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# Metal nanoparticles fabricated by green chemistry using natural extracts: biosynthesis, mechanisms, and applications

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Nanoparticles (NPs) are new inspiring clinical targets that have emerged from persistent efforts with unique properties and diverse applications. However, the main methods currently utilized in their production are not environmentally friendly. With the aim of promoting a green approach for the synthesis of NPs, this review describes eco-friendly methods for the preparation of biogenic NPs and the known mechanisms for their biosynthesis. Natural plant extracts contain many different secondary metabolites and biomolecules, including flavonoids, alkaloids, terpenoids, phenolic compounds and enzymes. Secondary metabolites can enable the reduction of metal ions to NPs in eco-friendly one-step synthetic processes. Moreover, the green synthesis of NPs using plant extracts often obviates the need for stabilizing and capping agents and yields biologically active shape- and size-dependent products. Herein, we review the formation of metallic NPs induced by natural extracts and list the plant extracts used in the synthesis of NPs. In addition, the use of bacterial and fungal extracts in the synthesis of NPs is highlighted, and the parameters that influence the rate of particle production, size, and morphology are discussed. Finally, the importance and uniqueness of NP-based products are illustrated, and their commercial applications in various fields are briefly featured.

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## Introduction

The past decade has witnessed the vast development and involvement of nanomaterials in many different areas of research due to their unique optoelectronic and physicochemical properties.<sup>1,2</sup> Nanoparticles (NPs) are a particularly important class of nanomaterials with applications in diverse fields including electronics,<sup>3,4</sup> catalysis,<sup>5</sup> sensing, water treatment,<sup>6,7</sup> oil recovery,<sup>8</sup> corrosion inhibition,<sup>9</sup> and drug delivery. Although NPs are usually defined as particles with diameters in the range

of 1–100 nm,<sup>10</sup> this term has been applied to particles with diameters of up to 500 nm in the context of biotechnology.<sup>11</sup> The importance of NPs stems from their size, which profoundly affects their physicochemical properties.<sup>10</sup> Particles with diameters below 100 nm often have properties that differ markedly from their corresponding bulk materials.<sup>12</sup> Metallic NPs often exhibit surface plasmon resonance, leading to absorption in the UV-Vis region and distinctive optoelectronic properties.<sup>10</sup> Importantly, changing the size and shape of NPs often changes their absorption spectra and interparticle properties.<sup>13</sup> Because

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of these unique features, metallic NPs have reached the stage of preclinical and clinical trials.

Nanoscience-related development has been spurred by the major advances in nanoscale material research and thus, currently, a range of various nanomaterials are commercially available.<sup>14</sup> In the coming years, it is expected that a great deal of nano-products will be translated into every day tools. They interact in many different ways with a wide range of biomolecules on the cell surfaces and inside cells, meaning that they can direct diverse cellular, physiochemical, and biochemical properties.<sup>15</sup> The small size of NPs allows them to be taken up rapidly into cells and organelles. Moreover, they are readily transported across the placenta and the blood–brain barrier.<sup>14,16,17</sup> As a result, they have been incorporated in more than 43 approved drug formulations, as enlisted in the discussion in nanopharmaceuticals by Weissig *et al.*<sup>18</sup> Titanium dioxide and zinc oxide NPs are used in different types of sunscreens because they can block ultraviolet light while being transparent to visible light when applied to the skin.<sup>19</sup> In addition, products based on single-walled carbon nanotubes were recently introduced in the Russian market.<sup>20</sup> Food packaging and preservation techniques currently employ various nanosized materials to extend the shelf life of products and prevent the spoilage of edible items.<sup>21</sup>

In 2015, Vance *et al.*<sup>22</sup> reviewed the entry of nanotechnology powered-products into the market, showing that there were at least 1814 nanomaterial-containing products consumed by 622 companies over 32 countries. Silver was found to be the most frequently used nanomaterial (435 products).<sup>22</sup> Silver-based nanomaterials are used in restorative veils, shampoos, shirts, clothes, toothpastes, detergents, towels, toys, and humidifiers at concentrations ranging from 1.4 to 270 000  $\mu\text{g Ag}$  per gram.<sup>23</sup> Silver-containing products were washed in 500 mL of tap water to assess the potential release of silver into water-based natural networks such as surface water, saliva, and wastewater. Ag was discharged at levels of up to 45  $\mu\text{g Ag}$  per gram, in particles of varying sizes including some with diameters above 100 nm and some with diameters below this level.<sup>22</sup>

Currently, NPs are typically synthesized *via* chemical and physical methods, which are usually costly and environmentally hazardous.<sup>24</sup> Therefore, the eco-friendly biosynthesis of NPs has attracted increasing attention over the last decade, in parallel with the increased interest in green chemistry and sustainability.<sup>25,26</sup> Conventional physical and chemical methods for the synthesis of NPs often require harsh conditions, whereas biosynthetic processes involve simple, nontoxic, and environment-friendly protocols at ambient temperature and pressure. These processes can be classified as either intra- or extra-cellular syntheses depending on the location the NPs are formed. Due to the greater ease of product recovery, extracellular methods are generally preferred. Many different biological resources have been evaluated for use in intra- and extracellular NP synthesis, including plants, fungi, algae, viruses, bacteria, and yeast.<sup>27</sup> Although there have been extensive studies on the biosynthesis of NPs, the exact mechanisms involved in these processes remain unclear.<sup>28</sup> However, it is well established that biosynthetic methods for the preparation of NPs can be more

cost-effective and have less environmental impact than conventional physicochemical syntheses. These methods use renewable microorganisms and plants (or their extracts) as both bio-reductants for capping and stabilizing agents, obviating the need for additional reagents. Furthermore, the addition of proteins and peptides as biomatrices help to form NPs with a defined size and shape.<sup>24</sup> Similarly, biosynthetic methods can control the shape, and size of the formed NPs due to the highly specific interactions between the biomolecular templates and inorganic materials.<sup>29,30</sup>

## The fundamentals of metallic NP biosynthesis

Although the mechanistic details of the biosynthesis processes are currently unclear,<sup>31,32</sup> a range of mechanisms have been proposed to explain the formation of metallic NPs *via* bio-reduction, especially for Ag, Au, and Cd NPs.<sup>32,33</sup> Most studies have focused on bio-reduction derived from bacteria, fungi, and plants.<sup>34</sup>

### Polyphenol-mediated nanofabrication

Generally, phenolic compounds inactivate ions *via* chelation.<sup>35</sup> The high nucleophilic nature of the phenolic aromatic rings are probably responsible for their chelating ability.<sup>36</sup> Natural sources respond to heavy metal stress by metal complexation procedures such as the formation of phytochelations or by other metal-chelating peptides.<sup>19</sup> Metal ions are captured and immobilized by biological elements and subsequently undergo reduction, sintering, and smelting processes, leading to the formation of NPs. The size and shape of the resulting NPs depend on the metal ion content and variation in the site of ion penetration.<sup>24,37</sup> The morphology, dispersion, and yield of these biosynthetic NPs can be modified by controlling the reaction conditions.<sup>38</sup> In the presence of high levels of polyphenols, protection against coalescence and aggregation was observed, which was ascribed to the production of a protective coating around the nascent NPs (Fig. 1).<sup>27</sup>

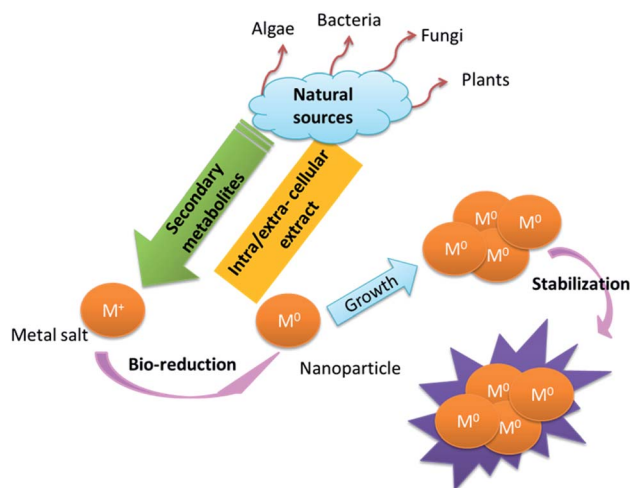


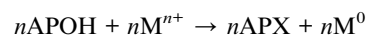
Fig. 1 Suggested general mechanisms for the synthesis of NPs.<sup>27</sup>



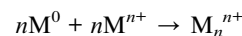
## Plants

Plants are rich in secondary metabolites such as alkaloids, flavonoids, saponins, steroids, tannins, and phenolic acids. Several studies have shown that many of these metabolites act as both reducing and stabilizing agents and inhibit the aggregation and agglomeration of the novel metallic NPs by non-hazardous means.<sup>39–41</sup> The bio-reduction of metal NPs using plant extracts is divided into three main phases. The first is the activation step, in which the reduction and nucleation of metal ions occur. Secondly, the small adjacent NPs come together to form particles of a larger size, accompanied by an increase in the thermodynamic stability of the NPs, which is identified as the growth phase. Finally, the shape of the NPs is formed through the termination phase.<sup>24</sup> Following the reduction of metal ions by the active metabolites, the NPs are centrifuged with the resultant precipitates and washed with a suitable solvent to remove impurities, where separation takes place through column chromatography prior to their further use.<sup>42</sup> The type of plant extract and its concentration influences the morphology of the NPs formed, while the temperature and pH of the extract medium controls the growth and size of the NPs.<sup>43</sup> The control of metal salt concentration has also been argued to play an important role in the morphology of the synthesized NPs.<sup>44</sup> A list of some of the plants used for the synthesis of NPs between 2014 and 2017 is presented in Table 1. The main functional groups involved in the reduction of metal ions are carbonyl, hydroxyl, amino and methoxide groups, which bind to the metal ions by electrostatic interaction, leading to their reduction.<sup>45</sup> The leaf extract of *Tabebuia berteroi* is rich in polyphenols,<sup>46</sup> and *Withania coagulans* is rich in flavonoids, tannins, phenolics, etc., which has been reported to be responsible for the reduction of Fe and Pd ions to their respective metal NPs together with graphene oxide to form a nanocomposite.<sup>47</sup> Quercetin from the leaf extract in *G. biloba* was used to reduce Cu(II) ions following a two-step process to CuNPs without the addition of any capping agents.<sup>48</sup> Similarly, the flower extract of *Anthemis xylopoda* was attributed to the reduction of Au(III) to AuNPs, which were used as an effective catalyst.<sup>49</sup> *Euphorbia peplus* is rich in flavonoids and rare disaccharides, which was reported to reduce AgNO<sub>3</sub> in the presence of Fe<sub>3</sub>O<sub>4</sub>, leading to the immobilization of AgNPs with enhanced catalytic activity.<sup>50</sup> Similarly, Pd/CuO NPs were successfully biosynthesized *in vitro* using *Theobroma cocoa* L. seed extracts, which contain phenolic antioxidants.<sup>51</sup> *Euphorbia heteradena* Jaub contributed to the biosynthesis of very stable TiO<sub>2</sub> NPs from titanium hydroxide in the presence of potent phenolics, which were not only responsible for the reduction of the metal precursor but also capping the ligands onto the surface of the NPs, as evidenced *via* FTIR.<sup>52</sup> The H-donating ability of polyphenols such as flavonoids and quercetin mostly involves the metal salts of nitrates, sulphates and chlorides as potent antioxidants in the reduction process of metal precursors. Here, the OH group in the reduced form of polyphenolics is converted into a carbonyl group with subsequent reduction of metal ions due to a redox reaction. These C=O groups on the oxidized form of polyphenol electrostatically stabilize the metal

NPs. Hence, the mechanism for the biosynthesis of  $nM^0$  as zero-valent metal atoms by plant extract polyphenolics APOH when reacting with a metal halogen precursor is as follows:



The growth commences the assembly of metal atoms prior to the further reduction of metal ions with improved stability,



and the formation of  $(M_{2n}^{2n+})_n$  by collision, fusion of  $nM_n^{n+}$  and ripening leads to the formation of metal NPs.

