

Cite this: *Nanoscale Adv.*, 2022, 4, 1793

# Modulating the growth of chemically deposited ZnO nanowires and the formation of nitrogen- and hydrogen-related defects using pH adjustment†

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ZnO nanowires (NWs) grown by chemical bath deposition (CBD) have received great interest for nanoscale engineering devices, but their formation in aqueous solution containing many impurities needs to be carefully addressed. In particular, the pH of the CBD solution and its effect on the formation mechanisms of ZnO NWs and of nitrogen- and hydrogen-related defects in their center are still unexplored. By adjusting its value in a low- and high-pH region, we show the latent evolution of the morphological and optical properties of ZnO NWs, as well as the modulated incorporation of nitrogen- and hydrogen-related defects in their center using Raman and cathodoluminescence spectroscopy. The increase in pH is related to the increase in the oxygen chemical potential ( $\mu_{\text{O}}$ ), for which the formation energy of hydrogen in bond-centered sites ( $\text{H}_{\text{BC}}$ ) and  $\text{V}_{\text{Zn}}\text{-N}_{\text{O}}\text{-H}$  defect complexes is found to be unchanged, whereas the formation energy of zinc vacancy ( $\text{V}_{\text{Zn}}$ ) and zinc vacancy-hydrogen ( $\text{V}_{\text{Zn}}\text{-nH}$ ) complexes steadily decreases as shown from density-functional theory calculations. Revealing that these  $\text{V}_{\text{Zn}}$ -related defects are energetically favorable to form as  $\mu_{\text{O}}$  is increased, ZnO NWs grown in the high-pH region are found to exhibit a higher density of  $\text{V}_{\text{Zn}}\text{-nH}$  defect complexes than ZnO NWs grown in the low-pH region. Annealing at 450 °C under an oxygen atmosphere helps tuning the optical properties of ZnO NWs by reducing the density of  $\text{H}_{\text{BC}}$  and  $\text{V}_{\text{Zn}}$ -related defects, while activating the formation of  $\text{V}_{\text{Zn}}\text{-N}_{\text{O}}\text{-H}$  defect complexes. These findings show the influence of pH on the nature of  $\text{Zn}(\text{II})$  species, the electrostatic interactions between these species and ZnO NW surfaces, and the formation energy of the involved defects. They emphasize the crucial role of the pH of the CBD solution and open new possibilities for simultaneously engineering the morphology of ZnO NWs and the formation of nitrogen- and hydrogen-related defects.

Received 4th November 2021  
Accepted 22nd February 2022

DOI: 10.1039/d1na00785h

rsc.li/nanoscale-advances

## 1. Introduction

The study of ZnO nanowires (NWs) in the last two decades has shown high interest towards gaining a better understanding on their potential use as efficient nanoscale devices in the fields of biological and/or chemical sensing,<sup>1</sup> and optoelectronic,<sup>2</sup> photovoltaic,<sup>3</sup> piezotronic,<sup>4</sup> and piezoelectric<sup>5</sup> applications. ZnO NWs also possess the advantage to be grown by the low-temperature and low-cost solution route of chemical bath deposition (CBD). Their crystallization follows the thermally-activated dehydration of  $[\text{Zn}(\text{H}_2\text{O})_6]^{2+}$  ions coming from  $\text{Zn}(\text{II})$

ions representing the limiting reactant at low pH, and their growth is kinetically controlled by the thermally-activated decomposition of hexamethylenetetramine (HMTA) that slowly releases hydroxide ions in an aqueous solution.<sup>6–10</sup> Hence, the different experimental parameters such as the chemical precursor concentration,<sup>8,9,11,12</sup> nature of the species present in the solution,<sup>11,13–17</sup> pH of the solution,<sup>11,18,19</sup> temperature and growth time,<sup>10,20</sup> have shown to play a key role in the morphological, structural, chemical, optical, and electrical properties of ZnO NWs. Universally, hydronium ( $\text{H}_3\text{O}^+$ ) and hydroxide ( $\text{OH}^-$ ) ions are referred to as the water ions, and their study is crucial in aqueous solution. In the case of the present study, special attention is paid to the physicochemical parameter of pH. The pH scale measures how acidic or basic a solution is, and as stated in simple terms, the pH operational concept is defined as:

$$\text{pH} = -\log_{10}[\text{H}_3\text{O}^+] \quad (1)$$

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† Electronic supplementary information (ESI) available. See DOI: 10.1039/d1na00785h

where  $[H_3O^+]$  is the hydronium ion molar concentration of a solution with respect to a reference pH value.<sup>21–23</sup> Conversely, the hydroxide ion molar concentration  $[OH^-]$  is also derived from pH measurements and immediately highlights the importance of pH in the CBD solution as a potential source of oxygen for the crystallization of ZnO NWs. Additionally, it is well-known that pH also impacts the growth mechanisms of ZnO NWs,<sup>10,11,19</sup> and the concentration and nature of the species present in the CBD solution.<sup>9</sup> By the addition of ammonium chloride ( $NH_4Cl$ ), Joo *et al.* showed that in a zinc-only CBD system, a modest variation (<1.5-fold range) of the aspect ratio of ZnO NWs can be expected by adjusting the pH alone within the range of 10.6 to 11.4.<sup>11</sup> Dahiya *et al.* showed that the addition of ammonium hydroxide ( $NH_4OH$ ) slowly degrades the optical response of ZnO NWs through the increase in the concentration of point defects within the pH range of 6.6 to 7.1.<sup>18</sup> Additionally, the intentional doping of ZnO NWs with Al,<sup>15</sup> Ga,<sup>13</sup> and Cu,<sup>14</sup> has been developed through the addition of their respective chemical precursors into the CBD solution with different amounts of ammonia ( $NH_3$ ) within the pH range of  $\sim 7$  to  $\sim 11$ .

From a theoretical approach, the formation of pure bulk ZnO should satisfy the following relation:

$$\mu_{ZnO}^s = \mu_{Zn} + \mu_O \quad (2)$$

where  $\mu_{ZnO}^s$ ,  $\mu_{Zn}$  and  $\mu_O$  represent the chemical potentials of bulk ZnO in the wurtzite phase, Zn atoms, and O atoms, respectively.<sup>24,25</sup> Experimentally, the oxygen chemical potential  $\mu_O$  depends on the growth conditions, which can be either Zn-rich, O-rich, or intermediate. *Via* density functional theory (DFT) calculations, it has been shown that the dependence of the formation energy of point defects in ZnO with respect to  $\mu_O$ , e.g. a Zn-rich or O-rich environment, would lead to the formation of oxygen or zinc vacancies, respectively.<sup>25,26</sup> Simultaneously, regarding the growth of ZnO *via* solution methods, the pH also has a relationship with  $\mu_O$ ,<sup>27,28</sup> which consequently affects the formation energy of intrinsic and extrinsic point defects.<sup>29</sup> Through the paramount contribution of Van de Walle,<sup>30</sup> it was revealed from DFT calculations that interstitial hydrogen ( $H_i$ ) systematically acts as a shallow donor over the expected range of Fermi level, which is different from the typical amphoteric behavior that hydrogen impurities exhibit in other semiconductors. Consequently, hydrogen-related defects are defined as  $H_i$  in the bond-centered site ( $H_{BC}$ ), acting as a shallow donor,<sup>30</sup> substitutional hydrogen on the oxygen lattice site ( $H_O$ ), acting as a shallow donor,<sup>25</sup> zinc vacancy-hydrogen ( $V_{Zn}-nH$ ) complexes, where  $n$  represents the number of involved  $H_i$  atoms,<sup>31,32</sup> and  $V_{Zn}-H$ ,  $V_{Zn}-2H$ , and  $V_{Zn}-3H$  act as a deep acceptor, a neutral defect, and a shallow donor, respectively.<sup>33</sup> It is worth mentioning that it has recently been shown that the  $V_{Zn}-3H$  defect complex has a lower formation energy than  $H_{BC}$ , which had previously been presumed to be the most stable configuration.<sup>33</sup> *Via* four-point probe resistivity measurements, the high free electron density in the range of  $2.7 \times 10^{18}$  to  $3.1 \times 10^{19} \text{ cm}^{-3}$  for spontaneously grown ZnO NWs and  $6.4 \times 10^{17}$  to  $1.1 \times 10^{19} \text{ cm}^{-3}$  for selective-area-grown ZnO nanorods has directly been attributed to the high concentration of  $H_{BC}$ ,  $V_{Zn}-$

$3H$ , and  $H_O$  defects, through complementary Raman and 5 K cathodoluminescence spectroscopy measurements.<sup>33,34</sup> A more recent study also showed by DFT that the  $V_{Zn}-N_O-H$  defect complex acts as a deep acceptor with a relatively low formation energy and how the nature and concentration of the nitrogen- and hydrogen-related defects are engineered using thermal annealing under an oxygen atmosphere.<sup>35</sup> The thermal annealing of ZnO NWs at 300 °C promotes a reduction of the free electron density from  $10.2 \times 10^{17}$  to  $5.6 \times 10^{17} \text{ cm}^{-3}$ , following the analysis of longitudinal optical phonon-plasmon (LPP) coupling; additionally, the activation mechanism of the  $V_{Zn}-N_O-H$  defect complex at 500 °C is favored through the combination of residual  $N_O-H$  defects with  $V_{Zn}$ . Hence, with advances in the understanding of the nitrogen and hydrogen-related defects in unintentionally doped ZnO NWs grown by CBD,<sup>33,35</sup> it is valuable to further understand how the pH of the CBD solution influences the formation and incorporation of these defects.

In the present study, ZnO NW arrays are initially grown using a series of CBD solutions within the pH range of 7.00 to 11.07 and subsequently annealed thermally at 450 °C under an oxygen atmosphere, following the methodology used in ref. 35. A deep understanding and fine evolution of nitrogen- and hydrogen-related defects is given from the combination of *in situ* pH measurements, thermodynamic computations, Raman and 5 K cathodoluminescence spectroscopy with DFT calculations. Following this comprehensive analysis, the latent opportunity for engineering the optical and electrical properties of ZnO NWs *via* the modification of pH in the CBD solution is unveiled.

