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Ag nanoparticles on ZnO nanoplates as a hybrid SERS-active substrate for trace detection of methylene blue

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Decorating two-dimensional (2D) nanomaterials with nanoparticles provides an effective method to integrate their physicochemical properties. In this work, we present the hydrothermal growth process of 2D zinc oxide nanoplates (ZnO NPIs), then silver nanoparticles (AgNPs) were uniformly distributed on the surface of ZnO NPIs through the reduction procedure of silver nitrate with sodium borohydride to create a metal-semiconductor hybrid. The amount of AgNPs on the ZnO NPIs' surface was carefully controlled by varying the volume of silver nitrate (AqNO₃) solution. Moreover, the effect of AqNPs on the surfaceenhanced Raman scattering (SERS) property of ZnO NPIs was thoroughly investigated by using methylene blue (MB) as the target molecule. After calculation, the maximum enhancement factor value for 10^{-4} M of MB reached 6.2 \times 10^{6} for the peak at 1436 cm⁻¹ and the limit of detection was 10^{-9} M. In addition, the hybrid nanosystem could distinguish MB with good reproducibility over a wide range of concentrations, from 10^{-9} to 10^{-4} M. The SERS mechanism is well elucidated based on the chemical and electromagnetic mechanisms related to the synergism of ZnO and Ag in the enhancement of Raman signal. Abundant hot spots located at the gap between adjacent separate Ag nanoparticles and ZnO nanoplates which formed a strong local electromagnetic field and electron transfer between ZnO and Ag are considered to be the key factors affecting the SERS performance of our prepared ZnO/Ag substrates. In this research, we found high sensitivity of ZnO nanoplates/Ag nanoparticles in detecting MB molecules. This unique metal-semiconductor hybrid nanosystem is advantageous for the formation of Raman signals and is thus suitable for the trace detection of methylene blue.

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1. Introduction

Nanomaterials possess many unusual physicochemical properties compared with their bulk counterparts and find tremendous potential for application in photocatalysis, gas sensors, antibacterial agents, and SERS detection.¹⁻⁴

Methylene blue (MB) is a cationic dye, widely recognized in industries and household products. It is used for dyeing cotton, wood, and silk, and for the treatment of ischemia, septic shock, and many other diseases.⁵ It is also an effective antifungal dye

^aFaculty of Chemistry, TNU-University of Sciences, Tan Thinh ward, Thai Nguyen city, Vietnam for curing fish diseases. However, exposure to MB may cause some harmful health effects in humans, such as increased heart rate, vomiting, shock, cyanosis, jaundice, quadriplegia, and tissue necrosis.⁶ Therefore, in recent years, developing a sensitive, effective, fast and simple method for the trace detection of MB in wastewater and food products is in high demand and has drawn much attention.^{7,8} Methylene blue in wastewater and food samples has been determined by salting-out assisted liquid–liquid extraction,⁶ liquid chromatography,⁸ and so on. In spite of their salient advantages in quantitative analysis, these methods still have limitations such as time-consuming sample preparation, complicated sample pre-treatment, or the requirement of well-trained laboratory personnel.⁹

As we have known, surface-enhanced Raman scattering (SERS) has been widely investigated as a powerful tool in surface chemical analysis.^{10,11} SERS is an accurate and highly efficient technique combining nanotechnology and Raman spectroscopy, which can observe Raman signals from molecules at a trace amount. It allows one to understand the adsorptive behavior of the probe molecules on the nanostructured surface.¹² Recent studies show that SERS is an ultra-sensitive vibrational spectroscopy in the field of food safety, capable of

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detecting pesticide residues,13 abamectin,14 malachite green,10 DNA,¹⁵ melamine,¹⁶ podamine,⁴ methylene blue,⁷ etc. with high sensitivity and excellent selectivity. Because of the high sensitivity and the fingerprint-like signal information, significant interest in improving the sensitivity and selectivity of SERS substrates is continuously increasing. A few studies have been conducted on the identification of MB via the detection of its characteristic Raman signals using roughened metal nanostructured surfaces as SERS-active substrates. For instance, Naujok et al. have measured MB adsorbed on a sulfur-modified gold electrode using the SERS effect.¹⁷ Chunying Li et al. analyzed MB in fish muscle using an Ag nanoparticle-based SERS substrate.7 Oscar Olea-Mejia and his colleagues have prepared Ag-Au alloy nanoparticles for sensing MB.18 Many sophisticated nanostructured SERS substrates have been fabricated with high enhancement factor (EF) and low detection limits owing to the high density of hot spots on these hybrid nanostructures.10,19,20

The noble metals such as Ag, Au, and Ag/Au bimetal/alloy have been widely served as conventional SERS materials owing to their high sensitivity, stability, and reproducibility with the enhancement factor on the order of 10^6 and above.^{21–24} However, noble metals are expensive in fabrication and have poor biocompatibility, while a variety of semiconductor materials (Fe₂O₃, TiO₂, ZnO, *etc.*)^{25–28} have been applied as SERS substrates due to their controllable properties such as bandgap, photoluminescence, stability, and less degradation under irradiation.^{29,30}

ZnO is an n-type semiconductor with a direct, wide band-gap energy ($E_g = 3.37$ eV), a high exciton binding energy (0.06 eV), biocompatibility, and ease of fabrication.^{31,32} Much effort has been devoted to the fabrication of single-component and hybrids consisting of ZnO and metal (Ag, Au, Cu, Sn, Ga, La, etc.) to improve its properties and extend its applications,33-36 such as photocatalysts, solar cells, and Li-ion battery anodes.^{37,38} ZnO nanostructures from pure to hybrid structures have been developed as SERS substrates due to their non-toxicity and biocompatibility.^{10,15,16} In order to meet the demands of their applications, a wide range of morphologies of ZnO semiconductor nanostructures, including nanowire,39,40 nanodome,10 nanoplate,41 nanorod,42,43 nanoparticle,25,44 dumbbell,45 nanotube.46,47 and hexagon,³⁰ have been developed. Semiconductor-based SERS substrates are generally less efficient than noble metal substrates.48 The limited SERS signals from pristine ZnO can be improved by constructing numerous different heterogeneous structures of ZnO nanostructures. ZnO decorated by metal nanoparticles is an important composite material family and has attracted much attention recently. For example, the use of Ag nanoclusters/ZnO nanodome hybrid as a SERS-active substrate to detect malachite green was reported by Kundan Sivashanmugan et al.¹⁰ M.E. Koleva and colleagues prepared Ag/ZnO nanocomposites to measure the ammonium nitrate concentration.20 The combination of ZnO nanostructures and metal, such as ZnO nanorods/Ag nanoparticles,14-16,28 Ga-doped ZnO nanoparticles,35 ZnO nanoplates/ Ag nanoparticles,49 ZnO nanorods/Au nanoislands were excellent SERS-active substrates for detecting various molecule

