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# First-principles study on the electronic and optical properties of $\text{Bi}_2\text{WO}_6$ †

 Haseeb Ahmad,<sup>a</sup> Ali Rauf,<sup>b</sup> \*<sup>b</sup> Afaq Ahmad,<sup>c</sup> Ata Ulhaq<sup>a</sup> and Shoaib Muhammad<sup>b</sup>

Photocatalytic materials attract continued scientific interest due to their possible application in energy harvesting. These applications critically rely on efficient photon absorption and exciton physics, which are governed by the underlying electronic structure. We report the electronic properties and optical response of the  $\text{Bi}_2\text{WO}_6$  bulk photocatalyst using first-principle methods. The density functional theory DFT-computed electronic band gap is corrected by including Hubbard potentials for W-5d and O-2p orbitals, and one of the most advanced methods, Quasi-Particle (QP) GW at different levels, with semi-core states of Bi (5s and 5p) and W (4f), carefully taken into account in GW calculations. The perplexing nature of band character of  $\text{Bi}_2\text{WO}_6$  is examined, and it comes out to be direct at PBE level without SOC. However, it shows indirect nature at GW level or when Spin–Orbit Coupling (SOC) is turned on even at PBE level. The optical response of the material system is computed within independent-particle approximation (IPA), taking into account local field effects and employing the time-dependent DFT (TDDFT) method. Bethe–Salpeter equation (BSE) is used to capture the excitonic effect, and the results of these approximations are compared with the experimental data. Our first-principle calculations results indicate that electron–hole interaction significantly modifies optical absorption of  $\text{Bi}_2\text{WO}_6$ , thereby verifying the reported experimental observations.

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## 1 Introduction

Since the inception of photocatalytic hydrogen production by water splitting using  $\text{TiO}_2$ ,<sup>1</sup> there has been a growing interest in artificial photocatalysts active within the visible spectrum.  $\text{TiO}_2$  emerged as one of the most studied photocatalytic systems due to its easy availability, low cost, and non-toxic nature. However, due to the relatively large band gap, the photocatalytic activity of  $\text{TiO}_2$  is maximum only within a narrow region of the UV part of the electromagnetic spectrum. Considerable effort has been put into tuning the  $\text{TiO}_2$  band gap, either by doping<sup>2</sup> or modifying the surface properties.<sup>3</sup> Alternative strategies involve searching for new material systems with active photon harvesting capability for visible energies. Oxides of tungsten and metal–tungsten oxides, for instance,  $\text{WO}_3$ ,  $\text{Sn}_2\text{WO}_6$ ,  $\text{Bi}_2\text{WO}_6$ ,  $\text{SnWO}_4$  have evolved as promising materials with photo-harvesting capabilities within the visible region.<sup>4</sup>

Bismuth tungsten oxide  $\text{Bi}_2\text{WO}_6$  belongs to this class of W-based photocatalytic materials, which has already been explored experimentally<sup>5</sup> and theoretically.<sup>6</sup> It has lower

photocatalytic activity as compared to  $\text{TiO}_2$ ; however, its lower band gap makes it photo-active even at visible frequencies, albeit at the higher frequency end of the visible spectrum. However, its band gap can be tuned,<sup>6</sup> apart from it being photostable and environment-friendly.<sup>5</sup> Several studies have attempted to improve the photocatalytic activity of  $\text{Bi}_2\text{WO}_6$ , including heterojunction structure,<sup>5</sup> co-catalyst loading,<sup>7</sup> and external doping.<sup>8</sup>

Experimental studies on the optical response of the material has been reported.<sup>5</sup> However, there are still unanswered questions as the theoretical results do not fully explain observations from absorption and emission spectroscopy of this material system. A multitude of theoretical calculations have also been reported on  $\text{Bi}_2\text{WO}_6$ , most of them are studies carried out to enhance the photocatalytic activity *via* doping,<sup>6,8–10</sup> or making heterostructure. However, almost all the previous study aims toward the relative study of  $\text{Bi}_2\text{WO}_6$  with respect to the doped or modified system, and hence the comparison with the measured data was often overlooked. Therefore, we have tried to examine the reliability of different theoretical models against experimental data. For example, some of the experiments suggest an indirect band gap for  $\text{Bi}_2\text{WO}_6$ ,<sup>5,11</sup> however, most of the theoretically calculated electronic structure calculations predict a direct band gap.<sup>12,13</sup> Furthermore, the presence of Bi and W suggests a role for spin–orbit coupling (SOC). However, previous theoretical calculations did not consider SOC in the calculations.<sup>6,8,14</sup>

<sup>a</sup>Department of Physics, Lahore University of Management Sciences, Lahore, Pakistan

<sup>b</sup>Department of Chemistry and Chemical Engineering, Lahore University of Management Sciences, Lahore, Pakistan. E-mail: ali.rauf@lums.edu.pk

<sup>c</sup>Centre of Excellence in Solid State Physics, University of the Punjab, Quaid-i-Azam Campus, Lahore, Pakistan

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$\text{Bi}_2\text{WO}_6$  might also exhibit strong excitonic effects; therefore, theoretical treatment using the Bethe–Salpeter Equation (BSE), based on many-body perturbation theory (MBPT) is the preferred choice for this material system. Recently reported theoretical studies utilize *ab initio* calculations using BSE for pure and doped- $\text{Bi}_2\text{WO}_6$ .<sup>10</sup> However, those calculations did not include spin–orbit coupling (SOC), and comparison with experimental data was not studied.

