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# Physical mechanisms of photoinduced charge transfer in neutral and charged donor–acceptor systems

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In this paper, we provide visualization methods to reveal the physical mechanisms of photoinduced charge transfer in neutral and charged donor–acceptor systems. These visualization methods use the charge density difference and transition density matrix, which can promote deeper understanding of photoinduced charge transfer in donor–acceptor systems.

## 1. Introduction

Photoinduced charge transfer is one of the most crucial issues in the fields of biology, material science and chemistry.<sup>1–5</sup> To better understand the processes of photoinduced charge transfer, revealing the physical mechanisms of photoinduced charge transfer is required in neutral and charged donor–acceptor systems;<sup>1–3</sup> for example, the electron–hole redistribution after excitation<sup>6</sup> and the electron–hole coherence<sup>7</sup> in the dynamic process of charge transfer. In this paper, we report visualization methods to visually reveal the physical mechanisms of photoinduced charge transfer in neutral and charged donor–acceptor systems of poly[2,1,3-benzothiadiazole-4,7-diyl [4,4-bis(2-ethylhexyl)-4*H*-cyclopenta-2,1-*b*:3,4-*b'*]dithiophene-silole-2,6-diyl] (Si-PCPDTBT, see inset in Fig. 2(a)), based on the recent experiments in ref. 8–10. The optoelectrical properties of the donor–acceptor systems of Si-PCPDTBT and PCPDTBT as candidate for organic solar cells have been reported in ref. 8–11.

## 2. Methods

All the theoretical calculations were performed with the Gaussian 16 software.<sup>12</sup> The default boundary condition and vacuum environment were used. The geometry of Si-PCPDTBT at ground state was optimized with density functional theory (DFT),<sup>13</sup> B3LYP functional,<sup>14</sup> and the 6-31G(d) basis set. The side chains of Si-PCPDTBT which are not conjugated bonds were replaced by H atoms and each unit cell contained 20 non-H

atoms in our calculations. The optical properties of electrical transitions were calculated with time-dependent DFT (TD-DFT),<sup>15</sup> LC-BLYP functional<sup>16</sup> and the 6-31G(d) basis set. Here, the long-range corrected (LC) functional was used instead of the more common Coulomb-attenuated method (CAM), because we noted that the CAM-B3LYP functional only has a 65% fraction of asymptotic nonlocal exchange as opposed to the rigorously correct 100% fraction that the exact exchange–correlation functional would have. Previous works have showed that charge-transfer effects with a “full” range-separated functional can give more accurate charge-transfer excitation energies.<sup>17,18</sup> Previously, it was shown that optimally tuned range-separated functionals are much better for such visualizations in donor–acceptor complexes. Therefore, we took the LC-BLYP functional to tune the separation parameter for the investigated system.<sup>19–21</sup> Charge density difference (CDD)<sup>6</sup> and transition density matrix (TDM)<sup>7</sup> were employed to visually reveal the physical mechanisms of photoinduced charge transfer in neutral and charged donor–acceptor systems. Detailed information on the visualization methods has been described in ref. 22.

## 3. Results and discussion

The range-separation equation splits the exchange into short-range and long-range parts in terms of the interelectronic distance ( $r_{12}$ ),

$$\frac{1}{r_{12}} = \frac{1 - [\alpha + \beta \text{erf}(\omega r_{12})]}{r_{12}} + \frac{\alpha + \beta \text{erf}(\omega r_{12})}{r_{12}} \quad (1)$$

where  $\omega$  is the range-separation parameter, while  $\omega$  and  $\alpha + \beta$  indicate the fraction of exact exchange (eX) in the short-range and long-range limit, respectively. In order to achieve optimal TD calculation, we took the LC-BLYP functional and tuned the range-separation parameter ( $\omega$ ) to the investigated system.  $\alpha$  and  $\beta$  use the default values of 0.25 and 0.75, respectively. According to the exact condition of the Kohn–Sham theory, the

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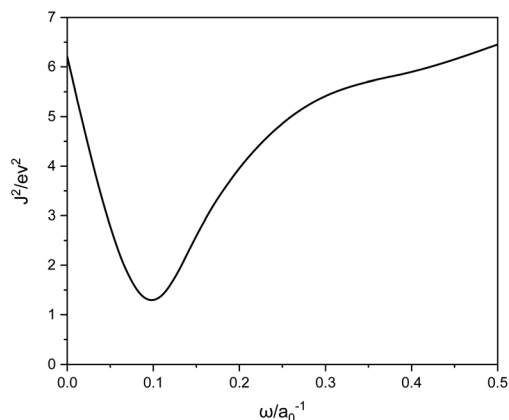


