



Cite this: *RSC Adv.*, 2017, 7, 52672

## Formation of environmentally-persistent free radicals (EPFR) on $\alpha$ - $\text{Al}_2\text{O}_3$ clusters

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Alumina oxides assume prominent catalytic applications in a wide range of industrial processes. However, alumina surfaces also serve as potent promoters in the heterogeneous formation of the notorious environmentally-persistent free radicals (EPFR). Herein, we theoretically examine dissociative adsorption mechanisms of phenol molecules over  $\text{Al}_2\text{O}_3$  and hydrated  $\text{Al}_2\text{O}_3 \cdot n\text{H}_2\text{O}$  clusters that mimic dehydrated and hydrated alumina structures, respectively. We show that fission of the phenol's hydroxyl bond over dehydrated alumina systematically incurs lower energy barriers in reference to the hydrated structures. A 1,2-water elimination step marks the most feasible channel in the interaction of phenol with hydrated clusters. The relevance of the acidity sites to the catalytic activity of alumina is clearly supported by the finding that the catalytic activity of the alumina surface in producing the phenoxy/phenolate species reversibly correlates with the degree of hydroxyl coverage. Desorption of adsorbed phenolates requires sizable desorption energies, and thus is expected to facilitate surface-mediated condensation into dioxin-like moieties.

Received 28th August 2017  
 Accepted 25th October 2017

DOI: 10.1039/c7ra09527a

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### 1. Introduction

Alumina oxide represents one of the most important catalytic and catalyst-support materials,<sup>1–3</sup> for chemical reactions. It also finds direct applications in dielectric materials, substrates for electronics, packing materials and radiation dosimeters.<sup>4,5</sup> Over the last two decades, the surface chemistry of alumina oxides has been a distinct research topic in catalysis chemistry. Most of these studies have focused on the surface/water interface conditions, where the chemistry of the surface is greatly affected and accordingly alters its reactivity and catalytic performance.<sup>6–8</sup> The interaction of alumina surfaces with water molecules<sup>6,9–14</sup> results in water- and hydroxyl-covered surfaces, in which the degree of coverage is highly sensitive to temperature.<sup>15</sup> Heating and cooling processes can reversibly either add or remove hydroxyl groups from the surfaces, as confirmed experimentally by IR and NMR measurements.<sup>16,17</sup> For instance, X-ray diffraction data by Dyer *et al.*<sup>18</sup> revealed the formation of  $\text{Al}(\text{OH})_3$  on the  $\gamma$ - $\text{Al}_2\text{O}_3$  surface, which disappeared after heating to 473.15 K. This has also been confirmed by Raman and infrared spectroscopy where several sharp peaks in the region around  $3600\text{ cm}^{-1}$  have been observed after hydration of the  $\gamma$ - $\text{Al}_2\text{O}_3$  powder. X-ray photoemission experiments by Liu *et al.*<sup>19</sup> pointed out to water dissociation; most likely at surface defect sites.

It has become evident that,<sup>3,15,20,21</sup> the differences of the coordination of Al atoms of the alumina surface lead to form different terminal hydroxyl termination or interactive phases. An experimental study by Pimentel and McClellan<sup>22</sup> revealed that, surfaces encompasses two main categories of surface hydroxyl groups; isolated hydroxyl groups with a sharp infrared bands ( $>3600\text{ cm}^{-1}$ ), and self-associated hydroxyl groups with a broad, intense band ( $<3600\text{ cm}^{-1}$ ). Apart from this, self-associated hydroxyl groups differ from the isolated groups in the presence of the hydrogen bond connection between adjacent moieties. Detailed examination of chemical sites on  $\text{Al}_2\text{O}_3$  surface<sup>23,24</sup> confirmed the findings of Pimentel and McClellan.<sup>22</sup> Overall, five different classes of isolated hydroxyl groups have been detected by IR spectroscopy.<sup>21,24</sup> Knözinger *et al.*<sup>3</sup> attribute the difference in vibrational frequencies among these classes to the coordination number of alumina (*i.e.*; either tetrahedral and/or octahedral coordination).

The chemical makeup (*i.e.*; adsorption and decomposition) of the hydroxyl groups over alumina surface constitutes a key factor in clarifying the reactive/catalytic nature of alumina. As stated earlier, heating can reversibly remove the hydroxyl groups from the alumina surface,<sup>16,17,25</sup> leading to the formation of Lewis acid–base pair sites, and thus increases the catalytic activity of the surface. Along a similar line of enquiry, Ballinger and Yates<sup>26</sup> have performed a detailed investigation into the correlation between Al–OH group removal and the number of Lewis acid sites *via* CO molecular adsorption. The authors have found that, heating leads to the decomposition of the associated hydroxyl groups, leaving only isolated hydroxyl groups on

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the surface at a temperature range of 475–800 K. This has been viewed as the driving force of the catalytic activity of alumina, either *via* hydrogen bonding to the hydroxyl group and/or *via* lone pair donation to Lewis acid sites. A study by Hendriksen *et al.*<sup>27</sup> measure the heat of adsorption of water on both  $\alpha$ - and  $\gamma$ -alumina powders *via* immersion calorimetry. It has been indicated that, the heat of adsorption on both termination depends primarily on the degree of hydration prior to water adsorption.

Over a wide range of operational pressure and temperatures, alumina exhibits a variety of crystallographic structures such as  $\alpha$  phase or corundum, which is the most thermodynamically stable phase,<sup>28</sup> and other alumina phases such as  $\delta$ ,  $\beta$ ,  $\kappa$ ,  $\eta$ ,  $\theta$  and  $\gamma$ .<sup>29,30</sup> Literature density function theory (DFT) investigations have considered water adsorption and dissociation over  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> surfaces from the dry to the fully hydroxylated surfaces. A detailed analysis and discussion on the subject was presented by Wang *et al.*<sup>31</sup> The authors have found that, a fully hydrated alumina  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) surface, when the surface Al atom is triply hydroxylated, exhibits relatively inertness toward further hydroxylation. In an insightful analysis into the interaction of water molecules and a  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) surface, Wirth and Saalfrank<sup>32</sup> found that 1,2-dissociation pathway is the most kinetically feasible mechanism, where Al surface atoms are hydroxylated and the nearby oxygen atoms are protonated.

Recent theoretical investigations addressed the interaction of hydrated and dehydrated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> surfaces towards different molecules.<sup>33–35</sup> Shukla and Hill<sup>33</sup> have performed a detailed investigation of the molecular interaction of 2,4,6-trinitrotoluene (TNT) molecule with the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) surface demonstrating that TNT acquires strong covalent interaction in a parallel orientation with the surface. The same group<sup>34</sup> found that molecular adsorption of TNT over hydroxylated alumina surface is much weaker when compared to the dehydrated surface. Similarly, Rohmann *et al.*<sup>35</sup> investigated molecular interaction of carbon monoxide with hydroxylated alumina surface, reporting that molecular CO exhibits strong interaction with a 25% degree of hydroxylated surface and this interaction is significantly stronger when compared with that over the clean dehydrated alumina. In a follow-up study on H<sub>2</sub>S removal *via*  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> surfaces with different hydroxyl coverage, Ren *et al.*<sup>36</sup> revealed that, the bonding strength of H<sub>2</sub>S on hydrated alumina surface is lower than that over a dehydrated termination. For example, calculated binding energy for 8.9 OH per nm<sup>2</sup> hydroxyl coverage for  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> (110) amounts to  $-68$  kJ mol<sup>-1</sup> whereas the corresponding value of the dehydrated surface stands at  $-114$  kJ mol<sup>-1</sup>. XPS studies by Kelber *et al.*<sup>37</sup> investigated the interaction between Cu and the hydrated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) surface to report an enhanced interaction of copper to the surface of alumina owing to the presence of a surface hydrated layer. There is a rather limited literature account on reactions of hydrocarbons on alumina clusters. Most of relevant studies have considered extended surfaces of alumina.<sup>34,35,38</sup> Nonetheless, it has been shown that alumina clusters encompass effective catalytic active sites present on surfaces; *i.e.*, the surface Al–O bonds.<sup>39,40</sup> Therefore, alumina clusters in many theoretical studies (*i.e.* (Al<sub>2</sub>O<sub>3</sub>)<sub>n=4</sub>) are being applied as representative models for both

$\alpha$ -Al<sub>2</sub>O<sub>3</sub> and  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> surfaces. Alumina clusters imitate both the experimental measurements and DFT surface properties. Additionally, clusters<sup>41,42</sup> were shown to illustrate an effective stability against relaxation often encountered in extended alumina surfaces.

