

## RESEARCH ARTICLE

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of human transcription control†Guillaume Devailly \*<sup>a</sup> and Anagha Joshi \*<sup>b</sup>Cite this: *Mol. Omics*, 2021,  
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Advances in sequencing technologies have enabled exploration of epigenetic and transcriptional profiles at a genome-wide level. The epigenetic and transcriptional landscapes are now available in hundreds of mammalian cell and tissue contexts. Many studies have performed multi-omics analyses using these datasets to enhance our understanding of relationships between epigenetic modifications and transcription regulation. Nevertheless, most studies so far have focused on the promoters/enhancers and transcription start sites, and other features of transcription control including exons, introns and transcription termination remain underexplored. We investigated the interplay between epigenetic modifications and diverse transcription features using the data generated by the Roadmap Epigenomics project. A comprehensive analysis of histone modifications, DNA methylation, and RNA-seq data of thirty-three human cell lines and tissue types allowed us to confirm the generality of previously described relationships, as well as to generate new hypotheses about the interplay between epigenetic modifications and transcription features. Importantly, our analysis included previously under-explored features of transcription control, namely, transcription termination sites, exon–intron boundaries, and the exon inclusion ratio. We have made the analyses freely available to the scientific community at [joshiapps.cbu.uib.no/perepigenomics\\_app/](http://joshiapps.cbu.uib.no/perepigenomics_app/) for easy exploration, validation and hypothesis generation.

## Background

Epigenetic modifications of the DNA sequence and DNA-associated proteins along with transcriptional machinery are thought to be the main driver shaping mammalian genomes during development and disease.<sup>1</sup> Epigenetic modifications include DNA methylation, histone variants and histone post-translational modifications (such as acetylations and methylations), and facilitate tissue specific expression.<sup>2</sup> The advent and maturation of sequencing technologies have facilitated large scale generation of epigenomic data across diverse organisms in multiple cell and tissue types. Accordingly, consortia were established to generate large epigenomic datasets, including ENCODE,<sup>3</sup> Roadmap Epigenomics,<sup>2</sup> and Blueprint epigenome<sup>4</sup> for humans, modENCODE<sup>5</sup> for model organisms, and FAANG<sup>6,7</sup> for farm animal species. The International Human Epigenome Consortium (IHEC) was set up to gather reference maps of human epigenomes.<sup>8</sup> The data from these efforts have generated new

findings through integrated analyses. Such analyses are facilitated by consortia data portals<sup>8,9</sup> as well as portals gathering data from multiple sources,<sup>10–14</sup> which allow easy browsing as well as downloading of both sequences and processed data. In addition, many data portals include (or link to) genome browsers to allow online solutions for data exploration.<sup>15</sup>

Several online tools have been developed to explore publicly available epigenomic data<sup>16–20</sup> to gain insights into mammalian epigenetic control. These tools used diverse computational frameworks ranging from data integration and visualisation (*e.g.* ChIP-Atlas allows visualisation of multiple histone modifications and transcription factor binding sites at any given genomic locus by using public ChIP-seq and DNase-seq data<sup>21</sup>) to semi-automated genome annotation (*e.g.* Segway performed genomic segmentation of human chromatin by integrating histone modifications, transcription-factor binding and open chromatin<sup>22</sup>). Though identification of functional elements from epigenetic data<sup>2,22</sup> has been highly effective in annotating the enhancer and promoter regions of a genome, they failed to capture other transcription regulation features such as exon–intron boundaries and transcription termination features. For example, the Roadmap Epigenomics project mapped about 30 epigenetic modifications across human cell lines and tissues to gather a representative set of “complete” epigenomes.<sup>2</sup> Using these data, Kundaje *et al.* built a hidden Markov model based

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classifier to define 15 distinct chromatin states, including active or inactive promoters, active or inactive enhancers, and condensed and quiescent states. Notably, this unsupervised approach did not lead to the definition of “exon” states, and even less to “exon-included” and “exon-excluded” states. This might be because epigenetic modifications enriched at enhancers and promoters have strong signals (or peaks), while the ones abundant at gene bodies (DNA methylation, H3K36me3) are wide and diffuse. The promoter and enhancer features therefore dominate in epigenetic data analyses, hindering recovery of associations between epigenetic modifications and other transcription control events such as splicing (constitutive or alternative). Moreover, some transcription features might not have a strong correlation with any chromatin modification studied. For example, Curado *et al.*<sup>23</sup> estimated that only 4% of the differentially included exons were associated with changes in H3K9ac, H3K27ac, and/or H3K4me3 across 5 different cell lines. A gap therefore remains in genome-wide computational analyses towards getting a comprehensive overview of the associations between epigenetic modifications and transcription control features.

On the other hand, individual targeted studies have provided evidence for the interplay between epigenetics and other transcriptional features. DNA methylation at gene bodies has been positively correlated with the gene expression level.<sup>24,25</sup> Maunakea *et al.*<sup>26</sup> observed that DNA methylation was positively correlated with splicing at alternatively spliced exons, and proposed a mechanism involving DNA methylation reader MECP2. Lev Maor *et al.*<sup>27</sup> observed that DNA methylation at exons can be either positively or negatively correlated with splicing depending on the exons, through a mechanism involving CTCF and MECP2. A causal role of DNA methylation in alternative splicing was established by drug-induced demethylation,<sup>28</sup> as well as by targeted DNA methylations and de-methylations by Shayeitch *et al.*<sup>29</sup> Xu *et al.*<sup>30,31</sup> identified H3K36me3 epigenetic modification associated with alternative splicing. There is some evidence for epigenetic control at transcription termination as well; the loss of gene body DNA methylation was found to favour the use of a proximal alternative poly-adenylation site by unmasking CTCF binding sites.<sup>32</sup>

In summary, many large data integration approaches only allow extraction of epigenetic signatures for dominant features of transcription control (*e.g.* enhancer, promoter, transcription start site (TSS)), missing many other transcription features (*e.g.* exon, intron, transcription termination site (TTS)). We therefore performed a systematic analysis of associations between epigenetic modifications and diverse transcription features. Using the Roadmap Epigenomics project data for 30 epigenetic modifications in 33 cell and tissue contexts, we explored links between epigenetic modifications and transcription control. We confirmed previously known associations as well as generated novel observations. We have provided our analyses along with thousands of visualisations freely through a companion web application available at [joshiapps.cbu.uib.no/perepigenomics\\_s\\_app/](http://joshiapps.cbu.uib.no/perepigenomics_s_app/), allowing researchers to browse the results and generate working hypotheses.

## Results

### Exploration of epigenetic signatures of transcription control using the Roadmap Epigenomics project data

To explore the epigenetic signatures at transcription control sites, we first extracted three gene features: transcription start site (TSS), transcription termination site (TTS) and middle exons from GENCODE annotation version 29<sup>33</sup> (Table 1). We classified genes in two different ways. Firstly, we partitioned all genes based on the gene length into “long” (> 3 kb), “short” (≤ 1 kb), and “intermediate” length genes. This classification allows an investigation of epigenetic modifications at the TTSs while excluding any spurious signals from the TSSs, observable in short but not in long genes. We also classified genes based on simplified GENCODE gene types, namely: protein coding genes, RNA genes, pseudogenes and other genes (see the Methods section).