Fig. 1  $J^2$  versus  $\omega$  calculated with LC-BLYP.

energy of the HOMO of the  $N$ -electron system ( $\epsilon_H(N)$ ) is equal to the negative vertical ionization potential ( $-\text{IP}(N)$ ). Therefore, optimal tuning can be achieved by minimizing  $J^2$ .

$$J^2 = \sum_i [\epsilon_H(N+i) + \text{IP}(N+i)]^2 \quad (2)$$

For the systems under study,  $\omega = 0.1$  is the best, as shown in Fig. 1. We can see that  $\omega$  is much smaller than the typical LC functional values of 0.3–0.5, meaning a switch to eX at larger  $r_{12}$ .

Fig. 2(a) demonstrates the unit number ( $n$ )-dependent optical excitation energies  $S_1$  for Si-PCPDTBT. It is found that

the  $S_1$  energy exponentially decreases with the increase of  $n$ , which can be used to estimate the  $S_1$  energies when  $n$  is large enough, and that  $E_{n=\infty} = 1.4$  eV. Fig. 2(b) shows the absorption spectrum of Si-PCPDTBT ( $n = 5$ ), which demonstrates that the strongest optical absorption is for  $S_1$  and the optical absorption strength is almost vanished for  $S_2$ , while the optical absorption for  $S_3$  is much weaker than that of  $S_1$  but stronger than that of  $S_2$ . Fig. 2(c) and (d) are the absorption spectra for the  $-2e$  and  $+2e$  charged Si-PCPDTBT ( $n = 5$ ), respectively. It is found that the absorption peaks of charged Si-PCPDTBT are significantly red-shifted to the IR region.

To reveal the optical properties of photoinduced charge transfer, the visualization method of charge density difference (CDD) was employed. Fig. 3 demonstrates that the holes and electrons are localized on the donor and acceptor units, respectively; where green and red stand for the holes and electrons, respectively. CDD can well demonstrate the distribution of holes and electrons along Si-PCPDTBT, which is the result of photoinduced charge transfer. However, the electron-hole coherence on the photoinduced charge transfer cannot be reflected, such as the locations of electrons and holes on Si-PCPDTBT after the photoinduced charge transfer.

To reveal the electron-hole coherence on the photoinduced charge transfer for the different excited states, transition density matrix (TDM) was employed, as seen in Fig. 4. For  $S_1$ , the TDM reveals that the electron-hole coherence in the inner units is much stronger than that in the outer units along the molecular chain (along the symmetric diagonal) and the length of electron-hole coherence is about 30 atoms (along the anti-symmetric diagonal). For  $S_2$ , along the symmetric diagonal,