Phenol molecule is among the most commonly discussed precursors for the synthesis of poly chlorinated dibenzo-*p*-dioxins and dibenzofurans (PCDD/Fs).<sup>43–45</sup> Heterogeneous formation of PCDD/Fs *via* surface-mediated coupling of chlorinated phenols were investigated on many oxide systems, including Al<sub>2</sub>O<sub>3</sub>,<sup>46,47</sup> Co<sub>3</sub>O<sub>4</sub>,<sup>46</sup> CuO,<sup>48,49</sup> TiO<sub>2</sub> (ref. 47) and Fe<sub>2</sub>O<sub>3</sub>.<sup>50</sup> In our recent study,<sup>51</sup> we theoretically investigate the interaction of phenol molecule with the dehydrated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) surface. We have found that, alumina surface is catalytically very active in producing phenolate (*i.e.*, surface-bounded phenoxy) with a modest activation energy of 43 kJ mol<sup>-1</sup>, thus, signifying as an accessible route for the formation of persistent surface-bound radicals. However, when considering the temperature range of the cooling-down zone of the combustor (*i.e.*; 746–1146 K), all models of alumina (*i.e.*; polymorphs, surfaces and/or clusters) assume different hydration coverage. Therefore, the effect of the degree of hydration, on dissociative adsorption of phenol, can play an important role in attaining a detailed understanding of the catalytic activity of the surface. Thus, it is very important to shed light into the generation of the environmentally persistent free radicals (EPFRs)<sup>52,53</sup> on alumina surface with varying hydroxyl coverages.

In summary, the current work is part of our ongoing effort to reveal mechanistic and kinetic factors dictating the catalytic role of alumina surfaces in surface-mediated formation of phenoxy-type EPFR. The study provides a detailed investigation of the interaction of a phenol molecule with different hydration coverage of alumina clusters and therefore offers important insights into the role of the surface adsorbed water in the catalytic activity of alumina toward the generation of adsorbed phenoxy radicals. The present study expands on our recent investigation,<sup>51</sup> in which we considered a dehydrated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) surface. Overall, this study has a three-fold aim; (i) to report modes of interaction of phenol with different hydration coverages of alumina clusters, (ii) to compare between the catalytic activity of hydrated and dehydrated alumina clusters toward the formation of the adsorbed phenolate *via* two distinct pathways; namely direct fission of the phenol' O–H bond and elimination of water molecules and to (iii) to construct a simplified kinetic model for the conversion of phenol into adsorbed phenoxy radicals.

## 2. Methodology

DMol<sup>3</sup> program<sup>54,55</sup> performs all structural optimization, energy calculations and vibrational frequencies based on the generalized gradient approximation (GGA) of the Perdew–Burke–Ernzerhof (PBE) functional.<sup>56</sup> The computational methodology comprises Grimme dispersion correction,<sup>57</sup> together with the basis set of double numerical plus polarization (DNP). Total energy and forces on each atom converge with tolerance to less than 10<sup>-6</sup> eV and 10<sup>-4</sup> eV Å<sup>-1</sup>, respectively.



We computed the binding energies ( $E_b$ ) for the adsorption based on the energies of a phenol/ $\text{Al}_2\text{O}_3$  system  $E_{\text{cluster+phenol}}$ , the clean alumina cluster  $E_{\text{cluster}}$ , and an isolated phenol molecule  $E_{\text{phenol}}$ :

$$E_b = E_{\text{cluster+phenol}} - E_{\text{cluster}} - E_{\text{phenol}} \quad (1)$$

Reaction rate constants were estimated based on the conventional transition state theory (TST),<sup>58</sup> we fit reaction rate constants to the two-parameters Arrhenius formula,  $k(T) = A \exp(-E_a/RT)$ , over the temperature range of 300 K to 1000 K, according to:

$$k(T) = \frac{k_B T}{\sigma_e h} \exp\left(\frac{\Delta S^\ddagger}{R}\right) \exp\left(\frac{-\Delta H^\ddagger}{RT}\right) \quad (2)$$

where:  $k_B$  is Boltzmann's constant,  $h$  signifies Plank's constant,  $R$  is the universal gas constant. Calculated vibrational frequencies enable to calculate thermodynamic functions; *i.e.*, entropies and enthalpies.  $\Delta S^\ddagger$  and  $\Delta H^\ddagger$  stand for temperature-depended entropy and enthalpy of activation, correspondingly.  $\sigma_e$  represents the reaction degeneracy number. DMol<sup>3</sup> computes entropy values based on well-documented statistical thermodynamics equations.<sup>59</sup> The enthalpy (at the desired temperature) has been competed relatively to the zero-point energy at 0 K.

## 3. Results and discussions

### 3.1. Water adsorption on $\text{Al}_2\text{O}_3$ cluster

Starting with dehydrated alumina clusters, Fig. 1 displays two water-free geometrical monomers of alumina near-cyclic  $\text{AlO}_3\text{Al}$  that adapt  $D_{3h}$  symmetry, and a linear  $\text{O}=\text{Al}-\text{O}-\text{Al}=\text{O}$  chain structure. As illustrated in Fig. 1, the cyclic dehydrated alumina,  $\text{AlO}_3\text{Al}$ , contains three Al–O bonds with distances of  $\sim 1.82$  Å. This structure was found to be energetically less stable<sup>60</sup> than the linear chain structure due to the repulsion between the two cations ( $\text{Al}^{3+}$ ). In the latter configuration, alumina adapts a linear structure with one bridging and two non-bridging oxygen atoms. Calculated bond angle and bond lengths, in both structures, are in agreement with the literature analogous values.<sup>60,61</sup>

Moving on now to consider how the geometries of alumina cluster are compared with those in alumina surface. Fig. 2 displays top and side views of  $\alpha\text{-Al}_2\text{O}_3$  (0001) surface (*i.e.*, the thermodynamically most stable alumina surface<sup>62,63</sup>) and the  $D_{3h}$  structure. As can be seen from the figure, Al–O bond lengths in both structures are within 0.01 Å. This close

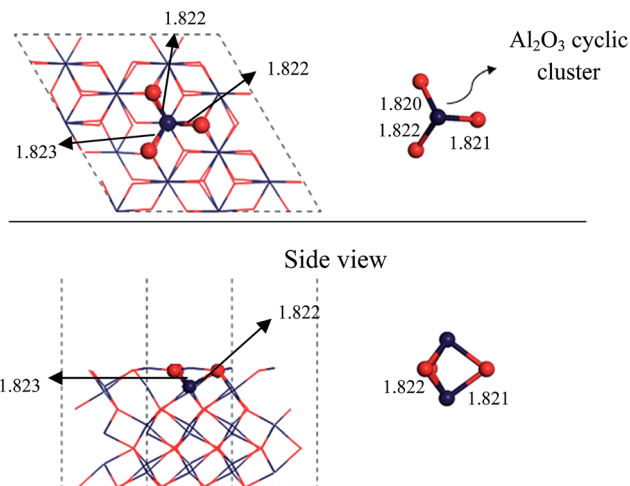


Fig. 2 Geometrical comparison between  $\text{Al}_2\text{O}_3$  cyclic cluster and the  $\alpha\text{-Al}_2\text{O}_3$  (0001) surface.

agreement serves to testify that considered cyclic dehydrated structure contains similar active sites to those present in the surface.

