

## REVIEW

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## Cavity-based applications of metallo-supramolecular coordination cages (MSCCs)

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The microenvironment within self-assembled supramolecular coordination cages (SCCs) inaugurates a new phase of chemistry other than the conventional solid, liquid and gaseous phases. The rational design and ease of synthesis of self-assembled architectures have received tremendous attention mainly in the field of supramolecular chemistry. The structural transition from 2-D metallacycles to 3-D metallacages has led to better encapsulation and enhanced cavity effects, like restricted substrate motion, desolvation of the substrate and covalent binding of the transition state etc. Metal-mediated self-assemblies, principally known as metallo-supramolecular coordination cages (MSCCs), form a separate class of SCCs with unique chemical phenomena inside the cavities. The aesthetic structural diversity of MSCCs obtained from the rational choice of metal knots and organic linkers renders designable and programmable architectures. This review presents cavity-based applications of these MSCCs, such as molecular recognition and separation, stabilization of reactive species by encapsulation, as drug-delivery systems and as "molecular flasks" to foster reactions with unprecedented results. The objective of this review is to inspire and pave the way for researchers working in this field to design more advanced MSCCs and gain deep insight into cavity effects.

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## 1. Introduction

The application of coordination bonds for the design of molecular hosts was a critical development in the area of supramo-



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lecular chemistry. Coordination-driven self-assembly has proved to be a fascinating tool for the design and development of discrete supramolecular architectures with diverse geometries and functionalities due to the directional nature of the metal–ligand coordination bond.<sup>1,2</sup> Directional metal–ligand dative bonds allow the component units to act as programmed moieties, which self-assemble in solution to give desired architectures.<sup>1</sup> Complementarity of size and shape between various components of the SCC is crucial for self-assembly. Hence, supramolecular architectures can be rationally designed in accordance with their application in various fields like catalysis, host–guest chemistry, sensors *etc.*<sup>2–4</sup> Certain reversible metal–ligand bonds thermodynamically control the process of self-assembly, which permits ‘self-error correction’ and fine-tuning of the final architecture. Using self-assembly and incorporating different functional groups, complex supramolecular hosts with diverse shapes, sizes and chemical environments of the cavity could be easily designed from relatively simple components.<sup>1,3,5</sup> The metal-directed self-assembly produces stable nanometer-sized hollow complexes as suitable hosts with tunable cavities to encapsulate one large or several smaller molecules. The guests within these isolated cavities are exposed to a completely different microenvironment and interactions with the host alone or with co-encapsulated guests. These reduced interactions alter the properties of bound guests and host complexes, leading to their applications in recognition and separation,<sup>6–10</sup> sensitive and reactive species stabilization,<sup>11–13</sup> catalysis,<sup>2,14–16</sup> biological processes for drug delivery,<sup>4,17–19</sup> and as catalyst enhancers (increasing catalytic activity by encapsulation)<sup>20</sup> and nanoscale chemical vessels.<sup>21</sup> This review systematically describes the use of metal–ligand self-assembled nanocages for cavity-based applications. The selective encapsulation of one or more molecules isolates them from the bulk solution, inducing special

conformations, increasing effective concentrations, and stabilizing reactive and sensitive intermediates, resulting in unique features. With the help of this review, the authors aim to draw the attention of researchers working in supramolecular chemistry to a detailed exploration of the microenvironment within cavities.

Since the pioneering work of Lehn, Pedersen and Cram, a large number of organic supramolecular coordination complexes, including crown ethers,<sup>22,23</sup> cavitands,<sup>24</sup> cryptands, cyclodextrins,<sup>25,26</sup> calixarenes,<sup>27</sup> cucurbiturils,<sup>28</sup> dendrimers, and hydrogen-bonded capsules,<sup>2</sup> have been developed and reviewed excellently elsewhere,<sup>29–32</sup> but the use of the cavity of MSCCs for recognition and separation, the stabilization of reactive species, drug delivery and synthetic transformations within the cavity are discussed herein with specific examples in each section.

The review explains that MSCCs have cavities which can encapsulate specific species, depending on the shape, size and charge of the cages and guests. Since the microenvironment within the cages is completely different from the bulk solution, the guests behave differently in the cage cavity both physically and chemically.<sup>21</sup> The selective encapsulation, stabilization of unstable species, unusual chemo- and stereoselectivity and enzyme-like rate acceleration observed in many transformations have made them more exciting. The altered properties encountered by guests are a result of the microenvironment within the cavity of the cage complexes and here we review various cavity effects, like restricted substrate motion, desolvation of the substrate and covalent binding of the transition state.<sup>33</sup> The review has been systematically divided into four sections: molecular recognition and separation, stabilization of unstable and sensitive species, drug-delivery systems, and MSCCs as reaction vessels. Each section has been sub-divided into metallo-supramolecular coordination cages that have cavity-based applications in that particular field. The MSCCs have been classified on the basis of their shapes, like octahedral, tetrahedral, trigonal prismatic, cubic, spherical, *etc.* All cages with similar shapes, studied by different research groups are discussed under the same category.



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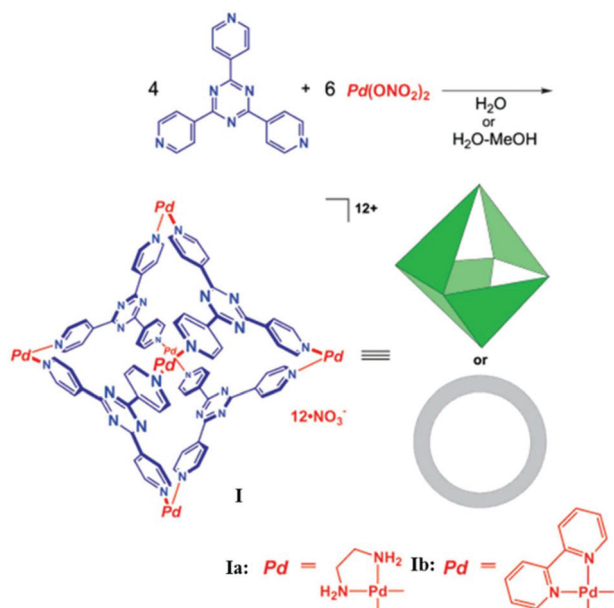
*including Angewandte Chemie, Chemical Society Review, Organic Letters, Chemical Communications, and Advanced Synthesis Catalysis. His current research interests focus mainly on green synthetic methodological development involving transition metal catalysis and photocatalysis.*

## 2. Molecular recognition and separation

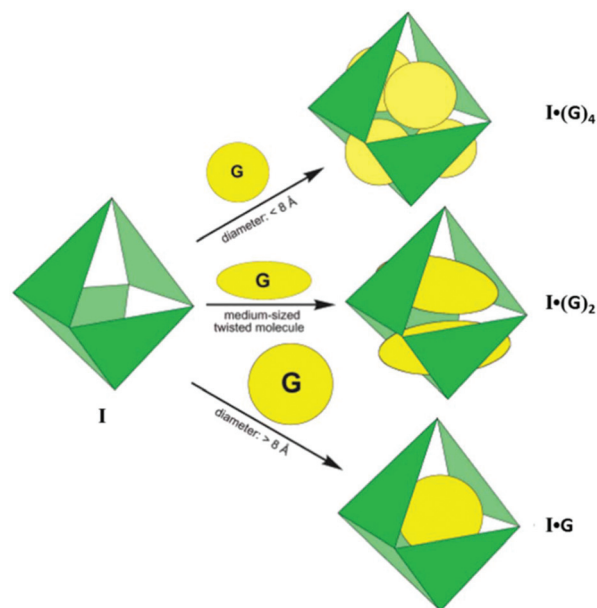
In this section a range of metal-based supramolecular coordination (cage) complexes are described with their applications in molecular recognition and separation by encapsulation within their confined cavities.

### 2.1. Octahedral MSCCs (cage I)

In 1995 Fujita and co-workers synthesized  $M_6L_4$  octahedral cage I (Fig. 1) using a triangular *exo*-tridentate ligand 2,4,6-tris(4-pyridyl)-1,3,5-triazine (TPT), and *cis*-protected palladium(II) as the building block.<sup>34</sup> This metal complex is now commercially available as it can be self-assembled on large scale by simply mixing the metal salt and ligand in a 3 : 2 ratio in



**Fig. 1** Synthesis and structural representation of octahedral coordination cage I. Reproduced with permission from ref. 35. Copyright 2002, American Chemical Society.



**Fig. 2** Representation of three types of guest inclusions in cage I, depending upon their shape and size. Reproduced with permission from ref. 35. Copyright 2002, American Chemical Society.

water. The *cis* end-capped metal unit, Pd(II), occupies the six octahedron vertices, and four *exo*-tridentate triangular ligands occupy eight alternate triangular faces. Cage I has a roughly spherical cavity with a diameter of  $\sim 2$ – $5$  nm and tetrahedral symmetry. Cage I displays some attractive features like the selective recognition of molecules and their encapsulation.<sup>35,36</sup>

Due to the 12+ charge on the cage and the exposure of cationic Pd(II) units at the periphery, it is highly water soluble and strongly encapsulates both neutral and anionic organic molecules in its hydrophobic cavity.<sup>36</sup> For example, the large central void of supramolecular coordination complex I accommodated four adamantyl carboxylate ions, when water-insoluble adamantane (**1**) gradually dissolved in an aqueous solution of cage I, forming **I**(**1**)<sub>4</sub> as an inclusion complex. Fujita and co-workers confirmed the exceptional encapsulation of many large, neutral molecules in the cavity of complex I as **I**(**G**)<sub>4</sub> (where **G** = *o*-carborane, 1-adamantanol, 2-adamantanol or trimethoxybenzene), **I**(**G**)<sub>2</sub> (where **G** = *cis*-azobenzene, a stilbene derivative, diphenylmethane or 1,2-bis(4-methoxyphenyl)-1,2-ethanedione) and **I**·**G** (where **G** = tri-*tert*-butylbenzene or tetrabenzylsilane) (Fig. 2).<sup>35</sup>

The Fujita and Reek group demonstrated the selective co-encapsulation of a metal complex and a planar aromatic guest in supramolecular coordination cage I. No individual encapsulation of guests was observed, suggesting that steric properties play a vital role in filling the cage cavity by co-enclathration. The shapes of co-enclathrating guests complement each other, leading to various ternary complexes with different combinations of guests. They demonstrated the co-enclathration of Ir and Rh–Cp-type metal complexes with planar organic molecules. Moreover, preparation of the most favorable ternary

complexes was possible by selective exchange of the guest molecules.<sup>37</sup>

In a different approach, Fujita and co-workers synthesized cage I following an induced-fit strategy from four 2,4,6-tris(4-pyridyl)-1,3,5-triazine (TPT) ligands and six metal (M = Pt for **I**<sub>Pt</sub> and Pd for **I**<sub>Pd</sub>) units with the aid of large template guests like sodium adamantanecarboxylate. Cage **I**<sub>Pt</sub> maintained its structure even after removal of template guest molecules due to locking of the Pt(II)–py bond, whereas cage **I**<sub>Pd</sub> collapsed immediately when an acid (HNO<sub>3</sub>) or a nucleophilic base (NEt<sub>3</sub>) was added to remove template guests.<sup>38</sup> Then in 1999 they described a prototype for a “dynamic receptor library” where individual cage structures were formed upon the addition of appropriate template guest molecules from a thermodynamic mixture of oligomers formed from cage sub-components.<sup>39</sup>

The same group assembled coordination cage **I**' by following same “induced-fit” mechanism, in which a specific guest induces the organization of a host itself. The tridentate ligand 1,3,5-tris(4-pyridylmethyl)benzene on treatment with (en)Pd(NO<sub>3</sub>)<sub>2</sub> resulted in oligomeric products. However, these oligomers disappeared upon addition of sodium 4-methoxyphenylacetate, and supramolecular cage **I**' with 4-methoxyphenylacetate as guest was formed in 1 : 1 stoichiometry in aqueous solution. Anionic hydrophobic guests of a size comparable to the inner cage cavity like 1-phenylethyl or adamantyl containing molecules, induced the formation of cages in the highest yields. Monocarboxylates with a phenyl or cyclohexyl group or *p*-toluenesulfonate also induced the assembly of cage **I**' in moderate yields. Less hydrophobic guests like dicarboxylates or acetate and too-large molecules like (1-naphthyl)acetate

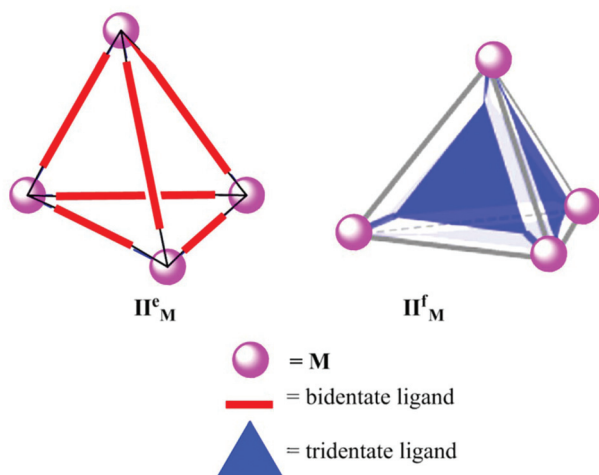
hardly induced complex formation. Besides anionic molecules, neutral hydrophobic guests like *p*-xylene also effectively induced cage assembly. Trimethylbenzylammonium bromide, a cationic guest, did not interact with the cage.<sup>40</sup> The template guest strategies are helpful in synthesizing MSCCs, as well as in recognizing and separating these template molecules by encapsulation.

## 2.2. Tetrahedral MSCCs (cage II)

Raymond's group and Nitschke's group have synthesized and comprehensively studied the properties and applications of tetrahedral coordination cages (II).<sup>11,41–44</sup> The tetrahedral cages have four metal atoms occupying the vertices, and the edges ( $M_4L_6$ ) or faces ( $M_4L_4$ ) are occupied by six bis-bidentate or four tridentate ligands, represented as  $II_M^e$  and  $II_M^f$ , respectively (Fig. 3). Their research groups have reported metal cages with various metal atoms (M) like  $Ga^{3+}$ ,  $Al^{3+}$ ,  $In^{3+}$ , and  $Fe^{3+}$ . The negatively charged containers have a hydrophobic internal cavity and are soluble in polar solvents as well as water.

Raymond and co-workers demonstrated the selective encapsulation and stabilization of aqueous cationic guests within  $[Ga_4L_6]^{12-}$  (cage  $II_{Ga}^e$ ).<sup>6</sup> They demonstrated the preferable encapsulation of monocationic  $NR_4^+$  ( $R = Me, Et, \text{ or } Pr$ ) guests over neutral and highly charged cationic molecules, owing to the negative charge on the metal cage. Among the monocations, the inclusion of  $NEt_4^+$  was preferred over the smaller  $NMe_4^+$  and larger  $NPr_4^+$ , exhibiting the role of the size of the cavity and the hydrophobicity of guests in the encapsulation and specificity of host complexes.<sup>45</sup> Later they found that the cage could encapsulate neutral, hydrophobic guests, including saturated hydrocarbons (*n*-alkanes and cycloalkanes) as well in aqueous solution due to the hydrophobic effect.<sup>46</sup>

Two positively charged ruthenium complexes,  $[CpRu(\eta^6-C_6H_6)]^+$  (2) and  $[CpRu(p\text{-cymene})]^+$  (3) ( $Cp = C_5H_5$ ), were also encapsulated in a cage  $II_{Ga}^e$  assembly by guest exchange with

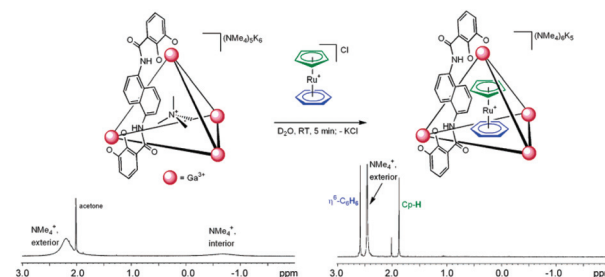


**Fig. 3** Representation of two types of tetrahedral cages ( $II_M^e$  and  $II_M^f$ ) synthesized using bis-bidentate ( $M_4L_6$ ) and tridentate ( $M_4L_4$ ) ligands, respectively.

cation  $NMe_4^+$ .<sup>47</sup> More importantly, the size of the guests decides their binding affinity with host complexes. Replacement of  $NMe_4^+$  (lower binding constant) with ruthenium complexes (higher binding constant) was monitored by  $^1H$ -NMR spectroscopy. The signals of interior  $NMe_4^+$  ( $-0.70$  ppm) disappeared while signals for  $\eta^6-C_6H_6$  at 2.60 ppm and Cp-H 1.89 ppm, corresponding to the encapsulated ruthenium complex, appeared on adding  $[CpRu(\eta^6-C_6H_6)]Cl$  to the  $K_6(NMe_4)_5[II_{Ga}^e-NMe_4]$  solution (Fig. 4).