In this work, we report the electronic structure and visible light response of orthorhombic  $\text{Bi}_2\text{WO}_6$  using detailed *ab initio* theoretical calculations using various theoretical frameworks and present a detailed comparison with experimental spectroscopy of this particular photocatalytic material system. The impact of pseudopotentials and valance–core partition has been found to be critical in GW,<sup>15</sup> therefore for the GW calculations, we adopted pseudopotentials with different core–valance partitions. For optical response, different theoretical approximations, like random phase approximation (RPA), TDDFT, and Bethe–Salpeter Equation (BSE) were employed. The best agreement with the experimentally detected optical response is observed using BSE. Better modeling correspondence with experiments using BSE suggests strong excitonic effects in this material system. The inclusion of SOC, correcting the electronic states *via*  $G_0W_0$  or scGW changes the direct band gap character of the material into an indirect one for pure  $\text{Bi}_2\text{WO}_6$ , which is in agreement with the experiments.<sup>5,11</sup> Moreover, the indirect band gap of  $\text{Bi}_2\text{WO}_6$  has also been theoretically investigated for the orthorhombic phase even without considering the SOC effects using a hybrid functional (HSE06).<sup>16</sup> However, the direct band gap has also been obtained in recent findings using HSE06.<sup>17,18</sup> Moreover, the band gap and the nature of the gap strongly depend on the structural parameters, which might be a reason for the different nature of gap in the literature. Lastly, the strong excitonic contribution points to a less efficient photocatalytic material; however, a better understanding of the role of semi-core states in GW and SOC in this material can pave the way for the selection of a more suitable tuning mechanism to enhance the photocatalytic activity of the material.

## 2 Theoretical and computational approach

### 2.1 Ground state calculations

We have used DFT<sup>19</sup> to compute the Kohn–Sham states. Generalized Gradient Approximation (GGA) to account for the exchange interaction between the electrons and the correlation potential as implemented in the Perdew–Burke–Eruzerh (PBE)<sup>20</sup> was employed. The calculations have been performed using the Quantum ESPRESSO code.<sup>21</sup> Ions–electrons attractive interaction is modeled by using the norm-conserving pseudopotentials.<sup>22</sup> Fully relativistic pseudopotentials were used for SOC calculations. All the calculations, except all GW's and BSE@QP (BSE@ $G_0W_0$  or BSE@scGW), were performed using pseudopotentials taken from PseudoDojo,<sup>23</sup> including Non-Linear Core Correction (NLCC). On the other hand, the SG15 potentials<sup>23</sup> were used (full relativistic and scalar-relativistic) for MBPT

calculations (all GW and BSE@GW) without NLCC and different core–valance partitioning. The details of the employed potentials are given in Table S3 (ESI†). In all the optical calculations, we have also included the non-local part of the pseudopotentials.<sup>24</sup>

Electron wave functions are expanded in the form of a plane-wave basis set up to the cutoff energy 100 Ry, at which the total energy convergence of  $5.5 \times 10^{-6}$  Ry per atom is achieved. A  $k$ -points sampling under the Monkhorst–Pack<sup>25</sup> scheme was used for the first Brillouin zone integration using the  $6 \times 2 \times 6$   $k$ -mesh, which resulted in 40  $k$ -points in the irreducible Brillouin zone. All the calculations are performed using the non-spin-polarized DFT to save computational time, as we had seen that pure  $\text{Bi}_2\text{WO}_6$  has identical DOS for spin-up and spin-down configurations (Fig. S1†). To achieve the minimum potential energy configuration of the system, the atomic positions and structure constants are optimized by computing the Hellmann–Feynman forces using the Broyden–Fletcher–Goldfarb–Shanno procedure (BFGS).<sup>26</sup> Optimization is performed until the total force on the system became as low as  $0.005$  Ry a.u.<sup>-1</sup>.

### 2.2 Excited-state calculations

**2.2.1 Band gap correction.** Since DFT performs the ground-state calculations, therefore, electronic excitations are not well captured by the simple Kohn–Sham theory, and quasi-particle (QP) effects must be taken into account.<sup>27</sup> One well-known limitation of DFT is the underestimation of the band gap.<sup>28</sup> In order to circumvent this problem, we have employed the state-of-the-art GW approximation (GWA),<sup>29</sup> which works exceptionally well to find the band gap for most of the semiconductors.

QP corrections at the  $G_0W_0$  and the self-consistent GW (evGW) were computed using the Yambo code,<sup>30</sup> and then later used for the BSE calculations. To compute the inverse dielectric matrix for the GW, the plasmon-pole approximation<sup>31</sup> was applied with 20 Ry energy cutoff for the exchange part of the self-energy and 5 Ry was selected for the correlation cutoff (energy cut off in the screening). For the polarization and self-energy integration, 500 and 1000 bands were taken for without and with SOC respectively. The significance of self-consistency by updating the eigen energies of both G and W has been proved vital for many semiconductors in mitigating the effect of dependence of starting point in  $G_0W_0$ .<sup>32</sup> We have also used self-consistent GW (evGW) approach to get the band gap close to the measured value. Fig. S2† shows the convergence test for the number of iterations for a scGW calculation. The QP correction after fully convergent third iteration was taken in the final BSE calculation, (BSE@scGW), for without SOC calculation.