## 2. Experimental and theoretical methods

### 2.1 Synthesis and thermal annealing of ZnO nanowires

Silicon (100) and Corning glass substrates were cleaned with acetone and isopropyl alcohol in an ultrasonic bath to remove residual dust particles and organic contaminants. The ZnO sol-gel solutions containing 375 mM zinc acetate dihydrate ( $Zn(CH_3COO)_2 \cdot 2H_2O$ , Sigma-Aldrich) and 375 mM monoethanolamine (MEA, Sigma-Aldrich) were mixed in pure ethanol and stirred on a hot plate for several hours at 60 °C and then at room temperature to assure the proper dissolution of  $Zn(CH_3COO)_2 \cdot 2H_2O$ . The substrates were mechanically dipped into the sol-gel solution and gently removed under a controlled atmosphere (<15% hygrometry). Subsequently, they were annealed at 300 °C for 10 min on a hot plate to remove residual organic compounds and at 500 °C for 1 h in an oven under air for the crystallization of the ZnO seed layer. ZnO NWs were grown by CBD in a sealed reactor at 85 °C containing deionized water-based solutions of zinc nitrate hexahydrate ( $Zn(NO_3)_2 \cdot 6H_2O$ , Sigma-Aldrich) and HMTA ( $C_6H_{12}N_4$ , Sigma-Aldrich) mixed in an equimolar ratio of 30 mM. Additionally, the initial pH of the solution before heating, denoted as  $pH_0$ , was changed from 7.00 to 11.07 by the further addition of different concentrations of ammonia ( $NH_3$ , Sigma-Aldrich) ranging from 0 to 1505 mM. Table 1 summarizes the concentration of added ammonia and



**Table 1** CBD conditions for the series of ZnO NWs grown with different pH<sub>0</sub> values

| Sample | pH <sub>0</sub> | [NH <sub>3</sub> ] added (mM) | Growth time (min) |
|--------|-----------------|-------------------------------|-------------------|
| 1      | 7.00            | 0                             | 360               |
| 2      | 7.20            | 10                            | 360               |
| 3      | 7.25            | 20                            | 360               |
| 4      | 7.44            | 58                            | 360               |
| 5      | 10.55           | 510                           | 180               |
| 6      | 10.62           | 580                           | 180               |
| 7      | 10.70           | 660                           | 90                |
| 8      | 10.76           | 740                           | 60                |
| 9      | 10.80           | 840                           | 60                |
| 10     | 10.94           | 950                           | 90                |
| 11     | 11.07           | 1505                          | 240               |

the growth time for each pH<sub>0</sub> condition. The growth time was varied in the range of 60–360 min to form ZnO NWs with a length higher than 1 μm regardless of the pH<sub>0</sub> value, which is favorable to investigate the pH effects mainly during the elongation process. A thermal annealing at 450 °C for 1 h was eventually performed in a tubular furnace under an oxygen atmosphere.

## 2.2 Characterization techniques

During the ZnO NW growth, the *in situ* measurement of pH and temperature was performed with an InLab Versatile Pro pH electrode from Mettler Toledo. The morphology of ZnO NWs was evaluated with a FEI Quanta 250 FESEM instrument. The nature of nitrogen- and hydrogen-related defects was investigated by Raman and 5 K cathodoluminescence spectroscopy. The Raman spectroscopy of ZnO NWs was performed with a Horiba/Jobin Yvon Labram spectrometer equipped with a liquid nitrogen-cooled CCD detector. A 514.5 nm Ar<sup>+</sup> laser with a power on the sample surface of ~0.51 mW was focused to a spot size of ~1 μm<sup>2</sup> using a 100× objective. The integration time was 200 s per spectral window from 50 cm<sup>-1</sup> to 3750 cm<sup>-1</sup>. A silicon reference sample was used for spectral calibration at room temperature, with the theoretical Raman line set to 520.7 cm<sup>-1</sup>. The Raman spectral acquisition was achieved in a z(–,–)z̄ geometry, where the laser probes an array of ZnO NWs from the top.

The 5 K cathodoluminescence spectroscopy was performed with a FEI Inspect F50 FESEM instrument equipped with a liquid helium-cooled stage. A low acceleration voltage of 5 kV and a small spot size (*i.e.*, less than 10 nm) were used to probe an array of ZnO NWs from the top. A parabolic mirror controlled with nanomanipulators helped to collect the cathodoluminescence signal on a 550 mm focal length monochromator equipped with a 600 grooves per mm diffraction grating. Cathodoluminescence spectra were acquired with the electron beam irradiating the array of ZnO NWs in scanning mode with an area size of ~0.50 μm<sup>2</sup> and recorded with a thermoelectric cooled silicon CCD detector. The 5 K cathodoluminescence acquisition was achieved on several frames of ZnO NWs for each pH<sub>0</sub> value.

## 2.3 Thermodynamic computations

Thermodynamic simulations were performed with Visual MINTEQ software to determine the speciation diagrams of Zn(II) species as well as the theoretical solubility plots of ZnO at 85 °C at each NH<sub>3</sub> concentration. The Zn<sup>2+</sup> cation in aqueous solution is capable of forming hydroxide and/or amine complexes with two possible ligands (HO<sup>–</sup> and NH<sub>3</sub>) denoted as L, given the general reactions  $n\text{Zn}^{2+} + i\text{L} \leftrightarrow \text{Zn}_n\text{L}_i^{n-2+}$ , where Zn<sub>n</sub>L<sub>i</sub><sup>n-2+</sup> is the complex considered with *i* as the coordination number. The related stability constants β<sub>i</sub><sup>L</sup> associated with each reaction are given by  $\beta_i^L = \frac{[\text{Zn}_n\text{L}_i^{n-2+}]}{[\text{Zn}^{2+}]^n [\text{L}]^i}$ . These constants at room temperature

were taken from the National Institute of Standards and Technology (NIST) database and the constants at 85 °C were deduced from the Van't Hoff relation. To calculate the theoretical solubility plots for each condition, Zn-related oxides and hydroxides were considered.

## 2.4 Density-functional theory method

The wurtzite structure of ZnO and the specified point defects from the current study were investigated using the VASP code with projector augmented-wave (PAW) potentials<sup>36,37</sup> along with the Perdew–Burke–Ernzerhof derivation of the generalized gradient approximation (GGA-PBE)<sup>38,39</sup> of DFT. The Γ-centered *k*-point mesh defining the reciprocal-space resolution was generated with a Monkhorst-Pack mesh of 8 × 8 × 6 for the 1 × 1 × 1 unit cell and it has a cut-off energy set to 600 eV. The methodology followed in the current investigation was extensively detailed in our previous investigations in ref. 33 and 35. Furthermore, a large size 4 × 4 × 3 supercell of 192 atoms was employed to ensure the structural relaxation and the accurate calculation of these properties of point defects in ZnO. The detailed expression for the formation energy of a defect in a specific charge state (*Q*) is fully developed in ESI† Section 1.

# 3. Results and discussion

## 3.1 Effect of pH of the CBD solution on the growth mechanisms of ZnO NWs

**3.1.1 Description of the structural morphology of ZnO NWs.** The heterogeneous formation of ZnO NWs was performed on top of the *c*-axis oriented polycrystalline ZnO seed layers deposited *via* the dip-coating technique with the same structural morphology for the entire study.<sup>40,41</sup> The morphological properties of the as-grown pristine ZnO NWs by CBD with pH<sub>0</sub> values in the range of 7.00–10.94 are shown in Fig. 1 by FESEM imaging and in Fig. 2 by representing the axial growth rate along the polar *c*-axis, radial growth rate, aspect ratio, apparent density and deposited volume for each condition. The axial and radial growth rates were deduced from the ratio of the length and diameter of ZnO NWs over the effective growth time, respectively. The effective growth time was defined as the total growth time subtracted by the nucleation time of ~20 min needed to reach the temperature of 65 °C required to start the formation process of ZnO NWs. The deposited volume of ZnO NWs was inferred from their diameter, length, and apparent



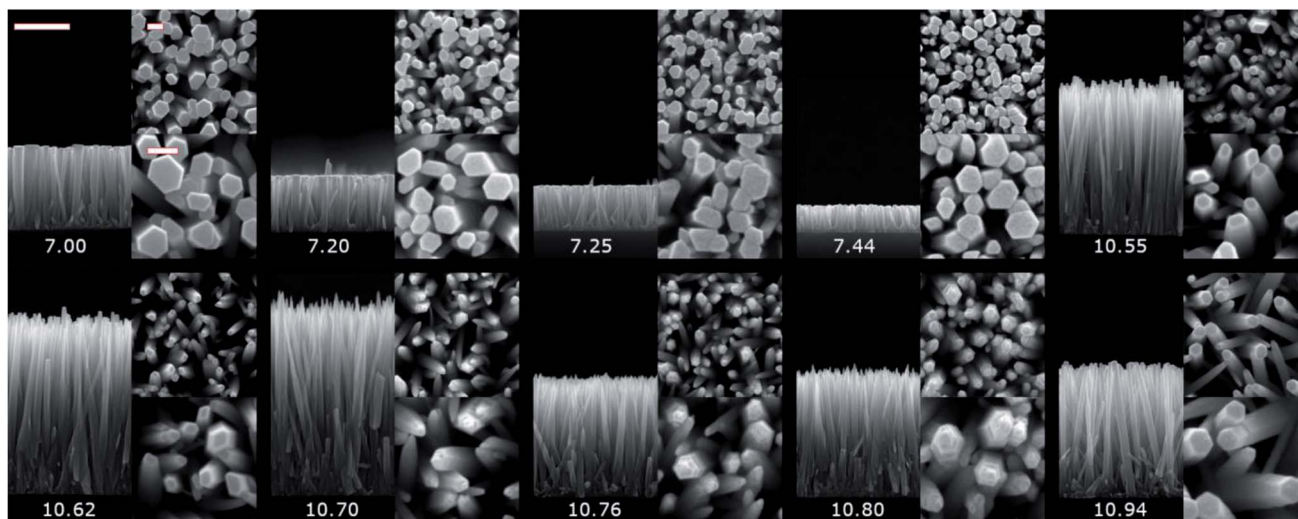


Fig. 1 Cross-sectional- and top-view FESEM images of the pristine series of ZnO NWs grown by CBD using  $\text{pH}_0$  values in the range of 7.00–10.94. The scale bars correspond to 1000 nm for the cross-sectional view, and 100 nm for both low magnification and high magnification top-views.

density. It is worth mentioning here that no growth of ZnO NWs proceeded when using a  $\text{pH}_0$  value of 11.07 as shown in Fig. S2 in the ESI† and the seed layer was even dissolved due to the well-known high solubility of ZnO at high pH.<sup>13</sup>

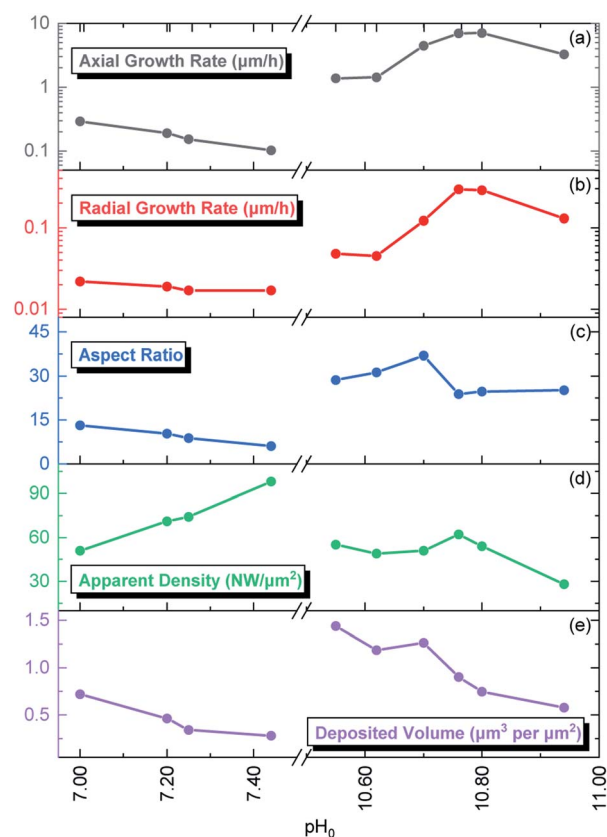


Fig. 2 Evolution of the (a) axial growth rate, (b) radial growth rate, (c) aspect ratio, (d) apparent density, and (e) deposited volume of ZnO NWs grown by CBD as a function of  $\text{pH}_0$ .

