# Chemical Science



## **EDGE ARTICLE**

View Article Online
View Journal | View Issue



Cite this: Chem. Sci., 2017, 8, 2597

# Molecular dynamics and charge transport in organic semiconductors: a classical approach to modeling electron transfer†

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Organic photovoltaics (OPVs) are a promising carbon-neutral energy conversion technology, with recent improvements pushing power conversion efficiencies over 10%. A major factor limiting OPV performance is inefficiency of charge transport in organic semiconducting materials (OSCs). Due to strong coupling with lattice degrees of freedom, the charges form polarons, localized quasi-particles comprised of charges dressed with phonons. These polarons can be conceptualized as pseudo-atoms with a greater effective mass than a bare charge. We propose that due to this increased mass, polarons can be modeled with Langevin molecular dynamics (LMD), a classical approach with a computational cost much lower than most quantum mechanical methods. Here we present LMD simulations of charge transfer between a pair of fullerene molecules, which commonly serve as electron acceptors in OSCs. We find transfer rates consistent with experimental measurements of charge mobility, suggesting that this method may provide quantitative predictions of efficiency when used to simulate materials on the device scale. Our approach also offers information that is not captured in the overall transfer rate or mobility: in the simulation data, we observe exactly when and why intermolecular transfer events occur. In addition, we demonstrate that these simulations can shed light on the properties of polarons in OSCs. Much remains to be learned about these quasi-particles, and there are no widely accepted methods for calculating properties such as effective mass and friction. Our model offers a promising approach to exploring mass and friction as well as providing insight into the details of polaron transport in OSCs.

Received 11th October 2016 Accepted 3rd January 2017

DOI: 10.1039/c6sc04547b

www.rsc.org/chemicalscience

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#### Introduction

Since the discovery of high electrical conductivity in organic materials in the 1970s,1 organic semiconductors have been a focus of intense research, with applications ranging from field-effect transistors (FETs) to light-emitting diodes (LEDs) and organic photovoltaics (OPVs). In addition to the advantages of light weight, flexibility, and transparency, organic semiconductors have a relatively low-energy production process and are composed of abundant materials, offering the low cost of production needed for economic viability of widespread use.2-15 While some organic semiconductors such as LEDs for displays have already been successfully commercialized, other technologies struggle with low efficiencies that limit integration into the mass market. A major factor limiting the efficiency of OPVs is the inefficiency of charge transport, with charge mobilities much lower than those of their inorganic photovoltaic counterparts.16 Development of theoretical methods that accurately model charge transport would represent a major contribution to the optimization of this promising renewable energy technology.

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<sup>†</sup> Electronic supplementary information (ESI) available. See DOI: 10.1039/c6sc04547b

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Because of the relatively large size of OPV systems (with a typical active layer >100 nm in thickness), modeling of charge transport on the device scale presents a daunting problem in terms of computational cost. Although there have been major developments in linear-scaling density functional theory (DFT),17 the tens of millions of atoms in a representative volume are prohibitively costly for dynamical methods such as timedependent DFT. Even the simpler ground state calculations would be prohibited by memory issues on most supercomputers. Here, we propose a simplified approach in which charge transport is modeled with Langevin molecular dynamics (LMD).18 LMD is fundamentally a classical method, while charges are traditionally assumed to require quantum mechanical treatment. However, we argue that in some organic semiconductors, the unique properties of charges in these materials permit an accurate classical treatment.

In many organic electronic materials, charges are coupled to surrounding nuclei. The Coulombic interaction between the negative charge of an excess electron and the positive charge of surrounding nuclei pulls nuclei toward the charge (or in the case of a positively charged hole, repels the nuclei). This interaction with the nuclei creates a "polaron," a charge dressed with phonons, which then transfers between molecules via a thermally activated hopping process. Understanding the electronic structure of these charges and their coupling to molecular motions presents a major challenge in theoretical modeling.15,19-25 However, in this challenge there may also lie an opportunity for simplified modeling. We argue that because of the mass of the nuclei to which charges are coupled, polarons can be treated as localized quasi-particles with an effective mass greater than that of bare charges. With this greater mass, a classical treatment can be applied in which the polaron acts as a pseudo-atom obeying Newton's laws. Moreover, if the dynamics of the polaron pseudoatoms can be modeled on a potential energy surface defined by the atoms in the OPV active layer (allowing the properties of the active layer atoms to be considered without explicit treatment of their dynamics), the scale of the problem is drastically reduced. The typical OPV charge density of 10<sup>21</sup> to 10<sup>23</sup> polarons per m<sup>3</sup> indicates that a 100 nm thick region would only contain 1-100 polarons. 16,26-28 Here, we present a multiscale approach grounded in constrained density functional theory (CDFT)29 calculations. We demonstrate that once a set of CDFT calculations are conducted to build a potential energy surface (PES) for LMD charge transfer, the transfer process itself can be modeled at an almost negligible computational cost.

In this work, we do not tackle the full challenge of modeling a large region of an OPV, but rather we present the building blocks of such a method by studying the charge transfer properties of a pair of fullerenes. We apply our model to phenyl- $C_{61}$ -butyric acid methyl ester (PCBM) molecules, with the crystal structure shown in the upper part of Fig. 1.<sup>30</sup> Fullerenes are widely used in organic semiconductors, with PCBM being one of the most commonly used electron acceptors in OPVs. PCBM is also a convenient choice for developing our model due to recent work suggesting that excess charges are mostly localized to a single molecule in PCBM materials, <sup>31</sup> allowing a straightforward application of our model in which charges are assumed

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phenyl-C<sub>61</sub>-butyric acid methyl ester

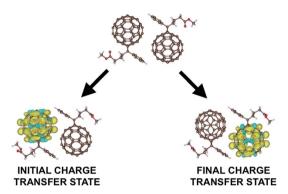


Fig. 1 Here we show the crystal structure of two PCBM molecules as obtained by ref. 29. Below we show the difference in  $\alpha$  and  $\beta$  spin densities for the two constrained structures used to construct the reaction coordinate. We see that CDFT produces an anionic electronic state in which one excess charge with  $\alpha$  spin is constrained to a chosen set of atoms.

to hop from one molecule to the next in localized states. Defining the extent of delocalization of a charge in a given material is a challenge in itself (and in fact there is conflicting literature regarding PCBM, with ref. 32 suggesting that the charge tends to delocalize over multiple molecules). If a polaron is delocalized over multiple molecules but still moves *via* thermally activated hopping, our model is still in principle applicable. However, the computational cost would increase, as a more delocalized state would require a larger number of atoms in each CDFT calculation. Here for convenience we work with a small polaron restricted to a single PCBM molecule.

