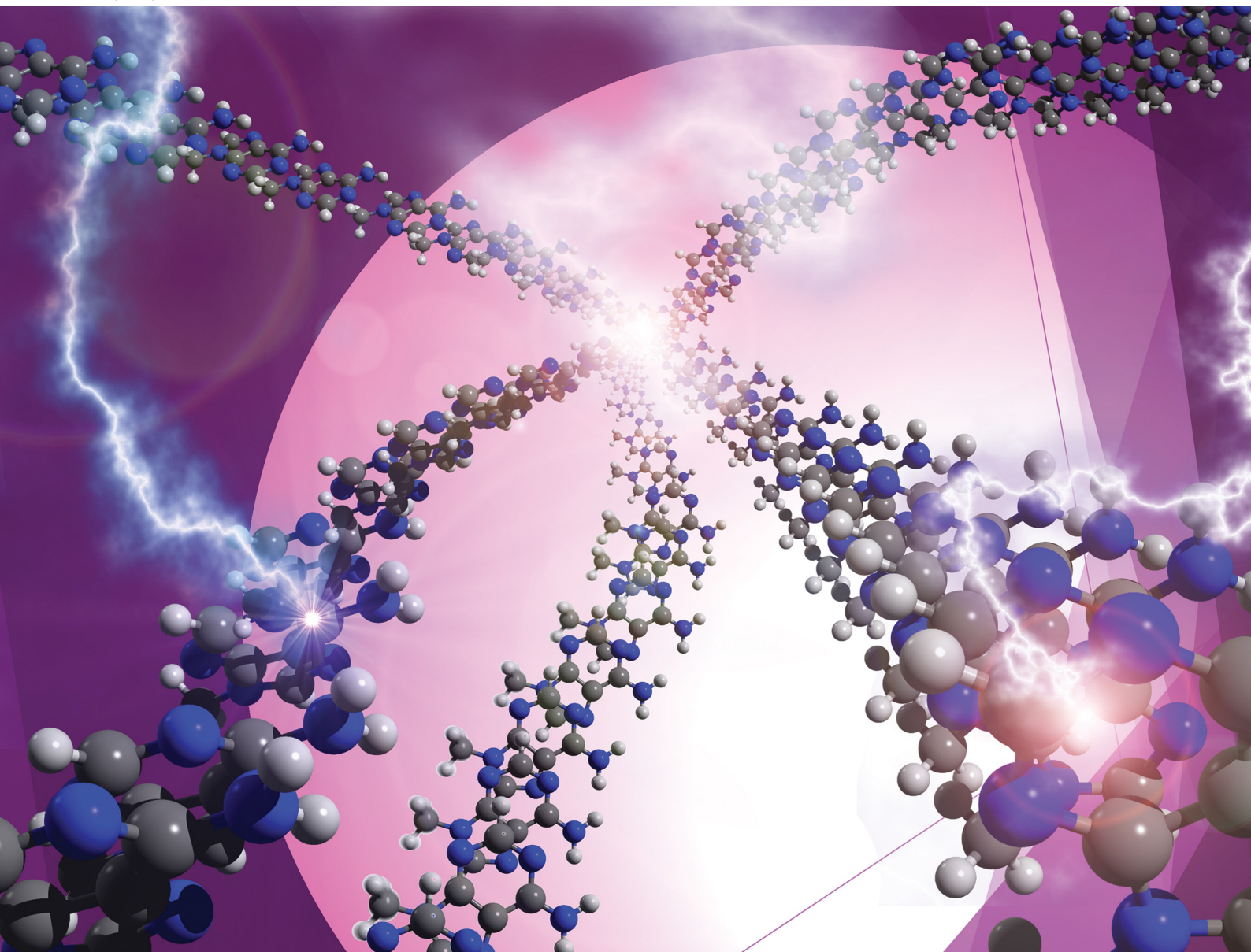


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**PAPER**

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Threshold photoelectron spectroscopy of 9-methyladenine:  
theory and experiment



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# Threshold photoelectron spectroscopy of 9-methyladenine: theory and experiment†

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We present a combined experimental and theoretical study of single-photon ionization of 9-methyladenine (9MA) in the gas phase. In addition to tautomerism, several rotamers due to the rotation of the methyl group may exist. Computations show, however, that solely one rotamer contributes because of low population in the molecular beam and/or unfavorable Franck–Condon factors upon ionization. Experimentally, we used VUV radiation available at the DESIRS beamline of the synchrotron radiation facility SOLEIL to record the threshold photoelectron spectrum of this molecule between 8 and 11 eV. This spectrum consists of a well-resolved band assigned mainly to vibronic levels of the D<sub>0</sub> cationic state, plus a contribution from the D<sub>1</sub> state, and two large bands corresponding to the D<sub>1</sub>, D<sub>2</sub> and D<sub>3</sub> electronically excited states. The adiabatic ionization energy of 9MA is measured at 8.097 ± 0.005 eV in close agreement with the computed value using the explicitly correlated coupled cluster approach including core valence, scalar relativistic and zero-point vibrational energy corrections. This work sheds light on the complex pattern of the lowest doublet electronic states of 9MA<sup>+</sup>. The comparison to canonical adenine reveals that methylation induces further electronic structure complication that may be important to understand the effects of ionizing radiation and the charge distribution in these biological entities at different time scales.

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## 1. Introduction

Methylation occurs in DNA (adenine and cytosine) and changes the gene transcription without changing the sequence, and it is associated with natural processes such as ageing and cancer onsets. Indeed, methylation at some specific positions of the DNA bases may alter their pairing and subsequently induce mutagenesis and genotoxicity. There has been recent interest because of its potential effects in targeted cancer therapy,<sup>1</sup> epigenomics,<sup>2</sup> and genetic analysis<sup>3</sup> and for the development of new therapies.<sup>4,5</sup> Moreover, studying methylated DNA bases is important to investigate the damage produced by ionizing radiation, which leads not only to different dynamics from their non-substituted DNA bases but also to changes in their biological activities, thermodynamic and kinetic behaviors. Such

studies helped thus to understand the structural and functional changes upon ionization and induced effects, as well as the intrinsic processes occurring when these biological entities interact with ionizing radiation.

