing unstable atomic bonds.^{12,17,18} For example, real-time TEM imaging revealed that the structural transition of La_{2/3}Sr_{1/3}MnO₃ with the perovskite ABO₃ structure into Brownmillerite was caused by e-beam-induced oxygen vacancies.¹⁹ Moreover, the amorphous regions in Si, Ge, GaAs, and InP were crystallized by the bombardment of accelerated electrons.^{20–23} The unexpected structural changes by e-beam irradiation during TEM, on the other hand, can be applied in a useful way for nanoarchitectonics—the creation and manipulation of new nanomaterials by controlling atoms or molecules.²⁴ Recently, atomic-scale patterning using a focused e-beam probe in scanning transmission electron microscopy (STEM) has been suggested for graphene and amorphous oxide thin films.^{9–11,25} For instance, Song *et al.* demonstrated the atomic-scale sculpting of

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graphene using a focused e-beam, and Liu *et al.* observed the in-plane growth of graphene in STEM. Jesse *et al.* also presented a nanoscale sculpture of crystalline SrTiO₃ by controlling a focused e-beam during STEM.

In this study, we demonstrate the e-beam-controlled crystallization of an amorphous LaAlO₃ (a-LAO) thin film grown epitaxially at the LaAlO₃/SrTiO₃-substrate interface and investigate the origin of e-beam-induced crystallization in the insulating oxide materials. Epitaxial crystallization from the interface occurs when the e-beam is located within a critical distance from the interface, and its kinetics can be controlled by the interfacial conductivity and e-beam dose rate. The model LAO/STO system is interesting owing to its unique physical properties, which include a high electron mobility at the interface, *i.e.*, a two-dimensional electron gas (2DEG).^{26–28} The formation of a conductive interface has been reported in several crystalline (c) oxide heterostructures: LAO/STO, ZnO/ZnMgO, and GaAs/AlGaAs.^{29–31} In crystalline thin films, and interestingly, an a-LAO overlayer on the STO substrate, a 2DEG is generated, implying that the oxygen vacancies constrained near the interface could be the predominant origin of the interfacial conductivity of a-LAO/STO.^{32–35} Here, we systematically investigated the effect of the interfacial conductivity on the e-beam-induced crystal growth in the amorphous LAO layer.

Experimental

a-LAO thin films were grown on TiO₂-terminated STO substrates at room temperature by PLD in an oxygen atmosphere. The oxygen pressure during film growth was 1 mTorr. A laser energy density of 1.5 J cm⁻² and a repetition rate of 2 Hz were used, and the distance between the target and the sample was 50 mm. The grown a-LAO thin-film thickness was approximately 26 nm, as confirmed by TEM. A 2DEG formed when the a-LAO thin film was grown at room temperature, whereas it disappeared after a subsequent annealing at 500 °C for 1 h under an oxygen pressure of 300 Torr. The interfacial conductivities of the specimens were evaluated using *I*-*V* measurements, which were carried out using indium ohmic contacts at the diagonal corners of a 5 nm × 5 nm specimen.

Cross-sectional TEM specimens were prepared by standard mechanical polishing (Struers; Labopol-5) and subsequent argon-ion milling (PIPS 691; Gatan). Observation of the crystallization behavior of a-LAO under e-beam irradiation was performed using aberration-corrected STEM (Titan S80-300; FEI) at 300 kV and focused e-beam was controlled by TEM software. The specimen was irradiated with different e-beam currents from 0.16 nA to 0.67 nA. The probe current was calculated by a simple formula related to the exposure time. EDS was carried out using a Talos TEM (FEI; Talos F200X) microscope equipped with an X-FEG and super-X EDS system with four silicon drift detectors (Bruker).

