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Chain-extension in hyperbranched polymers alters tissue distribution and cytotoxicity profiles in orthotopic models of triple negative breast cancers†

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The therapeutic efficacy of nanomedicines is highly dependent on their access to target sites in the body, and this in turn is markedly affected by their size, shape and transport properties in tissue. Although there have been many studies in this area, the ability to design nanomaterials with optimal physicochemical properties for *in vivo* efficacy remains a significant challenge. In particular, it is often difficult to quantify the detailed effects of cancer drug delivery systems *in vivo* as tumour volume reduction, a commonly reported marker of efficacy, does not always correlate with cytotoxicity in tumour tissue. Here, we studied the behaviour *in vivo* of two specific poly(2-hydroxypropyl methacrylamide) (pHPMA) pro-drugs, with hyperbranched and chain-extended branched architectures, redox-responsive backbone components, and pH-sensitive linkers to the anti-cancer drug doxorubicin. Evaluation of the biodistribution of these polymers following systemic injection indicated differences in the circulation time and organ distribution of the two polymers, despite their very similar hydrodynamic radii (~10 and 15 nm) and architectures. In addition, both polymers showed improved tumour accumulation in orthotopic triple-negative breast cancers in mice, and decreased accumulation in healthy tissue, as compared to free doxorubicin, even though neither polymer–doxorubicin pro-drug decreased overall tumour volume as much as the free drug under the dosing regimens selected. However, the results of histopathological examinations by haematoxylin and eosin, and TUNEL staining indicated a higher population of apoptotic cells in the tumours for both polymer pro-drug treatments, and in turn a lower population of apoptotic cells in the heart, liver and spleen, as compared to free doxorubicin treatment. These data suggest that the penetration of these polymer pro-drugs was enhanced in tumour tissue relative to free doxorubicin, and that the combination of size, architecture, bioresponsive backbone and drug linker degradation yielded greater efficacy for the polymers as measured by biomarkers than that of tumour volume. We suggest therefore that the effects of nanomedicines may be different at various length scales relative to small molecule free drugs, and that penetration into tumour tissue for some nanomedicines may not be as problematic as prior reports have suggested. Furthermore, the data indicate that dual-responsive crosslinked polymer-prodrugs in this study may be effective nanomedicines for breast cancer chemotherapy, and that endpoints beyond tumour volume reduction can be valuable in selecting candidates for pre-clinical trials.

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Introduction

Triple negative breast cancer (TNBC), classified *via* the lack of expression of estrogen and progesterone receptors (ER and PR) and low levels of human epidermal growth factor receptor 2 (HER2) expression, is one of the most aggressive forms of breast cancer and accounts for *ca.* 10–15% of all diagnosed cases.¹ Patients presenting with TNBC tend to have a poor prognosis due to the lack of sensitivity towards conventional therapies used to treat other forms of breast cancer, such as

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endocrine and molecular targeted therapy, and typically exhibit high levels of metastasis and recurrence.^{2,3} Although there is currently no standard-of-care treatment for TNBC, patients typically receive surgery, radiation and conventional chemotherapies.⁴ Doxorubicin (Dox) is a commonly used anthracycline chemotherapy in the treatment of breast cancer,⁵ with several Food and Drug Administration (FDA) approved treatments on the market. However, various severe side-effects are frequently observed following Dox treatment, particularly renal and cardio-toxicity.⁶ Furthermore, the effectiveness of Dox is limited by a series of resistance mechanisms which reduce its pharmacological potential.⁷

Some of the issues associated with administration of chemotherapeutics have been addressed through altering the pharmacokinetic profiles of these drugs *via* encapsulation in, or conjugation to, a carrier. A successful clinical example is Doxil®, a liposomal formulation containing Dox, which was one of the first approved nanomedicines.^{8,9} However, there is still a demand for more potent TNBC therapies, and it has been suggested that polymer pro-drugs might have additional advantages over liposomal formulations to overcome barriers to cancer therapies.¹⁰ Conjugation with polymers can increase solubility and stability of chemotherapeutics in aqueous solutions, leading to increased efficacy against tumours, both *in vivo* and *in vitro*.¹¹ In addition to this, the linkages between polymers and drugs can be tailored to be stimuli-responsive in the tumour microenvironment or to incorporate tumour specific targeting moieties, leading to enhanced levels of active drug at the target site.^{12,13} Dox is a good candidate to be applied in a polymer pro-drug system, as it possesses numerous functional chemistries amenable to conjugation, which has led to a range of reported polymer–Dox linkages, including carbamate,¹⁴ urea¹⁵ and hydrazone,¹⁶ to a range of different polymer types.

In particular, much development has been undertaken into poly(*N*-(2-hydroxypropyl)methacrylamide), or pHPMA, conjugated to Dox, *i.e.* pHPMA–Dox pro-drug systems.^{17,18} Following initial reports in the 1970s on the potential use of HPMA in biological applications,^{19,20} a variety of pHPMA conjugates have been prepared to treat multiple types of cancer.^{21,22} Furthermore, several HPMA–Dox formulations have reached clinical trials, including the candidate polymer therapeutics PK1 and PK2. PK1 consists of Dox covalently bound to HPMA *via* a peptidyl linker, cleavage of which by lysosomal enzymes allows for release within tumour cells. The distribution half-life of PK1 was found to be increased as compared to free Dox (1.8 h and 5 min, respectively), indicating better circulation times for the polymer-conjugate. In phase II clinical trials, PK1 showed tolerable toxicities and partial responses in 6/62 patients.²³ PK2 has a similar structure to PK1, with the addition of a galactosamine linker which can target liver cells, and phase I clinical studies showed moderate side-effects and partial responses in several patients with this pHPMA pro-drug too.²⁴ However, while these studies highlighted the potential of polymer pro-drugs as clinical chemotherapeutic therapies, particularly in reducing side-effects, PK1 and PK2 were not advanced further as the improvements in efficacy were not

deemed sufficient compared to existing Dox formulations, to justify full commercial development.²⁵ Accordingly, there is a need to understand better how polymer–drug conjugate formulations might be improved in order to prepare pro-drugs which might perform better clinically.

One significant problem in development of drug delivery systems is that many pre-clinical models used to evaluate their efficacy are based on measuring reduction in the volumes of tumours, which may or may not be in physiologically relevant sites in the animal, as the primary therapeutic endpoint. Tumour volume is a convenient parameter to measure and is quicker and easier to evaluate compared to overall survival, or analysis of individual tissue samples. However, while undoubtedly useful, tumour volume does not give a detailed mechanistic understanding of cancer therapy, and thus for structure–function studies of drugs and drug delivery systems, other assays are required. Similarly, reliance on overall survival as a sole metric without knowledge of drug distribution through tissue parenchyma, risks an underestimation of potential drug efficacy (*i.e.*, a given tumour may be sensitive, if exposed to a sufficient amount of drug). Of particular note are studies related to the transport and penetration of drug carriers into tumours, as it has been suggested that the lack of efficacy of some nanomedicines is due to their inability to distribute to cells away from the tumour vasculature.²⁶ It is clear that size, shape and chemical functionality can all alter the ability of materials to transport in blood vessels and in tissue. However, the subtle interplay of these factors, combined with the dynamic and varied environments through which nanoparticles travel in the body, make it challenging for detailed predictions of particle distribution to be made.^{27,28} Nevertheless, important studies have shown that polymer or nanoparticle size, surface-displayed functionality and ability to break down into smaller fragments when in target sites, are all important factors enhancing penetration into tumours.^{29–35} Recent work has elegantly shown that pHPMA polymers with a variety of side-chain and backbone architectures can exhibit marked variations in their ability to transport into tumours and other tissues.³⁶ Up to ~4–5 fold differences in tumour accumulation in a murine EL4 T cell lymphoma model were observed for pHPMA polymers varying in dendron or dendritic architectures, even though the primary polymer chemistries and therapeutic payloads were very similar. These variations in tumour accumulation were also manifest in tumour reduction and overall survival. The authors report a dendron-based pHPMA as showing the highest tumour retention and best overall efficacy *in vivo*, despite the polymers not presenting as the most potent in 2D *in vitro* experiments.³⁶

In a previous study, we injected mice bearing orthotopic TNBC with redox- and pH-responsive pHPMA–Dox polymer pro-drugs spanning a range of sizes and architectures and noted some intriguing differences between organ accumulation and tumour volume reduction for two polymers of very similar size and overall chemistry, but different molecular architectures. A hyperbranched pHPMA of nominal hydrodynamic diameter $d_H \sim 10$ nm was shown to exhibit greater



accumulation in the kidneys compared to the liver, while a chain-extended hyperbranched polymer, 'pseudo-star' pHPMA of nominal $d_H \sim 15$ nm was retained to a greater extent in the liver.³⁷ Both polymers were well-tolerated by mice in terms of body weight, showed similar efficacy in terms of tumour reduction when dosed at 2 mg kg⁻¹ over a period of 20 days, and were more effective than free Dox over this time frame. However, when dosed at 4 mg kg⁻¹ over 10 days, the hyperbranched pHPMA–Dox polymer was more potent than the related chain-extended hyperbranched pHPMA–Dox polymer, and only the hyperbranched pHPMA–Dox polymer out-performed the free drug.³⁷

Here we describe some detailed further studies into the behaviour of these hyperbranched and chain-extended hyperbranched pHPMA polymers when dosed in mice carrying orthotopic TNBC xenografts. We show that with a reduced dosing (1.7 mg kg⁻¹) twice weekly for 4 weeks, the differences in organ accumulation were retained for these polymers, but they also showed marked enhancements in tumour accumulation and penetration compared to free drug. Importantly, we observed significant increases in apoptosis in tumours but reduced toxicities in non-target organs compared to free Dox. These data suggest that molecular architectures of polymer pro-drugs can be altered to affect their biodistribution and organ accumulation, and that the chemistries can be controlled to allow deep penetration into tumour tissue and enhanced cell kill in diseased regions with low toxicity to healthy organs.