Nitschke and co-workers reported the synthesis of tetrahedral cage  $II_{Fe}^e$  from the reaction of  $Fe^{II}$  with 4,4'-diaminobiphenyl-2,2'-disulfonic acid and 2-formylpyridine in aqueous medium. Sharp diamagnetic NMR peaks and the dark purple color of the product indicated the presence of exclusively iron (II) in the low-spin state in the cage. The anionic cage encapsulated hydrophobic guest molecules like cyclohexane, cyclopentane, *etc.* (with no affinity for alcohols like  $t$ BuOH or organic cations like  $[NH_4]^+$  of similar size) in aqueous solution or in the solid state. The hydrophobic effect of the cavity due to the surrounding hydrophobic aryl rings provided remarkable selectivity of the cage for neutral, hydrophobic guests. The high selectivity of the cage for appropriately sized hydrocarbon guests allowed the removal of smaller molecules from the cage while encapsulating larger ones. The encapsulated guests could be removed from the cage cavity by opening the cage by applying chemical signals. Due to the high selectivity for hydrophobic guests, the water-soluble cage could be employed for drug delivery to target areas where "opener" molecules with higher affinity could be placed. Conversely, harmful hydrophobic molecules could be safely sequestered by the cage.<sup>48</sup>

J. R. Nitschke and co-workers reported a strategy to regulate the rate of exchange of anions encapsulated within another  $Fe_4L_6$ , tetrahedral cage  $II_{Fe}^e$ , synthesized from a dialdehyde, 18-crown-6 aniline, iron(II) cations and  $PF_6^-$  as an internal template anion. Each  $Fe^{II}$  vertex of cage  $II_{Fe}^e$  was bound to three flexible 18-crown-6 receptors to 'cap' the vertices of the tetrahedron. The capping was facilitated by strong tritopic interactions through coordination of the crown receptors to tripodal tris(alkylammonium) cations. The mechanism of capping restricted cage flexibility; hence, there was a 20-fold



**Fig. 4**  $NMe_4^+$  guest exchange with 2,  $K_6(NMe_4)_5[II_{Ga}^e-NMe_4]$  before (left), and after (right) the addition of  $[CpRu(\eta^6-C_6H_6)]^+$ . Reproduced with permission from ref. 47. Copyright 2004, American Chemical Society.

decrease in the rate of anion exchange within the cage cavity. The externally-bound multivalent effector allosterically controlled the guest exchange within the cage.<sup>49</sup>

The redox-switchable, highly positively (+20) charged  $\text{Fe}_4\text{L}_6$  tetrahedral cage  $\text{II}^{\text{III}}_{\text{Fe}}$  was prepared by Nitschke and co-workers using a redox-active dicationic naphthalenediimide (NDI) ligand. Cage  $\text{II}^{\text{III}}_{\text{Fe}}$  had high affinity for anionic guests due to the +20 charge, with no affinity for neutral aromatic molecules. Cage  $\text{II}^{\text{III}}_{\text{Fe}}$  could release anionic molecules following reduction by  $\text{Cp}_2\text{Co}$  and re-encapsulate them on subsequent oxidation by  $\text{AgNTf}_2$ . However, reduced cage  $\text{II}^{\text{II}}_{\text{Fe}}$  encapsulated neutral  $\text{C}_{60}$ , which was subsequently ejected following re-oxidation of the cage (Fig. 5). The anion-mediated transport of cage  $\text{II}^{\text{III}}_{\text{Fe}}$  from organic to aqueous solution facilitated the process of guest release.<sup>50</sup>

The removal of environmental pollutants and the recovery and purification of valuable chemicals by selective anion extraction is a useful tool. In 2018 Nitschke's group reported the use of an  $\text{Fe}_4\text{L}_4$ ,  $\text{II}^{\text{f}}_{\text{Fe}}$  cage (Fig. 6a) for the extraction of a high-value anion,  $\text{ReO}_4^-$ , from water into nitromethane.<sup>51</sup> Importantly, the anion was extracted efficiently even when 10 other common anions were present in water, highlighting the high selectivity of cage  $\text{II}^{\text{f}}_{\text{Fe}}$  for  $\text{ReO}_4^-$ . Cage  $\text{II}^{\text{f}}_{\text{Fe}}$  could be disassembled into an ethyl acetate releasing guest anion in the water and then the cage could be recycled in acetonitrile solvent. The versatile solubility of cage  $\text{II}^{\text{f}}_{\text{Fe}}$  facilitated the complete extraction of  $\text{ReO}_4^-$  from an organic phase into water. The selectivity of the cage towards the perrhenate anion suggested great potential for the recycling and purification of rhenium compounds.<sup>51</sup>

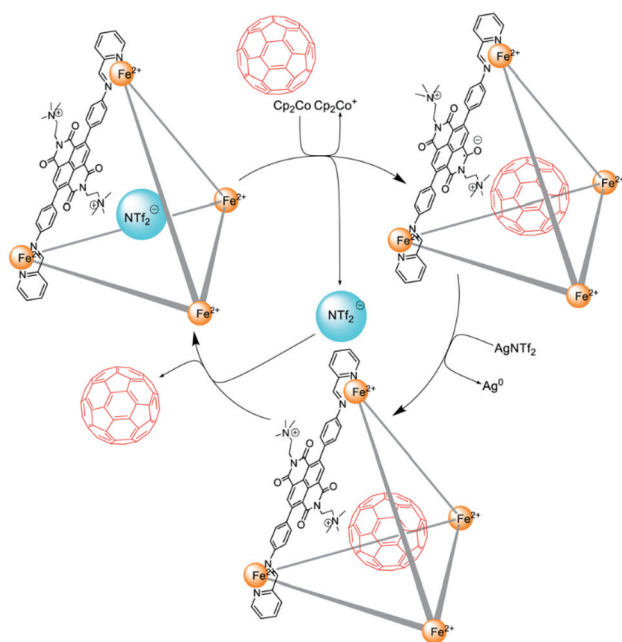


Fig. 5 The encapsulation and release of  $\text{C}_{60}$  within cage  $\text{II}^{\text{II}}_{\text{Fe}}$  following reduction and subsequent re-oxidation. Reproduced with permission from ref. 50. Copyright 2020, the Royal Society of Chemistry.

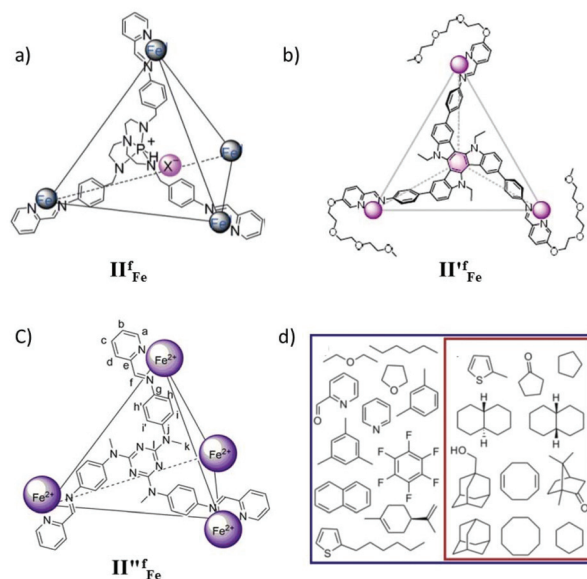


Fig. 6 Representation of tetrahedral cages: (a)  $\text{II}^{\text{f}}_{\text{Fe}}$ , (b)  $\text{II}^{\text{f}}_{\text{Fe}}$ , (c)  $\text{II}^{\text{III}}_{\text{Fe}}$  and (d) guest molecules encapsulated in cage  $\text{II}^{\text{f}}_{\text{Fe}}$ , in  $\text{D}_2\text{O}$  all molecules whereas there is a subset in  $\text{CD}_3\text{CN}$ . Reproduced with permission from ref. 51–53. Copyright 2018, John Wiley & Sons, Ltd; 2019, and 2014, the American Chemical Society.

Recently, Nitschke's group reported the selective separation of coronene from a mixture of polycyclic aromatic hydrocarbons (PAHs) using supramolecular coordination cage  $\text{II}^{\text{f}}_{\text{Fe}}$  (Fig. 6b).<sup>52</sup> The tetrahedral MSCC  $\text{Fe}_4\text{L}_4$  cage  $\text{II}^{\text{f}}_{\text{Fe}}$  was synthesized from four triethyleneglycol-functionalized formylpyridine ligands and FeII metal corners. The cage exhibited a phase transition between aqueous and nitromethane layers by anion metathesis. This property of the cage was employed for selectively encapsulating coronene from a mixture of PAHs (perylene, pyrene, anthracene, chrysene, phenanthrene, triphenylene and corannulene) through phase transfer of the cage.<sup>52</sup>

In 2014 Nitschke and co-workers synthesized a tetrahedral, face-capped MSCC  $\text{Fe}_4\text{L}_4$  cage  $\text{II}^{\text{f}}_{\text{Fe}}$  from a  $C_3$ -symmetric triamine ligand, 2-formylpyridine and an iron salt by self-assembly and presented its host-guest chemistry (Fig. 6c).<sup>53</sup> Remarkably, the cage was soluble either in aqueous or acetonitrile solution depending on the counterion. This allowed solvent-dependent preference of the cage for the encapsulation of certain guests. The ligand flexibility allowed expansion or contraction of the cage upon guest binding, establishing strong binding of the guests. The cage encapsulated a wide variety of aromatic and aliphatic guests in water and a subset of only the aliphatic among them in acetonitrile (Fig. 6d). The confined cage cavity favored the otherwise thermodynamically disfavored conformations of large guest molecules.<sup>53</sup>

In 2015 Nitschke's group synthesized three tetrahedral MSCCs,  $\text{Zn}_4\text{L}_4$  cages  $\text{II}^{\text{f}}_{\text{Zna}}$ ,  $\text{II}^{\text{f}}_{\text{Znb}}$  and  $\text{II}^{\text{f}}_{\text{Znc}}$ , by the self-assembly of zinc(II) with tritopic trisiminopyridine ligands formed from (1,3,5-tris(4'-aminophenyl)-benzene and 2-formylpyridine,  $\text{II}^{\text{f}}_{\text{Zna}}$ ; 1,3,5-tris(2'-formylpyridyl-5')-benzene and *p*-tolui-

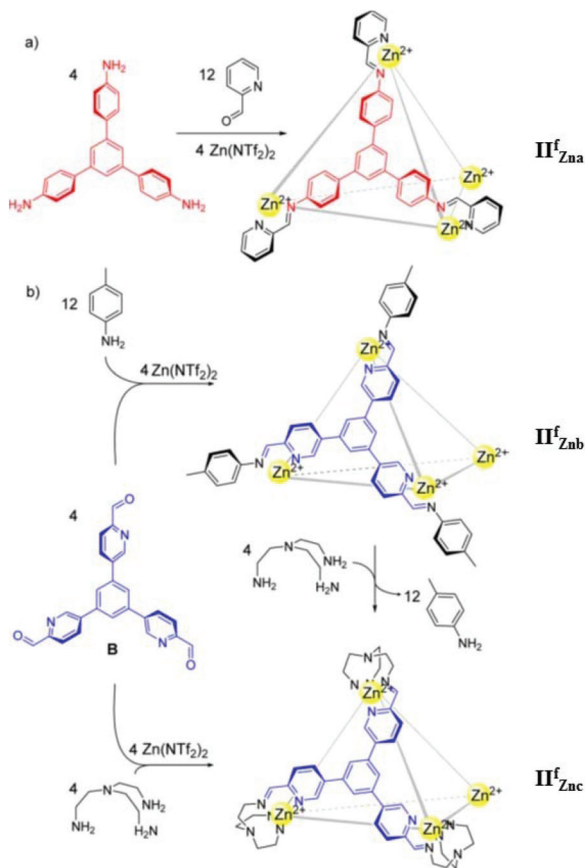


Fig. 7 Sub-component self-assembly of cages  $\text{II}_{\text{Zna}}^{\text{f}}$ ,  $\text{II}_{\text{Znb}}^{\text{f}}$  and  $\text{II}_{\text{Znc}}^{\text{f}}$ . Reproduced with permission from ref. 54. Copyright 2015, the American Chemical Society.

dine,  $\text{II}_{\text{Znb}}^{\text{f}}$ ; 1,3,5-tris(2'-formylpyridyl-5')-benzene and tris(2-aminoethyl)amine (tren),  $\text{II}_{\text{Znc}}^{\text{f}}$  (Fig. 7).<sup>54</sup> When cages  $\text{II}_{\text{Zna}}^{\text{f}}$  and  $\text{II}_{\text{Znb}}^{\text{f}}$  were present in solution together, cage  $\text{II}_{\text{Zna}}^{\text{f}}$  selectively encapsulated cyclohexane and cage  $\text{II}_{\text{Znb}}^{\text{f}}$  entrapped chloroform. The addition of tren and perchlorate in a specified order could sequentially release the two guests. Cage  $\text{II}_{\text{Zna}}^{\text{f}}$  did not bind anionic guests within its cavity but encapsulated neutral molecule cyclohexane as a guest, while cages  $\text{II}_{\text{Znb}}^{\text{f}}$  and  $\text{II}_{\text{Znc}}^{\text{f}}$  encapsulated anionic guests. A series of anions with different shapes and volumes were probed for encapsulation by cages  $\text{II}_{\text{Znb}}^{\text{f}}$  and  $\text{II}_{\text{Znc}}^{\text{f}}$ . Cages  $\text{II}_{\text{Znb}}^{\text{f}}$  and  $\text{II}_{\text{Znc}}^{\text{f}}$  demonstrated similar anion-binding trends:  $\text{ReO}_4^- \gg \text{SbF}_6^- > \text{TfO}^- > \text{PF}_6^-$ , except for anions  $\text{BF}_4^-$ ,  $\text{NO}_3^-$ , and  $\text{ClO}_4^-$ , which bind only to cage  $\text{II}_{\text{Znb}}^{\text{f}}$ . Cage  $\text{II}_{\text{Znc}}^{\text{f}}$  could be employed for radiopharmaceuticals and nuclear waste treatment, due to its exceptional affinity for  $\text{ReO}_4^-$  and stability in aqueous medium.<sup>54</sup>

Recently, Boomishankar and co-workers prepared three neutral Pd(II)-based discrete tetrahedral iso-structural porous supramolecular cages,  $\text{II}_{\text{Pd}}$  ( $[\text{Pd}_3(\text{N}^{\text{i}}\text{Pr})_3\text{PO}]_4(\text{R-AN})_6$ ) with anilate linkers (R-AN, R = H, Cl or Br), for the selective recognition and encapsulation of substituted aromatic hydrocarbons.<sup>55</sup> The discrete polyhedral cages offered great selectivity in encapsulating aromatic hydrocarbons within their

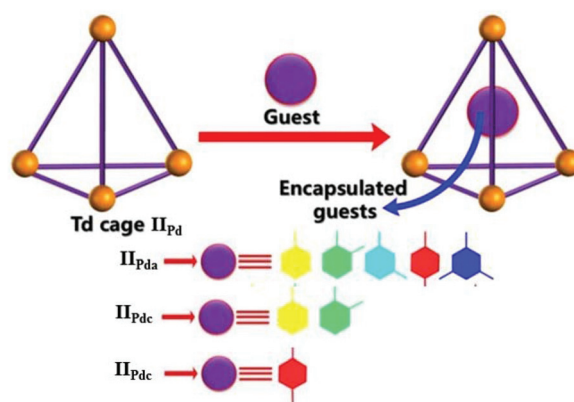


Fig. 8 The tetrahedral cages  $\text{II}_{\text{Pd}}$  and selective encapsulation of mesitylene, *o*-xylene and *p*-xylene by anilate ( $\text{II}_{\text{Pda}}$ ), chloranilate, ( $\text{II}_{\text{Pdc}}$ ) and bromanilate ( $\text{II}_{\text{Pdb}}$ ) linker cages, respectively. Reproduced with permission from ref. 55. Copyright 2020, John Wiley & Sons, Ltd.

hydrophobic cavities. The anilate, chloranilate and bromanilate linker tetrahedral cages  $\text{II}_{\text{Pda}}$ ,  $\text{II}_{\text{Pdc}}$  and  $\text{II}_{\text{Pdb}}$  selectively encapsulated mesitylene, *o*-xylene and *p*-xylene, respectively (Fig. 8).<sup>55</sup> They proposed that the cages exhibited selective encapsulation due to interaction between the cages and hydrocarbons and the portal diameter of the cages.

Recently, Gu and co-workers constructed tetrahedral  $\text{Fe}_4\text{L}_6$  coordination cages  $\text{II}_{\text{Fe}}$  with cube-like cavities from rigid *p*-electron aromatic rings (benzene,  $\text{II}_{\text{Feb}}$  or naphthalene,  $\text{II}_{\text{Fen}}$ ) and flexible alkyl units by self-assembly (Fig. 9).<sup>56</sup> The rare cube-like cavities of these cages are selective for the encapsulation and separation of fullerene guests. The size and shape of smaller  $\text{C}_{60}$  match with the host cavities, rendering it a better guest than  $\text{C}_{70}$ . According to Julius Rebek's 55% rule,  $\text{C}_{60}$  is a good match for cage cavities occupying 56.74% and 61.28% of cage volumes, while  $\text{C}_{70}$  occupies about 64.14% and 67.27% of cage volumes, respectively.

### 2.3. Tetragonal prismatic MSCC (cage III)

Ribas and co-workers designed a tetragonal prismatic supramolecular coordination cage by the metal-assisted self-assembly of four Pd(II) molecular clips and two zinc porphyrin moieties *via* coordination bonds (Fig. 10).<sup>57</sup> Cage III can encapsulate fullerenes of different sizes (from  $\text{C}_{60}$  to  $\text{C}_{84}$ ) and can

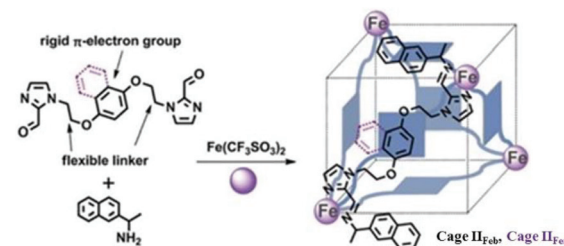
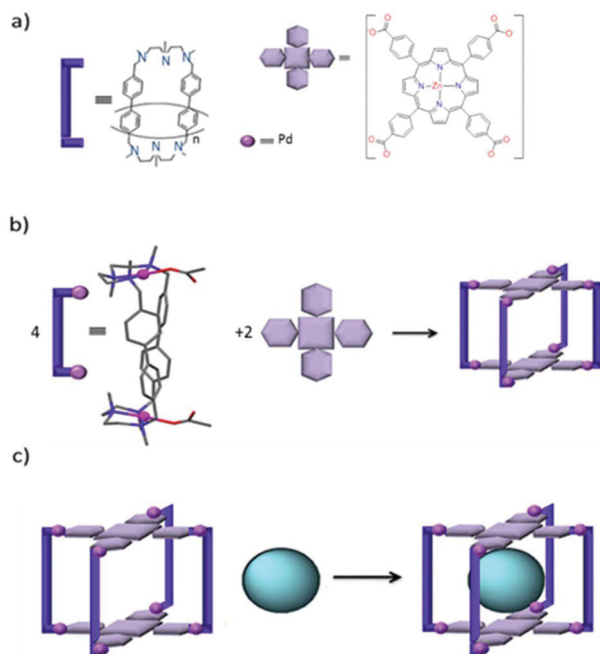


Fig. 9 Design and synthesis of tetrahedral cages  $\text{II}_{\text{Fe}}$  ( $\text{II}_{\text{Feb}}$  and  $\text{II}_{\text{Fen}}$ ), with cube-like cavities. Reproduced with permission from ref. 56. Copyright 2018, Royal Society of Chemistry.



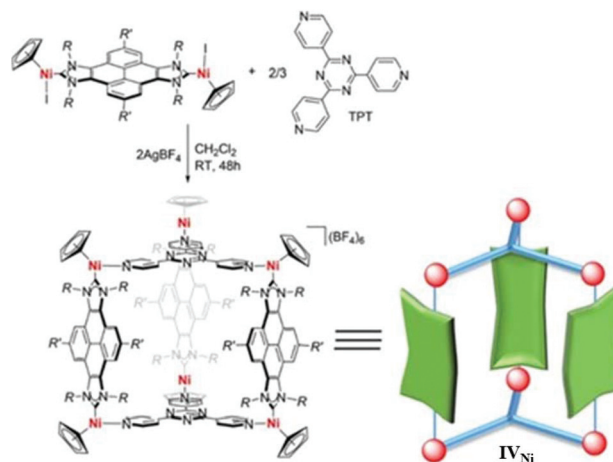
**Fig. 10** (a) Building blocks of cage III, (b) self-assembly of cage III from its building blocks and (c) encapsulation of  $C_n$ -fullerenes into cage III. Reproduced with permission from ref. 57. Copyright 2014, Macmillan Publishers Limited.

selectively release  $C_{60}$  by solvent washing of the solid host-guest complex of a cage-encapsulated mixture of fullerenes. This finding paved the way to the design and study of similar cages for the encapsulation and purification of higher fullerenes by varying the metalloporphyrin moieties or Pd cations at the molecular clips to finely adjust the selectivity of the cages for endohedral or higher fullerenes.

Later, Ribas and co-workers also demonstrated the reversible encapsulation and release of an exTTF (tetrapyrrolyl extended-tetrathiafulvalene)-based ligand (m-Py)exTTF by using the same nanocage **III**.<sup>58</sup> The reversible encapsulation of the (m-Py)exTTF guest was the consequence of ligand oxidation that led to drastic electronic and conformational changes. Addition of (m-Py)exTTF to complex [**III**· $C_{60}$ ] causes ejection of  $C_{60}$  and the formation of [**III**·(m-Py)exTTF]. The preferentially stronger binding of pyridine moieties of (m-Py)exTTF and Zn-porphyrin units of **III** triggered  $C_{60}$  ejection. The subsequent redox-triggered release of (m-Py)exTTF leaves cage **III** empty again and available for further  $C_{60}$  encapsulation. Moreover, they also reported the encapsulation of coordination complexes by metallacage **III**. The coordination of strongly anchored ligands to zinc, copper, and iron metal ions, resulted in metallocomplexes entrapped in the cage.<sup>59</sup>

#### 2.4. Trigonal prismatic MSCC (cage IV)

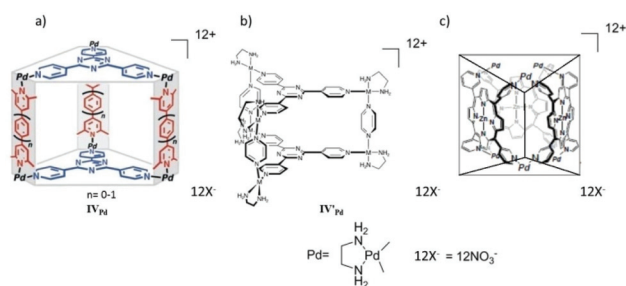
Peris and co-workers developed an Ni-based prismatic SCC with an internal cavity of volume  $1028 \text{ \AA}^3$ .<sup>60</sup> The trigonal prism geometry of the cage  $IV_{Ni}$  complex was formed *via* connecting six Ni atoms of bis-nickel-pyrene-di-imidazolylidene complex



**Fig. 11** Synthesis of cage  $IV_{Ni}$  from its components. Reproduced with permission from ref. 60. Copyright 2018, John Wiley & Sons, Ltd.

with two TPT (1,3,5-tripyrrolyl-triazine) ligands (Fig. 11).<sup>60</sup> The large central cavity showed highly selective encapsulation of  $C_{70}$ - over  $C_{60}$ -fullerene. Hence, cage complex  $IV_{Ni}$  has shown exceptional potential applications in the separation and purification of mixtures of fullerenes.