Moreover, we have also used DFT+U<sup>33</sup> to find the band structure with a gap close to the experimental value. To correct the band gap energies and to account for the onsite coulombic repulsion due to the correlated states, the Hubbard potentials ( $U$ ) was applied not only to W-5d but also to the O-2p states with potential energy values of 6.2 eV<sup>34</sup> and 5.9 eV,<sup>35</sup> respectively. It has already been studied extensively that applying the Hubbard corrections to p-orbitals has a significant effect on the band



gap,<sup>36</sup> as it signifies the localized nature of O-2p state and is also evident from other transition metal oxide in a recent study.<sup>37</sup> However, to correct the absorption onset in all the optical calculations, we applied either the GW correction or a rigid scissors correction ( $\Delta$  value in eV) to correct the value of the fundamental gap (Hubbard  $U$  was not used in any optical calculation).

**2.2.2 Optical calculations.** We have used different approximations for optical calculations, from independent particle approximation with local field effects, TDDFT, and also Bethe-Salpeter Equation. These calculations were done using the Yambo code.<sup>30</sup> Since the optical calculations require large  $k$ -points in the Brillion zone (BZ), especially near the proximity of the band edge,<sup>38</sup> and also a large number of bands for the converged results, therefore, the convergence of these parameters was carefully studied. The convergence test for the  $k$ -mesh size in the BSE calculations reveals that  $6 \times 2 \times 6$  mesh is good enough for BSE calculations (Fig. S5†). In this study, we had to stick with  $6 \times 2 \times 6$  mesh not only for SOC but also without SOC due to the inherent computational demanding nature of the BSE calculation. For BSE@(DFT+ $\Delta$ ) without SOC, 500 bands (190 unoccupied) were considered in the static screening, while for SOC case, 740 (420 unoccupied) bands were considered, whereas screening matrix was read from GW database in case of BSE@QP with 500 and 1000 bands in case of without SOC and without SOC respectively. To build the exchange part of the BSE kernel comprising local field effects, 2 Ry of Hartree potential components and 1 Ry for the screened interaction block size for the electron-hole interaction term were proved to be perfectly convergent for the BSE kernel (Fig. S3 and S4†). Furthermore, since there was no preferred polarization direction of the electric field in the experimental setup,<sup>5</sup> so we have also not considered anisotropy of the material in the calculations and the optical absorption in all calculations is average of macroscopic dielectric function along all three spatial axes. BSE calculations were performed under the Tamm-Dancoff approximation (TDA)<sup>39</sup> (Fig. S6†), and the Haydock iterative technique<sup>40</sup> was used to solve the BSE Hamiltonian. In all the excited state calculations, a 0.1 eV damping coefficient was used as the width of the Lorentzian peaks of optical spectra to mimic the temperature and lifetime effects.<sup>41</sup> The optical absorption spectrum was thoroughly converged for different parameters used to build the BSE kernel. Before discussing the results, we briefly discuss the theoretical approximations which were used to calculate the optical absorption.

**2.2.2.1 Random phase approximation (RPA).** We consider the independent particle approximation, including the local field effects (LFE), called the RPA level of theory.<sup>42</sup> The local field is the result of the polarization inside the material caused by the applied electric field. LFE is important to describe the density inhomogeneity in a material.

**2.2.2.2 Time-dependent DFT (TDDFT).** TDDFT<sup>43</sup> comes as a natural choice going beyond DFT for the excited states. These calculations are based on changing electron density as a function of the external potential.<sup>44</sup> We also need the exchange-correlation (xc) kernel<sup>45</sup> apart from the exchange-correlation potential for TDDFT. The functional derivative of xc potential

with respect to time-dependent density is xc-kernel, which should be well defined. There are a lot of different kernels available. We have chosen a simple, long-range static corrected (LRC) kernel.<sup>46</sup> LRC has proven good for some bulk semiconductors. The mathematical form of the kernel is given in eqn (1).

$$f_{xc}^{LRC}(r, r') = -\frac{\alpha}{4\pi|r - r'|} \quad (1)$$

In eqn (1)  $\alpha$  is the empirical parameter that depends upon the material. This kernel incorporates the long-range component, which is missing at the level of the Adiabatic Local Density Approximation (ALDA). This kernel is non-local, static, and comprises the long-distance Coulomb tail. Since the  $\alpha$  is the empirical or fitting parameter, therefore, we have computed TDDFT using different values for  $\alpha$ . The two selected values were 0.59 and 0.70, the former value of  $\alpha$  was calculated from the relation proposed between  $\alpha$  and dielectric constant as given in Section (3.2.2) while the second value was chosen arbitrarily.