A study by Johnson and Panas<sup>60</sup> examine water adsorption and hydrolysis on molecular Al oxides and hydroxides solvation *versus* cluster formation. They found that, dehydrated alumina clusters, both cyclic and linear chain, reacts with water molecules through accessible energy barriers in the range of 33–110  $\text{kJ mol}^{-1}$ . Further addition of water molecules leads to a series of  $\text{Al}_2\text{O}_3 \cdot n\text{H}_2\text{O}$  structures.

Fig. 3 illustrates mechanism of successive addition of water molecules to the dehydrated cluster of alumina (*i.e.*; cyclic and linear clusters). Starting with the cyclic structure of alumina,  $D_{3h}$ , the addition of a water molecule to the  $\text{Al}_2\text{-O}_1$  bond results in the formation of the  $\text{Al}_2\text{O}_3 \cdot 1\text{H}_2\text{O}_{(c)}$  cluster (c) which has been reported to be the most stable structure among the various configurations of  $\text{Al}_2\text{O}_4\text{H}_2$  clusters.<sup>60</sup> Typically, this structure assumes *cis* and *trans* configurations, with very similar thermodynamic stability.<sup>60</sup> Thus, we have performed our subsequent calculations considering the *cis* planar molecule configurations with the  $C_{2v}$  symmetry as it is marginally more thermodynamically stable than its *trans* counterpart; by 3  $\text{kJ mol}^{-1}$ . Calculated  $\text{Al}_2\text{-O}_2$  and  $\text{Al}_2\text{-O}_{w1}$  bond lengths amount to 1.75 Å and 1.70 Å, respectively. These values concur very well with the corresponding literature values by Johnson and Panas;<sup>60</sup> *i.e.*, 1.75 Å and 1.69 Å, respectively.

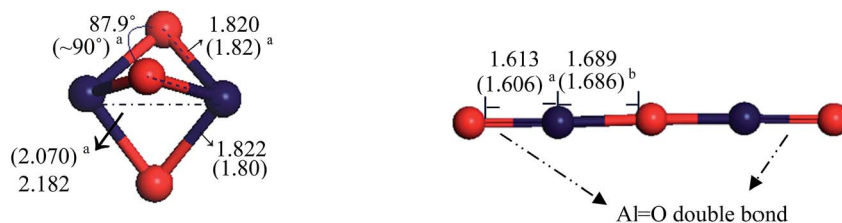


Fig. 1 Optimised geometries for dehydrated  $\text{Al}_2\text{O}_3$  clusters with the main geometrical parameters (in Å). Bond lengths in parentheses signify the corresponding experimental or theoretical values from the literature a,<sup>60</sup> b.<sup>61</sup>



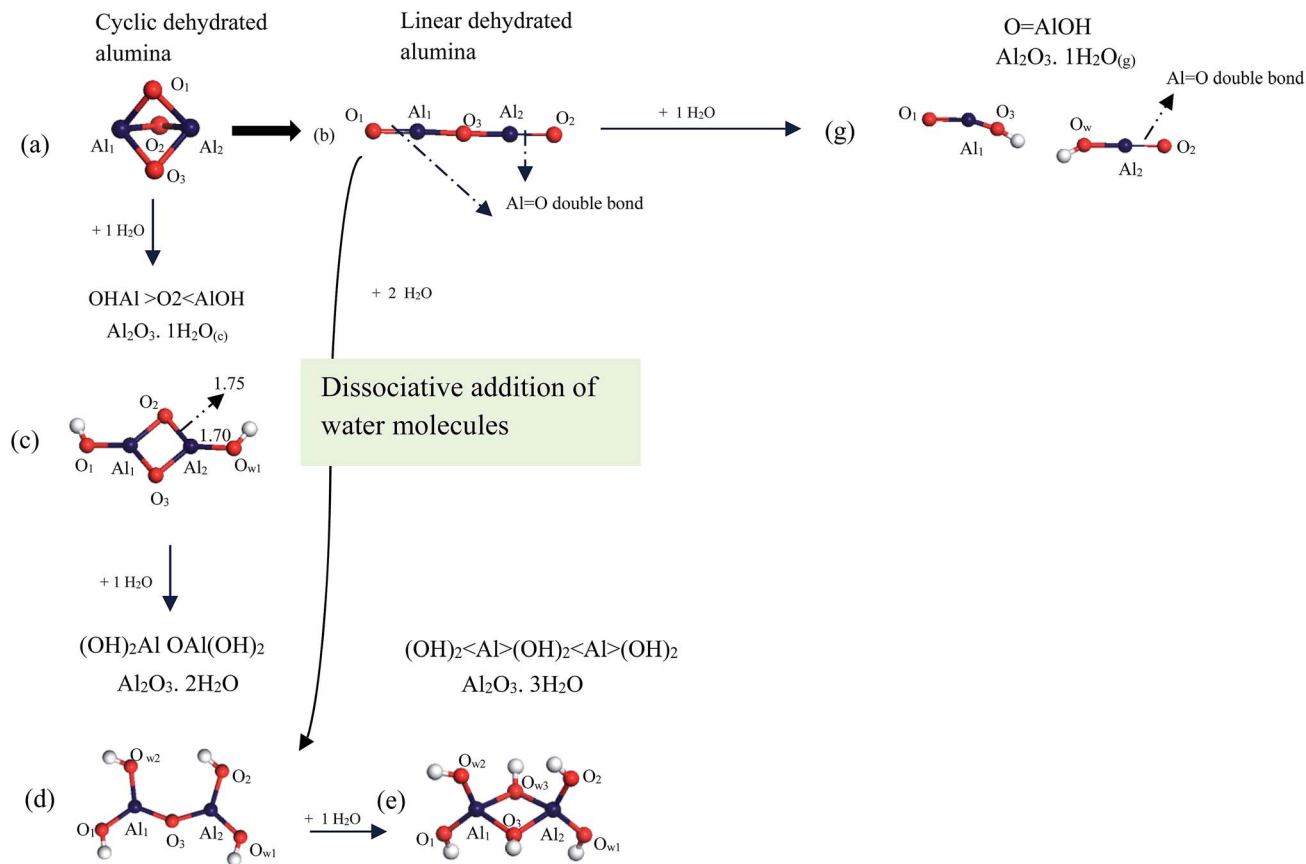


Fig. 3 Structures of the  $\text{Al}_2\text{O}_3 + n\text{H}_2\text{O}$ .

Turning now to the linear chain structure, the addition of water can proceed either *via* cluster  $\text{Al}=\text{O}$  double bond site or *via* cleaving the central  $\text{Al}-\text{O}-\text{Al}$  bond.<sup>60</sup> This results in the formation of the  $\text{Al}_2\text{O}_3 \cdot 2\text{H}_2\text{O}$  cluster (d) and  $\text{O}=\text{AlOH}$  (g), respectively. Considering the double bond pathway; further addition of a water molecule produces the cluster  $\text{Al}_2\text{O}_3 \cdot 3\text{H}_2\text{O}$  (e), with the  $C_{2h}$  symmetry. Energetics for the formation of the hydrated alumina structures in Fig. 3 has been reported by Johnson and Panas.<sup>60</sup> The authors found that formation of (c) and (e) structures display an exothermic process with calculated enthalpies of  $-310 \text{ kJ mol}^{-1}$  and  $-184 \text{ kJ mol}^{-1}$ , respectively. The formation of structure (g) is slightly endothermic with a low activation enthalpy at  $33 \text{ kJ mol}^{-1}$ .<sup>60</sup>

### 3.2. Reaction of phenol with dehydrated alumina cluster

We studied the interaction of a phenol molecule with the two dehydrated alumina clusters (*i.e.*; cyclic and linear clusters in Fig. 3a and b). Two different reactions have been considered, denoted as pathway a and pathway b. These pathways involve the interaction of phenol molecule with cyclic and linear dehydrated alumina clusters, respectively. The optimized geometries for the reactants, transition states and products are presented in Fig. 4. Table 1 lists energies for physisorbed configurations and dissociative structures as well as prominent interatomic distances for all pathways.