In order to shed light on the effects induced by methylation, a common strategy consists of comparing the spectra of canonical DNA bases to those of their methylated counterparts. Different experimental methodologies were used to investigate these species either isolated or embedded into clusters or interacting with metallic nanoparticles. These include standard IR, Raman and UV spectroscopies and also time-resolved spectroscopy and photoelectron spectroscopy.<sup>6–14</sup> These studies are complemented by theoretical computations on the structures and the electronic properties of DNA bases and their methylated entities, which highlight the complex electronic structure and the radiationless ultrafast deexcitation dynamics of electronically excited DNA bases, and the corresponding methylation effects.

Adenine has several tautomers in its amino and imino forms, where the most stable form (*i.e.* 9H adenine, 9HA) is known as canonical adenine.<sup>15</sup> Methylation of the purine ring of the canonical adenine may occur in “1”, “3”, “7” and “9” positions resulting in 1-methyladenine (1MA), 3-methyladenine (3MA), 7-methyladenine (7MA), and 9-methyladenine (9MA), respectively. Previous studies showed that the most stable isomer is 9-methyladenine,<sup>16</sup> which is the subject of the present work.

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LeBreton and co-workers<sup>17,18</sup> recorded the He I photoelectron spectra of 9MA and 9HA in the 7.5–14 eV energy range. These spectra are composed of six large bands associated with the removal of one electron from the  $\pi$  and  $n$  outermost orbitals of 9MA and of 9HA. Based on CNDO/2, HAM/3 and *ab initio* 4-31G SCF calculations,  $D_0(\pi^{-1})$  was attributed to the first band,  $D_1(n^{-1})$  and  $D_2(\pi^{-1})$  to the second band since these states are found close in energy and then  $D_3(n^{-1})$  and  $D_4(\pi^{-1})$  to the third band. Later on, Stolow and co-workers<sup>19,20</sup> obtained time-resolved photoelectron spectroscopy results and reassigned the electronic character and ionization energies of the low lying cationic states of 9HA and 9MA. For both cations, they proposed the following state ordering:  $D_0(\pi^{-1})$  (first band),  $D_1(n^{-1})$  (second band) and then  $D_2(\pi^{-1})$  &  $D_3(n^{-1})$  (third band) where the energies of the bands were lower for 9MA compared to 9HA. In 2020, Tureček and co-workers<sup>21</sup> carried out UV-vis photodissociation action spectroscopy and collision induced dissociation (CID) mass spectrometry on gas phase 9MA trapped cations. Through density functional theory and coupled clusters computations, they identified a unique form of the 9MA cation (denoted in what follows as 9MA<sup>+</sup>). After analysis of the recorded vibronic bands in the 210–700 nm wavelength region with respect to the ground cationic state, these authors underlined the complexity of the 9MA<sup>+</sup> electronic states' pattern due to vibronic couplings, as already noticed by Stolow and co-workers. In sum, these experimental studies revealed the complexity of the electronic structures of the 9MA and 9HA cations because of electronic excited state interactions leading to spectral congestion. Moreover, different shapes of 9MA<sup>+</sup> and 9HA<sup>+</sup> spectra were recorded, due to the methylation-induced changes in the electronic structure. Besides, there is a lack of resolution leading to spectral congestion, which is made even worse by the fact that the trapped ions are at room temperature. It was not sufficiently high to disentangle such effects.

In 2018, some of us studied canonical adenine using threshold photoelectron spectroscopy and post Hartree-Fock explicitly correlated coupled clusters and multireference configuration interaction techniques.<sup>22</sup> Experimentally, we obtained a vibrationally resolved spectrum for the ground electronic state of the canonical adenine cation and some broad bands for the electronic excited states. The computations allowed assigning these features and understanding the complex vibronic structure of the canonical adenine cation. In the present study, we use similar theoretical and experimental approaches to investigate the 9-methyladenine cation, formed upon VUV single-photon ionization of the neutral species. *A priori*, the present spectra could be more complex because of the possible contribution of several neutral rotamers (linked to the methylation of adenine) in the gas phase prior to ionization and because of the vibronic coupling induced interaction between the cationic electronic states pointed out above.

## II. Methods

### a. Experimental

The experiments were performed at the VUV DESIRS beamline of the French synchrotron facility SOLEIL.<sup>23</sup> A commercial

9-methyladenine sample was placed into an in-vacuum oven and heated to 200/220 °C (body/nozzle). The resulting vapor was expanded through a 70  $\mu\text{m}$  pinhole using 1.5 bars of Ar as the carrier gas. The molecular beam traversed two consecutive skimmers (1 mm and 2 mm diameter) before being ionized at the center of the double imaging photoelectron-photoion coincidence (*i*<sup>2</sup>PEPICO) DELICIOUS3 spectrometer.<sup>24</sup> This spectrometer is composed of a velocity map imaging (VMI) electron analyzer<sup>25</sup> and a photoion momentum imager that are operated in coincidence, so that photoelectron images can be filtered by ion mass and translational energy in a multiplex manner. These images were then Abel inverted to derive the corresponding photoelectron spectra.<sup>26</sup>

For the energy scans, the monochromator was set to a resolution of 9 meV, while a pressure of 0.15 mbars of Kr was fed into the gas filter upstream to suppress the high harmonics emitted by the undulator.<sup>27</sup> The energy steps were set to 5 meV, except for the threshold region of 9MA, where 3 meV steps were selected. The signal was corrected for molecular beam instabilities by recording fast scans for 9MA and using them to normalize the signal. The photon flux, recorded with a calibrated AXUV photodiode, was used to correct all the energy scans. The krypton 5s and 5s' absorption lines documented by Yoshino *et al.*,<sup>28</sup> appearing at 10.032 eV and 10.644 eV respectively, served as the energy reference for a final absolute precision of  $\pm 3$  meV on the energy scales.