The pristine ZnO NWs grown in the  $\text{pH}_0$  region of 7.00–10.94 are vertically aligned with the hexagonal shape characteristic of their wurtzite structure oriented along the polar  $c$ -axis.<sup>42</sup> The ZnO NWs grown within the increasing  $\text{pH}_0$  region of 7.00–7.44, defined as the low-pH region, exhibit a wide-hexagonal tip despite a drastic decrease in their axial growth rate from 0.29 to 0.10  $\mu\text{m h}^{-1}$ , and a correlated significant decrease in their aspect ratio from 13 to 6. Interestingly, the decrease in the axial growth rate is accompanied by an increase in the apparent density of ZnO NWs from 51 to 98 NW  $\mu\text{m}^{-2}$ . This is attributed to the appearance of O-polar ZnO NWs that have a slower growth rate than their counter Zn-polar ZnO NWs,<sup>40,43</sup> and hence under standard conditions the Zn-polar NWs grow faster and mask the presence of O-polar NWs. As shown in Table 1, it is evident that no growth was performed along the intermediate  $\text{pH}_0$  region ranging from 7.44 to 10.55 owing to the well-known predominant homogeneous nucleation occurring in the CBD solution.<sup>19</sup> The ZnO NWs grown within the  $\text{pH}_0$  region of 10.55–10.94, defined as the high-pH region, exhibit higher aspect ratios ( $>20$ ), which are more suitable for piezoelectric applications.<sup>6,44</sup> These ZnO NWs have different types of morphologies, where the NWs grown within the  $\text{pH}_0$  range of 10.55–10.62 show a narrow-hexagonal tip while the NWs grown within the  $\text{pH}_0$  range of 10.70–10.80 show a pencil-like tip. These morphologies are correlated with the prominent increase in the axial growth rate of ZnO NWs seen in the high-pH region. ZnO NWs grown with an axial growth rate of  $\sim 1.5 \mu\text{m h}^{-1}$  have a narrow-hexagonal tip, while ZnO NWs grown with a higher growth rate have a pencil-like tip. These morphologies have previously been shown on ZnO NWs from ref. 10, 13, 14 and 45, using the conditions where no dopant solution was added to the CBD solution with  $\text{pH}_0 > 10.3$ . Remarkably, the ZnO NWs grown at a  $\text{pH}_0$  value of 10.94 has a high aspect ratio and they present a narrow-hexagonal tip instead of a pencil-like tip. As shown by





Lausacker *et al.*, the drastic increase in the axial growth rate prevents the complete formation of the nonpolar *m*-planes on their sidewalls, hence reducing the size of the NW tip.<sup>14</sup> Simultaneously, an erosion process of the NW top facets by  $\text{HO}^-$  ions at high pH has also been shown.<sup>46</sup> However, the extended growths under dynamic conditions cause the depletion of chemical reactants, promoting a decrease in the axial growth rate and giving the opportunity for the nonpolar *m*-planes to form.<sup>10</sup> Hence, this would explain how our ZnO NWs grown at the  $\text{pH}_0$  value of 10.94 could have passed from a pencil-like tip at the initial growth stage to a narrow-hexagonal tip at the final growth stage.

**3.1.2 Effect of pH on CBD solution: thermodynamic simulations versus experimental results.** Recently, the spontaneous growth of ZnO NWs without the addition of ammonia to the CBD solution has extensively been studied in ref. 10, 33 and 35. Under these standard CBD conditions, an initial equimolar concentration of  $\text{Zn}^{2+}$  ions, from the solubilization of zinc nitrate, and  $\text{NH}_4^+$  ions, from the hydrolysis of HMTA, is roughly expected in the bath.<sup>9</sup> To carefully understand the influence of pH and the behavior of the CBD solution along the growth of ZnO NWs, thermodynamic computations were performed using Visual MINTEQ software. Along these computations, the initial concentration for  $\text{Zn}^{2+}$  species was set to 30 mM and the concentration of  $\text{NH}_4^+$  was varied from 30 mM up to 1600 mM, with a 1 mM step. The theoretical pH corresponds to the equilibrium pH in the bath at a given temperature and it was calculated using the software from mass and charge equilibrium balances.

A speciation diagram of Zn(II) species at 85 °C is presented in Fig. 3 and shows a crucial difference in the nature of the pH-dependent species: (i) the  $\text{Zn}^{2+}$  ions are the main species in solution in the low-pH region, whereas (ii) the Zn(II) amine complexes, including  $\text{Zn}(\text{NH}_3)_4^{2+}$  ions as the main species, are predominantly present in solution in the high-pH region.

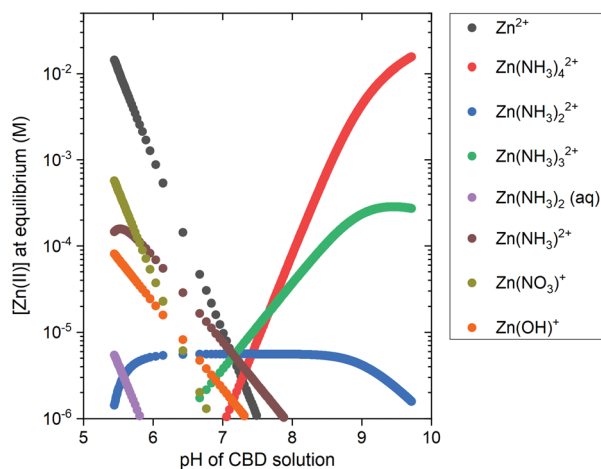


Fig. 3 Speciation diagram of Zn(II) species present in the CBD solution at 85 °C as a function of pH, obtained from the thermodynamic calculations using Visual MINTEQ software. The initial concentration for  $\text{Zn}^{2+}$  ions was set to 30 mM and the  $\text{NH}_4^+$  concentration was varied from 30 mM up to 1600 mM, with a 1 mM step.

To complement the thermodynamic calculations, *in situ* pH and temperature measurements of the CBD solution were performed during the growth of ZnO NWs under different conditions, as detailed in Table 1. Fig. S3(a)† shows that a duration of ~20 min is required for the growth reactor to reach temperatures >65 °C, which has been shown to be an important starting point for ZnO NW nucleation.<sup>10</sup> Fig. S3(b)† shows that, as the temperature is increased, a shift to lower values of pH is expected from thermodynamic solubility curves of Zn(II) species. A whole picture of this growth process is seen in the left section of Fig. 4, where the *in situ* pH measurements are depicted along with the theoretical solubility plots of Zn(II) species illustrated as a color-coded map.

Specific physicochemical processes and thermodynamic considerations related to surface energy minimization, electrostatic forces, and kinetically-controlled diffusive mechanisms need to be taken into account to understand the growth of ZnO NWs by CBD.<sup>11,50</sup> In that set of parameters, the super-saturation ratio represents a crucial concept and is defined as

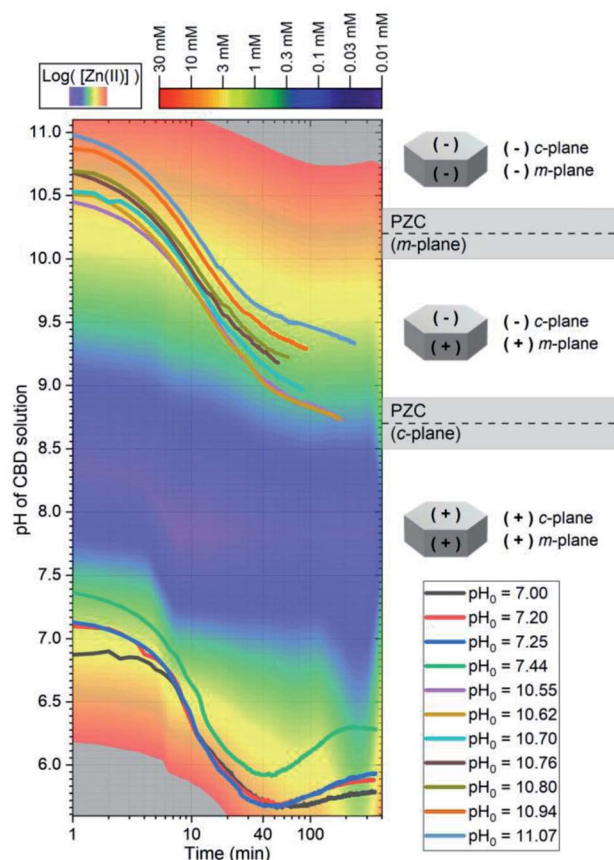


Fig. 4 *In situ* measurements of the pH during the CBD of ZnO NWs using  $\text{pH}_0$  values in the range of 7.00–11.07. The solid lines represent the pH of the solution at a given growth time. (i) The color-coded map represents the theoretical equilibrium concentration of soluble Zn(II) species as calculated using Visual MINTEQ software, and (ii) the schematic diagrams show the expected surface electrical charge of the different crystallographic planes of ZnO NWs according to the PZC values reported in ref. 11, 47, 48 and 49.

the ratio between the initial concentration of  $\text{Zn}^{2+}$  ions that is equal to 30 mM and the equilibrium concentration of soluble  $\text{Zn(II)}$  species.<sup>51</sup> It should be noted that the growth of ZnO NWs by CBD is typically achieved under intermediate supersaturation conditions, a metastable domain where both heterogeneous and homogeneous growths occur.<sup>6</sup>

The low-pH region shows that increasing the  $\text{pH}_0$  value from 7.00 to 7.44 brings the growth of ZnO NWs to increasing supersaturation levels, which are detrimental for the heterogeneous nucleation; hence, this explains the steady decrease in the axial growth rate and deposited volume of these NWs in Fig. 1 and 2, respectively. The increase in the pH value seen around a growth time of  $\sim 60$  min has been attributed to the continuous hydrolysis of HMTA, which releases a large excess of  $\text{HO}^-$  ions in the bath.<sup>9</sup> Additionally, this supports well the deposition mechanism discussed by D. Lincot, where Case 1 deposition process is associated with a pH shift to higher values.<sup>6</sup>

As shown in the right section of Fig. 4, the surface electrical charge of ZnO NWs is pH dependent and it can show different regions depending on the expected value of the point-of-zero-charge (PZC). The PZC is defined as the point where the surface electrical charge is neutral and does not bear any individual charges. It has been reported to be located at  $8.7 \pm 0.2$  and  $10.2 \pm 0.2$  for the polar  $c$ -plane and nonpolar  $m$ -plane of ZnO, respectively.<sup>11,47–49</sup> All the growths in the low-pH region occur when both the  $c$ - and  $m$ -planes are positively charged, and where the dehydration of  $[\text{Zn}(\text{H}_2\text{O})_6]^{2+}$  ions and crystallization of ZnO NWs are promoted by the capping and reaction of  $\text{OH}^-$  ions coming from HMTA.<sup>9</sup> Hence, the electrostatic interactions between the positively charged crystallographic planes with the  $\text{Zn}^{2+}$  ions are not expected to play a major role in the nucleation of ZnO NWs.