We emphasize that the model we present of a polaron traveling via hopping is not an accurate description of charges in every organic semiconductor. The nature of charge transfer in these materials is a very active area of research. Dynamics of polaron transport derived from a master equation approach demonstrate that polarons may exhibit hopping or band-like transport depending on parameters such as system-phonon coupling strength and temperature.33 Some materials have shown signs of band-like transport, while other literature suggests that charge transport in organic semiconductors is not fully described by a hopping or band-like transport model.34-36 Our description of the charge as a classical particle governed by the dynamics of LMD is not appropriate for these cases. Rather, our model provides an effective description for the case of polaronic charges that transfer between molecules via a hopping process and are effectively weighed down by their coupling to nuclei. We argue that in such cases, the polaron can be conceptualized a classical pseudo-atom with unique opportunities for theoretical modeling.

The use of CDFT to produce diabatic PESs, and, *via* diagonalization of the resulting Hamiltonian, produce adiabatic PESs, is well established. For example, this method has been used to calculate charge transfer rates *via* Marcus theory.<sup>29,37-42</sup> In 2000, Kim *et al.* suggested that a charge could be treated *via* the Langevin equation.<sup>43</sup> Beyond CDFT and LMD, many other

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models of charge transport in organic semiconductors have been proposed (see ref. 14, 19, 35 and 44-47 for thorough discussions of previous work). However, to our knowledge no one has conducted molecular dynamics simulations of polaron dynamics using CDFT PESs. Here we present such simulations and the resulting predictions of transfer rates. Going beyond transfer rates that describe charge transfer in a material with a single number, we also show how the simulation data provide insight into exactly when and how individual transfer events occur. We demonstrate that the LMD simulation approach is unique both in the information that can be incorporated into the model and the information that can be extracted from the

## The model and its application to PCBM

First, we give a brief overview of the concept of pseudo-atom charge transfer. We then summarize the fundamental steps in building this pseudo-atom model, conducting simulations, and analyzing the results. The subsequent sections each correspond to one of these steps. Each section corresponding to a given step will first present the formalism in general terms and then describe its application to PCBM charge transfer.

#### Overview of the pseudo-atom approach

Henceforth we will use the phrases "polaron" and "pseudoatom" interchangeably to emphasize that within our model, the charge along with its coupling to nuclear positions together form a quasi-particle or "pseudo-atom." It is with this pseudoatom that classical dynamics are applied. In this approach the pseudo-atom is not described by the charge alone, but rather by a composite degree of freedom which is a combination of the positions of the nuclei that then determine the location of the charge. This definition of a polaron as a charge coupled to nuclei describes the nature of small polarons in organic semiconductors, and captures the entangled nature of the charge and its influence on its surroundings.

Our PES describes the energy of the pseudo-atom as it moves from one molecule to another (the process of polaron transfer). Because our pseudo-atom describes a charge coupled to nuclei, this transfer process is described by the gradual change in the positions of the PCBM atoms that occurs as the charge moves in space from the initial state to the final state. We can think of this gradual change in nuclear positions as the "reaction coordinate" for polaron transfer. The PES is created as a function of this reaction coordinate. The PES as a function of the reaction coordinate can then be transformed to a PES as a function of a spatial coordinate, which is then utilized in LMD simulations. This derivation of the PES is discussed in detail below.

The propagation of a particle in one dimension in the LMD formalism is described by the Langevin equation:18

$$m\ddot{x} = -\nabla U(x) - \gamma m\dot{x} + R(t) \tag{1}$$

Here we apply this equation to model the location of our quasiparticle or "pseudo-atom." m represents mass, which we will

henceforth denote as  $m_{\rm eff}$  as a reminder that we are dealing with the effective mass of the pseudo-atom, a property of the surrounding nuclei and their vibrational modes. x is the pseudo-atom position, U(x) is the potential energy of the pseudo-atom,  $\gamma$  is the friction that the pseudo-atom experiences from the surrounding environment, and R(t) represents the random force created by thermal fluctuations. R(t) is described by a Gaussian distribution centered at zero with a temperaturedependent width and is related to  $\gamma$  via the fluctuation-dissipation theorem.

#### Summary of formalism and its implementation

Before discussing the details of the model, we pause here to give a step-by-step summary of the seven fundamental steps of this formalism. Each of these steps (described only briefly in the summary below) will be presented in detail in the following sections.

- (1) Perform CDFT calculations, as well as unconstrained DFT calculations, to find the optimized geometries of the initial state, final state, and transition state. These three optimized structures are used to form a "reaction coordinate", where the reaction coordinate describes the gradual change in nuclear positions as the system moves from the initial state optimized geometry to the final state optimized geometry.
- (2) Perform single-point CDFT calculations for a series of geometries along the reaction coordinate. There are two sets of single-point CDFT calculations. In one, the charge is constrained to a single fullerene (the initial state). In the next, the charge is constrained to the other fullerene (the final state). By implementing these constraints while gradually changing the geometries, we obtain diabatic PESs for charge transfer.
- (3) Use diabatic PESs to compute the adiabatic PESs. The lower adiabat is then used to create our PES for LMD.
- (4) This adiabatic PES, like the diabatic PESs, is a function of the reaction coordinate. However, we wish to obtain a pseudoatom PES as a function of the spatial coordinate: the movement of the pseudo-atom in space as it travels over a distance of several angstroms from one fullerene to the next. In this fourth step, we convert the PES from a reaction coordinate to a spatial coordinate.
- (5) Effective mass and friction are assigned (for PCBM, we will explore a range of values).
- (6) The spatial-coordinate PES, the effective mass, and the friction are then used as input for our LMD simulations. Because the LMD equation involves a random force term (representing the thermal effects of the environment), many simulations should be performed to obtain an appropriate sampling of trajectories. Here we perform 500 simulations for each point in our parameter space (where the effective mass and friction terms are varied).
- (7) LMD results are then analyzed to compute charge transfer rates and examine the details of polaron movement through space.

We describe this procedure in detail in the following sections, with each section presenting a given step in formalism and then discussing its application to PCBM.