Experimental

Preparation of polymer formulations

In this study, four pHPMA polymer formulations were employed – these are coded as HB-SS-HH-Dox, HB-SS-HH-Cy5, CE-HB-SS-Dox and CE-HB-SS-Cy5 and the key attributes of these polymers are shown in Table 1. The preparation of these polymers has been previously reported by us³⁷ and is described in detail in the ESI,† with ¹H NMR spectra and GPC spectra reported in Fig. S1 and S2.†

In vivo evaluation of polymers

In vivo biodistribution of Cy5-labelled polymers. All *in vivo* experiments were conducted under the UK Home Office

Licence number PPL P435A9CF8. LASA good practice guidelines, FELASA working group on pain and distress guidelines and ARRIVE reporting guidelines were also followed. Experiments were performed to assess biodistribution, organ accumulation and clearance of Cy5-labelled polymers. Animal information has been provided in the ESI.† After warming the mice in a thermostatically controlled heating box (Datesand, UK), they were injected intravenously *via* the tail vein with 100 μL of a 2 mg mL⁻¹ solution of HS-SS-HH-Cy5 or CE-HB-SS-Cy5 in PBS. No adverse effects were observed following the injections or for the duration of the study. The concentration delivered was determined from a balance of non-toxicity from *in vitro* analysis as previously reported by us,³⁷ as well as sufficient fluorescent intensity for imaging *in vivo*. Prior to the study time points, the mice were anaesthetized with an injectable anaesthetic combination (Anaestemine [ketamine]/Sedastart [medetomidine], Animalcare Ltd, UK) before being placed in the imaging system. Images were taken at 5 minutes, 1 h, 2 h, 6 h, 24 h and 48 h post-injection, and two mice in each group were culled by cervical dislocation, organs were dissected and imaged *ex vivo* at these time points, with the other mice being allowed to recover from the anaesthetic with appropriate post procedural monitoring and therapy, including placing mice on a heat pad and providing fluid replacement *via* wet mash once awake. The organs excised and imaged were the kidneys, liver, spleen, pancreas, lung, heart, bladder, brain and lymph nodes (subiliac). All images were collected using the IVIS® Spectrum imaging system, PerkinElmer (MA, USA) and fluorescent signals were quantified using Regions of Interest (ROIs) and quantified as photons emitted using Living Image/Igor Pro Software (Caliper Life Sciences).

In vivo efficacy and biodistribution of pHPMA–Dox conjugates. *In vivo* experiments in MB-MDA-231 fLuc breast cancer tumour bearing mice were performed to assess efficacy, biodistribution and organ accumulation of Dox, HB-SS-HH-Dox and CE-HB-SS-Dox. The biodistribution of the Cy5 conjugates of these polymers was also investigated in this model. Animal information has been provided in the ESI.† Animals were randomized by tumour volume and bioluminescence intensity, with group numbers of 6 mice per group. Injections were delivered on days 1, 4, 8, 11, 15, 18 and 22. After warming the mice in a thermostatically controlled heating box (Datesand, UK), they were injected intravenously *via* the tail vein with 100 μL of saline solution (control), free Dox, HB-SS-HH-Dox or CE-HB-SS-Dox at dose of 1.7 mg kg⁻¹ of Dox for each group. No adverse effects were observed following the injections or for the duration of the study. The concentration delivered was determined from a balance of non-toxicity from *in vitro* analysis as well as sufficient fluorescent intensity for imaging *in vivo*. Imaging was carried out weekly as follows: the mice were anaesthetized with an injectable anaesthetic combination (Anaestemine [ketamine]/Sedastart [medetomidine], Animalcare Ltd, UK) before being placed in the imaging system. At the pre-determined scientific endpoint (28–29 days), animals were culled by cervical dislocation, tissues were

Table 1 Properties of pHPMA polymers as characterised by SEC-MALS, DLS and UV-Vis spectroscopy

Polymer	M_n (kDa)/ GPC	D	d_H (DLS) (nm)	Zeta potential (mV)	Dye/drug content (wt%)
HB-SS-HH-Cy5	52	1.5	10 (PDI = 0.14)	–24.1	0.63
HB-SS-HH-Dox	58 ^a	1.5	12 (PDI = 0.25)	–15.3	11
CE-HB-SS-Cy5	122	1.6	15 (PDI = 0.15)	–15.3	0.27
CE-HB-SS-Dox	126 ^a	1.6	17 (PDI = 0.31)	–16.6	9

^a Molar masses for Dox-conjugated polymers were calculated based on GPC data for the precursors and NMR integrals.



dissected and imaged *ex vivo*. The tissues excised and imaged were the tumour, liver, spleen and heart. All organs were preserved by snap freezing and fixation (NBF) 50 : 50.

Evaluation of effect of polymers on tissues

Preparation of tissue samples for analysis. Fixed organs were dehydrated and embedded in paraffin using a tissue processor (Leica TP1020) prior to sectioning on a microtome (Leica RM2245) at a thickness of 10 μm . Frozen organs were embedded in OCT and sectioned on a cryotome (Leica CM1850), at a thickness of 10 μm .

Histopathological analysis. For H&E staining, paraffin embedded slides were deparaffinised through submersion in xylene twice for 5 minutes before being treated sequentially in 100, 90, 70, 50% IMS, water, Harris haematoxylin and eosin (Surgipath, UK). Stained sections were dehydrated, mounted with DPX mounting medium (Sigma) and observed by light microscopy (Zeiss Axioplan). All image analysis was undertaken using FIJI (ImageJ 2.9.0) software.^{38,39}

Cell toxicity analysis. Cell death (apoptosis) was determined using a modified terminal deoxynucleotidyl transferase mediated deoxyuridine triphosphate nick end labelling (TUNEL) assay, using an anti-digoxigenin-peroxidase system (Oncor, Boehringer Mannheim, Mannheim, Germany). Paraffin embedded slides were treated following the recommendations of the manufacturer. In brief, slides were deparaffinised and rehydrated through sequential submersion in xylene, 100, 90, 80, 70% IMS and water. Samples were permeabilised with proteinase K and endogenous peroxidases were inactivated with H_2O_2 , before incubating with terminal deoxynucleotidyl transferase labelling mixture. Following termination of the labelling reaction, the samples were counterstained with methyl green, dehydrated and mounted with DPX mounting medium (Sigma). Slides were imaged on a NanoZoomer®-SQ at 20 \times magnification and the apoptotic index was formulated as a ratio of the apoptotic cell number to the total tumour cell number using FIJI (ImageJ 2.9.0) software.

Analysis of tissue level deposition. Examination of tissue level deposition of Dox and Cy5 conjugated polymers was undertaken using fluorescence microscopy. Cryo-sectioned slides were fixed in 4% paraformaldehyde for 20 minutes, prior to permeabilising the tissue with 0.1% Triton-X-100 for 20 minutes. For tissues treated with Cy5 conjugated polymers, phalloidin-FITC was employed. Slides were incubated with fluorescently conjugated phalloidin for 1 hour to stain the cytoskeleton of cells present. Following washing, tissues were incubated with DAPI (Fisher) prior to mounting with Fluoromount aqueous mounting media (Merck). For Dox conjugated polymers, no phalloidin staining was undertaken and following fixing in paraformaldehyde, sections were incubated with DAPI and mounted as detailed above. Slides were imaged on a Zeiss 200M microscope with following wavelengths: DAPI – excitation 365 \pm 60 nm, emission 445 \pm 50 nm; phalloidin-FITC – excitation 470 \pm 40 nm, emission 530 \pm 50 nm; Dox –

excitation 542 \pm 20 nm, emission 593 \pm 40 nm; and Cy5 – excitation 620 \pm 60 nm, emission 700 \pm 75 nm.