The threshold photoelectron spectrum (TPES) was obtained using a method called slow photoelectron spectroscopy (SPES).<sup>29,30</sup> Briefly, it involves obtaining the mass-selected photoelectron spectrum as a function of photon energy, and integrating the signal along the constant ionic state lines up to a given electron kinetic energy (here 100 meV), resulting in an overall resolution of 20 meV including the photon bandwidth. No fragmentation was observed in the TPES, although in the PES spectra recorded at a higher photon energy, 13.9 eV (see Fig. S1, ESI<sup>†</sup>); the hydrogen loss channel is observed above  $\sim 11.7$  eV binding energy.

### b. Computations

The main goal of the present computations is to provide an interpretation of the experimental TPES spectra. First we performed geometry optimizations (optg) of the neutral and cationic 9MA and their tautomers in their electronic ground states using GAUSSIAN 16.<sup>31</sup> These optimizations were carried out, in the  $C_1$  point group, at the PBE0/aug-cc-pVDZ level,<sup>32–34</sup> where we relaxed all internal coordinates and used the default options. Then, we checked the minimal nature of the stationary points by frequency calculations using the VPT2 approach. Only molecular species with all  $>0$  frequencies were considered. Then, we evaluated the zero-point vibrational energy correction (ZPVE) after scaling the harmonic frequencies, to obtain the anharmonic ones. We used a scaling factor of 0.9698 for the frequencies  $<1000$   $\text{cm}^{-1}$  and 0.9592 for those  $>1000$   $\text{cm}^{-1}$  as recommended by Laury *et al.*<sup>35</sup> Furthermore, we carried out single point (SP) computations at the PBE0/aug-cc-pVDZ equilibrium structures, where we make use of the explicitly correlated

and the standard versions of the coupled clusters single and double with perturbative treatment of triples (*i.e.* (R)CCSD(T)<sup>36–38</sup> or (R)CCSD(T)-F12 (approximation b)<sup>39–41</sup>) techniques as implemented in MOLPRO.<sup>42</sup> For (R)CCSD(T)-F12, we described the atoms with the aug-*cc*-pVDZ basis set and the corresponding density fitting and RI functions as implemented in MOLPRO.<sup>43–46</sup> Afterwards, we adopted composite schemes to deduce accurate energetics.<sup>47</sup> These schemes correspond to the PBE0/aug-*cc*-pVDZ(optg)/(R)CCSD(T)-F12(b)/aug-*cc*-pVDZ (+ΔZPVE) composite scheme for 9MA tautomers and their cations, and the more computationally demanding, though more accurate, PBE0/aug-*cc*-pVDZ(optg)/(R)CCSD(T)-F12(b)/aug-*cc*-pVDZ (+ΔCV + ΔSR + ΔZPVE) scheme for the 9MA conformers and their cations. ΔCV, ΔSR and ΔZPVE stand for the core-valence, scalar relativistic and the zero-point vibrational energy corrections, respectively. ΔCV was evaluated as the energy difference between the (R)CCSD(T)/*cc*-pwCVTZ<sup>48</sup> energies with and without considering core electron correlation. ΔSR is obtained as the energy difference between (R)CCSD(T)/*cc*-pVTZ-DK<sup>49–51</sup> and (R)CCSD(T)/*cc*-pVTZ energies. Moreover, we carried out a Franck–Condon (FC) analysis for the neutral-cation ionization transitions as implemented in GAUSSIAN 16 following the time-independent adiabatic Hessian FC (TI-AH|FC) model.<sup>52–55</sup> The resulting FC factors were convoluted with a Gaussian with FWHM = 20 meV to match the experimental spectra. The simulated spectra were positioned on an absolute photon energy scale by matching the 0–0 transition with the computed adiabatic ionization energy (AIE) at the PBE0/aug-*cc*-pVDZ(optg)/(R)CCSD(T)-F12(b)/aug-*cc*-pVDZ (+ΔCV + ΔSR + ΔZPVE) level. For the validation of these approaches, we refer to our previous studies where we present direct comparisons between the theoretical and experimental data for similar molecular systems.<sup>22,47,56–60</sup>

The second set of computations corresponds to the investigation of the electronic excited states of the 9MA cation using the MOLPRO package, where the atoms were described by the aug-*cc*-pVDZ basis sets in conjunction with the corresponding fitting and RI auxiliary basis sets as implemented in MOLPRO. These computations consisted of the optimization of the equilibrium geometries of the four lowest electronic states of 9MA<sup>+</sup> using the state-averaged complete active space self-consistent field (SA-CASSCF) method.<sup>61,62</sup> All internal coordinates were relaxed (*C*<sub>1</sub> point group). The CASSCF active space was constructed with 8 molecular orbitals ranging from HOMO–3 to LUMO+3 (Fig. S2, ESI<sup>†</sup>); the core orbitals and the valence orbitals up to HOMO–4 were considered as closed. All valence electrons were considered. Then, we performed single point computations at the optimized structures using the newly implemented, explicitly correlated, internally contracted multi-reference configuration interaction MRCI-F12<sup>63–65</sup> approach. The MRCI-F12 active space includes all configurations having a weight  $\geq 0.05$  in the CI expansion of the CASSCF wave functions. While computing the doublet cation states, this results in  $>6.8 \times 10^7$  ( $2 \times 10^9$ ) contracted (uncontracted) configuration state functions to be treated. As discussed in ref. 65, the MRCI-F12/aug-*cc*-pVDZ level should lead to a similar

accuracy to standard MRCI in conjunction with the aug-*cc*-pVDZ basis set, but the computational costs (both CPU and disk occupancy) are strongly reduced.