**2.2.2.3 Bethe-Salpeter equation (BSE).** Neutral excitations like optical absorption are well established in the solution of BSE,<sup>44,47</sup> which also describes the physics of electron-hole pair, the exciton. The BSE is normally solved as an eigenvalue problem for the electron-hole Hamiltonian.<sup>44</sup> We have used the Kohn-Sham wavefunctions for the DFT with the appropriate scissor shift ( $\Delta$ ) to correct the band gap and computed the matrix elements of the statically screened electron-hole attraction,<sup>48</sup> and unscreened exchange interaction that accounts for the local field effects. Furthermore, the BSE (both, SOC and without SOC) calculations were also performed on top of Quasi-Particle (QP) corrected energies.<sup>49</sup> The eigenvalues and eigenstates of this BSE matrix are then used to find the optical properties of the material.<sup>50</sup>

## 3 Results and discussion

### 3.1 Electronic properties

All the properties are calculated from the relaxed geometrical structure obtained by optimizing the orthorhombic  $\text{Bi}_2\text{WO}_6$ , crystallized in the  $Pca2_1$  space group,<sup>51</sup> in which it is mostly found. The experimental lattice parameters,<sup>51</sup>  $a = 5.4372$ ,  $b = 16.4302$  and  $c = 5.4584$  (in angstrom) were considered in the initial geometrical structure. The electronic properties of material play a vital role in understanding the dynamics of the charge carriers and hence their possible applications. We have calculated the band structure and density of states of  $\text{Bi}_2\text{WO}_6$ , and all the calculations are carried out on the PBE optimized structure as given in Table S1 (ESI†). Our calculated value of the band gap is much closer to the experimentally observed value as compared to the previous results.<sup>5,52,53</sup> The computed band structure of  $\text{Bi}_2\text{WO}_6$  without SOC is shown in Fig. 1a, and the obtained band gap is 2.32 eV. The experimental band gap of  $\text{Bi}_2\text{WO}_6$  has been reported in the range 2.6 to 2.97 eV,<sup>5,54</sup> and in this study, we have taken 2.8 eV as our reference value.<sup>55</sup> Moreover, we have calculated the band structure along the  $k$ -



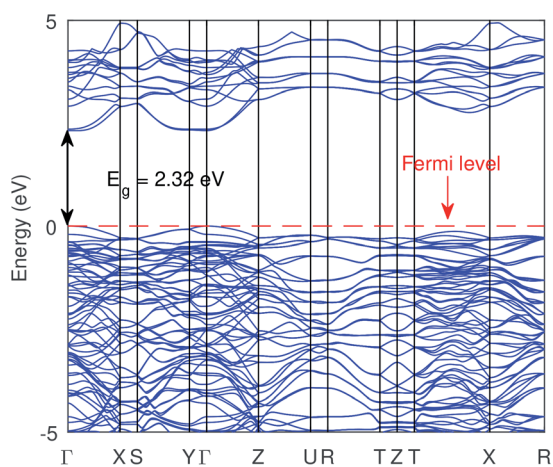
path of Brillion zone (Fig. S7<sup>†</sup>), generated from automatic flow framework,<sup>56</sup> which has not been explored before. Therefore, the calculated band structures help us gain an extensive overview of the electronic properties.

The band structure shown in Fig. 1a depicts the direct band gap at the gamma point. However, the indirect nature of the band gap was suggested experimentally.<sup>5</sup> Interestingly, with the inclusion of the spin-orbit effects, we get the indirect band gap of 2.26 eV, and the VB maxima and the CB minima are no-longer aligned at the same  $k$ -point as shown in Fig. 1b (double-headed arrows indicate the band maximum or minimum). The CB minimum was shifted to  $k = 0.16, 0, 0$  point (reciprocal lattice units) of the BZ along the  $\Gamma$ -X direction when we turned-on the SOC. A similar effect was also observed after Quasi-Particle (QP) corrections (using SG15 PPs) on PBE band structure. The band gap from  $G_0W_0$  and scGW are indirect even without SOC applied. However, in each case, the difference between the

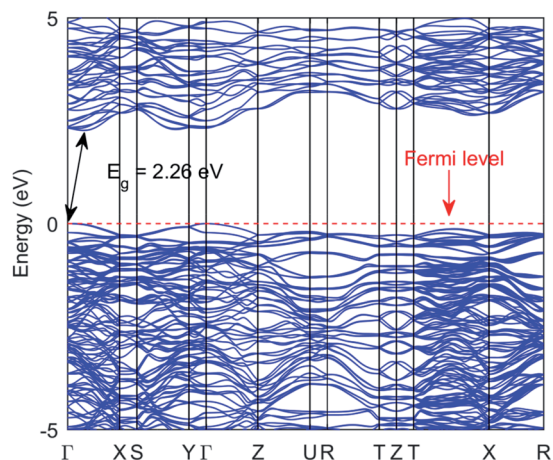
direct and indirect gap values remains small. For instance, one of  $G_0W_0$  results in 2.37 eV indirect and 2.40 eV direct gap. The band gap values from PBE, and after different QP corrections including nature of the gap is summarized in Table 1.

Despite the success of  $G_0W_0$  for many materials, one of the biggest challenge arises in the materials where d electrons close to the Fermi energy hybridized with p electrons,<sup>57</sup> leading to subtle exchange and correlation effects. Often when  $G_0W_0$  fails, the self-consistent approach or better starting point (hybrid functional) is a possible remedy to obtain accurate electronic structure.<sup>15</sup> In the case of  $\text{Bi}_2\text{WO}_6$ , the top of the valence band has the minimal W-5d density, however, it has a significant density at the bottom of the conduction band together with Bi-6p orbital (see Fig. 3a). We also found that the scGW approach might be efficient for  $\text{Bi}_2\text{WO}_6$  as the  $G_0W_0$  alone is not good enough to provide an accurate band gap.