Moreover, the stem extract of *Callicarpa maingayi* was used for the biosynthesis of AgNPs. The extract contained several aldehydes that reduced silver ions to metallic AgNPs, yielding a product referred to as [Ag (*Callicarpa maingayi*)] + complex. Spectroscopic analysis indicated that this material contained C=O and C=N functional groups, suggesting that the amide and polypeptide groups served as capping agents, which stabilized the nascent metallic NPs from aggregation.<sup>53</sup> A methanolic leaf extract of *Vitex negundo* was also used in the bio-synthesis of Ag-NPs under ambient conditions with no additives, resulting in a template that shaped NPs or accelerants that spontaneously protected the NPs from aggregation.<sup>54</sup> El-Kemary *et al.* investigated the bio-synthesis of AgNPs using a leaf extract of *Ambrosia maritime*, and found that various secondary metabolites (notably sesquiterpene lactones and flavonoids) strongly influenced the NP yield.<sup>55</sup> In addition, *Coleus aromaticus* leaf extract was found to be a suitable reductant for the rapid synthesis of AgNPs from silver salts. Rosmarinic acid was the major polyphenol in the extract.<sup>56</sup> Our recent paper reported the successful fabrication of Ag<sub>2</sub>ONPs from chili as well due to the presence of alkaloid compounds.<sup>57</sup> As noted above, the focus of much work has been on Cu and Ag NPs. Taken together, it has been reported that the synthesis of NPs using plant extracts proceeds more quickly than alternative processes using microbial cultures, and also yields more stable products with a greater range of shapes and sizes.<sup>24,40</sup> A study on metal bioaccumulation in plants showed that the metals are usually deposited in the form of NPs.<sup>24</sup>

## Nano-synthesis and mechanisms using fungi, bacteria strains and algae

Extensive efforts are being made to understand the mechanisms of NP biosynthesis in fungi and bacteria. Per unit of biomass, fungi generally have a greater capacity for the bio-accumulation of metal ions than bacteria due to their exceptional biosorption of cations. However, the precise level of accumulation achieved by both types of microorganisms depends on the homogeneity of the experimental medium, temperature, initial metal ion concentration, and pH. In the presence of heavy metals, some fungi secrete large quantities of redox-active extracellular proteins that can bio-reduce metal ions, leading to the formation of insoluble metal-protein nanoconjugates, which eventually form nanocrystals. Fungi support large biologically diverse hotspots, which have not been



Table 1 Plant species used for the green biosynthesis of metallic NPs

Plant species	Plant origin	Mode of synthesis	NPs	NPs size "nm"	UV absorption "nm"	Effect	Reference
<i>Andrographis paniculata</i>	Leaves	Extracellular	Ag	40, 60	432	Antibacterial	58
<i>Azadirachta indica</i>	Leaves	Extracellular	Ag	100	430–435	—	59
<i>Boerhaavia diffusa</i>	—	Extracellular	Ag	25	418	Antibacterial	60
<i>Brassica oleracea</i>	Aerial parts	Extracellular	Ag	36	420	Antimicrobial	61
<i>Brassica oleracea capitata</i>				42	428		
<i>Calendula officinalis</i>	Leaves	Extracellular	Ag	30–50	435	—	62
<i>Callistemon viminalis</i>	Leaves	Extracellular	Ag			Antibacterial	63
<i>Camellia sinensis</i>	Leaves	Extracellular	ZnO	9–17.5	450	Catalytic activity	74
<i>Chenopodium ambrosioides</i>	—	Extracellular	Ag	38.6 ± 16.4 (HFPM) 25.0 ± 9.9 (MDPM) 15.9 ± 4.6 (FFPM) 11.2 ± 3.7 (DDPM) 7.0 ± 2.9 (MFPM) 21.8 ± 9.0 (HDPM)	414–432	Antioxidant	34
<i>Cucumis anguria</i>	Leaves	Extracellular	Ag	11–27	420	Antibacterial	65
<i>Dimocarpus longan</i> Lour	—	Extracellular	Ag	9–32	380–450	Antibacterial and anticancer	66
<i>Ipomoea pes-caprae</i>	Roots		Ag	10–50	430	Antimicrobial	67
<i>Lansium domesticum</i>	Fruits	Extracellular	Au	20–40	420, 540	Anti-microbial	68
			Ag	10–30			
			Au–Ag alloy	150–300			
<i>Myrmecodia pendans</i>	—	Extracellular	Ag	10–20	448	—	69
<i>Oxalis corniculata</i>	Leaves and stem	Extracellular	Ag	20–80		—	70
<i>Phyllanthus emblica</i>	Fruits	Extracellular	Au			Antimicrobial	71
<i>Psychotria nilgiriensis</i>	Leaves	Extracellular	Ag	40–60	422	Insecticidal	72
<i>Quercus brantii</i> Lindl.	Leaves	Extracellular	Ag	6	455	—	73
<i>Origanum majorana</i>	Leaves	Extracellular	Ag	35	440	—	74
<i>Rhodomyrtus tomentosa</i>	—	Extracellular	Ag	30	420	Anti-microbial	75
<i>Rhynchochum ellipticum</i>	Leaves	Extracellular	Ag	510–730	459	—	62
<i>Sapium sebiferum</i>	Leaves	Extracellular	Pd	2–14	274	Bacterial and photocatalytic activities	76
<i>Spinacia oleracea</i>	Leaves	Extracellular	ZnO	40.9	375.4	Early seedling growth and growth characteristics of green gram	77
<i>Tabernaemontana divaricata</i>	Leaves	Extracellular	Ag	22.85		Cytotoxic activity against MCF-7 cell line	78
<i>Ventilago maderaspatana</i>	Leaves		Ag			Anti-malaria	79
<i>Ziziphora tenuior</i>	—	Extracellular	Ag	8–40		Antioxidant	80
<i>Ziziphus jujuba</i>	Leaves	Extracellular	Ag	20–30	434	—	81
<i>Ziziphus oenoptia</i>	Leaves	Extracellular	Ag	10	436	Antibacterial	82
<i>Ziziphus spina-christi</i>	Leaves	Extracellular	Ag	30–70	442	Antibacterial	83

well explored and may contain novel fungal proteins and enzymes capable of metal bio-reduction and detoxification.<sup>84</sup>

Even though the isolation and purification of NPs can be challenging, multiple studies have investigated the mechanisms of metal bioreduction by microorganisms.<sup>85</sup> Two mechanisms have been proposed to explain the reduction of metal ions by fungi, extracellular and intracellular mechanisms. The

extracellular mechanism is believed to involve nicotinamide adenine dinucleotide (NADH)-dependent enzymes, notably nitrate reductases, which are secreted into the reaction medium together with electron shuttles such as hydroxyquinoline.<sup>86</sup> The structures of NADH and NAD<sup>+</sup>, and the reduction of Ag<sup>+</sup> to AgNPs is mediated by electron transfer from NADPH, as shown in Fig. 2.<sup>87,88</sup> Das and Thiagarajan<sup>86</sup> examined the occurrence of



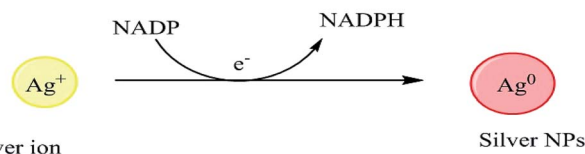
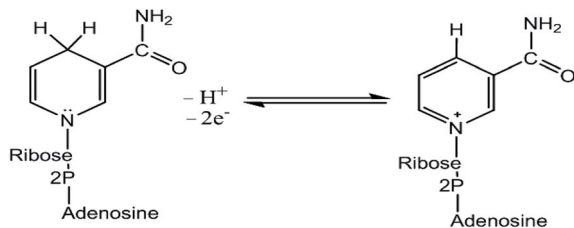


Fig. 2 Structures of NADH and NAD<sup>+</sup>, and the mechanism of Ag<sup>+</sup> reduction by NADPH-dependent reductases to form AgNPs.<sup>74</sup>

the bio-synthesis of AgNPs, and suggested that the reduction of silver ions (Ag<sup>+</sup>) to AgNPs (Ag<sup>0</sup>) is mediated by an electron shuttle and an NADPH-dependent reductase specific to *Fusarium oxysporum* species.<sup>86,89</sup> The reduction of the metal ions to form NPs is accompanied by the oxidation of NADPH to NADP<sup>+</sup>.<sup>86</sup> Kalishwaralal *et al.*<sup>90</sup> reported a similar process, in which silver ions were reduced by NADPH-dependent nitrate reductases secreted into the extracellular environment by non-pathogenic bacteria.<sup>90</sup> Another study found that some bacteria reduce Fe<sup>3+</sup> by forming and secreting small diffusible redox-active compounds that shuttle electrons between the microbes and the insoluble iron substrate.<sup>91</sup> Microbial sources exhibit their action *via* the precipitation of NPs due to their metabolic activity. The bacterium *Nocardia farcinica* was demonstrated to induce the rapid extracellular reduction of gold ions into highly stable NPs, demonstrating that these processes are not restricted to Fe and Ag.<sup>92</sup> The formation of the AuNPs was tentatively attributed to an electron shuttle and a nitrate reductase, which were found in the exudates of the bacterial cell culture.<sup>31</sup> Nitrate reductases transfer electrons between nitrate ions and metal centers. A study on *Fusarium oxysporum* showed that silver and chloroaurate ions are reduced by a nitrate-dependent reducing enzyme and/or a quinone-based shuttle, supporting the extracellular mechanism theory.<sup>93</sup> However, the precise mechanism of AgNP formation in this case is unknown.<sup>94</sup>