Statistical analysis

All experiments were performed at least three times and expressed as mean \pm SD. Data were analysed for statistical significance using one-way ANOVA. In all cases, differences were considered significant when **** p < 0.0001, *** p < 0.001, ** p < 0.01, and * p < 0.05.

Results and discussion

In vivo biodistribution of Cy-5 labelled polymers

Two pHPMA polymers were studied in the initial biodistribution studies, coded as HB-SS-HH and CE-HB-SS to indicate that they were of hyperbranched or pseudo-star chain-extended hyperbranched polymer architectures, and with disulfide bonds in their backbones. The synthesis and full characterisation of these polymers have been reported by us previously,³⁷ with information provided in the ESI.† HB-SS-HH is a hyperbranched HPMA structure with a central disulfide bridge, and with a hydrazide co-monomer for linkage to Dox *via* a pH-responsive hydrazone link when activated. CE-HB-SS is formed by chain extending HB-SS-HH polymer with HPMA, resulting in a non-degradable pHPMA corona being formed around the redox-responsive core, but, as with HB-SS-HH, contains a pH-responsive hydrazone link to Dox when activated. Representative structures of the Cy5 and Cy5 with Dox HPMA polymers are reported in Fig. 1a and b, respectively. TEM images and schematic representations of HB-SS-HH and CE-HB-SS are reported in Fig. 1c and d.

Cy5 labelled polymers were prepared to enable monitoring of the biodistribution of both polymers in healthy mice using IVIS imaging. Following intravenous administration of 100 μL of HB-SS-HH-Cy5 and CE-HB-SS-Cy5 NPs at doses of 2 mg mL^{-1} polymer, mice were imaged using an IVIS Spectrum at several timepoints. The recorded fluorescence, resulting from Cy5 signals, over 48 hours post-injection are reported in Fig. 1e and f for HB-SS-HH-Cy5 and CE-HB-SS-Cy5, respectively, with representative IVIS images at 1, 2, 6, 24 and 48 hours post-injection reported in Fig. 1g and h. At the beginning of the experiment, $n = 10$ subjects were dosed with the fluorescently labelled polymers and imaged at designated timepoints. Following 1-hour post-injection, $n = 2$ subjects were sacrificed to enable organ specific studies (see below), and this was repeated for the remainder of the timepoints. For HB-SS-HH-Cy5, peak fluorescence due to circulating polymer was observed between 2 and 6 hours post-injection, while for CE-HB-SS-Cy5, the detected maximum concentration was observed 2 hours post-injection. However, CE-HB-SS-Cy5 was retained to a higher extent at 24 hours, and given that the weight fraction of Cy5 in CE-HB-SS was \sim 50% that of Cy5 in HB-SS-HH (Table 1), the data suggest that the chain extended architecture yielded longer circulation times and reduced elimination compared to the hyperbranched polymer. In both



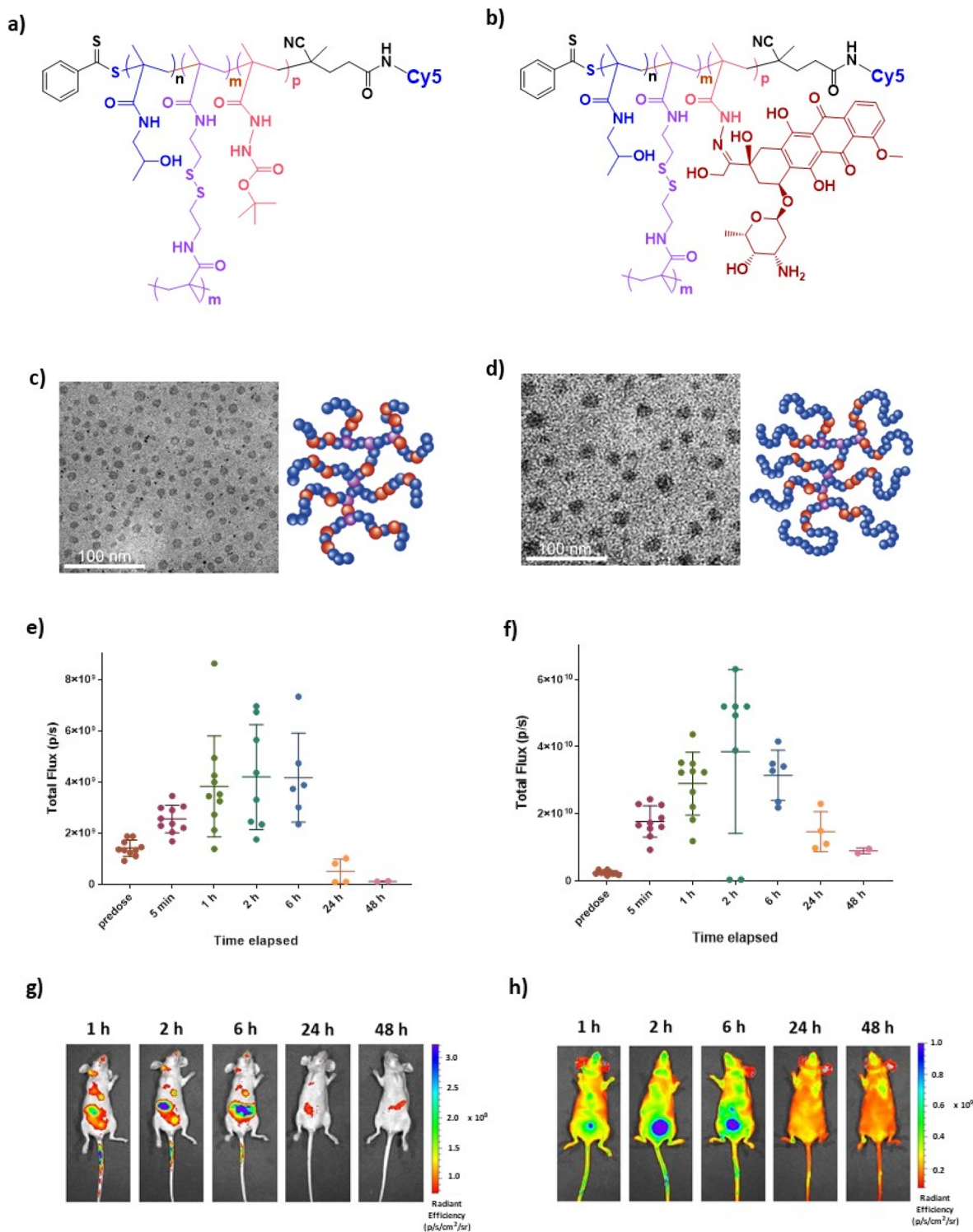


Fig. 1 Representative structures of (a) Cy5 conjugated and (b) Cy5 and Dox conjugated HPMA polymers. (c and d) TEM images and schematic representations of polymer architectures for HB-SS-HH and CE-HB-SS, respectively. (e and f) Recorded fluorescent signal intensities measured using IVIS imaging up to 48 hours post-injection of 100 μL of 2 mg mL^{-1} HB-SS-HH-Cy5 and CE-HB-SS-Cy5, respectively, in healthy mice. (g and h) Recorded IVIS images at 1, 2, 6, 24 and 48 hours post-injection of HB-SS-HH-Cy5 and CE-HB-SS-Cy5, respectively.

cases, clearance was noted 48 hours post-injection, an observation which was employed to determine the dosing schedule for the subsequent efficacy studies.

In order to investigate further the effects of polymer structures on biodistribution, at selected timepoints (1, 2, 6, 24 and 48 hours post-injection) subjects were sacrificed, organs were



excised and imaging was performed using an IVIS Spectrum. The levels of deposition within clearance pathways such as the liver, spleen, lung and kidneys were investigated, and the recorded fluorescence over 48 hours post-injection was determined as shown in Fig. 2a and b for HB-SS-HH-Cy5 and CE-HB-SS-Cy5, respectively. It was noted that polymer HB-SS-HH-Cy5, with lower molecular weight and physical size, exhibited low accumulation in liver, kidney and lung and moderate accumulation in spleen (radiance values of 1.7×10^4 , 8.2×10^4 , 3.7×10^4 and 1.1×10^5 photons $s^{-1} cm^{-2}$, respectively, 1 hour post injection). For the chain-extended hyperbranched polymer, CE-HB-SS-Cy5, higher fluorescence signals were recorded across all organs at all timepoints, which is in agreement with the signals reported for the whole animals as reported in Fig. 1e–h. In contrast to HB-SS-HH-Cy5, polymer CE-HB-SS-Cy5 exhibited highest accumulation in the kidney and lung, with lower accumulation levels noted for the liver and spleen (8.1×10^5 , 6.3×10^5 , 2.2×10^5 , 3.0×10^5 photons $s^{-1} cm^{-2}$, respectively, 1 hour post injection). The deposition levels within the heart were also of note due to the cardiotoxicity associated with Dox administration, with moderate accumulation noted for both polymers (1.3×10^5 and 3.5×10^5 photons $s^{-1} cm^{-2}$ for HB-SS-HH-Dox and CE-HB-SS-Dox, respectively, 1 hour post injection). Other organs of interest (pancreas, lymph node, brain and bladder) were also investigated, and the recorded fluorescence is reported in Fig. S3a and b.† Representative IVIS images of all organs at selected

timepoints are shown in Fig. S3c and d.† High accumulation was apparent in the pancreas and brain, with moderate accumulation in the bladder and lymph node for both polymers (for HB-SS-HH-Dox, 3.5×10^5 , 3.7×10^5 , 1.8×10^5 and 2.0×10^5 photons $s^{-1} cm^{-2}$, respectively; for CE-HB-SS, 5.1×10^5 , 5.7×10^5 , 3.9×10^5 and 6.1×10^5 photons $s^{-1} cm^{-2}$, respectively, 1 hour post injection).