probes, such as 4-aminothiophenol, DNA, melamine, phenformin, abamectin, 4-mercaptobenzoic acid, Rhodamine 6G, and methylene blue, respectively. Recently, the system of La-doped ZnO nanoparticles was investigated in an application to degrade methyl orange under visible light.³⁶ Furthermore, some works have demonstrated that combining ZnO with other metal components results in good SERS performance.20,28,50 Kun Liu et al. have decorated ZnO hexagonal nanoplate arrays with silver nanoparticles (AgNPs) by magnetron sputtering or photoreduction and used Ag-film-ZnO hybrid as SERS substrate to detect Rhodamine 6G.49 AgNPs have been also deposited on NiFe film to optimize the SERS substrate in detecting melamine.⁵¹ Up to now, decorating AgNPs onto zinc oxide nanoplates through the chemical reduction to detect MB, as in the present study, has not been reported yet. Zinc oxide nanoplates were selected since ZnO is an important multifunctional semiconductor and its two-dimensional materials have many practical applications.^{10,41,49} We also discuss the mechanism leading to the high sensitivity of this material toward MB. ZnO/Agx nanostructures preserve the large active surface area and permit the diffusion of chemicals into the interstitial channels, thereby improving the efficiency of surface reactions.52 The SERS mechanism behind the phenomenon is generally accepted that long-range electromagnetic (EM) and short-range chemical enhancement (CM) mechanisms are simultaneously operative. The local electromagnetic field around the noble metal nanostructures with roughened surfaces is enhanced by the resonance of the localized surface plasmon (LSPR) of the nanoparticles.53,54 The chemical mechanism (CM) is due to the formation of light-induced charge-transfer states between adsorbed molecules and the ZnO/Ag substrate,19,50,55 which depends on the specific reaction between the adsorbed molecules and the surface of the substrate.56 The electrons are transferred from the Fermi level of the metal to the unoccupied molecular orbital of the molecule or from the occupied molecular orbital of the molecule to the Fermi level of the metal.5 The SERS effect of ZnO/Ag substrate is mainly attributed to the combination of electromagnetic and chemical charge transfer mechanisms. To follow the effect of the AgNPs on the SERS property of ZnO NPls, we have fabricated the pristine ZnO NPls on a Si/SiO₂ substrate.

In this paper, we report a facile and effective hydrothermal process to achieve ZnO nanoplates (NPls), which is followed by the deposition of AgNPs onto the surface of the ZnO NPls through the reaction of AgNO3 with NaBH4. Small-sized AgNPs are formed in situ and are simultaneously anchored onto the surface of the ZnO NPIs. This approach reduces the fabrication cost and time as well as the complexity of the fabrication process because it does not require any pre-functionalization of the ZnO matrix or any organic additives. Therefore, ZnO/Ag has a clean surface and the closest possible contact, which is expected to have an excellent SERS effect. The characterization of the obtained samples was clarified by scanning electron microscope (SEM), X-ray diffraction (XRD), transmission electron microscope (TEM), high-resolution TEM (HRTEM), Fourier transform infrared (FTIR) and energy dispersive X-ray spectroscopy (EDS) techniques. The optical properties of these

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samples were characterized by ultraviolet-visible (UV-Vis) absorption spectra, Raman scattering, and fluorescence spectroscopy. A systematic SERS test is performed using MB as a probe molecule to evaluate the SERS effect of these materials. The data of SERS performance of ZnO/Ag with different Ag contents for the detection of MB molecules will be presented in detail, carefully discussed and well understood. Enhanced resonant Raman scattering from the substrates was observed, indicating a strong energy coupling effect located at the ZnO/Ag interface. These substrates had the advantages of good signal reproducibility, low limits of detection (LOD), and high enhancement factor.

2. Experimental section

Zinc acetate anhydrous $(CH_3COO)_2Zn$ (99.9%), sodium hydroxide (NaOH, 99%), trisodium citrate dihydrate (TSC, Na₃C₆H₅O₇·2H₂O, 99.9%), sodium borohydride (NaBH₄, >98%) silver nitrate (AgNO₃), methylene blue (C₁₆H₁₈ClN₃S) and absolute ethanol (C₂H₅OH, 99.7%) were purchased from Merck firm (Germany). All reagents were analytical grade and used as received without any further modifications. In all experiments, double distilled water was utilized to clean glassware and make the solutions.

In the following brief procedure, the hydrothermal synthesis was used for producing ZnO NPIs. Firstly, NaOH and (CH₃-COO)₂Zn anhydrous powders are dissolved in deionized water in two separate beakers to obtain 0.5 M aqueous (CH₃COO)₂Zn solution and 1.5 M aqueous NaOH solution. 83 mL NaOH solution was added dropwise into the glass flask consisting of 50 mL (CH₃COO)₂Zn solution while stirring vigorously for 15 minutes at room temperature, and a transparent solution was formed. The obtained solution was then transferred to a Teflon flask, sealed inside a stainless steel autoclave reactor, and placed in an electrical oven at 180 °C for 20 hours. After the hydrothermal process, the autoclave cooled down naturally to ambient temperature and then was taken out of the oven. The white sediments at the bottom were collected, thoroughly rinsed with deionized water and absolute ethanol for three times in order to remove any residual solvent. The final product was dried in an oven at 80 °C overnight and preserved in a desiccator.

ZnO/Agx (x = 1, 2, 3, 4, 5) were prepared by decorating ZnO NPls with AgNPs using a citrate reduction method as described below. In a typical preparation, 60 mg of as-prepared ZnO powder was dispersed in 20 mL of deionized water in a beaker (50 mL) under mild magnetic stirring for 15 minutes. Next, as shown in Scheme 1, 20 µL of trisodium citrate (TSC) solution (0.6 M) and V (50, 100, 200, 500, 1000 µL) aqueous solution of AgNO₃ (0.2 M) were added into the ZnO suspension under vigorous stirring for 15 minutes. The solution was cooled down, and then NaBH₄ 0.2 M was gradually added drop-wise into this solution while stirring for 1 h until the volume of NaBH₄ was equal to two times the AgNO₃ volume. Then, add 20 µL of NaOH 0.1 M and stir for 15 minutes. The color of the solution turned yellow-brown, indicating the formation of AgNPs. Finally, the product was collected by filtration, washed repeatedly with deionized water and absolute ethanol several times, and dried in the oven at 80 °C overnight for further characterization. The number of silver nanoparticles on ZnO



Scheme 1 Schematic illustration of ZnO/Ag hybrid preparation process.

nanoplates could be manipulated by varying the volume of AgNO₃ solution and NaBH₄, while keeping all other experimental parameters constant.

The morphologies of the fabricated ZnO nanoplates and ZnO/Ag composites were examined using a field-emission scanning electron microscope (FESEM, Hitachi S4800, Japan) operating at 10 kV. A high-energy electron beam scans the sample surface, interacts with atoms, and produces backscattering electrons that provide surface topography information, containing the size and shape of nanostructures. Transmission electron microscopy (TEM) and high-resolution transmission electron microscopy (HRTEM) observations were performed with a JEM-2010 (JEOL) transmission electron microscope operating at an accelerating voltage of 80 kV and 200 kV, respectively. The crystallinity of ZnO NPIs and ZnO/Ag samples was characterized by X-ray powder diffraction (XRD) using monochromated Cu-K_{α} radiation (wavelength of 0.154056 nm) on the Bruker D8 Advances diffractometer (Germany) over the 2θ range of 25° to 80° at a scanning rate of 0.01° per s. The energy-dispersive X-ray spectroscopy (EDS) on the Hitachi SU 8020 at an elevated voltage of 200 kV indicated the chemical composition of the samples. The Fourier transform infrared (FTIR) spectra were recorded on a JASCO 4600 spectrophotometer (Japan) to identify the functional group present in the ZnO/ Ag nanostructures. The UV-Vis absorption spectra at wavelength of 300-650 nm were recorded in a UV-Vis spectrophotometer (JASCO V770, Japan) at a scanning rate of 600 nm per minute. The photoluminescence measurement was performed on a Fluorescence spectrometer (FLS1000, Scotland).