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1. CDFT optimizations and the definition of the reaction coordinate. CDFT optimizations can be used to describe the initial state and final state of charge transfer by performing a DFT optimization with the excess charge constrained to only one of the two molecules that are involved in the charge transfer event. Here, these two molecules are a pair of PCBM fullerenes. We will define our initial and final states as systems in which the excess charge is constrained to "fullerene #1" or "fullerene #2". We visualize such CDFT constraints on the PCBM dimer in the lower half of Fig. 1, using a spin density image to show the location of the excess charge. Notably, the charge can be constrained to either the entire PCBM molecule or to only the  $C_{60}$  cage, as discussed in the ESI.† A transition state can be estimated by performing an unconstrained DFT optimization in which the charge is free to delocalize over both molecules.

As discussed above, it is also possible to use initial and final states in which a more delocalized charge is constrained to a set of several molecules. In this article, for the sake of simplicity, our language will refer to bimolecular systems in which a charge is constrained to a single molecule.

The optimized geometries are represented with arrays R, where each array contains the xyz coordinates of each atom in the PCBM molecules. The geometries corresponding to the reaction coordinate  $R_q$  are then extracted from the optimized geometries of the initial state  $(R_1)$ , transition state  $(R_T)$ , and final state  $(R_2)$  for the charge transfer event. This gradual change can be described by the following interpolation suggested by Wu  $et\ al.$ :

$$R_{\rm q} = \frac{q(q+1)}{2}R_1 - (q-1)(q+1)R_{\rm T} + \frac{q(q-1)}{2}R_2$$
 (2)

with the interpolation parameter q varying from -1 to 1.

In implementing step #1 for the PCBM dimer, the crystal structure of two PCBM molecules shown in the upper part of Fig. 1 is used as the starting structure for all optimizations. Although the disordered nature of OPVs almost certainly leads to significant variability in the relative orientations of PCBM molecules, here for simplicity we work only with the crystal structure. Other work applying DFT to the PCBM crystal structure shows that use of this geometry produces efficiency results consistent with experimental observations of charge mobility in PCBM.<sup>48</sup> The parameters of the DFT calculations are described in the ESI.† Due to the difficulty of defining an implicit solvent that represents a solid state OPV environment, the DFT calculations are conducted in the gas phase (with effects of the environment inherently included in the mass and friction of the LMD formalism).

**2. Calculation of diabatic PESs.** In step #1 we obtained a reaction coordinate of the gradual change in nuclear positions that occurs as a charge transfers from one molecule to the next. Next, we create diabats by conducting CDFT single-point energy calculations for a series of PCBM geometries along the reaction coordinate  $R_{\rm q}$ . Each point along a diabat gives the energy of a constrained system (with the charge constrained to fullerene #1 or fullerene #2) for a set of nuclear positions corresponding to a point on the reaction coordinate. Thus, one diabat corresponds to the case of the charge constrained to fullerene #1, and

the other diabat corresponds to the case of the charge constrained to fullerene #2. The diabats produced from our PCBM calculation are presented in the following section (in combination with presentation of the adiabats).

3. Calculation of adiabatic PESs. The diabats and their couplings can then be used to derive adiabatic PESs as described by Wu et al., who demonstrate that couplings can be effectively approximated using the Kohn-Sham wavefunctions produced by CDFT calculations.40 Because the adiabats represent a charge moving gradually along the reaction coordinate rather than reflecting a charge constrained to one molecule, they are the more natural choice for a PES that is applied to Langevin molecular dynamics. The shape of these adiabats captures the coupling between the diabats, and we place no restrictions on the level of coupling that can be treated with this approach: a high coupling will result in a low peak (perhaps even a minimum in the adiabat that will favor a polaron delocalized between the molecules), and a low coupling will result in a high peak in the adiabat. Many studies have examined the effects of couplings or temperature on the nature of charge or energy transport, and previous literature suggests that for V  $\ll k_{\rm B}T$ , electron transfer is nonadiabatic. Thus, our approach of modeling transfer with an adiabatic PES may be less accurate at very low couplings or high temperatures. The sensitivity of the transport process to couplings, temperature, and dephasing (where dephasing rates are influenced by temperature) has been repeatedly demonstrated in master equation modeling of charge and energy transfer, where variations in these parameters can influence delocalization and the coherent vs. incoherent nature of transport.33,60-67 Applying the coupling between diabats computed in our PCBM calculations (room temperature),  $\beta V = 2.66$ , suggesting that for this system an adiabatic model is appropriate. We apply the lower energy adiabat as the PES for our calculations (consistent with the conclusion of Cao and Jung<sup>49</sup> that for  $\beta V > 1$  the upper surface is not thermally accessible and transfer occurs along the lower adiabatic surface).

A full-dimensional LMD calculation would obviously require a full-dimensional PES, which, although in principle possible, would be a major endeavor to derive from a set of CDFT calculations. Here we suggest a simpler approach: we perform LMD for a charge transfer event in which the charge is assumed to move in a straight line from one molecule to another, allowing a one-dimensional (1D) PES to be used. A 1D approach to modeling polaron transfer has been successfully applied in other work studying organic semiconductors, where a master equation approach to modeling polaron transfer demonstrated good agreement with experimental charge transfer rates when applied to a 1D model system.<sup>33</sup>

In applying steps #2 and #3 to PCBM, some experimentation (including the variation of density functional models, constraints, and omission of outlying points) was necessary to obtain physically reasonable PESs. We describe this process in detail in the ESI,† noting that such careful treatment of CDFT results may frequently be necessary to create appropriate PESs for this model. Ultimately we obtained the diabats and adiabats shown in Fig. 2, which give energies as a function of the reaction

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Higher adiabat Diabat #1

Lower adiabat

Diabat #2

Value of q in Computing Reaction Coordinate

Fig. 2 Diabatic and adiabatic PESs obtained from CDFT calculations. These PESs are a function of reaction coordinate rather than space. The x-axis shows the value of the interpolation parameter q of eqn (2) that is used to derive the reaction coordinate. Diabat #1/2 corresponds to constraint of the charge to fullerene #1/2 as referenced in the text. Images of the initial and final spin densities are shown to represent the change in the system as it moves along the reaction coordinate. As indicated by the black arrows, transport in our model represents movement along the lower energy adiabat.

coordinate. These diabats are obtained from 17 points along the reaction coordinate; because a continuous adiabat is needed for LMD, all simulations employed a cubic spline interpolation to create a continuous PES. As discussed above, this reaction coordinate describes the change in positions of the atoms in the PCBM molecules as a polaronic charge moves from one molecule to another. As described in eqn (2), this reaction coordinate is a function of the interpolation parameter q, which is shown on the x-axis of Fig. 2. (While a range of  $-1 \le q \le 1$  is used in the creation of the reaction coordinate, the omission of outlying points described in the ESI† leaves the range of  $-1 \le q \le 0.6$  shown in Fig. 2.) This charge transfer event is illustrated by the CDFT spin density images shown below the plot.

