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Do metastable polymorphs always grow faster? Measuring and comparing growth kinetics of three polymorphs of tolafenamic acid†

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The phenomenon of molecular crystal polymorphism is of central importance for all those industries that rely on crystallisation for the manufacturing of their products. Computational methods for the evaluation of thermodynamic properties of polymorphs have become incredibly accurate and *a priori* prediction of crystal structures is becoming routine. The computational study and prediction of the kinetics of crystallisation impacting polymorphism, however, have received considerably less attention despite their crucial role in directing crystallisation outcomes. This is mainly due to the lack of available experimental data, as nucleation and growth kinetics of polymorphs are generally difficult to measure. On the one hand, the determination of overall nucleation and growth kinetics through batch experiments suffers from unwanted polymorphic transformations or the absence of experimental conditions under which several polymorphs can be nucleated. On the other hand, growth rates of polymorphs obtained from measurements of single crystals are often only recorded along a few specific crystal dimensions, thus lacking information about overall growth and rendering an incomplete picture of the problem. In this work, we measure the crystal growth kinetics of three polymorphs (I, II and IX) of tolafenamic acid (TFA) in isopropanol solutions, with the intention of providing a meaningful comparison of their growth rates. First, we analyse the relation between the measured growth rates and the crystal structures of the TFA polymorphs. We then explore ways to compare their relative growth rates and discuss their significance when trying to determine *which polymorph grows faster*. Using approximations for describing the volume of TFA crystals, we show that while crystals of the metastable TFA-II grow the fastest at all solution concentrations, crystals of the metastable TFA-IX become kinetically competitive as the driving force for crystallisation increases. Overall, both metastable forms TFA-II and TFA-IX grow faster than the stable TFA-I.

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1. Introduction

Crystal polymorphism is a common phenomenon¹ of fundamental significance for a number of chemical industries that rely on crystallisation for the purification, development, manufacturing and assurance of storage stability of their products. The ability of a compound to form different polymorphs, with different close-packing arrangements and different physicochemical properties, can impact many steps of a product's research and development pipeline: from production through formulation to product registration and lifecycle

management.² Our inability to predict and control crystal polymorphism is strictly related to our lack of understanding of the balance between thermodynamic and kinetic aspects of crystallisation in polymorphic systems.³

The thermodynamics of crystal polymorphism, as reflected in various phase equilibria, are well established.² Modern crystal structure prediction (CSP) methods applied to a given molecule allow for the computational prediction of an array of plausible crystal structures. Their corresponding lattice energies then provide, with increasing accuracy and reliability, a prediction of the thermodynamically most stable polymorph.^{4,5} However, predicting which polymorph will appear under various experimental crystallisation conditions is a much more difficult exercise. This is because crystallisation kinetics (*i.e.*, relative rates of nucleation and growth of various forms) rely on a delicate balance between not only thermodynamic factors but also kinetic ones. It is the latter that have so far evaded our attempts at robust prediction. Often the so-called Ostwald's Rule of Stages⁶⁻⁸ is used to justify the expectation

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that metastable forms will appear first during crystallisation. Only very recently have the inherent flaws in this rule been exposed.^{9,10} Here Cardew and Davey¹⁰ used the previously measured kinetic data for the polymorphs of gestodene to predict the conditions under which either or both polymorphs might crystallise. This approach demonstrates the potential of being able to predict not only thermodynamic stabilities but also relative kinetics of polymorphic forms. Such predictive capability does not yet generally exist, however, and collection, analysis, and dissemination of good quality experimental data on the kinetics of nucleation and growth of polymorphs is a prerequisite if a predictive link between CSP landscapes and crystallisation conditions is to be established.¹¹

Such kinetic data are unfortunately rare, existing in only a handful of studies including cimetidine,¹² L-histidine,¹³ piraacetam,^{14,15} glycine,^{16,17} stearic acid,^{18,19} *o*-amino benzoic acid,²⁰ paracetamol,²¹ gestodene²² and aripiprazole (see ESI†).²³ Of these, only in the case of gestodene have both nucleation and growth rates been reported. Otherwise, only growth rate data is available. Even in the case of growth rates, comparison of kinetics across polymorphs is not straightforward and the choice between overall bulk measurements and individual face growth rates depends very much on the ultimate purpose the data will serve.^{24,25} Single crystal growth measurements are potentially attractive, but are normally measured only for a few selected directions. With rate data on few crystal dimensions alone, the interpretation of growth kinetics to explain the appearance or to aid the prediction of polymorphs can be difficult, especially if there exist evident differences between the polymorphs' morphologies or arrangements of intermolecular interactions in their crystal structures. Overall, there is no clear consensus on how to compare growth rate data across polymorphs.

Here, we study the growth kinetics of three polymorphs of tolfenamic acid (TFA), a model compound with nine polymorphs known to date.^{26–29} The stable form I (TFA-I) and the metastable form II (TFA-II, computed to be +0.9 kJ mol⁻¹ less stable than TFA-I at 300 K)²⁹ can be crystallised from a variety of organic solvents and can often be found concomitantly.^{30,31} Recently, a new metastable polymorph of TFA, form IX (TFA-IX, computed to be +2.3 kJ mol⁻¹ less stable than TFA-I at 300 K),²⁹ was obtained from highly supersaturated isopropanol (IPA) solutions kept at low temperature (also crystallised concomitantly with both TFA-I and TFA-II).²⁹ The concomitant crystallisation of these three polymorphs suggests that their nucleation and growth kinetics can be competitive.³² The system, thus, can serve as an excellent model for the measurement and comparison of growth kinetics across three distinct polymorphs which, to the best of our knowledge, has never been done before. The aim of this study was to develop links between growth rates of polymorphs and their crystal structures, in order to aid CSP in the quest of predicting which of the potential polymorphs will actually appear experimentally. The first important challenge in the development of such links concerned how the kinetics of crystal growth of polymorphs should be compared. Here, we explore and discuss different approaches to this problem. In doing this, we rationalise the

late-appearance of TFA-IX and we set some important methodological recommendations for comparing and predicting crystal growth rates of polymorphs.

2. Methods

2.1 Materials

Tolfenamic acid was purchased from Fluorochem Ltd; isopropanol (IPA) from either Fischer Scientific UK Ltd or from Honeywell Research Chemicals, in both cases with a purity > 98%.

2.2 Crystal seeds

All crystal seeds were grown from IPA. Crystal seeds of TFA-I and TFA-II were grown by slow evaporation while seeds of TFA-IX were grown by cooling as described previously.²⁹ Between 23 and 35 crystal seeds were used for the growth measurements for each of the polymorphs.

2.3 Cutting of needle crystal seeds

Because of their high aspect ratios, the seed crystals of TFA-I and TFA-II used for our single-crystal growth measurements were cut with a blade to ensure that they would not grow out of the microscope camera's field of view: this ensured that measurements could be carried out for a sufficient time. Crystals of TFA-I and TFA-II are brittle and can be damaged quite easily as a result of cutting. Crystals that showed evident defects after cutting were discarded, and all crystal seeds were partially dissolved prior to each measurement to reduce superficial defects.

2.4 Face indexing of TFA crystals

Crystals of TFA-I and TFA-IX were indexed using X-ray diffraction data collected on an Agilent Supernova diffractometer using Mo-K α monochromated radiation (0.71073 Å). The data analysis and crystal shape determination were performed with the CrysAlisPro software.³³ Experimental face indexing of the needle-crystals of TFA-II was not possible, due to the very small size of the crystals tested. Instead, crystal morphologies of TFA-II were indexed by comparison with TFA-I and with predictive morphological models (see ESI†).