For the SERS study, a series of concentrations (from 10^{-4} to 10^{-9} mol L^{-1}) of MB in water were prepared. In the SERS experiment, 5 µL of different dilutions of MB were bound to the Si/SiO₂, ZnO, ZnO/Agx substrates by a drop-and-drying method. We recorded the SERS spectra at room temperature using a Raman spectrometer (Raman Horiba Zplora plus Raman microprobe, France) with an excitation laser wavelength of 532 nm and an accumulation time for each measurement of 10 s. The laser power from the Raman spectrometer was 3.2 mW. The diameter of the laser spot was around 1 mm on the sample.

3. Results and discussion

3.1. Method development and analytical performance

ZnO nanoplates are prepared using a simple one-step reaction. To begin, (CH₃COO)₂Zn solution is slowly dropped into NaOH

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solution while stirring to avoid the formation of $Zn(OH)_2$ colloids. Zinc acetate and sodium hydroxide react to produce zinc hydroxide. Next, using a hydrothermal effect, zinc hydroxide is dehydrated to form zinc oxide (ZnO) under high pressure and temperature conditions in the autoclave. The overall reaction taking place in the system can be expressed using the following equations:

 $(CH_3COO)_2Zn + 2NaOH \rightarrow Zn(OH)_2 + 2CH_3COONa$ (1)

$$Zn(OH)_2 + 2NaOH \rightarrow Na_2[Zn(OH)_4]$$
 (2)

$$Na_{2}[Zn(OH)_{4}] \rightarrow ZnO + H_{2}O + 2NaOH$$
(3)

After about 10 minutes, the initially clear solution becomes slightly turbid, indicating the formation of $Zn(OH)_2$ colloids, the growth units of which are $[Zn(OH)_4]^{2-}$ ions. The formation of ZnO nanoplates can be explained in accordance with the surface energies of the three lower index planes of the Wurtzite ZnO, namely (100), (101) and (110). Under thermodynamic equilibrium conditions, the polar facets (100) and (110) with higher surface energy are small in area, and the non-polar facet (101) with lower surface energy is larger.³⁹ Consequently, in the ZnO growth, the highest growth rate is along the *c*-axis, and the large facet is (101).

Fig. 1 shows typical top-view SEM images acquired from the ZnO NPls and ZnO/Agx samples. As seen in the SEM images (Fig. 1a), the obtained ZnO NPls had a high density and were highly uniform with a large surface to volume ratio (the average thickness of about 20 nm and the lateral size of 100×200 nm), which makes them ideal platforms for the adhesion of AgNPs. SEM photographs of the ZnO/Agx hybrids taken at different Ag

amounts are shown in Fig. 1b–f. The roughness of the surface is higher for ZnO/Agx than for ZnO, and the positions of ZnO NPIs and AgNPs are distinguishable. The SEM images clearly indicate that many Ag nanoparticles with a hemispherical shape were randomly scattered on the surface of ZnO nanoplates. The diameter of the silver nanoparticles was about nine nanometers with a wide size distribution.

The formation of AgNPs nucleation growth sites on the ZnO surface using chemical treatments between silver nitrate and sodium borohydride. The role of NaBH4 is as a reductant that reduces silver ions to produce silver nanoclusters on the ZnO nanoplate surface, thus forming metallic islands instead of thin films. It is to be noted that no extra annealing step was carried out to improve the crystalline quality of AgNPs. According to the crystal growth theory, the super-saturation in the growth solution provides a thermodynamic force to permit the nucleation of seeds.^{31,32} At low concentrations of the AgNO₃ precursor, the low density of nucleation sites for the growth of AgNPs (ZnO/Ag1, Fig. 1b). When increasing the AgNO₃ volume from 100 µL to 1000 µL and the sodium borohydride from 200 µL to 2000 µL, respectively, an increasing number of Ag nuclei was initiated both in the solution and on the surface of ZnO NPls. This caused a high concentration of AgNPs localized at ZnO NPls (Fig. 1c-f). The existence of the AgNPs made the surface of the ZnO NPls rougher.

3.2. Crystalline structure

To follow the crystallinity of the obtained Ag, ZnO, and ZnO/Agx samples, we have carried out X-ray diffraction (XRD) measurements. The XRD patterns recorded from the various samples with different Ag amounts are shown in Fig. 2, where the labels

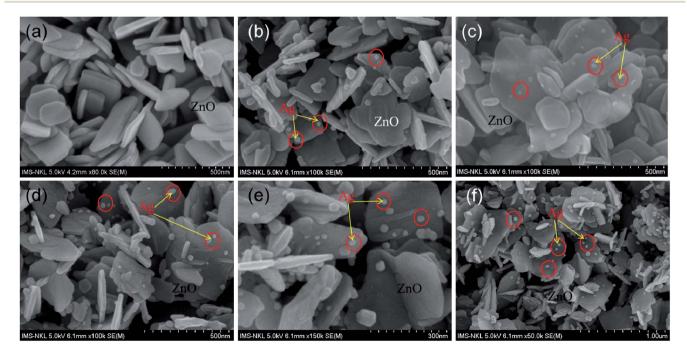


Fig. 1 SEM images of ZnO nanoplates (a) and ZnO/Ag1 (b), ZnO/Ag2 (c), ZnO/Ag3 (d), ZnO/Ag4 (e), and ZnO/Ag5 (f) grown with different amounts of AgNO₃.