In 2005 Fujita and co-workers self-assembled an  $M_6L_2$   $L_3$  prism-like coordination cage  $IV_{Pd}$  from six end-capped Pd(II) hinges, three pyridyl-based bidentate rod-like pillars and two large tridentate TPT organic panels (Fig. 12a).<sup>61</sup> The large cavity of the metal-hinged, organic-pillared cage accommodated two or more large  $\pi$ -conjugated molecules. The number of guest molecules was determined by the size of the cavity, which was controlled by the length of the pillars. The cage encapsulated two planar  $\pi$ -conjugated guest molecules to form [ $IV_{Pd}$ · $G_2$ ]<sup>12+</sup> host-guest complexes ( $G$  = coronene, pyrene or porphine). Later the self-assembled coordination cage also acted as a molecular press, which flattened the bowl-shaped guest corannulene ( $C_{20}H_{10}$ ) upon inclusion within the hydrophobic cavity.<sup>62</sup> The bidentate pillar ligands were replaced by larger ligands by increasing the value of  $n$  in pyridyl-based pillar ligands, to multiply stacking  $\pi$ -systems. The larger cage formed exhibited quadruple and quintuple stacking of large

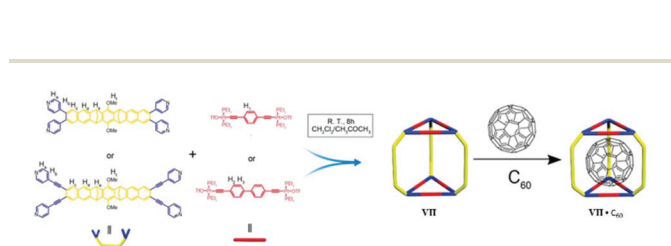


**Fig. 12** Structural representation of cage (a)  $IV_{Pd}$ , (b)  $IV'_{Pd}$  and (c)  $IV''_{Pd}$ . Reproduced with permission from ref. 61, 64 and 65. Copyright 2005, 2003, and 2001, John Wiley & Sons, Ltd.

$\pi$ -conjugated molecules like pyrene/coronene/porphine.<sup>61–63</sup> The photochemical and electrochemical properties of such discrete stacked guest molecules could be unique among isolated or infinitely stacked  $\pi$ -systems. They also obtained a prism-like cage **IV'**<sub>Pd</sub> by self-assembly of end-capped metal ions, two panel-like ligands and three pyrazine pillar ligands (Fig. 12b).<sup>64</sup> A large aromatic compound like hexamethoxytriphenylene was essential for the quantitative formation of cage **IV'**<sub>Pd</sub> by the template effect. However, cage **IV'**<sub>Pd</sub> remained stable even after the template was removed. The empty cage **IV'**<sub>Pd</sub> encapsulated various other organic molecules like pyrene and 1,3-diketones. The confined cage cavity selectively encapsulated the planar enol form of  $\beta$ -diketone, suggesting a stabilization effect within the cage cavity.<sup>64</sup>

Fujita and co-workers demonstrated that guest inclusion triggered structural switching of porphyrin-based prismatic supramolecular cage complex **IV''**<sub>Pd</sub>. The prism-like hollow cage was self-assembled from three porphyrin ligands and six [Pd<sup>II</sup>(en)]<sup>2+</sup>, (en = ethylenediamine) metal centers (Fig. 12c).<sup>65</sup> Neutral organic molecules like pyrene and perylene can be encapsulated within the hydrophobic cavity of cage **IV''**<sub>Pd</sub> in an aqueous medium. Structural optimization revealed that the *D*<sub>3h</sub> prismatic cage has two possible conformations (one with apical-positioned Pd centers and another with equatorial-positioned Pd centers). The conformation with Pd at the apical position is more stable than the conformation with Pd at the equatorial position. However, detailed NMR spectroscopy analysis elucidated that the *D*<sub>3h</sub> symmetry of the host prismatic cage **IV''**<sub>Pd</sub> changed to *C*<sub>2</sub> symmetry upon pyrene encapsulation. They suggested that the binding of large aromatic guests like pyrene, perylene or triphenylene triggered apical-to-equatorial flipping of two Py–Pd–Py diagonal hinges of the host cage which was not possible with encapsulation of small aromatic guests like benzene.<sup>65</sup>

P. J. Stang and co-workers developed convex trigonal prismatic coordination cage **IV**<sub>Pt</sub> using Klärner's tetrapyrrolyl donor ligand clip and 180° diplatinum acceptors. Klärner's ligand clips have benzene and naphthalene units surrounded by convergent aromatic rings. <sup>1</sup>H NMR and HRMS analysis demonstrated that these cages have cavities suitable for encapsulating fullerenes *via* aromatic–aromatic interactions with curved aromatic pyridyl ligands (Fig. 13).<sup>66</sup> Fullerene encapsulation by cage **IV**<sub>Pt</sub> can be useful in their separation and purification.<sup>66</sup>



**Fig. 13** Synthesis of cage **IV**<sub>Pt</sub> and encapsulation of C<sub>60</sub> within its cavity. Reproduced with permission from ref. 66. Copyright 2017, American Chemical Society.

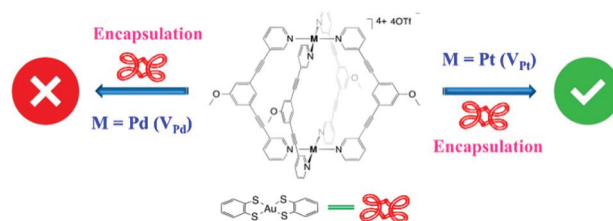
## 2.5. Lantern-shaped MSCCs (cage V)

Cage **V** type MSCCs have been mainly explored for their utility in drug-delivery systems.<sup>67,68</sup> Recently, Amouri and co-workers explored Pd (**V**<sub>Pd</sub>) and Pt (**V**<sub>Pt</sub>) based cage **V** for the encapsulation of a gold complex.<sup>69</sup> Cage **V**<sub>Pd</sub> failed to trap the Au(III) complex [Au(bdt)<sub>2</sub>]<sup>−</sup> (**4**) (bdt = benzene-1,2-dithiolate) and decomposed in its presence. However, cage **V**<sub>Pt</sub> successfully captured it inside its cavity (Fig. 14).<sup>69</sup> Here cage complex **V**<sub>Pt</sub> formed a stable host–guest complex, encapsulating the incoming Au complex. However, cage **V**<sub>Pd</sub> decomposed in the presence of the Au complex which could not be entrapped.

Clever and co-workers in 2012 reported the synthesis of a new lantern-shaped MSCC of type **Va** composed of two square planar Pd(II) ions coordinated to four dithienylethene (DTE) based bis-monodentate pyridyl photoswitch ligands. The cage was reversibly interconvertible between a conformationally flexible open-ring cage (**o-Va**) and a rigid closed-ring cage (**c-Va**) by irradiation with UV light (365 nm) or white light, respectively, due to the photoswitching property of the ligands. The open-ring cage **o-Va** was smaller in size than its closed-ring isomer **c-Va**. The cationic cages **o-Va** and **c-Va** were studied for the encapsulation of anionic guests due to their ability to reversibly photoswitch between two cages with different sizes. Owing to its spherical shape and size, the dodecafluorododecaborate anion ([B<sub>12</sub>F<sub>12</sub>]<sup>2−</sup>) was employed as a guest molecule (Fig. 15).<sup>70</sup> The encapsulation of the guest within the **o-Va** or **c-Va** cage resulted in a shifting of the signals corresponding to the inward-pointing protons in <sup>1</sup>H-NMR.<sup>70</sup>

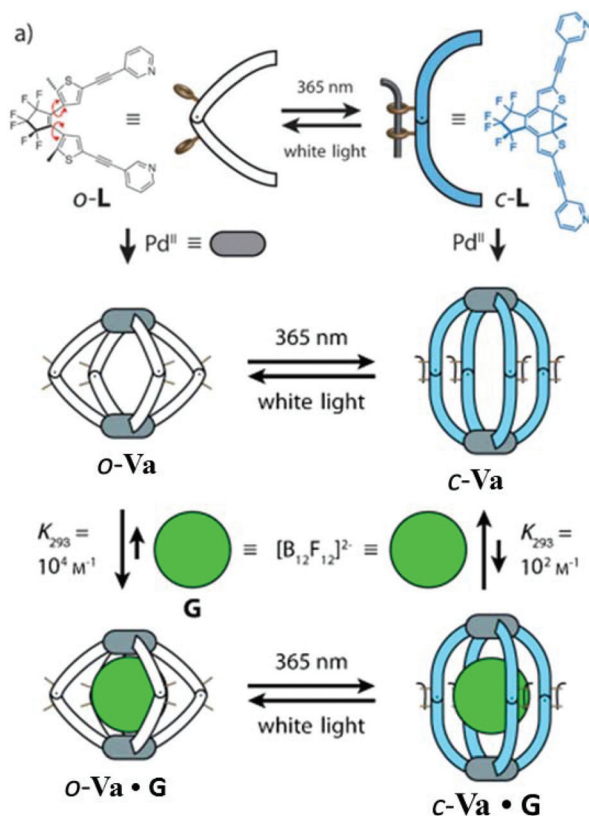
Recently, mechanistic studies of guest uptake and release by photochromic cage **Va** on irradiation by light of different wavelengths were conducted. The study revealed the involvement of four consecutive electrocyclic reactions in the process of converting all the chromophores between their open and closed photo-isomeric forms.<sup>71</sup>

Clever and co-workers demonstrated the formation of interpenetrated double coordination cages Pd<sub>4</sub>L<sub>8</sub>, **Vb** from the self-assembly of dibenzosuberone-based bis-monodentate pyridyl ligands with PdII cations.<sup>72</sup> The BF<sub>4</sub><sup>−</sup> anion within the central cavity ([Pd<sub>4</sub>L<sub>8</sub>·BF<sub>4</sub>]) served as a template for the dimerization of a lantern-shaped Pd<sub>2</sub>L<sub>4</sub> cage, with tremendous affinity of the outer two pockets for allosteric binding of two small chloride



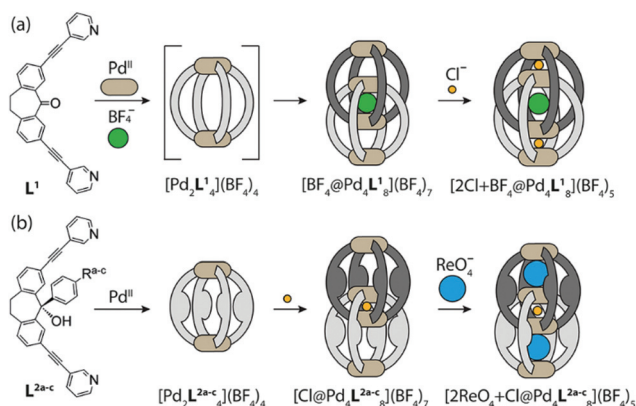
**Fig. 14** Schematic representation of the encapsulation of Au(III) complex (**4**) by **V**<sub>Pt</sub> with no encapsulation noticed for **V**<sub>Pd</sub>. Reproduced with permission from ref. 69. Copyright 2019, American Chemical Society.





**Fig. 15** (a) Interconversion of photoswitchable DTE based bis-monodentate pyridyl ligands, (b) synthesis of **o-Va** and **c-Va** on addition of Pd(II) ions and (c) encapsulation of  $[B_{12}F_{12}]^{2-}$  guest by **Va** cages. Reproduced with permission from ref. 70. Copyright 2013, John Wiley & Sons, Ltd.

anions (Fig. 16a).<sup>73</sup> The dimerization of the cage by choice of templating anion and its ability to bind guests in the outer pockets were controlled by functionalization of the ligand backbone with bulky aryl groups. The bulky aryl groups on the



**Fig. 16** Synthesis of interpenetrated dimer cage: (a)  $([Pd_4L_8-BF_4])$  with  $BF_4^-$  as a template anion and  $Cl^-$  anions in the outer pockets, (b)  $([Pd_4L_8-Cl])$  with  $Cl^-$  as a template anion and  $ReO_4^-$  in the outer pockets. Reproduced with permission from ref. 73. Copyright 2013, American Chemical Society.

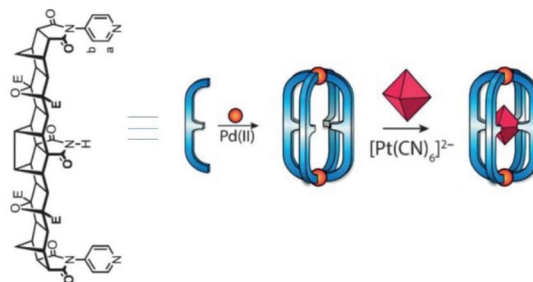
ligand formed monomeric cage  $Pd_2L_4$  due to prevention of large  $BF_4^-$  anion from serving as a template for the formation of interpenetrated dimeric cage  $Pd_4L_8$ . The addition of the small  $Cl^-$  anionic template induced dimerization, yielding  $[Pd_4L_8-Cl]$ , the interpenetrated double cage with  $Cl^-$  acting as a template anion, whose enlarged outer pockets preferentially encapsulated large anions such as  $ReO_4^-$  (Fig. 16b).<sup>73</sup>

Later, they designed double cages with three consecutive tetrafluoroborate anion filled pockets, which could be activated for binding neutral guests like benzene, cyclohexane or norbornadiene by the addition of chloride anions. They also conducted a systematic study using more than 50 small neutral guests to reveal the influence of the size, shape and chemical nature of the guests on the binding affinity.<sup>72,73</sup>

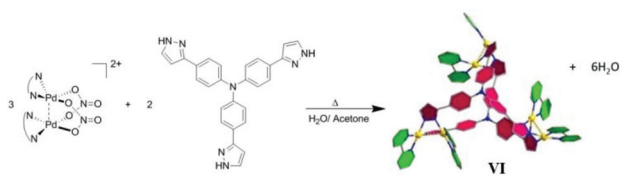
In 2012 Shionoya and Clever's group reported  $Pd_2L_4$  cage **Vc**, self-assembled from the coordination of four concave ligands to two square planar PdII ions. The cage quantitatively encapsulated a hexamolybdate dianion  $[Mo_6O_{19}]^{2-}$  in solution.<sup>74</sup> They also proposed that the strength and mode/position of binding (inside vs. outside) of the dianionic guest molecules to the cationic, self-assembled metallosupramolecular coordination cage was controlled by the size and stoichiometry of the guests added.<sup>75</sup> Using a ditopic ligand with strong hydrogen-bond donor capacity due to the central, endohedral imide group, another cage **Vd** was prepared for the encapsulation of octahedral anionic guests.<sup>75</sup> The hollow cavity of cage **Vd** provided a complementary environment for binding anionic octahedral guests through equatorial H-bonding and axial electrostatic interactions. The  $O_h$  symmetric octahedral complex  $[Pt(CN)_6]^{2-}$  was distorted to  $D_{4h}$  symmetry upon encapsulation in the cage (Fig. 17).<sup>76</sup> Another lantern-shaped  $Pd_2L_4$  cage was synthesized using curved backbone based bis-pyridyl ligands and used as a selective induced-fit receptor for  $C_{60}$  fullerene.<sup>77,78</sup>

## 2.6. Trigonal MSCC (cage VI)

Yu and co-workers prepared hexametallic supramolecular cage **VI**  $[(N^{\wedge}N)_6Pd_6L_2]^{6+}$  by the self-assembly of fluorescent tritopic tripyrazole ligands, tris(4-(1*H*-pyrazol-3-yl)phenyl)amine and dimetallic corners,  $[(N^{\wedge}N)_2Pd_2(NO_3)_2](NO_3)_2$  ( $N^{\wedge}N = 2,2'$ -bipyridine, 4,4'-dimethylbipyridine or 1,10-phenanthroline)



**Fig. 17** Synthesis of **Vd** and subsequent encapsulation of octahedral complex  $[Pt(CN)_6]^{2-}$  within the cage cavity. Reproduced with permission from ref. 76. Copyright 2016, John Wiley & Sons, Ltd.



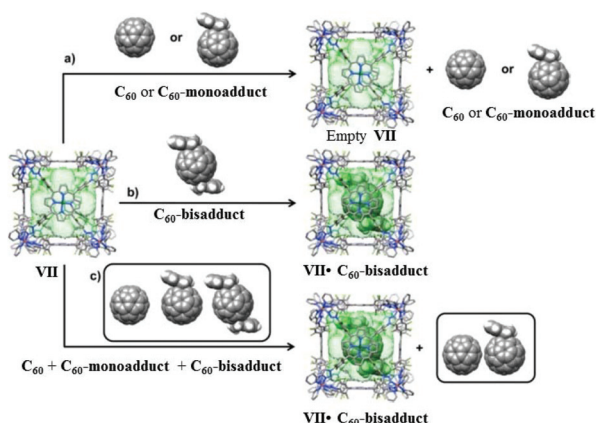
**Fig. 18** Representation of self-assembly of cage VI,  $[M_6L_2]$  using dimetallic corners. Reproduced with permission from ref. 79. Copyright 2017, Royal Society of Chemistry.

(Fig. 18).<sup>79</sup> The positively charged hexametallic cage VI exhibited anion sensitivity toward  $\text{HSO}_3^-$  in aqueous solution *via* fluorescent titration and NMR spectroscopy studies. The cage with fluorescent ligands can act as a cleaner for eliminating pollutant  $\text{SO}_2$  from water.<sup>79</sup>

### 2.7. Cubic MSCC (cage VII)

In 2006 Nitschke and group self-assembled cubic  $M_8L_6$  cages VII from porphyrin ligands, forming electron-deficient walls with metal ions (FeII or ZnII) occupying the vertices of the cube. Cages VII selectively encapsulated fullerene bisadducts like  $\text{C}_{60}$ -indene or  $\text{C}_{60}$ -anthracene, while monoadducts and unfunctionalized fullerenes were not encapsulated (Fig. 19).<sup>80</sup>  $\text{C}_{60}$  and anthracene also reacted to yield bisadducts within the cavity of  $\text{Fe}_8^{\text{II}}\text{L}_6$  cage VII<sub>Fe</sub>, whereas no reaction occurred without a cage under the same conditions. Cages VII could be practically useful in polymer solar cells, where  $\text{C}_{60}$  bisadducts are used as electron acceptors but their syntheses and purification are laborious and time consuming.<sup>80</sup>

Another *O*-symmetric cubic coordination cage VII',  $M_8L_6$ , was synthesized by J. R. Nitschke and co-workers from the self-assembly of a  $C_4$ -symmetric tetrakis-bidentate ligand with a  $C_3$ -symmetric iron(II) tris(pyridylimine) center. The porphyrin-faced hollow cubic cage VII' had a large central cavity with sufficient volume for guest encapsulation, well isolated from



**Fig. 19** Representation of selective encapsulation of  $\text{C}_{60}$ -bisadducts by cage VII from a mixture of  $\text{C}_{60}$ ,  $\text{C}_{60}$ -monoadducts and  $\text{C}_{60}$ -bisadducts. Reproduced with permission from ref. 80. Copyright 2017, American Chemical Society.