We obtained RNA sequencing data for 33 cell or tissue types from the Roadmap data portal.<sup>2</sup> Gene and exon normalised expression levels (transcripts per million, TPM) were calculated by pseudo-mapping of the reads to the human transcriptome using Salmon<sup>34</sup> in each sample. Moreover, for every middle exon in each cell type, the exon inclusion ratio ( $\psi$ ) was calculated (see Methods), ranging from 0 to 1 (0 for exons not included, and 1 for exons included in all the transcripts).

The genome-wide histone modification and DNaseI profiles for the cell types corresponding to the transcriptome data were obtained from the Roadmap Epigenomics consortium. For the Whole Genome Bisulfite Sequencing (WGBS) data, we computed three new tracks (Fig. S1, ESI<sup>†</sup>): CpG nucleotide density (consistent across cell and tissue types), CpG methylation ratio (average ratio of methylation at CpG sites in the window), and CpG methylation density (number of methylated CpG sites in each window), for each sample, using the WGBS CpG coverage track as a control. The mCpG density is the number of methylated sites in a given genomic region, calculated as a product of the CpG density and the average mCpG ratio.

For each pair of transcription feature and epigenetic modification, the associations were explored at two levels: (1) cell or tissue level – for all genes (or exons) in each cell or tissue type and (2) gene level – for each gene (or exon) across all cell or tissue types. Cell or tissue level analysis allows within-assay comparison of highly and weakly expressed genes (or exons), but is sequence and genomic context (unique for each gene or exon) dependent. On the other hand, gene level analysis fixes the sequence and genomic contexts, but is more sensitive to technical variability across experiments.

The main observations from these analyses are summarised in Table 1 and elaborated in the sections below. We have developed a companion web application to allow exploration of analyses at [joshiapps.cbu.uib.no/perepigenomics\\_app/](http://joshiapps.cbu.uib.no/perepigenomics_app/). Unless explicitly specified, the associations described in this manuscript were common to all cell types and tissues. The main figures focus mostly on the gastric tissue but readers



**Table 1** Summary of the associations between epigenetic modifications and transcription features in the Roadmap Epigenomics project data. Tick (or cross): whether (or not) an epigenetic assay shows an increase or a decrease in signal at the feature. Pluses: positive associations. Minuses: negative associations. Dots: no correlation. Empty cells: not applicable or data not available in enough cell types

Mark	TSS			TTS			Exons	Exon expression			Exon inclusion ratio		
	Centered at TSS	Within cell types	Across cell types	Centered at TTS	Within cell types	Across cell types	Centered at exon	Within cell types	Across cell types	Within cell types	Across cell types		
DNA methylation	CpG density	✓	+++		✓	+	✓	+		–			
	mCpG ratio	✓	---		✗	.	✗	+	–	–		+	
	mCpG density	✓	---		✓	++	✓	+		.			
Chromatin accessibility	DNaseI	✓	+++	++	✓	.	✓		++	–		–	
Histone variant	H2A.Z variant	✓	++		✗	.	✗	.	.	–		.	
Histone methylations	H3K4me1	✓	+	+	✗	+	++	✓	+	++	–	–	
	H3K4me2	✓	+++	+	✗		✓	+	+	–		.	
	H3K4me3	✓	+++	++	✗		+	✗	++	++		.	
	H3K9me3	✗	.	.	✗	–	+	✓	–	+		.	
	H3K23me2	✓	++		✗			✗	.		.		
	H3K27me3	✓	--	.	✓			✓		+		–	
	H3K36me3	✗	+++	++	✓	+++	+++	✓	+++	+++		.	
	H3K79me1	✓	++	.	✗	++	++	✓	++	++		.	
	H3K79me2	✓	++	.	✗	+	+	✓	++	+		.	
	H4K20me1	✓	+		✗	+		✓	+			.	
	Histone acetylations	H2AK5ac	✓	+	+	✗		✗		+			.
		H2BK5ac	✓	++	++	✗		+	✗	+			.
		H2BK12ac	✓	+	+	✗		+	✓	+			.
		H2BK15ac	✓	+	.	✗			✓	–			.
H2BK2.ac		✗	.		✗			✗				.	
H2BK12.ac		✓	+	+	✗		+	✗		+		.	
H3K4ac		✓	+	++	✗		+	✗	+	–		.	
H3K9ac		✓	+++	++	✗		+	✗	+	–		–	
H3K14ac		✓	+	+	✗			✗				.	
H3K18ac		✓	+	++	✗		+	✗		+	–	.	
H3K23ac		✓	+	+	✗			✗	+			.	
H3K27ac		✓	+++	++	✗	++	++	✗	++	++		.	
H3K56ac		✓	+		✗			✗	.		.	.	
H4K5ac		✓	++		✗			✗				.	
H4K8ac	✓	+	+	✗	+	+	✗	+	+	–	–		
H4K12ac	✓	+		✗	+		✗	+		–			
H4K91ac	✓	+	+	✗		+	✗			–			

are encouraged to explore other cell types and tissues using the companion web application.