" \star " for hexagonal wurtzite ZnO and " \blacksquare " for the cubic phase of Ag. The dominant peaks appearing at $2\theta = 38^{\circ}$, 44° , 64° , and 76° corresponded to the Ag (111), (200), (220), and (311) diffraction peaks, respectively (Fig. 2a). Fig. 2b displays the XRD pattern of bare ZnO nanoplates. It can be seen that all of the diffraction peaks can be accurately assigned to the wurtzite structure of ZnO (JCPDS file no. 36-1451). Wurtzite ZnO belongs to the C_{6v}^4 (P63/mc) space group.³⁵ The dominant peak at $2\theta = 36^\circ$, corresponding to (101) plane reflection, exhibits strong preferential *c*-axis orientation. Moreover, there is no other extra peak related to any impurities, revealing the pure wurtzite crystal structure. The high intensity of XRD peaks means that the ZnO phase is highly crystalline. In Fig. 2c, the XRD patterns of ZnO/ Agx samples with different Ag amounts are compared. The Bragg diffraction peaks corresponding to different crystallographic orientations are indicated by (hkl) indices below each

diffractogram. In addition, no significant shift was observed in the (101) peak of ZnO with increasing the Ag amount, indicating that there was no distortion of the ZnO lattice parameter with the Ag amount change. XRD data of ZnO/Ag in Fig. 2d shows two sets of patterns: one from ZnO and the other from Ag (JCPDS file no. 04-0783).52 The enhanced peak intensity of Ag compared with the ZnO peak in ZnO/Agx might provide some clues to the formation of the silver shell. Moreover, sharp peaks implied good crystallinity of the products. According to the Scherrer formula, the crystalline size varies from 24 nm for pristine ZnO to 27, 31, 26, 23 and 20 nm for ZnO/Ag1, ZnO/Ag2, ZnO/Ag3, ZnO/Ag4, and ZnO/Ag5, respectively (Fig. 2e). The crystalline size is observed to increase with the increase in Ag amount from ZnO/Ag1 to ZnO/Ag2. For higher Ag amount viz. ZnO/Ag3, ZnO/ Ag4 and ZnO/Ag5, the crystalline size decreases with the increase in Ag amount.

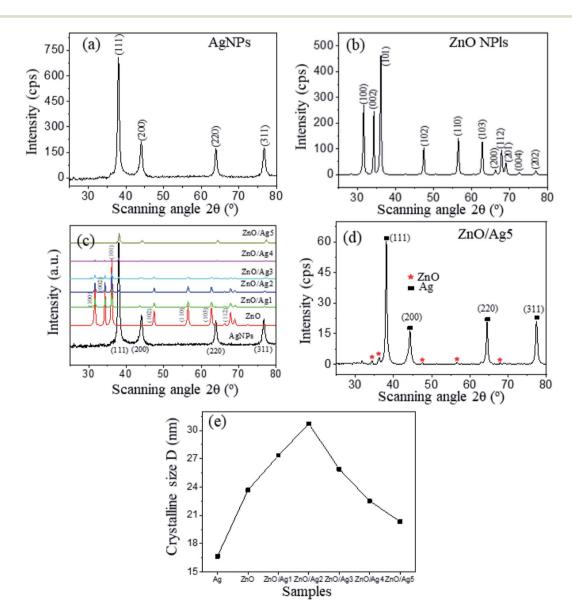


Fig. 2 XRD pattern from AgNPs (a), ZnO NPls (b), ZnO/Ag with different Ag contents (c), ZnO/Ag5 (d) and crystalline size of these samples (e).

3.3. Raman and FTIR spectra

Raman spectrum is a tool to characterize the vibrational modes of ZnO and ZnO/Agx, and the results are shown in Fig. 3a-c. Raman spectroscopy exhibits the Raman modes typical of the wurtzite crystal structure, as indicated by the XRD measurement. The different vibrational modes at the Γ point of the Brillouin zone predicted by group theory are $A_1 + 2B_1 + E_1 + 2E_2$. The optical phonon modes are classified into the following branches: lattice vibrations consisting of A₁ (the atoms move parallel to *c*-axis), E_1 (the atoms move perpendicular to the *c*axis), low-frequency E₂ mode (vibration of the Zn sub-lattice), high-frequency E₂ mode (vibration of the oxygen sub-lattice).⁵⁷ The A₁ mode is split into transverse optical (TO) and longitudinal optical (LO) phonons. The E₁ mode is concerned with defects such as oxygen vacancy, zinc interstitial and the complex-defect.35 The A1 and E1 modes are polar and Raman active. The B1 mode is Raman inactive due to defects in the host lattice.58 The E2 mode is symmetric, non-polar, and exhibits two frequencies, viz. E_2^{high} and E_2^{low} .⁵⁹ From Fig. 3b, the different vibrational modes corresponding to ZnO were observed at \sim 90, 315, 438, 481, 558, and 661 cm⁻¹. Two non-polar Raman active modes centered at 90 and 481 cm⁻¹ are described by the Raman selection rules for wurtzite ZnO. The weak peaks at 315 cm⁻¹ and 438 cm⁻¹ correspond to the E₂ low- and high-frequency modes of the wurtzite ZnO structure, respectively. The vibrational modes of ZnO in ZnO/Ag scattered so weakly that they could barely be discerned in the Raman spectrum. 438 cm^{-1} is the characteristic peak of the wurtzite phase whose intensity is

associated with the oxygen sub-lattice vibration of ZnO. This vibration energy decreased after the deposition of metallic nanoparticles (Fig. 3c). Our observation was consistent with that of ZnO nanoplates/Ag nanoparticles.⁶⁰ The weak peak at 558 cm⁻¹ is assigned to the A₁(LO) mode. A high-intensity band at 661 cm⁻¹ is attributed to E₁ symmetry. In the case of ZnO/ Agx, other vibrational modes at 229, 949, and 1035 cm⁻¹ were observed as in Fig. 3c. The 229 cm⁻¹ peak is the second order scattering from the phase boundaries of ZnO and Ag. A broad hump at 949 cm⁻¹ observed in all ZnO/Agx was attributed to the density of state of the high energy phonons. Whatever the AgNPs amount, no additional mode is detected and these bands demonstrate the character of the wurtzite ZnO structure. These results prove that the pure ZnO and ZnO/Ag are hexagonal wurtzite phases.

For an n-type semiconductor such as ZnO, the Fermi-level is determined by the following expression:

$$E_{\rm F} = E_{\rm C} + k_{\rm B} T \ln \frac{n}{N} \tag{4}$$

In which $E_{\rm C}$ is the conduction band energy level, $k_{\rm B}$ is the Boltzmann constant, *n* is the density of accumulated electrons in the conduction band, and N is the density of states in the conduction band. The Fermi level of Ag was higher than the conduction band energy level of ZnO, so electrons would flow from AgNPs to the conduction band of ZnO when Ag is attached to ZnO. The accumulated electrons near the heterojunction between Ag and ZnO create an induced electromagnetic field which amplifies significantly the local field near the

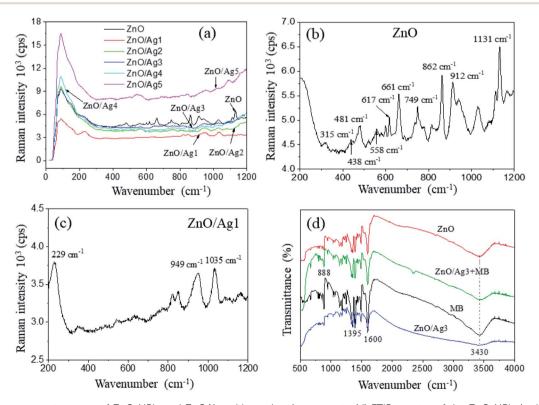


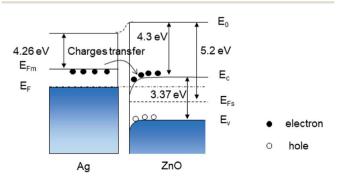
Fig. 3 (a-c) Raman spectroscopy of ZnO NPIs and ZnO/Ag with varying Ag amounts, (d) FTIR spectra of the ZnO NPIs (red line), pure MB molecules (black line), ZnO/Ag3 without MB (blue line), MB adsorbed on ZnO/Ag3 (green line).