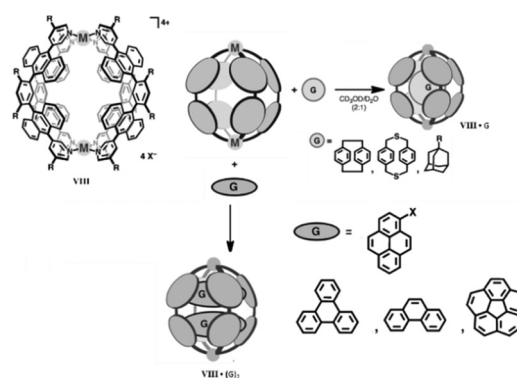
bulk media by small-sized pores and walls made of ligands rich in  $\pi$ -electron density. The cage demonstrated selective encapsulation of higher fullerenes like  $\text{C}_{70}$ ,  $\text{C}_{76}$ ,  $\text{C}_{78}$ ,  $\text{C}_{82}$  and  $\text{C}_{84}$  and large aromatic guests like coronene.<sup>81</sup>

### 2.8. Spherical MSCC (cage VIII)

Spherical  $M_2L_4$  coordination cages are the simplest and a highly symmetric class of supramolecular architectures. M. Yoshizawa and co-workers synthesized  $M_2L_4$  type supramolecular coordination cages VIII by self-assembly of  $M(\text{II})$  ions ( $M = \text{Zn}, \text{Cu}, \text{Pt}, \text{Pd}, \text{Ni}, \text{Co},$  or  $\text{Mn}$ ) and bent ligands with anthracene fluorophores.<sup>82</sup> The spherical bimetallic Pd(II) cage can efficiently encapsulate neutral spherical and planar molecules. Spherical molecules like adamantanes, paracyclophanes and fullerenes ( $\text{C}_{60}$ ) formed host-guest complexes in a 1 : 1 ratio, while planar molecules like pyrenes and triphenylene formed host-guest complexes in a 1 : 2 ratio. Competitive encapsulation selectively formed an unusual ternary complex in the case of triphenylene and corannulene (Fig. 20).<sup>83</sup> The  $M_2L_4$  cage selectively encapsulated *D*-sucrose in water from a mixture of natural disaccharides. The *D*-sucrose molecules were selectively isolated and entrapped within the cage *via* shape complementary and  $\text{CH}-\pi$  interactions, unlike previous molecular receptors which bind biomolecules through covalent, coordinative or multiple hydrogen bonding. Hence, these cage complexes can be employed for the selective recognition and separation of biomolecules in water.<sup>84</sup>

### 2.9. Square antiprism MSCC (cage IX)

D. Li and co-workers synthesized  $\text{Cu}_{10}\text{L}_8$  bicapped square antiprism supramolecular cage IX with an adaptable cavity from  $\text{Cu}^{2+}$  ions and flexible bi-imidazole ligands. The polyhedral metal-imidazolate cages encapsulated anions of different sizes and expanded or compressed their cavities accordingly. The anion-induced flexibility of the cage cavity mimics the 'induced-fit' property of enzymes. The volume of the internal cavity of the cage could expand or compress by more than 53% on guest encapsulation: for instance, an expanded  $\text{ClO}_4^-$



**Fig. 20** Structural representation of spherical cage VIII and encapsulation of spherical and planar molecules within its cavity. Reproduced with permission from ref. 83. Copyright 2013, John Wiley & Sons, Ltd.

bound cage transformed to a highly compressed Cl<sup>-</sup>-bound one.<sup>85</sup>

### 3. Stabilization of sensitive and unstable species

The ability to encapsulate guest molecules by MSCCs has been exploited for the identification, isolation and stabilization of sensitive and reactive intermediates (radicals, nitrenes, and carbenes, as well as labile products).<sup>86</sup>

#### 3.1. M<sub>6</sub>L<sub>4</sub> octahedral MSCCs (cage I)

The encapsulation of guests within the hydrophobic cavity of water-soluble cage I improves their solubility in water. Fujita's group reported the selective encapsulation of C-shaped molecules like *cis*-azobenzene and -stilbene derivatives.<sup>87</sup> The subsequent entering of these derivatives resulted in hydrophobic dimers within the cavity in a "ship-in-a-bottle" manner. In 2006 Fujita and co-workers presented the crystallographic encapsulation of an extremely labile and coordinatively unsaturated Mn-complex within cage I.<sup>88</sup> Coordinatively unsaturated transition-metal complexes are reactive intermediates of catalytic organometallic reactions. Mechanistic insights into organic/organometallic reactions are possible through investigation of these labile complexes. Stable Cp'Mn(CO)<sub>3</sub>, **5** (Cp' = methylcyclopentadienyl), inside the cage cavity photodissociated to liberate CO and *in situ* generated 16-electron unsaturated pyramidal manganese complex **5'** (Fig. 21).<sup>88</sup> The geometry around the metal center of reactive intermediate species is difficult to assign using conventional methods; however, the crystallographic data showed a clear picture.

Cage I was also used as a non-covalent protective group to shield specific reaction sites through encapsulation of the substrate. In the nucleophilic substitution of aryl-substituted allylic halides, cage I non-covalently shielded the internal site of the substrate by encapsulation and directed the incoming nucleophile to the unprotected terminal reaction site (Fig. 22).<sup>89</sup> Hence, cage I made regioselectivity control possible without altering the electronic and steric factors or solvent polarity.

Fujita and co-workers showed the encapsulation and stabilization of dinuclear complex [(η<sup>5</sup>-indenyl)Ru(CO)<sub>2</sub>]<sub>2</sub> **6** with labile metal-metal bonds in the cavity of supramolecular

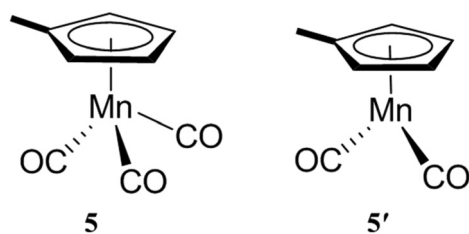


Fig. 21 Chemical structure and geometry of complex **5** and pyramidal 16-electron complex **5'**.

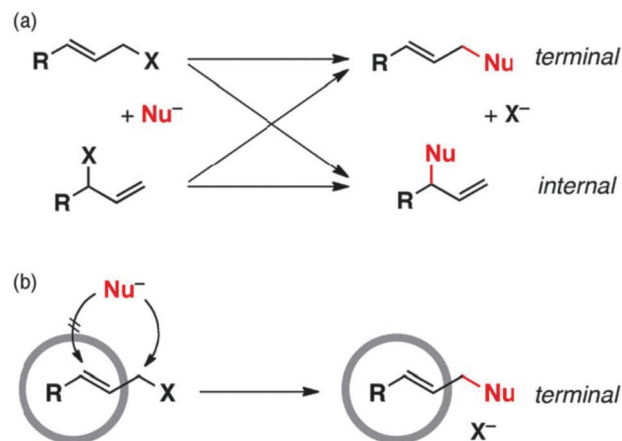


Fig. 22 (a) Nucleophilic substitution of allylic halides and their allylic rearrangements shown by crossed arrows. (b) Regioselectivity control in allylic halides due to non-covalent protection by cage I. Reproduced with permission from ref. 89. Copyright 2012, the Royal Society of Chemistry.

coordination cage I.<sup>90</sup> Ruthenium complex **6** is photosensitive and exists in four isomeric forms in rapid equilibrium. In the presence of light the facile Ru–Ru bond cleaves and CO dissociation occurs photochemically. But, cage I froze complex **6** in the CO-bridged *cis*-configuration within the confined cavity and enhanced its photostability. Recently, Anna and co-workers used ultrafast mid-IR polarization dependent pump-probe spectroscopy to gain an insight into the effects of encapsulation on the dynamics and properties of group VIII metal-carbonyl complexes.<sup>91</sup> Fujita and co-workers explained the stabilization of *cis*-bridged isomeric form of di-ruthenium carbonyl complexes within cage I and their carbonyl photostability without metal-metal bond cleavage.<sup>92</sup> Apart from their interesting photochemistry and catalytic properties, the group VIII metal carbonyl complexes exhibit fluxional behavior, where multiple isomers exist in dynamic equilibrium. The encapsulation of guests within confined cage I cavities alters their ultrafast dynamics by restricting diffusional rotational motion and reducing the vibrational lifetime.

Phthalein dyes exist in pink-colored quinone dianion form and colorless lactone form at basic and acidic pH, respectively. Interestingly, the cavity of cage I was exploited to induce chromism in phthalein dye.<sup>93</sup> As the shape and size of molecules affect their encapsulation in the host, tetrahedral lactone can ideally fit into the cavity of cage I, and hence is encapsulated and stabilized in the cavity more than quinone. This led to disappearance of the pink color due to shifting of the equilibrium towards lactonization. Therefore, in basic solution phthalein dyes could also stay colorless when encapsulated by cage I.

#### 3.2. Tetrahedral MSCCs (cage II)

The high affinity of Raymond's cage II for positively charged species and its hydrophobic internal cavity led it to being employed for the stabilization of reactive reaction intermediates. Cage II<sup>e</sup><sub>Ga</sub> ([Ga<sub>4</sub>L<sub>6</sub>]<sup>12-</sup>) stabilized the reactive, unstable,

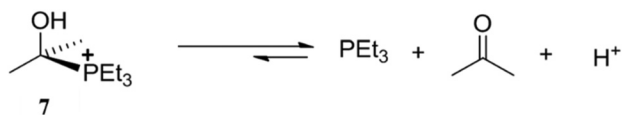


Fig. 23 Representation of decomposition equilibrium of  $[\text{Me}_2\text{C}(\text{OH})\text{PEt}_3]^+$  (7) in an aqueous medium. Reproduced with permission from ref. 94. Copyright 2000, John Wiley & Sons, Ltd.

cationic species  $[\text{Me}_2\text{C}(\text{OH})\text{PEt}_3]^+$  (7) in aqueous medium (Fig. 23).<sup>94</sup> Cationic species 7 had been synthesized and isolated under dry conditions, but it decomposes in aqueous medium. Raymond and co-workers reported that  $\text{II}_{\text{Ga}}^{\text{e}}$  contained an acetone molecule ( $\text{II}_{\text{Ga}}^{\text{e}}(\text{acetone})$ ) within the cavity. On addition of  $\text{PEt}_3$  to an aqueous solution of  $\text{II}_{\text{Ga}}^{\text{e}}(\text{acetone})$ , protonated phosphine  $\text{HPEt}_3^+$  diffused and formed an unstable cationic species 7 in the presence of protons. As confirmed through  $^1\text{H-NMR}$  spectroscopy, 7 was stable within the cavity and decomposed when it came out of the hydrophobic tetrahedral cavity.

$\text{II}_{\text{Ga}}^{\text{e}}$  also stabilized aromatic diazonium cations and tropylium cations.<sup>44</sup> Encapsulation of these cations within  $\text{II}_{\text{Ga}}^{\text{e}}$  protected the reactive cations and decelerated their decomposition by solvolysis. Raymond and co-workers used a  $\text{II}_{\text{Ga}}^{\text{e}}$  coordination cage for the synthesis and stabilization of iminium ions under unconventional aqueous conditions at basic pH *via* novel host-guest chemistry.<sup>13</sup> The encapsulated iminium ions remained stable at room temperature for several months (Fig. 24). Investigation of various iminium cations generated from a range of ketones and pyrrolidine revealed that the size, shape, charge and hydrophobicity of the guests determined molecular recognition and encapsulation within the cage cavity.

Nitschke and co-workers found the tetrahedral cage complex  $\text{II}_{\text{Fe}}^{\text{e}}$  ( $[\text{Fe}_4\text{L}_6]^{4-}$ ) effective for the encapsulation and stabilization of pyrophoric tetrahedral  $\text{P}_4$  molecules (Fig. 25).<sup>42</sup> Air-sensitive white phosphorus enclathrated within the hydrophobic tetrahedral cavity was stable in air and water soluble. This was because the oxidized species was too large size to fit into the cage cavity.  $\text{P}_4$  was removed from the cage by simply layering the aqueous layer with benzene *via* guest exchange. The  $\text{P}_4$  extracted in benzene was slowly oxidized into phosphoric acid, as confirmed by  $^{31}\text{P-NMR}$  spectroscopy.

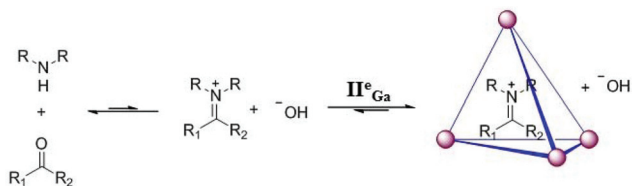


Fig. 24 Synthesis and encapsulation of iminium cation by cage  $\text{II}_{\text{Ga}}^{\text{e}}$ . Reproduced with permission from ref. 13. Copyright 2006, American Chemical Society.

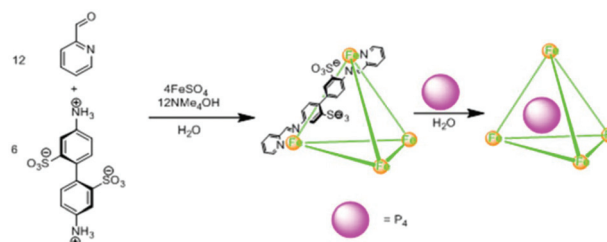


Fig. 25 Synthesis of cage  $\text{II}_{\text{Fe}}^{\text{e}}$  and trapping of white phosphorus ( $\text{P}_4$ ) within tetrahedral cage  $\text{II}_{\text{Fe}}^{\text{e}}$ . Reproduced with permission from ref. 42. Copyright 2009, American Association for the Advancement of Science.

Tetrahedral cage  $\text{II}_{\text{Fe}}^{\text{e}}$  was also utilized for the reversible and selective capturing of hydrophobic greenhouse gas  $\text{SF}_6$  (Fig. 25).<sup>43</sup> The encapsulated gas can be compressed and stored in the form of an aqueous solution. The cage was found to have no affinity for  $\text{N}_2$ ,  $\text{O}_2$ , Ar, Xe,  $\text{C}_2\text{H}_4$ ,  $\text{N}_2\text{O}$  or  $\text{CO}_2$  gases; thus, this coordination cage proved to be a potential candidate for trapping  $\text{SF}_6$  from gaseous mixtures and storing it at room temperature. The stored  $\text{SF}_6$  can be easily removed from the cage by increasing the temperature or by adding acid or tris(2-ethylamino) amine. The protective environment of tetrahedral cage  $\text{II}_{\text{Fe}}^{\text{e}}$  was further exploited by Nitschke and co-workers to block the Diels-Alder reaction between maleimide and furan by the encapsulation of furan.<sup>95</sup> The trapping of furan by the cage blocked it from reacting with the maleimide; however, the competing guest (benzene) released furan which undergoes Diels-Alder cycloaddition (Fig. 26).<sup>95</sup>

### 3.3. Cubic MSCCs (cage VII)

J. R. Nitschke and co-workers described the synthesis of a large, cubic host cage  $\text{VII}_{\text{Fe}}^{\text{e}}$  from the self-assembly of  $\text{FeII}$  and a zinc-porphyrin-containing ligand. Cage  $\text{VII}_{\text{Fe}}^{\text{e}}$  selectively encapsulated biomolecular guests (drugs and peptides) with imidazole and thiazole moieties. The interaction between guest functional groups and the zinc porphyrin face of the cubic cage induced selectivity. The encapsulated peptides were

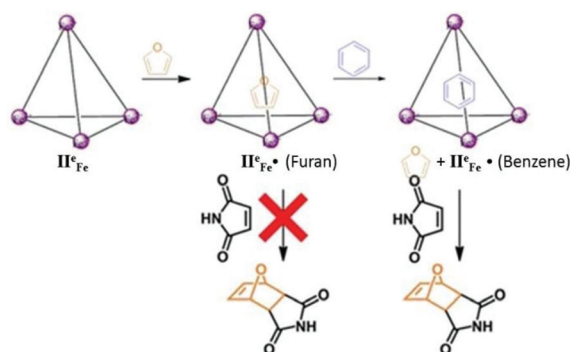


Fig. 26 Representation of trapping of furan by cage  $\text{II}_{\text{Fe}}^{\text{e}}$ , preventing it from undergoing a Diels-Alder reaction and the release of furan and initiation of a Diels-Alder reaction upon the addition of competing guest benzene. Reproduced with permission from ref. 95. Copyright 2012, Royal Society of Chemistry.

protected from trypsin cleavage; however, the physicochemically similar non-encapsulated peptides were rapidly cleaved in the same solution. The large-yet-enclosed cage cavity protected the enclosed guests from the external environment.<sup>96</sup>

## 4. Drug-delivery systems

The crucial parameters for the biological application of metal assemblies are their ability to withstand physiological conditions and water solubility. Cancer chemotherapy is one current field where metal complexes have been practically useful.<sup>97,98</sup> However, the selective toxicity of drugs is one of the persistent challenges in chemotherapy in order to reduce their general toxicity. Employing large transporting compounds which can release the drug after penetrating into a cancer cell is one such targeting method, since large molecules selectively aggregate in cancer cells, due to the “enhanced permeability and retention effect”.<sup>99</sup> The biological applications of SCCs as drug-delivery systems by the encapsulation of drug molecules within the cavity of a soluble host cage are interesting as they increase the solubility of lipophilic molecules as well as protecting the drug molecules from harsh physiological conditions. The outer surface of host SCCs can be modified with desirable properties like conjugation of targeting moieties or fluorophores without affecting the basic structure of the drug molecule.