Results and discussion

Crystal structure and chemical composition of crystallized LAO

The e-beam-induced structural evolution was elucidated from a cross-sectional specimen using Cs-corrected STEM. Fig. 1a

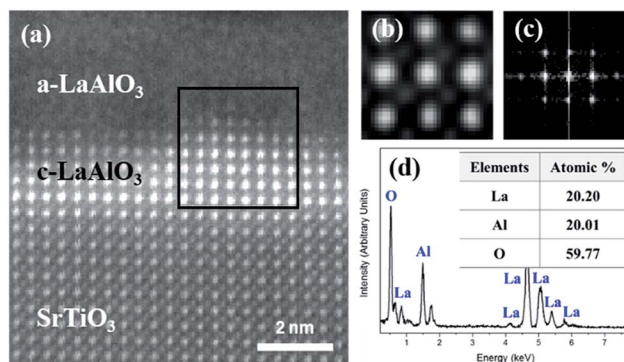


Fig. 1 (a) HAADF STEM image showing that an LAO crystal has grown from the STO substrate under e-beam irradiation. (b) Filtered atomic-column image of a crystallized LAO region. An Al atomic column with a low intensity due to its low atomic weight is visible. (c) FFT pattern acquired from the black square marked in (a). (d) EDS spectrum with the quantitative chemical composition (inset table), which was obtained from the LAO crystal.

shows a high-angle annular dark field (HAADF) STEM image of as-grown a-LAO/STO along the [100] zone axis after e-beam irradiation by continuously scanning the entire area shown with a e-beam current of 0.34 nA. The incident e-beam for imaging and irradiation both is perpendicular to the TEM specimen of [100] crystal orientation. Before irradiation, only the a-LAO overlayer with no crystal lattice features was observed on the perovskite STO substrate; however, after e-beam irradiation, a newly formed crystal layer with a bright contrast grew epitaxially from the interface. The bright contrast of the new crystal indicates that it is a La-containing phase since the intensity of an atomic column is approximately proportional to the atomic number Z^2 in the HAADF STEM image.^{36,37} Owing to the high intensity of the A-cation-site column, the atomic column of a B-cation site is not visible in Fig. 1a, but the filtered atomic-column image in Fig. 1b clearly shows that a B cation is located at the center of the A-cation unit cell. In addition, a fast Fourier transform (FFT) of the diffraction pattern of the boxed crystallized region in Fig. 1a (Fig. 1c) indicates that the new crystal has a pseudocubic structure with a lattice parameter of 3.8 Å. The quantitative ratio of La : Al : O as 1 : 1 : 3 was estimated from a chemical composition analysis obtained by energy-dispersive X-ray spectroscopy (EDS) (Fig. 1d). The analyses of the crystal structure and chemical composition revealed that the crystallized LAO is perovskite-type pseudocubic LaAlO₃. The reference atomic structure of crystalline LAO (c-LAO) grown on the STO substrate during the pulsed laser deposition (PLD) process can be found in a previous report.³⁸

Critical e-beam distance from the heterointerface for the epitaxial crystallization of a-LAO

Regarding the origin of the epitaxial crystallization, the beam-position effect was systematically investigated, as shown in Fig. 2. The specimens were irradiated by a focused beam at an electron dose rate of $0.169 \times 10^9 \text{ e}^- \text{ \AA}^{-2} \text{ s}^{-1}$ and a e-beam current of 0.34 nA. When the convergent e-beam was



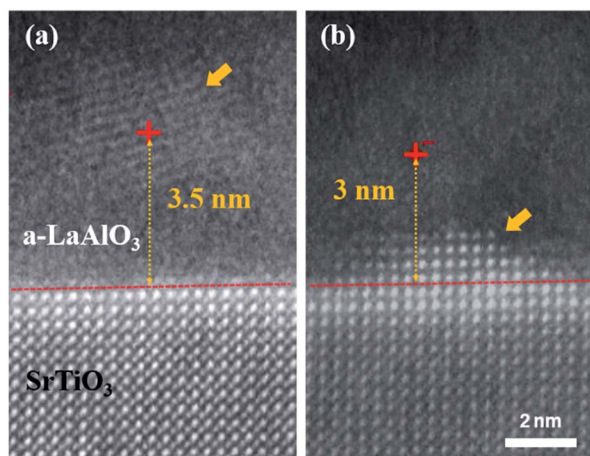


Fig. 2 HAADF STEM images of a-LAO/STO irradiated with a convergent e-beam (a) 3.5 nm and (b) 3 nm away from the interface. The specimen was irradiated at an electron dose rate of $0.169 \times 10^9 \text{ e}^- \text{ \AA}^{-1} \text{ s}^{-1}$ for 60 s, and the current of the e-beam was 0.34 nA. The dashed red lines are guidelines, which are the starting points for crystallization, and the yellow arrows indicate the crystallized LAO region.

