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Combining radial and continuous flow synthesis to optimize and scale-up the production of medicines[†]

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Current drug production in batch cannot adapt rapidly to market demands, evidenced by recent shortages in many markets globally of essential medicines. Flow chemistry is a valuable tool for on-demand production of active pharmaceutical ingredients (APIs). Here, we reveal a new concept to develop and produce APIs, where an automated synthesizer that works with discrete volumes of solutions is employed at the discovery stage to identify the optimal synthetic route and conditions before a commercially available continuous flow system is used for scaleup. This concept is illustrated by the synthesis of nifedipine and paracetamol, in short supply in Germany during the COVID-19 pandemic, and the local anesthetic lidocaine.

Drug production is a space and time-consuming process. Today, the primary manufacturing, *i.e.* the production of an active pharmaceutical ingredient (API), is often outsourced to a contract manufacturing organization (CMO) and performed in locations that are geographically separate from the secondary manufacturing, *i.e.* the formulation of the final dosage form.⁹ This presents problems when needs suddenly fluctuate due to the lack of rapid flexibility – a challenge intricately linked to the discontinuous batch mode of operation that protracts production times to several months.

Batch manufacturing suffers from the need for large equipment and difficulties in reaction scale-up. Therefore, pharmaceutical production in a country cannot be adapted immediately to its needs. Flow chemistry is an attractive

^a Department of Biomolecular Systems, Max Planck Institute of Colloids and Interfaces, Am Mühlenberg 1, 14476 Potsdam, Germany. alternative process to overcome the space-time-cost issues related to the batch production of pharmaceuticals, as continuous flow processes can be carried out using compact systems and are readily scalable.^{2-4,6}

On-demand production can help avoid drug shortages compensating for unexpected fluctuations in API availability.¹ Flow processes not only facilitate scale-up, but ensure reproducibility while transferring the synthesis from the discovery to the production stage.

Automated synthesizers incorporating continuous flow techniques have been developed for on-demand API production,^{5,10,11} and these modular reconfigurable systems are now supported by artificial intelligence for retrosynthesis planning and robotics for the physical reconfiguration of the system between syntheses.5 An alternative approach relies not on automated reconfigurability, but on radially arranged, equally accessible flow reactors in an automated system capable of sequential, non-simultaneous processes. The conditions of each step within a radial synthesis are functionally independent, and reactors can be reused under different conditions - allowing for facile and rapid screenings, optimization (of single- or multistep processes), and synthesis of both linear and convergent pathways.⁷ The radial synthesizer is optimal for the discovery stage of the synthetic process and does not require physical reconfiguration of the instrument between processes. It is made from commercially available equipment and the automation software is freely available.⁷

Continuous flow protocols can be readily transferred to radial flow.⁷ Here, we show that the conditions optimized during reaction development on the radial synthesizer (temperature, pressure, concentration, stoichiometry, solvent, and residence time) are readily translated to a commercial continuous flow system for scale-up. To illustrate the importance of rapid access to convenient routes to APIs and their rapid scale-up, we selected paracetamol (1) and nifedipine (2), two APIs that were in short supply in several European countries⁸ during the COVID-19 pandemic, as well

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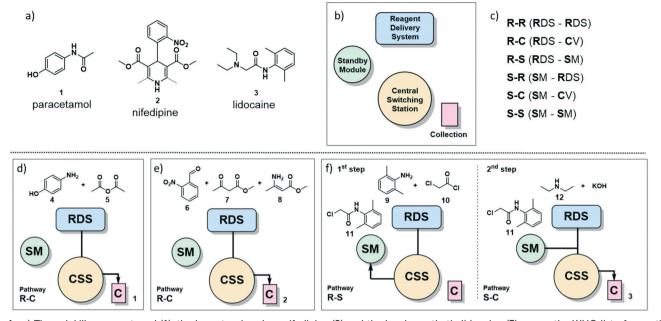


Fig. 1 a) The painkiller paracetamol (1), the hypertension drug nifedipine (2) and the local anesthetic lidocaine (3) are on the WHO list of essential drugs.¹³ b) Main sections of the radial synthesizer: reagent delivery system (RDS), central switching station (CSS), standby module (SM) and collection vessels (C). c) Six pathways of solution flow through the instrument are described by the starting location of the reagents and their destination. For example, R-C refers to a solution starting in the RDS and ending in the collection vessel. d) Reagents and pathway for the radial synthesis of paracetamol (1). e) Reagents and pathway for the radial synthesis of nifedipine (2). f) Reagents and pathways for the radial synthesis of lidocaine (3).

as the synthesis of lidocaine (3) that was in shortage in Sweden⁸ and is often in short supply in Canada¹² (Fig. 1a).