Another well-known issue in GW is the choice of the valence-core partition of the pseudopotential.<sup>15,58,59</sup> The GW corrections might contain substantial errors due to the core-valence exchange interactions when the spatial overlap between the valence and semi-core states is significant.<sup>60,61</sup> This has led to some claims in the past regarding the failure of the pseudo-potential method on GW.<sup>44,62</sup> However, it has also been found that careful inclusion of semi-core states in the pseudopotentials, and including the full-shell of the d-block electrons in the valence can avoid those errors making GW results reliable.<sup>63</sup> In this work, we have used different pseudopotentials (for QP corrections) with and without Bi 5s and 5p and including W-4f states. The details of these potentials are given in Table S3 (ESI<sup>†</sup>). The results presented in Table 1 without SOC show that we do not get band gap comparable to experiment using  $G_0W_0$  whereas scGW improves the gap, particularly when Bi semi-core states are included in valence. Due to computational costs, we have avoided the scGW in the case of SOC. The band structure from scGW along with PBE is shown in Fig. 2. It is clear that, apart from opening the gap, QP-GW correction also has some effect on the curvature of the bands, and due to which the band



(a) Band structure without SOC.



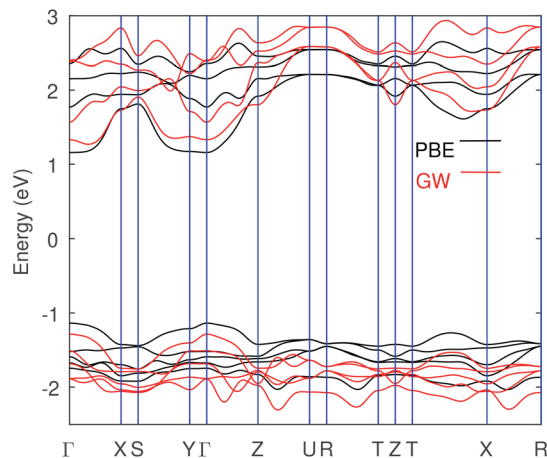
(b) Band structure with SOC.

Fig. 1 Band structures of  $\text{Bi}_2\text{WO}_6$  at PBE level without Hubbard potential. Band structure without SOC (a), band structure with SOC (b), these computations were performed using PseudoDojo potentials.

Table 1 The result of band gap from different theoretical approximations along with the experimental value. Among the applied theoretical approximations, the band gap is closest to the experimental value in the case of scGW while including Bi semi-core states. The nature of the gap is direct when SOC and QP corrections are not included. The results for all rows are without SOC except where it is mentioned explicitly. All the calculations were computed using SG15 potentials

Model	Band gap (eV)	Nature of the gap
DFT (PBE)	2.29	Direct
$G_0W_0$	2.40	
scGW	2.55	
$G_0W_0$ -including Bi semi-core states	2.38	
scGW-including Bi semi-core states	2.71	Indirect
Experimental <sup>5</sup>	2.80	
DFT (PBE) + SOC	2.22	
DFT (PBE) + SOC + $G_0W_0$	2.33	





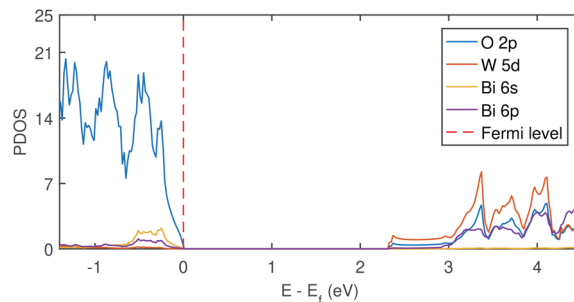
**Fig. 2** The PBE vs. scGW band structure. The GW bands shown here are from calculations without Bi semi-core states. The indirect band gap is obtained in GW while PBE predicts direct band gap. The calculation were performed using SG15 PPs and only the result without SOC is shown here.

gap's nature also changed as evident in GW band structure (see Fig. 2).

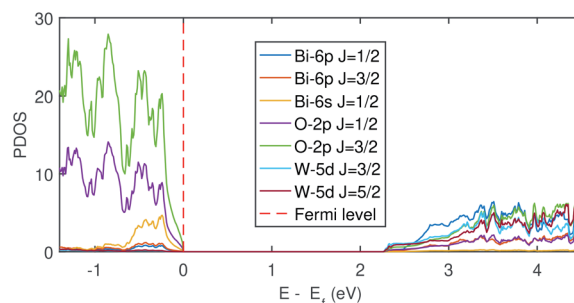
Moreover, the band gap values with the applied Hubbard potential turned out to be 2.71 eV and 2.61 eV without and with SOC, respectively. However, the indirect gap was revealed when SOC is considered, also in the Hubbard calculations. The obtained band gaps with and without Hubbard potentials are summarized in Table S2,<sup>†</sup> and band structure with Hubbard potentials with and without SOC are also presented in Fig. S8 and S9.<sup>†</sup>