positioned on the a-LAO overlayer at a distance of 3.5 nm from the interface for 60 s, randomly oriented local crystallization occurred (Fig. 2a). In addition, epitaxial crystal growth occurred from the interface when the beam was positioned within 3 nm from the a-LAO/STO heterointerface (Fig. 2b). After 60 s of irradiation, four new atomic layers grew from the initial interface (red dashed line) in a pyramidal shape. This result implies that the e-beam-induced crystal quality, *i.e.*, an epitaxially grown single crystal or a randomly oriented polycrystal, can be controlled by the beam distance from the interface between the amorphous and crystalline phases. This also means that the beam does not need to irradiate the crystal substrate directly to obtain epitaxial growth; instead, an e-beam located at some short distance can activate the interface region in this material. Note that crystallization was initiated from the interface rather than the directly irradiated beam position when it was within a critical distance—3 nm in this sample. The diameter of the convergent beam should theoretically be smaller than 0.2 nm in the STEM mode, and the distance between the e-beam and the interface was 3 nm, which is sufficiently large compared to the probe size. Beam delocalization also should be considered but would not be as large as 3 nm in the thin-foil TEM sample. Therefore, e-beam-induced direct atomic rearrangement might not be the major reason for crystal growth. The crystal growth at the heterointerface rather than the nucleation at the beam position within a critical distance indicates that the required activation energy for epitaxial and heterogeneous crystal growth at the interface is lower than the homogeneous nucleation energy in a-LAO.

Crystallization behaviors and kinetics depending on various factors

In addition to the e-beam position, factors such as the e-beam dose rate, the external field, and the atomic structure at the

interface also affect the crystallization behavior.^{39–42} In this study, we found that a local electric field formed between the e-beam and the heterostructure interface, which determines the crystallization kinetics. The interfacial conductivity at the a-LAO/STO heterostructure interface is controllable with subsequent thermal annealing under a high oxygen pressure.³⁵ The current at the interface of the as-grown a-LAO/STO heterostructure evaluated by current–voltage (I – V) measurements was $1.77 \times 10^{-4} \text{ A}$, which was reduced to $1.24 \times 10^{-10} \text{ A}$ at 5 V after annealing at 500 °C under an oxygen pressure of 300 Torr (see Fig. S1 in the ESI†). When the interface—acting as a bottom electrode—is insulating, the electrons injected during irradiation accumulate around the beam position. However, when the interface becomes conductive and thus acts as a current path, a local field is applied between the negatively charge e-beam position and its counterpart—the relatively positive interface.

Fig. 3 compares the epitaxial crystallization behavior depending on the conductivity of the interface. In Fig. 3a and b, the converged e-beam was focused at a position that was 3 nm from the heterointerface for 60 s. When the interface is conductive, epitaxial crystallization occurred, resulting in a pyramidal shape, and a crystal grew in a narrow columnar shape that followed the movement of the incident beam from the interface (Fig. 3a). This result demonstrates the possibility of nanoscale manipulation of the crystalline oxide nanostructure using a high-energy e-beam. In contrast, relatively fast and uncontrollable crystallization occurred over a wide area when the interface was not conductive after the thermal treatment (Fig. 3b). Similarly, a wide range of crystallization was observed at the nonconductive interface when the e-beam was not focused at a specific position but continuously scanned over the region of interest, *i.e.*, the search mode with simultaneous irradiation and observation (Fig. 3c and d). Interestingly, the crystallization kinetics were obviously different depending on the interfacial conductivity. In Fig. 3c, only two new LAO unit cells grew from the dashed red line (initial state) after e-beam irradiation for 180 s. In the meantime, six new crystalline layers formed at the nonconductive interface (Fig. 3d). Therefore, a deliberate crystal pattern can be obtained at the conductive heterointerface owing to the delayed crystallization rate.

