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## PAPER

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## Introduction

Polytetrafluoroethylene (PTFE) has been a special engineering plastic for the aerospace, chemical, and pharmaceutical industries due to its excellent mechanical performances, superior heat resistance and chemical inertness, low surface energy, and good dielectric properties. The stretching behavior of PTFE has been extensively investigated, after the first report of its basic mechanical performance parameters by Renfrew in 1946.<sup>1</sup> Results indicated that the mechanical properties of PTFE are strongly dependent on temperature,<sup>2,3</sup> crystallinity, applied strain and stretching rate.<sup>4-7</sup> In many cases, PTFE as artificial organs or sealing washer usually services under cyclic loading or extreme conditions for a long time.<sup>8-10</sup> It is of great importance for us to clarify the deformation mechanism, microstructure evolution of PTFE under different stretching conditions.

PTFE as a crystalline polymer exhibits complex phase transition behavior because of its simplest chemical structure. Three crystal forms (phase II, phase IV, and phase I) can be observed under normal pressure, and the transition among them could occur between 19 °C and 30 °C (ref. 11–13) that reflects the changes in both short and long range ordering of

# Phase transition behavior and deformation mechanism of polytetrafluoroethylene under stretching

Cong Luo,<sup>a</sup> Jingke Pei,<sup>ab</sup> Wenyue Zhuo,<sup>a</sup> Yanhua Niu<sup>®</sup>\*<sup>a</sup> and Guangxian Li<sup>a</sup>

The deformation mechanism and phase transition behavior of polytetrafluoroethylene (PTFE) under stretching conditions (25, 50, 100 °C) were investigated by using differential scanning calorimetry (DSC), small angle X-ray scattering (SAXS), and X-ray diffraction (XRD). Compared to the unstretched PTFE samples, stretching at all temperatures results in a reduced phase transition temperature (IV–I and II–IV). Above a critical strain  $\varepsilon_{H,c}$  (~0.6), the decrease of phase transition temperature becomes more significant with the increasing strain. At higher stretching temperature, the value of the  $\varepsilon_{H,c}$  becomes smaller. By separating the recoverable ( $\varepsilon_{H,r}$ ) and irreversible ( $\varepsilon_{H,j}$ ) deformation, a similar  $\varepsilon_{H,c}$  (~0.6) is found, beyond which the recoverable part remains basically unchanged while the unrecoverable part increases sharply. It is considered that as the strain reaches 0.6, both the untwisting of molecular chain and destroy of the crystal structure could occur, which leads to the increased plastic deformation of the system. Upon the strain is beyond 0.9, the degree of chain untwisting reaches the maximum, and a stable oriented fiber network structure forms, showing the phenomenon of elasticity enhancement. The deformation mechanism of PTFE changes from lamella slip at small strain to stretching induced formation of stable fibrils as evidenced by SEM and SAXS.

the polymer chains.14 For example, at 19 °C, the crystal form of PTFE changes from phase II to phase IV under stretching, and the crystal structure changes from ordered triclinic to partially ordered hexagonal with the expansion of the helical conformation between phases II and IV.14-17 At 25 °C, the crystal form of PTFE is phase IV, which transforms into phase I at a higher temperature. Above 30 °C, further rotational disordering and untwisting of the helices occurs indicating that the crystal form changes to phase I.17,18 It has been reported that the deformation and orientation behavior under stretching of PTFE directly correlate with its special crystal phases and their transitions.4,7,19 Besides, Brown20 found that crack propagation in PTFE is also strongly dependent on the brittle-to-ductile transition associating with the two phase transitions at room temperature, and the enhanced fracture toughness is ascribed to the formation of initial fibrils, which bridges the crack planes and enhances the plastic deformation. Obviously, the previous investigations9,21,22 more focused on the role of crystalline phase as an integrity on the fracture and microstructure evolution of PTFE, but the deformation mechanism and phase transition under stretching are somewhat rarely involved due to the various types of crystal form and their complex transition behaviors. In addition, the temperature dependent of the deformation and phase transition of PTFE has never been reported to the best of our knowledge.