**3.1.1 Density of states (DOS).** PDOS presents information about the density of states of the individual orbitals. Fig. 3a and b shows the PDOS with and without SOC respectively. We are only presenting here the DOS computed at PBE level using PseudoDojo potentials without any band gap correction for the qualitative results. We can see that O-2p states mainly form the top of the valence band, and it also hybridized with Bi-6s and Bi-6p states to give the total DOS at the top of the valence band as depicted in Fig. S10 and S11 (ESI<sup>†</sup>). Similarly, the bottom of the conduction band is composed of the W-5d mixed with O-2p and Bi-6p. PDOS plots also suggest that onsite Coulomb repulsion in the form of Hubbard potential on the W-5d and O-2p states might prove indispensable for the band gap correction. Here we report the PDOS with and without SOC, but Hubbard correction was not applied in these calculations.

In SOC, the states are split depending upon the total angular momenta obtained by  $J = L + S$  to  $J = L - S$ <sup>64</sup> as depicted in Fig. 3(b). Therefore, in SOC, we have  $J = 1/2$ , and  $J = 3/2$  for O-2p ( $L = 1$ ) and  $J = 3/2, 5/2$  for W-5d ( $L = 2$ ). The higher density of states in the SOC is expected due to the spinor nature of wave function and is also evident from the band structure plot (1). The top of the conduction band is mainly composed of Bi-6p ( $J = 1/2$ ) state, O-2p ( $J = 3/2$ ), and W-5d ( $J = 3/2$ ) states. Fig. S11 (ESI<sup>†</sup>) shows that states comprising conduction band minima have moved towards the Fermi level, which results in an overall



**(a)** Partial density of states of Bi<sub>2</sub>WO<sub>6</sub> without.



**(b)** Partial density of states of Bi<sub>2</sub>WO<sub>6</sub> with SOC.

**Fig. 3** Partial density of states of Bi<sub>2</sub>WO<sub>6</sub> without Hubbard potentials at PBE level using PseudoDojo potentials without SOC (a), with SOC (b). Band gap correction was not taken into account in these results.

decrease in the band gap when SOC is taken into account. The band gap values from TDOS are 2.26 eV and 2.32 eV with and without SOC, respectively, consistent with the band structure calculations with PBE using PseudoDojo potentials.

## 3.2 Optical properties

**3.2.1 Dielectric function.** Important optical properties of materials like absorption coefficient, refractive index, reflectivity, extinction coefficient can be deduced from the dielectric function.<sup>65</sup> The dielectric function is almost independent of the frequency at energies much lower than visible frequencies. However, materials can have a resonant response due to interband transitions at higher frequencies. In this study, we consider only the interband transitions to study the absorption of Bi<sub>2</sub>WO<sub>6</sub> without considering the phonon-assisted optical absorption. The imaginary part of the dielectric function determines the transition probability from occupied to unoccupied states and is hence related to the optical absorption.

Fig. 4 depicts the real and imaginary parts of the dielectric function computed from BSE@ (DFT+ $\Delta$ ), the BSE was computed with Spin-Orbit Coupling (BSE + SOC) and without SOC, to highlight the difference between SOC and without SOC. SOC seems to have a clear effect on absorption. The absorption onset decreases with an enhanced absorption at lower energies when SOC effects are taken into account. Absorption peaks are also red-shifted in the presence of SOC. The scissor shift ( $\Delta$ ) of 0.54 eV and 0.48 eV was applied to correct the DFT band gap with and without SOC, respectively. We found in this case, the static



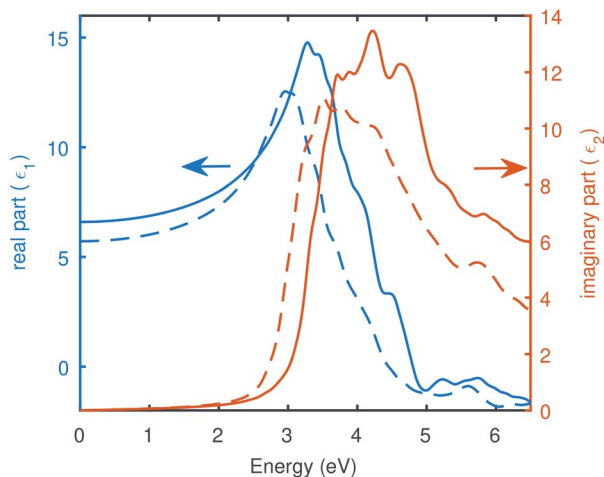


Fig. 4 The real and imaginary part of the dielectric function computed using Bethe–Salpeter equation: the dotted lines represent results with SOC (BSE + SOC), and solid lines are without SOC. To build the BSE kernel, 111 bands without SOC case, and 141 bands in the case of SOC around the Fermi level were selected. These calculations results from BSE@DFT+ $\Delta$  and were computed using PseudoDojo potentials.

dielectric constant is 6.60, which gives a static refractive index of 2.57 in the absence of SOC. And a value of 5.72 for static dielectric constant in the presence of SOC yields a static refractive index to be 2.39. The static refractive index was obtained by  $n(0) = \epsilon_1(0)$ , and the static dielectric constants are evident from Fig. 4.