Several studies have also suggested that electron transfer is mediated by the constituents of *Shewanella* sp., such as flavins related to external electron acceptors,<sup>95</sup> or direct electron transfer by redox proteins and membrane-bound cytochromes (direct electron transfer).<sup>96</sup> An alternative extracellular mechanism was explained by the confinement at the cell wall by electrostatic interactions with positively charged functional groups (cell wall enzymes) during the formation of AuNPs from AuCl<sub>4</sub><sup>-</sup> ions. The confined AuCl<sub>4</sub><sup>-</sup> ions are then reduced to Au<sup>0</sup> by proteins/enzymes in the cell wall, conferring extra stability to the biogenic NPs (Fig. 3).<sup>84,97,98</sup> Alternatively, the NPs or metal ions may diffuse through the cell membrane and be reduced by

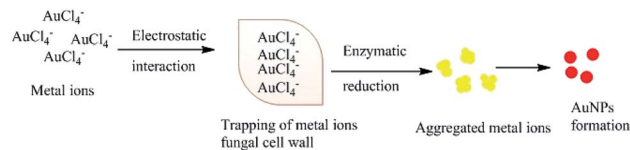


Fig. 3 Hypothetical mechanism for the extracellular synthesis of NPs.

redox mediators in the cytoplasmic matrix.<sup>99</sup> The presence of particle aggregates in the cell wall, cytoplasmic membrane and cytoplasm was confirmed by microscopy studies.<sup>87</sup> Another study on fungi (*Verticillium* sp.) ruled out the possibility that sugars in the cell wall are required for the reduction of Au<sup>3+</sup> ions because NP formation was found to predominantly occur within the cytoplasmic membrane.<sup>100</sup> The second proposed process for the bio-fabrication of NPs is the intracellular mechanism (Fig. 4), in which the fungal cell wall and various proteins play central roles in the bioreduction of metals. The primary fungal cell wall is an extracellular structural unit with a composition that varies over its life cycle.<sup>101</sup> However, generally it consists of the polysaccharides chitin and glucan, which are bonded by 1–4 linkages. Inter- and intra-molecular H-bonding between the chitin and glucan units gives the cell wall its rigidity.<sup>101</sup> It is worth mentioning that one of the precursors of Au reduction is HAuCl<sub>4</sub>, which dissociates into Au<sup>3+</sup> ions, whereas AuCl dissociates into Au<sup>+</sup>.<sup>102,103</sup> Since Au<sup>+</sup> is much less soluble than Au<sup>3+</sup>, it has not been investigated as thoroughly. However, its solubility can be increased by the formation of coordination complexes with alkenes, alkanethiols, alkylamines, alkylphosphines and

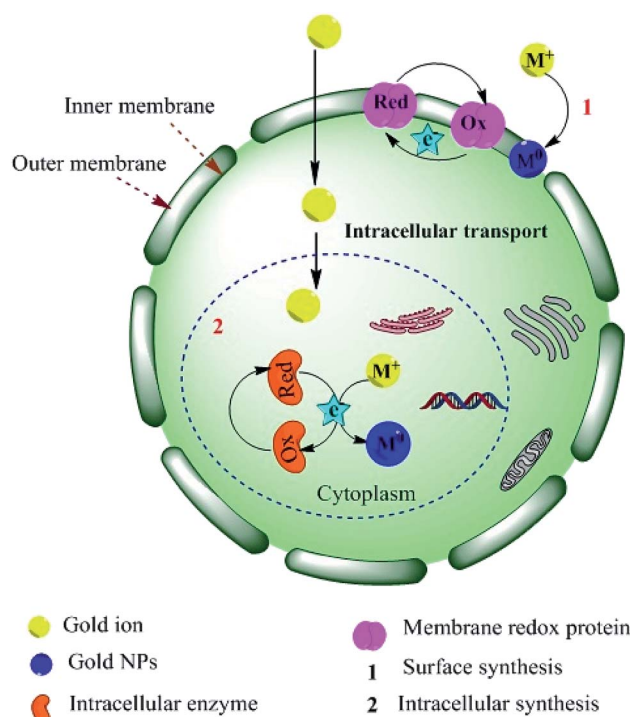


Fig. 4 Schematic illustration of the proposed mechanism for the intracellular synthesis of AuNPs.<sup>84,99</sup> Adapted with permission from ref. 98; Copyright 2012, American Chemical Society.



various anions.<sup>103</sup> Investigations have shown that the Au<sup>+</sup> concentration initially increases in the early stages of the bio-fabrication of AuNPs, but then falls as Au<sup>0</sup> starts to appear, suggesting that Au<sup>3+</sup> is initially reduced to Au<sup>+</sup> and then finally to Au<sup>0</sup>. It should be noted that the reduction of Au<sup>+</sup> to Au<sup>0</sup> is a one-step, single-electron process, whereas that of Au<sup>3+</sup> to Au<sup>0</sup> involves several different chemical conversions.<sup>99</sup>

## Fungi

Biomass and extracellular materials from microorganisms such as fungi are widely used for the biotransformation of metal ions to NPs. Studies that investigated the bioreduction of metal ions using fungi are listed in Table 2. Fungi produce very large quantities of secreted proteins, which may increase the rate of NP formation.<sup>104</sup> Many fungi have mycelia, which provide a larger surface area than that achievable with bacteria, supporting the interactions between metal ions and fungal biomass containing reducing agents. In principle, this should enhance the conversion of ions into metallic NPs. Fungi also have the advantage of straightforward downstream processing after extracellular NPs formation, enabling efficient NP extraction.<sup>104</sup> Filamentous fungi are preferable to bacteria and unicellular organisms because they are easy to handle, highly tolerant of metals, and exhibit good wall binding capacity and the ability to synthesize NPs extracellularly.<sup>90,105</sup> NPs synthesized by fungi were found to be more stable and monodisperse than that synthesized by other microorganisms.

A cell-free extract of *Candida albicans* was used to prepare monodisperse and highly crystalline Au and AgNPs,<sup>106</sup> and the marine fungus *Aspergillus terreus* was used to prepare selenium nanoparticles (SeNPs). The SeNPs had an average diameter of 500 nm, and FTIR analysis indicated that they may incorporate fungal protein groups, which facilitated their biosorption into the fungal cell wall.<sup>107</sup> The *A. terreus* culture supernatant used to prepare SeNPs was also demonstrated to be competent in the reductive synthesis of AgNPs from aqueous Ag<sup>+</sup> ions. The latter reaction was shown to be enzyme-mediated, which reached completion within a few hours at ambient temperature and yielded stable polydisperse spherical AgNPs with a smaller size (1–20 nm) (Fig. 2).<sup>88</sup> Several *Fusarium oxysporum* strains were also investigated for the biosynthesis of AgNPs, which revealed that the extracellular reduction of the metal ions is mediated by a nitrate-dependent reductase and a shuttle quinone.<sup>32</sup> The absence of an enzyme in the reaction medium validated the active enzymatic role in the complete process.<sup>87</sup> Previous reports have shown that many active substances secreted by fungi can serve as reducing and capping agents in the biosynthesis of NPs. However, the precise processes are unclear, and further investigations may provide a deeper understanding of the molecular mechanisms involved in these processes.<sup>88</sup>

## Bacteria

Bacteria are good candidates due to their environmental abundance and ability to adapt to extreme conditions.<sup>104</sup> They are fast-growing, cheap to cultivate, and simple to manipulate.

Many bacteria have been isolated and used for the biosynthesis of NPs, both extracellularly by the reduction of metal ions on the surface of the microbial cells and intracellularly by the encapsulation of metal ions in the microbial cells inhabiting different pH, oxygenation incubation time and temperature conditions,<sup>104</sup> thus offering high catalytic activity and surface area for the interaction of enzymes with metal salts.<sup>107,108</sup> Enzymes play an important role in the biosynthesis of NPs, and hence optimized parameters are critical for maximum activity and enzymatic interaction.<sup>108</sup> This property finds wide application in detoxification and remediation as well as in drug delivery applications by inducing the defence mechanism of microorganisms.<sup>109–111</sup> There are important links between the ways NPs are synthesized and their potential uses. Johnston *et al.*<sup>112</sup> showed that the formation of pure AuNPs by the bacterium *Delftia acidovorans* was mediated by a small non-ribosomal peptide, delftibactin, which was responsible for the resistance to otherwise toxic concentrations of gold ions.<sup>112</sup> Delftibactin removes gold ions from solution by converting them into inert AuNPs, which are non-toxic to bacterial cells.<sup>112</sup> The interesting study by Sintubin *et al.* examined the production of AgNPs by lactic acid bacteria. Many bacterial species were tested, but only four were found to synthesize AgNPs: *Lactobacillus* spp., *Pediococcus pentosaceus*, *Enterococcus faecium* and *Lactococcus garvieae*.<sup>113</sup> NPs obtained through bacteria are precipitated within the cells after incubating the cultured supernatant with Ag<sup>+</sup> or Au<sup>3+</sup>. A two-step mechanism for the biosynthesis of AgNP was proposed, in which the Ag ions initially accumulate at the cell wall *via* biosorption and then reduced to form metallic NPs.<sup>113</sup> Sintubin *et al.* also suggested that the cell wall may act as a capping agent for the NPs, stabilizing them by preventing aggregation. These authors also showed that increasing the pH of the culture medium accelerated the reduction process and the formation of NPs.<sup>113</sup>

## Algae

Algae are aquatic microorganisms that collect heavy metals and have been used in the biological synthesis of NPs (Table 2).<sup>114</sup> *Chlorella vulgaris* was used to convert AuCl<sub>4</sub><sup>-</sup> ions into algal-bound gold, which was subsequently reduced to tetrahedral, decahedral and icosahedral AuNPs that accumulated near the cell surface.<sup>115</sup> Other groups have reported the extracellular synthesis of AgNPs using brown seaweed, *Sargassum wightii*,<sup>116</sup> which enabled the rapid extracellular synthesis of AuNP, yielding highly stable mono-dispersed NPs with a size of 8 to 12 nm. The uniform biosynthesized particles were completely dispersed in the solution without aggregation.<sup>117</sup> The biosynthesis of Au and AgNPs using red and green algae (*Chondrus crispus* and *Spirogyra insignis*) was also recently reported.<sup>118</sup> Moreover, the alga *Tetraselmis kochinensis* has been used for the intracellular synthesis of AuNPs with dimensions of 5–35 nm and mostly were spherical in shape with occasional aggregations. The NPs formed in this way were observed to be more in the cell wall than on the cytoplasmic membrane, possibly indicating that the metal ions were reduced by enzymes in the cell wall rather than elsewhere.<sup>119</sup> In addition, the bioreduction