To investigate organ-level distribution further, excised organs 1 hour post injection were sectioned and imaged using fluorescence microscopy. The Cy5 signal, which is indicative of polymer accumulation, was quantified and the results are in agreement with the IVIS analysis, depicting different biodistribution patterns of each pHPMA polymer (Fig. 2c and d for main organs, Fig. S3e and f† for additional organs). HB-SS-HH-Cy5 exhibited highest accumulation levels in the heart, kidney and liver 1 hour post-injection (mean relative fluorescent intensities of 75, 53 and 51 a.u., respectively), while CE-HB-SS-Cy5 was present at highest levels in the heart, kidneys and lungs (60, 55 and 38 a.u., respectively). Representative fluorescent images have been reported in Fig. 2e and f (Fig. S3g and h† for additional organs). The relative levels of kidney and liver accumulation were slightly at variance for these polymers in this study compared to our previous work at earlier time-points,³⁷ which we attribute to the changes in dosing regimens, but overall these data indicated greater organ retention for CE-HB-SS-Cy5, in agreement with the whole animal data.

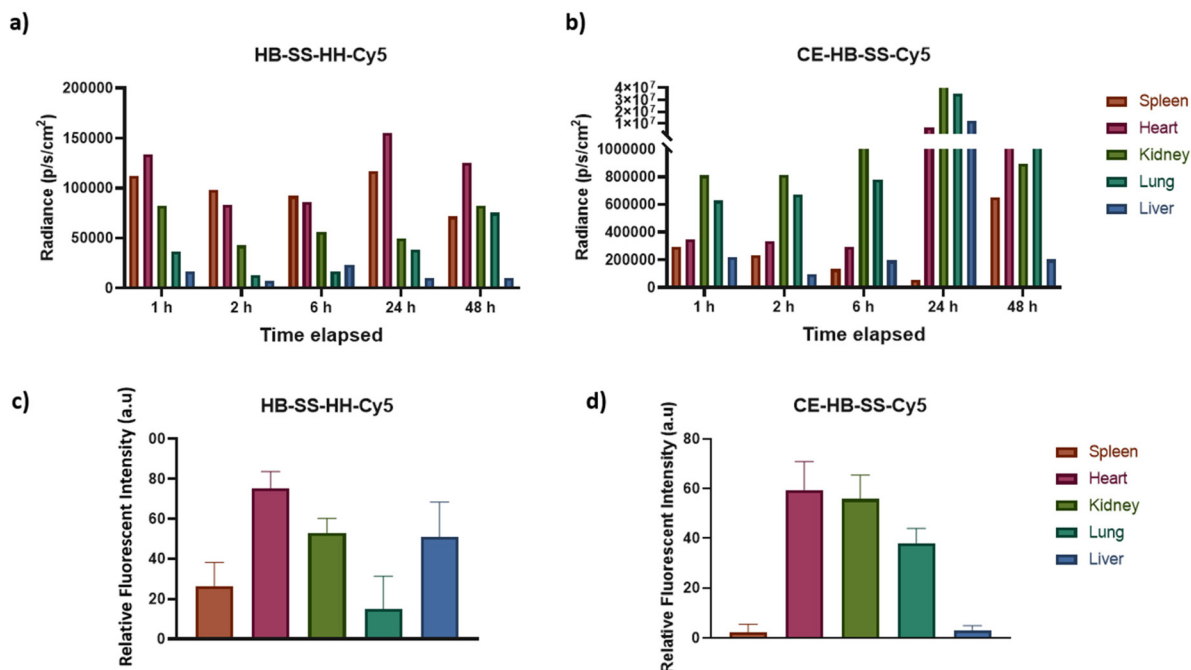
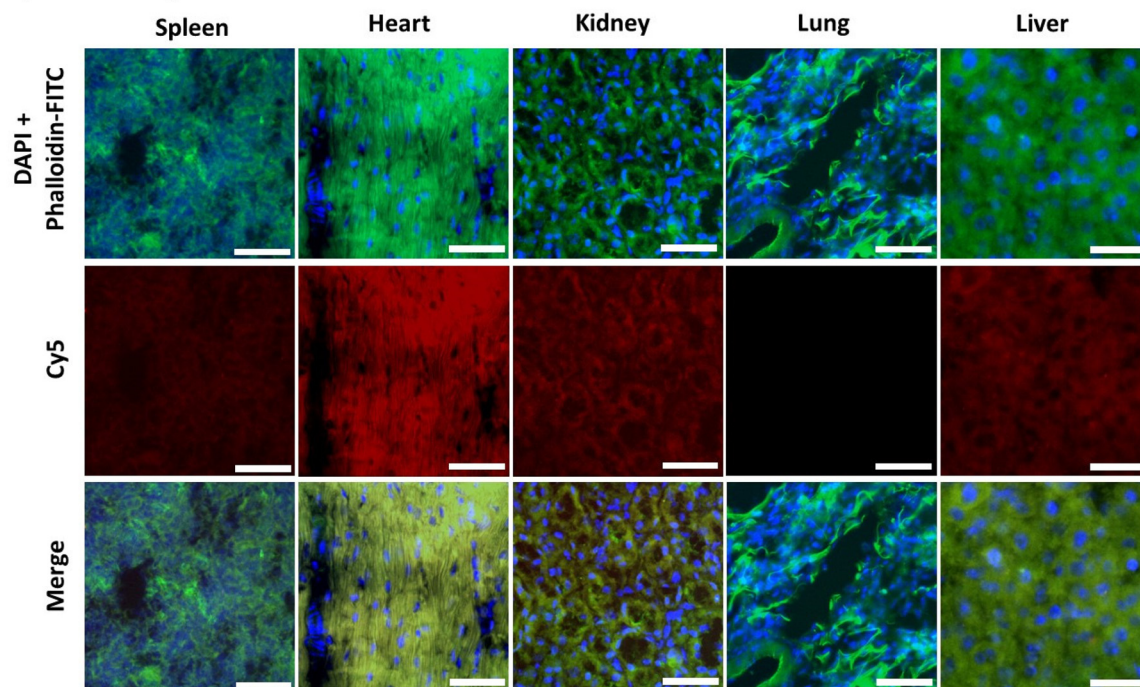


Fig. 2 (a and b) Recorded fluorescence intensities measured for selected organs (spleen, heart, kidney, lung and liver) using IVIS imaging up to 48 hours post injection of $100 \mu L$ of 2 mg mL^{-1} HB-SS-HH-Cy5 and CE-HB-SS-Cy5, respectively, in healthy mice. (c and d) Quantified Cy5 signal in excised organs of interest 1 hour post-injection for HB-SS-HH-Cy5 and CE-HB-SS-Cy5, respectively. Following excision, organs were sectioned to $10 \mu m$ thickness and analysis was carried out in several distinct regions of the sections. (e and f) Representative fluorescence microscopy images of corresponding organ sections 1 hour post-injection indicating polymer deposition of HB-SS-HH-Cy5 and CE-HB-SS-Cy5, respectively (scale bar $50 \mu m$; $20\times$; blue = DAPI, green = phalloidin-FITC, red = Cy5).