### Transcription activity and epigenetic modifications near transcription start sites

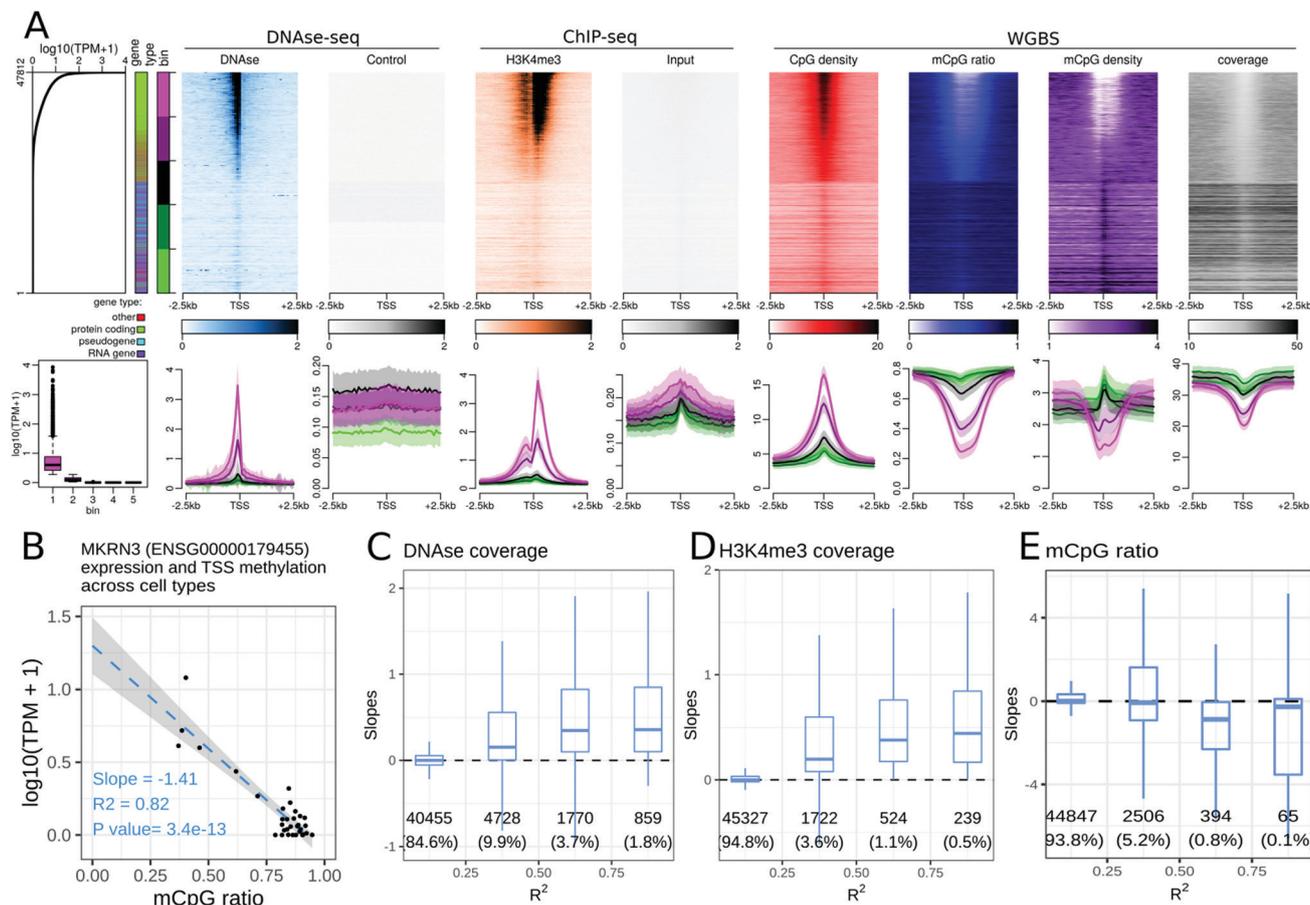
Many epigenetic modifications are enriched around the TSS of expressed genes, a region containing gene promoters. To investigate the link between the transcription level and epigenetic modifications at the TSS, we generated stack profiles of each epigenetic modification around the TSS. When epigenetic modifications were sorted according to gene expression (Fig. 1A), most histone modifications studied were more abundant at highly expressed genes than at weakly expressed ones. Specifically, only one histone acetylation (H2BK20ac, Fig. S2, ESI†) and three histone methylations (H3K9me3, H3K27me3, and H3K36me3, Fig. S3–S5, ESI†) did not show a positive correlation with the gene expression level. We further noted that only H3K27me3 was more abundant at the TSSs of weakly or non-expressed protein coding genes than at the TSSs of highly expressed protein coding genes. H3K27me3 was not present at the TSSs of non-expressed, non-protein coding genes in any of the cell or tissue types, highlighting the fact that the associations between an

epigenetic modification and the transcriptional level may be gene-type specific (see the companion web application).

We explored the trends in peak shapes and noted that a ‘double hill’ (or an ‘M’ shape) with a gap at the exact location of the TSS was the most common shape. The ‘gap’ of the ChIP-seq signal between the ‘hills’ was located exactly at a sharp peak of the DNaseI signal around the TSS (Fig. 1A), indicating very high DNA accessibility at the TSS. This suggests the presence of a nucleosome-free region at the promoter terminating at the TSS, with the first nucleosome positioned near the +1 of transcription. This double hill pattern was either symmetric or asymmetric depending on the mark and cell or tissue type (*e.g.* a stronger peak on the downstream hill than the upstream hill in H3K4me3 in the gastric tissue, Fig. 1A). The H3K79me2 profile was particularly strongly asymmetric (Fig. S6, ESI†), with a strong peak at around  $\pm 500$  bp downstream of the TSS across 2 of the 3 cell lines for which the mark was studied in the Roadmap Epigenomics dataset.

The CpG density around the TSS was positively correlated with gene expression in all cell and tissue types in the dataset. The CpG methylation ratio and CpG methylation density near the gene TSS were negatively correlated with gene expression levels. While the CpG methylation ratio showed a flat profile





**Fig. 1** Relationships between epigenetic modifications near the Transcription Start Sites (TSSs) and gene expression levels. (A) Association between epigenetic modifications near the TSSs and gene expression levels in the gastric tissue. Upper part, from left to right: gene expression levels in all 47 812 autosomal genes annotated using GENCODE. The first side bar indicates the gene type (green: protein coding genes, blue: pseudogenes, purple: RNA genes, red: other types of genes), and the second side bar indicates the genes sorted according to their expression level (lower panels of A, 5 bins in total, purple: highly expressed genes, green: weakly expressed genes). Stacked profiles of (i) DNase-seq and the respective control and (ii) H3K4me3 ChIP-seq and the respective input control, and (iii) the CpG density, mCpG ratio (mCpG/CpG), mCpG density, and WGBS coverage near the TSS, sorted according to the corresponding gene expression level. Lower part, from left to right: Boxplot of gene expression levels in each of the 5 expression bins defined in the upper part. Average profiles of DNase-seq and the respective control, H3K4me3 ChIP-seq and the respective input control, and the CpG density, mCpG ratio, mCpG density and WGBS coverage,  $\pm$  SEM (Standard Error of the Mean) for each bin of promoters. (B–E) Association between epigenetic modifications near the TSSs and gene expression levels across cell types. (B) Regression of the expression level (in log<sub>10</sub>(TPM + 1)) of the MKRN3 gene and the mean DNA methylation ratio at CpG sites 500 bp around the TSS of the MKRN3 gene. Each dot corresponds to a cell type. The slope is negative and the correlation coefficient ( $R^2$ ) is greater than 0.75 for MKRN3. Similar regressions were generated for each gene and epigenetic modification pair. (C–E) Distributions of the slopes from the gene regressions (as in (B)) according to the  $R^2$  correlation coefficients for the DNase-seq signals (C), H3K4me3 ChIP-seq signals (D) and the mCpG ratios (E) near the TSSs of the corresponding genes. The number of genes and percentage of genes in each category are displayed below each box.

near the TSS of non-expressed genes, the CpG methylation density transitioned from a gap at highly expressed genes to a peak at non-expressed genes at the TSS (Fig. 1A).

We further explored these trends for individual gene types. As protein-coding genes formed the majority of all genes, the above observations for all gene types were preserved when the analyses were restricted to protein coding genes only. Separating genes according to gene type highlighted differences in epigenetic profiles at lincRNAs and unprocessed pseudogenes compared to protein coding genes. Processed pseudogenes often showed a different relationship between their expression level and the epigenetic status of their promoter. For example, expressed processed pseudogenes showed neither a DNaseI

accessibility peak at the TSS, nor an enrichment of active epigenetic modifications at the TSS. While the CpG density near the TSS was correlated with the processed pseudogene expression level, their promoters did not show any decrease in DNA methylation ratio, resulting in a positive relation between the DNA methylation density and processed pseudogene expression (see the companion web application).

Expressed genes of the ‘antisense’ gene type often mirrored the epigenetic signature of the ‘protein coding’ gene type. For example, the H3K79me2 peak was pronounced 500 bp before the TSS of ‘antisense’ genes, whereas it peaked 500 bp after the TSS of protein coding genes (Fig. S7, ES1†). However, it



is likely that the observed epigenetic signal at antisense genes might be due to the corresponding 'sense' gene.