2.5 Solubilities and supersaturation

The relative supersaturation of each TFA polymorph, σ_i , was calculated using eqn (1), where x is the concentration of TFA in IPA and x_i^* is the equilibrium solubility of each polymorph in IPA at 25 °C, obtained from previously reported experiments.^{29,30} The molar fraction solubilities of TFA-I, TFA-II and TFA-IX in IPA at 25 °C are 0.0039, 0.0043 and 0.0048, respectively. Using these solubilities and the relationship $\Delta G = RT \ln(x_i/x_i^*)$, the free energy difference of TFA-II and TFA-IX with respect to the stable TFA-I were calculated to be +0.2 kJ mol⁻¹ and +0.5 kJ mol⁻¹, respectively.

$$\sigma_i = \frac{x - x_i^*}{x_i^*} \quad (1)$$



2.6 Single crystal growth experiments

The growth of single crystal seeds of TFA polymorphs at 25 °C in IPA solutions of various concentrations above saturation was monitored *in situ* using a temperature-controlled growth cell and an inverted microscope.^{9,34} For each solution concentration, a crystal seed was manually selected and placed in a 0.4 ml glass cuvette, which was then completely filled with a warm, filtered solution of the required concentration. The sealed cuvette was placed in the temperature-controlled cell (Huber Ministat 230 circulator with a temperature probe) and the crystal seed was partially dissolved by circulating hot water (around 40 °C for this work) to eliminate any superficial defects. After dissolution, the temperature was lowered and held constant at 25 °C. Images of the growing seed crystals were collected at intervals of about 10 seconds using an Olympus CKX41 inverted microscope equipped with an Olympus UC30 camera and pre-processed using the Olympus StreamEssentials software. The growth of each crystal seed was monitored for about two hours, during which the solution supersaturation was assumed to remain constant. The growth rates of 35, 26 and 23 seed crystals were measured for TFA-I, TFA-II and TFA-IX, respectively. Experiments were carried out using IPA solutions with TFA molar fraction concentrations in the range of 0.0043 to 0.0063, which were carefully prepared so that growth rates of at least four single crystal seeds could be measured for selected supersaturations. Six different supersaturations were considered for TFA-I (σ_I : 0.1–0.6), and five for TFA-II and TFA-IX (σ_{II} : 0.12–0.45; σ_{IX} : 0.05–0.30).

2.7 Crystal dimensions of TFA needles as a function of time

Images of growing needle crystals of TFA-I and TFA-II as a function of time were processed by computing the minimum-area bounding box³⁵ in each of the images. This resulted in the derivation of two box dimensions, the length (L) and the width (W), as a function of time to describe the growth of needle morphologies. Although identification of specific facets in the growing needles was not possible, the L and W box dimensions are aligned with the $[100]$ and the $[001]$ crystallographic directions in both polymorphs.

2.8 Crystal dimensions of TFA-IX blocks as a function of time

Derivation of growing dimensions of TFA-IX crystals as a function of time was significantly more complex. Since all dimensions of a blocky crystal are significant, growth measurements had to be carried out by orienting the crystals in two perpendicular orientations as shown in Fig. 1 (denoted as orientations A and B). In orientation A, the crystallographic a and b axes were parallel to the image xy plane, and the distance between the crystals' centroids and the edges formed by the $\{10\bar{1}\}$ facets and by the $\{11\bar{1}\}$ facets with the $\{001\}$ facets were measured. In orientation B, the distances from the centroid to the edges formed by the $\{001\}$ facets with the $\{10\bar{1}\}$ facets and the edges formed by the $\{11\bar{1}\}$ facets were measured. These distances were measured as a function of time from the sequence of

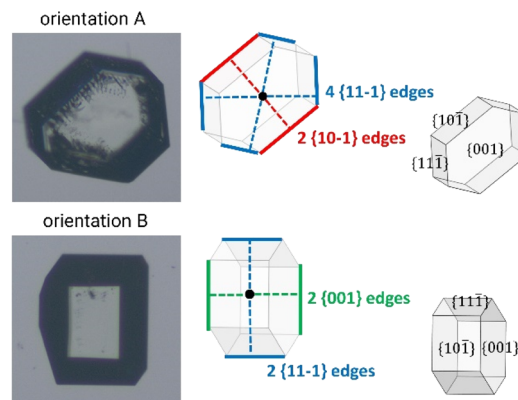


Fig. 1 The two orientations in which the crystal seeds of TFA-IX were measured. In orientation A, the distances of two edges of the $\{10\bar{1}\}$ facets and of four edges of the $\{11\bar{1}\}$ facets from the crystal's centroid were measured. In orientation B, the distances of two edges of the $\{11\bar{1}\}$ facets and of two edges of the $\{001\}$ facets from the crystal's centroid were measured.

crystal images using a methodology recently developed by Offiler *et al.*²⁵ Unfortunately, positioning the crystals in orientation B was difficult, and only 5 crystals in this orientation were measured. As an alternative, information about the growth in the direction perpendicular to the image plane was obtained by measuring the projection of the slanted facets on the image plane (the L_{xy} dimension, as denoted in Fig. 2) and considering the corresponding interfacial angle. The height of the TFA-IX crystals obtained this way was also used to calculate perpendicular facet distances by applying a geometrical correction to the experimentally measured centroid-edge distances, as discussed in the ESI.†

2.9 Linear growth rates (G)

Growth rates for various linear crystal dimensions (G) were obtained from the line of best fit of the measured crystal dimensions data against time. In all cases, the dimensions were expressed relative to the centroid of the crystal. Crystal linear growth rates have units of velocity, length per unit of time ($\mu\text{m min}^{-1}$). For TFA-I and TFA-II, growth rates for the length and width dimensions were derived and denoted as $G_{[100]}$ ($dL/2dt$) and $G_{[001]}$ ($dW/2dt$) due to the dimensions aligning with those crystallographic directions in both polymorphs. For TFA-IX, $G_{\{10\bar{1}\}}$ and $G_{\{11\bar{1}\}}$ were derived from orientation A and $G_{\{001\}}$ and $G_{\{11\bar{1}\}}$ from orientation B. All rates are derived relative to the centroid of the crystal (or bounding box).

2.10 Computation of crystal volumes for the different forms

For TFA-I and TFA-II, the shape of the needle crystals was approximated with a cylinder of dimensions L and W , having volume $V = (\pi/4) \times L \times W^2$. For TFA-IX, the centroid-edge distances were converted to centroid-facet distances as described in the ESI.† The latter were then used for the generation of a convex hull according to the Wulff's construction method, whose volume was calculated using an in-house