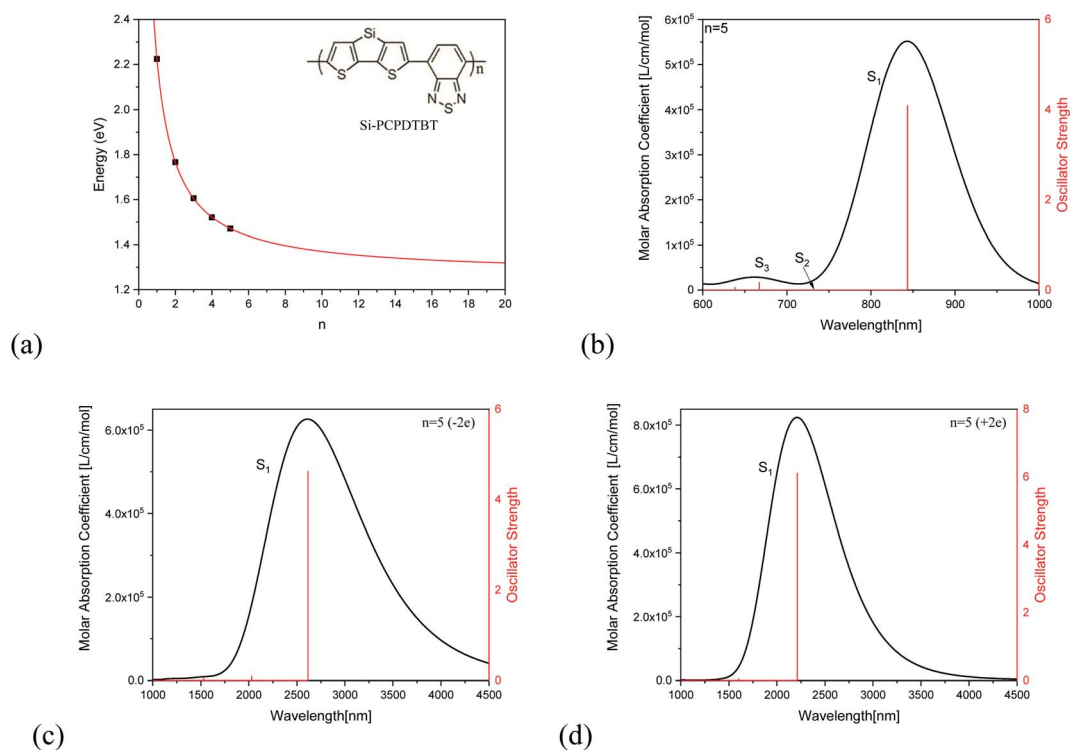


Fig. 2 Optical properties of Si-PCPDTBT. (a)  $n$  dependent  $S_1$  transition energy and (b) optical absorption of Si-PCPDTBT ( $n = 5$ ). (c) and (d) are the absorption spectra for the  $-2e$  and  $+2e$  charged Si-PCPDTBT ( $n = 5$ ), respectively.



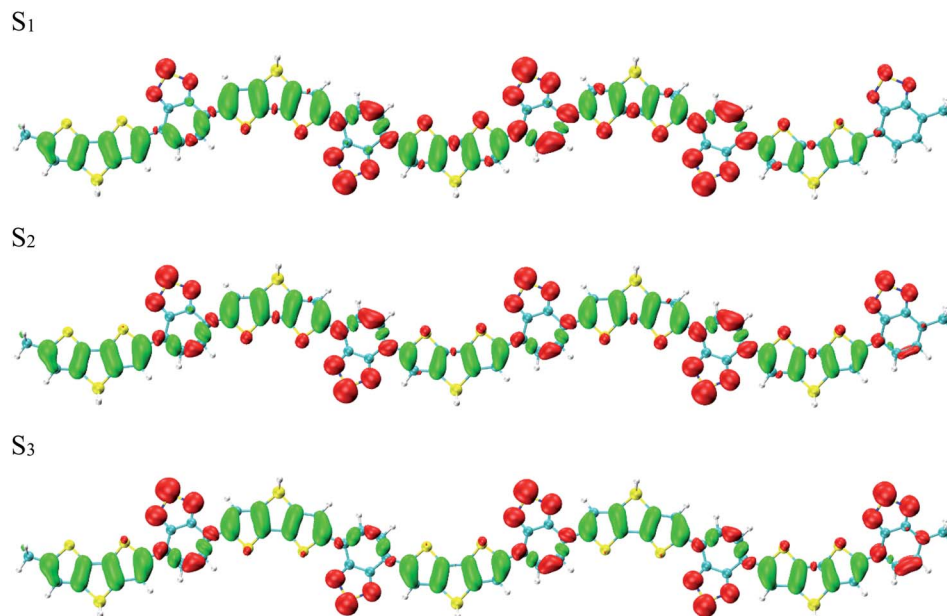


Fig. 3 Charge density difference (CDD) for the first three excited states, where green and red stand for holes and electrons, respectively.

we can see that there are two sub-sections for the electron-hole coherence with a nod at the center point of the molecular chain, which reveal that there are two sub-transition dipole moments with opposite orientations along the molecular chain, which results in  $\vec{\mu}_{\text{total}} = \vec{\mu}_1 + \vec{\mu}_2 \approx 0$ , and a vanished absorption peak. For  $S_3$ , the most distinguished characteristic is that there are two nodes for the electron-hole coherence, and three sub-transition dipole moments, while, the outer two sub-transitions are of opposite orientations, which results in  $\vec{\mu}_{\text{total}} = \vec{\mu}_1 + \vec{\mu}_2 + \vec{\mu}_3 \approx \vec{\mu}_2$ . These results reveal that  $\vec{\mu}_{\text{total}}(S_1) > \vec{\mu}_{\text{total}}(S_3) > \vec{\mu}_{\text{total}}(S_2)$ , and the optical absorption strengths are  $f(S_1) > f(S_3) > f(S_2)$ , as seen in Fig. 2(b).