The intermediate pH region is clearly visualized as blue-colored in Fig. 4. As discussed previously, in this region from  $\text{pH}_0$  of  $\sim 7.60$  to  $\sim 9.10$ , the homogeneous growth is favored at the expense of the heterogeneous nucleation due to the very high supersaturation levels. As depicted in Fig. S4,<sup>†</sup> the supersaturation ratio in this region reaches a maximum value at a pH of  $\sim 8.30$ , and later it starts decreasing until reaching again supersaturation values  $< 40$  at a  $\text{pH}_0$  of  $\sim 9.2$ .

Consequently, the high-pH region shows that increasing the  $\text{pH}_0$  value from 10.55–10.94 brings the growth of ZnO NWs to decreasing supersaturation levels. It is worth mentioning that, in the high-pH region, the homogeneous nucleation is highly reduced due to the stabilization of  $\text{Zn}^{2+}$  ions in the form of  $\text{Zn}(\text{NH}_3)_4^{2+}$  ions,<sup>52</sup> which is well correlated with the higher deposited volume of ZnO NWs, as shown in Fig. 2. In this region, a pH decrease through all the growth of ZnO NWs proceeds, contrasting the case in the low-pH region. Hence, this trend supports the deposition mechanism discussed by D. Lincot, where Case 2 deposition process is associated with a pH shift to lower values.<sup>6</sup>

The growth performed in the high-pH region has a  $\text{pH}_0$  value where both the  $c$ - and  $m$ -planes are negatively charged; however, after  $\sim 20$  min of growth, the pH curves cross the  $m$ -plane PZC value, leaving the rest of the growth with the  $m$ -

planes positively charged and the  $c$ -plane negatively charged. From a thermodynamic approach, the growth of ZnO NWs is driven by surface energy minimization through the preferred formation of the non-polar  $m$ -plane, which has a lower surface energy as compared with the polar  $c$ -plane.<sup>50</sup> Hence, the high axial growth rate giving a pencil-like morphology to the ZnO NWs is well-explained by combining this highly reactive crystallization along the  $c$ -plane<sup>50</sup> and the electrostatic forces between the positively charged  $\text{Zn}(\text{NH}_3)_4^{2+}$  species and the negatively charged  $c$ -plane. It is worth noting that the ZnO NWs grown at  $\text{pH}_0$  values of 10.55 and 10.62 enter and stay in the  $c$ -plane PZC region for  $\sim 120$  min. This could explain why the axial growth rate of these ZnO NWs is lower than the ZnO NWs grown with  $\text{pH}_0 > 10.70$ , and their narrow-hexagonal tip. As shown in Fig. S3,<sup>†</sup> it is also evident that at a  $\text{pH}_0$  of 11.07, the solubility of  $\text{Zn(II)}$  species is so high that the ZnO seed layer is even dissolved. These results correlate and draw attention to the high importance of the type of  $\text{Zn(II)}$  species, the supersaturation levels, and the electrostatic interactions occurring within the CBD solution, all of which depending strongly on pH.

### 3.2 Structure of crystal defects using density functional theory

The formation energy of nitrogen- and hydrogen-related defects in ZnO was carefully investigated by DFT calculations following the methodology as reported in ref. 33 and 35, using the VASP code<sup>36,37</sup> with PAW potentials under the GGA-PBE approximation.<sup>38,39</sup> In ref. 33 and 35, the  $\mu_{\text{O}}$  value was set to  $-2.11$  eV to emulate intermediate growth conditions. In the present investigation, the formation energy of the main nitrogen- and hydrogen-related defects was calculated within the  $\mu_{\text{O}}$  range of  $-4.22$  to  $0$  eV when the Fermi level is set to the conduction band minimum (CBM), as depicted in Fig. 5(a). Two different behaviors related to the evolution of the formation energy of nitrogen- and hydrogen-related defects are revealed: (i) a steady decrease in the formation energy of the  $\text{V}_{\text{Zn}}$ ,  $\text{V}_{\text{Zn-H}}$ ,  $\text{V}_{\text{Zn-2H}}$ , and  $\text{V}_{\text{Zn-3H}}$  defects as  $\mu_{\text{O}}$  approaches the O-rich condition and (ii) a constant formation energy of  $\text{H}_{\text{BC}}$  ( $\text{H}_{\text{i}}$ ) and  $\text{V}_{\text{Zn-N}_\text{O-H}}$  defects, regardless of  $\mu_{\text{O}}$ . Additionally, the formation energy depicted as histograms under the Zn- and O-rich conditions is shown in Fig. 5(b) and (c), respectively. These figures give a better visualization of the two different behaviors described previously. These results are comparable with the results of Fabbri *et al.*<sup>53</sup>

A complex, yet elegant approach was developed by Todorova *et al.*, where the correlation between DFT calculations and experimentally measurable quantities, such as the pH and electrode potential, was associated with the state of an electrochemical system.<sup>27,28</sup> Using this approach and correlations, we can state that for a given electron potential, an increase in the pH of the CBD solution would promote a reasonable increase in  $\mu_{\text{O}}$ . Hence, this indicates that by increasing  $\text{pH}_0$  (and consequently  $\mu_{\text{O}}$ ) in the CBD solution, the formation of a higher concentration of  $\text{V}_{\text{Zn}}$ -related defects (with the exception of  $\text{V}_{\text{Zn-N}_\text{O-H}}$  defects) could be promoted owing to their lower formation energy.



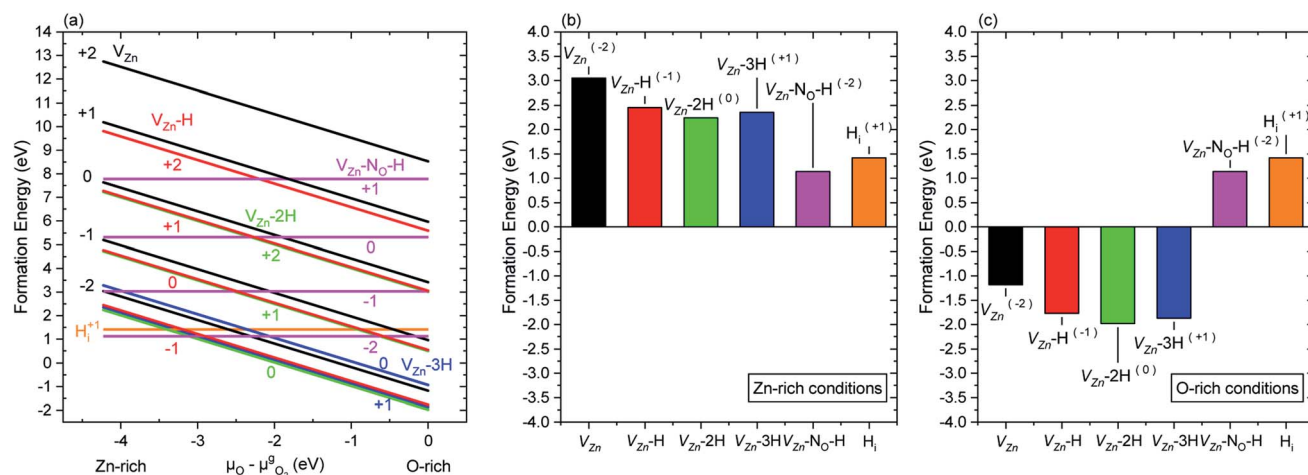


Fig. 5 Formation energy of nitrogen- and hydrogen-related defects in ZnO represented (a) as a function of the oxygen chemical potential, (b) under Zn-rich conditions, and (c) under O-rich conditions. The formation energies were obtained from DFT calculations considering that the Fermi level is set to the CBM. For each charge state, only the most stable configuration with the lowest formation energy is represented.

### 3.3 Cathodoluminescence spectroscopy of the as-grown and annealed ZnO nanowires

The pristine series of ZnO NWs grown by CBD using  $pH_0$  values in the range of 7.00–10.94 was thermally annealed at 450 °C under an oxygen atmosphere, following the methodology developed in ref. 35. This additional set of ZnO NWs for our study is defined as the annealed series. The 5 K cathodoluminescence spectra for the pristine and annealed series of ZnO NWs grown by CBD are presented in Fig. 6. The near band edge (NBE) emission for the pristine series shows a strong difference between the ZnO NWs grown in the low-pH and high-

pH regions, as revealed in Fig. 6(a). In the low-pH region, ZnO NWs grown under standard conditions using the  $pH_0$  value of 7.00 show a NBE emission with predominant radiative transitions involving neutral donor-bound A-excitons ( $D^0X_A$ ) at  $\sim 3.365$  eV. The contributions from  $H_O$  ( $I_4$ ),  $V_{Zn-3H}$  defect complex ( $I_5$ ) and  $H_{BC}$  lines at 3.3628, 3.3614, and 3.360 eV, respectively, are expected in that NBE emission.<sup>33,54–56</sup> Short ZnO NWs grown with the  $pH_0$  value of 7.44 (see Fig. 1) show two prominent emission lines at 3.3288 eV and 3.330 eV (*i.e.*  $Y_0$  line). They are respectively attributed to the basal plane stacking faults<sup>57</sup> and extended defects<sup>58</sup> presumably located at their

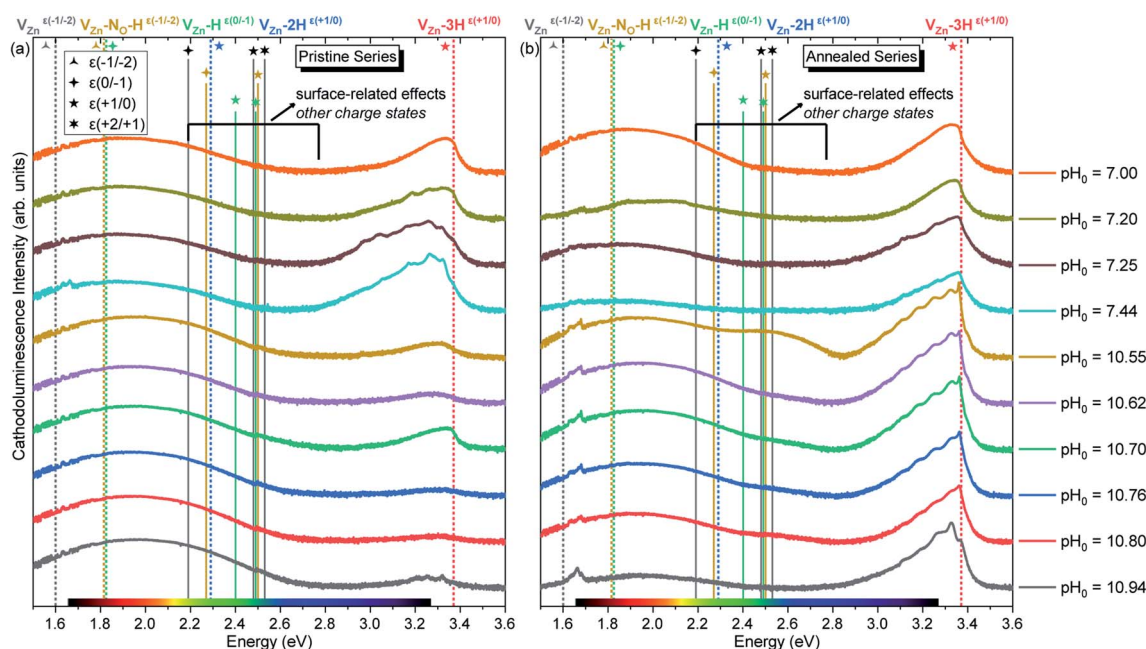


Fig. 6 5 K cathodoluminescence spectra of ZnO NWs grown by CBD with  $pH_0$  values in the range of 7.00–10.94 (a) before and (b) after thermal annealing at 450 °C for 1 h under an oxygen atmosphere. The insets show the emission energy of optical transitions as inferred from DFT calculations in ref. 29, 33, 35 and 61.