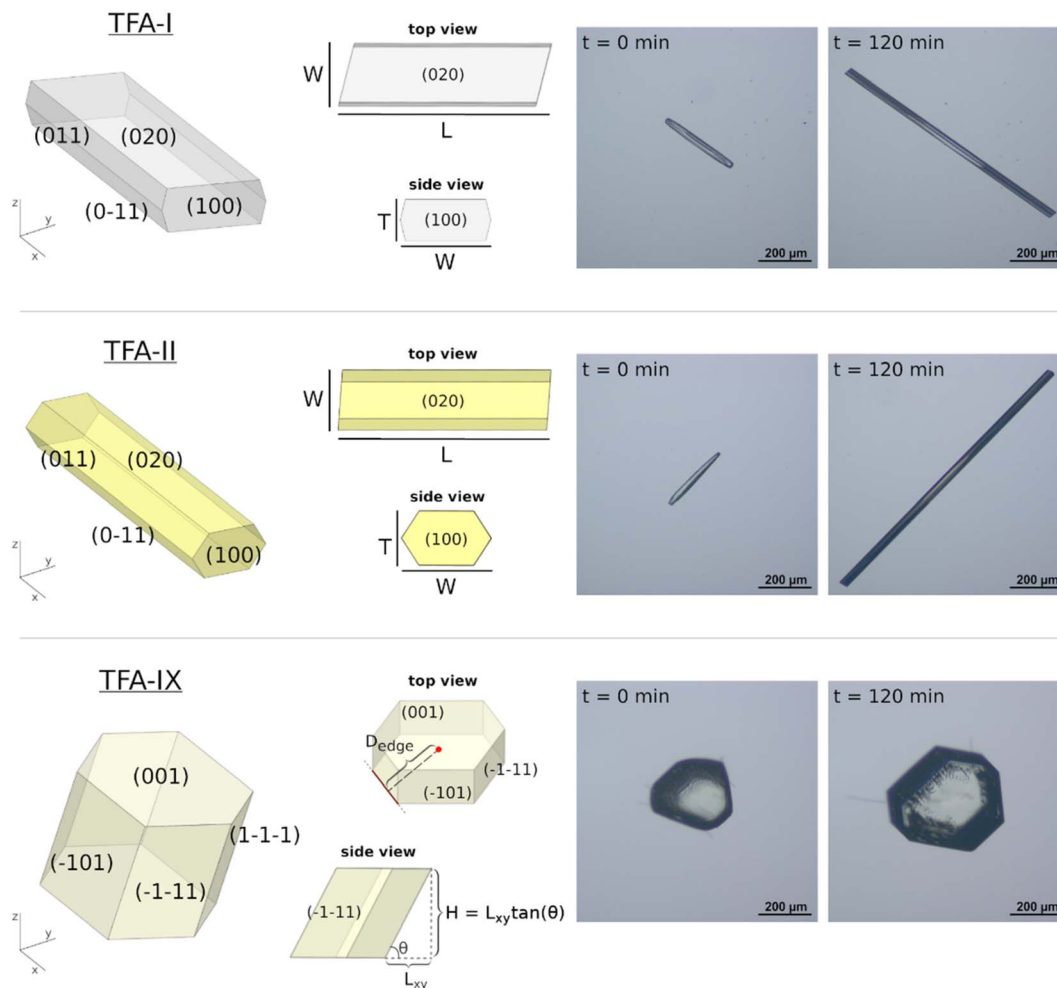


Fig. 2 Crystal morphologies of TFA-I, TFA-II and TFA-IX. Left side: 3D projections; view along the z axis (xy plane, top view) corresponding to the perspective of experimental images and showing the dimensions measured (for TFA-I and TFA-II the length L and the width W ; for TFA-IX the centroid-edge distances, of which only one is shown in the figure); view along the x axis (yz plane, side view) showing the relations used to approximate the crystals' thickness (T) or height (H). Right side: Micrographs of TFA crystals at the start ($t = 0$ min) and at the end ($t = 120$ min) of growth experiments.

Python code described in the ESI† and named the Particle Property Calculator (PPC).

2.11 Volume growth rates (G_{vol})

The computed experimental crystal volumes as a function of time were used to derive volume growth rates ($G_{\text{vol}} = dV/dt$) for all polymorphs. Volume growth rates are intrinsically dependent on the crystal size and shape (and thus the overall area of the growing crystal), as discussed later. These rates have units of volume per unit of time ($\mu\text{m}^3 \text{min}^{-1}$).

2.12 Volume-equivalent diameter growth rates (G_{D})

The calculated experimental (non-spherical) crystal volumes were equated to sphere volumes for which the corresponding diameters were derived. Those were then used to derive the volume-equivalent diameter growth rates (G_{D}). G_{D} rates have units of velocity, length per unit of time ($\mu\text{m} \text{min}^{-1}$). Further details are provided in the ESI.†

2.13 Calculation of crystal growth volumes after a specific time (V_{growth})

The change of crystal volume after a fixed time t can be calculated to evaluate the influence of linear growth rates on the overall crystal volume change. Crystal growth volumes for the TFA polymorphs were calculated using the relevant crystal linear growth rates making some assumptions on the size of crystal seeds at growth time 0. In all cases, the initial crystal volume was fixed to an average volume of about $8 \times 10^6 \mu\text{m}^3$, typical of the average seeds used in our experiments. Different seed volumes were also tested as explained later and in the ESI.†

For TFA-I and TFA-II, the volume at time t and solution concentration x was calculated using eqn (2), where L_0 , W_0 and T_0 are the initial crystal dimensions and $G_L(x)$, $G_W(x)$ are the derived average experimental linear growth rates which are concentration-dependent.

$$V(t, x) = \frac{\pi}{4} [L_0 + G_L(x)t][W_0 + G_W(x)t]^2 \quad (2)$$



For TFA-IX, the volume at time t and concentration x was calculated from facet distances and their experimental growth rates using the PPC algorithm described above and using eqn (3) where the initial distances $d_{\{hkl\},0}$ were proportional to the average distances of the seeds measured for our experiments and were scaled to achieve the desired initial volume.

$$V(t, x) = f(d_{\{hkl\},0} + G_{\{hkl\}}(x)t) \quad (3)$$

2.14 Isothermal seeded batch de-supersaturation experiments for TFA-I and TFA-II

Crystal seeds of TFA-I and TFA-II for batch desupersaturation experiments were prepared by crash cooling supersaturated solutions of TFA in IPA. The fraction of fines in the products was reduced by temperature cycling and washing with water:IPA (50 : 50) mixtures. The population size distributions (PSDs) of the seeds and of the products of the experiments were characterised with the method developed by Neoptolemos *et al.*³⁶ All experiments were conducted in IPA at 25 °C (298 K) in a 75 ml reactor using a 4-blade PTFE impeller at a stirring rate of 130 rpm. Temperature was controlled using a Huber Ministat 230 circulator. Suspensions of TFA (commercial form I) in IPA were dissolved and kept at the dissolution temperature for 1 hour. The temperature was then decreased to 25 °C and kept constant. Seeds were added as soon as the temperature inside the reactor was constant within 0.1 °C. The change in concentration over time was monitored using an ATR-UV Hellma 661.872 immersion probe connected to a Zeiss MCS621-CLD600 spectrometer, collecting data every 5 seconds. Absorbance data from solutions of known concentration were used to build a calibration model using Partial Least Squares (PLS) regression. Experimental data were analysed using a simple Population Balance Model (PBM) where only growth was considered (see ESI† for details).

2.15 DFT calculation of attachment energies

Attachment energies for the TFA polymorphs were calculated using dispersion-corrected DFT. The crystal structures with CSD³⁷ refcodes KAXXAI01 (TFA-I), KAXXAI (TFA-II) and KAXXAI11 (TFA-IX) were used for the calculations. All calculations were performed using VASP 5.4.4 (ref. 38–41) with the PBE functional⁴² and PAW^{43,44} pseudopotentials as described in detail the ESI.† The effect of IPA on calculated attachment energies was estimated with an implicit solvent model using the VASPsol module.^{45,46}

3. Results

3.1 Crystal morphologies of TFA polymorphs

Prior to the measurement of any linear growth rates, a full morphological and face indexing characterisation of the three polymorphs of TFA was carried out. Fig. 2 shows the crystal morphologies of TFA-I, TFA-II and TFA-IX, together with a depiction of the crystal dimensions measured during our single-crystal growth experiments and the approximations used to calculate the crystal thickness or height. The sizes of the

measured crystal seeds differed considerably between the needle crystals of TFA-I and TFA-II and the blocky crystals of TFA-IX, with the volumes of the latter calculated to be at least one order of magnitude larger than those of the former. Although the size of the crystal seeds has a profound effect on the volume growth rates, the measured linear growth rates were assumed size-independent, within the expected experimental variabilities, which is a reasonable assumption for the crystal sizes considered here (100–1000 μm).^{47,48}

3.2 Unusual growth of TFA needle crystals

During the single crystal growth experiment of TFA needles, darkened edges of the extremities of the TFA needle crystals were observed (Fig. 3), revealing that the growth along the needle direction was the result of the simultaneous advancement of several aligned smaller needle fronts to give the appearance of lamellae. This mode of growth has been previously observed for irbersartan and other organic compounds^{49,50} including urea,⁵¹ and has also recently been reported for plates of benzamide form I.⁵² In most of our single crystal growth experiments, the growth of each of these *individual fronts* along the needle axis proceeded independently until a common growth front was established (Fig. 3a and b). The only exception observed was that of TFA-II crystals at high supersaturation, where individual constituent micro-needles kept growing independently with exceptionally fast growth rates (Fig. 3c).

We cannot exclude that the observed growth behaviour may have been caused by defects introduced by our need to cut the needle crystals for our measurements. We note, however, that the experimental deviations found for the growth rates along the needle direction between different samples were small, with coefficients of variation (ratio of standard deviation to mean value) between 10% and 30%, which are comparable to values reported for other single-crystal growth kinetics experiments.¹⁵ Parallel micro-needles were observed also for crystals of TFA that were not cut, suggesting the possibility that this growth behaviour is peculiar to TFA grown from IPA. We highlight the case of a very large crystal of TFA-I (about 1.5 cm long and 2 mm wide) which was left in an IPA solution for six months. Although this crystal appeared as single to the naked-eye (or with a conventional microscope), analysis under a polarising microscope showed that it was composed of different parallel fragments all with the same pleochroic response (Fig. S13†).