e) HB-SS-HH-Cy5



f) CE-HB-SS-Cy5

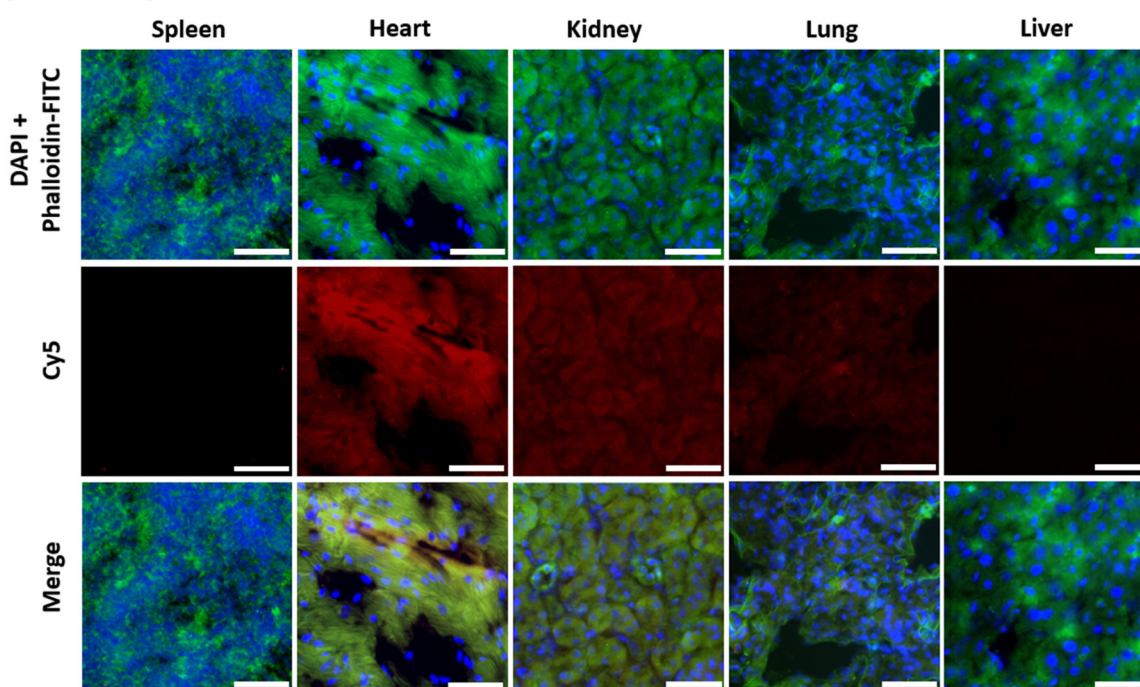


Fig. 2 (Contd).

Effects of polymer–doxorubicin dosing an orthotopic MB-MDA-231 breast cancer model

The anti-tumour efficacy and toxicity of the pHPMA–Dox conjugates were investigated in an orthotopic MB-MDA-231 fLuc breast cancer model. Tumour-bearing mice CD-1 NuNu mice

were treated with 100 μ L I.V. injections of saline (control), free Dox, and NP suspensions of HB-SS-HH-Dox, CE-HB-SS-Dox, HB-SS-HH-Cy5 and CE-HB-SS-Cy5 (1.7 mg kg⁻¹ Dox dose for all groups) twice weekly for up to 4 weeks. The dosing regime was chosen in order to probe the effects of differences in circulation time for the polymers compared to free drug and at the



lowest dose with which we considered we might observe therapeutic effects while minimising overall toxicity. In addition, we were interested in the effects the polymer–drug conjugates might have on non-target sites, as therapeutic window is crucial in any development of these materials for real clinical application. Accordingly, the overall dose selected (1.7 mg kg^{-1} Dox) was less than that we used in our prior work and several-fold lower than those adopted in other studies ($5\text{--}7.5 \text{ mg kg}^{-1}$ Dox dose).³⁶ The mice were observed daily for clinical symptoms, with no adverse effects noted, and the tumour volume and body mass were recorded over the course of the study. No significant variance in tumour volume or mouse weight was noted following HB-SS-HH-Cy5 and CE-HB-SS-Cy5 treatment as compared to the control group (Fig. S4a and b†). Additionally, no significant decrease in weight between Dox treated and control groups was noted, indicating no dose-related toxicity (Fig. 3a). Although there was an overall increase in mean tumour volume, as measured by a callipers and by the recorded bioluminescence of the tumours, across all treated groups and the control group, no significant differences were recorded for treatments with HB-SS-HH-Dox, CE-HB-SS-Dox and free drug (Fig. 3b and c). Photos of the tumours excised at the end of the study highlighted the variability of tumour size within each treatment group (Fig. 3d). Taken together, while

there was no toxicity observed following treatment with Dox–polymers and free Dox, there was no apparent anti-tumour effect as determined from tumour volume as compared to the control group.

Although there was an apparent lack of efficacy of the polymer–Dox conjugates against MB-MDA-231 tumours by conventionally reported methods, a deeper analysis was undertaken into the tissue level effects. Tumour deposition levels of Dox were quantified using fluorescence microscopy (Fig. 4a), with a significant increase in Dox deposition observed within groups treated with the polymer–drug conjugates as compared to the free Dox treated group, as demonstrated by the increase in recorded radiance ($57.7 \pm 4.6 \text{ a.u.}$ for HB-SS-HH-Dox, $49.8 \pm 2.9 \text{ a.u.}$ for CE-HB-SS-Dox and $43.5 \pm 4.1 \text{ a.u.}$ for free Dox). A significantly higher level of Dox in the tumours was observed for HB-SS-HH-Dox as compared to CE-HB-SS-Dox, indicating that the smaller polymer, both by molecular weight and hydrodynamic size, exhibits enhanced tumour penetration. Representative fluorescence microscopy images (Fig. 4b) highlight the increased accumulation of Dox when dosing the polymer–Dox conjugates as compared to free Dox.

In addition to increased tumour deposition of polymer–Dox as compared to free Dox, differences were noted during histopathological evaluation of H&E-stained tumour sections. For

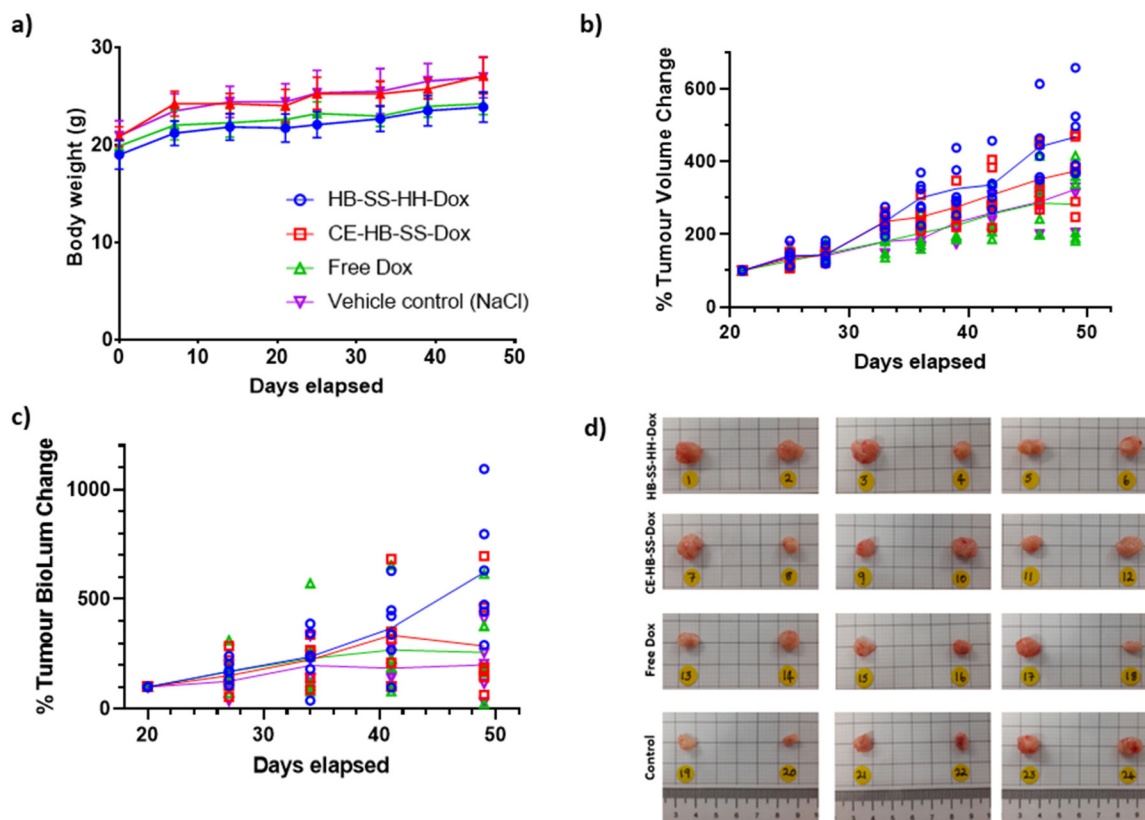


Fig. 3 Recorded (a) body weight of subjects, (b) tumour volume change as a percentage of pre-treatment, and (c) recorded bioluminescence change for tumour as a percentage of pre-treatment for control, free Dox, HB-SS-HH-Dox and CE-HB-SS-Dox (1.7 mg kg^{-1} Dox dose for each) treatment groups ($n = 6$) over the course of the study. (d) Images of tumours removed at the end of the study for HB-SS-HH-Dox, CE-HB-SS-Dox, free Dox and control groups.