bottom, where a compact layer is formed following a coalescence process as in the case of polycrystalline ZnO thin films.<sup>59</sup> In contrast, ZnO NWs grown in the high-pH region show a moderately suppressed NBE emission possibly due to competition with the radiative recombination of defects in the visible spectral region, or the presence of non-radiative recombination centers, such as dislocations, point defects and surface/interface states.<sup>60</sup>

As suggested by Wang *et al.*, a high density of vacancies in the surface region is detrimental for the NBE emission.<sup>60</sup> This is well-correlated with the expected high density of  $V_{\text{Zn}}$ -related defects as suggested by our DFT calculations. Following this hypothesis, a reduction of these  $V_{\text{Zn}}$ -related defects would greatly enhance the NBE emission, and effectively this is what is seen in Fig. 6(b) and 7. After performing thermal annealing at 450 °C under an oxygen atmosphere, the NBE emission intensity of the annealed ZnO NWs is strongly increased and the contribution of additional emission lines occurs. As a comparison, the annealed ZnO NWs grown at a  $\text{pH}_0$  value of 7.00 is centered at  $\sim 3.329$  eV. The widening of the NBE under similar conditions has been shown due to the contribution of radiative transitions involving neutral acceptor-bound A excitons ( $A^\circ X_A$ ) arising from the activation of  $V_{\text{Zn}}\text{-N}_\text{O}\text{-H}$  defect complexes at  $\sim 450$  °C.<sup>35</sup>

Fig. 7 shows that the annealed ZnO NWs grown in the high-pH region exhibit an interplay between the  $D^\circ X_A$  transitions and new emission lines with prominent shoulders related to nitrogen-doped ZnO around the  $A^\circ X_A$ ,<sup>62,63</sup> donor-acceptor pair (DAP),<sup>64–66</sup> free electron-to-acceptor (FA)<sup>67</sup> transitions, and LO phonon replicas separated by a phonon energy of 72 meV.<sup>55</sup> These emissions have already been seen in 800–900 °C annealed ZnO NWs grown by CBD under standard conditions (*i.e.*  $\text{pH}_0$  of  $\sim 7.00$ ).<sup>35</sup> In annealed ZnO NWs grown using the  $\text{pH}_0$  values ranging from 10.55 to 10.76, the NBE emission is dominated by

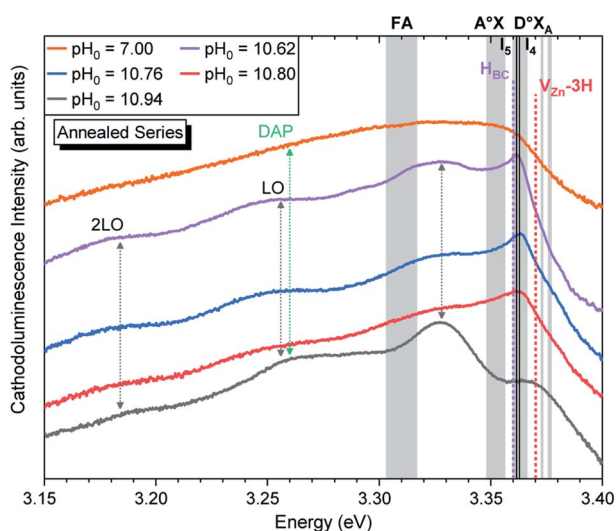


Fig. 7 5 K cathodoluminescence spectra located in the NBE emission region of ZnO NWs grown by CBD using  $\text{pH}_0$  values in the range of 7.00–10.94 after thermal annealing at 450 °C for 1 h under an oxygen atmosphere. The insets show the emission energy of optical transitions as inferred from DFT calculations in ref. 33, 54 and 56.

a sharp line arising at  $\sim 3.360$  eV, which is in good correlation with the  $I_4$ ,  $I_5$ , and  $H_{\text{BC}}$  lines.<sup>33,54–56</sup> These are also accompanied by a prominent shoulder located at  $\sim 3.33$  eV, within the energy range of the two-electron satellite (TES) transitions originating from the corresponding  $I_4$  line.<sup>55</sup> Then, the annealed ZnO NWs grown using the  $\text{pH}_0$  value of 10.94 show a NBE emission centered at 3.327 eV. This important red-shift from the  $D^\circ X_A$  transitions indicates the involvement of emission lines attributed to DAP, and FA transitions with the contributions from the  $V_{\text{Zn}}$ ,  $V_{\text{Zn}}\text{-H}$  and  $V_{\text{Zn}}\text{-N}_\text{O}\text{-H}$  defects acting as deep acceptors in the bulk of ZnO NWs,<sup>33,35,68</sup> suggesting a possible acceptor-donor compensation process.

The broad emissions in the visible spectral region are attributed to (i) the red-orange band centered at  $\sim 1.85$  eV, (ii) the yellow-green band centered at  $\sim 2.30$  eV, and (iii) the green-blue band centered at  $\sim 2.66$  eV, as shown in Fig. 6. The red-orange emission band is attributed to the (0, −1) and (−1, −2) transition levels of the  $V_{\text{Zn}}\text{-H}$  and  $V_{\text{Zn}}\text{-N}_\text{O}\text{-H}$  defect complexes acting as deep acceptors, respectively, and both of them share a theoretical emission energy of 1.82 eV.<sup>33,35</sup> The yellow-green emission band is attributed to the neutral  $V_{\text{Zn}}\text{-2H}$  defect complex, and additional contributions at  $\sim 2.27$  and 2.40 eV from the (0/−1) and (+1/0) transition levels of the  $V_{\text{Zn}}\text{-N}_\text{O}\text{-H}$  and  $V_{\text{Zn}}\text{-H}$  defects located on the surfaces of ZnO NWs could be seen.<sup>35</sup> The green-blue emission band is labeled as related to surface effects, since it is attributed to unexpected charge states coming from the recombination of electrons with the high density of holes that migrate to the ZnO NW surfaces due to the upward band-bending caused by the adsorbed oxygen ions.<sup>33,69</sup> The emissions falling in the surface-effect related region involve the nitrogen- and hydrogen-related defects including  $V_{\text{Zn}}\text{-H}$ ,<sup>33</sup>  $V_{\text{Zn}}\text{-2H}$ ,<sup>33</sup> and  $V_{\text{Zn}}\text{-N}_\text{O}\text{-H}$  defect complexes.<sup>35</sup>

The intensity of the visible emission bands for both the pristine and annealed series of ZnO NWs is presented in Fig. 8. The pristine series of ZnO NWs shows an intensity that follows a similar trend between the red-orange, yellow-green, and green-blue emission bands for both the low-pH and high-pH regions: the intensity of the defect bands in ZnO NWs grown in

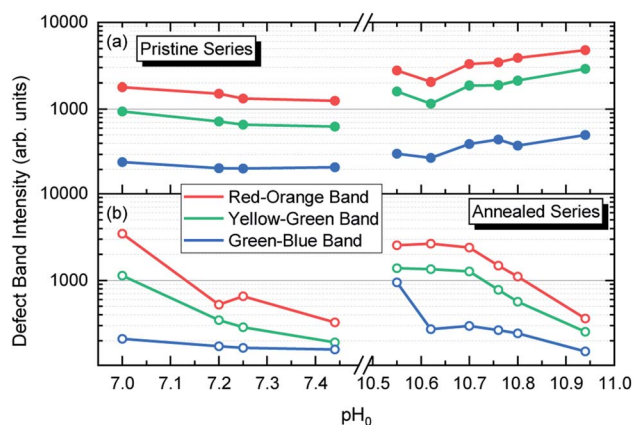


Fig. 8 Evolution of the relative intensity of the cathodoluminescence emission bands located in the visible spectral region of ZnO NWs grown by CBD using  $\text{pH}_0$  values in the range of 7.00–10.94: (a) before and (b) after annealing at 450 °C for 1 h under an oxygen atmosphere.





the low-pH region continuously decreases as the  $\text{pH}_0$  value is increased from 7.00 to 7.44, while the same intensity in ZnO NWs grown in the high-pH region follows an upward trend as the  $\text{pH}_0$  value is increased from 10.55 to 10.94. The annealed series of ZnO NWs also show a decreasing intensity of the red-orange emission band along the low-pH region from 7.00–7.44. At a  $\text{pH}_0$  value of 7.00, the intensity of the red-orange emission band is  $3\times$  and  $16\times$  higher than the yellow-green and green-blue emission bands, respectively. While increasing the  $\text{pH}_0$  value from 7.00 to 7.44, a strong decrease of  $\sim 90\%$  is observed for the three defect bands in ZnO NWs. Additionally, a clear increase in the intensity of the red-orange emission band from the pristine series to the annealed series is seen in ZnO NWs grown with the  $\text{pH}_0$  value of 7.00, which could again indicate the preferential formation of both  $\text{V}_{\text{Zn}}\text{-H}$  and  $\text{V}_{\text{Zn}}\text{-N}_\text{O}\text{-H}$  defect complexes after thermal annealing at  $450^\circ\text{C}$ .<sup>35</sup> The increase in the concentration of  $\text{V}_{\text{Zn}}$  during the thermal annealing under an oxygen atmosphere is favorable for the preferential formation of low-coordinated  $\text{V}_{\text{Zn}}\text{-nH}$  defect complexes.<sup>70</sup> Interestingly, a simultaneous strong increase in the intensity of the three defect emission bands is revealed in ZnO NWs grown with the  $\text{pH}_0$  value of 10.55. The strong red-orange and yellow-green emission bands indicate the formation of the  $\text{V}_{\text{Zn}}\text{-H}$  and  $\text{V}_{\text{Zn}}\text{-2H}$  defect complexes in the bulk of ZnO NWs as well as of the  $\text{V}_{\text{Zn}}$ ,  $\text{V}_{\text{Zn}}\text{-H}$  and  $\text{V}_{\text{Zn}}\text{-N}_\text{O}\text{-H}$  defects with unexpected charge states on their surfaces, which is very well correlated with the NBE widening towards the  $\text{A}^\circ\text{X}_\text{A}$ , DAP, and FA emissions. The increase in the  $\text{pH}_0$  value to 10.70 maintains a maximum intensity of the red-orange and yellow-green emission bands in ZnO NWs, and then the further increase in the  $\text{pH}_0$  value to 10.94 slowly decreases the intensity of the defect emission bands. Hence, the intense red-orange and yellow-green emission bands in the high-pH region reveal the massive incorporation of (i)  $\text{V}_{\text{Zn}}$ -related defects favored by their low formation energy as shown in Fig. 5, and (ii) nitrogen-related defects

favored by the attractive electrostatic interactions as presented in Fig. 4.