The e-beam current is another factor that determines the crystallization behaviors. The graphs in Fig. 4 demonstrate the crystallization kinetics depending on the e-beam current in a-LAO/STO with and without the 2DEG. The newly formed LAO unit cells were counted every 30 s. At the conductive interface, the crystallization rate was very slow such that no distinct discrepancy was observed after irradiation for 180 s with an e-beam current of 0.16–0.67 nA (Fig. 4a). On the other hand, the crystallization rate dramatically increased depending on the beam current in the sample with no interfacial conductivity (Fig. 4b). After 60 s of irradiation with an e-beam current of 0.67 nA, more than 20 atomic layers were grown above the nonconductive interface. A lower sensitivity to the electron dose rate at the interface with a high mobility indicates that the injected electrons quickly dissipate through the conductive interface.

The crystallization mechanism by e-beam irradiation has been explained with an enhanced defect mobility by elastic



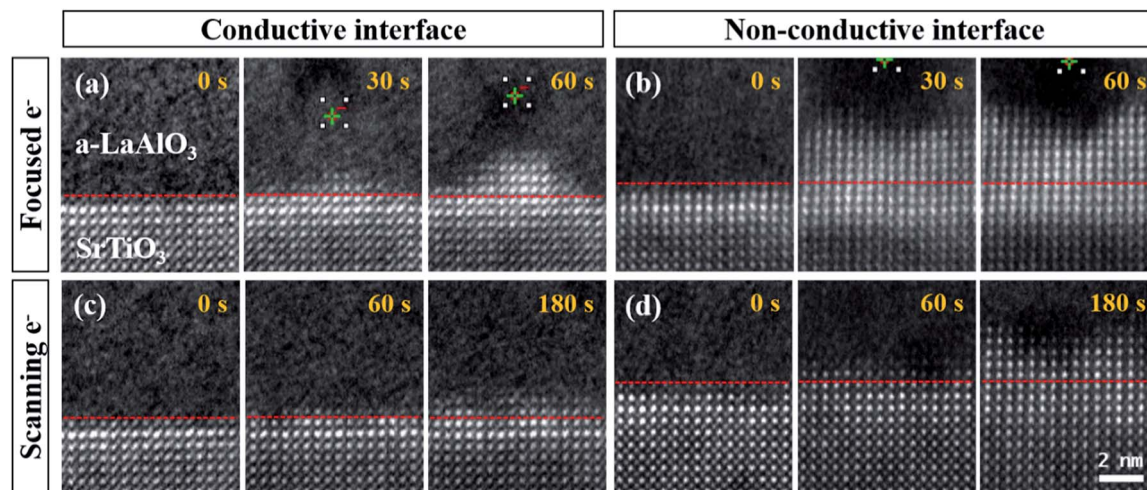


Fig. 3 HAADF STEM images of a-LAO/STO after electron-beam irradiation using (a, b) a convergent e-beam focused 3 nm from the hetero-interface and (c, d) a scanning e-beam for different irradiation times. Two different a-LAO/STO heterostructures, which have (a, c) a conductive interface and (b, d) a nonconductive interface, were irradiated. The dashed red lines are initial the guidelines, which are the starting points for crystallization. The specimens were irradiated at an electron dose rate of $0.169 \times 10^9 \text{ e}^- \text{ \AA}^{-1} \text{ s}^{-1}$, and the e-beam current of the e-beam was 0.34 nA.