**3.2.2 TDDFT.** TDDFT with LRC kernel is reliant upon the material-dependent parameter,  $\alpha$ . Despite the material dependence of the simple LRC kernel, there has been an effort to predict  $\alpha$  for different materials to make this approach close to *ab initio*. The linear relationship as given in eqn (2) has been found to exist between static dielectric constant ( $\epsilon_\infty$ ) and  $\alpha$ , and also has been proved to be successful for some simple sp – semiconductors.<sup>46</sup>

$$\alpha = 4.615\epsilon_\infty^{-1} - 0.213 \quad (2)$$

By using the static dielectric of 5.72 from BSE@DFT+ $\Delta$  with SOC, we found  $\alpha$  should be 0.59. The TDDFT results (including SOC) presented in Fig. 6 also uses  $\alpha = 0.59$ . The result of TDDFT-LRC shown in Fig. 6 is better than RPA and close to the BSE curves (both comparing with SOC and without SOC), despite the fact that the bare ground state wave functions were taken from DFT for these optical calculations. However, LRC also seems to underestimate the excitonic effects as it provides a slightly blue-shifted curve. We can switch to the empirical approach and vary the  $\alpha$  to try to get close to the BSE curve or experimental result. The result of this analysis is demonstrated in Fig. 5, performed with SOC, which shows that TDDFT with a higher value of  $\alpha$  can bring the spectra towards BSE (including SOC calculations), but it also enhances the intensity of the spectrum. The LRC kernel for Bi<sub>2</sub>WO<sub>6</sub> shows limitations. LRC is best known for the continuum excitation, and it does not

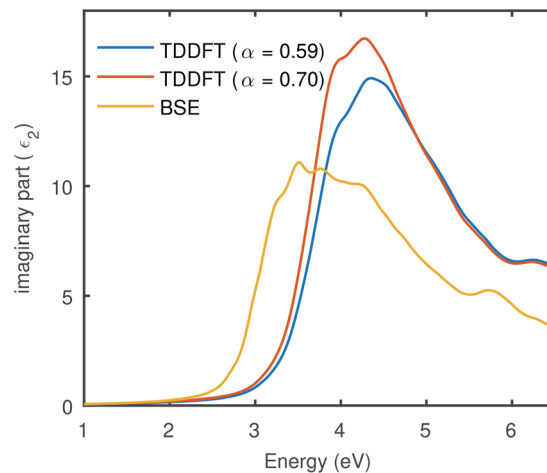


Fig. 5 TDDFT using static LRC kernel with different  $\alpha$ . The scissor shift was used to correct the DFT band gap and PseudoDojo potentials were employed.

perform well in materials having bound excitons and misses the correlation term.<sup>46</sup>

**3.2.3 Comparison with experiment.** Fig. 6 shows the comparison of theoretical data from different theories, including RPA, TDDFT (LRC kernel), and BSE (both with and without SOC) with the experiment. The RPA curve is blue-shifted immensely because it does not include any electron–hole attraction, and it is also the least accurate among the different mentioned theories compared with the experimental curve.

The optical absorption was also computed with SOC, and the comparison of SOC is conceived using the BSE spectra, Fig. 6 shows the comparison of theories, again without starting from GW wave functions and energies, and therefore, a rigid shift ( $\Delta$ )

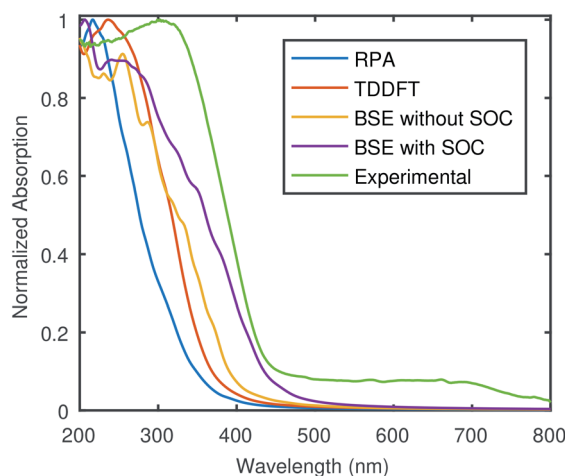


Fig. 6 Comparison of absorption calculated using different theoretical approximations with the experimental data.<sup>5</sup> The Bethe–Salpeter equation (BSE) results are shown for both SOC (BSE + SOC) and without SOC, while other theoretical results are for SOC case only. The used potentials are from PseudoDojo and scissor corrections was used to correct the band gap.



was applied to match with the experimental gap. The optical absorption starts at lower energy for BSE@(DFT+ $\Delta$ ) + SOC, and the peak at around 300 nm is not present in this case. This establishes that BSE@(DFT+ $\Delta$ ) + SOC results are in better agreement with the experiment.