3.3 Linear growth rates of TFA polymorphs

Average linear growth rates for crystal dimensions of TFA-I, TFA-II and TFA-IX in IPA at 25 °C are presented in Fig. 4 and 5 and reported in Tables S4 and S5 in the ESI.†

Fig. 4 shows the growth rates along the length and width dimensions of the TFA-I and TFA-II needles. For both polymorphs, growth along the length direction ($G_{[100]}$, Fig. 4a) is about two orders of magnitude faster than the along the width direction ($G_{[001]}$, Fig. 4b). At identical relative supersaturation conditions, crystals of the metastable TFA-II polymorph grow faster than crystals of TFA-I along both needle dimensions, although differences are more evident for the length direction.



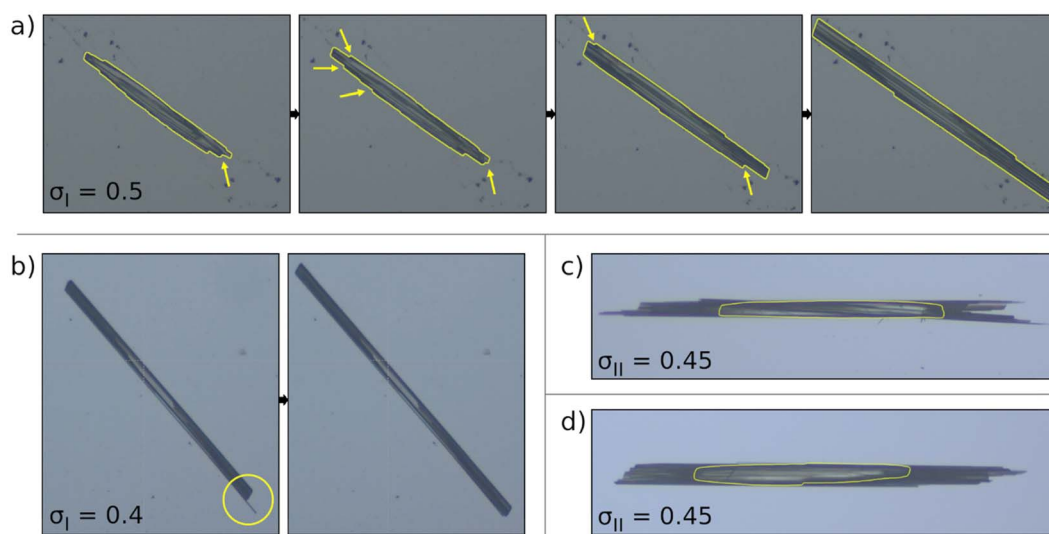


Fig. 3 (a) The growth of a crystal of TFA-I proceeding at intermediate supersaturation. The yellow arrows indicate the individual edges that will join the leading edge in the following image. (b) An individual thin needle (shown in the yellow circle) is reached by the main edge during the growth of a crystal of TFA-I. (c) and (d) Crystals of TFA-II growing at the highest supersaturation considered in this work. In these conditions, the individual edges keep growing separately without ever joining fronts. The yellow outline represents the crystal seed at $t = 0$.

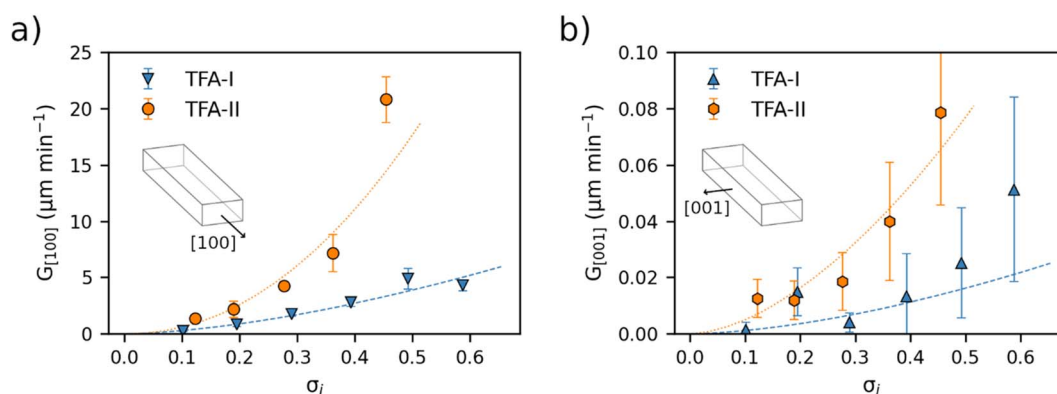


Fig. 4 Average growth rates ($\mu\text{m min}^{-1}$) in IPA at 25 °C of needle crystals of TFA-I (blue) and TFA-II (orange) as a function of relative supersaturation. (a) Growth rates along the crystal length, [100] direction. (b) Growth rates along the crystal width, [001] direction. The lines in each plot were calculated by fitting a power law growth model and serve as a guide for the eye.

Fig. 5 shows the average growth rates of the visible edges of crystals of TFA-IX as measured in our experiments. The growth rates of TFA-IX along different directions have comparable magnitude, consistent with the growth of this form as blocky crystals. Both the measured edge rates and the geometrically corrected facet growth rates in TFA-IX (Table S5[†]) range from about $0.04 \mu\text{m min}^{-1}$ at low supersaturation to about $0.5 \mu\text{m min}^{-1}$ at the highest supersaturation considered here, and they are one order of magnitude faster than the width and one order of magnitude slower than the length of TFA-I and TFA-II.

Fitting of crystal growth models (screw dislocation mechanism, birth and spread mechanism and rough growth mechanism) to experimental data of linear growth rates for all three polymorphs was attempted but found to lead to inconclusive results (see ESI[†]). For TFA-I and II, as a result of the unconventional needle growth mechanism observed, fits using

conventional growth models⁵³ had little significance. For TFA-IX, instead, no evident *unconventional* growth mechanism was directly observed for TFA-IX, and growth models were fitted to the corrected perpendicular facet growth rates. Only the growth rates of the {001} facets of TFA-IX were found to be fitted reasonably well with a birth and spread mechanism model ($R^2 = 0.982$), but no good fits were found for the {10-1} and the {11-1} facets.

3.4 Linear growth rates and energetics at the molecular level

Attachment energies⁵⁴ of the three TFA polymorphs, calculated using an implicit solvent model for IPA, are reported in Table 1. The calculated energies were found to show a good qualitative agreement with the observed crystal morphologies and the measured growth rates. For TFA-I and TFA-II, the attachment energies of facets along the length dimension are significantly



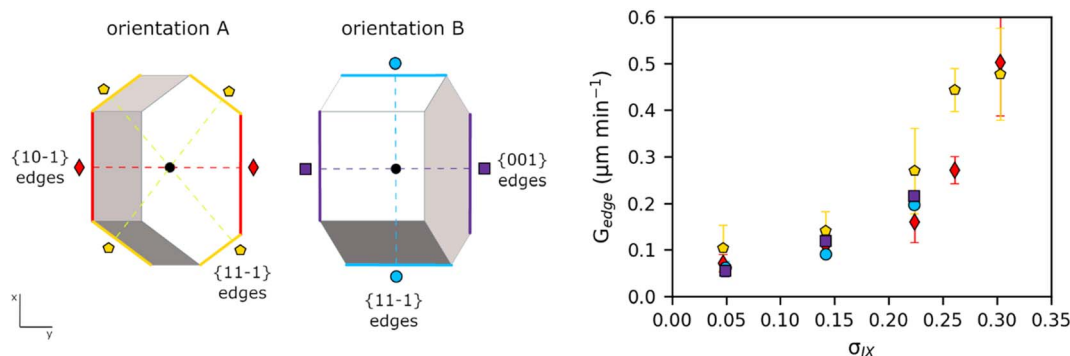


Fig. 5 Average centroid-edge growth rates of TFA-IX crystals in IPA at 25 °C as a function of relative supersaturation for the two orientations A and B measured in this work. Orientation A crystals (18 measured) have the {001} faces parallel to the image plane (the xy plane); orientation B crystals (5 measured) have the {10-1} faces parallel to the image plane (the xy plane). Growth rates of symmetry-equivalent directions were grouped together. The yellow pentagon symbols and the light blue circle symbols correspond to the edges of the {11-1} facets in orientations A and B, respectively; the red diamond symbols correspond to the edges of the {10-1} facets in orientation A; the purple square symbols correspond to the edges of the {001} facets in orientation B.