### 3.4 Raman spectroscopy of the as-grown and annealed ZnO nanowires

The Raman scattering spectra collected in the low wavenumber region for both pristine and annealed series of ZnO NWs are presented in Fig. 9. In the low wavenumber range of  $50\text{--}900\text{ cm}^{-1}$ , the characteristic optical phonon modes for the wurtzite structure of ZnO NWs are observed at  $99\text{ (E}_2^{\text{low}})$ ,  $378\text{ (A}_1\text{(TO))}$ ,  $438\text{ (E}_2^{\text{high}})$ , and  $574\text{ (A}_1\text{(LO)) cm}^{-1}$ .<sup>71</sup> The Raman lines at  $203\text{ (2TA/2E}_2^{\text{low}})$ ,  $333\text{ (E}_2^{\text{high}} - \text{E}_2^{\text{low}})$ ,  $483\text{ (2LA)}$ ,  $666\text{ (TA + LO)}$ , and  $812\text{ (LA + TO) cm}^{-1}$  correspond to the second-order modes. These modes are evident for both the pristine and annealed series of ZnO NWs in Fig. 9(a) and (b), respectively. Fig. 9(b) shows the appearance of additional modes (AMs) after thermal annealing on the ZnO NWs grown in the high-pH region, which are summarized in Table 2. These AMs have also been reported in ZnO NWs grown by CBD, where the intentional doping with Al and Ga has been achieved.<sup>13,15</sup> AMs are systematically related to the presence of extrinsic impurities including Al, Ga, Sb, and Fe<sup>72</sup> in ZnO, and are attributed to dopant-induced defects in the host lattice and/or to ZnO  $\text{B}_1$  silent modes that become Raman-active.<sup>73,74</sup>

In principles, the influence of the chemical nature of a foreign atom or the vacancy of a native atom within the ZnO host gives a change of the electronic potential in the neighboring atoms,<sup>75,76</sup> which directly influences the electronic charge density, structural parameters, atomic displacements and phonon frequencies in the crystal structure.<sup>73,76,77</sup> Since ZnO exhibits a large orbital hybridization,<sup>78</sup> the point defects affect the atomic vibrational interactions related to the short-range interactions with the nearest neighbors (NNs) and next-nearest neighbors (NNNs), which are highly related to the screening effect due to the bonding electrons, following the rigid-ion

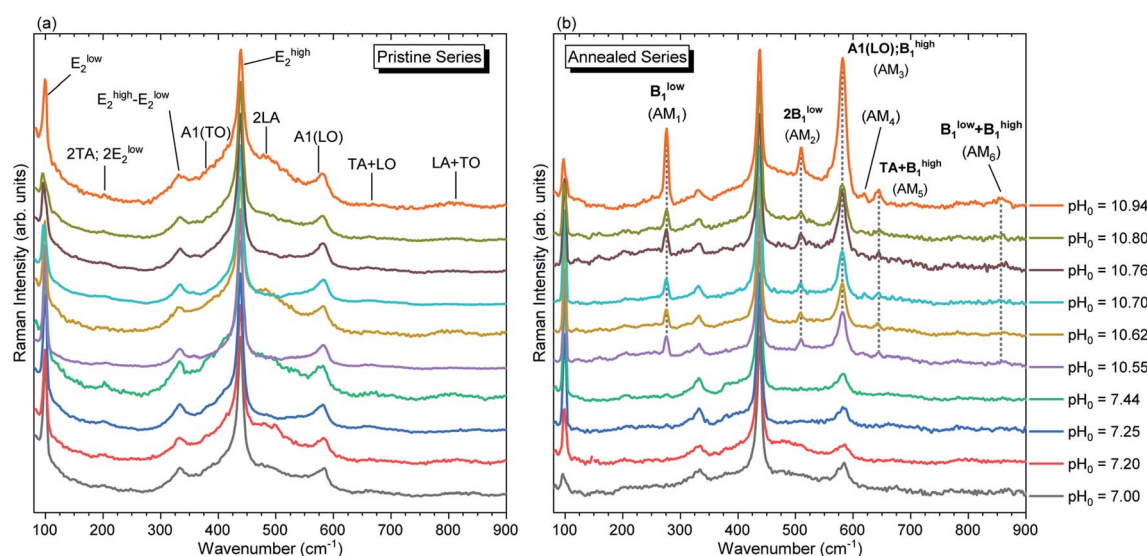


Fig. 9 Raman scattering spectra focused on the low wavenumber region of ZnO NWs grown by CBD using  $\text{pH}_0$  values in the range of 7.00–10.94: (a) before and (b) after thermal annealing at  $450^\circ\text{C}$  for 1 h under an oxygen atmosphere. The inset labels show the assigned vibrational frequencies following ref. 71 and 73.



model for lattice-dynamic calculations.<sup>76</sup> This could account for the appearance of AMs after thermal annealing at 450 °C under an oxygen atmosphere *via* (i) the structural relaxation, reduction of free charge carriers and activation of nitrogen-related defects,<sup>35</sup> and (ii) the reduction of  $V_{\text{Zn}}$ -related defects, as suggested by the NBE enhancement discussed previously. These two effects seem to play a role in the reduction of the static and dynamic charges,<sup>79,80</sup> enhancing the vibrational interactions, which are consequently manifested as AMs in the Raman spectra of annealed ZnO NWs grown in the high-pH region.

Manjón *et al.* reviewed relevant literature of undoped and doped ZnO thin films and compared it with *ab initio* calculations in ref. 73. In this manner, it was shown that these AMs correspond to  $B_1$  silent modes from the wurtzite structure of ZnO, which are observed by disorder-activated Raman scattering due to the breakdown of the translational symmetry of the crystal lattice.<sup>74</sup> Following these assignments, the experimental position of the Raman lines related to AMs can be correlated with the expected position of the  $B_1$  modes as detailed in Table 2. The Raman lines at 276  $\text{cm}^{-1}$  ( $\text{AM}_1$ ) and 581  $\text{cm}^{-1}$  ( $\text{AM}_3$ ) correspond to the  $B_1^{\text{low}}$  and  $B_1^{\text{high}}$  modes. Since the  $B_1$  modes share the same scattering behavior as the  $E_2$  modes, their FWHM would be greatly influenced by the two-phonon density of states (DOS).<sup>81</sup> Indeed, Fig. S5(a)† shows that  $B_1^{\text{low}}$  located in a low DOS region and  $B_1^{\text{high}}$  located in a high DOS region have an average FWHM of  $\sim 9.5 \text{ cm}^{-1}$  and  $\sim 16.3 \text{ cm}^{-1}$ , respectively. It is important to note that the  $B_1^{\text{high}}$  mode falls near the 574 ( $A_1(\text{LO})$ )  $\text{cm}^{-1}$  mode and a proper decoupling between the two modes is not possible due to their proximity.

The  $\left(\frac{\text{AM}_3}{E_2^{\text{high}}}\right)$  relative intensities of the annealed ZnO NWs grown in the low- and high-pH regions are  $\sim 0.12$  and  $\sim 0.32$ , respectively. The higher relative intensity in the high-pH region ( $2.7\times$ ) could easily be explained by the additional contribution of the  $B_1^{\text{high}}$  mode. For example, the annealed ZnO NWs grown at a  $\text{pH}_0$  of 10.94 has the highest  $\left(\frac{\text{AM}_3}{E_2^{\text{high}}}\right)$  relative intensity of 1.08 (Fig. S5(b)†). Interestingly, we can also see the appearance of  $\text{AM}_4$  located at 619  $\text{cm}^{-1}$ , with a fairly weak intensity which has been attributed to iron, aluminium, and gallium dopants in ref. 72 and it was later assigned to the second-order TA + TO mode in ref. 71. Lattice distortions can enhance the TA and TO modes due to their dependence on the Zn-like and/or O-like vibrations, which are correlated with the high density of one-phonon and two-phonon DOSs along these wavelengths.<sup>73</sup> The presence of

$B_1^{\text{low}}$ ,  $B_1^{\text{high}}$ , and  $\text{AM}_4$  along with the observed high intensity of  $\text{AM}_3$  helps to assign second-order modes such as the modes seen at 644  $\text{cm}^{-1}$  ( $\text{AM}_5$ ) and 856  $\text{cm}^{-1}$  ( $\text{AM}_6$ ) corresponding to TA +  $B_1^{\text{high}}$  and  $B_1^{\text{low}}$  +  $B_1^{\text{high}}$ , respectively.<sup>74</sup> Additionally, the LO phonon replicas observed in Fig. 6 have recently been correlated with the activation of the  $B_1^{\text{high}}$  mode due to the strong coupling between the  $A_1(\text{LO})$ ,  $B_1^{\text{high}}$  polar phonons ( $\sim 580 \text{ cm}^{-1}$ ) and the photo-generated electrons.<sup>82</sup>

Fig. 10 shows the Raman scattering spectra collected in the high wavenumber range of 2600–3750  $\text{cm}^{-1}$  for the pristine series of ZnO NWs. This region is crucial to visualize the Raman lines associated with the presence of carbon-, nitrogen-, and hydrogen-related defects. In the 2750–3000  $\text{cm}^{-1}$  region, we can see C–H<sub>X</sub> groups ( $X = 1, 2, 3$ )<sup>83</sup> coming from the surfaces of ZnO NWs. It has been shown that residual HMTA molecules are adsorbed on the nonpolar *m*-planes, inducing the occurrence of the C–H<sub>X</sub> groups.<sup>8,9,84</sup> These C–H<sub>X</sub> modes can be seen in all ZnO NWs, with the exception of the ZnO NWs grown at the  $\text{pH}_0$  values of 7.25 and 7.44. In fact, as shown in Fig. 2, the ZnO NWs grown at  $\text{pH}_0$  values of 7.25 and 7.44 possess the two lowest aspect ratios, hence the related minimal surface area makes it reasonable for the C–H<sub>X</sub> mode intensity to be weaker.