We note that the PES used for the calculations is asymmetric, with an adiabatic final state energy 100.4 meV lower than the adiabatic initial state energy, as shown in Fig. 2. This deviation will lead to somewhat higher transfer rates relative to a symmetric PES. The asymmetry of the PES is partly an artifact of the removal of outlying points, as discussed in the ESI.† However, we also found that the CDFT calculations consistently produced different diabatic energies for the initial and final states, in spite of the dimer system having  $C_i$  symmetry. This deviation reflects the challenge of performing geometry optimizations for the large systems involved in OPVs, where geometries are prone to become trapped in local minima. One could force symmetry into the reaction coordinate by performing a single CDFT calculation and applying the result to both the initial and final states via symmetry operations; however, there is nothing especially desirable about a symmetric PES for methods development purposes. An asymmetric PES would

actually be the norm in real organic semiconductors because they frequently are disordered, so we view the convergence-based asymmetry as an asset for testing the overall LMD approach. Of course, the disordered nature of OPVs must lead to great variation in the PESs between different pairs of molecules, and we wish to be clear that the goal of this work is not to explore the vast array of geometries and PESs that are present in a disordered OPV. We simply seek one reasonable PES for the purpose of developing and testing the LMD model.

**4.** Conversion of adiabat from reaction coordinate to spatial coordinate. The PESs that are a function of the reaction coordinate work well for calculating Marcus theory charge transfer rates. The Mount of a particle in space, the adiabat must be mapped from the reaction coordinate onto a spatial coordinate. While the reaction coordinate represents a change in the positions of the PCBM atoms as a polaronic charge moves from one molecule to another, the spatial coordinate represents the position of the pseudo-atom as it moves over several angstroms from one molecule to the next.

The conversion to a spatial coordinate is straightforward, using the variables  $c_1$  and  $c_2$  that describe the contribution of each diabat to the adiabat at a given point on the reaction coordinate. The variables  $c_1$  and  $c_2$  are calculated when converting diabats to adiabats following ref. 40. With the positions of the fullerenes denoted as  $x_1$  and  $x_2$ , the spatial coordinate of each adiabatic energy point is calculated as:

$$x_{sp} = A_1 x_1 + A_2 x_2$$

$$A_1 = \frac{c_1^2}{c_1^2 + c_2^2}$$

$$A_2 = \frac{c_2^2}{c_1^2 + c_2^2}$$
(3)

We thus obtain an adiabatic PES describing the energy of our pseudo-atom as it moves through space.

In our PCBM calculations,  $x_1$  and  $x_2$  are set 10 Å apart in accordance with the center-to-center distance in PCBM crystals. Fig. 3a shows the lower adiabat converted from a reaction coordinate to a spatial coordinate as described by eqn (3). C<sub>60</sub> cages are added to show the approximate location and size of the fullerenes. To create a complete PES for our LMD simulations, the PES was mirrored around the starting point and then expanded in space with periodic boundary conditions, as shown in Fig. 3b. Our LMD simulations begin with the pseudo-atom at point B, and we consider transfer to have occurred if the pseudo-atom reaches point A or C.

The creation of the PES described by steps #1–4 is summarized by the flow chart in Fig. 4. Beginning at the top of the flow chart, we see that the geometries of the initial, final, and unconstrained states are calculated, where yellow ovals indicate the atoms over which the charge is allowed to delocalize. These geometries are then applied via eqn (2) to create a reaction coordinate  $R_q$ , using the interpolation parameter q that varies from -1 to 1. The coloring of the arrays  $R_1$ ,  $R_T$ , and  $R_2$  shown in cyan, magenta, and purple in eqn (2) correspond to the coloring

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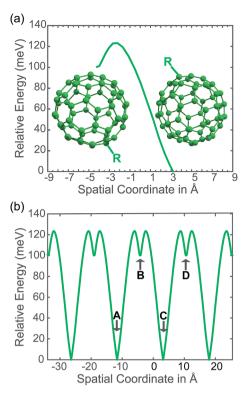


Fig. 3 In (a) we show the PES of the lower adiabat converted from a reaction coordinate (which reflects a change in the positions of the nuclei coupled to the charge) to a spatial coordinate (which reflects the position in space of our polaron pseudo-atom). We show the approximate size and location of the fullerenes in a PCBM crystal with the functional groups denoted as "R". In (b) we show the PES employed in our simulations, in which the surface in (a) is mirrored and then extended with periodic boundary conditions. Points A, B, C, and D are noted for the purposes of defining transfer events, where transport from one of these points to the next is considered a transfer event.

of the optimized geometries in the top panel. We then compute diabatic and adiabatic PESs as a function of this reaction coordinate, shown in this figure by a simplified representation of Fig. 2. Eqn (3) is then applied to convert the lower adiabatic PES from a reaction coordinate to a spatial coordinate  $x_{\rm sp}$ , with  $A_1$  and  $A_2$  giving the contributions of diabats #1 and #2 to the adiabat at each point on the reaction coordinate. The coloring of the variables  $x_1$  and  $x_2$  shown in red and blue correspond to the coloring of the diabats in the representation of Fig. 2. The adiabat as a function of spatial coordinate is then shown by a simplified representation of Fig. 3a. This adiabat as a function of space is expanded in one dimension to provide a PES that can be used for LMD, as shown by a simplified representation of Fig. 3b.