### 4.1. Trigonal prismatic MSCCs (cage IV)

Pioneered by Therrien’s research group, who first reported them in 2008, the use of self-assembled hexa-ruthenium metallacages (metallaprisms) as drug-delivery systems was demonstrated for lipophilic drugs.<sup>100</sup> The hexanuclear cationic metallaprism  $[(p\text{-cymene})_6\text{Ru}_6(\text{tpt})_2(\text{dhbq})_3]^{6+}$  (tpt = 2,4,6-tris(pyridin-4-yl)-1,3,5-triazine; dhbq = 2,5-dihydroxy-1,4-benzoquinonato) ( $\text{IV}_{\text{Ru}}$ ) encapsulated the hydrophobic complexes  $[\text{M}(\text{acac})_2]$  (where M = Pd(II) or Pt(II), acac = acetylacetonato) (Fig. 27).<sup>100</sup>

The water-soluble metallaprism  $\text{IV}_{\text{Ru}}$  is slightly cytotoxic ( $\text{IC}_{50}$  of ca. 23  $\mu\text{M}$ ) for human ovarian tumor cells A2780, while

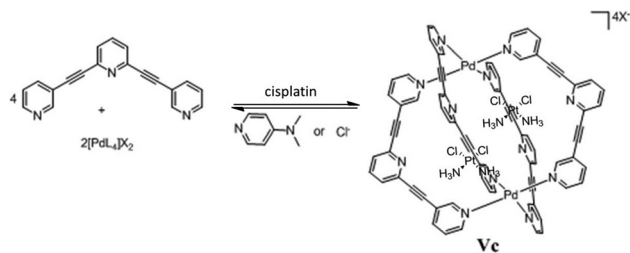
$[\text{M}(\text{acac})_2]$  complexes are virtually insoluble in water with no cytotoxicity. Interestingly, the “complex-in-a-complex” was more active and the cytotoxicity of encapsulated  $\text{IV}_{\text{Ru}}\cdot\text{Pt}(\text{acac})_2$  was 20-times more toxic ( $\text{IC}_{50}$  ca. 1  $\mu\text{M}$ ) than the empty cage  $\text{IV}_{\text{Ru}}$ . This study was the proof-of-concept of Therrien’s definition of “the Trojan horse strategy” of screening a cytotoxic agent within the cavity of a coordination cage until internalization inside cancer cells. When it enters the tumor cells, the hexaruthenium cage opens and releases the  $[\text{M}(\text{acac})_2]$  drug complex which performs its cell-killing act. Subsequently, Therrien and co-workers investigated another hexaruthenium metallacage  $[\text{Ru}_6(p\text{-iPrC}_6\text{H}_4\text{Me})_6(\text{tpt})_2(\text{C}_6\text{H}_2\text{O}_4)_3]^{6+}$  ( $\text{IV}'_{\text{Ru}}$ ) for the encapsulation and release of a series of fluorescent pyrenes and their *in vitro* anticancer properties.<sup>101,102</sup> Upon encapsulation, the fluorescence of the pyrene derivatives was quenched, so their release could be monitored by fluorescence spectroscopy. At pH 2 or pH 7, there was no release of pyrene derivatives from  $\text{IV}'_{\text{Ru}}$ , while at pH 12 guest molecules were released.  $\text{IC}_{50}$  values of  $\text{IC}_{50} > 20$  and  $16 \pm 2.3$   $\mu\text{M}$  for free pyrenes and the vacant-cage complex, respectively, in human ovarian tumor cells A2780 indicated their low cytotoxicity, while the lower  $\text{IC}_{50}$  value ( $6 \pm 0.8$ )  $\mu\text{M}$  for encapsulated pyrene in cage  $\text{IV}'_{\text{Ru}}$  showed considerable activity. Using fluorescence microscopy data, they proposed that the uptake of sparingly soluble pyrene derivatives by cancer cells increased after encapsulation in water-soluble  $\text{IV}'_{\text{Ru}}$ , hence increasing their cytotoxicity. Two guest complexes (*N*-hexadecylpyrene-1-sulfonamide and pyrenyl ethacrynic amide) exhibited antiproliferative effects similar to cisplatin. The pyrenyl derivatives showed differences in cytotoxicities due to their ability to control cell proliferation, which could not be evaluated due to their poor water solubility or the differences in uptake and release of these molecules inside cells. Hence, cage  $\text{IV}'_{\text{Ru}}$  can deliver them inside the cells *in vitro* successfully and improve their efficacy. Therrien and co-workers thereafter determined the effects of the size of the host complex portal on the encapsulation and retention of guest molecules.<sup>103</sup> They synthesized three hexaruthenium cages using 1,4-naphthoquinonato ( $\text{IV}''_{\text{Ru}}$ ), 1,4-anthraquinonato ( $\text{IV}'''_{\text{Ru}}$ ), and 5,12-naphthacenedionato ( $\text{IV}''''_{\text{Ru}}$ ) analogues in the diruthenium bridging ligands as polycyclic aromatic systems. The portal size of the three cages decreased in sequence as follows:  $\text{IV}''_{\text{Ru}} > \text{IV}'''_{\text{Ru}} > \text{IV}''''_{\text{Ru}}$ , while the internal cavity remained almost the same. Fluorescence studies described the pyrene derivatives being more efficiently retained by a host complex with a smaller pore size. The highly desirable water solubility has made hexaruthenium metallacages ( $\text{IV}_{\text{Ru}}$ ) the most investigated future drug-delivery systems. However, a few other SCCs have also been subjected to preliminary encapsulation of drugs and *in vitro* pharmacological studies to evaluate their drug-delivery potential.

### 4.2. $\text{M}_2\text{L}_4$ MSCCs (cage V)

Crowley and co-workers designed a cationic quadruply-stranded  $\text{Pd}_2\text{L}_4$  cage complex ( $\text{V}'_{\text{Pd}}$ ) using the bidentate ligand 2,6-bis(pyridin-3-ylethynyl)pyridine and demonstrated the



Fig. 27 Ruthenium(II)-based drug-delivery system ( $\text{IV}_{\text{Ru}}$ )- $[\text{M}(\text{acac})_2]$ . Reproduced with permission from ref. 100. Copyright 2008, John Wiley & Sons, Ltd.



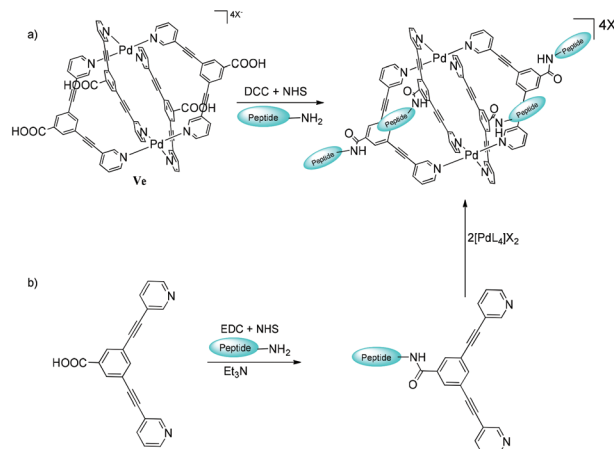
**Fig. 28** Encapsulation and release of cisplatin by cage  $V''_{Pd}$  and subsequent disassembly by addition of competing guests. Reproduced with permission from ref. 67. Copyright 2012, Royal Society of Chemistry.

encapsulation of the anticancer drug cisplatin by XRD studies.<sup>67</sup> Cage  $V''_{Pd}$  can be reversibly disassembled/reassembled by the subsequent addition and removal of competing ligands. <sup>1</sup>H NMR and ESI-MS studies showed that the addition of [4-(dimethylamino)pyridine or  $Cl^-$ ] as competing ligands facilitated the release of cisplatin due to disassembly of the coordination cage (Fig. 28).<sup>67</sup> However, these types of  $Pd_2L_4$  cages are scarcely soluble in aqueous medium.

Following these promising results, various fluorescent *exo*-functionalized  $Pd_2L_4$  coordination cages  $V''_{Pd}$  were developed by Casini and co-workers and studied for the enclathration of cisplatin through NMR spectroscopy,<sup>104</sup> which exhibited similar downfield shifts to those observed for the protons in the internal cavity (the result of encapsulation) of Crowley's cage  $V''_{Pd}$ . XRD results demonstrated the enclathration of two cisplatin drug molecules by cage  $V''_{Pd}$ .

Thus, the precursor compounds and palladium cages were tested for their *in vitro* cytotoxicity against a number of human cancer cells (A549, HepG2 and SKOV-3). The coordination cages were nontoxic in healthy rat liver tissues *ex vivo*; however, a decreased  $IC_{50}$  value showed that the mixture of cage and cisplatin was more cytotoxic than free cisplatin or a vacant cage. Fluorescence studies conducted to study the uptake of the host-guest complex by cancer cells indicated their accumulation in tumors due to an EPR (enhanced permeability and retention) effect,<sup>105</sup> that has been extensively studied for passive drug delivery in cancer therapy.

The *exo*-functionalization of metallacages by conjugation of cell-specific ligands like tumor-targeting peptides (especially for tumor-surface markers) to their surface could improve the target specificity and efficacy of drug-delivery systems.<sup>106</sup> The coating of non-covalent peptide on the surface of self-assembled metallacage  $M_{12}L_{24}$  was explored by Ikemi and co-workers<sup>107</sup> and encapsulation of protein within the molecular host  $Pd_{12}L_{14}$  cavity<sup>108</sup> initiated the functionalization of MSCCs for biomedical applications. Interestingly in the latter case, the first ligands tethered to the protein while the nanocage self-assembled around the protein on subsequent metal ions and ligand additions. Casini and co-workers reported the bioconjugation of *exo*-functionalized self-assembled cage  $V''_{Pd}$  by two different approaches (Fig. 29).<sup>109</sup>



**Fig. 29** (a) Direct peptide tethering to metallacage  $V''_{Pd}$  and (b) initial ligand anchoring to the peptide, followed by self-assembly of metallacage  $V''_{Pd}$ . Reproduced with permission from ref. 109. Copyright 2017, Royal Society of Chemistry.

Both approaches involved the formation of an amide bond between the amine (or carboxylic acid) functioning as an *exo*-functionalized ligand/cage and the carboxylic acid (or amine) groups of the side chain of the peptide. Approach II (first the peptide was coupled with the ligand and then the cage was constructed by self-assembly) showed better results, and it opens up the possibility of bioconjugation of metallacages to other target moieties like peptides, affirmers or antibodies. Fluorescence in metallacages due to fluorescent-*exo*-functionalized bipyridyl ligands enables one to track their *in vitro* cellular distribution and cellular accumulation, making them highly desirable components in drug-delivery systems. However, the photoluminescence of the  $Pd_2L_4$  coordination cage systems is often quenched due to: (i) the “heavy-metal effect” observed on the binding of the fluorescent ligand to a  $Pd^{2+}$  metal-ion and (ii) the destruction of the emissive fluorescent conjugated system.<sup>68</sup> So, to study and improve their fluorescent properties, Kühn and co-workers synthesized *exo*-functionalized cages coupled with fluorescent groups like naphthalenyl or anthracenyl moieties *via* an amide bond. A carboxy-functionalized system was used to compare the emission properties and anticancer activity of fluorophore-based cage complexes in cancer cells. The carboxy-based ligand exhibited a fluorescent quantum yield higher than that of ligands bonded to fluorescent tags. TD-DFT experiments revealed that a lower HOMO-LUMO transition probability (2%) in fluorophore groups than in carboxyl-functionalized ligands (24%) and an emission in the IR region (2000 nm) could be responsible for the fluorescence quenching. The cages attached to fluorophore groups were also investigated for their *in vitro* anti-proliferative properties in human ovarian cancer and lung cell lines. The cage complexes displayed higher cytotoxicity than cisplatin in all tested tumor cells, making them appropriate anti-cancer agents.

Recently, the bispyridyl ligands of the cage were *exo*-functionalized with boron dipyrromethene (BODIPY) to understand the fate of metallacages after entering the cells using fluorescence microscopy. Encapsulation and release of cisplatin were studied by  $^1\text{H-NMR}$  and  $^{31}\text{P-NMR}$  spectroscopy. The BODIPY-marked cage complexes accumulated in the cytoplasmic vesicles of melanoma cells.<sup>110</sup> Kühn and co-workers attempted the conjugation of tris(bipyridine)ruthenium (a highly luminescent fluorophore) to the  $\text{Pd}_2\text{L}_4$  metallacage scaffold *via* an unsaturated amide or cycloazide linker group to avoid the destruction of the emissive fluorescent group.<sup>111</sup> The resulting cage exhibited a strikingly high quantum yield of 66%, being categorized among the most emissive metallacages.

#### 4.3. Octahedral MSCCs (cage I)

Lippard and co-workers developed a novel cationic Pt-based drug-delivery system from a cytotoxic adamantylplatinum(IV) prodrug and hexanuclear platinum(II) cage  $\text{I}_{\text{Pt}}$ . Structurally,  $\text{I}_{\text{Pt}}$  is similar to cage I except that the  $\text{Pd}^{2+}$  have been replaced by  $\text{Pt}^{2+}$  metal centers (Fig. 30). This system would release cisplatin in the cancerous cells upon reduction.<sup>112</sup> The host-guest complex displayed micromolar potency against human cancer cell lines A549, A2780, and A2780CP70, comparable to cisplatin.  $\text{IC}_{50}$  values showed that the cytotoxicity profile of the prodrug improved after encapsulation due to the higher cellular uptake of the positively charged host-guest cage nanoconstruct. The platinum(IV) complex prodrug was reduced within the cells by ascorbic acid to release cisplatin, succinic acid and 1-adamantylamine. as indicated by NMR spectroscopy and mass spectrometry data.

## 5. MSCCs as reaction vessels

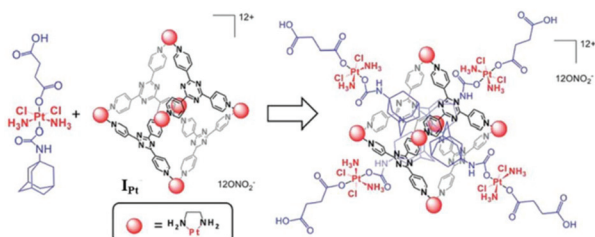
Inspired by enzymes, scientists are persistently working to explore similar highly efficient approaches for synthetic systems.<sup>113</sup> This is because the special microenvironment within an enzyme cavity induces many effects, such as substrate pre-organization, protein dynamics, restricted substrate motion, de-solvation of the substrate and covalent binding of the transition state, which play a crucial role in catalysis.<sup>15,16,114</sup> The reaction medium greatly affects the

chemical reactions; however, inside the enzyme cavity, the substrates and transition/intermediate species are stripped off from solvent molecules and placed in the microenvironment of the cavity. The study of these cavity effects is challenging and has been principally proposed on the grounds of computational studies.<sup>115</sup> The nanoreactors (nanosized reaction vessels) enable these cavity effects to be studied experimentally and they can be used as a sustainable future tool for synthesis by harnessing technique used by enzymes in nature. The reactions studied within these nanovessels are highly accelerated and regio- and enantio-selective. A range of MSCCs that have been studied for reaction control within the cavities are described in the following section. The metallacages catalyze the chemical reactions by a unique method without directly participating in the chemical reactions. The confined spaces within the cages provide a microenvironment very different from bulk solution and bring the binding sites of the substrates close together by restricting their movements. So, their catalytic mechanism does not involve active participation of the cages but the alignment of reactants results in unique products and accelerated reaction rates. The encapsulation of substrates to form ternary complexes is described in this review, which are crucial for such unique transformations. However, SCCs with embedded active sites and encapsulated catalysts are not discussed.

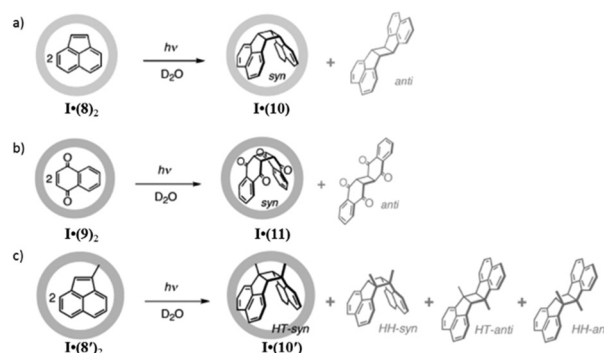
#### 5.1. Octahedral MSCCs (cage I)

As supramolecular coordination cage I can encapsulate two or more of the same or different molecules as guests in the cavity in restricted positions, the self-assembled coordination nanocage has been explored as a molecular flask by Fujita and co-workers for various chemical reactions.<sup>116–119</sup> The range of chemical transformations explored in the cavity of cage I are discussed below.

**5.1.1. [2 + 2] olefin photodimerization.** The stringent geometrical environment of coordination cage I highly accelerated the stereoregulated [2 + 2] photodimerization of acenaphthylene (8) and naphthoquinone (9), giving *syn*- and head-to-tail isomers 10 and 11 in an aqueous medium (Fig. 31).<sup>116,117</sup> The



**Fig. 30** Representation of a Pt drug-delivery system formed from a cytotoxic adamantylplatinum(IV) prodrug and hexanuclear platinum(II) cage  $\text{I}_{\text{Pt}}$ . Reproduced with permission from ref. 112. Copyright 2015 Royal Society of Chemistry.



**Fig. 31** Photodimerization of (a) 8, (b) 9 and (c) 8' within cage I. Reproduced with permission from ref. 116. Copyright 2002, John Wiley & Sons, Ltd.

photodimerization of acenaphthylene (**8**) gave a 1,2 dimerized product with a mixture of *syn*- and *trans*-isomers in common organic solvents. This demonstrated that the localized micro-space of the cage dramatically increased the rate of reaction and restricted the stereochemistry of the products. The photo-irradiation of encapsulated complex **I**-(**8**)<sub>2</sub> for 30 min in D<sub>2</sub>O led to no *anti*-dimer formation within the cage, which is a collateral product in organic media. It was found that no reaction occurred in the absence of cage **I** in benzene (2 mM) after 30 min and at higher concentrations of benzene (150 mM, 3 h) adducts with poor stereoselectivity (*syn*: 19%, *anti*: 17%) were formed with low yield. Similarly, the [2 + 2] photodimerization of naphthaquinone resulted in more than 98% *syn*-dimer as product. This was strikingly in contrast to the results from the reaction in benzene where the major product was *anti*-dimer (21%) with only 2% *syn*-dimer as the minor product. Coordination cage **I** also controlled the regiochemistry of the [2 + 2] addition product of asymmetrically substituted 1-methylacenaphthylene (**8'**), which does not undergo dimerization in organic solvents due to the steric demands of the methyl group at the 1-position. However, cage **I** controlled stereo- and regio-selectivities, resulting in only *syn*- and head-to-tail isomer (**10'**) from the dimerization of **8'** (Fig. 31).<sup>116</sup>

**5.1.2. Pairwise-selective [2 + 2] cross-photodimerization of olefins.** The pairwise selectivity of olefins is difficult to control because the substrates need to associate in the appropriate geometry before the reaction.<sup>120,121</sup> In common organic solvents, cross-photodimerization results in a mixture of homo- and hetero-dimers along with their regio- and stereo-isomers, while cage **I** is highly efficient in terms of rate of reaction, pairwise selectivity and stereoselectivity. The suspension of **1** and 5-ethoxynaphthoquinone (**12**) in an aqueous cage **I** solution resulted in a ternary complex **I**-(**1,12**). Irradiation of the ternary complex for 3 h resulted in a cross *syn*-dimer as the product, confirmed by NMR spectroscopy and mass spectrometry. **I** and 5-ethoxynaphthoquinone produced only the (**I**-**12**) host-guest complex and not **I**-(**12**)<sub>2</sub> due to the steric bulkiness of **12**, but this H-G complex still had room for some small molecules like **1**, giving an **I**-(**1,12**) complex. The size compatibility of guests within the cage cavity governs the formation of a ternary complex. This finding was aided by the formation of a mixture of products.

**5.1.3. Unusual [2 + 2] photo-addition.** Fujita and co-workers determined that reactions which would not proceed in common solvents are possible in the cage cavity. For example, highly stable arenes (triphenylene, pyrene (**13**), perylene, phenanthrene, or fluoranthene) with *N*-cyclohexylmaleimide (**14**) showed a [2 + 2] photo-addition reaction within the cavity of cage **I**.<sup>118</sup> The entropically unfavorable reactions are promoted by cage **I** due to its hydrophobic binding pocket in water, which forces the substrates to adopt a specific orientation (Fig. 32). They described this behavior as “reminiscent of enzyme’s reaction pockets”. Pyrene **13** show a Diels–Alder reaction in the organic solvents to some extent, but the product is easily oxidized in air, while in the cage the resulting adduct is protected from oxidization, giving a stable product in the cage

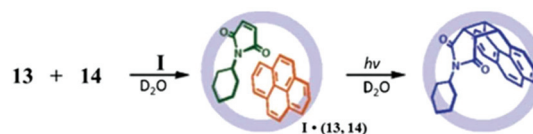


Fig. 32 [2 + 2] photo-addition of **13** and **14** within cage **I**. Reproduced with permission from ref. 118. Copyright 2007, American Chemical Society.

**I** cavity.<sup>118</sup> Using force field calculations, Fujita’s group proposed that prior to reaction with the olefin group of pyrene **13** was close to the C4–C5 double bond of *N*-cyclohexylmaleimide (**14**). They predicted that the steric demand of the *N*-cyclohexyl group of **14** was necessary for the proximity of the C4–C5 double bond. To confirm this, the cyclohexyl group of **14** was replaced by less sterically demanding groups (such as Ph, Me, Et or PhCH<sub>2</sub>), but these derivatives did not show any reactivity with pyrene.