Altogether, the gene expression level was positively or negatively correlated with many epigenetic modifications at gene promoters when comparing expressed and non-expressed genes within a cell type. The associations between epigenetic modifications and gene expression in a cell or tissue type are promoter sequence and gene context dependent. For example, the CpG density at the TSS is a good predictor of both the gene expression level and the CpG methylation ratio and density (Fig. 1A). To study the association between epigenetic modifications and transcription across different cell and tissue types, we calculated linear regression between each epigenetic modification and gene expression level across cell or tissue types. Specifically, the epigenetic signals in the  $\pm 500$  bp window around the TSS and the gene expression level ( $\log_{10}(\text{TPM} + 1)$ ) for each gene (Fig. 1B) were linearly regressed to obtain a slope and a linear correlation coefficient ( $R^2$ ). For example, the linear regression between the *MKRN3* gene expression level and the average CpG methylation ratio near the TSS of the *MKRN3* gene resulted in a slope of  $-1.41$ , an  $R^2$  of  $0.82$ , and a  $p$ -value of  $3.4 \times 10^{-13}$ . As expected, some of these associations were highly tissue specific (Fig. S8, ESI<sup>†</sup>): for example *CLDN18* in the gastric tissue, *GCG* and *INS* in the pancreas, *MYOD1* in the skeletal muscle and *NPPB* in the heart showed a positive correlation between DNase, H3K27ac and H3K4me3 signals and gene expression levels, and a negative correlation between H3k27me3 and WGBS signals and gene expression levels. For each epigenetic modification, the distribution of slopes across all genes was plotted against their  $R^2$  (Fig. 1C–E and the companion web application). The epigenetic modifications with positive slopes across cell or tissue types were: H3K4me3 (Fig. 1D), H3K36me3, H2BK5ac, H3K4ac, H3K9ac, H3K18ac, H3K27ac (Fig. S8A–F, ESI<sup>†</sup>) and chromatin accessibility measured by DNaseI digestion assay (Fig. 1C). DNA methylation showed a negative slope for the  $R^2$  greater than  $0.5$  (Fig. 1E). For each epigenetic modification, only a minority of genes displayed high correlation values. This is in part due to the fact that most genes did not show high expression variability across the datasets. For each epigenetic modification, gene ontology enrichment analysis for the top 1000 genes with the highest  $R^2$  value was performed. Highly correlated genes were often enriched for the biological processes involved in development or muscle biology (Fig. S9, ESI<sup>†</sup>). These top 1000 correlated genes were often enriched for genes with a CpG island near the promoter (Fig. S10, ESI<sup>†</sup>), and in protein coding and lincRNA genes (Fig. S11, ESI<sup>†</sup>).

### Transcription activity and epigenetic modifications near transcription termination sites

We repeated the analyses described above at transcription termination sites (TTSS). We noted that highly expressed genes tend to be longer than non-expressed genes. In short genes, it is difficult to distinguish the effect of epigenetic modifications at the TSS from that at the TTS. We therefore defined three classes of genes: short ( $\leq 1$  kb), long ( $> 3$  kb), and intermediate length

genes, to mitigate this gene-length effect. The number of genes in each category for each GENECODE gene type is presented in Fig. S13 (ESI<sup>†</sup>).

While many epigenetic modifications showed a peak (or a gap) centred at the gene TSS, only two modifications were enriched at the gene TTS. First, H3K36me3 displayed a broad hill-shape profile, with a peak at the TTS (Fig. 2A). Levels of H3K36me3 at TTSs were positively correlated with gene expression levels for different genes within a cell type (Fig. 2A), and also for gene expression levels of the same gene across cell types (Fig. 2C). In some samples, the H3K36me3 profile was slightly asymmetric at the TTS, with more signal in the gene body than after the TTS (see the companion web application).

The DNA methylation density increased at the TTS (Fig. 2A). As the DNA methylation ratio was nearly constant, the increase of DNA methylation density was mostly due to the increase of CpG density at the TTS. The DNA methylation density at the TTS was positively correlated with the gene expression level when comparing different genes within a cell type. A weak negative correlation between DNA methylation and the gene expression level at the TTS was observed for a subset of genes when comparing the same gene across cell and tissue types (Fig. 2D). This is in agreement with recent findings.<sup>32</sup> The negative correlation between DNA methylation and gene expression was evident only in 'long' genes, and in protein coding genes (see the companion web application).

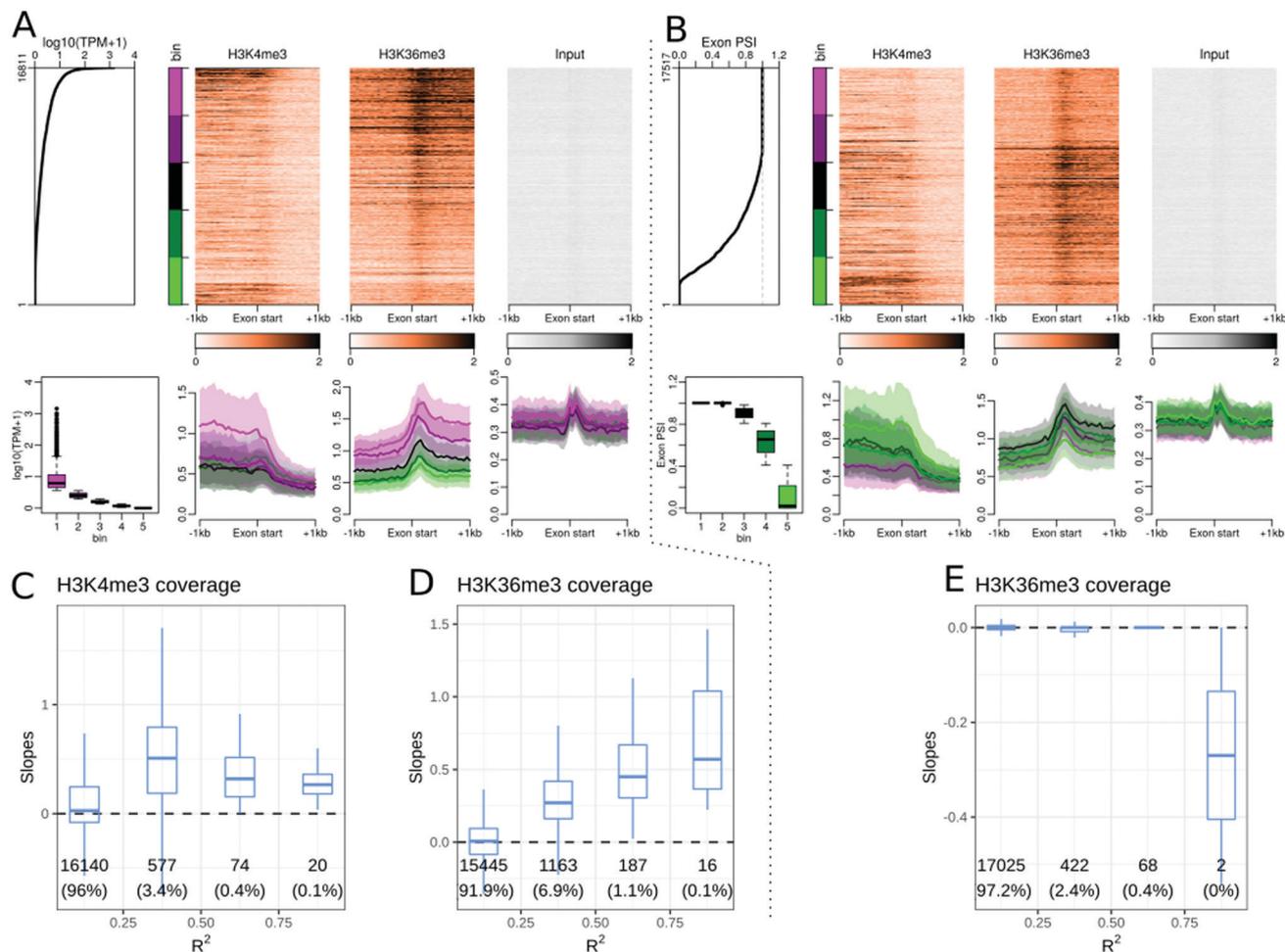
Though most epigenetic modifications did not show enrichment at TTSSs, four epigenetic modifications (DNaseI accessibility, H3K4me1, H3K79me1, H3K27ac, Fig. S10A–D, ESI<sup>†</sup>), were positively correlated with the expression level of a gene across cell and tissue types. These epigenetic modifications showed no enrichment at TTSSs, yet the change in expression level was associated with the change in epigenetic modification strength. These modifications could be reflecting broader chromatin organisational features such as topologically associating domains and A/B chromatin domains.<sup>35</sup>