Table 1 Calculated attachment energies for relevant crystal facets of TFA polymorphs with their corresponding growth directions. The energy values were calculated using an implicit solvent model for IPA using a dielectric constant $\epsilon = 19.3$

| Form | Planes | M^a | $d_{hkl}/\text{\AA}$ | Growth dimension | $E_{att,\{hkl\}}/$ $\text{kJ mol}^{-1} \text{ M. I.}^b$ | Relative E_{att} morphology volume | |
|--------|--------|-------|----------------------|------------------|--|---|-----|
| TFA-I | {020} | 2 | 16.09 | T | -19.1 | 0.57 | 1.5 |
| | {011} | 4 | 7.57 | W | -42.0 | 0.28 | |
| | {100} | 2 | 4.55 | L | -76.2 | 0.13 | |
| TFA-II | {020} | 2 | 10.95 | T | -19.3 | 0.40 | 1.0 |
| | {011} | 4 | 11.94 | W | -26.9 | 0.47 | |
| TFA-IX | {10-1} | 2 | 3.68 | L | -81.9 | 0.11 | 2.5 |
| | {10-1} | 2 | 9.14 | — | -34.4 | 0.33 | |
| | {001} | 2 | 14.54 | — | -41.5 | 0.36 | |
| | {11-1} | 4 | 5.92 | — | -59.8 | 0.31 | |

^a Facet multiplicity. ^b Morphological importance.

more stabilising (with values around -80 kJ mol^{-1}) than for the facets defining the width and thickness (around -30 kJ mol^{-1} and -19 kJ mol^{-1} , respectively), reflecting the observed needle anisotropy for these two polymorphs. We note that, although the attachment energies of TFA-II along the length dimension are more stabilising than those of TFA-I, the calculated values do not reflect the significant difference of experimental growth rates along this direction found from our experiments. This observation is in accordance with known limitations of the attachment energy model, especially when calculations are performed for needle-forming systems.⁵⁵ For TFA-IX, the calculated attachment energies along different directions (between -34 and -60 kJ mol^{-1}) have smaller differences, and their relative order correlates well with the corrected growth rates of the corresponding facets (Fig. S24[†]). Also, in accordance with experimental growth rates, the attachment energies of TFA-IX have intermediate values compared to those found for both TFA-I and TFA-II in either the length or the width direction.

The computed relative volumes of crystal morphologies predicted using the attachment energy model have been previously used as an indication of possible kinetic advantage when comparing polymorphs.^{56,57} In our case, the relative volumes

calculated for the attachment energies morphologies of TFA-I, TFA-II and TFA-IX were 1.5, 1.0 and 2.5, respectively. Therefore, growth rates predicted with the attachment energy model find TFA-II to be the slowest growing polymorph, followed by TFA-I and finally TFA-IX. We will discuss later how these relative volumes compared with the volumetric growth data obtained from our experiments.

As for the continuous intermolecular interactions controlling the growth of these polymorphs (chains), the growth along the needle axis of TFA-I and TFA-II is entirely dominated by aromatic stacking ($\sim -44 \text{ kJ mol}^{-1}$ in strength).²⁹ For TFA-IX, chains are also present but involve alternating hydrogen bonds between carboxylic groups and stacking of chlorine-substituted rings which form pseudo-continuous chains along the [101] direction, as well as chains of translation-related molecules interacting through t-type contacts between their aromatic rings. Intermolecular interactions in TFA-IX also have an intermediate strength ($\sim -23 \text{ kJ mol}^{-1}$) compared to the interactions found in TFA-I and TFA-II along their length ($\sim -44 \text{ kJ mol}^{-1}$) and along their width ($\sim -13 \text{ kJ mol}^{-1}$), as calculated in ref. 29 using DFT-d.



In summary, all energetic considerations point towards TFA-I and TFA-II having anisotropic growth behaviour with length growth directed by strong aromatic interactions and width and thickness growth directed by very weak interactions. TFA-IX, by contrast, is significantly more isotropic in its growth behaviour, with a mixture of interactions of intermediate strength along all significant crystal directions. The nature and strength of the interactions in the TFA polymorphs correlate well with the measured linear growth rates.

3.5 Growth rates comparisons

3.5.1. Overview and challenges arising from comparing linear growth rates. In this section, we attempt to answer the question “which of the three polymorphs of TFA grows fastest?” by considering the data collected during single-crystal growth experiments. Our objective is to show how linear growth rates (*i.e.*, the growth rates along specific crystal dimensions) can be used to estimate the relative overall growth rates of the polymorphs so that their growth kinetics can be compared. This is relevant in the case of polymorphic systems because direct measurements of overall growth rates with batch methods can often be complicated by unwanted phase transformations. The intent is thus to determine how growth rates of different polymorphs measured from single crystal experiments (as in the present case) are to be compared in order to assess which experimental conditions would lead to the preferential growth of a polymorph or concomitant growth of several of them.

To embark in further comparisons of growth kinetics of the TFA polymorphs, it is important to consider that different polymorphic forms have different solubilities, and thus a solution of a given concentration will be more supersaturated with respect to the stable polymorph, while the supersaturation of metastable polymorphs will be lower. As a result, the conclusions drawn from comparing growth rates can vary depending on whether the comparison has been carried out against solution concentration or relative supersaturation of each form. For example, in the case of piracetam,^{14,15} the metastable form II was reported to have faster growth rates than the stable form III based on a comparison against supersaturation of each form; if the same data are plotted against solution concentration, however, the stable form III is found to actually grow faster than the metastable one.

In the case of the linear growth rates of TFA polymorphs presented here, a comparison against relative supersaturation (Fig. 4 and 5) or against solution concentration, as shown in Fig. 6, leads to the same conclusion despite the difference in solubility between the stable TFA-I and the metastable TFA-II (+0.2 kJ mol⁻¹ relative free energy) and TFA-IX (+0.5 kJ mol⁻¹ relative free energy). Again, TFA-IX is found to grow at an intermediate rate (in all its dimensions) between the length and width of the TFA-I and TFA-II needles. Although linear growth rates suggest that the growth kinetics of the TFA polymorphs follow the order TFA-II > TFA-IX > TFA-I, additional attention needs to be paid if the crystal morphologies of the forms are considerably different, like in the present case. For example, since both TFA-I and TFA-II have comparable needle

morphologies, it is intuitive to state that at solution concentrations above the solubility of TFA-II, TFA-II grows faster than TFA-I since both the length and the width growth rates of TFA-II are slightly higher than the length and width growth rates of TFA-I. Such comparison is not straight forward when comparing the kinetics of growth of the TFA-I or TFA-II needles with the blocky crystals of TFA-IX. This difficulty was also found in a previous study which compared the growth kinetics of two polymorphs of paracetamol.⁵⁵

To compare overall kinetics of growth across polymorphs, therefore, one ought to either compare overall mass growth rates or volumetric growth rates (the two can be related by considering crystal densities), rather than just growth rates along specific crystal dimensions. It must be stressed, however, that while growth rates measured along linear dimensions can be size-independent and thus amenable to a straight comparison without crystal size assumptions (this was the case for our system, however other systems may show significant size-dependent growth or growth rate dispersion), volumetric growth rates are intrinsically size dependent. For example, for an idealised spherical crystal growing isotropically with a constant radius growth rate expressed as $G_r = \frac{dr}{dt}$, the change of volume ($V = \frac{4}{3}\pi r^3$) as a function of time ($G_{vol} = \frac{dV}{dt}$) will be proportional to its surface area, since $\frac{dV}{dt} = \frac{dV}{dr} \frac{dr}{dt} = 4\pi r^2 \frac{dr}{dt}$.

Given this, in the following sections, we present different ways of comparing the kinetics of growth of the TFA polymorphs through calculation of crystal volumes, either directly from images collected during our growth experiments, or by simulations of the effect of linear growth rates on the change of crystal volume over time. We also discuss the great significance in the assumptions made, approximations and limitations of our approach and show that the question “which polymorph grows faster?” does not have a simple answer even when crystal volumes are considered.