### III. Results and discussion

The TPES spectrum of 9MA as a function of the photon energy is shown in Fig. 1. Three main photoionization bands are seen in the spectrum, with the first one exhibiting rich structures akin to the spectrum of canonical adenine.<sup>22</sup> We also show in Fig. S1 (ESI<sup>†</sup>) the fixed photon energy VMI-PES spectra of 9MA recorded at  $h\nu = 13.9$  eV. Apart from the three bands already seen in Fig. 1, there are two bands located at higher binding energies,  $\sim 11.2$  eV and  $\sim 11.9$  eV, in the parent channel. In the corresponding TOF-MS (not shown), there is a clear, broader peak at *m/z* 148, which can be attributed to dissociative ionization leading to H loss. In the fragment photoelectron spectrum shown in Fig. S1 (ESI<sup>†</sup>), the onset for fragmentation is seen at about 11.7 eV. Above 12.2 eV binding energy, no parent signal can be discerned.

According to the exploratory DFT work of Nguyen *et al.*,<sup>14</sup> 9MA possesses 5 tautomers (*cf.* Scheme 1). The most stable form has an amino function at the N6 position whereas the 4 other forms present an imino group instead. The present PBE0/aug-*cc*-pVDZ (+ΔZPVE) computations confirm these findings. Indeed, we give in Tables S1 and S2 of the ESI<sup>†</sup> the PBE0/aug-*cc*-pVDZ total energies and optimized geometries in Cartesian coordinates. More accurate relative energies are obtained using the PBE0/aug-*cc*-pVDZ (optg)/(R)CCSD(T)-F12 (SP) (+ΔZPVE) composite scheme. These energies confirm this ordering and show that most likely only 9MA or its rotamers, more stable by more than 0.5 eV as compared to the imino tautomers, are present in our molecular beam of the present experiments irrespective of the cooling achieved in the adiabatic expansion. Table 1 shows that the relative energies of the cations follow the ordering of the neutral species. Afterwards, we deduced the

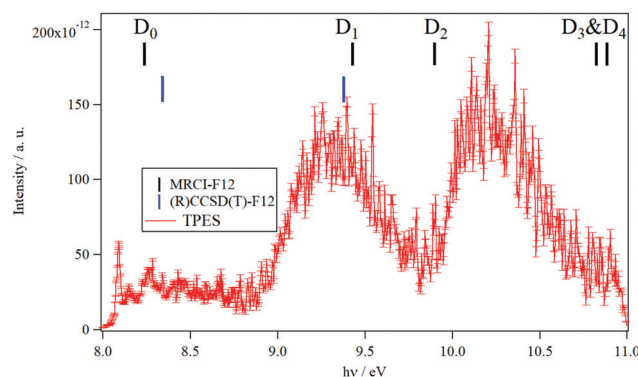
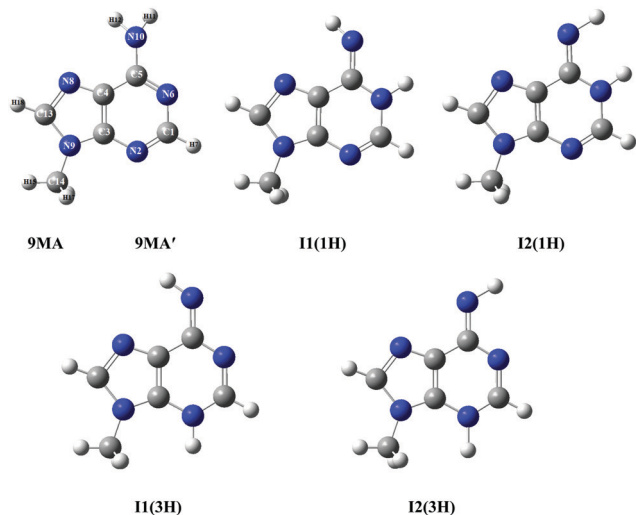


Fig. 1 TPES spectrum of 9MA taking into account all electrons with kinetic energies between 0 and 100 meV, leading to a total resolution of 20 meV. The photon energy step is 5 meV. The vertical combs correspond to the vertical ionization energies of 9MA as computed at the MRCI-F12/aug-*cc*-pVDZ (in black) and (R)CCSD(T)-F12/aug-*cc*-pVDZ (in blue) levels of theory in the present work. The theoretical data are summarized in Table 3.



**Scheme 1** Structures of 9MA and its four imino tautomers/rotamers. 9MA and 9MA' are rotamers differing by the relative position of the methyl group (cf. Table S3, ESI†). The imino rotamer types I1 and I2 correspond to different  $C_4-C_5-N_{10}-H_{11}$  dihedral angles, while the two types of tautomers (1H) and (3H) are labelled according to the imino hydrogen. We followed the denomination given by Nguyen *et al.*<sup>14</sup> We give also the numbering of atoms used presently.

adiabatic ionization energies (AIEs) of these species at the PBE0/aug-cc-pVDZ (optg)/(R)CCSD(T)-F12 (+ $\Delta$ ZPVE) (SP) as listed in Table 2. This table shows that the first peak of the TPES spectrum (at  $\sim 8.09$  eV) corresponds to the AIE of 9MA whereas the AIE of the I1(1H) imino form is 0.3 eV lower in energy, excluding thus the contribution of such forms and of the even less stable other imino tautomers in the present experiment. Therefore, from now on, we will only consider the contribution from the 9MA/9MA' most stable species.

In the case of 9MA, further complications may arise from the existence of several rotamers due to the rotation of the methyl group around the N9–C14 (methyl) bond. We thus searched for the stable conformers of 9MA performing several geometry optimizations where the H15–C14–N9–C3 dihedral angle was rotated from  $-180^\circ$  to  $+180^\circ$  with  $30^\circ$  steps. For the neutral, two stable forms are found, where one CH of the methyl group is in the plane of the purine ring either in *cis* (denoted as 9MA, Table S3, ESI†) or in *trans* (denoted as 9MA', Table S3, ESI†) configuration with respect to C3–N9 bonds. Both possess a planar structure ( $C_s$  point group) and all positive frequencies

(Table S5, ESI†). We also computed the barrier potential along the H15–C14–N9–C3 dihedral angle as displayed in Fig. S3 (ESI†). This figure shows that this barrier amounts to  $82.7$   $\text{cm}^{-1}$ . Since the temperature of the jet cooled 9-methyladenine gas is  $\sim 60$  K in the present experiments, this barrier is relatively high and does not allow the conversion of one rotamer into another. Therefore, 9MA and 9MA' can be considered as distinct isomers.