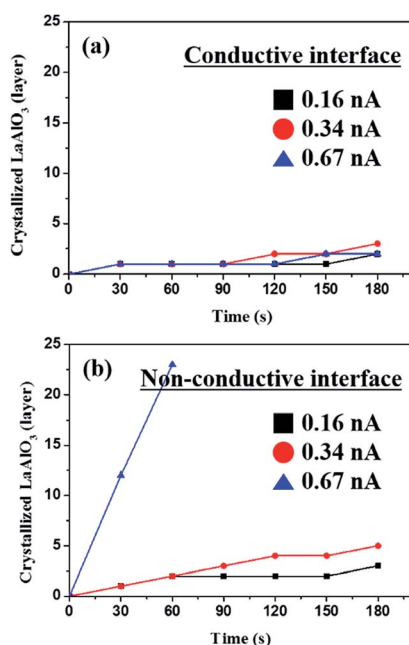


Fig. 4 Crystallization kinetics of LAO according to the existence of a conductive interface under e-beam irradiation. E-beam irradiation was performed using different e-beam currents and irradiation times. (a) The crystallization kinetics for different e-beam currents from 0.16 nA to 0.67 nA at the conductive interface and (b) the crystallization kinetics at the nonconductive interface.

interactions, the rearrangement of unstable bonds, charge accumulation, and the heating effect.^{18,43–45} Among them, the local heating effect by the inelastic scattering of incident electrons with the electrons in the specimen can be considered as one of the reasons for the crystallization observed in this study. Since the energy of the incident electrons is mostly transferred

to the heated specimen, an increase in the temperature of a local area can occur, which can be calculated by Egerton's equation as follows:¹⁸

$$I < E \text{ (eV)} > (t/\lambda) = 4\pi\kappa t(T - T_0)/[0.58 + 2 \ln(2R_0/d)] + \pi(d^2/2)\epsilon\sigma(T_4 - T_0^4) \quad (1)$$

where $I < E \text{ (eV)} > (t/\lambda)$ is the heat deposited in the specimen per second; κ is the thermal conductivity; t is the thickness of specimen; λ is the mean free path of an incident electron for inelastic scattering; T_0 and T are the initial and final temperatures, respectively; R_0 is the distance for radial conduction; and d is the diameter of the incident beam. The sample thickness measured by electron energy loss spectroscopy (EELS) was approximately 46 nm, and the incident beam diameter was approximated to be 0.2 nm. As a result, the calculated increase in the temperature for an e-beam current of 0.67 nA was approximately 0.93 °C when the thermal conductivity of a-LAO was assumed to be $10^{-1} \text{ W m}^{-1} \text{ K}^{-1}$, which is 100 times lower than that of c-LAO.⁴⁶ Even though the thermal conductivity of a-LAO is assumed to be extremely low—approximately $10^{-3} \text{ W m}^{-1} \text{ K}^{-1}$, the maximum increase in the temperature is only 93 °C, which is still negligible compared with the crystallization temperature of 864 °C.⁴⁷ Therefore, the origin of the crystallization in this study is not local heating but rather elastic-scattering-induced atomic displacement. If the incident e-beam energy exceeds the displacement energy (E_d) of the specimen, atomic displacements occur.¹⁸ Moreover, the migration of point defects, which were created by the sufficient incident electron energy, will trigger the rearrangement of the atomic bonds and thus the crystallization in the amorphous region.^{12,39,48,49} The amount of energy transferred by electrons as well as displacement energies are indicated in the ESI.†



Electron charge accumulation and annihilation at the a-LAO/STO heterointerface

In addition, we provide evidence of the charge accumulation effect on the crystallization kinetics in this study. When the heterointerface is conductive, the crystallization kinetics were relatively slow; thus, deliberate manipulation was possible, which implies that the interfacial conductivity plays a significant role in determining the crystallization behavior. The schematic in Fig. 5a demonstrates that negative charges accumulate when the incident e-beam is focused at a specific position since STO and a-LAO are both insulating. Thus, the concentrated negative charges at the nonconductive interface as well as the a-LAO overlayer enhance the migration of ions, thereby facilitating crystal growth from the interface.^{50–53} In this case, crystallization occurs quickly at the e-beam-affected and charge-accumulated neighboring region, which is uncontrollable in size and speed. On the contrary, the conductive layer confined at the heterointerface of a-LAO/STO acts as a path for the incident electrons to flow through.³⁵ In other words, the incident negative charges are dissipated through the conductive interface without accumulation (Fig. 5b). Therefore, crystallization occurs at a suppressed rate regardless of the e-beam current, and the resulting initial shape of the crystalline region is pyramidal with a narrow width. Combined with the scanning beam control system successfully developed by Jesse *et al.*, an elaborate crystal pattern is expected to be created at the atomic scale using this material and irradiation conditions.⁹