Radial synthesizer

The radial synthesizer⁷ (Fig. 1b) is composed by four main sections: the reagent delivery system (RDS) where reagents are mixed and added to the reaction, the central switching station (CSS) that connects to all reactors; the standby module (SM) for intermediate storage, and the collection vessels (C). These four sections are accessible by the flowing solution *via* six main pathways, defined by the starting point and destination of the reagent stream (Fig. 1c). Each pathway proceeds through the CSS and the chosen reactor at the conditions set.

For single step syntheses such as paracetamol (1) and nifedipine (2) (Fig. 1d and e, respectively), the solution flows from the RDS, through the CSS, and is sent to a collection vessel (C) for purification or analysis (termed the **R**–**C** path).

For multistep syntheses, such as for lidocaine (3) (Fig. 1f), the solution coming from the RDS in the first step will proceed to the SM (**R**–**S** path) for intermediate storage. In the second step, this intermediate solution (stored in the SM) is mixed with additional reagents coming from the RDS and collected (**S**–**C** path).

The chemical transformations of the three syntheses described here are performed either at room or elevated temperature. All of these transformations are performed using the same PFA 10 mL coil reactor, as temperature and flow rate can be set independently for each synthetic step. The latter allows for different residence times can be achieved within the same 10 mL reactor. Long residence times can be achieved using the stop-flow mode, a function of the radial synthesizer that seals the reaction mixture inside a specific module by diverting the carrier gas stream through different ports of the CSS.

Synthesis of paracetamol (1)

Paracetamol, a commonly used and inexpensive painkiller, was in short supply in Germany during the COVID-19 pandemic in early 2020.⁸ Aiming at developing a scalable process for the production of paracetamol from starting materials available in Europe, we evaluated the possible starting points for the synthesis. Phenol,¹⁴ 4-nitrophenol,¹⁵ and 4-aminophenol¹⁶ can serve as starting materials.

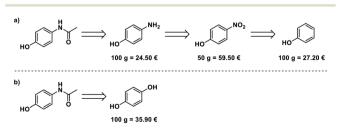


Fig. 2 Two potential routes for the synthesis of paracetemol, starting from either (a) phenol, 4-nitrophenol, or 4-aminophenol, or (b) hydroquinone. Prices for all potential starting materials from Merck's web catalogue on 08/07/2020.

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4-Aminophenol is the most cost-efficient starting material (Fig. 2a). An alternative route starts from hydroquinone and uses ammonium acetate for *para*-aminophenol formation and acetylation¹⁷ (Fig. 2b), but this is more expensive than the simple acetylation of 4-aminophenol.

Optimization of the synthesis of 1 in the radial synthesizer

A solution of 4-aminophenol (4) in a mixture of water/acetic acid (4:1, 2 M) and neat acetic anhydride (5) were placed in the reagent delivery system (RDS) of the radial synthesizer. Screening of temperature and residence time were performed selecting the **R**-**C** pathway, using 0.5 mL of each reagent solution per experiment (Fig. 1d, see ESI† for details).

The reaction proceeded smoothly in five minutes at room temperature with no precipitation observed when using neat acetic anhydride (5). Rapid screening of the reaction stoichiometry revealed that direct product crystallization begins sooner when fewer equivalents of acetic anhydride are employed. Paracetamol crystallizes ten minutes after addition when working with three equivalents of 5.