heterojunction as a result of coupling with the incident laser. Therefore, the ZnO/Ag hybrid is the promising nanomaterial for the SERS substrate.^{61,62} Scheme 2 shows the energy band diagram of the ZnO/Ag heterojunction and the electron transfer process between the interfaces. In this scheme, the work function of ZnO is 5.2 eV, the electron affinity of ZnO is 4.3 eV and that of Ag is 4.26 eV, so electrons will migrate from the Ag to the conduction band of ZnO until the Fermi level equilibrium is achieved when the AgNPs contact the ZnO surface. A local electromagnetic field will be formed at the interface between ZnO and Ag due to the charge separation, which is called the polariation induced E-field. When the laser light is irradiated on the metal surface, the interacting process between ZnO and AgNPs forms a strong local electromagnetic field at the interface, which can be understood as the electromagnetic enhancement mechanism for SERS. It is meaningful to study the SERS mechanism from the metal/semiconductor interaction in the Raman scattering.

Fig. 3d shows the FTIR spectra for those samples which indicate the chemical structure of MB molecules, ZnO and MB adsorbed on the ZnO surface. A broad band at 3430 cm⁻¹ is attributed to the adsorbed water molecules from moisture. The prominent peaks of MB molecules are realized in the spectra of MB and MB adsorbed on ZnO or ZnO/Ag3. A significant band at 1600 cm⁻¹ results from the skeletal stretching vibration of the C=C and C=N bonds in the hetero-cycle of the MB molecules.⁶³ Two bands at 1395 cm⁻¹ and 888 cm⁻¹ belong to C-H bending vibrations.³⁰ In addition, the relative intensities of the absorption peaks from the ZnO/Ag3 + MB sample are lower than those of MB molecules, which implies a strong interaction between MB molecules and the ZnO/Ag substrate.

3.4. TEM, HRTEM and EDS investigations

Based on the TEM image (Fig. 4a), the average nanoplate size of ZnO was 100 nm \times 200 nm in lateral length and 10 \div 20 nm in width. TEM image reveals that the ZnO NPls overlap with each other to form a three-dimensional network structure. The high-resolution TEM (HRTEM) image in Fig. 4b and e shows that the distance between adjacent lattice fringes is nearly 0.193 nm in ZnO and 0.234 nm in Ag. The TEM image of ZnO/Ag3 (Fig. 4d) exhibits a large number of AgNPs randomly distributed on ZnO NPls, which leads to the better charge transportation of



Scheme 2 Energy band diagram of ZnO/Ag interface showing the electron transfer between Ag and ZnO. 61

conducting electrons between ZnO and Ag. It has been estimated that the diameter of AgNPs is about 9 nm. The ZnO and ZnO/Ag3 samples were analyzed by EDS and the representative results are given in Fig. 4c and f. Zn and O elements were derived from ZnO nanoplates with contents of 24.91 at% of Zn and 75.09 at% of O, while the ZnO/Ag3 sample was composed of 32.9 at% of Zn, 49.55 at% of O, and 17.55 at% of Ag.

3.5. UV-Vis and PL results

UV-Vis measurements were implemented to determine the optical band gaps of these samples. The absorbance of ZnO nanoplates and five kinds of ZnO/Agx hybrids in the range of 350 nm to 450 nm (*i.e.* ultraviolet-visible region) is displayed in Fig. 5a. All the samples showed a sharp drop in absorbance around the absorption edge. With the increase in Ag amount, the absorption edges of the UV-Vis spectra become obviously red-shifted. An increased absorbance for wavelengths longer than 380 nm was observed in the case of ZnO/Ag5 only. The possible cause of this unusually increased absorbance is not yet understood. A strong band located at around 370 nm in all spectra corresponds to the near-band edge absorption of ZnO. The optical band-gap can be determined by using Tauc's law:⁶⁴

$$\alpha h\nu = A(h\nu - E_{\rm g})^n \tag{5}$$

where α is the absorption constant, *h* is the Planck constant, *v* is the frequency of the incident light, hv is the photon energy (eV), E_{g} is the band-gap energy, A is the rational coefficient, and n is the exponent number. Fig. 5c shows the typical variation of band-gap energy (E_g in eV) as a function of Ag amount. The band-gap energy was observed to decrease with the increase in Ag amount from pristine ZnO (3.2 eV) to ZnO/Ag (\sim 2.5 eV), *i.e.* a red shift was observed. This red shift in the bandgap energy arises due to the decrease in electron density in ZnO as a result of varying Ag amounts (Burstein-Moss effect).65,66 UV-Vis absorption spectrum is often used to find suitable excitation wavelength of SERS substrate.⁶⁷ Fig. 5d shows the UV-Vis absorption spectrum of MB on a Si/SiO₂ substrate compared with that of MB adsorbed on ZnO or ZnO/Ag3. The MB has a strong absorption peak at around 660 nm and small ultraviolet (UV) band at 300 nm. After absorbing on ZnO or ZnO/Ag3, the small UV peak disappears and shows only a broad absorption band from 500 to 800 nm with a center at 600 nm. So, we select the 532 nm laser as the excitation source.67 The absorption of MB on ZnO or ZnO/Ag3 shows blue shift comparing with that of MB on Si/SiO2 substrate, proving that MB successfully modified ZnO or ZnO/Ag3 surfaces. It is supposed that 600 nm band is caused by the interaction between MB molecules and ZnO surface, which results in the formation of the MB-ZnO complex charge transfer. Some previous researches have observed similar charge transfer bands.15,16,68 Moreover, the 600 nm peak intensity of ZnO/Ag3 + MB is lower than that of ZnO + MB as a result of the suppression of the surface plasmon resonance (SPR) band by the coating with Ag, same as our previous publication.22

Fig. 6 shows the photoluminescence (PL) spectra of all the samples. A narrow emission band located at about 380 nm

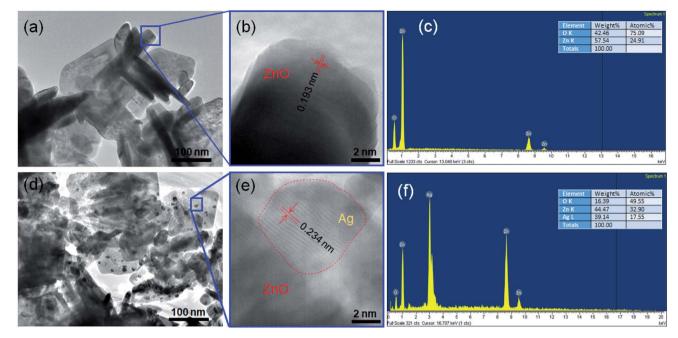


Fig. 4 (a) Typical TEM and (b) HRTEM images of ZnO, (d) TEM and (e) HRTEM images of ZnO/Ag3 and corresponding spot-profile EDS spectra of (c) pure ZnO, (f) ZnO/Ag3.