A prominent Raman line centered at 3078  $\text{cm}^{-1}$  is attributed to the  $V_{\text{Zn}}\text{-N}_\text{O}\text{-H}$  defect complex acting as a deep acceptor presumably located on the surfaces and in the bulk of ZnO NWs.<sup>35,68</sup> We can clearly observe that the Raman line assigned to the  $V_{\text{Zn}}\text{-N}_\text{O}\text{-H}$  defect complex is fairly intense, regardless of the  $\text{pH}_0$  value. Smaller in intensity, the Raman lines located at  $\sim 3121$  and  $\sim 3160 \text{ cm}^{-1}$  separated by around 40  $\text{cm}^{-1}$  are attributed to the N–H bonds in the  $\text{AB}_{\text{N}\perp}$  and  $\text{AB}_{\text{N}\parallel}$  configurations.<sup>35,85</sup> It has been suggested that these nitrogen-related defects can be located in the center or on the surfaces of ZnO NWs,<sup>35</sup> and originate from residual nitrogen coming from HMTA molecules.<sup>9</sup>

It is well-known that the Raman line at 3575  $\text{cm}^{-1}$  is assigned to  $\text{H}_{\text{BC}}$  acting as a shallow donor,<sup>86,87</sup> and it generally has an asymmetric shape due to the weak shoulder around 3500  $\text{cm}^{-1}$  attributed to the O–H bonds<sup>68,86,88</sup> located on the surfaces of ZnO NWs. Additionally, the contribution of  $V_{\text{Zn}}\text{-nH}$  defect complexes in the 3300–3418  $\text{cm}^{-1}$  region is due to the  $V_{\text{Zn}}\text{-H}$ ,<sup>29,86</sup>  $V_{\text{Zn}}\text{-2H}$ ,<sup>86</sup> and  $V_{\text{Zn}}\text{-3H}$  defects.<sup>89</sup> The Raman line related to  $\text{H}_{\text{BC}}$  is generally the most prominent Raman line assigned to hydrogen-related defects in ZnO NWs grown by CBD under standard growth conditions, as given by the  $\text{pH}_0$  value of 7.00. However, the increase in the  $\text{pH}_0$  value used for growing ZnO NWs by CBD helps to modulate the ratio between the intensities of the Raman lines assigned to  $\text{H}_{\text{BC}}$  and  $V_{\text{Zn}}\text{-nH}$  defect

**Table 2** Frequency of additional Raman modes measured in annealed ZnO NWs grown by CBD using  $\text{pH}_0$  values in the range of 10.55–10.94

|               | $\omega_{\text{Ab initio}}^a$ ( $\text{cm}^{-1}$ ) | Mode definition <sup>a</sup>             | $\omega_{\text{exp}}^b$ ( $\text{cm}^{-1}$ ) | Assigned mode <sup>b</sup>               |
|---------------|--|--|--|--|
| $\text{AM}_1$ | 261  | $B_1^{\text{low}}$                       | 276  | $B_1^{\text{low}}$                       |
| $\text{AM}_2$ | 520  | $2B_1^{\text{low}}$                      | 509  | $2B_1^{\text{low}}$                      |
| $\text{AM}_3$ | 552  | $B_1^{\text{high}}$                      | 581  | $A_1(\text{LO}); B_1^{\text{high}}$      |
| $\text{AM}_5$ | 650  | TA + $B_1^{\text{high}}$                 | 644  | TA + $B_1^{\text{high}}$                 |
| $\text{AM}_6$ | 810  | $B_1^{\text{low}}$ + $B_1^{\text{high}}$ | 856  | $B_1^{\text{low}}$ + $B_1^{\text{high}}$ |

<sup>a</sup> Ref. 73. <sup>b</sup> Experimental data.



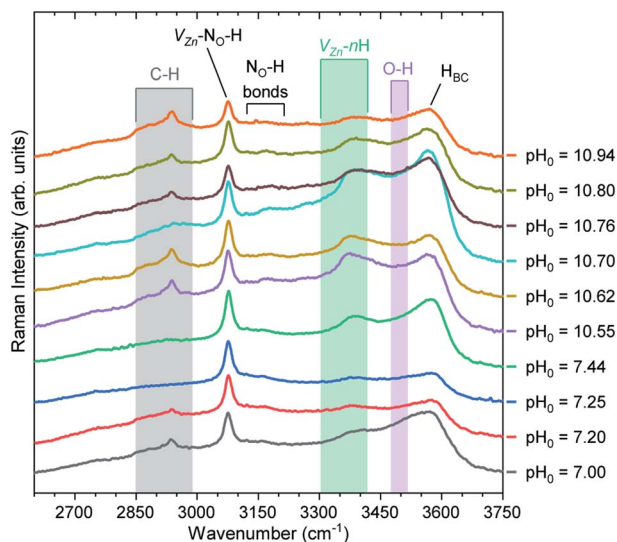


Fig. 10 Raman scattering spectra collected in the high wavenumber region of pristine ZnO NWs grown by CBD using  $\text{pH}_0$  values in the range of 7.00–10.94. The inset labels show the assigned vibrational frequencies following ref. 29, 35, 68, 83, 86, 87, 88 and 89.

complexes. In particular in the high-pH region, we can observe a prominent valley forming around the O–H bond band due to the increase in the intensity of the Raman lines assigned to  $\text{V}_{\text{Zn}}\text{-nH}$  defect complexes. The prominent  $\text{V}_{\text{Zn}}\text{-nH}$  band is centered at  $\sim 3369\text{ cm}^{-1}$ , which is in good correlation with the calculated ( $\sim 3361\text{ cm}^{-1}$ ) and experimental ( $\sim 3358\text{ cm}^{-1}$ ) positions of the  $\text{V}_{\text{Zn}}\text{-H}$  defect complex acting as a deep acceptor.<sup>29</sup> This further validates the expected behavior that at higher  $\text{pH}_0$ ,  $\mu_{\text{O}}$  increases and consequently promotes the preferential formation of  $\text{V}_{\text{Zn}}\text{-nH}$  defect complexes due to its reduced formation energy. In our particular case, the  $\text{V}_{\text{Zn}}\text{-nH}$  defect complexes seem to massively form with the lower coordinated  $\text{V}_{\text{Zn}}\text{-H}$  defect complex, which correlates well the diminished NBE emission seen for the pristine series of ZnO NWs grown in the high-pH region.

By studying the  $\text{E}_2^{\text{high}}$  and  $\text{E}_2^{\text{low}}$  modes, we can gain insight into the effects of the intrinsic/extrinsic point defects inside the crystal lattice of the ZnO NWs. The  $\text{E}_2^{\text{high}}$  mode is mainly related to the motion of the O lattice and is known to shift toward higher wavenumber values when the ZnO lattice is in a compressive stress state.<sup>71,80</sup> The  $\text{E}_2^{\text{high}}$  mode of the pristine ZnO NWs is centered at  $\sim 438.6\text{ cm}^{-1}$  and has a FWHM of  $\sim 9.0\text{ cm}^{-1}$ , and, after thermal annealing, the mode shifts to  $\sim 437.5\text{ cm}^{-1}$  and has a FWHM of  $\sim 7.3\text{ cm}^{-1}$ , as shown in Fig. S6.† This  $\sim 1.1\text{ cm}^{-1}$  shift in all the annealed ZnO NWs suggests a moderate relaxation due to thermal annealing, where an exodiffusion, redistribution of hydrogen-related defects, and activation of the  $\text{V}_{\text{Zn}}\text{-N-O-H}$  defect complex are expected to occur under the present conditions.<sup>35</sup> The pristine series shows a systematic increase of the  $\text{E}_2^{\text{high}}$  mode position from 438.3 to  $438.9\text{ cm}^{-1}$ , suggesting the increase in the strain as the  $\text{pH}_0$  value increases in the high-pH region. The annealed series shows an interesting systematic decrease from the  $\text{pH}_0$  of 7.00 up to 10.70 where it reaches the minimum position of  $437.1\text{ cm}^{-1}$ , and then the further increase in the  $\text{pH}_0$  value gives

a slight increase of the position up to  $437.5\text{ cm}^{-1}$ . Studies on pressure-induced effects on ZnO modes in ref. 80 and 90 suggest that this relaxation is related to the weakening of the Born transverse dynamic charge within the crystal structure. In contrast, the  $\text{E}_2^{\text{low}}$  mode shown in Fig. S7† is mainly related to the motion of Zn lattice.<sup>71</sup> Its position shows no evident difference between the pristine and annealed series, and also no evident trend is detected between the low-pH and high-pH regions. Furthermore, in Fig. S8,† we further analyze the intensity ratio of  $\text{E}_2^{\text{low}}$  and  $\text{E}_2^{\text{high}}$  and only the established difference between the pristine and annealed series is seen. With the previous analysis in the  $\text{E}_2^{\text{high}}$  and  $\text{E}_2^{\text{low}}$  modes, we can expect that the enhanced vibrational interactions are due to the observed trend on the motion derived from the O lattice.

Via Raman spectroscopy, the presence of  $\text{V}_{\text{Zn}}$ , carbon- and nitrogen-related defects is revealed from the presence of their related vibrational modes. In the present study, the incorporation of extrinsic point defects would be attributed to the impurities in the chemical precursors used and the nature of the species present in solution. The atomic weight (%) relative to zinc atoms of foreign elements present in the CBD reaction at  $\text{pH}_0$  values of 7.00 and of 10.94 is presented in Fig. S9(a) and (b),† respectively. In both cases, nitrogen and carbon are highly present in the chemical bath since their atomic weight (%) relative to zinc atoms reaches 128.5 and 110.2%, respectively. As previously discussed, nitrogen comes from both the nitrates and nitrogen atoms present in our precursors. Additionally, in the high-pH region, the dominant amine complexes, e.g.  $\text{Zn}(\text{NH}_3)_4^{2+}$ , could greatly favor nitrogen incorporation. In contrast, carbon is a residual impurity from the methylene bridges coming from the HMTA molecule. Moreover, other residual impurities such as Na, Cl, Fe, Cu, Pb, Cd, Co, Ni and heavy metals are present as well in the bath, however in a much lower ratio ranging from 0.2 to 5% atomic weight.

### 3.5 Effects of pH on the CBD solution and overall properties of ZnO NWs

The variation of  $\text{pH}_0$  in the CBD solution has great consequences on the overall properties of ZnO NWs, as is summarized in Fig. 11. The schematic illustration is meant to be read from top to down. In the first frame, the theoretical equilibrium concentration of Zn(II) species (1a) is depicted combining the color-coded map and plot from Fig. 4 and S3(b)† at room temperature. The two favorable regions for the heterogeneous nucleation and growth of ZnO NWs going from green to orange-red sections, namely the low-pH and high-pH regions, are clearly delineated. In contrast, the blue–purple section represents the region with high supersaturation levels, where homogeneous nucleation and growth are favored.