Table 2 Fungi, bacteria and algae used in the synthesis of metal NPs

Organism	Mode of synthesis	NPs	NP Size	Reference
<b>Fungi</b>				
<i>Aspergillus clavatus</i>	Extracellular	Ag	10–25	122
<i>Aspergillus fumigates</i>	Extracellular	Ag	5–25	123
<i>Aspergillus terreus</i>	Extracellular	Se	500	107
	Extracellular	Ag	1–20	88
<i>Bacillus megaterium</i>	Extracellular	Ag	46.9	122
<i>Bacillus licheniformis</i>	Extracellular	Ag	50	122
<i>Candida albicans</i>	Extracellular	Au,	20–40,	106 and 124
		Ag	60–80	
<i>Cladosporium cladosporioides</i>	Extracellular	Ag	10–100	105 and 122
<i>Colletotrichum</i> sp.	Extracellular	Au	20–40	125
<i>Coriolus versicolor</i>	Extracellular	Ag	350–600	126
<i>Fusarium semitectum</i>	Extracellular	Ag	10–60	89
<i>Fusarium acuminatum</i>	Extracellular	Ag	5–40	122
<i>Fusarium oxysporum</i> and <i>Verticillium</i> sp.	Extracellular	Magnetite	20–50	125
<i>Fusarium oxysporum</i>	Extracellular	Au	20–40	125
		CdS	5–20	
		Zirconia	3–11	
<i>Fusarium oxysporum</i>	Extracellular	Ag	20–50	93
<i>Fusarium solani</i>	Extracellular	Ag	5–35	122
<i>Klebsiella pneumoniae</i>	Extracellular	Ag	50	122
<i>Lactobacillus</i> strains	Extracellular	Ag	500	122
<i>Neurospora crassa</i>	Extracellular	Au	32	127
<i>Penicillium fellutanum</i>	Extracellular	Ag	1–100	122
<i>Penicillium brevicompactum</i> WA2315	Extracellular	Ag	23–105	122
<i>Phanerochaete chrysosporium</i>	Extracellular	Ag	50–200	127 and 128
<i>Phoma</i> sp. 32883	Extracellular	Ag	75	126
<i>Pseudomonas stutzeri</i> AG259	Extracellular	Ag	200	122
<i>Rhizopus stolonifer</i>	Extracellular	Ag	79.42	129
<i>Trichoderma asperellum</i>	Extracellular	Ag	13–18	122
<i>Trichoderma viride</i>	Extracellular	Ag	5–40	122
<i>Verticillium</i> sp.	Extracellular	Ag	25	126
<i>Yarrowia lipolytica</i>	Extracellular	Au	15	127
<b>Bacteria</b>				
<i>Bacillus megaterium</i>	Extracellular	Ag	46.9	122
<i>Bacillus licheniformis</i>	Extracellular	Ag	50	122
<i>Bacillus cereus</i>	Extracellular	Ag	4–5	122
<i>Bacillus</i> sp.	Extracellular	Ag	5–15	122
<i>Bacillus subtilis</i>	Extracellular	Ag	5–60	122
<i>Bacillus safensis</i> LAU 13	Extracellular	Ag	5–95	130
<i>Brevibacterium casei</i>	Extracellular	Ag	50	122
<i>Corynebacterium</i> sp.	Extracellular	Ag	10–15	122
<i>Escherichia coli</i>	Extracellular	Ag	50	131
	Extracellular	Ag	1–100	122
<i>Geobacter sulfurreducens</i>	Extracellular	Ag	200	122
<i>Klebsiella pneumoniae</i>	Extracellular	Ag	50	122
<i>Lactic acid bacteria</i>	Extracellular	Ag	11.2	122
<i>Lactobacillus</i> strains	Extracellular	Ag	500	122
<i>Morganella</i> sp.	Extracellular	Ag	20 ± 5	122
<i>Proteus mirabilis</i>	Extracellular	Ag	10–20	122
<i>Pseudomonas stutzeri</i> AG259	Extracellular	Ag	200	122
<i>Pseudomonas aeruginosa</i> KUPSB12	Extracellular	Ag	50–85	132
<i>Rhodospseudomonas capsulata</i>	Extracellular	Au	10–20	133
<i>Staphylococcus aureus</i>	Extracellular	Ag	1–100	122
<i>Vibrio alginolyticus</i>	Intracellular and extracellular	Ag	50–100	122
<b>Algae</b>				
<i>Chlorella vulgaris</i>	Intercellular	Au	75	115
<i>Chondrus crispus</i>	Extracellular	Au, Ag	—	116
<i>Gracilaria edulis</i>	Extracellular	Ag, ZnO	55–99	134



Table 2 (Contd.)

Organism	Mode of synthesis	NPs	NP Size	Reference
<i>Kappaphycus alvarezii</i>	Extracellular	Au		121
<i>Sargassum wightii</i>	Extracellular	Ag	8–27	116
<i>Spirogyra insignis</i>	Extracellular	Au, Ag	—	118
<i>Tetraselmis kochinensis</i>	Intercellular	Au	5–35	119

of Au using the biomass of the brown alga *Fucus vesiculosus* has been reported.<sup>120</sup> The optimum pH range for the recovery and reduction process in this case was 4–9, with maximum uptake at pH 7. The hydroxyl groups present in the algal polysaccharides were involved in the gold bioreduction. Finally, Rajasulochana *et al.* have investigated the synthesis of extracellular AuNPs using *Kappaphycus alvarezii*.<sup>121</sup>

## Natural products for metallic NP synthesis

As noted in the preceding section, the cultures and extracts used in the biosynthesis of metallic NPs contain a wide range of secondary metabolites, which contribute to the reduction of metal ions and stabilization of the NPs.<sup>135,136</sup> The bioactive molecules include polysaccharides, enzymes, amino acids, vitamins, proteins, and organic acids, as shown in Table 3.<sup>137</sup> This table also shows that most of the secondary metabolites known to be found in plant extracts, and relatively few derived from microbes.

### Flavonoids

Flavonoids are a large group of polyphenolic compounds that can actively chelate metal ions and reduce them to form NPs.<sup>24</sup> This ability to form NPs is attributed to their richness in key functional groups, such as multiple hydroxyl groups and the

carbonyl moiety.<sup>138</sup> Thus, the high flavonoid and phenolic content of an aqueous *Rumex dentatus* water extract enabled the facile bioreduction of  $\text{Ag}^+$  to  $\text{Ag}^0$ .<sup>139</sup> It has been postulated that the keto–enol tautomeric transformation of flavonoids may enable the release of reactive hydrogen atoms, which drive the reduction of metal ions.<sup>24</sup> For example, the keto–enol form of the flavonoids luteolin and rosmarinic acid from *Ocimum basilicum* (sweet basil) extract was shown to play a key role in the formation of NPs (Fig. 5).<sup>35</sup> In addition, the internal conversion

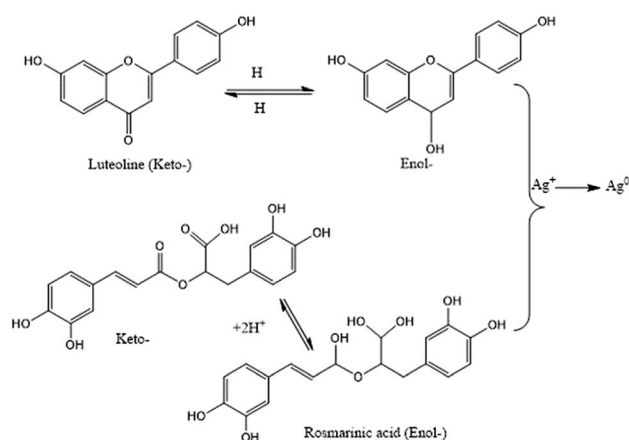


Fig. 5 Keto–enol tautomerism and its effects on the synthesis of Ag NPs.<sup>35</sup> Adapted with permission from ref. 35; Copyright 2010, Elsevier.

Table 3 Natural metabolites used in the synthesis of metallic NPs

Chemical name	Class	Source	Reference
21-Hydroxyonocera-8(26),14-diene-3-one	Terpenoids	Plants	171
3β-Hydroxyonocera-8(26),14-dien-21-one	Terpenoids	Plants	171
A reducing hexose with the open chain form	Sugar	Plants	24
Diosgenin	Steroids	Plants	145
Eugenol	Terpenoids	Plants	24
Glutathione	Tripeptide	Yeast & fungi	172
Lansionic acid	Terpenoids	Plants	171
Lansic acid	Terpenoids	Plants	171
Lansiosides A	Terpenoids	Plants	171
Lansiosides B	Terpenoids	Plants	171
Lansiosides C	Terpenoids	Plants	171
Luteolin	Flavonoids	Plants	24
Quercetin	Flavonoids	Plants	24
Rosmarinic acid	Acids	Plants	35
Tryptophan	Amino acids	Plants	24
Tyrosine	Amino acids	Plants	24
Vitamin B2	Alkaloids	—	173
Vitamin C (ascorbic acid)	Acids	—	170





of ketones to carboxylic acids in flavonoids is likely to be involved in the reduction of  $\text{Au}^{3+}$  ions. Interestingly, some flavonoids can chelate metal ions with their carbonyl groups or  $\pi$ -electrons. For example, apiin (apigenin glycoside) was extracted from *Lawsonia inermis* (lawsonite thornless, henna) and utilized for the synthesis of anisotropic AuNPs and quasi-spherical AgNPs with average sizes ranging from 21 to 39 nm.<sup>140</sup> The size could be controlled by changing the ratio of metal salts to apiin in the reaction. FTIR analysis revealed that a carbonyl group within apiin was involved in the NP-forming process.<sup>140</sup> Moreover, hesperetin isolated from citrus extract was used for initial complexation, which led to significant interactions with  $\text{Au}^{3+}$  ions to produce a net charge transfer and reduce gold to  $\text{Au}^0$ .<sup>141</sup> On the other hand, quercetin is a flavonoid with very strong chelating activity *via* its carboxyl group, hydroxyls at the C3 and C5 positions, and the C3'-C4' catechol group. Flavonoids chelate metal ions such as  $\text{Fe}^{2+}$ ,  $\text{Fe}^{3+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Zn}^{2+}$ ,  $\text{Al}^{3+}$ ,  $\text{Cr}^{3+}$ ,  $\text{Pb}^{2+}$ , and  $\text{Co}^{2+}$ , which explains why flavonoids are readily adsorbed onto the surfaces of nascent NPs. Thus, they can influence the early stages of NP formation (nucleation), restrict aggregation, and mediate bioreduction.<sup>24</sup>

### Alkaloids

Ergoline alkaloids, indolizidine alkaloids, benzenoids and phenolic compounds in extracts of *I. pes-caprae* roots were shown to reduce and stabilize AgNPs.<sup>67</sup> FTIR studies on mixtures of this extract with silver salts revealed peaks at 1660, 1043, and 635  $\text{cm}^{-1}$ , which were attributed to the C=O stretching vibrations of the amide bonds in proteins, the C-OH stretch of a cyclic alcohol, and aromatic C-H vibrations (suggesting the presence of free quinones derived from polyphenolic compounds), respectively.<sup>142</sup> However, only a few publications have discussed the role of alkaloids in the formation of metallic NPs, and thus further investigations in this area are needed.