3.5.2. Comparing volume growth rates derived from experimental data. The volume growth rates (G_{vol}) derived from our single crystal growth experiments for all three TFA polymorphs were obtained as explained in the Methods section. Volume growth rates are plotted against relative solution supersaturation (left) and concentration (right) in Fig. 7. The experimentally derived volumetric growth rates appear to indicate that TFA-IX crystals grow faster than TFA-II and TFA-I crystals at all IPA solution concentrations. As noted before, the seed crystals of TFA-IX were much larger than those measured for TFA-I and TFA-II, and the fact that G_{vol} of TFA-IX is found to be greater than TFA-I and TFA-II is a direct consequence of crystals of TFA-IX having a larger surface area. Thus, although volume growth rates may provide a direct indication of overall polymorph growth kinetics, they can be used for this task only after their dependence on the nature of the various crystal seeds (size and shape) has been established, as illustrated by our results.

3.5.3. Comparing equivalent diameter growth rates derived from experimental data. In order to achieve a comparison of



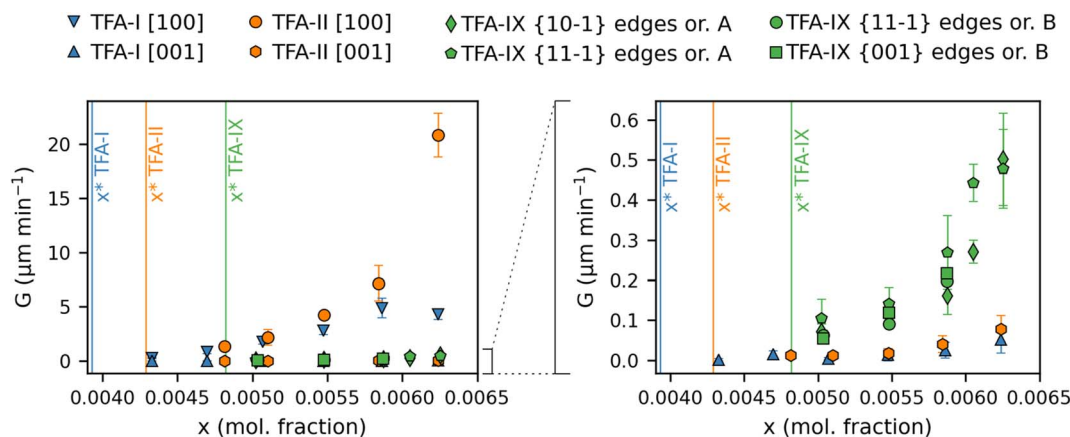


Fig. 6 Direct comparison of experimental linear growth rates of TFA-I (blue), TFA-II (orange) and TFA-IX (green) in IPA solutions plotted against molar fraction solution concentration. The vertical lines indicate the equilibrium solubility of the polymorphs in IPA at 25 °C. The plot on the right shows a magnified portion of the plot on the left.

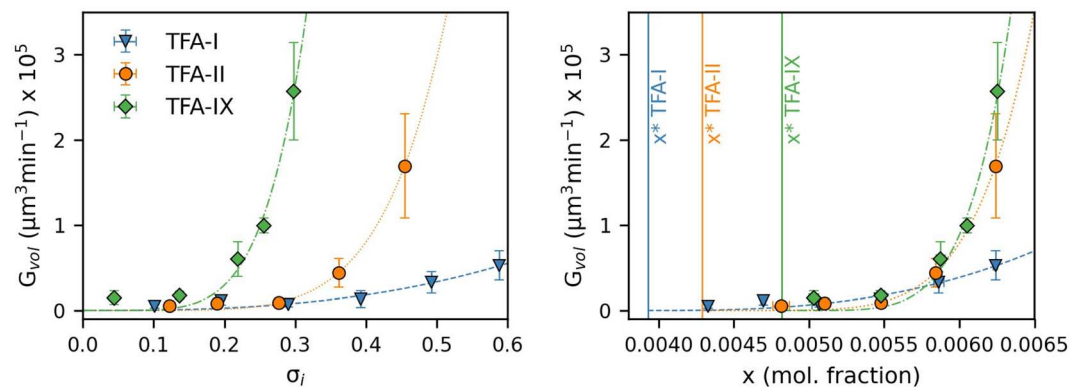


Fig. 7 Average volume growth rates of TFA-I (blue), TFA-II (orange) and TFA-IX (green) single crystals in IPA solutions plotted against relative supersaturation (left) and solution concentration (right). The vertical lines indicate the equilibrium solubility of the polymorphs in IPA at 25 °C. The dashed lines in the figure represent fits of a power law growth model.

volume of growing polymorphs with a minimised dependence on the crystal seed size (see ESI[†]), the experimental volumes can be equated to those of spheres and the growing rate of the diameter of such spheres can be calculated as a function of solution concentration (G_D). This approach is commonly used when describing the evolution of particle size distributions for populations characterised using a single chord length, as in the case of measurements performed using Focused Beam Reflectance Measurements (FBRM).⁵⁸

Fig. 8 shows the equivalent diameter growth rates of the TFA polymorphs as obtained from our growth experiments as a function of relative supersaturation and solution concentration. Compared to the volume growth rates presented in the previous section, the resulting picture here is notably different. G_D data show that TFA-II grows the fastest at all solution concentrations, followed by TFA-I at lower solution concentrations and by TFA-IX at higher solution concentrations (above $x = 0.0063$). If the same data are presented as a function of relative supersaturation, however, TFA-IX is found to grow as fast as TFA-II.

3.5.4. Effect of crystal size on volume and equivalent diameter growth rates. The effect of different seed volumes on the derived G_{vol} and G_D was simulated for three different IPA solution concentrations for which experimental growth rates for all three TFA polymorphs were measured ($x = 0.0055$, 0.0059 and 0.0063). Fig. 9 shows the dependence of the simulated G_{vol} and G_D on the seed dimensions for the highest concentration ($x = 0.0063$), while the remaining data are provided in the ESI[†].

Our simulations show a very strong dependence of G_{vol} on the volume of the crystal seed. As expected from its intrinsic relationship, crystal seeds with larger volumes (thus larger surface areas) result in larger volume growth rates. Such dependence is considerably minimised when considering the simulated growth rates of equivalent diameters, G_D , especially for TFA-IX. The blocky crystal morphology and the near-isotropic linear growth rates of this polymorph mean that choosing a sphere to describe the change of volume over time of its crystals is more appropriate than in the case of TFA-I and TFA-II, which in contrast have needle morphologies and very anisotropic growth rates.



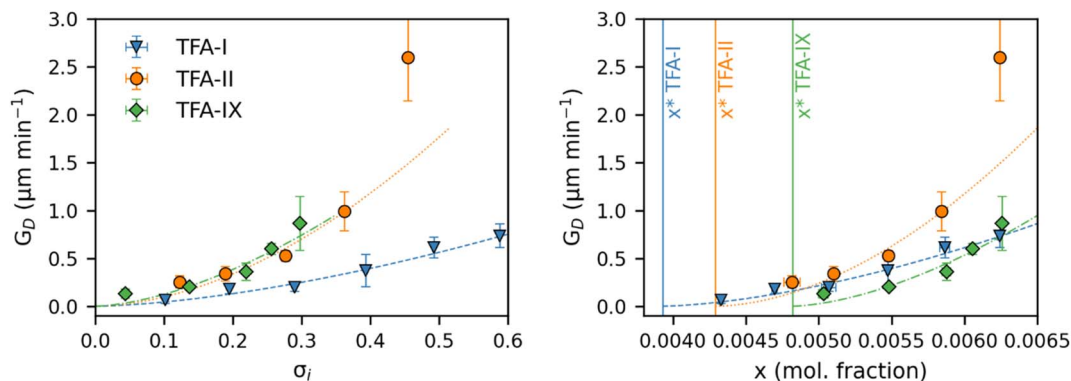


Fig. 8 Average equivalent diameter growth rates of TFA-I (blue), TFA-II (orange) and TFA-IX (green) single crystals in IPA solutions plotted against relative supersaturation (left) and solution concentration (right). The vertical lines indicate the equilibrium solubility of the polymorphs in IPA at 25 °C. The dashed lines in the figure represent fits of a power law growth model.