**5.1.4. Diels–Alder reaction.** Thermal [4 + 2] cycloaddition reactions (Diels–Alder reactions) are also significantly accelerated within cage **I**. The cage accelerated the rate of reaction by 21 times for 1,4-naphthoquinone (**9**) and 1,3-cyclohexadiene (**15**) in an aqueous medium (Fig. 33) compared to reaction in organic solvents, but the rate of reaction for the acyclic diene 2-methyl-1,3-butadiene (isoprene) with **9** was accelerated 113 fold.<sup>122</sup> NMR supported the formation of a Diels–Alder *endo*-adduct. The pair-selective pre-organization of reactants in the cage cavity lowers the entropy for a pericyclic reaction, hence accelerating the reaction rate.

Remarkably, the encapsulation of a dienophile with an inert aromatic compound (which shows no reactivity towards a Diels–Alder reaction under typical reaction conditions) exhibited good reactivity inside the cage cavity of **I**. For example, heating a solution of triphenylene and maleimide (**14**) in cage **I** resulted in Diels–Alder cycloaddition where one of the triphenylene benzene rings acted as a diene.<sup>118</sup> Triphenylene does not show pericyclic reactions due to its high aromatic stability. However, with maleimide **14** the Diels–Alder reaction proceeded efficiently within the cage **I** cavity. Similarly, perylene and maleimide (**14**) enclatherated within cage **I** in water to produce a ternary complex upon stirring for 24 h that resulted in an *exo*-adduct. Cage **I** stabilized the final product from aerial oxidation, which reproduced perylene and acted as a sealed nanosized chamber for unstable products. The same



Fig. 33 [2 + 4] thermal Diels–Alder reaction of **9** with **15** within cage **I**. Reproduced with permission from ref. 122. Copyright 2003, Chemical Society of Japan.



group also investigated the host-mediated [4 + 2] thermal coupling reaction of anthracenes and maleimides. The Diels–Alder reaction of anthracene and maleimide exhibited an unusual regioselectivity in the presence of cage **I**.<sup>123</sup> But the absence of the cage yields a product bridging the (9,10-position) of the center ring of anthracene due to high localization of p-electron density there. This well-established selectivity is altered in the presence of cage **I** by forming adduct bridging at the electronically unfavourable terminal ring (1,4-position). Molecular modeling determined that the terminal benzene is accessible due to the steric demand of a dienophile (an *N*-cyclohexyl group) blocking access to the central ring. Previously 1,4-selective adducts of anthracene have been reported only for benzene and 9,10-diarylanthracene addition reactions. The molecular cage **I** cavity creates neat conditions, where due to local accumulation and pre-organization of the substrate, the reaction profile is switched from bimolecular to pseudo-intramolecular by reducing the high entropic cost of a bimolecular reaction. Unlike previous examples where product inhibition was a serious problem, in this Diels–Alder reaction an exclusion step is involved here, which is similar to enzymatic behavior (the host has an affinity for the reactants and a disaffinity for the product). Pair-selective encapsulation of 9-hydroxymethylanthracene (**16**) and *N*-cyclohexylmaleimide (**14**) within cage **I** resulted in the *syn*-isomer of the 1,4-adduct from the Diels–Alder reaction inside the cavity (Fig. 34).<sup>123</sup>

The intra-cavity Diels–Alder reaction of anthracene with maleimide resulted in product bridging at the usual central ring, when less sterically demanding substituents (like Ph, Et, Me, *t*Bu, or Ph), were used instead of the cyclohexyl group in **14**. Hence, the site of reaction on anthracene is determined by the bulkiness of the *N*-substituted dienophile **14**. Naphthalene has extensive utility in synthetic chemistry, but it is notoriously inert towards Diels–Alder reactions with <1% yield even when maleic anhydride is in large excess. Thus, it requires either Lewis acid activation or harsh conditions like high pressures or *peri*-1,4-substitution to increase its reactivity. Fujita's group studied the reactivity of naphthalene as a diene in cage **I** due to an increase in effective concentration and pre-organization, but no change in <sup>1</sup>H-NMR was observed.<sup>124</sup> Then they introduced alkyl groups at positions C2 and C3 of naphthalene to

enhance their reactivity.<sup>124,125</sup> 2,3-Diethylnaphthalene (**17**) and *N*-cyclohexylmaleimide (**14**) formed an **I**·(**14,17**) complex in an aqueous solution of cage **I**, which on heating for 8 h at 100 °C resulted in a Diels–Alder reaction.

The formation of product was confirmed by the disappearance of signals for **14** and **17** from NMR and the appearance of a new set of signals. No evidence of side product was found, but the yield was reduced due to partial sublimation of the reactants. It is noteworthy that the reaction occurred on the non-substituted, less-electron-rich benzene ring of naphthalene, even though the reactivity of the substituted ring is increased by an electron-donating alkyl group giving an unusual regio- and stereo-selective adduct. The unusual selectivity is due to pre-organized substrate encapsulation within cage **I** and not due to the electronic effects of the alkyl substituents. Analysis of X-ray crystallographic data confirmed the close proximity of the C=C bond of **14** and the terminal ring of naphthalene. Reactant packing and pre-organization increased with an increase in steric bulkiness on naphthalene (fused cyclohexyl (**17e**) < diethyl (**17c**) < di-*n*-propyl (**17d**)), increasing the reaction rate in the same order (Table 1).<sup>124</sup>

Hence, steric and not electron factors controlled the rate and selectivity of the reaction. The tight packing of the substrates also enabled a [2 + 2] photo-addition reaction. The irradiation of complex **I**·(**17e,14**) for 1 h gave a single product with *syn*-configuration. Fujita's group proposed an alternative method for enhancing the substrate reactivity *via* fine-tuning of the cage cavity.<sup>126</sup> The cavity of cage **I** was contracted by functionalizing the ancillary ligand 1,10-phenanthroline on Pd (II) with sterically bulky groups. The volume (size) of the cavity of cage **I** was reduced by 20% on functionalization with a mesityl (Mes) group, resulting in cage **I'**. The unsubstituted naphthalene (**17a**) undergoes a Diels–Alder reaction with *N*-*tert*-butylmaleimide (**14'**) in the cavity of contracted cage **I'**, which was otherwise unreactive in cage **I**. Thus, the substrate should be closely packed within the cavity of the cage (either by substituting the substrate or tuning the cage volume) for the reaction to take place efficiently. Aceanthrylene (**18**) and 1*H*-cyclopenta[*b*]phenanthrene (**19**) have stable (inert) aromatic structures due to which they have shown hardly any reactivity for pericyclic reactions. But the confined cavity of cage **I**

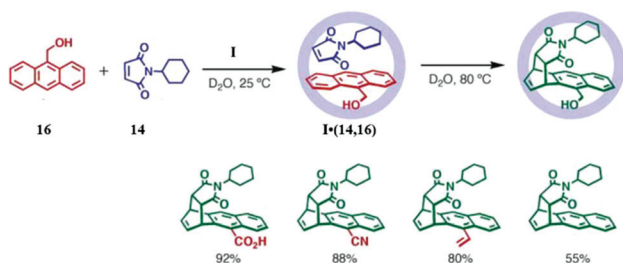


Fig. 34 Pair-selective encapsulation followed by Diels–Alder reaction and yields of regioselective *syn*-1,4-products in cage **I**. Reproduced with permission from ref. 123. Copyright 2006, American Association for the Advancement of Science.

Table 1 Product yields and rate constants for the Diels–Alder reactions between a range of **14** and *N*-cyclohexylmaleimide (**17**). Reproduced with permission from ref. 124. Copyright 2010, American Chemical Society

Substrate	Yields	Rate constant ( $\times 10^{-5} \text{ s}^{-1}$ )
<b>17a</b> (R = H)	0	—
<b>17b</b> (R = Me)	8	—
<b>17c</b> (R = Et)	60	4.1
<b>17d</b> (R = <i>n</i> -Pr)	64	6.2
<b>17e</b> (R, R = $-(\text{CH}_2)_4-$ )	62	2.8
<b>17f</b> (R, R = $(\text{CH}_2)_2\text{O}-$ )	0	—

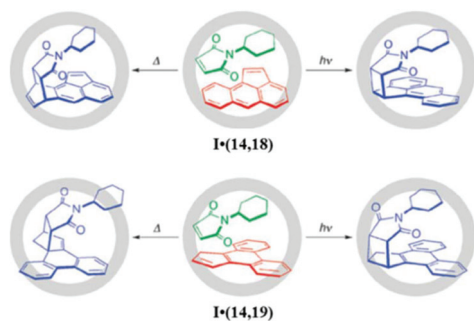


Fig. 35 Unconventional pericyclic reactions of (14) with aceanthrylene (18) or 1H-cyclopenta[1]phenanthrene (19) within cage I. Reproduced with permission from ref. 127. Copyright 2010, Royal Society of Chemistry.

unveils the unusual reactivities of these molecules, giving either a [2 + 2] photo- or [2 + 4] thermal adduct with 14 from ternary complexes I-(18,14) and I-(19,14) (Fig. 35).<sup>127</sup> The isolation (encapsulation) of guest molecules from bulk in the cavity reduced the activation energy and produced regio- and stereo-selective products with good yield.

**5.1.5. Cavity-sensitized photochemical alkane oxidation.** In pericyclic reactions within cages, the restricted cavity space reduces the energy barrier for a particular pathway; however, the cage itself does not act as a catalytic redox medium. On the other hand, the regioselective oxidation of photochemically inert alkanes by photo-excitation of cage I accommodating alkanes as guests occurred because of the participation of cage I in the photochemical process.<sup>128</sup> The photo-irradiation of adamantane inclusion complex I-(1)<sub>4</sub> (1 = adamantane) in an aqueous solution under anaerobic conditions turned the colorless solution blue, indicating radical formation (as confirmed by EPR analysis). The blue-colored solution remained unchanged in an argon atmosphere. However, it immediately turned colorless on exposure to air (Fig. 36).<sup>128</sup>

Analysis indicated the formation of 24% adamantan-1-ol or 96% assuming oxidation of only one molecule per cavity (mixed with 1-adamantylhydroperoxide). Adamantane (1) was regioselectively oxidized at the tertiary carbon. The proposed pathway included the excitation of a low-lying LUMO triazine ligand followed by the transfer of one electron from the bridgehead CH bond of adamantane to the ligand, which resulted in dissociation of the adamantane radical cation into an adamantyl radical and H<sup>+</sup>; molecular oxygen or water then trapped the

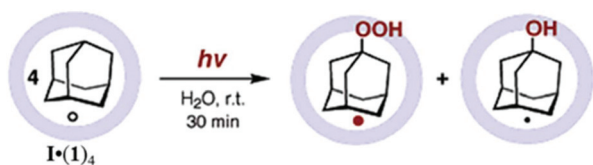


Fig. 36 Photo-oxidation of adamantane (1) within cage I. Reproduced with permission from ref. 128. Copyright 2004, American Chemical Society.

adamantane radical, forming adamantan-1-ol. Electrochemical measurements reveal that cage I can accept only a single electron, hence only one out of four adamantane guests is oxidized (in cage I based 96% yield).

**5.1.6. Wacker oxidation.** Self-assembled cage I promoted the aerobic oxidation of styrene (20) and its derivatives in an aqueous medium using the hinge component [(en)Pd(NO<sub>3</sub>)<sub>2</sub>] of cage I.<sup>119</sup> The reaction took place *via* a binary catalysis system, where cage I functioned as a reverse phase-transfer catalyst, while (en)Pd<sup>2+</sup> functioned as the oxidation catalyst. The proposed mechanism involved the transfer of organic phase styrene to aqueous phase *via* the encapsulation of styrene by an aqueous solution of cage I (Fig. 37).<sup>119</sup> The Pd(II) reagent then oxidized styrene (20) in the cage to acetophenone (21), which is less hydrophobic and was hence replaced by unreacted styrene in the organic phase.

Cage I also promoted the oxidation of linear alkenols in the same manner. For example, heating of 8-nonen-1-ol in cage I for 5 h at 80 °C resulted in Wacker-type oxidation, ensuring a 66% yield of 9-hydroxynonan-2-one.<sup>129</sup> The dissolved oxygen in the aqueous solution reoxidized the Pd(0) species produced from Wacker-type oxidation.

**5.1.7. “Ship-in-a-bottle” synthesis.** Labile species can be synthesized and stabilized in the isolated nanospace of coordination cage I. The most effective method of trapping labile species is *in situ* preparation within the cage from smaller components. The sol-gel condensation (polycondensation or hydrolysis and dehydration) of trialkoxysilanes gives three-dimensional siloxane (SiO) networks or ladder polymers.<sup>130</sup> The condensation of phenyltrimethoxysilane in cage I resulted in cyclic trimer (exclusively *cis*-isomer) with about 92% yield.<sup>131,132</sup> Phenyltrimethoxysilane can enter into and exit from the portals of the cage, but the cyclic trimer is formed in a “ship-in-a-bottle” fashion: *i.e.*, the trimer formed in the cage cannot get out of the cage due to its proportions being larger than the portal size. Cage I controlled the stereochemistry of the reaction, giving only the *cis*-isomer and the cyclic trimer is stable in acidic (aqueous) solution despite having reactive Si-

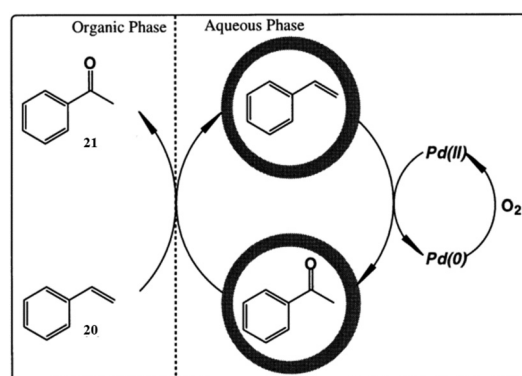


Fig. 37 Schematic representation of the Wacker oxidation of (20) by reverse phase-transfer catalysis of I. Reproduced with permission from ref. 119. Copyright 2000, Chemical Society of Japan.

OH groups due to encapsulation by the cage. Hence, a labile siloxane oligomer is formed in stable form inside the cavity of cage I.

**5.1.8. Photocleavage of  $\alpha$ -diketones.** An unexpected cyclization product was obtained from the light-induced cleavage of  $\alpha$ -diketones in the presence of cage I.<sup>133</sup> Fujita's group found two  $\alpha$ -diketones, diphenylethanedione (22) encapsulated in the cavity of cage I, which on irradiation for 6 h resulted in three products based on the proposed mechanism. According to the proposed mechanism a biradical is formed by photoexcitation of the carbonyl  $n-\pi^*$  transition. The oxygen acted as nucleophilic radical center and attacked the adjacent benzoyl moiety, giving a cyclized structure *via* a radical-mediated addition-elimination reaction. Then the cyclized product was formed by abstraction of the hydrogen radical by a carbon radical. The photoreaction of diphenylethanedione for 3 h, under anaerobic condition and in the absence of cage I resulted in 5% benzaldehyde and 10% cyclohexyl phenyl ketone major products *via* acyl radical formation by homolytic cleavage of the  $\alpha$ -diketone. However, in the confined system the reaction progressed *via* a kinetically unfavorable pathway without homolytic cleavage (Fig. 38).<sup>133</sup>

**5.1.9. Knoevenagel condensation.** Fujita and co-workers used an aqueous solution of cationic  $M_6L_4^{12+}$  coordination cage (I) for the Knoevenagel condensation of various aromatic aldehydes under neutral conditions.<sup>134</sup> Reaction of 2-naphthaldehyde (23) and Meldrum's acid (24) gave only traces of condensation product even in water or methanol. Whereas 96% condensation product was formed in the cavity of cage I (Fig. 39).<sup>134</sup> The role of cage I was not merely as a dissolving reagent, but it promoted the condensation reaction in water. The control experiments revealed that the reaction was not promoted by cage components ((en)Pd(NO<sub>3</sub>)<sub>2</sub> and 2,4,6-tripyridyl-1,3,5-triazine); hence the hydrophobic cavity of cage I was vital for the reaction. The reaction of substrates like 9-anthraldehyde which are sterically demanding and give low yields under normal conditions, progressed more efficiently within the cage (67% yield) than small aldehydes like benzaldehyde (38% yield). Due to the cationic nature of the cage, electron-rich guests were preferred: for example, nucleophilic substrates methoxy- and amino-substituted naphthaldehydes resulted in 96% and 82% yields, respectively. Due to the existence of a host-guest size discrepancy, the products were ejected easily from the cage and product inhibition was not observed, promoting the catalytic use of cage I.

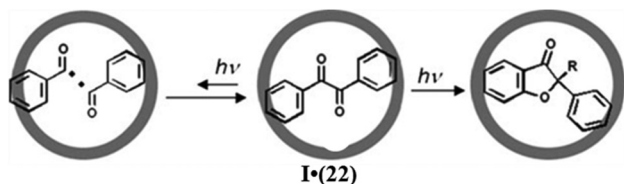


Fig. 38 The photoreaction of 22 in the cavity of I. Reproduced with permission from ref. 133. Copyright 2007, John Wiley & Sons, Ltd.

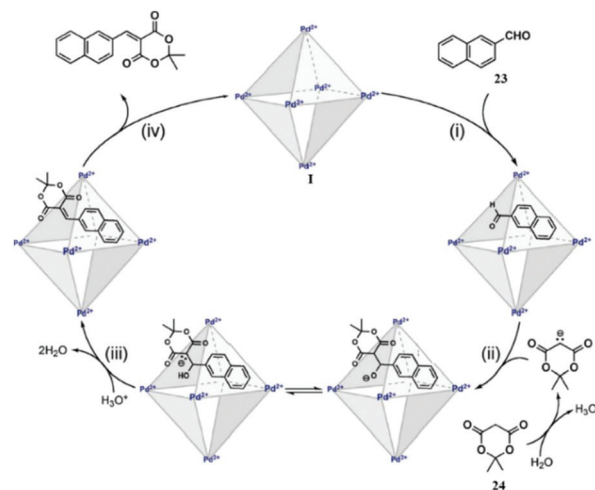


Fig. 39 Knoevenagel condensation of aldehyde 23 with 24 in the presence of cage I. Reproduced with permission from ref. 134. Copyright 2012, American Chemical Society.

**5.1.10. Anti-Markovnikov alkyne hydration.** Cage I also promoted the anti-Markovnikov photohydration reaction, as it can act as an electron acceptor because the ligand has an electron-deficient triazine core.<sup>135</sup> Fujita and co-workers showed that photo-oxidation of alkanes in cage I took place *via* cage-mediated electron transfer. After which they demonstrated the photohydration of internal arylalkynes in cage I under neutral conditions with anti-Markovnikov selectivity. According to the proposed mechanism (Fig. 40),<sup>135</sup> the cage functioned as a photosensitizing molecular flask where the excited cage (by irradiation with UV light) accepted an electron from alkyne, forming a phenyl alkyne radical cation. The anti-Markovnikov product was formed when this radical cation reacted with water and formed photodegradable benzylic radicals. Cage-mediated guest-to-host transfer of electrons resulted in new and unusual reaction selectivity of the guest molecule, which was totally different from the known photohydration of alkyne with regard to regioselectivity.

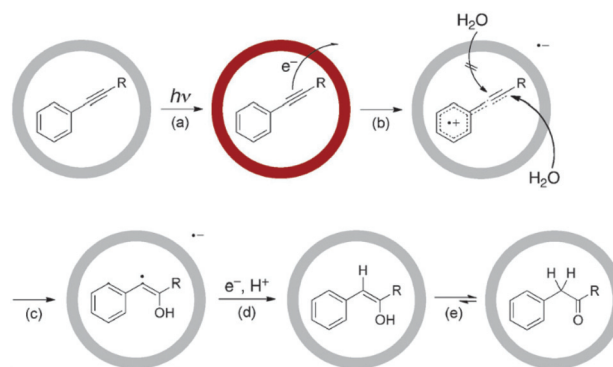


Fig. 40 Proposed mechanism for cage I mediated photohydration of arylalkynes. Reproduced with permission from ref. 135. Copyright 2011, Royal Society of Chemistry.