Considering the control parameters such as interfacial conductivity, dose rate and critical e-beam distance, ‘NANO’

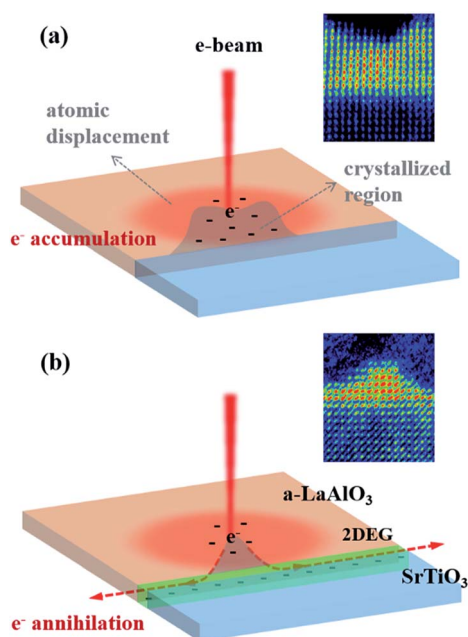


Fig. 5 Schematics showing the distribution of electrons around the incident e-beam in the a-LAO overlayer. (a) The incident electrons accumulate when the interface is insulating. (b) The negative charges are dissipated through the conductive interface, which acts as a bottom electrode. The inset images are colored HRSTEM images of Fig. 3a and b showing the crystallization kinetics and shape.

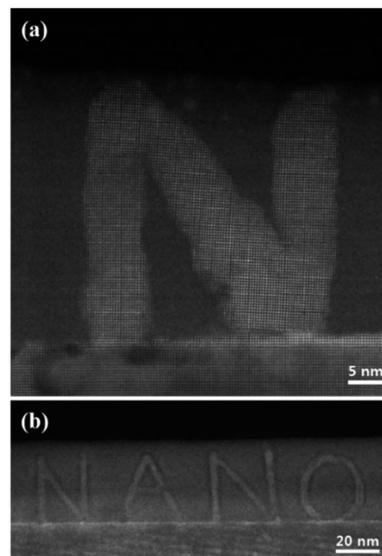


Fig. 6 HAADF STEM images of patterned letters onto a-LAO region using e-beam irradiation. The pattern keeps the coherent atomic structure from the STO₃ crystalline substrate.

letters patterning was successfully obtained (Fig. 6). Here, a-LAO/STO having 2DEG was used in order to control the shape and size of the pattern delicately. It shows that patterns with nanometer scale can be manipulated using e-beam irradiation, and this opens a new horizon for STEM e-beam lithography.

Conclusions

In summary, the e-beam controlled epitaxial crystallization behavior of an a-LAO thin film that has an interface with an STO substrate was investigated. The crystallization product was perovskite-type pseudocubic LaAlO₃ according to the chemical and diffraction-pattern analyses. The origin of the crystallization observed in this study is elastic-scattering-induced atomic rearrangement rather than the local heating effect. When a convergent e-beam was focused at a specific position, heterogeneous nucleation occurred. However, when it was positioned within a critical distance from the interface with the substrate (3 nm in this study), epitaxial crystal growth at the heterointerface preferentially occurred. Thus, it can be noticed that the crystallization at the interface within a distance of e-beam is energetically preferred even though the interface is not directly irradiated with the e-beam. The crystallization kinetics can be controlled by the electrical conductivity of the interface and the dose rate. The 2DEG, acting as a current path for accumulated electrons, delayed the crystallization; thus, delicate manipulation of the crystal pattern at an atomic level is possible.

Recently, the fabrication and manipulation of nanostructures for electronic devices has received considerable attention and created the new research field of “nano-architectonics”. Hence, we believe that this systematic study of the materials’ electrical properties and the parameters that control the e-beam-induced crystallization will provide useful



guidelines for nanoscale EBL and encourage research on the fabrication of atomically manipulated nanostructures.

Conflicts of interest

There are no conflicts to declare.

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