### Terpenoids

FTIR studies have shown that terpenoids are frequently associated with biosynthetic metallic NPs.<sup>143</sup> The formation of AuNPs upon the reaction of chloraurate ions with geranium leaves was attributed primarily to the content of terpenoids in the leaves.<sup>97</sup> Similarly, the bio-reduction of  $\text{HAuCl}_4$  and  $\text{AgNO}_3$  by *Cinnamomum zeylanisum* (cinnamon) extracts was largely attributed to the high content of the basic terpenoid eugenol in the extracts.<sup>97</sup> Previous studies have suggested that the deprotonation of the hydroxyl group in eugenol yields an anion, which can undergo further oxidation by metal ions, leading to their reduction and formation of NPs.<sup>144</sup> In addition, the steroidal saponin diosgenin was found to act as a reducing and capping agent in the synthesis of AgNPs, and the mechanism proposed is shown in Fig. 6.<sup>145</sup>

### Enzymes

Several studies have demonstrated the involvement of different enzymes in the biosynthesis of various metallic NPs. Enzymes are natural proteins produced in large quantities by living

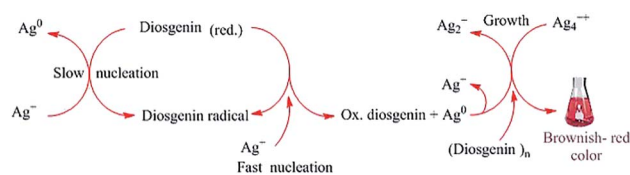


Fig. 6 Proposed mechanism for the formation of AgNPs *via* the reduction of  $\text{Ag}^+$  ions by the reducing steroidal saponin diosgenin. The OH groups are deprotonated to yield reducing anions, which convert  $\text{Ag}^+$  into  $\text{Ag}^0$ . The neutral  $\text{Ag}^0$  then reacts with an  $\text{Ag}^+$  ion to form the comparatively stable  $\text{Ag}_2^{2+}$  cation.  $\text{Ag}_2^{2+}$  ions then dimerize to yield  $\text{Ag}_4^{2+}$ .<sup>145</sup> Adapted with permission from ref. 145; Copyright 2014, Elsevier.

organisms.<sup>146</sup> Enzymes can promote the formation of NPs with a wide variety of shapes and sizes, but they also vary widely in their catalytic activity, making it essential to carefully select the optimal enzyme for a given synthesis.<sup>147</sup> For example, during the bio-synthesis of CdS NPs *via* the reaction of an aqueous  $\text{CdSO}_4$  solution with the fungus *Fusarium oxysporum*, NP formation was mediated by the secreted sulfate reductase enzymes. These enzymes reduced the sulphate ions to sulfides, which then reacted with aqueous  $\text{Cd}^{2+}$  ions to yield highly stable CdS NPs.<sup>148</sup> The ubiquitous coenzyme NADH can also act as a reducing agent in the biosynthesis of NPs.<sup>88</sup>

The growing number of established pathways for the biosynthesis of NPs using fungal enzymes raises the exciting prospect of developing a rational unified biosynthetic strategy for nanomaterials with tailored chemical compositions and morphologies.<sup>148</sup>

### Proteins

Proteins can be involved in both the bio-synthesis and stabilization of metallic NPs.<sup>149</sup> A protein is a large biomolecule or macromolecule consisting of one or more long chains of amino acid residues. FTIR spectra clearly show the presence of various carbonyl groups on the surface of NPs, and the carbonyl groups of amino acid residues tend to act as capping ligands for NPs, thereby preventing their agglomeration and stabilizing them in aqueous media.<sup>106</sup> However, proteins and peptides can also bind to AgNPs *via* their free amino groups.<sup>150</sup> Naik *et al.*<sup>151</sup> demonstrated the biosynthesis of AgNPs using peptides that can bind to the surface of nascent silver particles. The resulting metal clusters exhibited diverse structures, some of which resembled that of mature crystals. During the biogenesis of NPs, a plethora of biomolecules including proteins and amino acids with exposed disulphide bridges and thiol groups acted as non-enzymatic capping and reducing agents.<sup>152</sup> The involvement of proteins as capping agents in the biosynthesis of AgNPs was demonstrated by Jain *et al.*,<sup>33</sup> who identified two extracellular proteins with molecular weights of 32 kDa and 35 kDa. The two proteins were isolated from a fungal strain and were employed in the ecofriendly synthesis of stable AgNPs.<sup>33</sup> Fig. 7 presents the suggested mechanism for the synthesis of AgNPs by these two proteins, in which the 32 kDa protein (presumed to be a reductase) reduces bulk  $\text{Ag}^+$  to  $\text{Ag}^0$ , and the newly



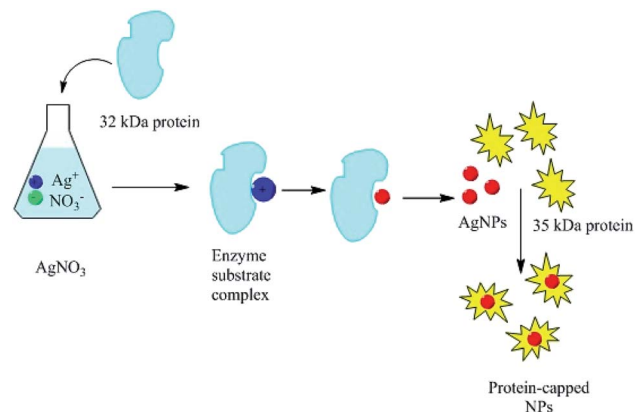


Fig. 7 Suggested mechanism explaining the involvement of two proteins (32 and 35 kDa) in the synthesis of AgNPs.<sup>33</sup> Adapted with permission from ref. 33; Copyright 2011, Royal Society of Chemistry.

synthesized NPs are then capped and stabilized by the 35 kDa protein. Consistent with this suggested mechanism, proteins have been shown to bind immediately to the surfaces of NPs on contact.<sup>153</sup> Gulsuner *et al.* designed a multidomain peptide for the green, size-controlled, one-pot synthesis of bio-functionalized AuNPs (Fig. 8).<sup>154</sup> The peptide incorporates the 3,4-dihydroxy-L-phenylalanine (L-DOPA) functional group, which has a catechol side chain, shown to be crucial for the reduction of the Au cations and the stabilization of the resulting NPs together with a lysine residue.

A linker domain was also incorporated to enable secondary functionalization and the connection of these reducing and stabilizing moieties to functional domains, which permitted effective biological targeting. Under appropriate experimental conditions, the oxidation of DOPA was coupled with the reduction of Au(III) ions, leading to the eco-friendly formation and stabilization of biofunctionalized AuNPs in a single-step process, which permits further functionalization and requires

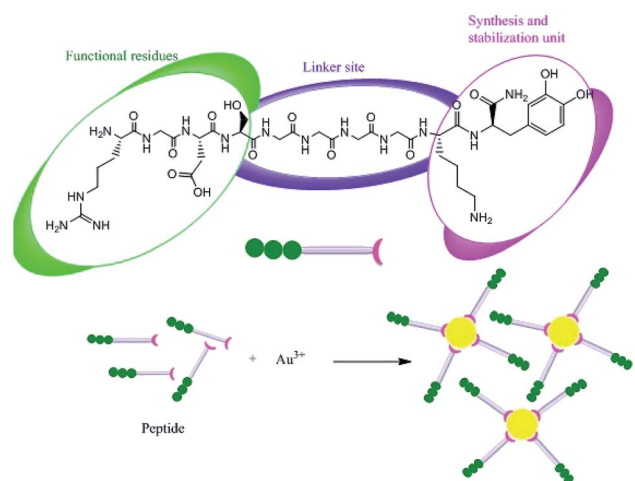


Fig. 8 Synthesis of biofunctionalized AuNPs using a designed peptide.<sup>153</sup> Adapted with permission from ref. 153; Copyright 2015, American Chemical Society.

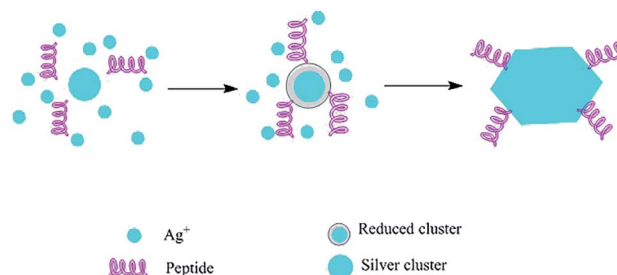


Fig. 9 Silver nanocrystal formation mediated by silver-binding peptides.<sup>150</sup> Adapted with permission from ref. 150; Copyright 2002, Nature Publishing Group.

no additional reagents. The interaction of the peptide with the metal clusters provided a chemically reducing environment, accelerating the reduction of silver ions at the interface between the peptides and the metal (Fig. 9). In addition, the peptides adhere to the silver nuclei, reducing the surface energy of the crystal lattice.<sup>105,151,155</sup> Recently, Paul and Sinha investigated the protein-mediated reduction of AgNO<sub>3</sub> using a *Pseudomonas aeruginosa* culture supernatant.<sup>132</sup> In addition, zein protein has been used as a reducing and capping agent for the biosynthesis of bio-conjugated AuNPs. It was found that a coating of zein protein on the surface of the NPs reduced their toxicity and hemolytic activity.<sup>156</sup> Gruen observed that amino acids such as cysteine, arginine, methionine, and lysine can bind to silver ions.<sup>157</sup>

Complementary investigations established that *Capsicum annum* L. extracts contain proteins with free amine groups that act as reducing and controlling agents during the solution-phase formation of AgNPs.<sup>158</sup> Li *et al.*<sup>159</sup> studied the extract of *C. annum* L. and showed that the proteins and vitamin C present in the extract induced the rapid formation and precipitation of a-Se/protein NPs when combined with SeO<sub>3</sub><sup>2-</sup> ions at room temperature. The proteins stabilized the NPs by coating their surfaces and forming an a-Se/protein composite.<sup>159</sup> The size and shell thickness of the a-Se/protein composite increased with an increase in the concentration of the extract but decreased at low pH values.<sup>159</sup> Similar studies on the biosynthesis of AgNPs have shown that proteins can act as both reducing agents and protective surface coatings. These proteins prevent the agglomeration of AgNPs in the medium and are responsible for the formation of highly stable nanostructures.<sup>160,161</sup> As discussed above, amino acids can bind to metal ions *via* the amino and carbonyl groups of their main chain or *via* side chains such as the carboxyl groups of aspartic and glutamic acid or the nitrogen atoms in the imidazole ring of histidine. Other side chains that can bind to metal ions include thiols (cysteine),<sup>89</sup> thioethers (methionine), hydroxyl groups (serine, threonine, and tyrosine), and carbonyl groups (asparagine and glutamine).<sup>162</sup> Tyrosine residues in proteins or peptides have been implicated in the production of AgNPs and AuNPs. The particles can be stabilized by the interactions between the metal surface and the free N terminus of the peptide. Bhattacharjee *et al.*<sup>163</sup> produced AuNPs using a new