### Exon transcription and epigenetic modifications at middle exons

Several studies have found a correlation between DNA methylation<sup>26,27,36</sup> or histone modifications<sup>23,30,31</sup> and exon and splicing events, in either single or a few cell and tissue contexts. We explored whether these observations hold true in the Roadmap Epigenomics dataset. We focused on the middle exons of protein coding genes and excluded the first and last exons. A total of 16 811 middle exons were expressed in at least one cell or tissue type in the Roadmap dataset. The expression level of an exon was defined as the sum of the TPM of the transcripts including that exon. Similar to TSS and TTS analysis, we performed epigenetic modification enrichment analysis at middle exons. H3K36me3 showed enrichment at middle exons, correlated with the exon expression level within a cell or tissue type (Fig. 3A). Changes in H3K36me3 at exons were also strongly associated with exon expression levels across cell and tissue types (Fig. 3D). Though some other epigenetic modifications showed a weak enrichment at exons, the same observation can be made for input samples used as negative







**Fig. 3** Relationships between epigenetic modifications near middle exon start sites and exon expression levels or exon inclusion ratios in the gastric tissue. (A) Association between epigenetic modifications near middle exon start sites and exon expression levels in the gastric tissue. Upper part, from left to right: middle exon expression levels in 16 811 middle exons annotated using GENCODE. The side bar indicates 5 bins used in the lower panels of A (purple: highly expressed exons, green: weakly expressed exons). Then: Stacked profiles of H3K4me3 ChIP-seq, H3K36me3, and input control, sorted according to the exon expression levels. Lower part, from left to right: boxplot of exon expression levels in each of the 5 bins defined in the upper part. Then, the average profiles of H3K4me3 ChIP-seq, H3K36me3, and the respective input control  $\pm$  SEM (Standard Error of the Mean) for each bin of exons. (B) Association between epigenetic modifications near middle exon start sites and exon inclusion ratios in the gastric tissue. Upper part, from left to right: middle exon inclusion ratios in 17 517 middle exons annotated using GENCODE. The side bar indicates 5 bins used in the lower panels of B (purple: included exons, green: excluded exons). Then: stacked profiles of H3K4me3 ChIP-seq and H3K36me3, and the respective input controls, sorted according to the corresponding exon inclusion ratio. Lower part, from left to right: boxplot of exon inclusion ratios in each of the 5 bins defined in the upper part. Then, average profiles of H3K4me3 ChIP-seq, H3K36me3, and the respective input control  $\pm$  SEM (standard error of the mean) for each bin of exons. (C and D) Distribution of the slopes from exon expression level regressions and epigenetic marks present at the exon start ( $\pm 100$  bp) according to the  $R^2$  correlation coefficients for H3K4me3 signals (C), and H3K36me3 (D) near the start of the corresponding middle exons. (E) Distribution of the slopes from exon inclusion ratio regressions and epigenetic modification at the exon start ( $\pm 100$  bp) grouped by the  $R^2$  correlation coefficients for H3K36me3 modification. The number of exons and percentage of exons in each category are displayed below each box.

application). The DNaseI accessibility further decreased at the exon start (Fig. S15, ESI<sup>+</sup>), from the already low surrounding accessibility, suggesting that the splicing acceptor site has even lower accessibility than the surrounding regions.

### Exon inclusion ratios and epigenetic modifications at middle exons

The exon expression level in a cell type consists of both constitutive and alternative splicing events. To study the association between epigenetic modifications and alternate splicing events, we calculated the exon inclusion ratio for each exon.

The exon inclusion ratio was calculated for all transcripts of a given gene (see Methods) in each cell or tissue type and sorted using the inclusion ratio ( $\psi$ ). Accordingly, we obtained  $\psi$  values for 17 517 exons, including 706 exons that were never included in the Roadmap datasets, but were part of genes that were expressed in this dataset. We checked whether epigenetic modifications were correlated with the exon inclusion ratio and noted that only a few modifications, namely, H3K27ac, H3K4me3, and H3K36me3, were associated with the exon inclusion ratio within a cell type (Fig. 3B). DNA methylation was also associated with the exon inclusion ratio. No epigenetic