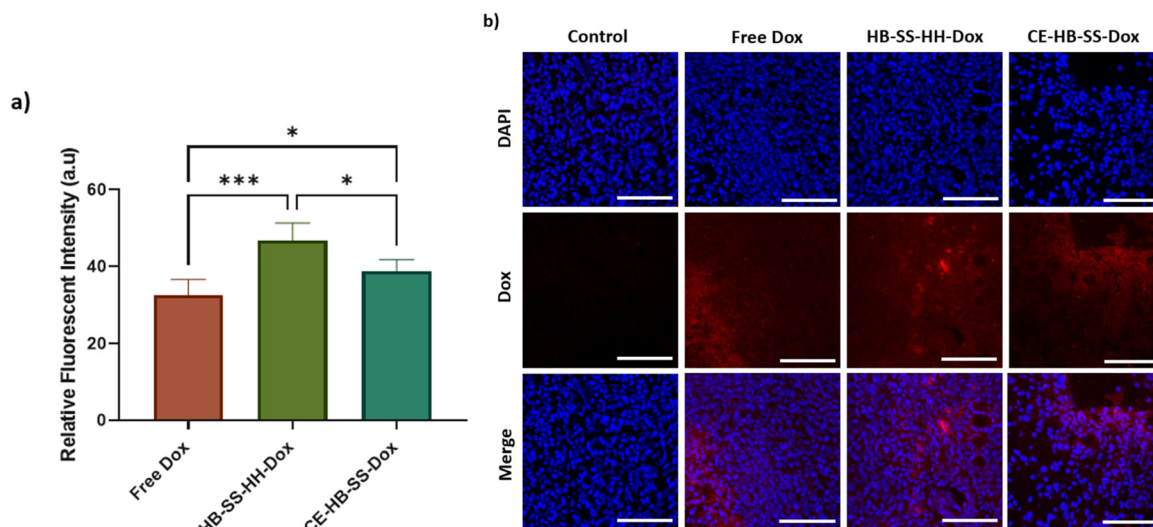


Fig. 4 (a) Recorded relative fluorescent intensities of tumour sections at the end of the study for control, free Dox, HB-SS-HH-Dox and CE-HB-SS-Dox treated groups ($****p < 0.0001$, $***p < 0.001$, $**p < 0.01$, and $*p < 0.05$ one-way ANOVA with Tukey's post-analysis). Following excision, organs were sectioned to 10 μm thickness and analysis was carried out in several distinct regions of the sections. (b) Representative fluorescence images of tumour sections indicating enhanced levels of Dox deposition for polymer–Dox conjugates as compared to free Dox treated groups (scale bar = 100 μm; 20x; blue = DAPI, red = Dox).

both HB-SS-HH-Dox and CE-HB-SS-Dox treated groups, areas of extensive necrosis and inflammatory cell infiltration (black arrows) are evident both at 10x and 40x magnification (Fig. 5). In the Dox treated group, scattered apoptotic cells are present (green circles), as evident by the dense eosinophilic cytoplasm, cellular shrinkage, and clear halo surrounding cells. Other organs which are typically associated with Dox toxicity, *i.e.* the heart, liver and spleen, were also evaluated (Fig. 6). The heart tissue in the control and Dox–polymer treated groups exhibited normal architecture, with some tissue degeneration noted for the free Dox treated group. In the free Dox treated groups, there is distortion of normal hepatic architecture, and the liver

cells exhibit hydropic degeneration (yellow arrows), which is denoted by swelling of hepatocytes. In liver sections for HB-SS-HH-Dox and CE-HB-SS-Dox, the tissue largely exhibited normal architecture, although a small portion of hepatic cells in the HB-SS-HH-Dox treated group showed degeneration. Similarly, the splenic tissue of mice treated with free Dox has a distorted architecture compared with the control, HB-SS-HH-Dox, and CE-HB-SS-Dox treated mice, which have organised areas of white pulp and red pulp. These data suggest that Dox conjugation to HPMA polymers improved accumulation in tumour cells while simultaneously decreasing accumulation in healthy tissues. The enriched drug concen-

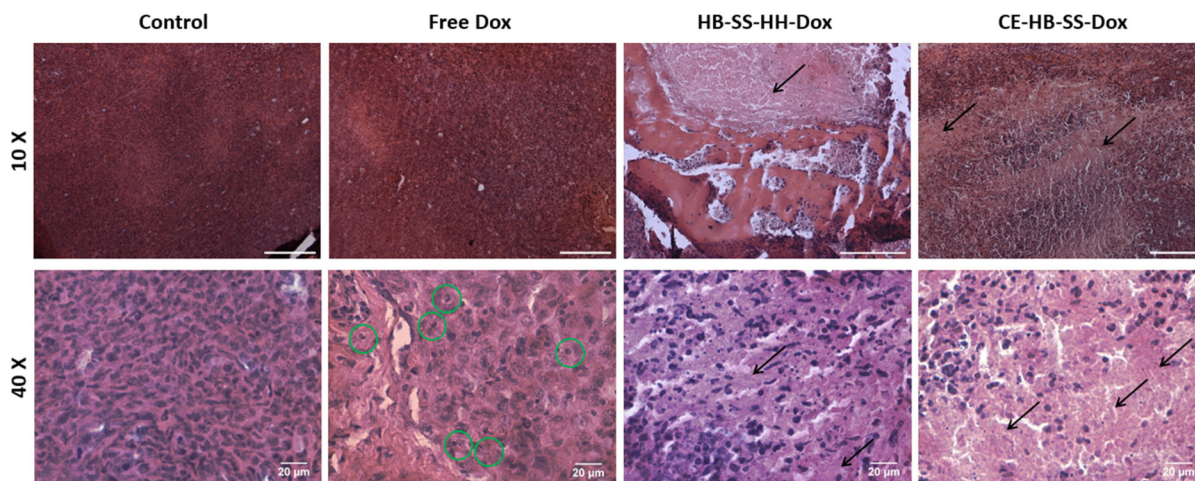


Fig. 5 Representative H&E images of tumours removed at the end of the study for control, free Dox, HB-SS-HH-Dox and CE-HB-SS-Dox treated groups taken at 10x magnification (top row, scale bar = 200 μm) and 40x magnification (bottom row, scale bar = 20 μm). Areas of necrosis and inflammatory cell infiltration have been highlighted with black arrows (←) and scattered apoptotic cells have been highlighted with green circles (○).



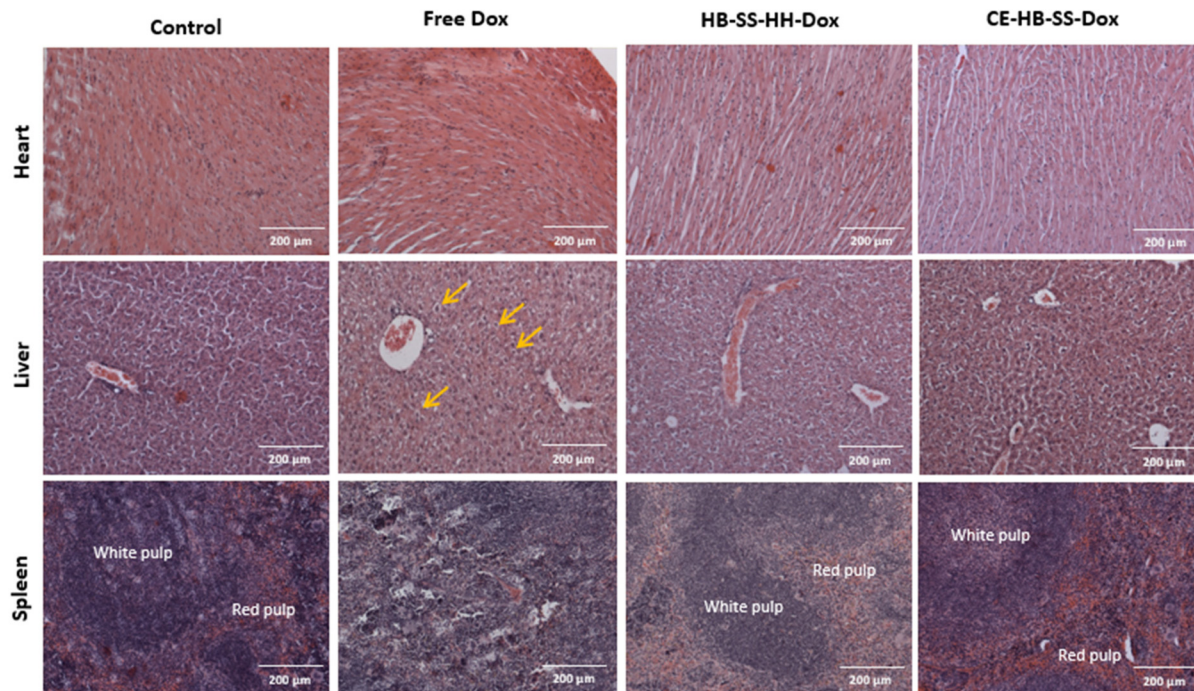


Fig. 6 Representative H&E images of heart, liver and spleen removed at the end of the study for control, free Dox, HB-SS-HH-Dox and CE-HB-SS-Dox treated groups taken at 10 \times magnification (scale bar = 200 μ m). In liver tissue, areas of hydropic degeneration have been indicated with yellow arrows (\leftarrow), and in splenic tissue, areas of organised red and white pulp have been labelled.

tration in tumours consequently resulted in enhanced apoptosis, with fewer toxic side effects noted in other organs.