We first consider the interaction along the cyclic alumina structure, pathway a. Fig. 4(a) shows, phenol molecule physically adsorbed on the cluster through a van der Waals interaction between  $\text{O}_{\text{phenol}}$  atom and  $\text{Al}_{\text{cluster}}$  atom with a binding distance of  $1.909 \text{ \AA}$ . The interaction proceeds *via* fission of the hydroxyl's bond over  $\text{Al}-\text{O}$  cluster bond where phenoxy moiety attaches to  $\text{Al}_{\text{cluster}}$  and deducted hydrogen atom binds to  $\text{O}_{\text{cluster}}$  atom. This has resulted in the optimised dissociation product  $P_a$ . Hence, the length of this bond increases by 13.4% with respect to that of the clean cyclic cluster ( $1.822 \text{ \AA}$ ). This value concurs with results obtained in our recent study<sup>51</sup> of the dissociative adsorption of phenol on the dehydrated  $\alpha\text{-Al}_2\text{O}_3$  (0001) surface (11.9%). In comparison, Wang *et al.*<sup>31</sup> showed that the elongation of  $\text{Al}-\text{O}$  bond increased by 6.4% upon the dissociation of a water molecule over this  $\text{Al}-\text{O}$  bond.

The reaction is highly exothermic with an energy barrier of only  $41 \text{ kJ mol}^{-1}$ . Calculated binding energy of the reactant,  $R_a$ , and the product,  $P_a$ , are  $-129 \text{ kJ mol}^{-1}$  and  $-241 \text{ kJ mol}^{-1}$ , respectively (based on eqn (1) in reference to separated reactants). These values also agree with the results obtained in our recent study.<sup>51</sup> We found that, phenol molecule strongly interacts with the dehydrated  $\alpha\text{-Al}_2\text{O}_3$  (0001) surface *via* physisorbed binding energies in the range of  $-127 \text{ kJ mol}^{-1}$  to  $-202 \text{ kJ mol}^{-1}$ , leading to the formation of an adsorbed phenolate with a modest activation energy of  $48 \text{ kJ mol}^{-1}$ .



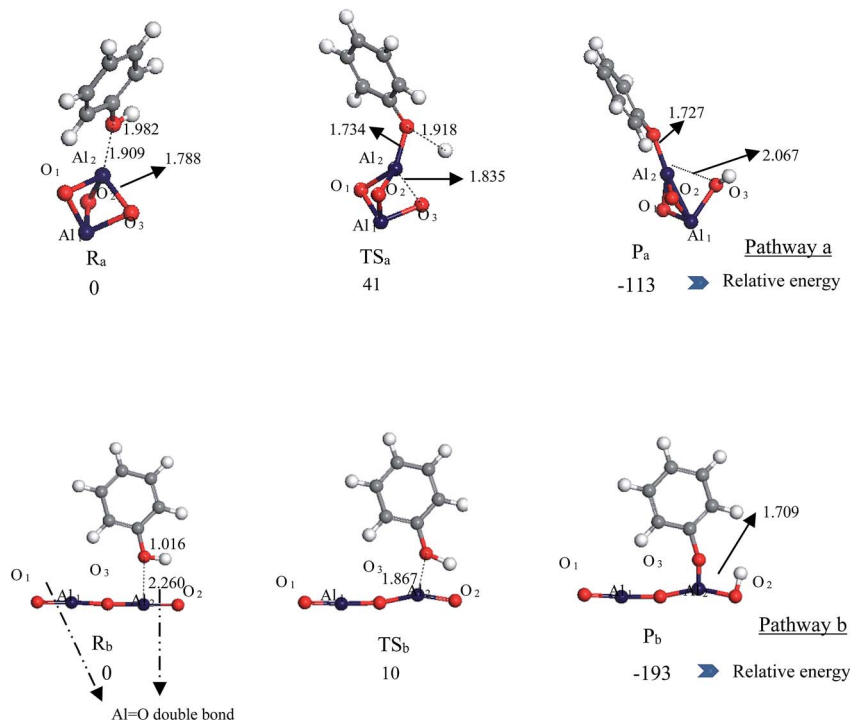


Fig. 4 Optimised geometries for species in the reaction of phenol with dehydrated alumina clusters. Values (in kJ mol<sup>-1</sup>) of energies are in reference to physisorbed reactant.

Considering the second reaction, where phenol molecule interacts with the linear cluster chain of alumina (pathway b), phenol molecule physically adsorbs on the active Al=O double bond site of the cluster with a calculated binding distance of 2.26 Å. The O–H bond length of phenol molecule in *R<sub>b</sub>* (Fig. 4) increases from the equilibrium 0.97 Å distance in the gas phase to 1.016 Å. The exothermic formation of the product *P<sub>b</sub>* requires a trivial activation energy of 10 kJ mol<sup>-1</sup> (*via* direct fission of the phenol's O–H bond catalysed by the cluster's Al–O linkage). Calculated binding energies of the reactant, *R<sub>b</sub>*, and the product,

*P<sub>b</sub>*, are –152 kJ mol<sup>-1</sup> and –345 kJ mol<sup>-1</sup>, respectively (in reference to the separated reactants, Fig. 4). *P<sub>b</sub>* appears relatively more stable than *P<sub>a</sub>*. Activation barrier *via* pathway b is lower by 31 kJ mol<sup>-1</sup> than that along pathway a. This indicates that, the linear chain cluster of alumina is catalytically more active in producing phenolate than the cyclic dehydrated cluster.

Test computations employing the dependence of the reactivity of alumina cluster on the cluster size has been considered. We investigated physisorption and chemisorption interactions of phenol with the larger (Al<sub>2</sub>O<sub>3</sub>)<sub>4</sub> reported in the literature.<sup>64</sup>

Table 1 Binding energies (using eqn (1)) and geometrical parameters for phenol interaction with Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>·*n*H<sub>2</sub>O clusters

Structure	Phenol-cluster spacing in the reactant (Å)	O–H phenol bond distance in the reactant (Å)	Al–O bond distance in the reactant (Å)	Binding (for reactants) and reaction (for products) energies (kJ mol <sup>-1</sup> )
Pathway a	1.909	0.982	1.788	<i>R<sub>a</sub></i> = –129 <i>P<sub>a</sub></i> = –241
Pathway b	2.260	0.985	2.260	<i>R<sub>b</sub></i> = –152 <i>P<sub>b</sub></i> = –345
Pathway c <sub>1</sub>	2.007	0.997	1.793	<i>R<sub>c<sub>1</sub></sub></i> = –143 <i>P<sub>c<sub>1</sub></sub></i> = –225
Pathway c <sub>2</sub>	1.979	0.991	1.739	<i>R<sub>c<sub>2</sub></sub></i> = –147 <i>P<sub>c<sub>2</sub></sub></i> = –167
Pathway c <sub>3</sub>	1.997	0.990	1.742	<i>R<sub>c<sub>3</sub></sub></i> = –129 <i>P<sub>c<sub>3</sub></sub></i> = 17
Pathway g	1.940	1.009	1.630	<i>R<sub>g</sub></i> = –166 <i>P<sub>g</sub></i> = –360
Pathway d	1.725	1.004	1.733	<i>R<sub>d</sub></i> = –81 <i>P<sub>d</sub></i> = –74
Pathway e	1.75	1.007	1.738	<i>R<sub>e</sub></i> = –99 <i>P<sub>e</sub></i> = –135





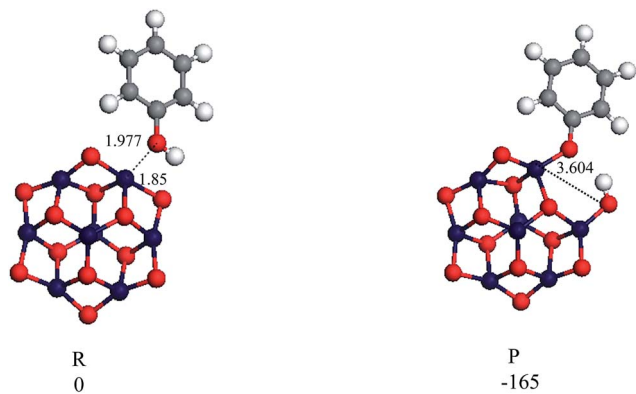


Fig. 5 Optimised geometries for the reaction of phenol with the  $(\text{Al}_2\text{O}_3)_4$  cluster.