While 9MA was already identified in previous studies,<sup>14,66–68</sup> 9MA' is found here for the first time. The relative energy of both rotamers depends on the theoretical level. Indeed, 9MA is slightly more stable than 9MA' using the PBE0/aug-cc-pVDZ method, whereas it turns out that 9MA' is the most stable by  $\sim 50$   $\text{cm}^{-1}$ , when using the costlier explicitly correlated coupled cluster (R)CCSD(T)-F12 approach. For 9MA, Table S3 (ESI†) shows that the presently computed bond lengths and bond angles differ by  $<0.03$  Å and  $<3^\circ$  degrees from previous studies. When comparing 9MA and 9MA', the bond distances and angles are quite similar, except those connecting the N9 atom because of the N lone pair induced repulsion upon methyl rotation along the N9–C14 (methyl) bond.

In 2020, Tureček and co-workers<sup>21</sup> identified a stable form for the cationic species,  $9MA^+$ , which has  $C_s$  symmetry. In the present work we found also a unique stable form similar to their structure, where the CH of the methyl is in *trans* with respect to the C3–N9 bond. The geometrically optimized parameters of  $9MA^+$  are listed in Tables S2 and S3 (ESI†), which are close to those determined by Tureček and co-workers.<sup>21</sup> Obviously, the Franck–Condon factors (FCFs) for the  $9MA X + h\nu \rightarrow 9MA^+ X^+ + e^-$  photoionization transition should be close to zero whereas non-zero FCFs are expected for  $9MA' X + h\nu \rightarrow 9MA^+ X^+ + e^-$  because of the *trans*  $\rightarrow$  *cis* change at the N9–C14 (methyl) bond in the former as illustrated in Fig. 2. Therefore, only the later conformer is expected to contribute in our experiments although both rotamers are *a priori* present with similar abundance in our molecular beam since they have similar stabilities with an energy difference of  $\sim 50$   $\text{cm}^{-1}$ , which is within the uncertainty of the calculations. In what follows we will concentrate on the single photon ionization processes occurring from the 9MA' ground state only, where ionization mainly affects the purine five and six membered aromatic ring distances, although these changes remain relatively small with bond lengths differing by less than 0.05 Å while the angles are weakly affected. Nevertheless, we cannot

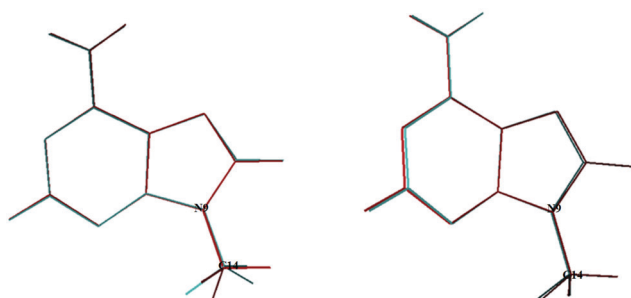
**Table 1** Relative energies (in eV) of neutral and cationic 9-methyladenine (9MA) and its conformer (9MA') and tautomers with respect to the corresponding most stable form. The atoms were described using the aug-cc-pVDZ basis set. We also considered the  $\Delta$ ZPVE corrections as computed at the PBE0/aug-cc-pVDZ level of theory. See Table S1 (ESI) for more details

| Method                      | 9MA'               | 9MA                | I1(1H) | I2(1H) | I1(3H) | I2(3H) | $9MA^+$            | I1(1H) <sup>+</sup> | I2(1H) <sup>+</sup> | I1(3H) <sup>+</sup> | I2(3H) <sup>+</sup> |
|-----------------------------|--------------------|--------------------|--------|--------|--------|--------|--------------------|---------------------|---------------------|---------------------|---------------------|
| PBE0 <sup>a</sup>           | 0.000 <sup>c</sup> | 0.000              | 0.553  | 0.819  | 1.362  | 1.364  | 0.000 <sup>d</sup> | 0.183               | 0.428               | 0.904               | 0.859               |
| (R)CCSD(T)-F12 <sup>b</sup> | 0.000 <sup>c</sup> | 0.006              | 0.548  | 0.812  | 1.322  | 1.330  | 0.000 <sup>d</sup> | 0.190               | 0.907               | 0.869               | 0.890               |
| Ref. 14 <sup>e</sup>        |                    | 0.000 <sup>c</sup> | 0.528  | 0.829  | 1.389  | 1.388  |                    |                     |                     |                     |                     |

<sup>a</sup> Full optimization computations. <sup>b</sup> Single point computations at the optimized PBE0/aug-cc-pVDZ geometry. <sup>c</sup> Used as the reference for neutral species. <sup>d</sup> Used as the reference for ionic species. <sup>e</sup> B3LYP/6-31G++(d,p).

**Table 2** Adiabatic ionization energies (AIE in eV) of 9-methyladenine (9MA) and its conformer (9MA') and tautomers. See Tables S1 and S4 (ESI) for more details. 'optg' stands for fully relaxed geometry optimization. 'SP' stands for single point computations at the optimized PBE0/aug-cc-pVDZ geometry

| Method   | 9MA'          | 9MA   | I1(1H) | I2(1H) | I1(3H) | I2(3H) |
|--|---------------|-------|--------|--------|--------|--------|
| PBE0/aug-cc-pVDZ (optg) (+ΔZPVE)                                 | 7.876         | 7.876 | 7.507  | 7.486  | 7.419  | 7.371  |
| PBE0/aug-cc-pVDZ (optg)/(R)CCSD(T)-F12 (SP)                      | 8.072         | 8.067 | 7.753  | 8.202  | 7.655  | 7.663  |
| PBE0/aug-cc-pVDZ (optg)/(R)CCSD(T)-F12 (+ΔZPVE) (SP)             | 8.086         | 8.079 | 7.727  | 8.180  | 7.632  | 7.642  |
| PBE0/aug-cc-pVDZ (optg)/(R)CCSD(T)-F12 (+ΔCV) (SP)               | 8.089         | 8.084 |        |        |        |        |
| PBE0/aug-cc-pVDZ (optg)/(R)CCSD(T)-F12 (+ΔSR) (SP)               | 8.072         | 8.067 |        |        |        |        |
| PBE0/aug-cc-pVDZ (optg)/(R)CCSD(T)-F12 (+ΔCV + ΔSR + ΔZPVE) (SP) | 8.102         | 8.097 |        |        |        |        |
| TPES   | 8.090 ± 0.005 |       |        |        |        |        |