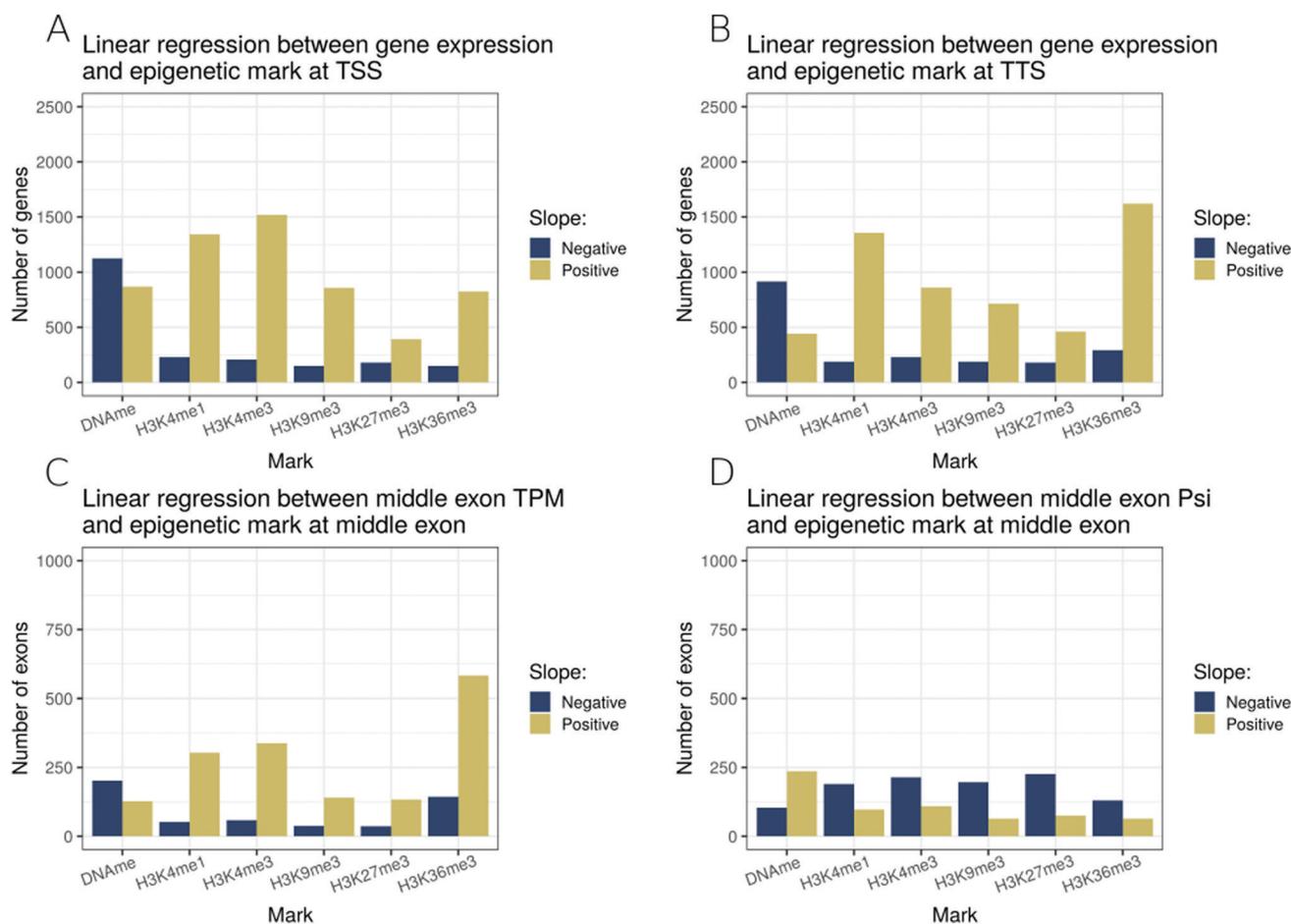
modification showed a strong association with the changes in inclusion ratio at the alternatively included exons (Fig. 3E and Table 1). There were nevertheless very weak associations for the mCpG ratio, DNaseI, H3K4me1, H3K27me3, H3K9ac and H4K8ac.

### A linear model for gene expression

So far, we have analysed the associations between epigenetic modifications and transcription control in a pair-wise manner. In order to better model the combinatorial effect of modifications, we selected 6 epigenetic modifications (DNA methylation, H3K4me1, H3K4me3, H3K9me3, H3K27me3 and H3K36me3) for which epigenetic and transcriptome data were available for 27 cell types in the Roadmap dataset, and regressed a linear model at four transcriptional features for all types of genes: (i) epigenetic modifications around TSSs and gene expression levels (Fig. 4A), (ii) epigenetic modifications around TTSs and gene expression levels (Fig. 4B), (iii) epigenetic modifications around the start of middle exons and exon expression levels (Fig. 4C), and

(iv) epigenetic modifications around the start of middle exons and exon inclusion ratios (Fig. 4D).

At TSSs, amongst the 6 marks studied, DNA methylation was associated with gene repression, while all studied histone methylations were associated with the activation of the expression level (Fig. 4A). At TTSs, we noted a similar pattern to that at TSSs, with a difference that H3K36me3 at the TTS was the modification most strongly associated with an increase in expression level, followed by H3K4me1 as the second most positively associated (Fig. 4B). We noted that restricting the analysis to long genes only, TTS associations with epigenetic modifications were much weaker (Fig. S4, ESI<sup>†</sup>), highlighting that an important fraction of the TTS associations were due to short genes for which the promoter marks might confound the TTS signal. H3K36me3 at middle exons was also strongly associated with exon expression levels (Fig. 4C). Finally, no strong associations were detected for epigenetic modifications (of the 6 selected ones) at exon inclusion ratios (Fig. 4D).



**Fig. 4** Linear regression models including six epigenetic modifications characterised in 27 cell types. Each bar represents the number of genes or exons with a statistically significant slope ( $p \leq 0.01$ ), either positive (golden) or negative (dark blue). (A) Linear regression model of gene expression levels and the levels of 6 epigenetic modifications near their respective TSSs ( $\pm 500$  bp). (B) Linear regression model of gene expression levels and the levels of 6 epigenetic modifications near their respective TTSs ( $\pm 500$  bp). (C) Linear regression model of middle exon expression levels and the levels of 6 epigenetic modifications near their respective starts ( $\pm 100$  bp). (D) Linear regression model of the middle exon inclusion ratio and the levels of 6 epigenetic modifications near their respective starts ( $\pm 100$  bp).



## PEREpigenomics, a web resource to explore associations between epigenetic modifications and transcription features

Using the Roadmap dataset, we unravelled a range of associations between epigenetic modifications and transcription control. We generated a total of 9024 stacked profiles of epigenetic modifications near the TSS, TTS and middle exons, sorted according to the gene expression level, exon expression level or exon inclusion ratio, in 33 different cell and tissue types. We also provided stacked profiles for the TSS and TTS for each gene type (protein-coding genes, RNA genes, pseudogenes, other types), as well as for short ( $\leq 1$  kb), long ( $> 3$  kb) and intermediate length genes. Users furthermore can generate regressions between an epigenetic modification at the TSS or TTS and gene expression levels across cell and tissue types for a gene of interest, and the same feature is available for middle exons and exon expression levels or exon inclusion ratios as well.

We have made the analyses and visualisations available to users through a web-application at [joshiapps.cbu.uib.no/perepigenomics\\_app/](http://joshiapps.cbu.uib.no/perepigenomics_app/).

This allows users to explore relationships between epigenetic modifications and transcription across many tissues, including their tissue of interest.

## Discussion

In summary, this multi-faceted analysis of the Roadmap Epigenomics data, freely available through a web application, has enabled the confirmation of known (or previously observed in only one of few cell types) associations between epigenetic modifications and transcription on a large data set and further formulation of new hypotheses. We specifically discuss epigenetic associations of previously under-explored transcription features below grouped according to the epigenetic modification.