Comparing the transition density matrix calculated with the LC-BLYP functional in TD-DFT in Fig. 4 and those calculated with the CAM-B3LYP functional in TD-DFT in Fig. 5, we find that the results in Fig. 4 can reflect the photoinduced charge transfer better than that in Fig. 5 for the individual excited states.

The visualization methods in Fig. 3 and 4 were used to reveal the charge transfer and electron-hole coherence for the neutral donor-acceptor system. If the donor-acceptor system is

a positively or negatively charged system, the charge transfer and electron-hole coherence are significantly different from those in the neutral system. To demonstrate that, the optical properties of  $S_1$  are investigated, as seen Fig. 6 and 7. Fig. 6(a) and (b) illustrate the  $-2e$  and  $+2e$  charged CDD for  $S_1$  of Si-PCPDTBT, respectively. It is found that the additional charges move to the outer units; for example, negative charges are moved to the outer units and positive charges are localized in the inner units for the  $-2e$  Si-PCPDTBT, but for the  $+2e$  Si-PCPDTBT, additional positive charges are moved to the outer units and the negative charges are localized in the inner units. Therefore, for the charged system, the distribution of electron and holes is independent of the donor or acceptor units of Si-PCPDTBT. Comparing the electron-hole coherence for charged  $S_1$  (in Fig. 7) and neutral  $S_1$  (in Fig. 4), it is found that the electron-hole coherence in the outer units is stronger than that in the inner units (along the symmetric diagonal), and the delocalization length of the charged system is larger than that of the neutral system (along the anti-symmetric diagonal).

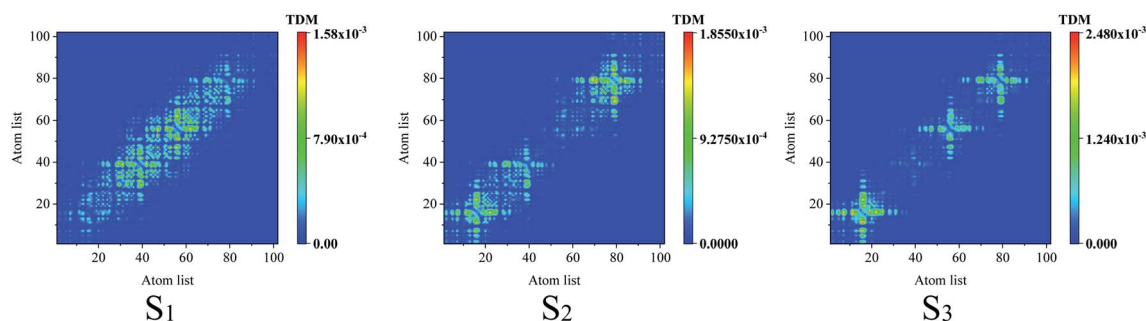


Fig. 4 Transition density matrix for the first three excited states, where the scale bar stands for the strength of electron-hole coherence. TD-DFT calculated with the LC-BLYP functional.





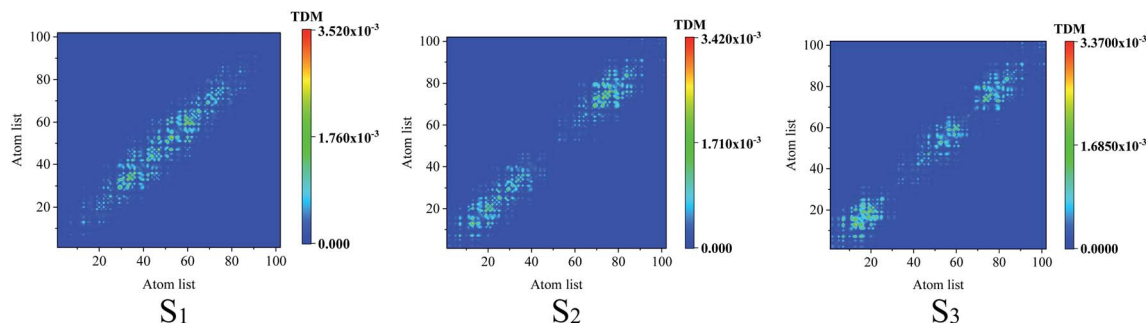


Fig. 5 Transition density matrix for the first three excited states, where the scale bar stands for the strength of electron–hole coherence. TD-DFT calculated with the CAM-B3LYP functional.