In this work, we aim to construct an interrelation among the phase transition temperature, evolution of microstructures, and

<sup>&</sup>lt;sup>a</sup>College of Polymer Science and Engineering, State Key Laboratory of Polymer Materials Engineering of China, Sichuan University, Chengdu 610065, China. E-mail: yhniu@scu.edu.cn; Fax: +86-28-85401841; Tel: +86-28-85401841 <sup>b</sup>DEC Academy of Science and Technology Co, Ltd, China

the deformation under stretching. The temperature dependent deformation and microstructure evolution are particularly emphasized. There exists a critical value of strain ( $\varepsilon_{\rm H,c}$ ) at each stretching temperature, which corresponds to formation of the stable oriented fiber network structure. A correlation between the elastic strain ( $\varepsilon_{\rm H,e}$ ) and plastic strain ( $\varepsilon_{\rm H,p}$ )<sup>23-30</sup> has been constructed by performing cyclic tensile experiments. In the process of stretching, there are obvious fibrillation and elasticity reinforcement phenomena at larger strain above  $\varepsilon_{\rm H,c}$ .

## **Experimental section**

#### Materials and sample preparation

The PTFE raw materials used in this work were all from Jiangsu Meilan Chemical Co., Ltd. Miflon 3214 brand (medium granularity,  $T_{\rm g} = 130$  °C,  $T_{\rm m} = 329$  °C). All the tensile samples were dumbbell-shaped splines of the same size cut by a punching machine with a middle length of 10 mm and a width of 5 mm and then kept at 200 °C for 24 h to remove internal stress. All tensile tests were performed on a tensile hot stage (TXT-350 British Linkam Company), and the tensile rates were 500 µm s<sup>-1</sup>. To better separate the recoverable deformation ( $\varepsilon_{\rm H,e}$ ) and irreversible deformation ( $\varepsilon_{\rm H,p}$ ), the cyclic tensile measurements were carried out. The samples were stretched step-by-step at a constant speed and returned to the zero stress, then continued to be stretched at this given speed until it reached a larger strain.<sup>24,31</sup>

Actually, the sample is usually non-uniformly deformed under certain stretching conditions. The Hencky strain  $\varepsilon$  can be used to represent the local strain of polymer during the stretching process. The expression is:

$$\varepsilon_{\rm H} = \int_{L_0}^{L} \frac{\mathrm{d}L}{L} = \ln \frac{L}{L_0} = \ln(1+\varepsilon) = \ln \lambda \tag{1}$$

where  $L_0$  and  $\Delta L$  are the initial length of the stretched spline and the length of the sample extended in the stretching direction respectively. Assuming that the volume of the sample does not change under stretching, and the width and thickness change at the same ratio, the Hencky strain can be obtained by the following formula:

$$\varepsilon_{\rm H} = \ln \lambda = \ln \frac{L}{L_0} = \ln \frac{A_0}{A} = 2 \ln \frac{b_0}{b}$$
 (2)

where  $b_0$  and b are the widths of undeformed and deformed area respectively, and  $A_0$  is the area of the original cross-section of the stretched spline. In this part, all strains are calculated from the above formula, and the stretching direction is horizontal.

#### **DSC** measurements

Differential scanning calorimeter (DSC) measurements were carried out with a Q20 and TA Q200 System (American) calibrated by using indium as a standard under  $N_2$  atmosphere. For the study of phase transition temperature, the samples were first quenched to -40 °C and kept for 5 min, and then heated to 50 °C at a rate of 10 °C min. For molten samples, it was also first

#### Scanning electron microscope (SEM) observation

The scanning electron microscope (SEM) observation were performed on the JSM-5900LV, JEOL, Japan. The fracture surface was sprayed with gold at a voltage of 10 kV and a current of 10 mA before observation.