To incorporate the QP corrections in the optical calculations, we have also computed the BSE (both with and without SOC) starting from  $G_0W_0$  or scGW. Here, the shape of BSE curve, especially in without SOC case, as shown in Fig. 7 is almost identical to what we get from BSE@(DFT+ $\Delta$ ) and without SOC). Once again, the BSE curve with SOC looks closer to the experiment qualitatively. However, interesting thing here is that all theoretical curves are blue-shifted with respect to the experiment despite the fact that the underlying fundamental band gap from the QP correction was small compared to the experimental value in each case. To reiterate, in the SOC case, we are presenting the result of BSE@(G<sub>0</sub>W<sub>0</sub>) only, and we have avoided scGW. The deviation of BSE results (in both the cases, SOC and without SOC) (obtained by including GW energies) from the experimental data needs further investigation to better understand the electronic structure of Bi<sub>2</sub>WO<sub>6</sub>. Moreover, there are also some spurious wiggles from around 210 nm to 310 nm in theoretical calculations, which might be due to finite *k*-point sampling.

Furthermore, to examine the optical absorption near the band edge, we have plotted the absorption coefficients obtained by BSE@(DFT+ $\Delta$ ) + SOC along with the RPA@(DFT+ $\Delta$ ) + SOC. If the incident photon energy is less than the material's band gap, then valence electrons will not be able to make transitions to the conduction bands, and hence no absorption occurs. However, due to the excitonic states below the conduction band, we have a small absorption even before the electronic gap that is captured by the BSE, shown in Fig. 8. It is evident that the BSE curve shows non-zero absorption below the electronic gap, which indicates the presence of excitonic states. Moreover, we

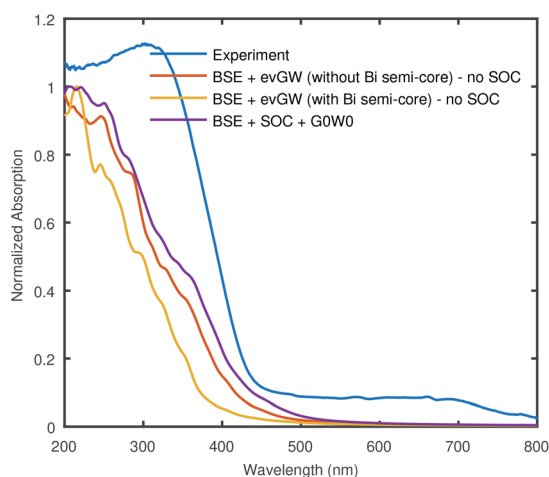


Fig. 7 The comparison of the Bethe–Salpeter equation (BSE) calculations starting from GW, with experimental data. In the legend “no SOC” refers to “without SOC”. The used pseudopotentials were taken from SG15 library or generated from ONCV code as listed in Table S3 (ESI†).

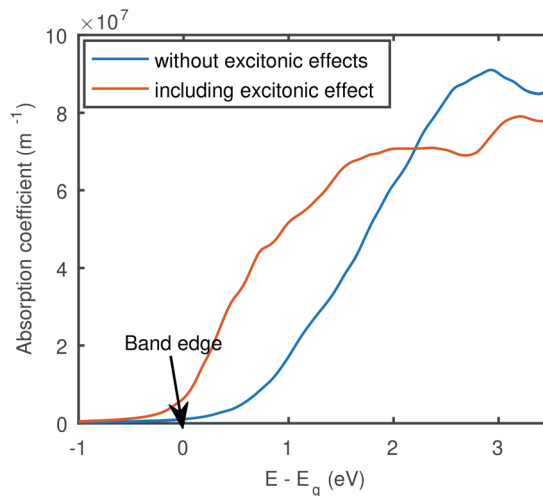


Fig. 8 Absorption coefficients from BSE and RPA level of theory. These results were obtained with SOC and using PseudoDojo potentials and the band gap was corrected with scissors correction ( $\Delta$ ). The zero on the x-axis corresponds to the electronic band edge.

also have seen an enormous red-shift in all the BSE data as compared to RPA curves, due to electron–hole attraction, as evident in Fig. 6, which signifies the importance of electron–hole attraction for Bi<sub>2</sub>WO<sub>6</sub>. However, this significant effect of electron–hole attraction needs to be probed further with more involved theories. For example we have not included the lattice screening,<sup>66</sup> and the effect of phonons,<sup>67</sup> in our calculations.

## 4 Conclusions

We have found that DFT with scissor correction (DFT+ $\Delta$ ) is an appropriate scheme to study the electronic and optical properties of Bi<sub>2</sub>WO<sub>6</sub>. The effect of spin–orbit coupling and QP corrections are crucial for Bi<sub>2</sub>WO<sub>6</sub> as the nature of the band gap is changed when these are applied. Moreover, the band gap obtained considering Bi semi-core states from scGW was in best agreement with the experimental value.

In the optical calculations, comparison of optical absorption with the experimental data suggests that BSE + SOC (both BSE on top of DFT+ $\Delta$  and QP energies) is the most accurate theoretical treatment among all others which we have applied for the studied material. The electron–hole attraction also plays a vital role in the optical response of Bi<sub>2</sub>WO<sub>6</sub>, as the RPA and BSE curves differ immensely. The BSE (both with and without SOC) has been found to be crucial to get the absorption close to the experimental one. However, the excitonic analysis needs further careful investigations to compute the exciton binding energy. Our results provide an improved understanding of the optical response of this photocatalytic material (Bi<sub>2</sub>WO<sub>6</sub>) and can help in devising strategies to tune its properties.

## Conflicts of interest

There are no conflicts to declare.



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