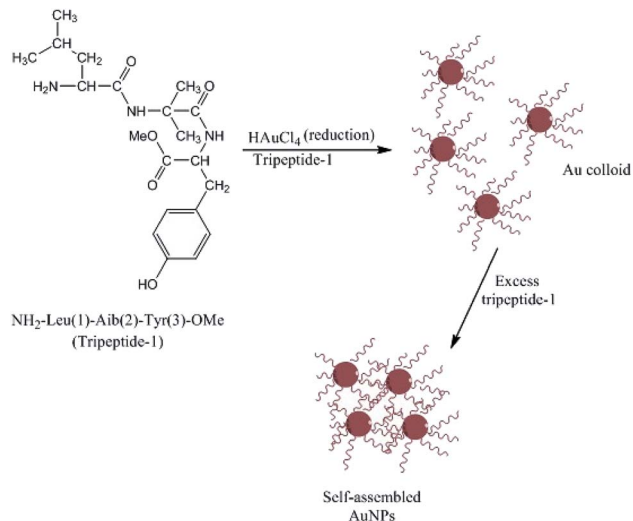


Fig. 10 Structure of tripeptide-1 and the self-assembly of AuNPs.<sup>162</sup>

tripeptide ( $\text{H}_2\text{N-Leu-Aib-Tyr-OMe}$ ) sequence containing a C-terminal tyrosine residue to reduce gold ions and a free N terminus that can bind to the nascent particles, forming stable AuNPs, as shown in Fig. 10. When amino acids are connected to a peptide chain, their individual capacity to bind and reduce metal ions may change. For example, the formation of the peptide backbone changes the functionality of the carboxyl group and the amino group. Capping proteins have important advantages for the synthesis of NPs compared to polymers and surfactants, including they are cost effective, safe, eco-friendly, and do not need special reaction conditions.<sup>129</sup> They can also act as an anchoring layer for drug or genetic materials to be transported into human cells,<sup>164</sup> and the presence of natural capping proteins increases the uptake and retention of NPs inside human cells.<sup>165</sup> Moreover, the use of peptides that act as both reductants and capping agents eliminates the need for a separate capping step, which is very important for most medical applications of NPs.<sup>166</sup>

### Sugars

Plant sugar extracts can affect the production and stability of metallic NPs.<sup>24</sup> It was recently demonstrated that the capping properties of sugar extracts depend on their content of non-soluble carbohydrates such as starch.<sup>13</sup> For example,  $\beta$ -D-glucose (monosaccharide) and soluble starch (polysaccharide) were used as structure-directing and stabilizing agents for the synthesis of spherical NPs and nanowires of silver and gold.<sup>167</sup> The AgNPs formed in a plant extract (*Rumex dentatus*) were found to be very stable, possibly because the starch content in the extract prevented the agglomeration of the NPs.<sup>139</sup> The synthesis of AuNPs was also attempted using selected glucose derivatives.<sup>168</sup>

In reactions with glycosides substituted at the anomeric carbon center, the C-6 position of the sugar was oxidized to a carboxylic acid with concomitant reduction of auric acid to yield AuNPs (Fig. 11).<sup>147</sup> With glucose or glucuronic acid (an

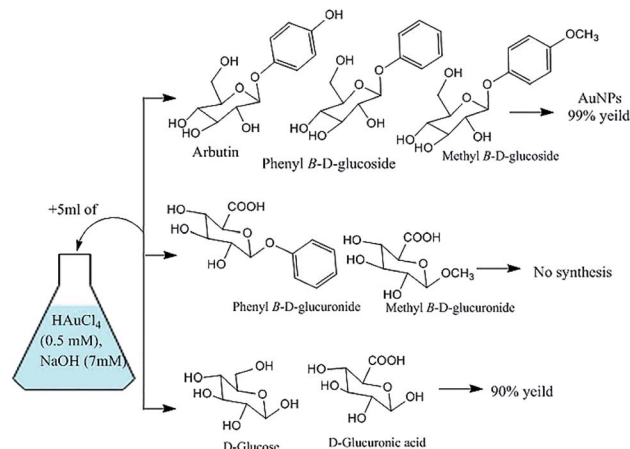


Fig. 11 Results obtained in the attempted synthesis of AuNPs using some glucose derivatives.<sup>147</sup>

analogue of glucose with a carboxylic acid group at the C-6 position), auric acid reduction appeared to have been enabled by anomerisation and subsequent oxidation of the liberated aldehyde. This explains why the synthesis did not work using glucuronic acid derivatives substituted at the anomeric position, which cannot undergo oxidation at C-1 or C-6 (Fig. 11).<sup>147</sup>

Thus, the choice of sugar derivative affected the final yield of AuNPs as well as their morphologies and sizes. Similar results were obtained in another study on the synthesis of AuNPs from auric acid using glycosides,<sup>169</sup> where,  $^{13}\text{C}$  NMR experiments indicated that phenyl  $\beta$ -D-glucoside afforded the highest yield of mono-disperse round AuNPs.<sup>169</sup>

### Vitamins

Various other purified secondary metabolites have also been used to prepare noble metal NPs.<sup>35</sup> Vitamin B2 was employed in the synthesis of Au and PtNPs, yielding products with interesting morphologies,<sup>170</sup> and vitamin C was used to prepare AuNPs in molecular gels and liquid crystal systems (Fig. 12).<sup>170</sup> However, the use of vitamins in the biosynthesis of metallic NPs is currently under-explored.

## Factors affecting the biosynthesis of metallic NPs

Various factors have been shown to affect the mechanism and rate of the bio-synthesis of metallic NPs, and the morphology of the resulting particles (Fig. 13).<sup>28,137</sup> This section discusses each of these factors and their known effects on the synthesis of NPs.

### Light

Exposure to sunlight has repeatedly been shown to affect the rate of biosynthesis processes. Raut *et al.*<sup>137</sup> observed that exposure to sunlight reduced the time required for the completion of the biosynthesis of AgNPs from 12 h to 5 min. This dramatic reduction was attributed to a photochemical process, which greatly increased the electron transfer rate to the



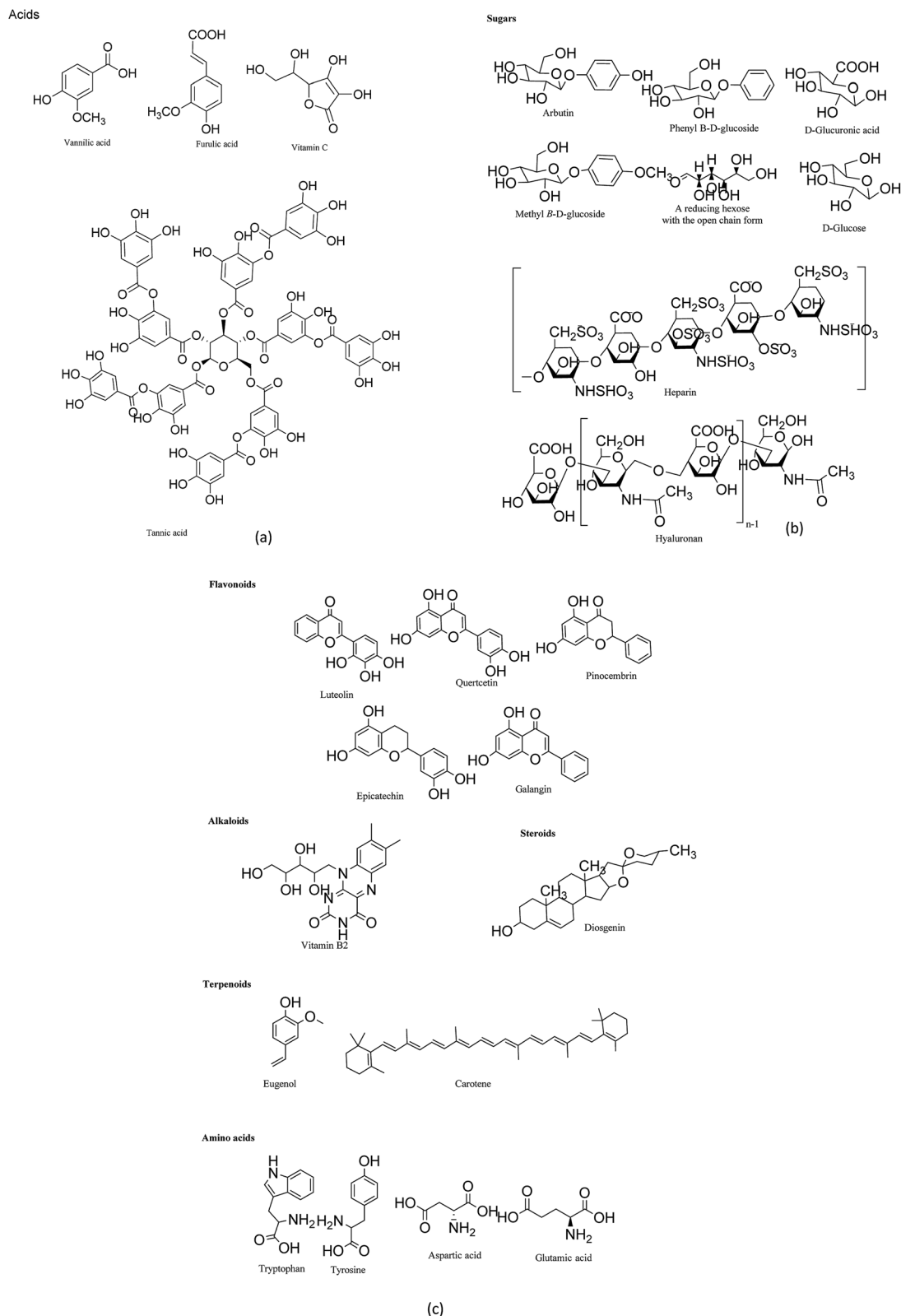


Fig. 12 (a), (b) and (c) Secondary metabolites used in the synthesis of metallic NPs.

metal ions.<sup>137</sup> The effect of varying the wavelength of incident light on the biosynthesis of metallic NP was recently studied by wrapping reaction vessels with violet, red, green, and yellow

filters made of cellophane paper. The violet filter was found to favor the formation of smaller spherical AgNPs with diameters of around 20 nm.<sup>174</sup> In recent years, the light-assisted *in situ*