5. Effective mass and friction. While there is a conceptually straightforward approach to calculating the PES, calculating effective mass or friction is a more challenging task. Although some methods of treating the effective mass of polarons have been proposed in the literature, 14 there is not a widely used recipe for deriving mass that can be applied broadly to organic semiconductors. The friction is a manifestation of coupling of the polaron motions to molecular motions, but the precise

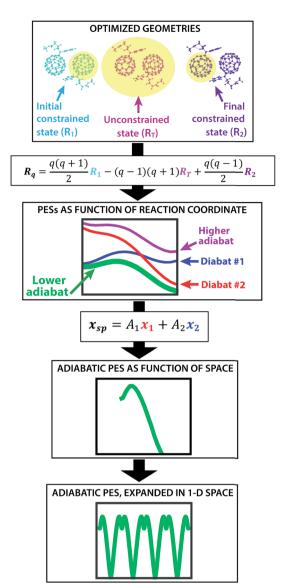


Fig. 4 From top to bottom, a flow chart summarizes the procedure (described by steps #1–4 in the text) for creating a PES suitable for LMD simulations. First, we compute CDFT geometries of the initial and final states for charge transfer, as well as an unconstrained DFT geometry. In this top panel, we show these geometries with yellow ovals indicating the atoms over which the charge is allowed to delocalize. Eqn (2) converts this information to a reaction coordinate  $R_{\rm q}$  using the interpolation parameter q that varies from -1 to 1. We then create diabatic and adiabatic PESs as a function of this reaction coordinate. Eqn (3) converts the lower adiabat from a reaction coordinate to a spatial coordinate  $x_{\rm sp}$ , with  $A_{\rm 1}$  and  $A_{\rm 2}$  giving the contributions of diabats #1 and #2 to the adiabat at each point on the reaction coordinate. This adiabat as a function of space is then expanded in one dimension to create a full PES for LMD.

calculation of this variable is an open question. In this work, rather than setting mass and friction to specific (and questionable) values, we explore the relationship that each of these variables has with transfer rates. By assessing which values of effective mass and friction lead to transfer rates consistent with experimental observations, we can formulate the properties of our polaron pseudo-atom.

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In our PCBM calculations, for simplicity we hold  $m_{\rm eff}$ constant throughout the simulation. However, there is no reason why  $m_{\rm eff}$  could not be varied as the pseudo-atom moves along the spatial coordinate, and this may in fact be more accurate and offer an advantage to the LMD simulation approach. In regions corresponding to a steeper diabat (reflecting a sharp increase in energy with movement of the nuclei), an increased effective mass may be appropriate. Friction seems more likely to be constant for a given temperature because it is a manifestation of the disordered vibrations of many atoms, but within our formalism both mass and friction can easily be varied as a function of space or time.

For our simulations we choose a wide range of trial values of  $m_{\rm eff}$  that vary over several orders of magnitude from 7.22  $\times$  10<sup>-2</sup> to  $7.22 \times 10^3$  amu, where the mass of an electron is  $5.49 \times 10^{-4}$ amu. We emphasize that this effective mass is neither the mass of the electron nor the mass of the nuclei to which is it coupled, but rather describes the properties of the quasi-particle that is defined by the coupling between charges and nuclei. In the wide range that we use in our calculations, it is unlikely that the polaron effective mass is well described by either the highest or lowest values. However, exploring results over a wide range of masses is interesting for the purposes of model development and comparison to experimental benchmarks. The variation in  $\gamma$  is more complex, as  $\gamma$  is a function of  $m_{\text{eff}}$ , with a heavier particle influenced less by random fluctuations in the environment. For our calculations a friction  $\gamma = p\gamma_{\rm D}$  was utilized, with  $\gamma_{\rm D}$  as a function of  $m_{\rm eff}$  derived as shown in the ESI.† This  $\gamma_{\rm D}$  is proportional to the square root of  $m_{\rm eff}$ , and friction was varied over three orders of magnitude with p values of 0.036, 0.36, and 3.6. We found that this method optimized transfer rates, as discussed below.

6. LMD simulations. The fundamental Langevin equation is given in eqn (1). In our simulations we propagate eqn (1) in time according to the method of Ladd68 as described in the ESI.† Because of the random nature of the simulations caused by R(t)of eqn (1), an ensemble of trajectories is necessary to make accurate rate predictions. To obtain the rate of transfer, we simply calculate the average time  $\overline{t_t}$  that it takes for a transfer event to occur. For example, in our system, this is the average amount of time it takes for a pseudo-atom beginning at point B to reach point A or C. We then calculate the rate as  $\overline{t_t}^{-1}$ .

In our calculations of PCBM, all simulations are conducted at room temperature, with an initial random velocity assigned from a normal distribution with a mean of 0 and standard deviation of  $\sqrt{\frac{k_{\mathrm{T}}}{m_{\mathrm{eff}}}}$ . For each point in the  $m_{\mathrm{eff}}/\gamma$  parameter space, 500 calculations were performed, all with the pseudoatom beginning at point B of Fig. 3b. For all values of  $m_{\rm eff}$  and  $\gamma$ presented here, the simulation times  $t_{\text{max}}$  were long enough to allow all 500 simulations to achieve transfer to point A or C. We do not present data for  $m_{\rm eff}/\gamma$  values for which all 500 runs did not achieve transfer within 5 ns-in such cases, it is not straightforward to calculate a transfer rate that is an appropriate comparison to the  $\overline{t_t}^{-1}$  rates described above. Regardless, a system in which no transfer occurs within 5 ns would, by the Einstein relation discussed immediately below, correspond to

a charge mobility several orders of magnitude lower than that needed for a functional OPV device, and therefore is not of practical interest.

7. Analysis of LMD results. Here we present an analysis of our PCBM simulations, dividing our discussion into three sections. First, we present experimental rate estimates that we use to benchmark our model. Second, we discuss our model's predictions of charge transfer rates as a function of mass and friction. Third, we demonstrate how our model can go beyond charge transfer rates (which reflect information averaged over hundreds of trajectories), using the details of two individual trajectories to provide insight into the process of charge transfer.

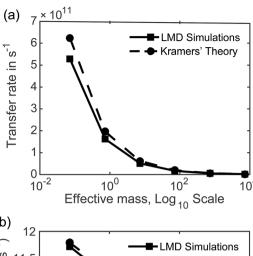
7.1 Experimental rate estimates. Before presenting our results, it is useful to define an estimate of transfer rates that can be used to benchmark the accuracy of our model. Although there is no direct way of experimentally measuring the rate of a fullerene-to-fullerene transfer, we can derive a reasonable value of the rate constant k from experimental estimates of charge mobilities. In one dimension, the diffusion constant of a material is calculated as  $D = \frac{kL^2}{2}$ , where L is the mean length of particle movements. The Einstein relation gives the mobility  $\mu = \frac{eD}{k_{\mathrm{B}}T}$ . Estimates of mobility in PCBM range from 0.001–0.08  $\text{cm}^2 \text{V}^{-1} \text{ s}^{-1}$ , 69-73 and L is taken to be the PCBM crystal center-tocenter distance of about 10 Å. This gives a range of k values from  $k = 5.14 \times 10^9 \text{ s}^{-1}$  to  $k = 4.11 \times 10^{11} \text{ s}^{-1}$ . Below we demonstrate that our model produces results in reasonable agreement with these estimated values.