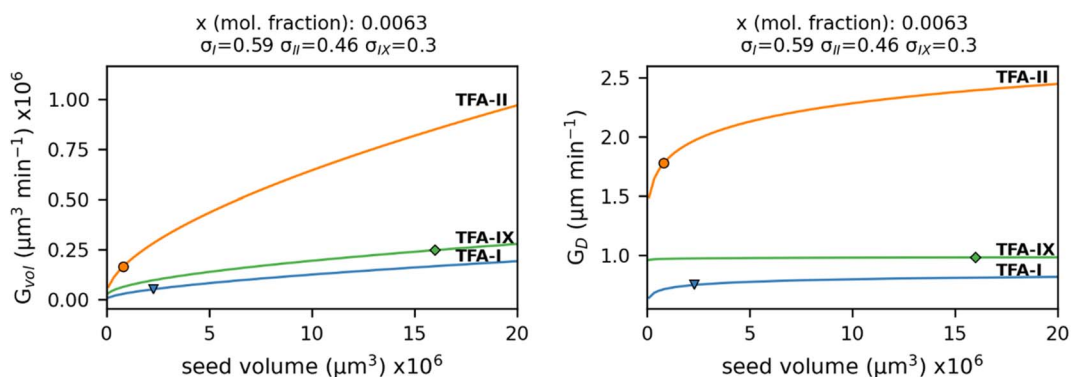


Fig. 9 The effects of different crystal seed volumes on the calculated volume growth rates (G_{vol} , left) and on calculated equivalent diameter growth rates (G_D , right) were simulated from average experimental linear growth rates. Linear growth rates were assumed constant, and the shape of the crystal was fixed to the average shape of the seeds measured in our experiments. When the seed volume is small, changes of linear dimensions have a stronger effect on the change of crystal shape. The markers on each curve indicate the average seed volume from experiments for each of the TFA polymorphs (TFA-I: triangle; TFA-II: circle; TFA-IX: diamond).

The evident dependence of both G_{vol} and G_D on crystal seed size is a consequence of the relations used to couple the crystal linear dimensions to the resulting crystal volumes, which assume the use of a constant shape factor. For small crystal sizes, however, the relative change of crystal dimensions over time (*i.e.*, the linear growth rates) with respect to the seed size is non-negligible, and a constant shape factor cannot be assumed.^{59,60}

Therefore, the nature of the crystal seeds used for experiments must be taken into consideration when utilising equivalent diameter growth rates to compare growth kinetics of polymorphs.

Nevertheless, because the seed crystals of TFA-I and TFA-II used in our experiments had similar dimension and shape, and because the diameter growth rates of TFA-IX are expected to show little variation for different seed volumes, the comparison of its G_D with those of TFA-I and TFA-II is indeed possible in this case.

3.5.5. Comparing calculated crystal volumes after a growth time t . Another plausible way of comparing growth rates of

polymorphs is to calculate the change of crystal volume after a specific amount of growth time t . The calculation of this growth volume, V_{growth} , is performed using experimental linear growth rates and requires an assumption on initial seed size and shape (see Methods). This approach has been used previously to compare the growth kinetics of paracetamol polymorphs.⁵⁵

Here we have calculated the growth volume (V_{growth}) of all three polymorphs in IPA at 25 °C after 60 minutes, making the assumption of identical seed volumes for all three forms ($8 \times 10^6 \mu\text{m}^3$, typical volume of seeds used in our experiments). The calculated $V_{growth}(60 \text{ min})$ at different relative supersaturations and solution concentrations is shown in Fig. 10.

The calculated $V_{growth}(60 \text{ min})$ show that after 60 minutes of growth, crystals of the metastable TFA-II have the largest volume at any given solution concentration. While the volumes of TFA-IX are the smallest at low solution concentrations, they become larger than TFA-I as the driving force for crystal growth increases ($x > 0.0063$). The dependence of V_{growth} on relative supersaturation, instead, is similar for TFA-II and TFA-IX. We



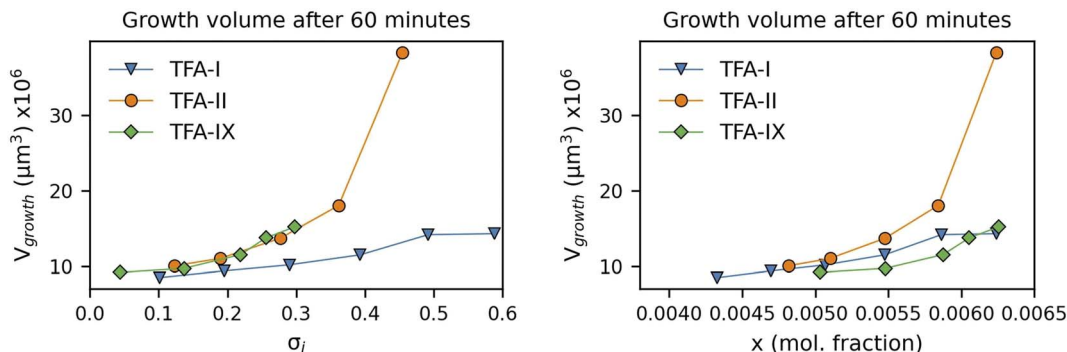


Fig. 10 Calculated growth volumes of TFA polymorphs after 60 minutes of growth plotted against solution relative supersaturation (left) and concentration (right). The initial volume used for all crystal seeds was about $8 \times 10^6 \mu\text{m}^3$.

note that the trends observed here for V_{growth} are very similar to the trends obtained for the G_{D} data presented previously.

3.5.6. Comparison of growth rates derived from single-crystal growth rates experiments with desupersaturation experiments. Since equivalent diameters are typically used as a characteristic dimension to describe the change of crystal population during seeded batch experiments (desupersaturation experiments), we wanted to verify whether the diameter growth rates, G_{D} , calculated from our single-crystal (SC) measurements could be used as a substitute to describe overall growth kinetics in those cases where batch experiment data are not available. For this, the growth rates of TFA-I and TFA-II in IPA solutions were determined by seeded isothermal desupersaturation experiments (SID) at 25 °C as described in Section 2. Attempts to collect SID data for TFA-IX were unsuccessful due to TFA-IX readily converting to the stable TFA-I.

Fig. 11 shows a comparison of the G_{D} rates from SC experiments with those from the SID experiments. We note that the experimental conditions of SC and SID experiments are very different (*i.e.*, differences in solution volume, stirring conditions, single *vs.* multiple seeds, *etc.*), and thus comparisons

between growth rates obtained with the two techniques should be performed with care.

In our case, considering that crystal seeds of TFA-I and TFA-II used in our SC and SID experiments had comparable size (see ESI†), and that experiments were conducted at the same temperature, the most significant difference was perhaps the fact that SID experiments were carried out with controlled stirring, whereas SC growth rates were measured in stagnant IPA solutions.

We found G_{D} rates derived from SC and SID experiments to have a similar order of magnitude and to follow surprisingly similar trends and dependence on solution concentration. This is very encouraging since our results show that G_{D} rates derived from SC experiments could be a viable approach to estimate kinetic growth parameters for polymorphs for which SID experiments are not possible (*i.e.*, TFA-IX).

4. Discussion

In the context of the results and data presented above, we come to the realisation that to try and answer the question “which polymorph grows faster” is extremely difficult, and that the question is perhaps best formulated as “which polymorph grows faster under a well-defined set of conditions”.

First, differences in solubilities across polymorphs mean that for a defined solution concentration the system will have different supersaturation levels with regard to each of the polymorphs. Thus, specifying whether the comparison of kinetics refers to identical conditions of relative supersaturation or overall solution concentration is important. For example, if one wishes to compare crystal growth rates predicted starting from crystal structure and strength of intermolecular interactions (*i.e.*, attachment energies), a comparison at the same level of relative supersaturation is appropriate. If, by contrast, one wishes to understand which dimension grows faster at a specific solution concentration, then the solubility differences need to be considered. We presented our results both at relative identical supersaturations and at different values of solution concentration. Since the original aim of this work was to rationalise the difficult discovery of TFA-IX, we will

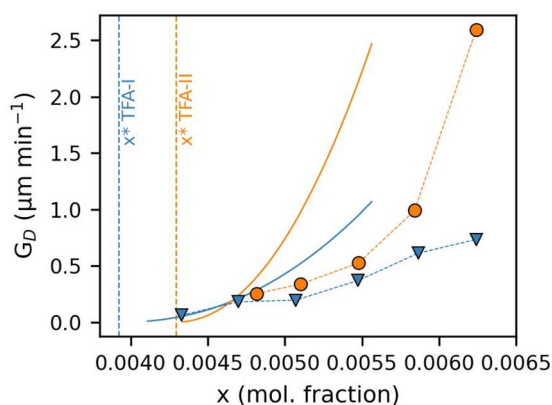


Fig. 11 Comparison of single-crystal (SC, scatterplots) and bulk suspension (SID, solid lines) growth rates of the characteristic length (equivalent diameter) of TFA-I (blue) and TFA-II (orange) as a function of IPA solution concentration. The dashed vertical lines represent each polymorph's equilibrium solubility at 25 °C.



further discuss our results by comparing growth rates in terms of identical solution concentrations.