Scale up of the synthesis of 1 in continuous flow

This process was scaled up feeding a solution of 4 in water/ acetic acid (4:1; 2 M) from pump A and neat acetic anhydride (5) from pump B. When a 10 mL coil reactor was used, the two feeds were set at 1.5 and 0.45 mL min⁻¹ respectively to achieve the optimized five minute residence time, and the resulting solution was collected upon reaction

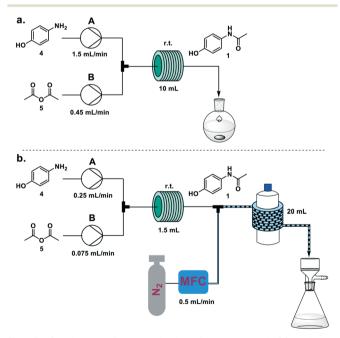


Fig. 3 Continuous flow synthesis of paracetamol (1) using a Vapourtec R2 pump module and a room temperature PFA reactor coil (10 mL, i.d. 0.8 mm). a) The product crystallized when the solution exiting the flow setup was stirred for 1 h. b) The product was crystallized inline using an SMBR system, with nitrogen introduced *via* a T-mixer and a 20 mL reactor (i.d. 1.6 mm) wrapped around a 1 L flask at room temperature. Crystals were collected on filter paper.

in a flask and stirred at room temperature for 1 h. Running the system for 15 minutes we achieved 6.36 g of crystallized 1 (94% yield) (Fig. 3a). Productivity for this process was 25.6 g h^{-1} , translating to 1229 doses per day (see electronic supplementary information for productivity calculations).

The occurrence of spontaneous crystallization after reaction completion presents the opportunity for a telescoped in-line crystallization module (Fig. 3b). This module was based on the SMBR (serial micro-batch reactors) technique¹⁸ that generates a segmented flow, spaced by nitrogen gas, to efficiently transport heterogeneous material in flow. Crystallization occurs in droplets and the slurry exiting the telescoped process is directly filtered, providing pure crystals of paracetamol. To achieve a sufficient residence time (30 min) in the crystallization module (20 mL, i.d. 1.6 mm PFA coil around a 1 L glass bottle at 25 °C), the size of the reactor coil was decreased from 10 mL to 1.5 mL and the flow rates to 0.25 mL min⁻¹ and 0.075 mL min⁻¹, respectively, for pumps A and B. The N_2 flow rate was set at 0.5 mL min⁻¹. Collecting for 15 minutes on the filter resulted in 634 mg of paracetamol (56%). Longer crystallization times (1 h) were achieved by doubling the length of the crystallization module. While this resulted in a significant increase in crystallization yield (93%), process instability due to aggregation issues within the droplets was also observed. Lower temperatures for the crystallization module did not have an impact on yield.

Synthesis of nifedipine (2)

Nifedipine is used to treat angina and hypertension¹⁹ and was in short supply in Germany during the COVID-19 pandemic. Nifedipine is synthesized in batch through a one-step multicomponent reaction mixing 2-nitrobenzaldehyde **6**, methyl acetoacetate **7**, and methyl 3-aminocrotonate **8** at elevated temperatures in alcohol solvents for several hours.^{20–22}

Optimization of the synthesis of 2 in the radial synthesizer. Ethanol and methanol were compared as solvents for the synthesis of 3. The transesterification by-products observed during the reaction in ethanol at high temperature were avoided when methanol was used as a solvent. A solution of **6** in methanol (0.5 M) and a solution containing 7 (1.1 equiv.) and **8** (1 equiv.) in methanol were loaded in the reagent delivery system to screen different conditions using the **R**-**C** path in the radial synthesizer (Fig. 1e).

In an effort to accelerate the reaction, temperatures from 90 °C to 150 °C, and residence times between 5 and 90 min were investigated. The reaction time decreased with increasing temperature and the best yield was achieved at a 60 min residence time – using stop-flow mode – at 150 °C.

Scale up of the synthesis of 2 in continuous flow. For the scale up of this process, solutions of 6 (1 equiv., 0.5 M), 7 (1.1 equiv.) and 8 (1 equiv.) in methanol were fed to a heated

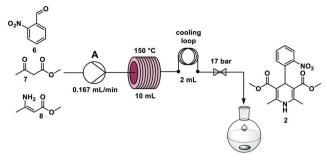


Fig. 4 Continuous flow synthesis of nifedipine **2** in a Vapourtec R2 pump module using one feed (A) and a stainless-steel reactor coil (10 mL, i.d. 0.8 mm) heated by a Vapourtec R4 module.