7.2 Rates as a function of mass and friction. Fig. 5 displays the mass dependence of k, where the rate in s<sup>-1</sup> calculated from our LMD simulations is shown with the solid black line and  $m_{\text{eff}}$  is shown on a logarithmic scale. While mass is varied, friction was held constant at p = 3.6. Fig. 5a shows k as a function of  $m_{\text{eff}}$  and Fig. 5b shows the (base 10) logarithm of k, which shows a clear linear relationship between  $\log[k]$  and  $\log[m_{\text{eff}}]$  that is consistent over several orders of magnitude. We also note consistency with experimental estimates of rate, with values that are mostly in the 10<sup>9</sup> to 10<sup>11</sup> s<sup>-1</sup> range. Below we will compare our result to other theoretical calculations of transfer rate. However, we note that with an assignment of the mean length of particle movements L, the equalities presented in the previous paragraph can be used to convert our rates to mobilities or diffusion constants when these quantities are more convenient for comparison.

With the dashed line we show the Kramers' theory rate, a rate that is derived from the Langevin equation not via simulation but via an analytical derivation that is valid given the assumption of moderate to strong friction.74 We adapt the rate equation of Ensing:75

$$k = \frac{1}{\omega_{\rm B}} \left( -\frac{\gamma}{2} + \sqrt{\frac{\gamma^2}{4} + {\omega_{\rm B}}^2} \right) \left( \frac{\omega_{\rm R}}{2\pi} \exp[-\beta E_{\rm B}] \right) \tag{4}$$

The frequencies  $\omega_{R/B}$  are derived from a harmonic oscillator treatment of the PES in the region of the reactants and the



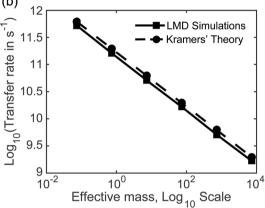


Fig. 5 Charge transfer rates as a function of effective mass, with rates in linear form in panel (a) and the base 10 logarithm of rates shown in panel (b). Effective mass is shown on a logarithmic scale in both panels. The solid line indicates results from LMD simulations, while the dashed lines represent results from Kramers' theory. Both methods show a consistent relationship between mass and transfer rates over several orders of magnitude.

barrier, with  $U(x)=U(x')+\frac{1}{2}m\omega_{R/B}{}^2(x-x')^2$  for the reactants and  $U(x)=U(x')-\frac{1}{2}m\omega_{R/B}{}^2(x-x')^2$  for the barrier.  $\gamma$  is a function of  $m_{\rm eff}$  as described in the ESI.†  $E_{\rm B}$  corresponds to the difference in U between the reactants and the energy peak of the adiabat and  $\beta=1/k_{\rm B}T$ . In this work we seek a method that is robust over a broad range of friction values and provides trajectories in addition to just a single rate. However, the Kramers' theory rates are a useful check to ensure that our application of LMD gives proper functional dependence.

The simulation data and Kramers' theory results show almost exactly the same relationship between pseudo-atom effective mass and transfer rate. It is not obvious why the Kramers' theory rates are shifted a bit higher than the LMD simulation rates, and we suggest two explanations. One is the fact that Kramers' theory relies on assumptions about friction, while our simulations work directly with the LMD equation without simplifying premises. The other limitation of Kramers' theory is that it does not contain complete information on the PES; rather, the frequencies that enter the equation are derived from information on the PES only in the close proximity of the

reactants and the barrier. In contrast, the complete shape of all regions of the PES is incorporated into the LMD simulations.

Another advantage of our simulation approach is that we can observe the distribution of rates in an ensemble of systems, rather than just observing a single rate that provides only averaged information. In Fig. 6, we present normalized histograms of transfer time  $t_t$  in ps for all 500 calculations, where the y-axis gives the probability density of a particular transfer time. The mean and median of the transfer times are noted for each mass. For all masses the histograms show a long right tail and a mean transfer time that exceeds the median transfer time by about 20%. These results demonstrate that although the majority of the calculations achieve transfer in a relatively short period of time, a few outlying cases exhibit high transfer times. The ease of obtaining such information on ensemble distributions is a useful feature of our model.

In Fig. 7, we show the relationship between  $\gamma$  and k for three values of  $\gamma$ , varying  $\gamma$  by an order of magnitude with each data point. Separate lines are shown for varying values of  $m_{\rm eff}$ . For values of  $m_{\rm eff}$  from  $7.22 \times 10^{-1}$  to  $7.22 \times 10^{3}$  amu, we see a nonmonotonic relationship between friction and efficiency, with the highest efficiency found at the intermediate value of p=0.36. This non-monotonic relationship ("Kramers turnover") is expected, having been predicted as early as 1940 by Kramers for the movement over a barrier of a particle subject to friction. We again see results consistent with experimental estimates, with rates in the  $10^9$  to  $10^{12}$  s $^{-1}$  range for these boundaries. The case that varies slightly from the others, with higher rates and a monotonic decrease in this range of  $m_{\rm eff}$ , is that with the lowest  $m_{\rm eff}$  of  $7.22 \times 10^{-2}$  amu.