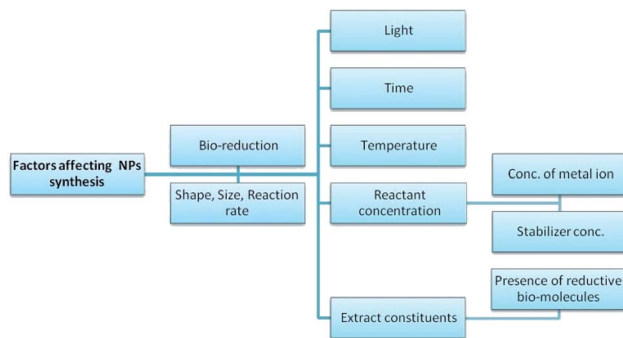


Fig. 13 Factors affecting the biosynthesis of NPs.

preparation of AgNPs has emerged as a very promising strategy. It offers considerable versatility and selectivity together with opportunities to control the morphology of the resulting AgNPs without requiring severe reaction conditions.<sup>175</sup> Photo-induced reaction protocols can be used to prepare nanostructures with different shapes and sizes, including larger spheres, nanorods, nanoplates, and dodecahedra (Fig. 14).<sup>175</sup>

### Temperature and heating rate

The temperature can strongly affect the size and shape of biosynthetic NPs as well as the rate of their formation. Higher temperatures increase the reaction rate and the competence of some microorganisms with respect to the synthesis of NPs.<sup>8</sup> For example, the synthesis of AgNPs from AgNO<sub>3</sub> using cultures of the fungi *Chrysosporium tropicum* and *Fusarium* was studied at temperatures between 25 °C and 30 °C. The rate of AgNP formation increased with the incubation temperature and correlated with the absorbance of the reaction matrix. At the lowest temperature (25 °C), the majority of the formed AgNPs were very small, and incubation at the higher temperature of 30 °C reduced the proportion of smaller particles and promoted the formation of larger ones.<sup>176</sup> A separate study showed that the size-selective synthesis of CuNPs could be achieved by adjusting the reaction temperature, yielding products with diameters

ranging from 5 to 25 nm. The melting point of these CuNPs, and particularly the surface melting temperature, was believed to play a vital role in interparticle coalescence, causing them to grow more rapidly as the reaction temperature increased. In addition, the morphology of the CuNPs varied with the reaction temperature, enabling the formation of rod-shaped and cubical products.<sup>177</sup> Temperature was also shown to affect the synthesis of AgNPs using the extract of *Citrus sinensis* peel, in which the particle size was found to depend on the relative rates of nucleation and particle growth. At the low temperature of 25 °C, the rate of growth exceeded that of nucleation, and thus relatively large particles were obtained, and at 60 °C, the rate of nucleation was higher, leading to smaller particles.<sup>178</sup> Finally, Gericke and Pinches reported that higher temperatures increased the rate of AuNP formation when fungal cultures were incubated with AuCl<sub>4</sub><sup>-</sup>, suggesting an increased rate of reduction. This in turn affected the particle morphology, where at lower temperatures, the main products were spherical AuNPs, whereas higher temperatures yielded rod-like and plate-like NPs.<sup>179</sup> These results demonstrate that the reaction rate influences the rate of particle formation, which appears to increase with the reaction temperature. In addition, the reaction temperature has an important effect on particle size and morphology.<sup>114</sup>

### pH

pH is well known to have important effects on the shapes and sizes of NPs. Sathishkumar *et al.*<sup>180</sup> reported that the yield of synthesized AgNPs using *Cinnamon zeylanicum* bark extract increased with an increase in the extract concentration and pH (the pH was 5 or above). In addition, higher pH values favored the formation of more spherical NPs.<sup>180</sup> Conversely, in other cases, acidic conditions favored the production of spherical monodispersed AgNPs.<sup>92,177</sup> It has also been shown that lower pH values favor the formation of larger NPs.<sup>49,50</sup> For example, rod-shaped AuNPs synthesized using *Avena sativa* biomass at pH 2 were larger (25 to 85 nm) than that formed at pH 3 or 4 (5 to 20 nm).<sup>181</sup> This suggested that the number of functional groups in the extract acting as nucleation sites at pH 2 was lower than that at pH 3 and 4, leading to the formation of larger AuNPs.<sup>114</sup> Conversely, a pear extract was shown to yield hexagonal and triangular gold nanoplates under alkaline conditions, but afforded no NPs at all under acidic conditions.<sup>182</sup> Additionally, He *et al.* demonstrated that the reduction of gold ions using *Rhodospseudomonas capsulate* yielded spherical AuNPs with diameters in the range of 10–20 nm at pH 7, but gold nanoplates were obtained at pH 4.<sup>133</sup>

The pH also strongly affects the reduction of silver ions by the amino acid tyrosine, which functions as a reducing agent under alkaline conditions. Reduction occurs at high pH because the phenol group of tyrosine is ionized, allowing it to transfer electrons to silver ions, and thereby become oxidized to a semi-quinone (Fig. 15). The ability of tyrosine to reduce silver ions to prepare Au core–Ag shell nanostructures at high pH was exploited so that the amino groups of the tyrosine molecules coordinated to the NPs. The tyrosine-decorated NPs were then

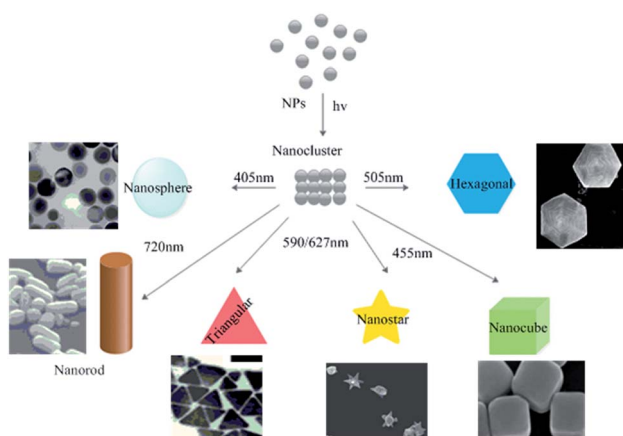


Fig. 14 Photo-induced production of AgNPs with various morphologies. Adapted with permission from ref. 175; copyright 2015, Springer.



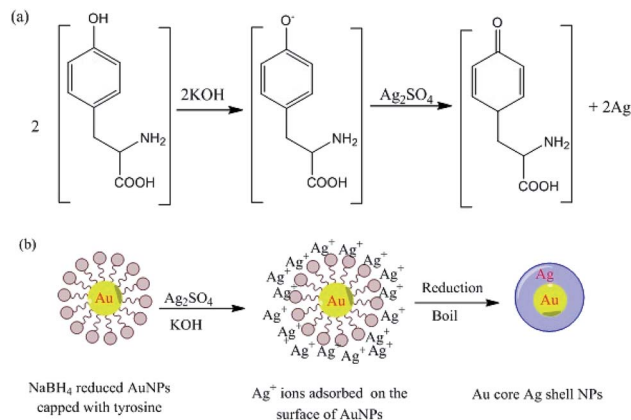


Fig. 15 (a) Tyrosine-catalyzed formation of AgNPs at high pH and (b) formation of Au-core-Ag-shell NPs under basic conditions.<sup>183</sup> Adapted with permission from ref. 183. Copyright 2004, American Chemical Society.

treated with an Ag salt under basic conditions, resulting in the formation of a reduced Ag shell around the Au core (Fig. 15).<sup>183</sup>

### Time

Reaction time is another key factor affecting the size and morphology of NPs. An increase in reaction rate has been observed to reduce the size of the synthesized NPs and the breadth of the particle size distribution.<sup>28,184</sup> This can be due to the excitation of dissimilar multiple modes during the faceted and anisotropic growth of particles.<sup>145</sup> The effect of reaction time on the synthesis of AgNPs was evaluated using the extract of *Ananas comosus*. Treatment of silver salts with this extract resulted in a pronounced color change within 2 min and the development of a broad UV-Vis absorption peak centered at around 430 nm, characteristic of Ag nanoclusters.<sup>61</sup> The intensity of this peak increased over time, presumably due to the gradual increase in the number of NPs present in the suspension.<sup>185</sup> The rate of particle growth was also time-dependent in this case, where the number of NPs increased over time, but their rate of growth decreased. There are several ways by which the number and morphology of NPs in a given suspension may change over time. For example, during prolonged storage, mixtures of NPs and plant extracts may undergo aggregation, and particles may shrink or grow.<sup>186</sup> However, some AgNPs suspensions are stable for over 3 weeks. This can be due to the limited availability of extract molecules or a limited availability of  $\text{Ag}^+$  ions relative to each other's.<sup>174</sup> The absorption of AgNPs increased linearly with time, *i.e.*  $A \propto T$  where  $A$  and  $T$  denote absorbance and time, respectively.<sup>184</sup>

### Reactant concentration

The initial concentration of the metal ions in the reaction mixture is another crucial factor. For example, when the leaf extract of *Coleus aromaticus* was used for the bioreduction of silver ions, it was found that NPs were efficiently synthesized when the concentration of silver ions was 1 mM or below, but higher concentrations caused aggregation of the newly formed

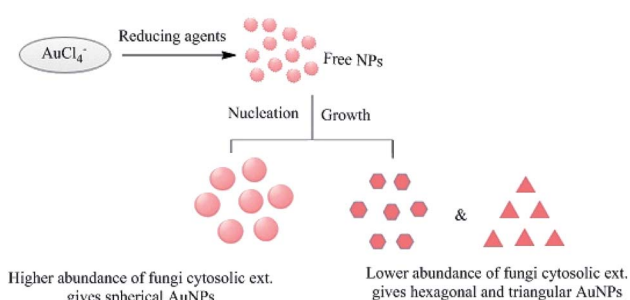


Fig. 16 Effects of varying the concentration of *C. albicans* cytosolic extract on the morphology of the AuNPs.<sup>64</sup>

NPs.<sup>56</sup> Chauhan *et al.*<sup>124</sup> reported the synthesis of AuNPs *via* the reaction of chloroaurate ions with a *Candida albicans* cytosolic extract. The shape of the NPs could be controlled by varying the concentration of the cytosolic extract in the reaction mixture, as shown in Fig. 16. The resulting NPs were non-spherical when the extract concentration was low, but spherical when it was high. Shervani and Yamamoto reported the synthesis of AuNPs and AgNPs, and found that treatment with excess  $\text{NaBH}_4$  caused the initially dark NP suspension to become clear, yielding a precipitate with a mesh-like or wire-like structure rather than monodisperse particles.<sup>167</sup>

The concentration-dependent effect of added stabilizers on the biosynthesis of NPs has also been examined.<sup>63</sup> Studies on AgNPs synthesis using the extract of *Callistemon viminalis* showed that adding cetyltrimethylammonium bromide at different concentrations yielded different morphological nanocouples. Imaging studies revealed that dimeric NPs with a range of different morphologies were obtained under these conditions.<sup>63</sup>

## Industrial applications of metallic NP

In recent years, nanotechnology has emerged as a new field in fundamental science with broad applications.<sup>187</sup> Nanotechnology plays an important role in many fields, especially health and life sciences, as shown in Fig. 17.<sup>188</sup> It may be an answer for environmental and industrial challenges in the areas of solar energy, catalysis, medicine, water treatment and pollutant degradation.<sup>10,189–191</sup> There are other fields that require the interaction of nanotechnology with other sciences to promote

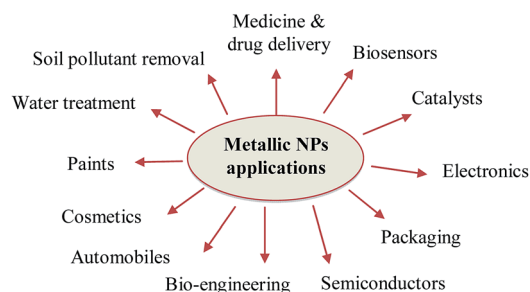


Fig. 17 Applications of metallic NPs.



their bio-diverse applications in drug delivery, electronics, automobile, biosensors, semiconductors, cosmetics, the food industry and livestock feed additives.