#### Small-angle X-ray scattering (SAXS) measurements

The SAXS experiments were carried out on XENOCS, France. The changes of long period and lamellar thickness for the prestretched samples could be calculated. The wavelength of X-ray radiation was 0.154 nm and the sample-to-detector distance was 3070 mm. The pre-stretched samples were prepared by a Linkam TXT 350 stretching hot-stage at different stretching rates. The lamella thickness (*l*) and the long spacing ( $l_c$ ) are derived from Lorentz correction.<sup>31,32</sup> The correlation function K(z) was calculating by inverse Fourier transformation of the experimental intensity distribution I(q) as follows:

$$K(z) = \frac{\int_0^\infty I(q) \cos(qz) dq}{\int_0^\infty I(q) dq}$$
(3)

#### X-ray diffraction (XRD) measurements

To investigate the effect of tensile deformation on the crystal structure of PTFE, XRD measurements (Ultima IV, Rigaku, Japan) were performed. The experimental data was collected between  $2\theta = 15^{\circ}$  and  $60^{\circ}$  at  $2^{\circ}$  intervals. A copper target and a high-speed array detector were employed. The tube voltage and current are 20–60 kV and 20–60 mA, respectively.

### Results and discussion

Fig. 1a shows the true stress-strain curves of PTFE before fracture at a stretching temperature of 25, 50, and 100 °C, respectively, with a stretching rate of 500  $\mu$ m s<sup>-1</sup>. All curves could be roughly divided into two stages, namely elastic deformation at small strain, and strain hardening. As the temperature rises, the elastic modulus of PTFE gradually decreases due to the accelerated relaxation of polymer chains. At higher temperature, such as 50 and 100 °C, there is an evident phase transition as the deformation is larger than 60%. This is because the frozen chain segment starts to move with the help of a large external force, thereby presenting a forced high elastic deformation at a high temperature.6 The DSC heating curves of the samples experienced stretching (fractured) at different temperatures are shown in Fig. 1b. Besides the melting point, there are two phase transition temperatures locating at  ${\sim}22$  °C and  $\sim$ 30 °C, which correspond to the transformation of II–IV phase and IV-I phase. With increasing stretching temperature, the melting point and crystallinity basically do not change, while the two phase transition peaks coherently shift to lower temperatures. This might be due to the occurrence of the phase

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transition at different conditions. For the samples stretching at 50 and 100 °C, the phase transitions form in the subsequent cooling process because they could be destroyed at higher temperature, while stretched at 25 °C, the transformation happens directly during the stretching. Thus the slight shift to lower temperature of the phase transition peak with the increased stretching temperature might be explained from the higher degree of untwisting of the polymer chain.<sup>33-35</sup> Fig. 1c shows the SEM micrographs of the fractured cross-section under different stretching conditions. As the stretching temperature rises, the oriented structure and even fibrils can be clearly observed. Brown<sup>20</sup> et al. considered that fibrils nucleate from a point of stress concentration and form in the principle stress direction. Alternately, Ariawan<sup>36</sup> et al. suggest that fibrils are oriented amorphous PTFE formed by unwinding of the crystalline domains. Moreover, fibril formation is an orientation process and provides significant increases in the elastic strength of PTFE.

In order to study the relationship between deformation and phase transition temperature of PTFE in the stretching process, DSC experiments were conducted to detect the phase transformation under cyclic tensile conditions as shown in Fig. 2. One can see that at lower stretching temperature such as 25 °C, both the two phase transitions shift to lower temperatures above a critical value of strain. At higher stretching temperatures, such a critical strain influencing the changes of the phase transition temperature becomes more subtle or even disappears. Comparing with the low temperature transition II–IV at ~20 °C, the transition of IV–I near 30 °C looks blurred, especially at larger strain. One reason is that the small heat changes of rotational disordering and untwisting of the helices during

the transition, and the other reason might be due to the wider scale of the figures. However, as referring to previous publications,<sup>14,18</sup> there indeed exists a transition of IV–I phase at 30 °C rather than experimental errors.