Further experiments with the Cy5-labelled materials showed, as expected, that the polymers exhibited little toxicity to the tumours without drug molecules present (Fig. S5 \dagger). Additionally, the Cy5-labelled polymers exhibited little to no toxicity in the heart, liver, spleen, pancreas, kidney, brain, bladder, lung and lymph node, with normal architecture retained (Fig. S6 and S7 \dagger), indicating that the polymers were well-tolerated.

Levels of apoptosis in tumours and selected main organs following treatment with control, free Dox, HB-SS-HH-Dox and CE-HB-SS-Dox were quantified using a terminal deoxynucleotidyl transferase-mediated dUTP nick end labelling (TUNEL) assay (Fig. 7a and b). This assay involves the labelling of double-stranded DNA breaks, which are associated with apoptosis, with a marker that can be visualized *via* microscopy.⁴⁰ A co-stain was used to indicate regions of healthy cells, *i.e.* those with intact DNA. In this assay, healthy cells are stained green while apoptotic regions are stained brown, as shown in Fig. 7c for magnified images (10 \times) and Fig. S8 \dagger for whole section images. Images of the tumours showed increased levels of apoptosis, in comparison to the control, following treatments with free Dox and polymer-Dox conjugates (Fig. 7a). Significantly higher levels of apoptosis were observed following polymer-Dox treatment than with free Dox treatment ($43.8 \pm 3.4\%$ for HB-SS-HH-Dox, $31.5 \pm 6.3\%$ for CE-HB-SS-Dox and $21.4 \pm 3.3\%$ for free Dox). A significantly higher level of apopto-

sis was observed for HB-SS-HH-Dox as compared to CE-HB-SS-Dox, which correlates with the enhanced tumour deposition as noted in Fig. 4a.

Analysis of tissue from the heart indicated a significant increase in the levels of apoptosis for the free Dox treatment as compared to the control and the polymer-Dox treatments as highlighted in Fig. 7b ($22.6 \pm 2.5\%$ for free Dox treatment, $<1\%$ for control and polymer-Dox treatments). Importantly, the levels of apoptosis in the heart following treatment with HB-SS-HH-Dox and CE-HB-SS-Dox were comparable to that of the control group, with no significant increase noted. This observation indicates low levels of cardio-toxicity of both polymer prodrug systems in this model which, in agreement with the histopathological evaluation of H&E-stained tissues, suggests an important advantage for the pHPMA-Dox conjugates over the free drug, given the high levels of apoptosis noted for the free Dox treatment and the well documented side-effects observed following Dox treatments.⁴¹ Similarly for the liver, free Dox treatment exhibited a significant increase in apoptosis levels as compared to the control and polymer-Dox treatments ($36.4 \pm 3.2\%$ for free Dox, $6.5 \pm 4.8\%$ for HB-SS-HH-Dox, and $\leq 1\%$ for CE-HB-SS-Dox and control). Despite the apparent slightly elevated apoptosis levels observed for HB-SS-HH-Dox, this observation was found to be not statistically significant, indicating low hepatotoxicity of both of the polymer prodrugs in contrast to the reported hepatotoxicity of Dox treatments.⁴² Finally, a significant increase in levels of apoptosis was noted in the spleen for all



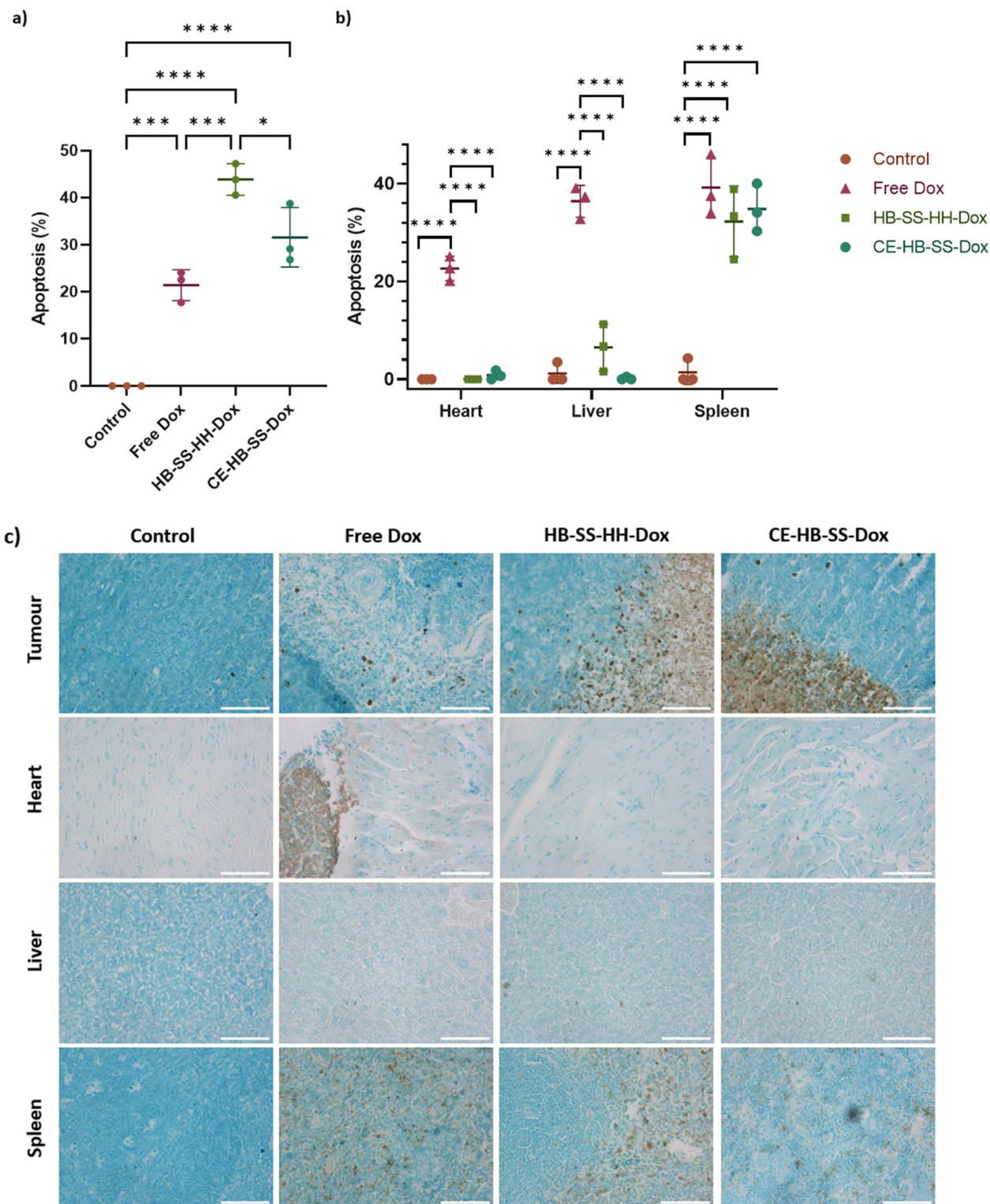


Fig. 7 (a) Quantification of apoptosis levels using TUNEL assay for control, free Dox, HB-SS-HH-Dox and CE-HB-SS-Dox treated groups for (a) tumours, and (b) heart, liver and spleen, removed at the end of the study (**** $p < 0.0001$, *** $p < 0.001$, ** $p < 0.01$, and * $p < 0.05$ one-way ANOVA with Tukey's post-analysis). (c) Representative images following TUNEL assay staining for sections of tumour, heart, liver and spleen, across control, free Dox, HB-SS-HH-Dox and CE-HB-SS-Dox treated groups, taken at 10 \times magnification (green/blue = healthy cells, brown = apoptotic cells).