Stable optimised structures are shown in Fig. 5. Calculated binding energies of the physisorbed and the chemisorbed structures are  $-150 \text{ kJ mol}^{-1}$  and  $-315 \text{ kJ mol}^{-1}$ , respectively, both values are in a good agreement with the corresponding values we obtained for the smaller dehydrated clusters (*i.e.*,  $-129$  to  $-152 \text{ kJ mol}^{-1}$  and  $-241$  to  $-345 \text{ kJ mol}^{-1}$ , respectively). Furthermore, binding energies obtained for the larger  $(\text{Al}_2\text{O}_3)_4$  cluster are consistent with the calculated binding energies in our recent study<sup>51</sup> for the interaction of phenol with a seven-layer slab of the  $2 \times 2$  (0001) surface alumina ( $-127 \text{ kJ mol}^{-1}$  to  $-202 \text{ kJ mol}^{-1}$ ). Thus, it can be concluded that energies of the surface interaction of phenol with alumina surface do not display sensitivity toward the cluster size. The same observation has been observed by Pan *et al.*<sup>65</sup> in their study of the reaction of 2-chlorophenol over the dehydrated and hydroxylated silica clusters with  $(\text{SiO}_2)_3$  and  $(\text{SiO}_2)_8$  clusters. They have found that binding energies of reactants and products using both structures are within  $(0.4\text{--}17.4) \text{ kJ mol}^{-1}$ .

### 3.3. Reaction of phenol with $\text{Al}_2\text{O}_3 \cdot n\text{H}_2\text{O}$ cluster

We now turn our attention to investigate the interaction of a phenol molecule with hydrated alumina clusters entailing different hydroxylation coverages, (*i.e.*, all the hydrated alumina clusters shown in Fig. 3). The detailed mechanism of the interaction is presented in Scheme 1. Fig. 6 depicts optimised geometries of reactants, transition states, and products; along with their energy profiles.

First, we have studied the interaction of a phenol molecule with the (c) structure, Fig. 3. Three possible pathways have been considered, denoted as  $c_1$ ,  $c_2$  and  $c_3$  in Scheme 1. As Scheme 1 demonstrates, these reaction pathways characterise (i) fission of hydroxyl's bond over the same  $\text{Al}_2\text{--O}_2$  bond (bridging bond), (ii)  $\text{H}_2\text{O}$  elimination mechanism over the same  $\text{Al--OH}$  bond (1,2 non-bridging) and (iii)  $\text{H}_2\text{O}$  elimination route over two  $\text{Al--OH}$  bonds (1,4 non-bridging linkage), respectively (Fig. 3 illustrates atomic numberings). The reaction is initiated by the physisorbed-type interaction between  $\text{O}_{\text{phenol}}$  and  $\text{Al}_{\text{cluster}}$  *via* binding spacings of  $2.007 \text{ \AA}$ ,  $1.979 \text{ \AA}$  and  $1.997 \text{ \AA}$  in the reactants  $R_{c_1}$ ,  $R_{c_2}$  and  $R_{c_3}$ , respectively (Fig. 6). Calculated binding energies of the three reactants stand at  $-143 \text{ kJ mol}^{-1}$ ,  $-147 \text{ kJ mol}^{-1}$

and  $-129 \text{ kJ mol}^{-1}$ , respectively (in reference to the separated reactants). Regarding to the structural changes induced to the cluster; we observe that  $\text{Al}_2\text{--O}_2$  bridging bond in Fig. 6,  $R_{c_1}$  elongates by 2.4% when compared with the corresponding value in the Fig. 3(c) structure while  $\text{Al}_1\text{--O}_1$  in  $R_{c_2}$  and Fig. 6,  $R_{c_3}$  increases by 8.1% and 8.2%, respectively. Dissociative desorption of phenol *via* these pathways leads to the formation of  $P_{c_1}$ ,  $P_{c_2}$  and  $P_{c_3}$  (Fig. 6) intermediates with reaction energies of  $-225 \text{ kJ}$ ,  $-167 \text{ kJ mol}^{-1}$  and  $17 \text{ kJ mol}^{-1}$ , respectively. The thermodynamic penalty associated with the product  $P_{c_3}$  most likely stem from the low coordinated  $\text{Al}_1$  that display a 2-fold coordination.<sup>66</sup>

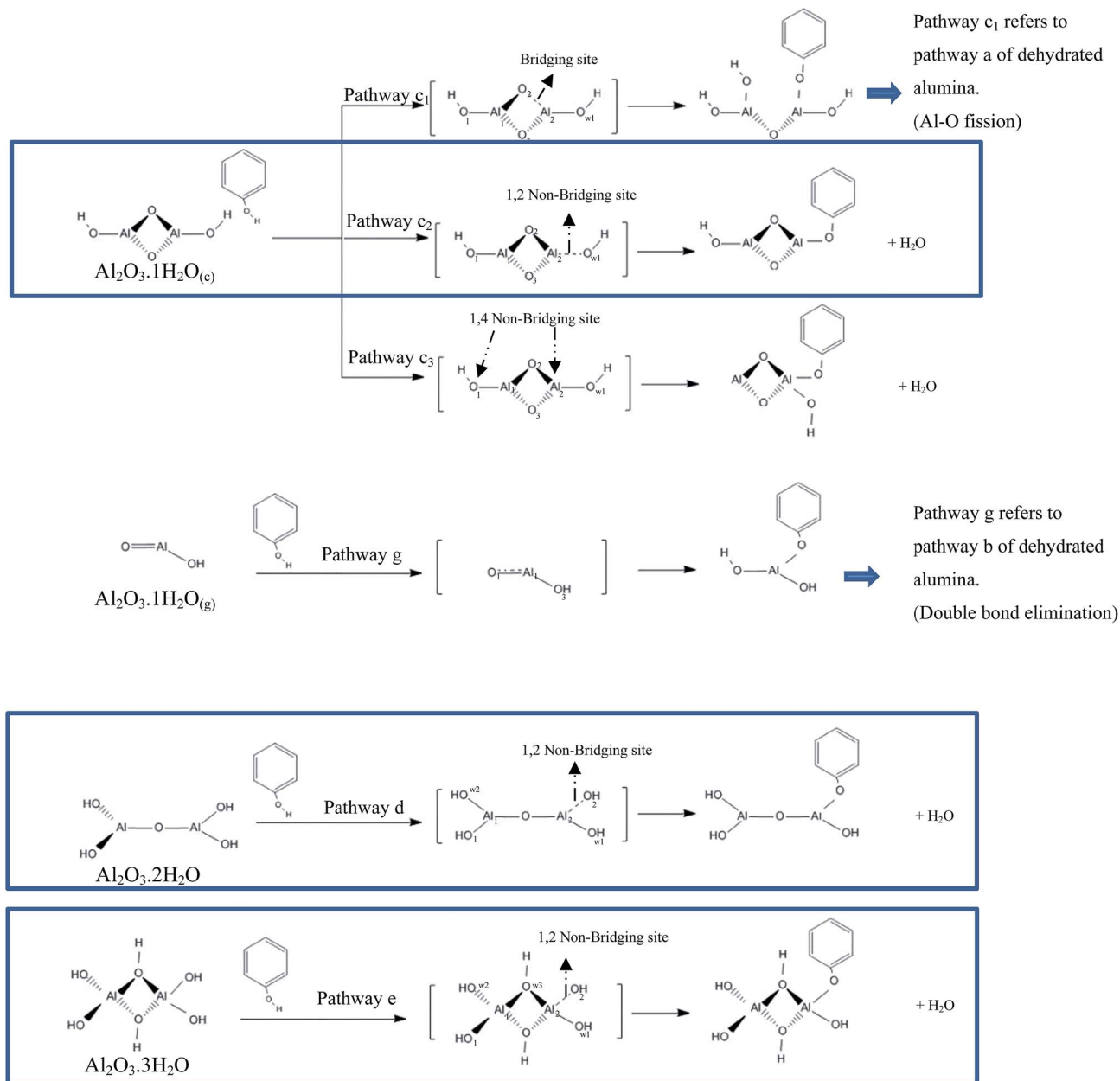
Next, we examine the dissociative adsorption of phenol over the Fig. 3(g) structure. The reaction proceeds *via* the active  $\text{Al=O}$  double bond site of the cluster. We found a slight increase in the O–H bond length of the physisorbed phenol in pathway g ( $1.009 \text{ \AA}$ , Scheme 1), in reference to the gas phase value ( $0.97 \text{ \AA}$ ). The corridor g in Scheme 1 characterises fission of the O–H bond in the phenol molecule in a noticeably exothermic reaction of  $360 \text{ kJ mol}^{-1}$ . The physisorbed state Fig. 6 ( $R_g$ ) in this channel resides  $166 \text{ kJ mol}^{-1}$  below the separated reactants. Compared to Fig. 3(d), the size of hydrated alumina cluster (g) with one water molecule is smaller and it has a planar structure. Therefore, as temperature increases, molecules move faster and they are more likely to collide and hence positively affect the collision frequency,  $A$ .