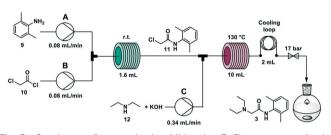


Fig. 5 Continuous flow synthesis of lidocaine 3. First step: two feeds (A and B), are mixed in a T-junction and reacted at room temperature in a 1.6 mL PFA coil (i.d. 0.8 mm). Second step: the solution exiting the first reactor is combined with a third feed (C) and passes through a stainless-steel reactor (i.d. 0.8 mm) at 130 $^{\circ}$ C.

10 mL stainless steel coil reactor (150 °C) at 0.167 mL min⁻¹. The starting material was fully consumed and the crude NMR showed the clean formation of the desired product within 60 min (Fig. 4). The crude product was collected for 150 min (25 mL) and purified by crystallization offline, yielding 3.06 g of crystallized nifedipine (2) (71% yield). Productivity for this process was 1.2 g h⁻¹ that translates to 2880 doses per day (see ESI† for productivity calculations).

Synthesis of lidocaine (3)

The widely employed local anaesthetic²³ lidocaine is produced *via* a two-step process. In the first step, 2,6-dimethyl aniline **9** reacts with chloroacetyl chloride **10** to give 2-chloro-*N*-(2,6-dimethylphenyl)acetamide **11**. In the second step, diethylamine **12** substitutes the chlorine atom yielding the final product. Several flow syntheses of lidocaine have been reported,^{5,10,24,25} including on automated synthesizers.^{5,10,24}

Optimization of the synthesis of 3 in the radial synthesizer. The reagent delivery system was loaded with a solution of 2,6-dimethyl aniline 9 (1 M in *N*-methyl-2-pyrrolidone (NMP)) and a solution of chloroacetyl chloride 10 (1.15 M in NMP) to screen the conditions for the first step using the **R**–**C** path. The reaction is completed in ten minutes at room temperature, though previously reported to require 120 °C.^{5,10}

A second step was coupled using the overall R-S + S-C pathway. Two new solutions were loaded in the RDS: a solution of KOH (0.6 M in methanol/water 1:1) and a solution of diethylamine 12 (1.5 M in methanol/water 1:1) and were mixed with the reaction mixture of the first step containing intermediate 11 delivered from the standby module (Fig. 1f). The crude reaction mixture exited the synthesizer and was extracted offline with hexane and NH₄Cl/NaCl (1:1) and crystallized as the hydrochloride salt (see ESI†). The highest overall yield (62%) is achieved when the second step is performed with a residence time of 20 minutes at 130 °C, in agreement with previous literature reports.^{5,10}

Scale-up of the synthesis of 3 in continuous flow. The synthesis of 3 was scaled up in a 1.6 mL PFA coil at room temperature for the first step. Solutions of 9 (1 M in NMP) and 10 (1.15 M in NMP) were pumped at 0.08 mL min⁻¹ each to achieve the ten minute residence time. The second step was telescoped pumping a solution of diethylamine 12 (0.75 M) and KOH (0.3 M) in methanol/water 1:1 at 0.34 mL min⁻¹ (total flow rate 0.5 mL min⁻¹) through a 10 mL stainless steel coil heated at 130 °C (residence time = 20 min) (Fig. 5). The crude reaction mixture was collected for 90 minutes (45 mL) and was extracted offline with hexane and NH₄Cl/NaCl (1:1) obtain 1.15 g of lidocaine hydrochloride after to crystallization (59% yield). Productivity for this process was 0.8 g h⁻¹ that translates to 19.2 g per day (see ESI⁺ for productivity calculations).

Conclusions

In summary, the flexibility and responsiveness of pharmaceutical production needs to be significantly increased. In light of the recent global disruptions to the current distributed supply chains, as well as the heavy reliance on CMOs, an alternative approach is needed. Using a highly flexible automated flow chemistry platform such as a radial synthesizer, reproducible API syntheses can rapidly be adapted or developed. Herein we have shown the conditions developed and optimized on the radial synthesizer are readily transferred to a dedicated continuous flow system for scale up. Processes were developed for three WHO essential medicines that have been in short supply during the COVID-19 pandemic: paracetamol, lidocaine, and (for the first time in flow) nifedipine.

Author contributions

M. G., S. M., L. A. and D. C. performed the experiments. M. G. wrote the draft of the manuscript, and all authors participated in revising the manuscript. P. H. S. and K. G. provided oversight to the project and secured funding.

Conflicts of interest

There are no conflicts to declare.

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