As mentioned above, CDFT diabats can be easily used to calculate rates of charge transfer at the level of Marcus theory.<sup>76</sup> Comparing the LMD rates to Marcus theory sheds some light on the challenge of defining  $m_{\rm eff}$ . In Marcus theory the rate is:

$$k = \frac{2\pi}{\hbar} \frac{|H_{ab}|^2}{\sqrt{4\pi\lambda k_B T}} \exp\left[-\frac{(\lambda + \Delta G)^2}{4\lambda k_B T}\right]$$
 (5)

where  $\Delta G$  is the change in free energy (calculated as  $\Delta U$  here),  $H_{ab}$  is the coupling,  $k_{\rm B}$  is Boltzmann's constant, T is temperature, and  $\lambda$  is the reorganization energy. All of these properties are derived from the diabats (here we do not use any spline function to obtain energies, as it is straightforward to work with the discrete data points). We note that Marcus theory does not directly incorporate friction and mass, although these concepts to some extent enter indirectly via the temperature and reorganization energy. The result is a rate of  $6.78 \times 10^{13} \text{ s}^{-1}$ , which is two orders of magnitude larger than the highest experimentally based estimates. This discrepancy may be due to the rate of reorganization of the environment in polaron transfer: the accuracy of Marcus theory rests partly on the assumption that reorganization occurs quickly relative to the rate of the reaction. When slow bath reorganization limits the rate of transfer, Marcus theory becomes less accurate, as pointed out by Sumi and Marcus.77 This limitation of Marcus theory is described by the concept of Kramers turnover, with the concept of friction inextricable from that of bath reorganization: when friction

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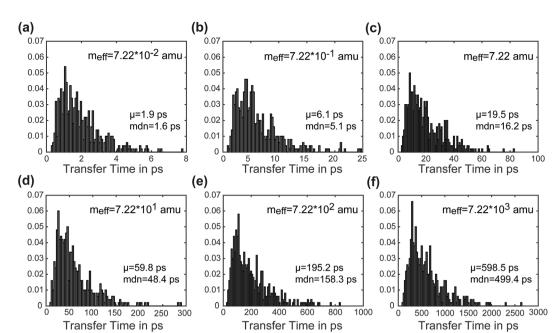


Fig. 6 Panels (a–f) show histograms of transfer times, with each panel representing a different effective mass. The histograms present results from an ensemble of 500 calculations separated into 100 bins and normalized to give a probability distribution. Comparing the mean and median of the set of transfer times, see that the mean ( $\mu$ ) is greater than the median (mdn) by about 20% in all cases, reflecting the long right tail of the histogram where a few outlying cases have relatively high transfer times.

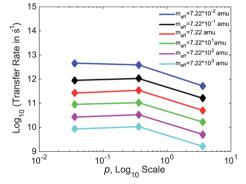


Fig. 7 Relationship between friction and transfer rates, with each line representing a different effective mass. p is shown on a logarithmic scale, and the base 10 logarithm of transfer rates is shown. The relationship is strikingly consistent across different values of  $m_{\rm eff}$ .

becomes sufficiently high that it limits transfer, bath reorganization is too slow for the Marcus formalism to apply. The LMD simulations produce rates comparable to Marcus theory when  $m_{\rm eff}$  is set equal to the mass of an electron (5.49  $\times$  10<sup>-4</sup> amu): with p=3.6, a rate of  $3.76\times10^{12}~{\rm s}^{-1}$  is achieved, while for p=0.36 a rate of  $9.77\times10^{13}~{\rm s}^{-1}$  is achieved. However, when  $m_{\rm eff}$  is increased to reflect a case in which a charge moves sluggishly in response to slow rearrangements of surrounding molecules, the rates depart from Marcus theory predictions and approach experimental predictions.

While Marcus theory is more effective in modeling a system at low friction, the Kramers' rate of eqn (4), in contrast, is valid only in the limit of moderate to high friction. The fact that the Kramers' rates of Fig. 5 are very close to the results of the LMD

simulations (and experimental predictions) suggests that the moderate-to-high friction assumption is appropriate for this problem of polaron transfer (at least in PCBM). A key advantage of our LMD simulation approach is the fact that we are not constrained to simulating systems in either the low- or high-friction limit; we are free to choose any values of friction and  $m_{\rm eff}$  that are appropriate for the system of interest. Higher friction can be explicitly included, and a relatively high effective mass can incorporate the sluggish nature of reorganization, offering an advantage to Marcus theory. However, we are also free to simulate low friction systems, where simulations do not suffer from the invalidation of eqn (4) that occurs at lower frictions. This provides a major advantage in terms of the flexibility of our model in simulating a variety of systems.

Another key distinction between the Marcus and Kramers' theory models is the question of their appropriateness in modeling an adiabatic transfer process. As noted in Section 3, previous work suggests that systems in which  $V \ll k_{\rm B}T$  should exhibit nonadiabatic charge transfer.  $V > k_{\rm B}T$  in our PCBM simulations, consistent with adiabatic charge transfer. Cao and Jung apply a two-state diffusion equation modeling charge transfer to demonstrate that the nonadiabatic limit of charge transfer can be used to derive the Marcus rate expression, while the adiabatic limit can be used to derive the strong-friction limit of the Kramers' rate. Thus, the fact that our simulations provide  $V > k_{\rm B}T$  is consistent with the fact that our rates differ greatly from Marcus rates and are much closer to the Kramers' rate of eqn (4).

7.3 Analysis of individual trajectories. In addition to representing the properties of charge transfer with a single rate, LMD simulations can also provide information on when and why

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transfer events occur. (Did the charge have high velocity when passing through the well? Was the charge stuck in the well and kicked out by an energy perturbation from R(t)? What is the exact length of time between transfer events, and how long does it take the pseudo-atom to climb up one side of the PES peak?) We do not present results addressing all of these questions here, but to demonstrate the capabilities of our model, we present the full trajectory of positions for two arbitrary single simulations in Fig. 8. We show  $m_{\rm eff} = 7.22 \times 10^{-2}$  amu in (a) and  $m_{\rm eff} = 7.22 \times 10^{1}$  amu in (b), where a distance shift of 7.4 Å represents a complete transfer event. (This deviation in distance from the 10 Å center-to-center distance of PCBM is a result of the spatial coordinate of our CDFT calculations described in eqn (3), which demonstrate that the pseudo-atom transport is best represented by a transfer event over this distance.) In Fig. 8, the pseudo-atom begins at point B of Fig. 3b, which is set to 0 on the y-axis. Observing movement along the spatial coordinate, there is a qualitative difference in transfer events. At lower mass the pseudo-atom lingers in the region of the well for some time and then hops quickly over the barrier, sometimes achieving two or three hops in a short period of time. With larger mass, in

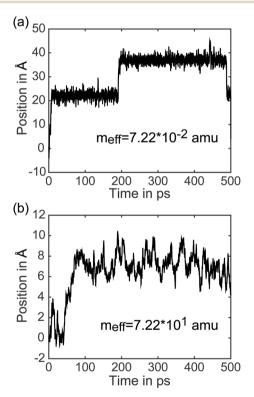


Fig. 8 Trajectories in space of a polaron in a single simulation, where 7.4 Å along the spatial coordinate represents a single transfer event. We show  $m_{\rm eff} = 7.22 \times 10^{-2}$  amu in (a) and  $m_{\rm eff} = 7.22 \times 10^{1}$  amu in (b). The starting location is set to 0 on the y-axis. We see the expected difference in mobility: over 500 ps the heavier polaron achieves a distance of at most 10 Å from its original starting point, while the lighter polaron travels over 40 Å. We see that the lighter polaron spends a significant amount of time in a well and then hops from one molecule to the next in a short period of time, often achieving a second crossing event very quickly. The heavier polaron proceeds over the potential energy barrier much more slowly.

contrast, we see that the pseudo-atom not only experiences longer periods of time between transfer events, but also climbs up one side of the PES much more slowly compared to the quick hops we see with lighter mass. The ability to easily record and analyze these dynamical data is an important feature of our approach.