Second, provided that the linear rates are size independent (which is the case for TFA but may not always be a reasonable assumption), the growth rates of various measurable dimensions across polymorphs can be directly compared but might not tell the whole tale. We have found here that $G_L^{\text{II}} > G_L^{\text{I}} > G_{\{hkl\}}^{\text{IX}} > G_W^{\text{II}} > G_W^{\text{I}}$. However, linear growth rates alone cannot provide an answer to the question “which polymorph grows faster” and thus considerations of volume or mass growth rates is a necessity.

Third, assuming a solution concentration above the solubility of the least stable polymorph (TFA-IX), the answer to the question “which polymorph grows faster” is, it depends on the solution concentration and on the relative size and shape of the polymorphic crystals used for the experiment. Thus, because the blocky crystal seeds of TFA-IX used for our stagnant cell growth experiments had a significantly larger volume than those of the TFA-I and TFA-II needles, TFA-IX crystals grew faster (faster volume growth rates). The volume growth rates here give the overall answer, but this answer is strongly dependent on the crystal size and thus our choice of seeds in the experiments.

If we wish to compare growth kinetics for the hypothetical case of all polymorphs starting from seeds of identical volume, the answer to the question “which polymorph grows faster” can be inferred by either calculating growth volumes after a given growth time t from identical size seeds or sphere equivalent diameter growth rates. We have shown that both these approaches provide similar outcomes. They show that at molar fraction concentrations above 0.0048 (the solubility of TFA-IX) and below 0.0063 the growth kinetic ordering is TFA-II > TFA-I > TFA-IX and above 0.0063 TFA-II > TFA-IX > TFA-I. Most importantly, all three growth rates are comparable and competitive thus, provided that the forms can be nucleated concomitantly, they can also grow at similar rates and appear together macroscopically. These conditions seem to occur, for this system, at low temperature, which allowed for the discovery of TFA-IX.²⁹

Finally, perhaps an important observation from this data is that conditions exist (molar fraction solution concentrations above 0.0063) for which both metastable TFA-II and TFA-IX grow faster than the stable form TFA-I. This may be an important observation, since provided all polymorphs can be nucleated in the solution, the seeds of the metastable forms will consume supersaturation faster than the seeds of the stable form which will allow for sufficient time for them to be observed macroscopically (and thus the forms discovered) before the supersaturation is consumed and they start dissolving in favour of the growth of the stable form.

5. Conclusions

We have measured, presented and compared the growth kinetics of three polymorphs of TFA in IPA solutions at 25 °C. To the best of our knowledge, this is the first time such data has been presented for three different polymorphs of a molecular system. The growth kinetics of TFA-I and TFA-II were

determined by direct measurements on single crystals as well as by SID experiments. For TFA-IX, growth rates were determined by single-crystal measurements only since SID experiments failed due to the rapid solvent-mediated conversion of TFA-IX into the stable TFA-I.

From growth measurements carried out on single crystals, we were able to derive and compare linear growth rates (G_{linear}) for all three TFA polymorphs. Comparison of G_{linear} for TFA-I and TFA-II polymorphs was straightforward since both forms crystallise as needles and the data showed that both the length and width dimensions of the metastable TFA-II grow faster than the stable TFA-I, and thus TFA-II grows faster overall. TFA-IX, however, crystallises as blocky crystals. Direct comparison of the measured G_{linear} of TFA-IX with those of TFA-I and TFA-II shows that rates for the growth of TFA-IX lie between those along the length and the width dimensions of both TFA-I and TFA-II needles. Using linear growth rates alone, answering the question “which polymorph grows faster” becomes tricky. If linear growth rates are used to compare polymorphs with different shapes results can be misleading, and so volume growth rates, calculated crystal volumes after a specific growth time or equivalent diameter growth rates need to be derived in order to answer this question. This means that the question can only be answered if the conditions of growth of the various polymorphs can be established with clarity. Since volume growth rates of large crystals tend to be greater than volume growth rates of smaller crystals, a polymorph having larger seeds is likely to grow faster than a polymorph having small seeds.

Establishing the boundary condition that the growth occurs from seeds of identical initial volume, we were able to use calculated growth volumes or equivalent diameter growth rates to establish that at molar fraction concentrations above 0.0048 and below 0.0063 the growth kinetic ordering is TFA-II > TFA-I > TFA-IX and above 0.0063 it becomes TFA-II > TFA-IX > TFA-I. For TFA, there is therefore a range of solution concentration where both metastable forms grow faster than the stable TFA-I from seeds of original identical volume. Interestingly, we have only observed TFA-IX at conditions of high supersaturations and low temperature which presumably are needed for all three forms to nucleate, grow at a similar rate and be observed concomitantly. The effect of temperature on the growth rates of different polymorphs could also result in different relative growth rates than those considered here. Although we have not investigated this effect here, this remains an interesting topic for future investigations.

Ultimately, the answer to the question “will I be able to observe a specific predicted polymorph” needs to come from a combined prediction of nucleation and growth kinetics. Several scenarios exist:

A. If the metastable polymorph can be nucleated faster than the stable form, then its observation will be possible.

B. If the metastable polymorph is nucleated concomitantly with the stable form, two possibilities arise: (i) the metastable polymorph grows faster than the stable form so it will be able to grow to a detectable size before supersaturation is consumed and it dissolves in favour of the growth of the stable form and (ii) the metastable polymorph grows slower than the stable form thus it will be difficult to observe and it will be an elusive form.



C. If the metastable polymorph is slower at nucleating than the stable form, it will be difficult to discover.

These scenarios have been modelled mathematically for hypothetical systems using the concept of the Ostwald ratio.^{10,61}

Ultimately, to be able to use CSP landscapes to predict which polymorphs will crystallise, we ought to be able to predict both nucleation and growth kinetics⁵⁶ from crystal structure alone and under different crystallisation conditions including solvent, supersaturation levels and temperature. Disappointingly, the predicted relative volumes of morphologies calculated from solvent corrected attachment energies (TFA-IX > TFA-I > TFA-II) were in total discordance with our experimental results. This was somewhat expected, considering the known limitations of the attachment energy model in considering the effect of the growth environment (solvent, supersaturation) on the crystal growth rates.

When it comes to the prediction of growth kinetics, part of the problem lies in the fact that growth mechanisms can vary amongst different crystal facets. In addition, growth mechanisms along some crystal directions can be very different than well-established mechanisms commonly used in crystal growth predictions, as we have shown here for the length growth of the TFA needles. Predicting kinetics of nucleation for hundreds of predicted polymorphs is also extremely challenging, and although simulations of nucleation using molecular dynamics have been attempted,^{62–66} this task remains computationally expensive. As an alternative, some qualitative correlations using structural rugosity have been proposed.⁶⁷ In conclusion, it is clear that further good quality experimental data on kinetics of nucleation and growth of polymorphs is needed for theoretical model validation. Even comparing experimental growth rates is challenging in itself, as we have shown here. Whilst we have come a long way, we still have a very long way to go.

Data availability

Processed data are available in the ESI.† Additional data can be obtained by the authors upon request.

Author contributions

A. J. C. C., R. J. D. and S. M. R. E. conceived the project. P. S. collected experimental data, performed data analysis, performed computer simulations and wrote the initial manuscript. P. N. contributed to the data analysis and to the design of computer simulations. All authors discussed the results and contributed to the final manuscript.

Conflicts of interest

The authors declare no conflict of interest.

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