Reaction pathways  $c_1$ ,  $c_2$  and g proceeds *via* modest energy barriers of  $71 \text{ kJ mol}^{-1}$ ,  $104 \text{ kJ mol}^{-1}$  and  $23 \text{ kJ mol}^{-1}$ , respectively, whereas pathway  $c_3$  incurs a very sizable energy barrier of  $289 \text{ kJ mol}^{-1}$ . Three concluding remarks can be drawn from values in Fig. 6. First, within  $\text{Al}_2\text{O}_3 \cdot 1\text{H}_2\text{O}$  system (*i.e.*; pathways: g,  $c_1$ ,  $c_2$ ,  $c_3$ ), as expected, reaction pathway g is the most favourable channel, presumably due to the presence of the active  $\text{Al=O}$  double bond site. Second, phenol dissociation catalysed/accompanied with water elimination (pathways  $c_2$  and  $c_3$ ) is more energy demanding than phenol decomposition through surface-assisted fission of its hydroxyl O–H bond (pathways  $c_1$ ). For instance, the activation energy in pathway  $c_1$  is lower by  $33 \text{ kJ mol}^{-1}$  than that along pathway  $c_2$ . Third, the activation energy in reaction pathway  $c_3$  as well as the calculated binding energy of the product  $P_{c_3}$  are higher when contrasted with the corresponding values in pathway  $c_2$ , this indicates that water elimination preferentially occurs at the same A–OH linkage; *i.e.*, 1,2-elimination step rather than at different A–OH sites (*i.e.*, 1,4-elimination). This has prompted us to exclude this mechanism (*i.e.*; pathway  $c_3$ ) from further consideration in our subsequent discussion.

Phenol interaction with higher hydrated alumina clusters ( $\text{Al}_2\text{O}_3 \cdot 2\text{H}_2\text{O}$  (d) and  $\text{Al}_2\text{O}_3 \cdot 3\text{H}_2\text{O}$  Fig. 3(e)) is presented in pathways d and e in Scheme 1, with Fig. 7 illustrates optimised geometries and relative energies for species involved in pathways d and e.

Water elimination *via* pathways d and e (Scheme 1) results in the formation of a phenolate moiety *via* activation barriers of  $110 \text{ kJ mol}^{-1}$  and  $142 \text{ kJ mol}^{-1}$ , respectively. Pathways d and e characterise dissociative adsorption of phenol *via* water elimination through 1,2-route; *i.e.*, attachment of the phenoxy'O at





Scheme 1 Reaction of phenol with the hydrated  $\text{Al}_2\text{O}_3$  cluster. The highlighted reactions proceed via  $\text{H}_2\text{O}$  elimination (presenting  $\text{H}_2\text{O}$  elimination mechanism over the same Al–OH bond (1,2 non-bridging)).

the Al atom and the simultaneous departure of the OH group attached to the same Al atom with the hydroxyl H as a water molecule. Higher energy barrier for pathway e in reference to pathways  $c_2$  and d can be rationalised in energy penalty required for the rupture of the Al–O linkage in the former. The degree of hydration of alumina; could be viewed as a factor that partially dictates the catalytic activity of alumina *via* controlling the surface-acid properties.<sup>67</sup> In this regard, Al atom in structure (e) (the  $\text{Al}_2\text{O}_3 \cdot 3\text{H}_2\text{O}$  system), is coordinated by four hydroxyl groups, whereas Al atom in  $c_2$  and d, is less coordinated presenting more acidic structures as illustrated in Scheme 2. From the scheme, we can see that, the addition of water molecule in the structure d blocks the Lewis active site and accordingly

decreases the catalytic activity of the cluster. This view is supported by Digne *et al.*<sup>68</sup> who argued that the lower the Al atom coordination, the stronger – the Lewis acidity. Calculated atomic Mulliken charges on Al atom for (c), (d) and (e) were shown to have more positive values on the four coordinated Al atom of  $1.69e$  (structure (e)) when compared to the three coordinated Al atom (*i.e.*,  $1.52e$  in structures (c) and (d)). A positive correlation is found between the calculated energy barrier and calculated Mulliken atomic charges; *i.e.*, the higher the atomic charges on Al atom, the higher energy required to break Al–OH bond toward generating water molecule (accompanied with the dissociative adsorption of phenol). Thus, the observed increase in barrier for pathway e in reference to pathways  $c_2$  and