### Histone modifications

Of the 28 studied histone modifications near the TSS, 25 were positively correlated with gene expression across genes within a cell type, and a subset of them (16) were also correlated with changes in gene expression when comparing the same gene across cell and tissue types (Table 1). H3K27me3 was the only mark that was more abundant at the TSSs of weakly or non-expressed genes than at those of highly expressed protein coding genes. H3K27me3 modification was not present at non-protein coding genes, highlighting that the negative correlation between H3K27me3 and gene expression is a gene type specific association. H3K9me3 has been associated with closed chromatin,<sup>37,38</sup> and was not enriched at the TSSs of non-expressed genes in the Roadmap Epigenomics data set. While many active histone marks showed a TSS asymmetry, with a higher signal downstream of the TSS than that upstream of the TSS. H3K79me1 and H3K79me2 were enriched downstream of the TSS of expressed genes (Fig. S6, ESI<sup>†</sup>), suggesting an association with the transcription direction. Indeed, H3K79 methylations are catalysed by the DOT1L enzyme during transcription elongation.<sup>39</sup> It should be noted that their profile

was only available in 5 and 3 cell lines, respectively, all derived from the embryonic stem cell line H1. H3K79me1 and H3K79me2 asymmetries were less marked in the H1-derived trophoblast, which could either reflect a relevant biological difference or be due to experimental issues.

Among the histone modifications, H3K36me3 displayed a unique profile across all studied transcription features. The H3K36me3 modification is positively correlated with gene expression at the TTS across genes within a cell type, and also when comparing the same gene across cell and tissue types. While largely absent from the TSS region, it is enriched at all middle exons and on the last exon. We noted that the gene body H3K36me3 begins at the start of the second exon, where the H3K4me3 peak decreases. We further explored a potential link between exonic H3K36me3 and alternative splicing. Our approach using the exon inclusion ratio revealed that any such association was either weak or restricted to only a few exons. Similar observations have been made by others: Xu *et al.*<sup>31</sup> noted that from about 3000 alternative splicing events, 800 were positively correlated with changes in H3K36me3 and 700 were negatively correlated with changes in H3K36me3. It should be noted that H3K36me3 modified genomic regions (wide peaks) tend to be an order of magnitude larger than an average exon size (1000 bp vs. 100 bp). Altogether, though 10 histone modifications showed some enrichment at exons, the associations between epigenetic modifications and changes in exon inclusion ratio were weak and/or limited to a small subset of genes.

### DNA methylation

The CpG density is highly variable in the human genome, with CpG depleted or CpG poor regions spanning most of the genome. We noted that the CpG density at the TSS was strongly associated with gene expression across genes within a cell type, where most expressed genes had a CGI centred at their TSS. Accordingly, at the TSS, the mCpG ratio, or the average methylation at CpG sites, was negatively associated with gene expression across genes within a cell type. This trend overlaps with the CpG density where most of the CpG deserts are heavily methylated, and most CGIs are unmethylated<sup>40</sup> (Fig. S1, ESI<sup>†</sup>). An increase in mCpG ratio at TSSs was also associated with the down-regulation of gene expression in the gene level analysis. Non-promoter regions were methylated with mCpG ratios around 85%; this ratio decreased to around 30% at the TSSs of the expressed protein-coding genes. We noted that this is not the case for expressed pseudogenes, whose TSSs had higher CpG density than the surrounding regions, but remained methylated.

The mCpG density is the number of methylated sites in a given genomic region, calculated as a product of the CpG density and the average mCpG ratio in a given genomic region. It has been shown that the mCpG density, but not the mCpG ratio, was the main driver of the binding of DNA methylation readers of the MBD family.<sup>41</sup> While many publications focus solely on the mCpG ratio as a metric to evaluate DNA methylation, we argue that multiple metrics provide complementary



information. For example, while the mCpG ratio remains constant across the promoters of repressed genes, the mCpG density peaks near the TSSs of repressed genes suggest that these regions might preferentially recruit repressive DNA binding proteins (*e.g.* MBP). The DNA methylation density also peaks at the TSSs of expressed processed pseudogenes, as their CGIs remain methylated. At exons, the mCpG ratio is as high as that at introns, but the CpG density is higher at exons than that at introns, resulting in a higher mCpG density.

It has been observed that GC rich regions might be more difficult to sequence using some Illumina sequencing protocols, resulting in lower coverage at CGIs.<sup>42</sup> We noted this bias in about half of the WGBS samples, where the WGBS coverage at the TSS was anti-correlated with gene expression across genes within a cell type. Some WGBS samples were less affected by this bias, while a few showed even an inverse trend, with higher coverage in GC rich regions. These biases leave the mCpG ratio and mCpG density profiles largely unaffected, thus preserving the validity of the analysis.

### DNA accessibility and nucleosome positioning

The DNaseI assay showed a narrow (<100 bp) peak before and at the TSS of expressed genes. This narrow peak of DNA accessibility matched the location of a dip in the bi-modal signal present in many histone modifications positively correlated with the expression level (*e.g.* H3K4me3 and many histone acetylations). These observations suggest that there is a short nucleosome-free region before the TSS of expressed genes, with a nucleosome positioned just after the +1 of transcription. Such a nucleosome positioning effect is well described in yeast<sup>43</sup> and in mammals.<sup>44</sup> Intriguingly, middle exon starts and TTS positions appear to be depleted of DNaseI signals, even more so than the surrounding regions (Fig. S3, ESI†). This suggests that middle and last exon starts are particularly inaccessible regions, maybe due to nucleosome positioning<sup>45</sup> or the presence of the splicing machinery at acceptor sites.

### Analysis across cell and tissue types

For each gene and middle exon, we correlated the level of epigenetic modification with the expression level or exon inclusion ratio across the different cell and tissue types in the Roadmap dataset. 459 genes had a linear regression coefficient  $R^2 \geq 0.5$  (0.9% of all genes) between promoter DNA methylation and gene expression levels, including 209 protein coding genes (1.1% of the protein coding genes), and the slope was negative, indicating that for these genes, a higher level of promoter DNA methylation is associated with a lower gene expression level. Conversely, 763 genes had a linear regression coefficient  $R^2 \geq 0.5$  (1.6% of all genes) when regressing H3K4me3 levels at the promoter and gene expression levels, including 492 protein coding genes (2.6% of the protein coding genes). The slope in this case was positive: a higher level of promoter H3K4me3 was associated with a higher gene expression level. Nonetheless, most genes or exons did not have regression coefficients of  $\geq 0.25$ . This might be because many genes and exons might

not have large enough epigenetic or expression variability in this dataset. Indeed, correlated genes were often enriched for gene ontologies related to development, genes with likely variable expressions across different tissues (Fig. S9, ESI†). ChIP-seq and DNase-seq peak heights can also be biased by the epigenetic modifications (or accessibility) in the dominant fraction of alleles and cells, as well as biased by changes in ChIP efficiency due to hard-to-control experimental variations. Importantly, many transcriptional control mechanisms might not show any correlation at the epigenetic level, *e.g.* transcription factor activities, and binding with co-factors as well as variable rates of post-transcriptional degradation of mRNAs. The dataset itself poses many limitations in the analysis. For example, the Roadmap dataset provides only one sample per tissue for each modification. Despite the richness of these data, the absence of replicates hinders the ability to perform a more in depth analysis of tissue specific associations between epigenetic modifications and transcription control.