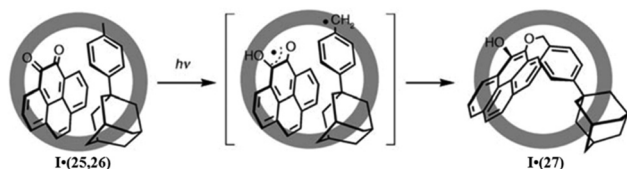


Fig. 41 Photoreaction of quinone **25** with **26** within the cavity of cage **I**. Reproduced with permission from ref. 136. Copyright 2008, John Wiley & Sons, Ltd.

**5.1.11. 1,4-Radical addition to *o*-quinones.** Regio- and stereo-selectivity are generally difficult in radical reactions; hence a mixture of products is obtained. Such selectivity is possible in enzymatic reactions where a specific orientation of the substrate in enzyme pocket results in unusual reaction products. The pre-organization of *o*-quinone (**25**) and bulky toluene (**26**) in the cavity of cage **I** also resulted in an unconventional 1,4-adduct (**27**) as in enzyme pockets (Fig. 41).<sup>136</sup>

The proposed mechanism involves the photo-excitation of quinone followed by the abstraction of hydrogen from the methyl group of substituted toluene, forming a benzylic radical. 1,4-Addition of a benzylic radical to a semi-quinone radical resulted in product **27**. This unusual product is due to the unfavorable arrangement of substrates within the confined cavity of cage **I**. They also employed phenanthrene-9,10-dione for the selective photomediated addition of a radical within the cage, which gave 80% yield of the 1,4-adduct. A complex mixture with no O-coupled 1,4-adduct was formed in the absence of cage **I**. Hence, cage **I** selectively accelerated the O-coupling pathway and suppressed the others.

**5.1.12. Unusual photoreaction of triquinacene.** Unexpected photo-oxidation of triquinacene (**28**) occurred at the allylic position in the photochemically active molecular flask (coordination cage **I**) giving 1-hydroxytriquinacene (**29**) via guest–host electron transfer.<sup>137</sup> Four triquinacene molecules were trapped in the cavity of cage **I** as **I**·(**28**)<sub>4</sub>, which acted as a redox medium by accepting electrons from guest molecules, hence participating in the redox process. Under anaerobic conditions no product formation was observed in cage **I**, indicating that H<sub>2</sub>O does not participate in the photo-oxidation, which was further confirmed by <sup>18</sup>O-labeling on dissolved O<sub>2</sub>. The proposed mechanism involves the photo-excitation of the triazine unit by electron transfer from triquinacene to the triazine of the coordination cage followed by removal of the allylic proton of triquinacene. The dissolved molecular oxygen immediately trapped the photogenerated 1-triquinacenyl radical, forming a 1-triquinacenylperoxide radical, which on decomposition gave the final product as an alcohol (Fig. 42).<sup>137</sup> Replacing the triazine ligand by benzene in cage **I** did not result in selective photo-oxidation, showing the importance of an electron-deficient triazine panel. Initially the electron-deficient triazine core presumably facilitated the photo-induced guest-to-host electron transfer.

**5.1.13. Photochemical organometallic transformation.** As discussed earlier, Ru–Ru bond cleavage is suppressed by

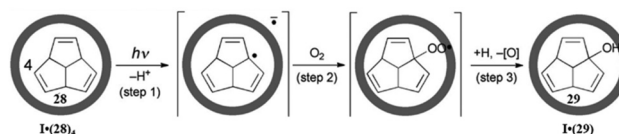


Fig. 42 The photo-induced guest-to-host electron transfer for the formation of **29** from **28** within cage **I**. Reproduced with permission from ref. 137. Copyright 2012, John Wiley & Sons, Ltd.

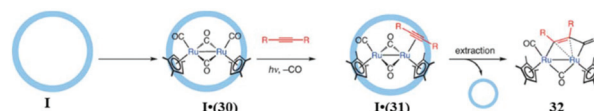
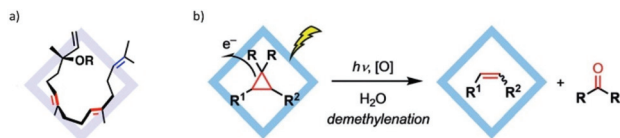


Fig. 43 Cage **I** driven transformation of **30** to **32**. Reproduced with permission from ref. 92. Copyright 2012, John Wiley & Sons, Ltd.

encapsulation of the diruthenium complex in cage **I**.<sup>89</sup> Fujita and co-workers expected a photochemical transformation with the photostable Ru–Ru bond.<sup>92</sup> Hence, they demonstrated the photosubstitution of a CO ligand by alkyne in encapsulated [(Me<sub>4</sub>Cp)Ru(CO)<sub>2</sub>]<sub>2</sub> (**30**; Cp = cyclopentadienyl) within cage **I** to produce Ru–alkyne  $\pi$  complex **31**. The complex was eventually converted to diruthenacyclopentenone complex **32** by intramolecular CO insertion on ejection from cage **I** (Fig. 43).<sup>92</sup> These are encapsulation-driven transformations, because usually the Ru–Ru bond is photosensitive and undergoes photocleavage.

**5.1.14. Site-selective functionalization of linear diterpenoids.** The confined hydrophobic enzyme cavities can conformationally fix even flexible linear substrates, which enables stereo- and site-selective reactions by substrate pre-organization and the close proximity of the enzyme active site to the substrate. Recently Fujita and co-workers demonstrated the cavity-confined site-selective electrophilic addition of linear diterpenoids within coordination cage **I**. The flexible linear substrate folded into a U-shaped conformation within the cavity, which protected the internal C=C bonds non-covalently and enhanced the site-selective functionalization of the terminal prenyl moiety (Fig. 44a).<sup>138</sup>

**5.1.15. Enhanced reactivity of twisted amides.** Takezawa and Fujita's group recently demonstrated that the reactivity of twisted amides was enhanced inside the cavity of cage **I**. The distortion of the planar conformation of the amide group causes disruption of the conjugation between the  $\pi^*$  orbital of the carbonyl and the lone pair of nitrogen, which enhances the reactivity of the amide group towards nucleophiles. The Fujita group studied whether mechanical twisting can activate the twisted amides through inclusion in a self-assembled coordination cage. The inclusion of secondary aromatic amides within Td-cage **I** favored the *cis*-twisted conformation over the *trans*-planar one. As a consequence, the hydrolysis of amides was remarkably accelerated under basic conditions upon inclusion. The mechanism was similar to the strain-



**Fig. 44** (a) Inclusion of a linear diterpenoid into cage I. (b) Photodemethylenation of cyclopropanes in cage I. Reproduced with permission from ref. 138 and 140. Copyright 2019, the American Chemical Society; and 2019, John Wiley & Sons, Ltd.

induced hydrolysis of peptide bonds in proteins, which biologists have proposed but never examined experimentally.<sup>139</sup>

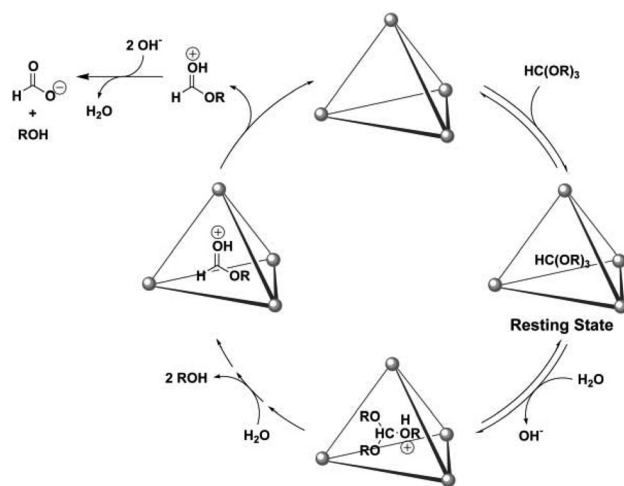
**5.1.16. Photodemethylenation of cyclopropanes.** H. Takezawa and M. Fujita's group demonstrated a unique photo-induced guest-to-host electron transfer demethylenation reaction of cyclopropanes. Cage I encapsulated highly strained cyclopropanes undergo ring-opening reactions to remove the  $\text{CH}_2$  unit through cage-mediated photo-induced electron transfer. The highly electron-deficient nature of cage I induced guest-to-host electron transfer on UV-light irradiation, causing one-electron oxidation of cyclopropanes to produce an alkene through formation of a 1,3-radical cation intermediate (reverse Simmons–Smith type reaction). The highly chemoselective reaction and late-stage derivatization of natural products like steroid molecules led to a “totally new unnatural steroid” (Fig. 44b).<sup>140</sup>

## 5.2. $\text{M}_4\text{L}_6$ tetrahedral MSCCs (cage II)

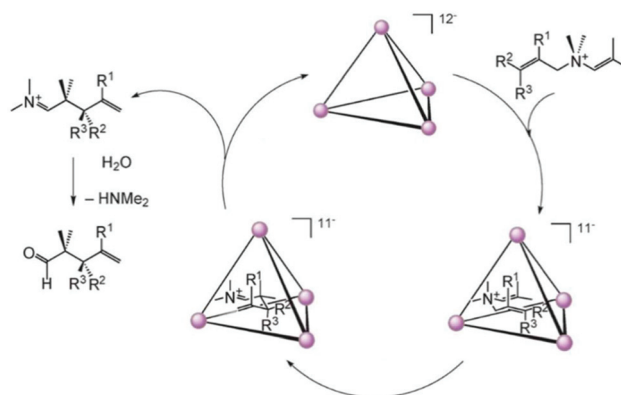
As we discussed earlier, due to its anionic nature, cage II can encapsulate monocationic guests. These studies opened the way for the application of these tetrahedral coordination cages as reaction containers. Various reactions for which these cages have been investigated, as follows.

**5.2.1. Hydrolysis of orthoformates.** Hydrolysis of orthoformates has always been reported in acidic solution because they are stable in neutral and acidic solutions;<sup>141</sup> however, Raymond and co-workers have reported hydrolysis of orthoformates  $\text{HC}(\text{OR})_3$  (R = alkyl or aryl) using a tetrahedral water-soluble  $\text{M}_4\text{L}_6$  cage in basic solution (Fig. 45).<sup>142</sup> As this cage has a strong affinity for monocationic species because of its negative charge, it encapsulates protonated neutral or weakly basic guests like orthoformates, phosphines, amines *etc.* The hydrolysis of orthoformate to a formate ester and then to formates in the cavity of  $\text{M}_4\text{L}_6$  was confirmed by adding strong binding  $\text{NET}_4^+$ , which blocked the reaction.

**5.2.2. Aza-cope rearrangement.** The  $\text{M}_4\text{L}_6$  cage cavity has been employed for cationic 3-aza-cope rearrangement. A number of free and encapsulated enammonium cations diverse in shape, size and substitution pattern were explored in aza-cope rearrangement (Fig. 46).<sup>143</sup> The rearrangements for all enammonium cations were faster when encapsulated than for free ones. Dramatic increases in reaction rate were observed for ethyl and isopropyl substituted enammonium cations (141 and 854-fold, respectively). The addition of KCl without cage II showed that the negative charge of the cage



**Fig. 45** Mechanism for the hydrolysis of orthoformate in cage II. Reproduced with permission from ref. 142. Copyright 2007, American Association for the Advancement of Science.



**Fig. 46** Proposed mechanism for 3-aza-cope rearrangement within cage II. Reproduced with permission from ref. 143. Copyright 2004, John Wiley & Sons, Ltd.

plays no role in rate enhancement for rearrangement. The effect of pre-organization in a particular reactive conformation inside the cage cavity was supported by the 2D-NOESY spectrum. The encapsulated enammonium cation displayed strong dipolar couplings between two distal end protons of the molecule, but the unbound substrate shows no NOEs between the pendant alkyl chains.

**5.2.3. Nazarov cyclization of pentadienols.** A remarkable acceleration (2.1 million fold) for the Nazarov cyclization of pentamethylcyclopentadienol was observed in the cavity of a  $[\text{Ga}_4\text{L}_6]^{12-}$  coordination cage, a rate acceleration comparable to some enzymatic systems. Raymond *et al.* conducted a detailed study to elucidate the reaction mechanism of Nazarov cyclization of free and encapsulated pentadienols.<sup>144</sup> The enhancement in cyclization was ascribed to transition-state stabilization and basicity enhancement by the cavity of the cage. With the aid of computational studies, a reaction mechanism was

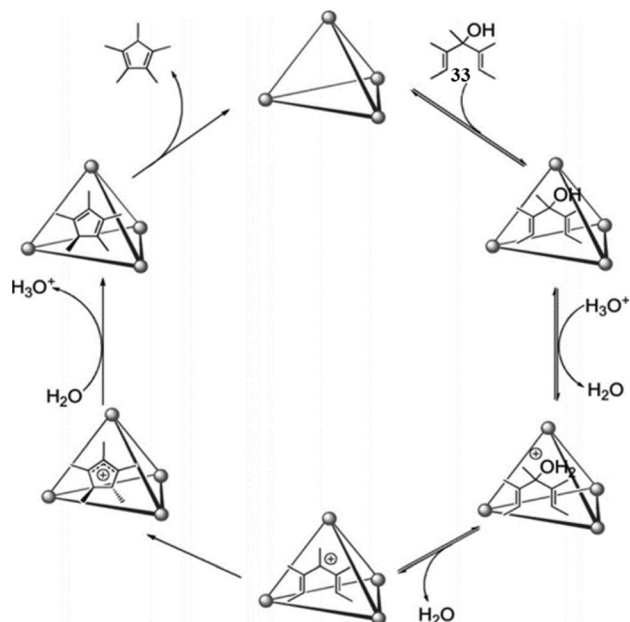


Fig. 47 Proposed mechanism for the cage  $\text{II}_{\text{Ga}}$ -catalyzed Nazarov cyclization of (33). Reproduced with permission from ref. 144. Copyright 2014, John Wiley & Sons, Ltd.

proposed according to which the reversible encapsulation, protonation, and loss of water from 1,4-pentadien-3-ol (33) was followed by irreversible electrocyclic cyclization (Fig. 47).<sup>144</sup>

**5.2.4. Monoterpene cyclization.** According to the reported literature, the Brønsted acid catalyzed cyclization of monoterpene ( $\pm$ )-citronellal gives four stereoisomeric products, but encapsulation in the hydrophobic cavity of  $\text{M}_4\text{L}_6$  resulted in diastereoisomeric products.<sup>145</sup> The hydrophobic cavity protected the reactive intermediate in contrast to it being captured by water as in acidic aqueous medium cyclization.

**5.2.5. *In situ* assembly of cage  $\text{II}_{\text{Fe}}$ .** Nitschke and co-workers explained the self-organization of subcomponents into a functional “assembly-line” of molecules for the conversion of furan into 5-hydroxy-3-(nitromethyl) dihydrofuran-2 (3*H*)-one (35).<sup>146</sup> The interesting feature of this system  $\text{II}_{\text{Fe}}$  (Fig. 48a) is the *in situ* self-assembly of the coordination cage

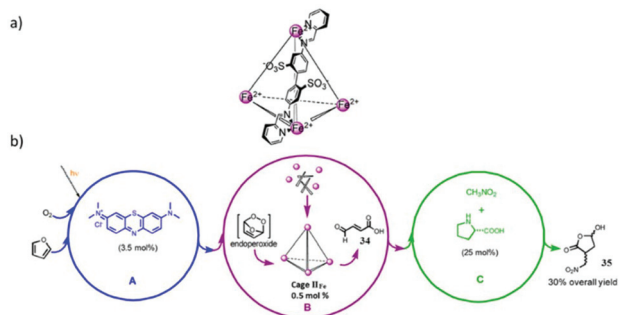


Fig. 48 (a) Structure of cage  $\text{II}_{\text{Fe}}$ . (b) Relay multicatalytic system. Reproduced with permission from ref. 146. Copyright 2013, American Chemical Society.

without any interference between different catalytic cycles.<sup>146,147</sup> An aqueous mixture of subcomponents for assembling the  $[\text{Fe}_4\text{L}_6]^{4-}$  cage (*i.e.*, iron(II) ions, 2-formylpyridine, and 4,4'-diaminobiphenyl-2,2'-disulfonic acid) along with furan, methylene blue, L-proline, nitromethane and dioxygen resulted in the *in situ* synthesis of the cage along with the final product. In cycle A furan undergoes hetero-Diels–Alder cycloaddition with singlet oxygen (photogenerated from methylene blue) generating a high-energy endoperoxide intermediate. In cycle B the endoperoxide is transformed into low-energy intermediate fumaraldehydic acid (34), which on 1,4-addition with nitromethane in the presence of catalyst L-proline and cyclization in cycle C, yielded final product (35). Hence, cycle A delivers into cycle B, which then delivers into final organocatalytic cycle C (Fig. 48b).<sup>146</sup> The absence of any of the constituents of the cage leads the reaction to follow nonselective pathways, where the high-energy endoperoxide intermediate can undergo ring opening, generating multiple products, which did not feed into cycle C to give product 35. In the absence of cage components, the reaction mixture leads to the formation of hydroxybutenolide along with other oxidation products.

### 5.3. Trigonal prismatic MSCC (cage IV)

Mukherjee and co-workers designed a trigonal prismatic  $\text{Pd}_6\text{L}_3$  SCC from six Pd(II) and three tetraphenylethylene (TPE) ligands by a self-assembly process.<sup>148</sup> They explored the synthesis of xanthenes *via* a dehydration reaction within a cationic cage in an aqueous medium (Fig. 49).<sup>21</sup> Such xanthene synthesis reactions generally require high temperatures and anhydrous conditions and proceed *via* tetraketone intermediates. The cage can efficiently catalyze the synthesis of tetraketones and corresponding xanthenes within its hydrophobic cavity in water. They could isolate both cyclized xanthenes as well as non-cyclized tetraketones by mere variation of the reaction temperature.

### 5.4. $\text{M}_8\text{L}_{12}$ cubic MSCC (cage VII)

Ward and co-workers synthesized water-soluble cubic octanuclear coordination cages  $\text{M}_8\text{L}_{12}$  *via* self-assembly of  $\text{L}^{m-\text{Ph}}$  ((1,3-bis-3-methylene-2-phenyl)pyrazolylbenzene) or  $\text{L}^{m-\text{Py}}$  ((1,3-bis-3-methylene-2-pyridyl)pyrazolylbenzene) with cobalt ( $\text{VII}_{\text{Co}}$ ) (Fig. 50a) or zinc ( $\text{VII}_{\text{Zn}}$ ) in a 3:2 ratio.<sup>149–151</sup> In the cubic cages the vertices are occupied by metal ions, while bridging ligands occupy the edges. The central cavity contained the

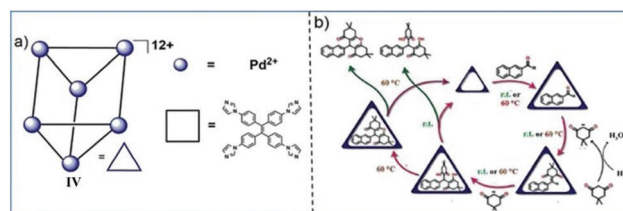
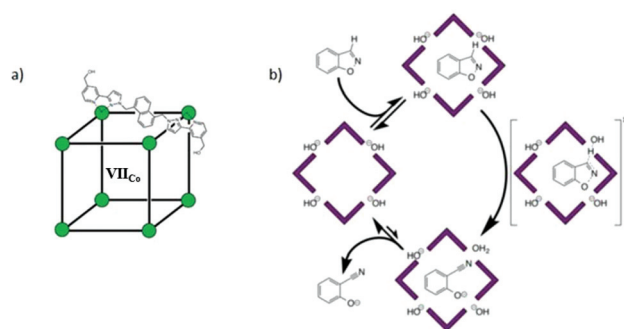


Fig. 49 Cage IV catalyzed synthesis of xanthenes *via* hydrolysis. Reproduced with permission from ref. 21. Copyright 2019, Royal Society of Chemistry.