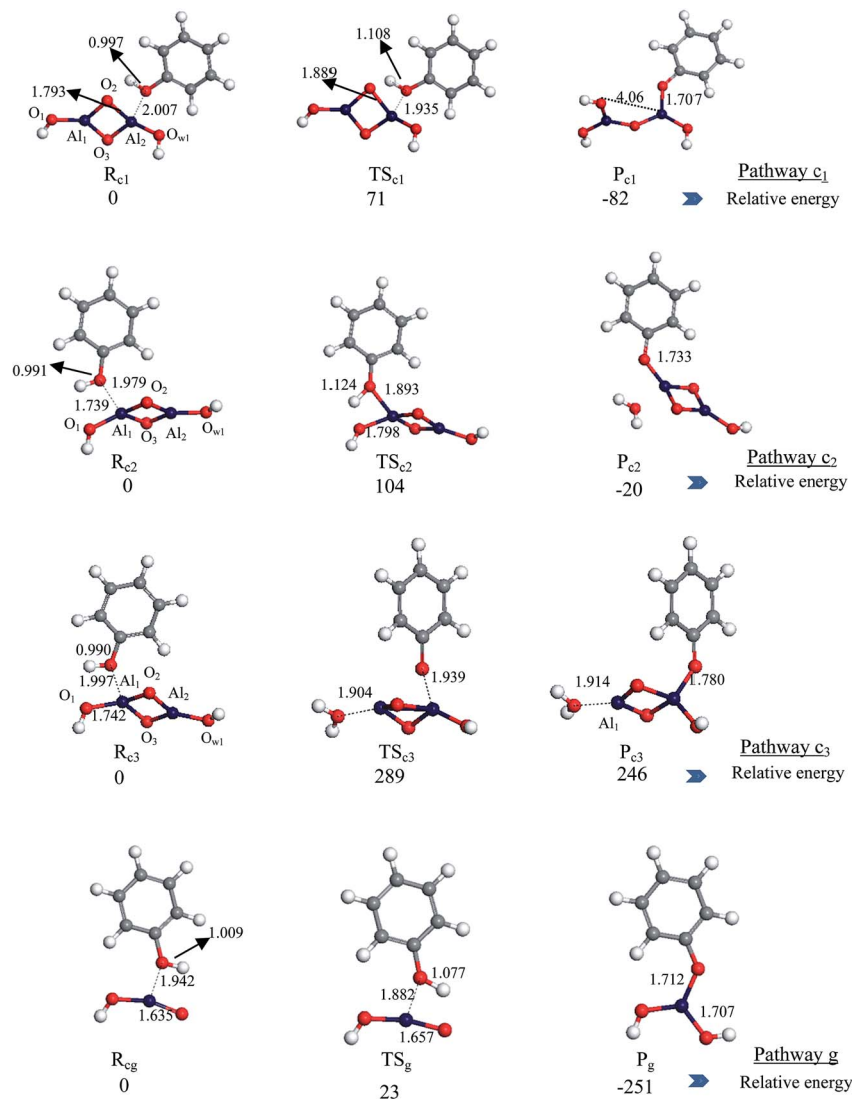


Fig. 6 Optimised geometries involved in the reaction of phenol with c and g clusters. Values (in  $\text{kJ mol}^{-1}$ ) of energies are in reference to physisorbed reactants.

d correlates with partial atomic charges; *i.e.*, a prominent electronic descriptor that determines the acidity character.

Overall, we can compare between hydrated and dehydrated alumina from two different perspectives. First, within fission of hydroxyl's bond reactions over the same Al–O bond; contrasting pathway Fig. 6  $c_1$  with pathway a reveals that, the energy barrier required in hydrated alumina clusters (*i.e.*;  $c_1 = 71 \text{ kJ mol}^{-1}$ ) are significantly higher than that over the dehydrated one (*i.e.*;  $a = 41 \text{ kJ mol}^{-1}$ ), respectively. Second, saturation of Al=O double bonds in the course of the hydroxylation of cluster b into d (refer to Fig. 3) significantly increases the barrier required for water elimination from only  $10 \text{ kJ mol}^{-1}$  to  $110 \text{ kJ mol}^{-1}$ . Together, these findings indicate that dehydrated alumina clusters are more active in producing phenolate than hydrated alumina clusters. This observation agrees with the findings of Pan *et al.*<sup>65</sup> who found that, the dehydrated silica cluster (with the presence of two ends of S=O double bonds sites) produces chlorophenolate from 2-chlorophenol through an energy barrier of only  $21 \text{ kJ mol}^{-1}$ ,

compared with  $70 \text{ kJ mol}^{-1}$  over the hydrated cluster (the same cluster after the two Si=O double bonds sites become saturated).

The most obvious finding to emerge from inspection of data in Table 1 is that, in both hydrated and dehydrated alumina, the dissociated product *via* Al=O double bonds site of the cluster is more stable than that leading to Al–O bond cleaving. For example, reaction energies that mark the formation of  $P_g$  and  $P_b$  (double bonds sites) amount to  $-360 \text{ kJ mol}^{-1}$  and  $-345 \text{ kJ mol}^{-1}$ , respectively, while the analogous energies of  $P_{c_1}$  and  $P_a$  are  $-225 \text{ kJ mol}^{-1}$  and  $-241 \text{ kJ mol}^{-1}$ , (A–O bond fission), respectively. This finding is in agreement with those obtained by Pan *et al.*<sup>65</sup> The authors found that dissociative adsorption of 2-chlorophenol through rupture of its hydroxyl's bond over a S=O double bonds site forms more stable product by  $136 \text{ kJ mol}^{-1}$  than that leading to Si–O bond cleaving.

Desorption of the phenolate moiety from both dehydrated alumina cluster and hydrated alumina clusters, was found to be highly endothermic by  $334\text{--}373 \text{ kJ mol}^{-1}$ . This finding is in





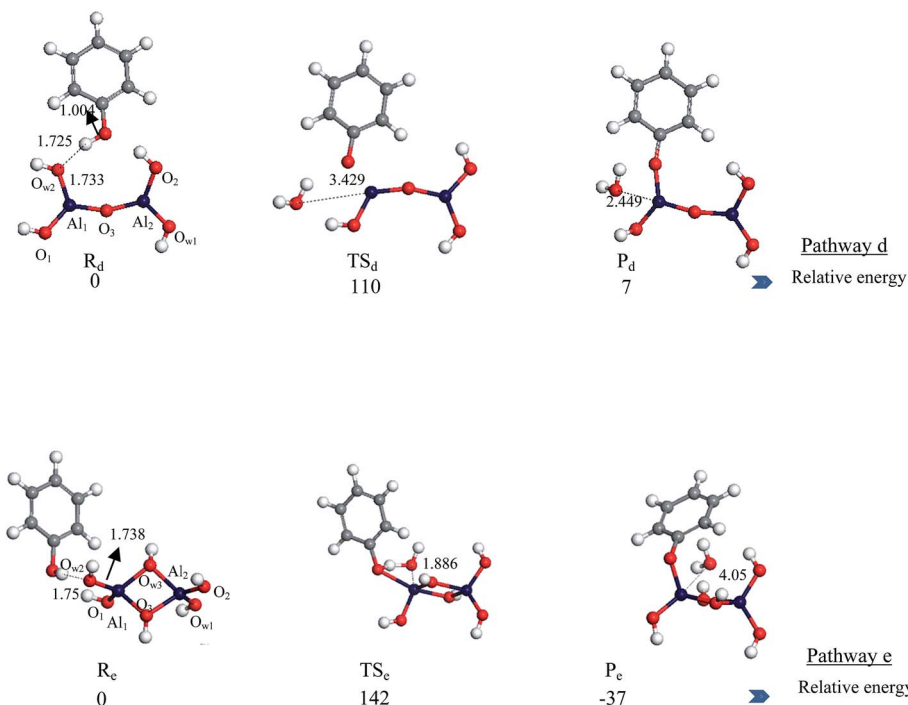


Fig. 7 Optimised geometries involved in the reaction of phenol with d and e clusters. Values (in  $\text{kJ mol}^{-1}$ ) of energies are in reference to physisorbed reactants.

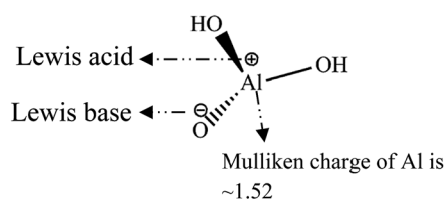
accord with those reported in our recent study for the interaction of phenol<sup>51</sup> and chlorophenol<sup>69</sup> molecules over alumina surfaces and silica clusters,  $394 \text{ kJ mol}^{-1}$  and  $379 \text{ kJ mol}^{-1}$  respectively. This profound stability of phenolate enables it to undergo bimolecular reactions *via* the so called Eley-Rideal (ER) and Langmuir-Hinshelwood (LH) mechanisms<sup>48</sup> to produce dibenzo-*p*-dioxin and dibenzofuran; respectively. In alternative corridor, decomposition of phenolate on the surface is expected to initiate the formation of soot.