In the second frame, the main Zn(II) species in the CBD solution (2a) are obtained from thermodynamical calculations using Visual MINTEQ, where  $\text{Zn}^{2+}$  ions are the main species in the low-pH region and then  $\text{Zn}(\text{NH}_3)_4^{2+}$  ions are the main species in the high-pH region, as detailed in Fig. 3. The stabilization of the Zn(II) species as amine complexes allows an important reduction of the homogeneous nucleation (1c) in the





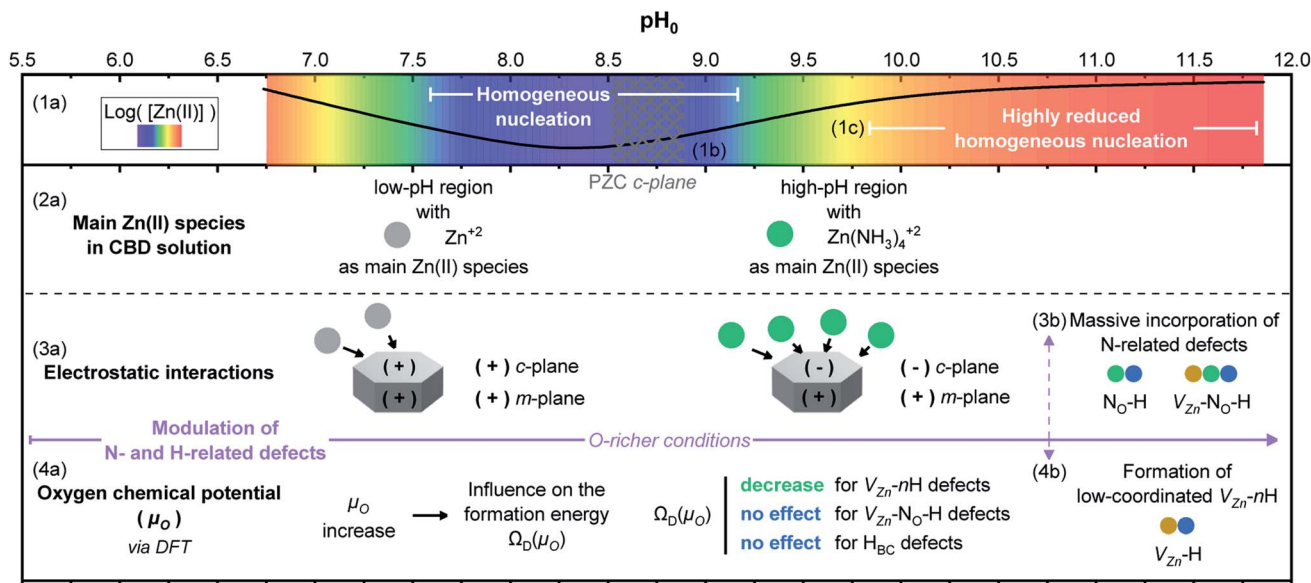


Fig. 11 Schematic illustration showing an overall summary of the influence of  $\text{pH}_0$  on the CBD solution for the growth of ZnO NWs. (1a) The color-coded map illustrates the theoretical equilibrium  $\text{Zn(II)}$  species concentration as calculated using Visual MINTEQ with a black solid line and (1b) the PZC of the polar  $c$ -plane is represented by a meshed area. (1c) One region shows where the homogeneous nucleation is highly promoted and other region where it is highly reduced. (2a) The main  $\text{Zn(II)}$  species in the CBD solution in the low- and high- $\text{pH}$  region are depicted. (3a) The electrostatic interactions existing between the ZnO NW surfaces and the charged  $\text{Zn(II)}$  species in solution illustrate how a massive incorporation of nitrogen-related defects is expected in the high- $\text{pH}$  region (3b). (4a) The relation between the  $\text{pH}$  of the CBD solution, the oxygen chemical potential ( $\mu_\text{O}$ ) and the formation energy of point defects  $\Omega_\text{D}(\mu_\text{O})$  is shown. (4b) Due to the modulation of  $\mu_\text{O}$ , a massive formation of low-coordinated  $\text{V}_{\text{Zn}}\text{-nH}$  defects is revealed. Note: the  $\text{Zn}^{2+}$  and  $\text{Zn}(\text{NH}_3)_4^{2+}$  ions, as well as the  $\text{N}_\text{O-H}$ ,  $\text{V}_{\text{Zn}}\text{-N}_\text{O-H}$ , and  $\text{V}_{\text{Zn}}\text{-H}$  defects are represented via spheres for illustration purposes with no real scale.

high- $\text{pH}$  region,<sup>52</sup> which clearly explains the higher deposited volume, as shown in Fig. 2(e). Given that the elongation of ZnO NWs is thermally activated at  $\sim 80^\circ\text{C}$ ,<sup>10</sup> the growth of NWs operates under the conditions where the low- $\text{pH}$  region shows both the  $c$ -plane and  $m$ -planes of the NW positively charged, whereas the high  $\text{pH}$  region has the  $c$ -plane negatively charged and the  $m$ -plane positively charged. This surface charge change of the polar  $c$ -plane is shown by its PZC (1b). These conditions help to gain great understanding of the formation mechanisms, while taking into account the electrostatic interactions (3a) between the  $\text{Zn(II)}$  species and the charged surfaces of the crystallographic planes of ZnO NWs. As shown in Fig. 1 and 2, it was evident that ZnO NWs grown in the high- $\text{pH}$  region have a considerable higher axial growth rate ( $>1\ \mu\text{m h}^{-1}$ ) and aspect ratio ( $>20$ ) that give the NWs a narrower pencil-like tip, as compared with ZnO NWs grown in the low- $\text{pH}$  region. Fig. 11 helps to illustrate the strong electrostatic interactions we could expect between the negatively charged  $c$ -plane and the positively charged  $\text{Zn}(\text{NH}_3)_4^{2+}$  ions, hence explaining the faster nucleation on the  $c$ -plane growth front giving the narrow tips on the NWs grown with a  $\text{pH}_0$  value of 10.55–10.94.

Following the work by Todorova *et al.*, the formation of point defects in ZnO NWs is also greatly influenced by the  $\text{pH}$  of the CBD solution,<sup>27,28</sup> and it was further investigated in this study via DFT calculations. Increasing the  $\text{pH}_0$  of the solution brings the chemical environment to oxygen-rich conditions (*i.e.* increasing oxygen chemical potential ( $\mu_\text{O}$ )) (4a), having a direct effect on the formation energy  $\Omega_\text{D}(\mu_\text{O})$  of point defects. In Fig. 5, the formation energy of  $\text{V}_{\text{Zn}}$ ,  $\text{V}_{\text{Zn}}\text{-H}$ ,  $\text{V}_{\text{Zn}}\text{-2H}$ , and  $\text{V}_{\text{Zn}}\text{-3H}$  defects

steadily decreases as  $\mu_\text{O}$  shifts to O-rich conditions, while the formation energy of  $\text{H}_{\text{BC}}$  and  $\text{V}_{\text{Zn}}\text{-N}_\text{O-H}$  defects is independent upon this shift. This was greatly evidenced in Fig. 6(a) where a diminished NBE emission is observed on the pristine ZnO NWs grown in the high- $\text{pH}$  region due to the great incorporation of  $\text{V}_{\text{Zn}}$ -related defects located in the visible spectral region.<sup>33,35</sup> And it is also evident in Fig. 10 where the  $\text{V}_{\text{Zn}}\text{-nH}$  mode centered at  $\sim 3369\ \text{cm}^{-1}$  becomes more prominent than the  $\text{H}_{\text{BC}}$  and  $\text{V}_{\text{Zn}}\text{-N}_\text{O-H}$  modes in the high- $\text{pH}$  region. Hence, this confirms the massive and favorable incorporation of low coordinated  $\text{V}_{\text{Zn}}\text{-nH}$  defects due to the lower formation energy as  $\mu_\text{O}$  is increased (4b).

DFT calculations in Fig. 5 showed that the incorporation of  $\text{V}_{\text{Zn}}\text{-N}_\text{O-H}$  defect complexes is not expected to be higher by increasing  $\mu_\text{O}$ . However, given the fact that the  $\text{Zn}(\text{NH}_3)_4^{2+}$  ions are the most stable  $\text{Zn(II)}$  species in the high- $\text{pH}$  region and that these positively charged ions have a strong electrostatic interaction with the negatively charged polar  $c$ -plane, we could expect an important incorporation of nitrogen along the crystallization of the ZnO NWs grown in this region (3b). It has been suggested that impurities substituting for an oxygen site would give higher perturbation on the lattice as compared to impurities substituting for a Zn site.<sup>74</sup> Under the present conditions, the high incorporation of  $\text{V}_{\text{Zn}}$ -related,  $\text{V}_{\text{Zn}}\text{-N}_\text{O-H}$  and  $\text{N}_\text{O-H}$  defects in the high- $\text{pH}$  region may explain the AMs related to the disorder-activated Raman  $\text{B}_1$  silent modes as observed in Fig. 9. However, while the lattice distortion is attributed to  $\text{V}_{\text{Zn}}$ - and  $\text{N}_\text{O}$ -related defects, an important incorporation of other dopants, such as Fe, Sb, Al, and Ga could also promote



a distortion of the lattice and the appearance of these additional  $B_1$  silent modes, as suggested in ref. 13, 15, 19 and 72.

## 4. Conclusion

In summary, we have shown that the  $pH_0$  adjustment of the CBD solution greatly impacts the formation of nitrogen- and hydrogen-related defects in ZnO NWs, allowing their modulation through the electrostatic interactions occurring along the NW nucleation and elongation, and the influence of  $\mu_O$  on the formation energy of point defects. Increasing the  $pH_0$  of the CBD solution strongly influences the main species present in the bath, where in the high-pH region the stabilized  $Zn(NH_3)_4^{2+}$  ions promote a massive incorporation of nitrogen-related defects due to the strong electrostatic interactions between the positively charged  $Zn(NH_3)_4^{2+}$  ions and the negatively charged polar  $c$ -planes. Additionally, increasing the  $pH_0$  brings the growth of ZnO NWs to O-rich conditions (increased  $\mu_O$ ), which according to our DFT calculations promotes a decrease in the formation energy of  $V_{Zn}$  and  $V_{Zn-nH}$  defects, while the formation energy of  $H_{BC}$  and  $V_{Zn-N_O-H}$  remains invariant. Hence, ZnO NWs grown in the high-pH region are expected to massively form  $V_{Zn}$  and  $V_{Zn-nH}$  defects and this is clearly observed with (i) the prominent Raman line at  $\sim 3369\text{ cm}^{-1}$  attributed to the low coordinated  $V_{Zn-H}$  defect complex, (ii) the strong emission bands in the red-orange and yellow-green spectral regions mainly attributed to the  $V_{Zn-H}$ ,  $V_{Zn-N_O-H}$  and  $V_{Zn-2H}$  defect complexes, and (iii) the diminished NBE emission for the pristine series, which is later enhanced through thermal annealing from the reduction of these  $V_{Zn}$ -related defects. Interestingly enough, the massive incorporation of  $V_{Zn}$ - and nitrogen-related defects also contributes besides other residual impurities to the disorder-activated Raman  $B_1$  silent modes which appear after thermal annealing at  $450^\circ\text{C}$  under an oxygen atmosphere due to the strong distortion and relaxation of the crystal structure. The modulation of the nitrogen- and hydrogen-related defects in unintentionally doped ZnO NWs grown by CBD with a controlled  $pH_0$  value brings us one step closer towards their more efficient application in nanoscale engineered devices.

## Conflicts of interest

There are no conflicts of interest to declare.

## Acknowledgements

The authors would like to acknowledge the financial support from the French Research National Agency through the ROLLER project (ANR-17-CE09-0033). J. V. held a doctoral fellowship from the ROLLER project. This research further benefited from some of the characterization equipment of the Grenoble INP-CMTC platform as well as from the CIMENT/GRICAD platform in Grenoble for computational resources. It was also supported by the computational time granted from the Greek Research & Technology Network (GRNET) in the 'ARIS'

National HPC infrastructure under the project AIMONACA (pr008002).

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