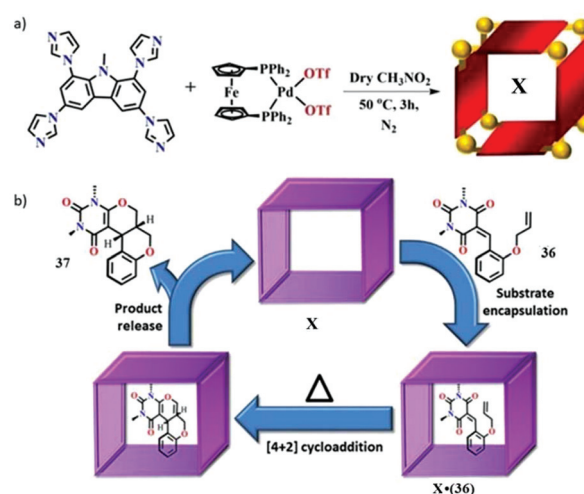
**Fig. 50** (a) Structure of host cage VII<sub>Co</sub> ([Co<sub>8</sub>L<sub>12</sub>](BF<sub>4</sub>)<sub>16</sub>). (b) Catalytic cycle, depending on hydroxide ions pairing to the surface of the cationic cage. Reproduced with permission from ref. 151. Copyright 2018, American Chemical Society.

counter-ions (ClO<sub>4</sub><sup>-</sup> in [Zn<sub>8</sub>(Lm-Py)<sub>12</sub>](ClO<sub>4</sub>)<sub>16</sub> or two BF<sub>4</sub><sup>-</sup> in [Zn<sub>8</sub>(Lm-Ph)<sub>12</sub>](BF<sub>4</sub>)<sub>16</sub>) of metal salts.

The water-soluble [Co<sub>8</sub>L<sub>12</sub>]<sup>16+</sup> cubic coordination cage VII<sub>Co</sub> was explored for the Kemp elimination reaction of benzisoxazole to produce 2-cyanophenolate.<sup>150,151</sup> Benzisoxazole was identified as a possible guest for the cavity from a computational screening experiment. On treatment of cage single crystals with neat liquid benzisoxazole, the guests were found to be taken up into the empty cage cavity. The two key features of the cage catalysis are (a) a very high rate enhancement ( $k_{\text{cat}}/k_{\text{uncat}} = 2 \times 10^5$  at pD 8.5) because of the accumulation of partially desolvated OH<sup>-</sup> ions around the trapped guest due to ion pairing with the positively charged cage, making it one of the best supramolecular catalysts; and (b) the catalysis is based on two supramolecular interactions that bring the bimolecular reaction substrates together: (1) hydrophobic interaction of benzisoxazole in the confined cavity and (2) polar interaction of OH<sup>-</sup> ions with the cage surface. In the catalytic system the product is easily released from the cage due to the formation of an anionic product yielding good catalytic turnover. In the Kemp elimination reaction benzisoxazole transforms into 2-cyano-phenolate through a ring-opening E2 elimination reaction with a hydroxide (base) (Fig. 50b).<sup>151</sup> According to the proposed mechanism, ion-pairing effects lead to an accumulation of partially desolvated OH<sup>-</sup> ions around the positively charged cage, which increases their reactivity. To confirm the cavity effect on the reaction, an excess of a cyclodecanone (a strong affinity competing guest) was added to the reaction mixture. In the presence of the inhibitor, the rate of reaction dropped to that of the uncatalyzed reaction due to prevention of substrate binding inside the cavity of the cage. The catalytic effect of Co<sup>2+</sup> ions was also studied by using 1 mM Co<sup>2+</sup> ions in the absence of a cage, and there was no effect on the rate of reaction, confirming there no catalytic effect from the metal ions themselves.

### 5.5. Tetragonal MSCC (cage X)

Mukherjee and co-workers reported the synthesis of a 'symmetrized' tetrafacial tube-shaped molecular architecture X with tetragonal geometry by the self-assembly of a tetraimidazole



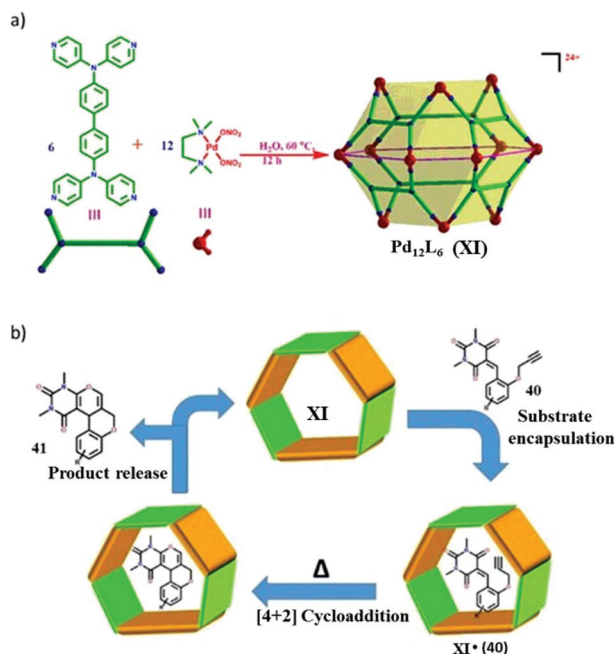
**Fig. 51** (a) Synthesis of the 'symmetrized' molecular tube-shaped cage X. (b) Plausible mechanism of intramolecular hetero Diels–Alder reaction within cage X. Reproduced with permission from ref. 152. Copyright 2017, John Wiley & Sons, Ltd.

donor ligand and a Pd(II) acceptor unit, [*cis*-(dppf)Pd(OTf)<sub>2</sub>] (dppf = diphenylphosphino ferrocene, OTf = CF<sub>3</sub>SO<sub>3</sub><sup>-</sup>) (Fig. 51a).<sup>152</sup> The tube-shaped tetrafacial architecture with four aromatic walls and a large cavity was used as a molecular vessel for catalyzing an intramolecular hetero [4 + 2] cycloaddition reaction and domino-type reactions. The cavity-confined intermolecular [4 + 2] cycloaddition reactions are generally stoichiometric and the large-sized products formed are trapped within the cage cavity if the cage aperture is smaller than the product size. But, the large windows in X easily release the products, making them a suitable choice for cycloaddition reactions in a catalytic fashion.

The intramolecular hetero Diels–Alder reaction (IMHDA) of *O*-allylatedbenzylidenebarbituric acid derivatives (36) was catalyzed using the confined space of cage X. The corresponding tetra-/penta-cyclouracil derivatives were obtained with good yield as products (37) and displayed both regio- and stereo-selectivity under mild reaction conditions in the presence of cage X (Fig. 51b).<sup>152</sup> The restricted conformational flexibility of the substrates within the confined cavity increased the selectivity of the product. According to literature reports, the synthesis of similar chromenopyranpyrimidinedione derivatives needs reflux at a high temperature or a solid-state melt reaction and yields mostly isomeric mixtures, which makes this protocol more potent.<sup>153</sup> Molecular tube X was also found to be an efficient catalyst for the domino synthesis of tetracyclouracil (39) directly from *O*-allylated salicylaldehyde (38).<sup>152</sup> Whereas, no detectable quantity of products was formed in the absence of a cage, which demonstrates the catalytic value of X in a domino Knoevenagel hetero Diels–Alder reaction.

### 5.6. Triangular orthobicupolar MSCC (cage XI)

Recently Mukherjee and co-workers synthesized another water-soluble M<sub>12</sub>L<sub>6</sub> coordination cage XI from a symmetrical tetra-



**Fig. 52** (a) Synthesis of orthobicupolar Pd<sub>12</sub> cage XI. (b) Proposed pathways for the intramolecular hetero Diels–Alder reaction of **40** within cage XI. Reproduced with permission from ref. 154. Copyright 2018, John Wiley & Sons, Ltd.

pyridyl donor ligand and an acceptor unit *cis*-(tmeda)Pd(NO<sub>3</sub>)<sub>2</sub> [tmeda = *N,N,N',N'*-tetramethylethane-1,2-diamine] by the process of self-assembly (Fig. 52a).<sup>154</sup> This is an unusual example of a triangular orthobicupolar-like geometry. The barrel-like cage was used for the intramolecular cycloaddition reaction of *O*-propargylated benzylidenebarbituric acids in a concerted manner. Through a hetero Diels–Alder reaction fused poly-heterocyclic compounds can be synthesized efficiently by the concerted formation of two or more rings. The low reactivity of alkynes compared to alkenes makes them less efficient dienophiles. The [4 + 2] cycloaddition of unactivated alkynes requires high temperatures and high catalyst loading with a still longer reaction time, resulting in poor or moderate product yields. Coordination cage XI was used as a catalyst for the hetero Diels–Alder reaction of reactive alkynes as dienophiles. Heating of naphthylidenebarbituric acid derivative (**40**) in cage XI in aqueous solution for 24 h resulted in only 22% pentacyclic chromenopyranpyrimidine dione framework (**41**) and 78% hydrolysis product hydroxy naphthaldehyde. On heating **40** with 5 mol% cage XI at 70–80 °C for 24 h in nitromethane, a pentacyclic framework (**41**) was formed in 98% yield as the Diels–Alder product, while the same reaction in the absence of cage XI resulted in 25% product, indicating the supremacy of the coordination cage for the intramolecular Diels–Alder reaction. A range of propargyl-containing benzylidenebarbituric acid derivatives were used as substrates, which contained a heterodiene (1-oxa-1,3-butadiene) and a dienophile (a terminal alkyne moiety) to show the efficiency of cage XI as a catalyst. The large windows in XI

make product release easy, enabling it to be used as a catalyst (Fig. 52b).<sup>154</sup>

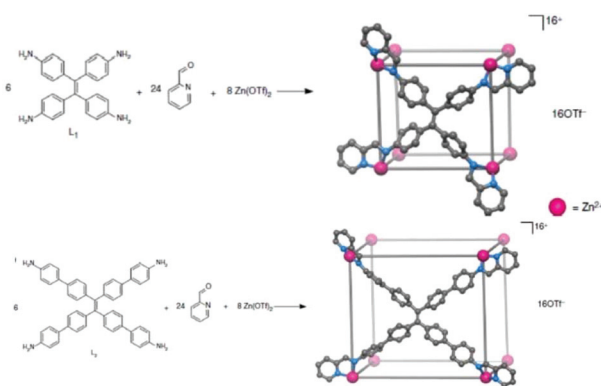
### 5.7. Hexahedral MSCC (cage XII)

Recently, Cui and co-workers demonstrated the synthesis and application of hexahedral cages (XII) Zn<sub>8</sub>L<sub>6</sub>, [(Zn<sub>8</sub>L<sub>6</sub>)(OTf)<sub>16</sub>] by the self-assembly of six bulky and electron-rich aromatic tetraphenylethylene-derived tetrakis-bidentate linkers with eight zinc(II)tris(pyridylimine) centers to induce cascade reactions (Fig. 53).<sup>155</sup>

The flexible and hydrophobic cage cavities encapsulated aromatic substrates anthranilamides (**42**) and aromatic aldehydes (**43**) *via* hydrophobic and CH⋯π interactions and catalytically accelerated a cascade of condensation and cyclization steps to form bent-shaped 2,3-dihydroquinazolinones (**44**) (Fig. 54).<sup>155</sup> The reaction was highly efficient with a 38 000-fold maximum rate enhancement. The host–guest geometric discrepancy arising from the unfavorable nonplanar configurations of the products promoted expulsion of the product from the cage cavity, leading to multiple catalytic turnovers. Through molecular simulations and control experiments, they suggested that the cavity-confined microenvironment triggered the inherent power of the binding affinity of two substrates *via* hydrophobic and π⋯π interactions.<sup>155</sup>

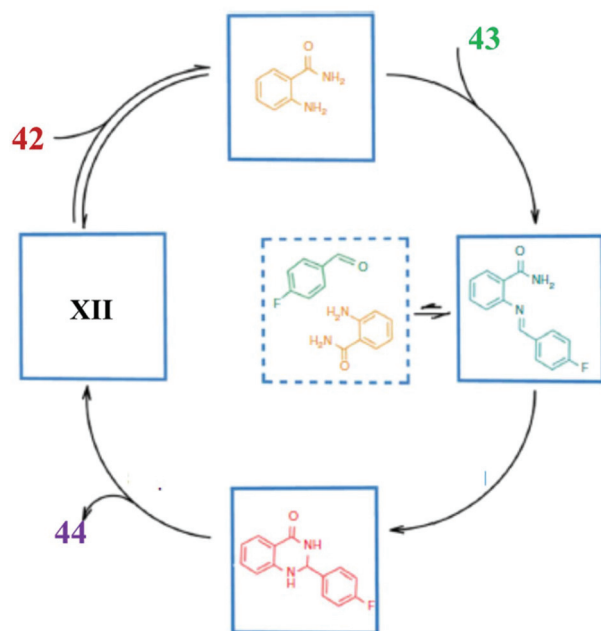
### 5.8. Extended-octahedral MSCC (cage XIII)

Sun and co-workers self-assembled a water-soluble molecular flask Pd<sub>4</sub>L<sub>2</sub> cage XIII from two pyridinium-functionalized bis-bidentate ligands and four *cis*-blocked palladium corners units (Fig. 55a).<sup>156</sup> Metal cage XIII (Pd<sub>4</sub>L<sub>2</sub>) is similar to cage I (Pd<sub>6</sub>L<sub>4</sub>) reported by the Fujita group.<sup>34</sup> But this cage has a more expanded internal cavity than cage I due to the insertion of two *p*-xylene spacers. Moreover, with the presence of strongly electron-deficient pyridinium rings on the TPT (2,4,6-tri-4-pyridyl-1,3,5-triazine) panels induced by two *p*-xylene spacers, cage XIII can bind several guests compared to cage I. The cage cavity of XIII was recently employed to obtain functionalized coumarins (**47**) from a range of salicylaldehydes (**45**)

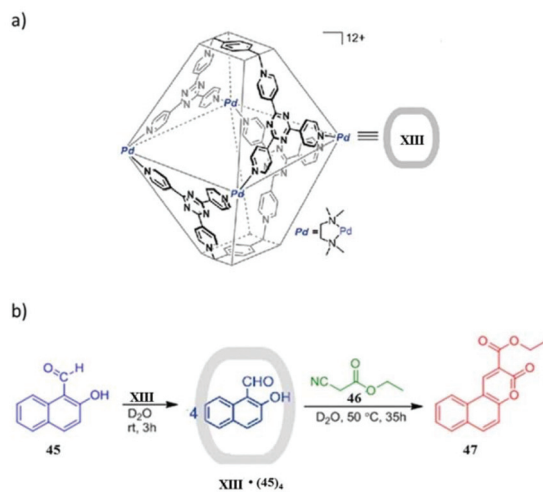


**Fig. 53** Self-assembly of cage XII' (above) and XII'' (below) from sub-components. Reproduced with permission from ref. 155. Copyright 2018, Springer Nature.





**Fig. 54** Condensation of anthranilamide with aldehyde and subsequent cyclization within the cage XII. Reproduced with permission from ref. 155. Copyright 2018, Springer Nature.



**Fig. 55** (a) Structure of cage XIII with cartoon representation. (b) Formation of inclusion complex XIII·(45)<sub>4</sub> and Knoevenagel condensation/intramolecular cyclization within cage XIII. Reproduced with permission from ref. 156. Copyright 2019, Science China Press and Springer-Verlag GmbH Germany.

and cyanoacetates/malononitrile (**46**) via Knoevenagel condensation (Fig. 55b).<sup>156</sup> In the absence of cage XIII, guest **45** with **46** gave rise to **47** in a much lower yield (37.5%). Other control experiments employing the cage components and the same reaction conditions did not progress the reaction. Reactions of a range of salicylaldehyde derivatives with cyanoacetates/malononitrile were carried out with the cage to elucidate the applicability of the method, and all the cases resulted in an

increased product yield within the cage compared to background experiments. Michaelis–Menten kinetic studies revealed that the reaction rate had been increased over 23-fold. Also, the fine-tuning of the cage cavity in terms of size and symmetry are critical for the supramolecular transformation because the catalysis of the same reaction by a smaller Pd<sub>6</sub>L<sub>4</sub> cage gave a mixture of products in very low yield.<sup>156</sup>

### 5.9. Cuboctahedral MSCC (cage XIV)

The cuboctahedral coordination cage XIV synthesized by Nitschke and co-workers directed the self-assembly of otherwise unstable complexes within its cavity. The 24+ charged cage XIV simultaneously encapsulated three distinct sub-components and self-assembled them into complexes that cannot be prepared outside within the cage cavity. The inner cavity provided unique chemical environments for self-assembly.<sup>157</sup>

## 6. Conclusions and outlook

The modular design and combinatorial divergence of supramolecular coordination complexes have provided a richly diverse array of architectures, ranging from metallacycles to metallacages. The exploration of cavity-based applications of self-assembled coordination cages (SCCs) is fruitful and has the potential for remarkable growth in the fields not only of chemistry but also of medical and material sciences. The unique behaviour of hosts and guests encapsulated within their cavity have found application in various fields of chemistry and biochemistry. The nanocages discussed above are no longer obscure and of limited utility and represent next-generation nanoscale laboratory equipment. The vast structural and synthetic studies of supramolecular coordination cages have made possible the commercial availability of some of them. The coordination cages discussed here represent the beginning of a new field for the design and application of cost-efficient multifunctional MSCCs. The thriving interest in biological system inspired multifunctionalities and hierarchical structures have laid the foundations for a branch of synthetic chemistry with applications in all the fields of science (chemistry, biology, physics).<sup>158</sup> The ease of synthesis of these cage complexes has already provided us with a rich library of architectures varying in shape, size and geometry. The functionalization of these architectures to afford multifunctional assemblies is the challenge ahead. We await that along with chemists; physicists, material scientists and biologists are also discovering their application as nanocontainers, enzyme mimetics, chemosensors and delivery systems.

We hope this review will inspire new researchers to explore the microenvironment of supramolecular cages and their cavity-based applications. One of the main challenges in the field of designing metal-mediated supramolecular cages is the fine-tuning of cage cavities in terms of their dimensions as well as functional groups, according to their application. The incorporation of various functional groups in the interior of a cavity, like hydrogen-bond donors or acceptors, or chromophore

ric groups to encapsulate specific guests, could be the next step towards the rational design of MSCCs. Such functionalized architectures would be easy to characterize and track using spectroscopic and analytical techniques. Also, functionalization would also make it possible to study the microenvironment and chemical effects within cavities, which have not yet been studied but have been proposed using computational methods like DFT (Density Functional Theory). A detailed study of the internal cavities of these architectures would lead to an exploration of their advanced applications. Eventually, better structural characterization will be most important. Various spectroscopic techniques like NMR, fluorescence, and UV-Vis are certainly informative for discussing the structural impacts of molecular architectures; however, X-ray crystallography delivers the clearest structural evidence of molecular interactions. A detailed study of the internal cavity environment and chemical transformations within cages by using direct and powerful techniques, such as *in situ* X-ray diffraction or single-crystal transformation, could be the future for understanding a new phase within MSCCs.

Developing more systematic and precise characterization techniques to investigate microenvironment inside the cavity<sup>158</sup> and interaction of encapsulated guests within the cage cavity have great potential for growth in the future. This would help in the design and synthesis of multifunctional cage complexes. The coming era can be imagined with smart, multiple guest-specific sites engineered within a single MSCC host, serving as multifunctional systems. Overall, the design and synthesis of more sophisticated coordination cages along with powerful techniques will ultimately lead to advanced sensing systems, energy storage systems, drug-carrier systems and multifunctional reaction vessels.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

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