### 3.4. Kinetic consideration

We conclude the study by implementing a microkinetic analysis of the abovementioned reactions in this study. Fig. 8 represents

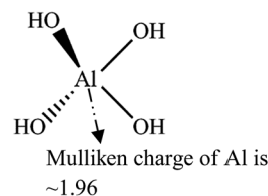
Arrhenius plots while Table 2 displays the calculated reaction rate parameters. Within the considered temperature limit, reactions pathway  $c_3$  displays the highest activation energy and relatively high dependence on temperature. For all reactions, the fitted Arrhenius energy of activation ( $E_a$ ) largely reflects corresponding energy barriers presented in Fig. 3, 6 and 7. Calculations of the conversion-temperature profiles were carried out based on a simplified plug flow reactor (PFR) model. The material balance equations were solved by Polymath software:<sup>70</sup>

$$r_B = -r_A = \frac{d(F)}{d(W)} \quad (3)$$



$c_2$  and d Structures

Addition of a water molecule to alumina cluster blocks the active Lewis acid site.



e Structure

Scheme 2 Structure of acidic and basic site of alumina cluster.



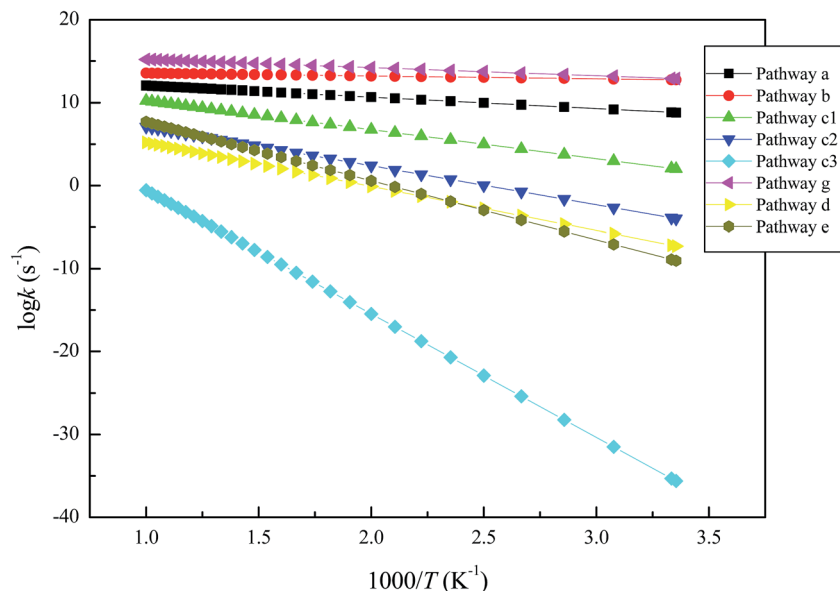


Fig. 8 Arrhenius plots for the studied reactions.

Table 2 Arrhenius kinetic parameters

Reaction	$E_a$ (kJ mol <sup>-1</sup> )	$A$ (s <sup>-1</sup> )
Pathway a	27	$2.76 \times 10^{13}$
Pathway b	7	$7.50 \times 10^{13}$
Pathway c <sub>1</sub>	67	$5.60 \times 10^{13}$
Pathway c <sub>2</sub>	90	$6.35 \times 10^{11}$
Pathway c <sub>3</sub>	285	$2.09 \times 10^{14}$
Pathway g	19	$1.50 \times 10^{16}$
Pathway d	102	$3.29 \times 10^{10}$
Pathway e	136	$6.28 \times 10^{14}$

In the considered reaction, ( $A \rightarrow B$ ), A and B represents the molecularly adsorbed phenol and the dissociative structures; respectively.  $r$  denotes the reaction rate,  $F$  symbolizes the molar

flow rate of phenol in mol s<sup>-1</sup>, and  $W$  stands for alumina catalyst weight in kg. The reaction is considered to be first order with respect to phenol. The model utilises reaction rate constants given in Table 2. The catalytic destruction of phenol molecule over  $\text{Al}_2\text{O}_3 \cdot n\text{H}_2\text{O}$  catalysts as a function of temperature is presented in Fig. 9. It can be seen that all hydrated coverage of alumina clusters exhibits a highly catalytic destruction activity for phenol molecule, evident by high conversion at low temperatures. Calculated temperature of 90% destruction of phenol molecule ( $T_{90\%}$ ) occurs at 350 K, 925 K, 425 K and 425 K for pathways c<sub>2</sub>, c<sub>3</sub>, d and e, respectively. To the best of our knowledge, literature provides no conversion values pertinent to interaction of phenol with  $\alpha\text{-Al}_2\text{O}_3$ . Considering the very high desorption energy for phenolate, formation of gas

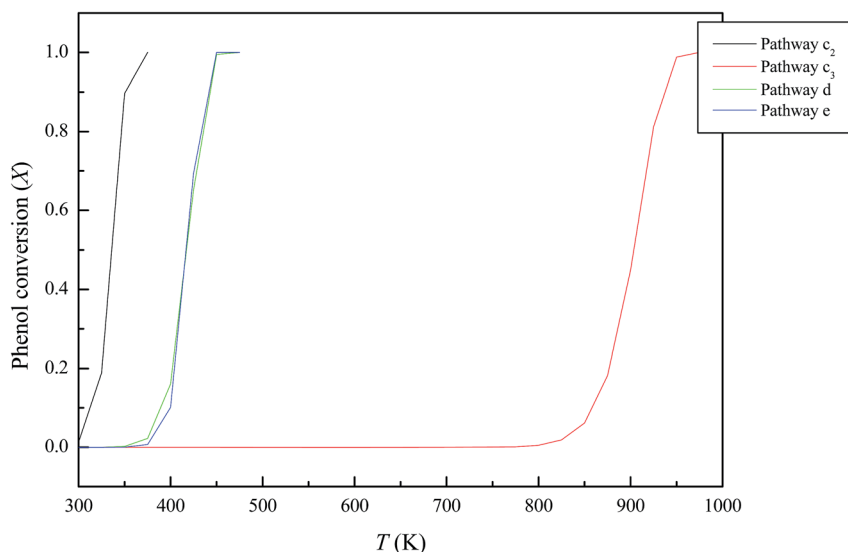


Fig. 9 Conversion values for phenol molecule decomposition over  $\text{Al}_2\text{O}_3 \cdot n\text{H}_2\text{O}$  clusters.



phase phenoxy should be hindered. The LR and LH mechanisms operated by the highly stable surface-bounded phenolate species, remains largely speculative.

## 4. Conclusion

This study sets out to assess the effect of surface acidity on the interaction of alumina with phenol molecules. Interaction of phenol molecule with dehydrated alumina clusters proceeds *via* fission of the hydroxyl's bond over either Al–O single bond or Al=O double bond. Clusters with the active Al=O double bond (*i.e.*, b) are catalytically more active in producing phenolate when compared to structures where all bonds are saturated (*i.e.*, a, Al–O single bonds). Overall, c channels that proceeds through fission of the hydroxyl's bond over Al–O (*i.e.*; c<sub>1</sub>) are more feasible than those proceeding *via* H<sub>2</sub>O elimination routes (*i.e.*; c<sub>2</sub> and c<sub>3</sub>). The most obvious finding to emerge from this study is that adding water molecules increases the coordination number of Al atoms and acts to block available Lewis acid sites for uptake of phenol molecules. Simplified kinetics model suggests dissociation of phenol molecules at low temperatures; however, subsequent desorption of adsorbed phenolate into gas phase phenoxy radicals is hindered by very sizable desorption energies.

## Conflicts of interest

There are no conflicts of interests to declare.

## Acknowledgements

This work has been supported by the Australian Research Council (ARC) (Project no. DP140104493). The National Computational Infrastructure (NCI), Australia and Pawsey Supercomputing Centre in Perth are also acknowledged grants of computational time. N. A. and J. A. greatly appreciated Murdoch University for the award of a postgraduate scholarship.

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