**Fig. 2** Superposition of the 9MA and 9MA<sup>+</sup> (left) structures and that of 9MA' and 9MA<sup>+</sup> (right). See Scheme 1 for the numbering of the other atoms.

fully exclude the contribution of the *cis* form for the population of the D<sub>n</sub> ( $n \geq 1$ ) states. The experimental spectra correspond to unresolved large bands.

In the 8–11 eV energy range covered in Fig. 1, previous studies located the D<sub>0</sub>, D<sub>1</sub>, D<sub>2</sub>, D<sub>3</sub> and D<sub>4</sub> doublet states of the 9MA<sup>+</sup> cation. As mentioned in the Introduction section, LeBreton and co-workers<sup>17,18</sup> assigned the first band in the PES spectra to the D<sub>0</sub>( $\pi^{-1}$ ) state, the D<sub>1</sub>( $n^{-1}$ ) and D<sub>2</sub>( $\pi^{-1}$ ) states to the second band and then the D<sub>3</sub>( $n^{-1}$ ) and D<sub>4</sub>( $\pi^{-1}$ ) states to the third band. In contrast, Stolow and co-workers<sup>19,20</sup> proposed the following attribution: D<sub>0</sub>( $\pi^{-1}$ ) (first band), D<sub>1</sub>( $n^{-1}$ ) (second band) and then D<sub>2</sub>( $\pi^{-1}$ ) & D<sub>3</sub>( $n^{-1}$ ) (third band). Our investigations on these electronic states using multiconfigurational approaches (CASSCF followed by MRCI-F12) show that the situation is even more complicated. Indeed, we located a conical intersection between the D<sub>0</sub> and D<sub>1</sub> states resulting in the mixing of their electronic wave functions. At the equilibrium geometry of 9MA' ( $X^1A'$ ), we found that the wave function of the electronic ground state of 9MA<sup>+</sup> is mainly described by the ejection of an electron from the (7a'') outermost molecular orbital (MO) ( $\pi$  type) with however non negligible contributions from the (5a'')<sup>-1</sup> and (6a'')<sup>-1</sup> configurations. Therefore, the D<sub>0</sub> (*i.e.*  $X^2A''$ ) and D<sub>1</sub> (*i.e.*  $1^2A$ ) states are coupled vibronically. Besides, the D<sub>1</sub> state is associated with a  $\pi^{-1}$  configuration and not  $n^{-1}$ . And instead, the  $n^{-1}$  configuration is that of the D<sub>2</sub> state, which is monoconfigurational in nature. D<sub>3</sub> and D<sub>4</sub> are of  $\pi^{-1}$  and  $n^{-1}$  types. Both are coupled vibronically with a strong mixing character. Our work confirms thus the assumptions of Tureček and co-workers.<sup>21</sup> We would like to note that we locate the lowest quartet state ( $1^4A$  (Q<sub>1</sub>)) in the

vicinity of D<sub>5</sub>. Although the single photoionization of neutral 9-methyladenine does not populate the quartet cationic state, the latter may couple to the close lying doublets (*e.g.* D<sub>5</sub>) via spin-orbit and may participate in their unimolecular dynamics.

At the CASSCF/aug-cc-pVDZ level, we computed an adiabatic excitation energy of 0.23 eV for the D<sub>0</sub>–D<sub>1</sub> separation. Therefore, the first experimental band corresponds to the population of the D<sub>0</sub> and D<sub>1</sub> states and not only of the D<sub>0</sub> as stated previously. Moreover, the MRCI-F12/aug-cc-pVDZ and the (R)CCSD(T)-F12/aug-cc-pVDZ vertical ionization energies (VIEs) from 9MA' ( $X^1A'$ ) to reach these cationic states (Table 3) reveal that the second band is due to the population of the high vibrational levels of the D<sub>1</sub> state solely. The second band is also found corresponding to the 9MA' ( $X^1A'$ ) +  $h\nu \rightarrow 9MA^+$  (D<sub>2</sub>) + e<sup>-</sup> ionization transition. The contribution of the D<sub>3</sub> and D<sub>4</sub> states starts in the right part of the spectrum of Fig. 1. Although we propose a new assignment of the PES/SPEs bands, we notice that the computed VIEs are close to those previously determined experimentally (Table 3). Indeed, the measured

**Table 3** Vertical ionization energies (in eV) as computed using CASSCF and MRCI-F12 and (R)CCSD(T)-F12 techniques in conjunction with the aug-cc-pVDZ basis set. These computations are performed at the neutral 9MA' ground state equilibrium geometry. We quote also the dominant electronic configuration at this geometry. The symmetry of the D<sub>0</sub> state is given in C<sub>s</sub> since this state belongs to this point group, whereas we give the symmetries of the upper states in C<sub>1</sub> since they belong to this group at equilibrium (*cf.* Table S6, ESI)