For clarity, Fig. 3 summarizes the strain dependence of the two phase transition temperatures (II-IV and IV-I) under different stretching conditions. It can be seen that the phase transition temperature of II-IV and IV-I in the small strain region was basically unchanged at 25 °C and 50 °C, and after the strain reached the first critical point of 0.6, the phase transition temperature decreased evidently with increasing strain. As the strain reaches the second critical strain of 0.9, the phase transition temperature in the large strain region remains also unchanged. At higher stretching temperature such as 100 °C, the first critical strain is hardly detectable. Generally speaking, the decrease of the phase transition temperature of PTFE is related to the degree of its helix conformation and the size of lamellar.31 The first critical strain at 100 °C is lower, which is due to the larger free volume and the enhanced molecular chain movement ability at high temperature.<sup>36,37</sup> In addition, at higher stretching temperature of 50 and 100 °C, the phase transition temperature of large strain zone no longer changes significantly, indicating that the thickness of PTFE lamellar and the degree of untwisting of the molecular chains reach an equilibrium state. In other words, the lamellar slips into fibers, and the molecular chains were fully extended to form a stable fiber structure.<sup>20</sup> And under the action of stress, the fiber network deformed, and the molecular chains and lamellar existed stably. Of course, the temperature scale is very small in Fig. 3 and lack of error bar. This is due to the experimental limits, in which we cannot accurately control the strain for each sample. However,

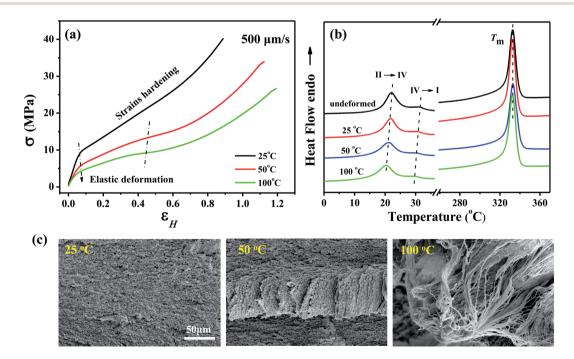


Fig. 1 The true stress-strain curves (a), changes in phase transition temperature and melting point (b) and section morphology of PTFE (c) at 25, 50 and 100 °C with a tensile rate of 500  $\mu$ m s<sup>-1</sup>.

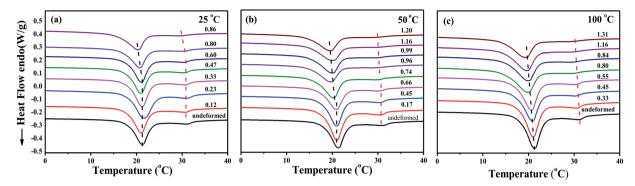


Fig. 2 Changes of DSC curves for the PTFE samples experienced cyclic stretching at varying strains under different stretching conditions of 25, 50, 100 °C with a tensile rate of 500  $\mu$ m s<sup>-1</sup>.

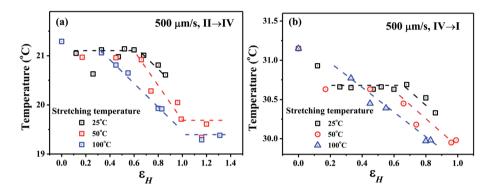


Fig. 3 Changes of phase transition temperature II-IV (a) and IV-I (b) with stretching strain for PTFE samples under different conditions of 25, 50, 100 °C with a tensile rate of 500  $\mu$ m s<sup>-1</sup>

the changes of phase transition of II-IV under different stretching conditions show the similar trend, indicating the reliability of our data.

To understand the microstructure changes of the samples during stretching, the 2D SAXS diagram of PTFE under different stretching conditions of 25, 50, 100 °C with a tensile rate of 500  $\mu$ m s<sup>-1</sup> are shown in Fig. 4a. At small stretching strain, isotropic

scattering patterns are expected,38 with the increasing deformation an isotropic to anisotropic transition occurs for all the samples indicating the gradual formation of oriented structure. Comparing different stretching conditions, the critical transition strain shows a decreasing trend with the increasing temperature indicating the faster orientation at higher temperatures.31 This phenomenon is coincident with the DSC