(3.27 eV) can be assigned to the exciton emission that results from the recombination of shallowly trapped charge carriers. A broad emission band at 650 nm was due to oxygen defect states of ZnO structure.³⁷ In comparison with this report,³⁷ the broad emission band of ZnO nanoparticles centered at around 530 nm. Because this emission band highly depends on the size

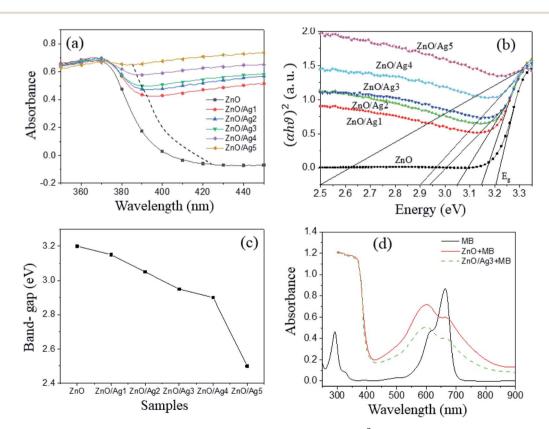


Fig. 5 (a) The UV-Vis absorption spectra of ZnO and ZnO/Agx samples, (b) plot of $(\alpha h\nu)^2$ versus $h\nu$, (c) the band-gap from 2.5 to 3.2 eV, (d) absorption spectra of MB alone (black line), of MB adsorbed on ZnO (red line) and ZnO/Ag3 (dash line).

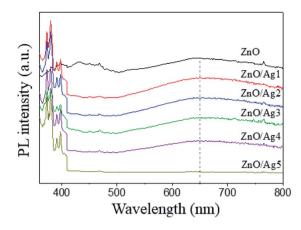


Fig. 6 Photoluminescence (PL) spectra of ZnO and ZnO/Ag excited at 345 nm.

of the ZnO structure, it shifts to a longer wavelength as the size of ZnO nanoplates is much larger than that of ZnO nanoparticles.

3.6. SERS application

SERS spectra of methylene blue adsorbed onto ZnO and ZnO/Ag composite nanomaterials were studied in this research. Fig. 7a shows the Raman spectrum of MB at a concentration of 10^{-4} M using Si/SiO₂ as a substrate. The resolved Raman vibration features of MB, located at around 445, 501, 772, 1037, 1070, 1154, 1302, 1395, 1625 cm⁻¹, agree well with previously reported data.⁷ The strongest intense band at 1625 cm⁻¹ is attributed to the C-C ring stretching mode of MB molecules.30 The characteristic Raman band of MB molecules at 445 cm⁻¹ is ascribed to the C-N-C skeletal deformation mode. As illustrated in Fig. 7b, ZnO showed little effect on the Raman spectrum enhancement of MB. However, when MB was adsorbed onto ZnO/Agx (x = 1, 2, 3, 4, 5) substrates, a significant increase in SERS signal intensity was observed. Because of the interaction of MB molecules with hot spots on the nanosystems, the locations of the peaks of MB in the normal Raman and SERS substrates are different. The Raman bands of SERS substrates

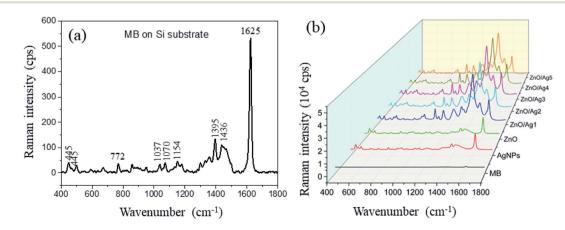
(ZnO and ZnO/Agx) were shifted to lower wavenumbers at 424, 606, 913, 1029, 1120, 1227, 1317, 1436, 1515, and 1613 cm⁻¹ in comparison with the normal Raman of MB. Their SERS peak positions differ from each other due to the quantity of molecules in the hot spot area. The results showed that AgNPs on ZnO NPls significantly increased Raman peak intensity by taking 1613 cm⁻¹ peak as the index. It should be noted that the Raman intensity is highest for ZnO/Ag3 with the same concentration of MB. This is most likely due to ZnO/Ag having the larger surface area compared to the other samples, which allows the probe molecules to anchor and produce higher SERS intensity. Therefore, ZnO/Ag3 was used in the next investigation.

To evaluate the sensitivity of ZnO/Agx, the SERS spectra of MB molecules on ZnO/Agx and ZnO substrates were compared. As shown in Fig. 7b, ZnO/Agx substrates produce a stronger signal enhancement than ZnO substrate. The enhancement factor can be estimated according to the well-known equation:52

$$EF = \frac{I_{SERS}}{I_{nor}} \frac{C_{nor}}{C_{SERS}}$$
(6)

where I_{SERS} and I_{nor} are the SERS intensities of MB adsorbed on ZnO or ZnO/Agx structures and the normal Raman of MB alone on the silicon substrates, respectively. C_{SERS} and C_{nor} are the concentrations of the MB with the SERS substrate (ZnO, ZnO/ Agx) and with the normal Raman spectrum, respectively. The EF values for ten major bands of six different SERS substrates are calculated and listed in Table 1.

According to Table 1, the EF of ZnO/Ag3 is the highest compared to other samples, which is presumably related to MB molecules on high-density hot spots around NPs/NPls surfaces. The shortening of the interface gap junctions between metal and semiconductor enhanced the local electromagnetic field69 and the EF of ZnO/Ag3 is highest at the band of 1436 cm^{-1} with a value of 6.2×10^6 , which is superior to that of 2.9×10^4 obtained in our previous ZnO nanoplates-based SERS-active substrate at a same concentration of MB (10^{-4} M) .³⁰ The strongest Raman band at 1436 cm⁻¹ was related to the *N*-phenyl stretching vibration in MB molecules. However, for higher Ag amounts (ZnO/Ag4 and ZnO/Ag5), the EF values were reduced



Raman spectra of MB on Si substrate (a), MB adsorbed on AgNPs, ZnO NPls, ZnO/Ag (b), MB concentration in all experiments as 10^{-4} M. Fia. 7

Table 1	EF values are estimation	ated for nine maior b	ands from MB 10^{-4} M	M adsorbed on six differen	t SERS substrates
Tuble 1		icea for third thajor b		a dasorbed on six ameren	