| State                                 | This work             |                             |                  |                   |                   |       |
|---------------------------------------|-----------------------|-----------------------------|------------------|-------------------|-------------------|-------|
|                                       | Calc.                 |                             | Exp.             |                   | Exp. <sup>g</sup> |       |
|                                       | MRCI-F12 <sup>a</sup> | (R)CCSD(T)-F12 <sup>c</sup> | PES <sup>e</sup> | TPES <sup>f</sup> | PES               | TRPES |
| X <sup>+</sup> 2A'' (D <sub>0</sub> ) | 8.24 <sup>b</sup>     | 8.34 <sup>d</sup>           | 8.42             | —                 | 8.39              | 8.4   |
| 2 <sup>2</sup> A (D <sub>1</sub> )    | 9.42                  | 9.37 <sup>d</sup>           | 9.41             | 9.34              | 9.4               | 9.4   |
| 3 <sup>2</sup> A (D <sub>2</sub> )    | 9.90                  |                             | 10.25            | 10.23             | 10.2              | 10.2  |
| 4 <sup>2</sup> A (D <sub>3</sub> )    | 10.82                 |                             | 11.19            |                   | 10.2              | 10.2  |
| 5 <sup>2</sup> A (D <sub>4</sub> )    | 10.87                 |                             |                  |                   | 11.6              |       |
| 6 <sup>2</sup> A (D <sub>5</sub> )    | 12.13                 |                             | 11.84            |                   | 11.6              |       |
| 1 <sup>4</sup> A (Q <sub>1</sub> )    | 12.14                 |                             |                  |                   |                   |       |

<sup>a</sup> We added the vertical ionization energy as computed at the MRCI-F12/aug-cc-pVDZ level of theory. <sup>b</sup> MRCI-F12 total energies: 9MA( $X^1A'$ ): -505.138558212355 a.u. 9MA<sup>+</sup>( $X^2A''$ ): -504.835645114 a.u. <sup>c</sup> We added the vertical ionization energy as computed at the (R)CCSD(T)-F12/aug-cc-pVDZ level of theory in the C<sub>s</sub> point group and at the equilibrium geometry of 9MA( $X^1A'$ ). <sup>d</sup> (R)CCSD(T)-F12 total energies: 9MA( $X^1A'$ ): -505.882537959939 a.u. 9MA<sup>+</sup>( $X^2A''$ ): -505.575967035432 a.u. 9MA<sup>+</sup>(2<sup>2</sup>A): -505.538160867854 a.u. <sup>e</sup> Cf. Fig. S1 (ESI). <sup>f</sup> Cf. Fig. 1. <sup>g</sup> Photoelectron spectroscopy. Ref. 18 <sup>h</sup> Time-resolved photoelectron spectroscopy. Ref. 19

VIE( $D_0$ ) = 8.39–8.42 eV is close to the MRCI-F12/aug-cc-pVDZ and the (R)CCSD(T)-F12/aug-cc-pVDZ VIEs of 8.24 eV and 8.34 eV. For  $D_1$ , both theoretical values coincide with the PES VIE (of  $\sim 9.4$  eV) with a better agreement between the (R)CCSD(T)-F12/aug-cc-pVDZ (of  $\sim 9.37$  eV) and TPES (of 9.34 eV), both considered as the most accurate determinations. For  $D_3$ ,  $D_4$  and  $D_5$ , deviations of  $\sim 0.4$  eV are found between PES and MRCI-F12/aug-cc-pVDZ, which may be due to the difficulties to derive such quantities in this spectral range due to the complicated cationic electronic state pattern and the subsequent congestion. When compared to the canonical adenine spectrum,<sup>22</sup> the situation is more complicated when this DNA basis is methylated in the N9 position. For instance, the  $D_0$ – $D_1$  adiabatic excitation energy is reduced from  $\sim 0.9$  eV to  $\sim 0.2$  eV complicating the spectroscopy of both cationic states. The  $D_2$ – $D_5$  states are also closer in energy resulting in larger experimental bands.

Fig. 3 shows the TPES spectrum of 9MA' in the 8–9 eV range. This spectrum exhibits a rich structure which is related to the population of vibronic levels of  $9MA^+$ . The first peak in both the experimental and *in silico* spectra corresponds to the adiabatic ionization energy (AIE) of  $9MA'$ . Theoretically, this AIE is determined at 8.102 eV at the highest computational level (*i.e.* PBE0/aug-cc-pVDZ (optg)/(R)CCSD(T)-F12 (+ $\Delta CV$  +  $\Delta SR$  +  $\Delta ZPVE$ ) (SP)), which includes the core-valence, the scalar relativistic and the zero-point vibrational energy corrections as given in Table 2. This value is very close to the experimental AIE ( $9MA'$ ) of  $8.097 \pm 0.005$  eV, obtained after adding the 7 meV Stark shift expected from the  $88 \text{ V cm}^{-1}$  experimental extraction field.<sup>69</sup> This is the first determination of the AIE of this molecule. Note that the inclusion of the core-valence correction is mandatory to reach such accuracy, whereas the scalar relativistic effects may be omitted. For instance, Table 2 shows that the AIE computed at the PBE0/aug-cc-pVDZ (optg)/(R)CCSD(T)-F12 (+ $\Delta CV$ ) (SP) level is 8.089 eV, which differs by 1 meV from the TPES AIE, whereas the PBE0/aug-cc-pVDZ (optg)/(R)CCSD(T)-F12 (+ $\Delta SR$ ) (SP) (of 8.072 eV) is far from the experimental value by 18 meV *i.e.* out of the range of the experimental error bars.

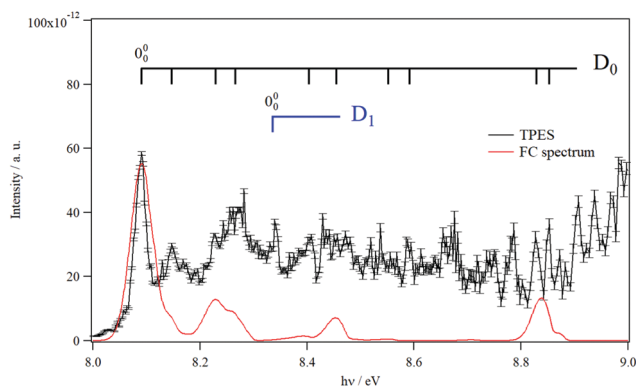


Fig. 3 TPES spectrum of 9MA in the 8–9 eV region. Also shown is a Franck–Condon simulated spectrum set to an absolute scale *via* high level computations'–determined AIE. The vertical combs correspond to the peak positions reported in Table 4.