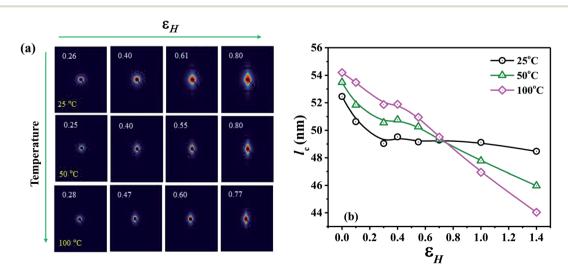


Fig. 4 Two-dimensional SAXS diagram (a) and the changes of lamellar thickness (l,) with total strain at different stretching temperatures (b).

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result, indicating the deformation has great influence on the phase transition of PTFE. Based on 1D SAXS data, we calculated the lamellar thickness  $(l_c)$  as shown in Fig. 4b. Considering the small changes in the crystal structure of each phase and similar densities, the one-dimensional correlation function was used.<sup>39</sup> With the increase of strain, the lamella thickness shows a decreasing trend, while at larger strain the decreasing is more significant under higher temperature stretching. There also exists a critical strain near 0.6, beyond which the changes of  $l_{\rm c}$ becomes more evident. It is also interesting that there is a crossover point at a strain of  $\sim$ 0.7 for the corves at different temperatures. This might be due to the exhaustion of the lamella slip capacity. At the same time, the molecular chain is untwisted under the action of stress and the lamellar begin to become fibers, which accelerates the decrease in the size of the lamellar.

In order to further study the changes of crystal structure under deformation, XRD measurement was carried out for the stretched samples and the results are shown in Fig. 5. Noted only the characteristic peaks within the range of  $30-50^{\circ}$  are selected for qualitative analysis because of the much stronger diffraction of (100) crystal plane near  $18^{\circ}$ . The (107), (108) and (117) peaks corresponds to phase II and IV, and (200), (210) peaks are assigned to phase I. From Fig. 5, we can see that the (107) and (108) peaks disappear at the strain of ~0.6 under the condition of 25 and 50 °C, while for the higher stretching temperature of 100 °C, the disappearance of these two peaks occurs at a smaller strain of ~0.3. This indicates that the destruction of the crystal structure is easier at higher stretching temperature. Furthermore, the enhancement of (210) peaks is more obvious with the increasing strain at 100  $^{\circ}$ C,<sup>21,35</sup> which might be due to the easier transformation from phase IV to phase I. The above phenomena are consistent with the results of DSC and SAXS.

The cyclic stretching experiments for PTFE samples at different temperature are carried out in order to investigate the elastic and plastic deformation.<sup>24,31</sup> The relationship between elastic strain ( $\varepsilon_{H,e}$ ), plastic strain ( $\varepsilon_{H,p}$ ) and total strain ( $\varepsilon_{H,c}$ ) during cyclic stretching is shown in Fig. 6. We can see that the elastic strain and plastic strain increase simultaneously in the small strain zone. When the total strain increases to the first critical strain, the elastic strain begins to decrease. At this point, the slippage of the lamellar ends, and the molecular chains are untwisted and oriented, which correspondingly causes the plastic strain increases sharply. When the strain was greater than 0.9 at the higher stretching temperature (50, 100 °C), the elastic strain reminded constant, indicating the elasticity reinforcement occurs in the last stage of stretching.<sup>31</sup>

In order to separate the irreversible plastic deformation  $\varepsilon_{H,i}$ and reversible deformation  $\varepsilon_{H,r}$ , the stretched samples were heated above the melting point of PTFE and then cooled down to measure the reversible deformation.<sup>23-30</sup> As shown in Fig. 7, both the  $\varepsilon_{H,i}$  and  $\varepsilon_{H,r}$  increase gradually at the beginning of stretching, while above a critical strain the reversible deformation remains constant and the irreversible part continuously increases. This indicates that irreversible deformation is

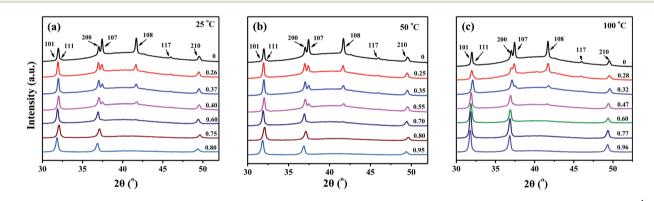


Fig. 5 Changes of crystal plane with increasing strain for PTFE samples stretched at 25, 50 and 100  $^{\circ}$ C with a tensile rate of 500  $\mu$ m s<sup>-1</sup>.