Dox treatment groups as compared to the control group ($39.2 \pm 6.2\%$ for free Dox, $32.3 \pm 7.3\%$ for HB-SS-HH-Dox, $34.8 \pm 4.9\%$ for CE-HB-SS-Dox and $1.4 \pm 2.5\%$ for control group). The conjugation of Dox to the polymer structures decreased the observed splenic toxicity, an observation which has previously been reported in the literature.⁴³

The results combined from biodistribution, tissue and organ accumulation/deposition and quantification of toxicity showed some significant potential advantages of the pHPMA polymer Dox conjugates over the free drug and indicated the importance of evaluating anti-cancer efficacy by methods beyond those measuring tumour volume. The data also suggested that transport of the polymer pro-drugs was different from free drug not only in circulation but also in tissue. In previous work we have shown that polymer nanoparticles with size ranges of 30–160 nm can traffic through 3D cancer cell spheroids more effectively than small molecule drugs,^{37,44,45} and others have described particle ‘hopping’ and transcytosis mechanisms which may enhance penetration of nanoparticles compared to conventional drug compounds.^{46–50} It is likely that the enhanced penetration of the pHPMA pro-drugs in the tumour regions was affected, at least in part, by transcytosis of the polymers from the cells adjacent to vasculature and that this was a more effective process than passive diffusion of Dox into these regions. However, there will have been other factors involved in the intra- and inter-cellular trafficking, and also in the anti-cancer activities of the polymer–doxorubicin conjugates. There have been many studies describing the effects of nanoparticle size and shape in terms of transport into tumours,^{34,48,51–54} and factors such as surface hydrophilicity, protein corona formation and variation have long been known to influence the biodistribution and end-fate of polymers and nanoparticles.^{55,56} However, for the pHPMA polymers in this study, there were two additional specific factors to consider, *i.e.* the reducible disulfide links in the pHPMA branching regions and the acid-cleavable hydrazone link between doxorubicin and the polymer main chains. The hydrodynamic diameters of the polymers as measured by DLS after their preparation were 10 nm (HB-SS-HH) and 15 nm (CE-HB-SS), and their sizes as measured by TEM were 9.0 ± 1.8 nm ($n = 30$) and 14.8 ± 3.6 nm ($n = 21$), respectively. In the absence of reducing conditions the particle sizes did not change over 24 h but in the presence of 10 mM concentrations of glutathione (GSH), both polymers displayed particle sizes below 10 nm as measured by DLS within 24 h.³⁷ While it is known that GSH is present at much higher concentrations in the intracellular space than in the bloodstream, it is not possible to discount the enhanced penetration of the polymers in this study in tumours to partial fragmentation in hypoxic regions of the tumours. In addition, in some solid tumours, the extracellular space can be more acidic, and any acid-catalysed hydrolysis of the hydrazine linkage of Dox to the pHPMA backbones may have altered the conformation and thus apparent ‘size’ of the polymers in these regions. Previous reports have indicated changes in conformation of pHPMA polymer pro-drugs due to

drug conjugation,⁵⁷ and thus any release of Dox, even if incomplete from each polymer chain, may have altered the apparent size and shape of the pHPMA materials and affected their penetration through the tissue. It is further possible that gradual release of Dox in this way accounted for the greater extent of apoptosis and necrosis in the tumours as opposed to other organs with more ‘normal’ pH and redox environment. In turn, this may partly account for the apparent lack of correlation between apoptosis and overall tumour volume reduction by the polymer pro-drugs and free Dox in this study. As noted, we dosed at a lower level of Dox equivalent than in our prior work in order to probe effects of polymer size and shape rather than to maximise cytotoxicity, and therefore it is perhaps not too surprising that although we saw enhanced apoptosis in tumour tissue with the polymer pro-drugs compared to free doxorubicin, the overall levels were not sufficient to induce tumour shrinkage.

It is also important to frame some of the discussions with the significant caveats around the actual sizes and shapes of the pHPMA materials *in vivo*. Although we saw no evidence for size changes or aggregation of the polymers *in vitro*, in transit in the body the particles may have adsorbed proteins the bloodstream or bound to ECM components in tissue, altering their effective size and shape in specific biological locations. It has previously been shown that some pHPMA polymer nanoparticles are highly resistant to protein corona formation,⁵⁸ and so we think it unlikely that the polymers in this study would have an adsorbed protein layer, however a size measurement made in buffer or in a dehydrated state in TEM cannot be directly related to the size of the polymers in plasma. Furthermore, under flow these polymers may not have retained their ‘hyperbranched’ and ‘pseudo-star’ morphologies, and adopted more anisotropic architectures in regions of high blood flow or interstitial pressures.⁵⁹ The sizes of both HB-SS-HH and CE-HB-SS as measured by DLS and TEM are above the normal accepted threshold for renal clearance, but DLS sizes are based on an algorithm which assumes spherical and non-interacting particles, which may not be the case *in vivo*. Therefore, within certain tissues such as the kidney, it may have been possible for the smaller HB-SS-HH polymer to have deformed to non-spherical structures with at least one dimension below 10 nm, accounting for the more rapid elimination of this polymer compared to CE-HB-SS. Changes in polymer architecture between branched and star architectures have previously been shown to alter renal accumulation for polymers with molar masses above the renal exclusion limit⁵⁹ and it is possible that a similar phenomenon may have occurred here, albeit for a hyperbranched compared to a chain-extended hyperbranched polymer. Finally, the changes in tissue penetration for the two polymers, with HB-SS-HH showing greater tumour accumulation compared to CE-HB-SS, may be considered a function of multiple parameters, including polymer size, deformability under flow, and degradation kinetics. Polymer CE-HB-SS was not only higher in molar mass than HB-SS-HH (126 kDa compared to 58 kDa) but the longer chains extending away from the disulfide functional cross-



links may have rendered this polymer less susceptible to reductive degradation *in vivo* than HB-SS-HH, due to reduced access of glutathione into the 'core' of CE-HB-SS. If these hypotheses are correct, polymer CE-HB-SS would be expected to fragment less in a reductive tumour microenvironment than the smaller and possibly more 'open' polymer HB-SS-HH. However, a full analysis of polymer conformation and variations of degradation kinetics in individual tissues *in vivo* is not currently possible with existing imaging techniques. Therefore, in the absence of definitive data, it is possible only to infer that the behaviour of the materials, as reported by their penetration into tissue and the effects of drugs released by them *in situ*, are related to their original structures and architectures.

Nevertheless, the results obtained indicated that the polymer prodrugs were better at inducing apoptotic changes in cells as compared to free drugs, and thus might display improved therapeutic properties if developed further. It could also be suggested that the physical properties of the polymer prodrugs, such as shape, size, architecture and molar mass, had an impact on the therapeutic effect of the systems as highlighted by the increased tumour deposition and hence, significantly increased levels of apoptosis observed for the smaller hyperbranched HB-SS-HH-Dox as compared to the CE-HB-SS. We are currently preparing variants of these polymers with a range of release mechanisms and drug cargoes to probe these phenomena further.

Conclusions

This study has shown that polymer prodrug pHPMA nanoparticles exhibit some potential as therapeutics for triple negative breast cancer. No significant side effects were noted in mice following treatment with either HB-SS-HH-Cy5 or CE-HB-SS-Cy5, and very low organ damage was apparent, as determined by H&E analysis. *In vivo* efficacy studies revealed no significant change in tumour volume between the control group or the free Dox, HB-S-HH-Dox and CE-HB-SS-Dox treated groups, but tissue level analysis indicated variance between the efficacy of polymer–Dox conjugates and free Dox. Higher levels of Dox in tumours were noted by fluorescence microscopy for polymer–Dox treated groups as compared to the free Dox treated group, which in turn resulted in an increase in the levels of apoptosis, as determined qualitatively by H&E analysis and quantitatively by a TUNEL assay. Furthermore, a decrease in cardio-, hepato- and splenic toxicity was noted, and importantly levels of cardiotoxicity for the polymer–Dox conjugates were comparable to the control group. These data demonstrate that the 3D architecture, molar mass and size of HPMA-based nanoparticles are important factors governing the biological activity of polymer–Dox conjugates. In particular, polymer HB-SS-HH-Dox, the smaller of the polymer–Dox conjugates studied here in terms of hydrodynamic diameter and molar mass, exhibited higher levels of tumour deposition and increased apoptosis compared to its

CE-HB-SS-Dox counterpart. Overall, the enhanced apoptosis induction in cancerous cells and reduced cardio-, hepato- and splenic toxicity of the pHPMA-based polymer pro-drugs suggest that these materials should be investigated further across different dosing regimes and pre-clinical models for enhanced anti-cancer efficacy.

Data availability

All relevant data are available on request *via* the University of Nottingham or cameron.alexander@nottingham.ac.uk.

Author contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

Conflicts of interest

The authors declare no conflict of interest.

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