Table 4 Tentative assignment of the observed frequencies of the photoionization bands from the TPES spectrum. All bands are of the  $9MA^+$  ( $X^{+2}A''/D_0$ ) state except if specified otherwise

| Band origin (eV) | Relative energy ( $\text{cm}^{-1}$ ) | Assignment  |
|------------------|--------------------------------------|---|
| 8.0904           | 0 <sup>a</sup>                       | 0 <sub>0</sub> <sup>0</sup>   |
| 8.1475           | 460                                  | 30 <sub>0</sub> <sup>1</sup> or 44 <sub>0</sub> <sup>1</sup> + 46 <sub>0</sub> <sup>1</sup>   |
| 8.2285           | 1114                                 | 22 <sub>0</sub> <sup>1</sup>  |
| 8.2615           | 1380                                 | 17 <sub>0</sub> <sup>1</sup>  |
| 8.3395           | 2009                                 | 2 <sup>2</sup> A/ $D_1$ 0 <sub>0</sub> <sup>0</sup>   |
| 8.4024           | 2516                                 | 17 <sub>0</sub> <sup>1</sup> + 22 <sub>0</sub> <sup>1</sup>   |
| 8.4565           | 2953                                 | 6 <sub>0</sub> <sup>1</sup>   |
| 8.5495           | 3703                                 | 1 <sub>0</sub> <sup>1</sup>   |
| 8.5915           | 4042                                 | 6 <sub>0</sub> <sup>1</sup> + 22 <sub>0</sub> <sup>1</sup>  |
| 8.8275           | 5945                                 | 6 <sub>0</sub> <sup>2</sup>   |
| 8.8524           | 6146                                 | 1 <sub>0</sub> <sup>1</sup> + 17 <sub>0</sub> <sup>1</sup> + 22 <sub>0</sub> <sup>1</sup> or 6 <sub>0</sub> <sup>1</sup> + 22 <sub>0</sub> <sup>2</sup> |

<sup>a</sup> Used as reference.

In Fig. 3, we also superpose the FC spectrum for the  $9MA^+$  ( $X^1A'$ ) +  $h\nu \rightarrow 9MA^+$  ( $D_0$ ) +  $e^-$  ionization transition, where the first peak is positioned to coincide with the computed AIE at the PBE0/aug-cc-pVDZ (optg)/(R)CCSD(T)-F12 (+ $\Delta CV$  +  $\Delta SR$  +  $\Delta ZPVE$ ) (SP) level. The comparison of the experimental and the simulated spectra shows that several bands in the TPES spectrum remain unreproducible by the simulated one. This might be due to the contribution of resonant autoionization processes<sup>70</sup> and to photoionization transitions populating the low vibronic levels of the  $D_1$  cationic state. Indeed, the strong  $D_0$ – $D_1$  vibronic coupling pointed out above participated in the congestion of the spectrum *via* the trading of the intensities between the  $D_0$  and  $D_1$  bands. The simulation of such features is challenging and goes beyond the scope of the present work.

To facilitate in the assignment of  $D_0$  bands, we estimated the anharmonic frequencies of  $9MA^+$  ( $X^{+2}A''/D_0$ ) at the PBE0/aug-cc-pVDZ level. These data are listed in Table S7 (ESI<sup>†</sup>). This ion has 48 vibrational modes: 32  $a'$  and 16  $a''$ . Since both the neutral and the cation are planar in their electronic ground states, only the  $a'$  vibrational modes are allowed upon single photon ionization. These correspond to the 32  $a'$  vibrational modes, those having even quanta of  $a''$  and to any multiple and combination modes of these levels. Nevertheless, the geometries of the cation and the neutral species are very close, resulting in a short vibrational progression upon photoionization, as seen in the simulated FC spectrum (*cf.* Fig. 3). In Table 4, we list the tentative assignment of the bands of  $9MA^+$  ( $D_0$ ). They correspond mainly to the population of  $\nu_1^+$ ,  $\nu_6^+$ ,  $\nu_{17}^+$ ,  $\nu_{22}^+$ ,  $\nu_{30}^+$  and some of their combination modes. This allows determining the respective fundamentals:  $\nu_1^+ = 3703$ ,  $\nu_6^+ = 2953$ ,  $\nu_{17}^+ = 1380$ , and  $\nu_{22}^+ = 1114$ ,  $\nu_{30}^+ = 460$  (all values in  $\text{cm}^{-1}$ ). These values should be considered with caution because of the abovementioned  $D_0$ – $D_1$  vibronic couplings.

## IV. Conclusions

Using a combination of advanced theoretical and experimental approaches, we investigated the single photon ionization spectrum of 9-methyladenine. Imino-tautomers cannot be present

in our experimental conditions and have therefore been discarded in our spectral analysis. However, two rotamers could be expected, while a single one is found to be contributing to the spectrum in practice. This is either due to the cooling in the molecular beam or because of unfavorable Franck–Condon factors upon single-photon ionization. Upon methylation, the adiabatic ionization energy reduces by  $\sim 0.2$  eV as compared to the canonical adenine base.

The experimental spectrum is thus fully assigned to photoionization transitions populating the  $D_0$ ,  $D_1$ ,  $D_2$ ,  $D_3$  and  $D_4$   $9MA^+$  states. Moreover, our work shows that the pattern of the electronic states is dense, resulting in more complicated features because of congestion. Indeed, the electronic states of  $9MA^+$ , as those of canonical adenine cation, are coupled vibronically, and Koopmans' correlations and monoconfigurational approaches are not sufficient to model the electronic structure of such a cation. For instance, our work reveals that the band structure only loosely corresponds to the photoionization transitions because of the possible large or small geometry change and the resulting Franck–Condon gaps. Also, the dynamics of photoionization of this biological entity should be very complex, more so than in canonical adenine, because of the higher density of states of  $9MA^+$  and the subsequent interactions and couplings. This may explain the different dynamics observed on ultrashort time scales for the highly excited electronic states of  $9MA$  as compared to its non-substituted base.<sup>8</sup>

## Conflicts of interest

The authors have no conflicts of interest to declare.

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