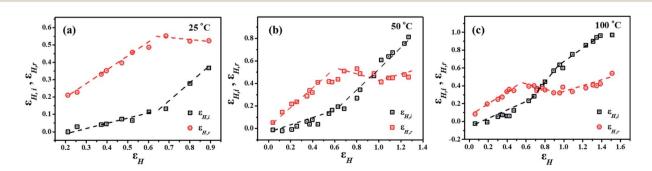


Fig. 6 Changes of plastic strain ( $\varepsilon_{H,p}$ ) and elastic strain ( $\varepsilon_{H,e}$ ) with increasing total strain ( $\varepsilon_{H,c}$ ) at different temperatures with a tensile rate of 500  $\mu$ m s<sup>-1</sup>.

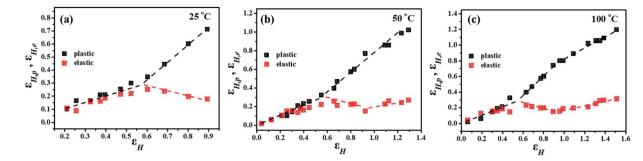


Fig. 7 Changes of permanent strain ( $\epsilon_{H,i}$ ) and recoverable strain ( $\epsilon_{H,r}$ ) with increasing total strain ( $\epsilon_{H,c}$ ) at different temperatures with a tensile rate of 500 µm s<sup>-1</sup>.

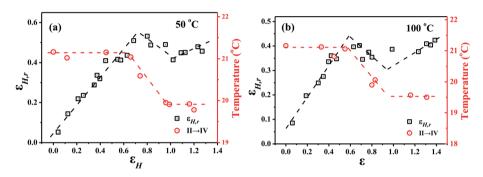


Fig. 8 The correspondence between phase transition temperature change and recoverable deformation at the stretching temperature of 50 °C and 100 °C

induced by the slippage of lamella<sup>20</sup> and the recoverable deformation is ascribed to the uncoiling of molecular chain. The recoverability of the sample increased at strain larger than 0.9 due to reinforcement of fibrils.<sup>40</sup> In Fig. 8, we have compared the changes of phase transition temperature and the reversible deformation with the total strain. One can see that there exists two similar critical strains (0.6 and 0.9) for both the phase transition and the reversible deformation. Based on the results, it seems that the phase transition and deformation of PTFE correlate closely with each other and might be driven by the same mechanism, which has never been reported and need further investigation.

## Conclusions

A series of stretching deformation experiments were performed to clarify the phase transition behavior under different stretching conditions. After PTFE was stretched, the phase transition temperature of IV-I and II-IV decreased. The II-IV phase transition temperature had a critical strain point with the change of strain while the value of  $\varepsilon_{H,c}$  becomes smaller at higher temperature. Since the free volume is larger and the mobility of the molecular chain is enhanced, which could cause the phase transition temperature dropping at a low strain at a stretching temperature of 100 °C. By separating the recoverable  $(\varepsilon_{H,r})$  and irreversible  $(\varepsilon_{H,i})$  deformation, a similar  $\varepsilon_c$  is found, beyond which the recoverable part remains basically unchanged while the unrecoverable part increases sharply. It is

considered that as the strain reaches 0.6, both the untwisting of molecular chain and destroy of the crystal structure could occur, which leads to the increased plastic deformation of the system. Upon the strain is beyond 0.9, the degree of chain untwisting reaches the maximum, and a stable oriented fiber network structure forms, showing the phenomenon of elasticity enhancement.

## Conflicts of interest

There are no conflicts to declare.

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