## Conclusions

In summary, we performed a comprehensive analysis to study links between epigenetic modifications and transcription control using the Roadmap Epigenomics data. The Roadmap Epigenomics histone modifications, whole genome bisulfite sequencing, and RNA-seq data, across diverse human cell and tissue types, allowed us to confirm the generality of previously described relationships between epigenetic modifications and transcription control in one or few cell types, as well as to generate new hypotheses about the interplay between epigenetic modifications and transcript diversity. Importantly, our analysis focused on previously under-explored features of transcription control including transcription termination sites, exon-intron boundaries, middle exons and the exon inclusion ratio. We have produced thousands of stack profile plots of epigenetic modifications around gene features sorted according to their gene expression level, exon expression level or exon inclusion ratio, and filterable by gene type. These plots are made freely available through a web application, [joshiapps.cbu.uib.no/perepigenomics\\_app/](http://joshiapps.cbu.uib.no/perepigenomics_app/). We hope this web application will serve the community (i) as a resource to validate known or previously described epigenetic modifications associated with transcription features as well as (ii) an interactive tool for allowing exploration of data as a novel hypothesis generator of epigenetic and transcriptional control.

## Methods

### Data retrieval

GENCODE human annotation version 29 (main annotation file) was downloaded from the GENCODE as gff3 files. Reads from RNA-seq data were retrieved from the European Nucleotide Archive using the Roadmap sample table as a reference. Whole genome bisulphite sequencing (WGBS) data sets (bigwig files of



fractional methylation and read coverage) were downloaded from the Roadmap Epigenomics data portal.

Histone modifications and DNaseI data were downloaded as consolidated, not subsampled, tagAlign files from the Roadmap data portal.

Altogether, we retrieved 27 RNA-seq and the corresponding WGBS datasets, 13 DNaseI profiles (with matching controls), and 242 histone ChIP-seq datasets in 27 human cell lines or tissues (with 27 matching controls).

### RNA sequencing analysis

RNA-sequencing reads were quantified using Salmon<sup>34</sup> v12.0 by pseudoalignment to the human reference genome hg38 and annotations v29 provided using GENCODE.<sup>33</sup> The parameters `validateMappings`, `seqBias`, `gcBias` were on, with `biasSpeedSamp` equals to 5, and `libType` equals to A were selected. For samples with biological replicates, the median expression value in TPM samples was used for genes and transcripts. For each exon, the exon expression level was calculated as the sum of the TPM of the transcripts including this exon. Exon inclusion ratios are computed as the sum of the TPM of transcripts including the exon divided by the TPM value of the gene.

We considered each gene uniquely, by selecting a representative TSS (or TTS) per gene, from all annotated TSSs (or TTSs). We sorted all transcripts of a gene according to their TSS (or TTS) genomic coordinates and selected the TSS (or TTS) of the transcript at the middle of the list, *i.e.* the median TSS (or TTS). The list of middle exons was obtained by taking the shortest transcript of each protein coding gene, then selecting genes with 3 or more exons, and excluding the first and last exons of each transcript. The shortest known transcript isoform was used to ensure that the list of middle exons could not contain the first or last exons of other isoforms. Most annotated non-protein coding genes were monoexonic, and were excluded from the exonic analyses.

### Epigenetic modification data processing

For WGBS, three different tracks were generated from the FractionalMethylation.bigwig files using `bedtools`<sup>46</sup> and `rtracklayer`.<sup>47</sup> number of CpG sites per window, mean DNA methylation ratio per window, and density of mCpG sites per window, using windows of 250 base pair width, sliding by 100 base pairs. The WGBS coverage file was processed similarly to produce a fourth track serving as a control. No post-processing was done for DNaseI and Histone tagAlign files.

### Heatmap generation

Gene types were derived from GENCODE,<sup>33</sup> where the pseudo-gene category contained all genes with the word “pseudogene”, and the “other” types of genes were defined as neither protein coding genes, nor pseudogenes, nor RNA genes. Genes were binned in 5 groups of equal sizes according to their expression values, and exons in 5 groups according to their expression values or exon inclusion ratios.

For genes with multiple GENCODE annotations for TSSs (or TTSs), we sorted all TSSs (or TTSs) according to their

genomic coordinates (5' to 3', taking into account their orientation) and took the TSS (or TTS) of the transcript in the middle of the list. The list of middle exons was obtained using protein coding genes. For genes with several annotated transcripts, the transcript with the smallest length was selected, the first and last exons were filtered, and the remaining exons were kept. Stacked profiles of tracks centred at TSSs or TTSs were generated using a region of  $\pm 2.5$  kb around the TSS or TTS, using windows of 100 bases every 100 bases. Stacked profiles of tracks centred at middle exons were drawn using a region of  $\pm 1$  kb around middle exon starts, with windows of 50 bases every 50 bases. For histone modifications and DNaseI data, and the corresponding input controls, the coverage was expressed as FPKM values. For CpG density and mCpG density, it was defined as the number of (methylated) sites per window (250 bp for TSSs or TTSs, 100 bp for exons). The mCpG ratio ranged from 0 (all the CpG sites in the window fully unmethylated) to 1 (all the CpG sites in the window fully methylated), and the coverage was expressed as the number of reads covering a region. For each of the five gene (or exon) bins, we displayed the value distributions as boxplots, and the average profiles  $\pm$  standard error of the mean (SEM) for each bin. Heatmaps were drawn with a custom script using the following packages: `seqplots`,<sup>48</sup> `Repitools`,<sup>49</sup> `GenomicRanges`,<sup>50</sup> `rtracklayer`,<sup>47</sup> and `plotrix`.<sup>51</sup>

### Regression analysis

At each gene TSS (or TTS), the epigenetic modification level in a sample was averaged in the  $\pm 500$  bp region around the TSS (or TTS) and a linear regression was calculated for each gene using expression values in  $\log_{10}(\text{TPM} + 1)$ . Epigenetic modifications in the  $\pm 100$  bp region around middle exon starts were regressed with either  $\log_{10}(\text{TPM} + 1)$  of the exon or the exon inclusion ratio. For each regression the slope and regression coefficient ( $R^2$ ) were obtained, using `dplyr`,<sup>52</sup> `purrr`,<sup>53</sup> and `broom`.<sup>54</sup> From each of the regressions of epigenetic modification near the TSS, the top 1000 genes with the highest  $R^2$  were extracted and submitted to PantherDB v16<sup>55</sup> using the API to obtain the list of enriched biological process ontology terms. CpG islands were obtained from the UCSC Table Browser.<sup>56</sup>

### Data availability

PEREpigenomics is developed in Shiny.<sup>57</sup> Source code and data of the application are available at [forgemia.inra.fr/guillaume.devailly/perepigenomics\\_app](http://forgemia.inra.fr/guillaume.devailly/perepigenomics_app). Scripts used to process the data and generate the plots can be found at: [github.com/gdevailly/perepigenomicsAnalysis](https://github.com/gdevailly/perepigenomicsAnalysis).

### Author contributions

GD and AJ designed the analyses and the web applications, and wrote this manuscript. GD performed the analysis and developed the web application.



## Abbreviations

TSSs	Transcription start sites
TTSs	Transcription termination sites
TESs	Transcription end sites
TPM	Transcripts per million reads
CpG	Cytosine–guanine dinucleotide
CGI	CpG island
ψ	Exon inclusion ratio
WGBS	Whole genome bisulfite sequencing
H3K9me3	Tri-methylation of lysine 9 of histone 3
H4K5ac	Acetylation of lysine 5 of histone 4

## Conflicts of interest

The authors declare that they have no competing interests.

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