### Drug encapsulation

Pharmaceutical industries have become increasingly interested in nanotechnological advances, especially drug encapsulation.<sup>192</sup> Although NPs provide great advantages in the pharmaceutical industry since they protect drugs from the harsh environment *in vivo*, improve their stability, increase and sustain their activity, improve their delivery efficiency and selectivity, extend their release and immobilize poorly soluble drugs, insufficient information is available on the long-term adverse effects of NPs on human health.<sup>193,194</sup> The encapsulation is a vesicular immobilization system, where the conventional agents are confined or trapped inside the vesicle of the spherical structure. The biopolymer spherical structure can be constructed into a bilayer or a single layer sphere, depending on its properties and preparation techniques. Whereas, matrix-type sphere immobilization systems do not have a hollow cavity inside their structure, instead their whole structure is solidified and filled with the biomaterial. Modern drug encapsulation devices allow the efficient loading of drug molecules inside nanocarriers, thus reducing the systemic toxicity associated with drugs. Doxorubicin, which used in the treatment of different cancer types, has been found to be associated with toxicities such as mucositis, gastrointestinal myelosuppression, and alopecia. This problem was solved by its encapsulation in liposomes, which reduced its toxicity and increased its retention time, whereas there was decrease in its systematic uptake with micelles compared to the free drug.<sup>195,196</sup> Tretinoin is also used to treat various skin diseases, such as epidermotropism, psoriasis, acne, and T-cell lymphomas. However, it causes skin irritation, is highly unstable in the presence of air, light and heat and has low solubility, its use as a drug is limited. Therefore, tretinoin was encapsulated in liposomes. Negatively charged liposomes strongly improved the retention of tretinoin in the skin.<sup>197</sup> On the other hand, encapsulating the drug together with a coating material is one of the few ways to load a drug on NPs. This provides better opportunity to attach several drugs for targeted delivery. For example, deferasirox (DFX), an anticancer drug, was loaded on Fe<sub>3</sub>O<sub>4</sub>NPs (Fe<sub>3</sub>O<sub>4</sub>@DFX), which displayed a higher apoptosis-inducing effect against different cancer cell lines, including MCF-7, HeLa, HT-29, K-562, Neuro-2a, and L-929 cells, with enhanced drug release.<sup>198</sup> Methotrexate (MTX) was covalently bound with Fe<sub>3</sub>O<sub>4</sub>NPs for targeted drug delivery to cancer cells having overexpressed folate receptors on their surface.<sup>199</sup> Treatment of MCF-7 and HeLa cells with the developed nanocomposite showed their internalization at a high level in the lysosome. In the lysosome, methotrexate was released at low pH by enzymatic cleavage of the peptide bond. These studies have documented that magnetic NPs can be applied as a positive carrier for controlled and targeted drug delivery.<sup>200</sup> Furthermore, Paclitaxel (PTX) loaded on Fe<sub>3</sub>O<sub>4</sub> NPs resulted in lower cell viability in ovary cancer cell lines, (A2780 and OVCAR-3) compared to doxorubicin (DOX)-loaded NPs with

the same concentration. The result revealed a significant anti-neoplastic effect compared to the free drug.<sup>201</sup>

### Livestock feed additives

Feed additives are food supplements added to livestock feed for animals that cannot get enough nutrients from regular meals. These additives include vitamins, amino acids, fatty acids, and minerals. Despite the advantages of higher quality of feed additives, most diets of livestock still consist of maize, wheat, and soybean to maintain cost-effectiveness. Currently, the major challenge is to increase the production of livestock, while maintaining the product quality, providing food security, and protecting the environment from hazardous elements.<sup>202</sup> Nanotechnologies have the ability to improve the functionality of feed molecules, while delivering novel vehicles for nutrient supplementation. Minerals in the form of NPs can pass through the intestinal wall and cells more quickly due to their small size, thus enhancing their bioavailability.<sup>203</sup> For example, the addition of ZnNPs to the livestock feed improved the growth, immunity, reproduction and increased the milk production in cows.<sup>204</sup> Yang and Sun<sup>205</sup> reported a significant drop in the diarrhea incidences by supplying graded doses of ZnONPs in the diet. In addition, nanosensors were introduced to study the causes of abortion in animals.<sup>205</sup> Thus, the application of these techniques can possibly eliminate reproductive disturbances, and thus improve farming and the economy.<sup>206</sup> Additionally, the addition of AgNPs (up to 100 nm) to animal feed was effective as an anti-microbial agent and selectively combated potential pathogens.<sup>207</sup> Thus, NPs are a promising tool in animal feeding to improve the bioavailability of nutrients, production performance and immune status in livestock.

### Future direction of the application of bio-derived nanomaterials

Currently, the various limitations in conventional therapy such as high cost and toxicity have made it necessary to design and develop novel drugs. Accordingly, opportunity to apply a wide range of nanosized materials in a greener way (eco-friendly, cost effective, easily available, and safe without the use of tough chemicals) opens a new door.<sup>208</sup> Over the past decade, the rapid advancement of nanotechnology has promoted biomedical and industrial applications including detection, imaging, and drug delivery.<sup>209</sup> From the relevant studies, it appears that bio-derived nanomaterials have been investigated *in vitro*, whereas there are no reports on their *in vivo* applications. Furthermore, there is insufficient information on the potential mechanisms of toxicity of metallic NPs.<sup>210</sup> Therefore, *in vivo* trials should be carried out and tested thoroughly to generate data sets that depict nanomedicine behavior before initiating preclinical research.<sup>211</sup> The applications of bio-derived nanomaterials will continue to grow, which is expected to shed light on their long-term effect on humans and animals and their impact on the environment.<sup>210</sup> Additional studies are still required to understand their actual molecular mechanism and dynamics within the body tissues. In the coming years, biosynthesized metallic



nanoparticles will strongly contribute to the nanodrug market and furnish other commercial applications.<sup>210</sup>

## Limitations of biosynthesized NPs

Although the green biosynthesis of metallic NPs has gained tremendous interest in recent years, there are some limitations that should be considered prior to their wide industrial application. The size and morphology of NPs change dramatically depending on several factors. The localization of NPs can influence their movement and penetration after alterations in the tissue content of metal ions. This feature can increase the level of metal deposition around already existing NPs, and also the prospect of new nucleation events (initiation of NP formation).<sup>212</sup> Moreover, the heterogeneity of the NPs formed may hamper their use in different applications, where specific and precise shapes and sizes are needed.<sup>24</sup> Equally important is the difficulty related to the efficient extraction, isolation and purification process of NPs with a low recovery.<sup>24</sup> The extraction of metal NPs for further applications is not well investigated. In the case of intracellular production of NPs, the cell wall around the plant and cells of other organisms is inflexible, which makes NP extraction difficult. Hence, organic solvents and surfactants are good candidates for the extraction and stabilization of NPs, whereas centrifugation can be used for extraction and purification, but aggregation may occur.<sup>40</sup> For example, AuNPs were extracted from inactivated tissues of wheat and oat biomass.<sup>181</sup> The extraction process was performed under sonication and required the use of a citrate and cetyltrimethylammonium bromide (CTAB) mixture for moving the NPs from the biomass into the aqueous solution. This study revealed that the extraction with citrate is more efficient than CTAB. The extracted solution was also examined under TEM, displaying the smaller radius of the AuNPs that appeared mainly at the beginning of the extraction, whereas the larger AuNPs were extracted later. A number of physicochemical approaches were applied to extract the formed NPs such as heating, freeze-thawing, and osmotic shock. However, these methods interfered with the structure of the NPs and caused some problems such as aggregation, precipitation, and sedimentation. Subsequently, the properties of the NPs changed as their size and the shape changed. Possibly, enzymatic lysis (*e.g.*, cocktail of enzymes isolated from *Trichoderma longibrachiatum*) could be used, but this process is expensive and not applied on a large scale for industrial purposes.<sup>213</sup> The other limitations of biosynthesized NPs are related to their storage conditions and long-term stability. Velgosová and Mražíková recently studied the long-term stability of AgNPs and discussed the influence of light and temperature on the storage of the AgNPs, where the stability loss was most significant in daylight at room temperature (21 °C). After 200 days, a large number of agglomerated particles appeared. AgNPs stored in the dark at room temperature showed better long-term stability, and agglomeration was not as evident. AgNPs stored in the dark and at a temperature of 5 °C showed the best long-term stability with a dominant spherical shape and no agglomeration even after more than six months.<sup>214</sup>

## Conclusions

This review presented information about the eco-friendly biosynthesis of nanoparticles (NPs) with particular emphasis on the underlying mechanisms. Biomolecules of plant extracts are involved in the reduction of metal ions to nanoparticles in a one-step synthesis. A variety of plant species and plant parts have been successfully extracted and utilized for the synthesis of NPs since they contain a wide range of metabolites, including carbohydrates, alkaloids, terpenoids, phenolic compounds, and enzymes. Herein, a list of the plants involved in the green synthesis of nanoparticles in the period between 2014–2017 was tabulated. The mechanisms by which the biomolecules of other organisms such as fungi and algae are involved in the biosynthesis of NPs are still under debate; however, they showed shape and size-dependent biological activities and eliminated the need for stabilizing and capping agents. The current review also highlighted the factors affecting the biosynthesis of NPs as and some of their commercial and industrial applications. Considering the promise of nanotechnology applications in everyday life, more research is warranted to unravel the long-term chemical and physical properties of biosynthesized nanoparticles.

## Conflicts of interest

There are no conflicts to declare.

## Abbreviation

NP	Nanoparticles
HFPM	Hexane–fresh plant material
HDPM	Hexane–dry plant material
FFPM	Dichloromethane–FPM
DDPM	Dichloromethane–DPM
MFPM	Methanol–FPM
MDPM	Methanol–DPM

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