SERS substrates		ZnO	ZnO/Ag1	ZnO/Ag2	ZnO/Ag3	ZnO/Ag4	ZnO/Ag5
Raman peak (cm ⁻¹)	1613	$2.9 imes 10^4$	$3.7 imes10^5$	$3.8 imes 10^5$	$4.4 imes10^5$	$4.1 imes10^5$	$3.8 imes10^5$
	1515	$2.1 imes10^3$	1.6×10^{6}	$1.7 imes10^{6}$	1.9×10^6	1.8×10^6	$1.6 imes10^6$
	1436	$1.6 imes10^3$	$5.3 imes10^6$	$5.4 imes10^6$	$6.2 imes10^6$	$6.0 imes10^6$	$5.6 imes10^6$
	1317	$1.7 imes10^3$	1.7×10^{6}	1.8×10^6	2.7×10^{6}	$2.0 imes10^6$	$1.7 imes10^6$
	1227	$2.2 imes10^3$	$1.2 imes 10^6$	$1.2 imes 10^6$	$1.4 imes10^6$	$1.4 imes10^6$	$1.2 imes 10^6$
	1120	$1.7 imes10^3$	$1.2 imes 10^6$	$1.2 imes 10^6$	$1.4 imes10^6$	$1.4 imes10^6$	$1.2 imes 10^6$
	1029	$1.5 imes 10^3$	1.4×10^{6}	$1.3 imes 10^6$	1.6×10^6	$1.5 imes 10^6$	$1.4 imes10^6$
	913	$1.8 imes10^3$	$4.7 imes10^5$	$4.4 imes10^5$	$5.3 imes10^5$	$4.5 imes10^5$	$4.2 imes10^5$
	606	1.8×10^3	2.9×10^{5}	$3.4 imes10^5$	3.8×10^5	3.4×10^{5}	$3.2 imes10^5$
	424	$2.0 imes10^3$	$1.6 imes 10^5$	$1.7 imes10^5$	$2.2 imes10^5$	$1.8 imes10^5$	$1.6 imes 10^5$

due to the screening of Ag to the charge transfer between ZnO and MB molecules.

The SERS activity of ZnO/Ag3 substrate was subsequently investigated at MB concentrations ranging from 10^{-9} to 10^{-4} M. As shown in Fig. 8a, the intensity of the spectra becomes gradually stronger as the concentration of MB increases from 10^{-9} to 10^{-4} M. The Raman peaks for the concentrations at 10^{-4} and 10^{-5} M are highly distinct and whole. When the MB concentration is dropped to 10^{-9} M, the Raman peaks are reduced, leaving only one peak at 1436 cm⁻¹, and the intensity of remaining peak is also weakened. The Raman peak intensity at 1436 cm⁻¹ for ZnO/Ag3 was correlated with the concentration of MB *via* the plot of the logarithm of SERS intensity (log *I*) *versus* the logarithm of MB concentration (log *C*) as depicted in Fig. 8b. Log *I* increases linearly with the logarithm of the number of MB molecules anchored on the substrate. To estimate the detection limit of pristine ZnO and ZnO/Agx hybrid systems, Raman spectra of MB molecules at a low concentration of 10^{-9} M was measured. Because of the strong electromagnetic and charge transfer effects, as well as the inter-NPs surface plasmon resonance (SPR) around NPls surface,¹⁰ the 1436 cm⁻¹ peak intensity was still significant and distinguishable at low

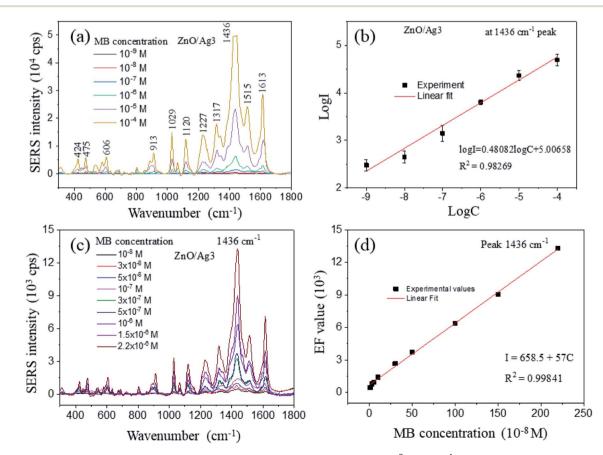


Fig. 8 (a) Raman spectra of MB adsorbed on ZnO/Ag3 at concentrations ranging from 10^{-9} M to 10^{-4} M, (b) the linear relationship between the log / and log C of the 1436 cm⁻¹ peak, where / is the SERS intensity and C is the MB concentration, (c) Raman spectra of MB adsorbed on ZnO/Ag3 with different concentrations from 10^{-8} M to 2.2×10^{-6} M, (d) the linear relationship between SERS intensity and MB concentration.

MB concentrations $(10^{-7}, 10^{-8}, \text{ and } 10^{-9} \text{ M})$. Even at a low concentration (10^{-9} M) of MB, a distinguishable Raman signal can still be observed, showing that ZnO/Ag3 has a high SERS activity. However, qualitative analysis below this low concentration is difficult to realize. The limit of detection (LOD) of MB using ZnO/Ag3 nanosystem can be achieved as 10^{-9} M, much better than that of a pristine ZnO-based SERS substrate (10^{-4} M) .³⁰ Moreover, the detection limit of molecular probes increases due to the polarization of the excitation laser at the rough ZnO/Ag surface.70 This result agrees with some other reports.52,53 Some detection limits of pristine ZnO and ZnO/ metal-based SERS substrate were compared and listed in Table 2.

Thanks to the highest SERS signal from the ZnO/Ag3 sample, the SERS activity of ZnO/Ag3 was subsequently investigated at MB concentrations ranging from 10^{-8} M to 2.2 \times 10^{-6} M (Fig. 8c). In this narrow range of MB concentration, there is a linear relationship between the SERS intensity of 1436 cm⁻¹ peak and MB concentration that was displayed in Fig. 8d. The linear fitting equation is approximated as:

$$I = 658.5 + 57C \tag{7}$$

with the correlation factor of $R^2 = 0.99841$, where the unit of MB concentration (C) was 10^{-8} M.

3.7. SERS mechanisms

On the noble metal substrate, both electromagnetic and chemical enhancement contribute to SERS activity. The former is caused by the surface plasmon resonance based on the interaction of the electric field of surface plasmons with the transition moment of the adsorbed molecules. The latter is due to the charge-transfer between the adsorbate and the substrate. In contrast, in semiconductor materials, electromagnetic enhancement is referred to as exciton resonance rather than surface plasmon resonance.29 The assembly of silver nanoparticles onto a two-dimensional semiconductor may induce synergistic effects in electromagnetic and chemical enhancement, thereby improving the detection limit of SERS. For the hybrid structure composed of ZnO nanoplates and Ag

nanoparticles, the metal-semiconductor junction creates a changeable local EM field at the interface of the nanosystem, which prevents the recombination of electron-hole pairs. EM enhancement depends on the number of hot spots in the substrate, which is a strongly enhanced field at the contact region between the AgNPs and ZnO NPls. Hot spots can also be produced through interaction between AgNPs or from the narrow junctions between closely spaced NPs. A combined EM and chemical effect is induced at the edges of the Ag nanocavities.74 The increased roughness of AgNPs on ZnO NPIs may enlarge LSPR near the NPs/NPls junction.71,72,75 The coupling of AgNPs provides a significant EM effect at the interfaces of AgNPs/ZnO NPls. The electromagnetic enhancement could work over a long distance and no chemical bond was needed, while chemical enhancement could only work over a short distance and need a chemical bond to charge transfer.73 The ionic size of Zn²⁺ is 0.74 Å and the bond length of Zn-O is 1.97 Å.⁵⁹ Fig. 5d shows an absorption band centered at 600 nm in the UV-Vis spectra of MB adsorbed on ZnO and ZnO/Ag3. This band can be attributed to the interaction between MB molecules and ZnO, which results in the formation of a charge transfer band, one of the main factors of SERS behavior in ZnO/Agx systems. The charge transfer mechanism between ZnO and MB can occur as follows: electrons transfer from the valence band (VB) of ZnO to the lowest unoccupied molecular orbital (LUMO) of MB, electrons are excited from the highest occupied molecular orbital (HOMO) of MB to the conduction band (CB) of ZnO, electrons at defect states of ZnO (such as zinc vacancy defect, zinc interstitial defect, oxygen vacancy defect) are excited to CB of ZnO and then injected into LUMO of MB, electron at defect states of ZnO can transfer directly to LUMO of MB.⁷⁶ ZnO is an n-type semiconductor with a lot of surface defect states called trap states. The energy of laser excitation may promote electron transitions from the trap states into the CB or from the VB to the trap states.⁷⁷ Electrons can also transfer from the defect state of ZnO due to oxygen vacancy to the LUMO of MB, which is caused by the electronic coupling between MB molecules and ZnO. The Fermi-level of silver ($E_{\rm F} =$ 0.15 V) is more positive than the conduction band of ZnO, thus once the silver islands are formed onto ZnO nanoplates,