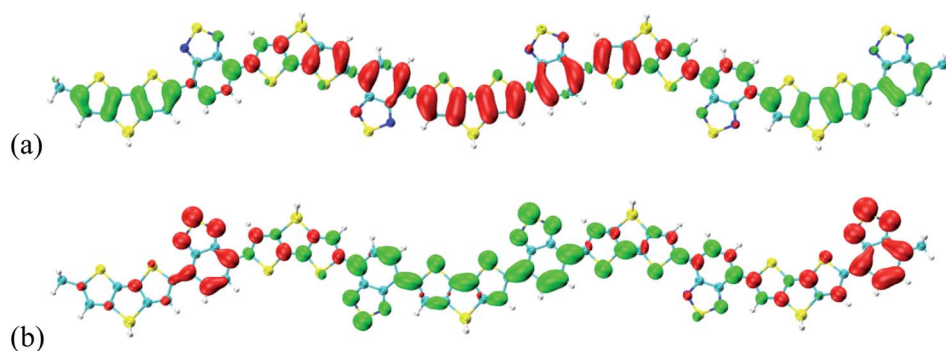


Fig. 6 (a)  $-2e$  and (b)  $+2e$  charged CDD for  $S_1$  of Si-PCPDTBT, where green and red stand for holes and electrons, respectively.

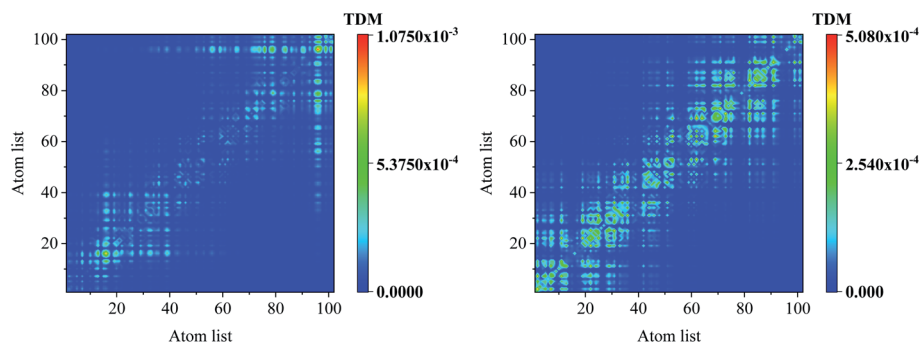


Fig. 7 Transition density matrix for the first excited states, where the scale bar stands for the strength of electron–hole coherence.

In order to investigate the extent of physical CT in the CT excitation, the spatial overlap between different excited states was calculated. The  $\mathcal{A}$  index essentially measures the overlapping degree of holes and electrons of the electron excitations,<sup>23</sup> which is defined by

$$\mathcal{A} = \frac{\sum_{i,a} \kappa_{ia}^2 O_{ia}}{\sum_{i,a} \kappa_{ia}^2} \quad (3)$$

where  $O_{ia} = |\varphi_i| |\varphi_a|$  represents the spatial overlap for an orbital pair  $i$  and  $a$ , and  $\kappa$  is used to measure the contribution from the occupied-virtual pair. The value of  $\mathcal{A}$  is between 0 and 1. A small value of  $\mathcal{A}$  signifies a long-range excitation and a large value

signifies a short-range excitation. We performed a  $\mathcal{A}$ -analysis for the neutral and charged systems. For neutral systems, the  $\mathcal{A}$  indices of  $S_0 \rightarrow S_1$ ,  $S_0 \rightarrow S_2$ , and  $S_0 \rightarrow S_3$  are 0.66, 0.62 and 0.60, respectively, which suggests that the extent of excitation increases with increasing excitation level. The  $\mathcal{A}$  indices of  $S_0 \rightarrow S_1$  are 0.48 and 0.56 for the  $-2e$  and  $+2e$  systems, respectively, which suggests that the charged systems have longer-range excitation.

## 4. Conclusion

In summary, the physical mechanisms of photoinduced charge transfer in neutral and charged donor–acceptor systems are





revealed by the visualization methods of CDD and TDM in donor-acceptor systems, which can promote a deeper understanding of the physical mechanisms of photoinduced charge transfer.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

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