#### Discussion

#### Application to large-scale simulations

Before closing, we will discuss how this model can be applied to the simulation of large regions of an organic semiconducting device. Although such large-scale simulations are beyond the scope of this paper, a major advantage of this LMD model is that it can simulate transport at a very low computational cost, allowing simulation of large material volumes in a way that is intractable for other methods.

Here we have presented one-dimensional PESs. Derivation of a three-dimensional PES from a series of one-dimensional intermolecular PESs would be difficult. We suggest an alternative in which transport over a sizable, three-dimensional region is simulated by patching together a series of one-dimensional transport events such as those that we present in this paper. It would be possible and valuable to calculate different PESs for different intermolecular orientations, thus representing the disordered nature of an organic semiconductor. (There would be an added computational cost in performing CDFT calculations for distinct orientations, but not at a prohibitive level.) Because there would be discontinuities in the PES as different curves were patched together, it would not be possible to smoothly continue the LMD simulations in time. Instead, after the completion of a transfer event, the simulation would pause to recalculate velocity based on the change in direction of the pseudo-atom as it proceeds to the next transfer event. Then the process would begin anew with an LMD simulation of transport to the next molecule. Of course, there are multiple molecules adjacent to the molecule on which the charge resides, so there are multiple transfer events that are possible. However, this does not significantly complicate things: the code can simply perform a simulation of transfer to each of the possible acceptors utilizing the same set of random numbers R(t), and the accepting molecule that attains transfer most quickly will then be selected as the new position of the pseudo-atom. We note that consideration of re-crossing is inherent in this approach.

As mentioned above, the small polaron description of a charge is not appropriate for all organic semiconductors, and on a device scale one must carefully consider whether this model is suitable for a given morphology. One challenge faced by theoretical modeling of organic semiconductors is the variation of morphology within a given device: many devices contain some combination of disordered regions and more ordered, crystalline regions. Because our potential energy surface is constructed solely based on the orientation between two molecules, information on overall morphology of a region is not considered in the PES. Intuitively, effective mass and friction are influenced by a region's morphology, and exploration of this relationship is an important direction for future work.

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#### Conclusions

Here we have presented a Langevin molecular dynamics approach to modeling polaron motions in organic semiconductors. While charges are generally assumed to require a quantum mechanical treatment, we argue that a small polaron defined as a charge coupled to nuclei can be treated as a pseudo-atom that is appropriate for classical dynamics. We demonstrate that for the test case of polaron motion between two PCBM molecules, this method shows promising quantitative accuracy in predicting charge transfer rates. This LMD approach allows polaron motion to be considered in terms of dynamical trajectories, giving details on polaron transport that are not captured in a single inter-site transfer rate. The low cost of the simulations allows ensembles of hundreds of simulations to be easily performed, allowing a direct assessment of the variation in transfer rates that is caused by the random nature of environmental perturbations. It is also straightforward to record the polaron's position, velocity, and environmental perturbations R(t) over long periods of time, providing a clear view of when and why crossings and re-crossings occur. A challenge in this approach is the accurate definition of effective mass and friction, which are both complex functions of the polaron's interaction with the surrounding environment. We note that the interaction of charges with surrounding vibrations is a very active area of theoretical research<sup>20</sup> that will hopefully lead to clarifications regarding the mass, friction, and other properties of polarons in organic semiconductors.

A major advantage of this classical model is computational cost: by reducing a problem of millions of atoms to a calculation treating a small number of pseudo-atoms, the length and time scales of OPV charge transport are accessible. The greatest computational cost lies in the construction of an accurate PES, which requires atomistic CDFT calculations of large molecules. Many OPVs contain molecules larger than PCBM, and given the disordered nature of these systems, calculations would ideally use PESs built from CDFT calculations for many different intermolecular orientations. Fortunately, recent improvements in linear-scaling DFT have dramatically decreased the cost of treating large molecules. With CDFT now available in linearscaling DFT software packages, 17,78-81 PES development for a few dozen possible orientations is feasible and the disordered nature of these systems can be captured.

The slow rate of charge transport in organic photovoltaics and other semiconductors presents a major challenge in the development of viable technologies. The charge transport model we present here is essentially a multiscale method in which atomistic ab initio calculations of a small bimolecular system serve as a foundation for classical dynamics over large distances. This method is not only computationally affordable but also unique in the information that can be incorporated into the model and the information that is provided by the results. We emphasize that although the low computational cost makes simulation of large regions tractable, we have demonstrated here that simulating even a single transfer event provides substantial insight into the polaron and its transfer

process. The use of this model at any scale is expected to provide multiple insights into charge transfer dynamics that may facilitate the development of the next generation of organic electronics.

# Acknowledgements

This work was performed at the Center for Nanoscale Materials, a U.S. Department of Energy, Office of Science, Office of Basic Energy Sciences User Facility under Contract No. DE-AC02-06CH11357. This work employed resources of the Argonne Leadership Computing Facility, which is a DOE Office of Science User Facility supported under Contract DE-AC02-06CH11357. T. Van Voorhis was supported by NSF grant CHE-1464804. S. Tretiak acknowledges support of the Center for Integrated Nanotechnology (CINT), a U.S. Department of Energy and Office of Basic Energy Sciences User Facility, at Los Alamos National Laboratory (LANL). K. Pelzer was supported by the Aneesur Rahman Fellowship of Argonne National Laboratory. R. Larsen acknowledges support by the U.S. Department of Energy under Contract No. DE-AC36-08-GO28308 with the National Renewable Energy Laboratory.

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