Table 2 The limit of detection (LOD) of ZnO, ZnO/metal-based SERS substrate						
SERS substrate	Target species	LOD	Reference			
ZnO nanoplates/Ag nanoparticles	MB	10 ⁻⁹ M	This work			
ZnO nanoplates	MB	10^{-4} M	30			
ZnO nanorods	Acetaminophen	10^{-4} M	68			
ZnO nanoplates/Ag nanoparticles	R6G	$10^{-7} { m M}$	49			
ZnO nanorods/Ag nanoparticles	Melamine	$10^{-10} { m M}$	16			
ZnO nanorods/Ag nanoparticles	Abamectin	1 ppm	14			
ZnO nanorods/Ag nanoparticles	R6G	$10^{-16} { m M}$	15			
ZnO nanorods/Ag nanoparticles	R6G	10^{-6} M	71			
ZnO nanowires/Au nanoparticles	R6G	10^{-7} M	72			
ZnO nanospheres/Ag	R6G	10^{-8} M	53			
nanoparticles						
ZnO sea urchin/Ag nanoparticles	R6G	$10^{-16} { m M}$	52			
ZnO microrods/Au core@Ag shell	4-ATP	$10^{-6}~\mathrm{M}$	73			

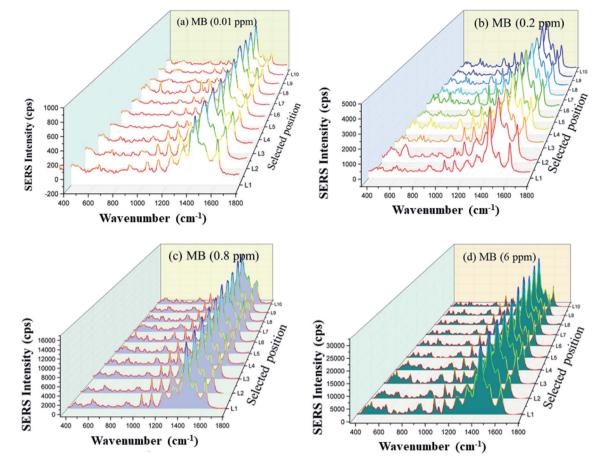


Fig. 9 SERS spectra of varied MB concentrations ((a) 0.01 ppm, (b) 0.2 ppm, (c) 0.8 ppm, and (d) 6 ppm) were gathered at 10 randomly selected positions.

electrons will shift from the silver nanoparticles to the conduction band of ZnO to reach the same Fermi energy level $(E_{\rm F})$ between Ag and ZnO.⁵² The overwhelming storage of electrons on the ZnO results in the formation of an internal electric field, causing a downward band bend on the ZnO side and forming a depletion layer on the Ag side. This also causes the formation of a local electric field around the AgNPs, which can enhance the localized electromagnetic field and improve the Raman scattering of the analytes. The charge transfer leads to a charge distribution difference between the two sides of the interface, which creates the potential barrier, providing an energetic driving force for transferring electrons. As a result, when ZnO/Ag is used as a SERS substrate in detecting MB, MB molecules will obtain electrons from silver nanoparticles rather than the ZnO nanoplate surface. The charge transfer from metal to target molecule may explain the tremendous enhancement of the Raman signal strength of MB adsorbed to ZnO/Ag. In addition, the large surface area of ZnO/Agx may result in contact with more probe molecules on the ZnO/Ag hybrids, which aids in the improvement of SERS. The metal-semiconductor hybrid nanosystem has high sensitivity and is appropriate for trace detection of target molecules.

To evaluate the effectiveness of the ZnO/Agx hybrid as a SERS substrate, two factors, sensitivity and reproducibility, must be

validated. The relative standard deviation (RSD) of the major peaks is used to estimate the reproducibility of the SERS signals. Fig. 9 exhibited the SERS contours of ZnO/Ag3 to examine the SERS uniformity, which was conducted from pointto-point for various MB molecular concentrations (0.01 ppm, 0.2 ppm, 0.8 ppm, and 6 ppm). 10 tested spots were randomly selected on the ZnO/Ag3 substrate within the Raman shift range of 400–1800 nm, the intensities of SERS spectra exhibit negligible change. The RSD value for the signal intensities of the major SERS peaks was calculated by formula⁵¹ to quantitatively assess their uniformity. The maximum RSD at 1436 cm⁻¹ peak was less than 4.8%, revealing that the substrates possess good reproducibility across the entire surface area. Accordingly, it is reasonable to believe that the ZnO/Ag3 hybrid performs SERS substrate with high sensitivity and good reproducibility.

4. Conclusions

In our research, the ZnO/Ag hybrid nanosystem was synthesized *via* hydrothermal treatment followed by chemical reduction without any organic additives. Firstly, ZnO nanoplates with 20 nm in thickness and 100×200 nm in lateral size were successfully obtained through the reaction of zinc acetate and sodium hydroxide under hydrothermal condition. Secondly,

silver nanoparticles with 9 nm in average diameter were deposited onto ZnO nanoplates via chemically reducing silver nitrate by trisodium citrate. Thanks to the introduction of AgNPs, the Raman intensity of MB molecules was significantly enhanced. The highest EF value for MB (10^{-4} M) reached 6.2 \times 10^6 for the peak at 1436 cm⁻¹ and the LOD of MB can be observed as 10⁻⁹ M for ZnO/Ag3. Additionally, the maximum value for the RSD below 4.8% indicated that the substrate was well uniform, and the limit of detection as 10^{-9} M MB concentration showed that the nanohybrid possessed high sensitivity. These properties enable ZnO/Ag substrate to be suitable for the trace detection of MB molecules. This research has contributed to a better understanding of the synergistic mechanism of chemical and electromagnetic enhancements of the SERS substrate based on the combination of semiconductor with noble metal materials. In the follow-up research, we will investigate the SERS enhancement of ZnO/Ag hybrid materials in detecting other molecules such as methyl orange (MO), methyl red (MR), rhodamine B (RhB), etc.

Conflicts of interest

There are no conflicts of interest to declare.

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