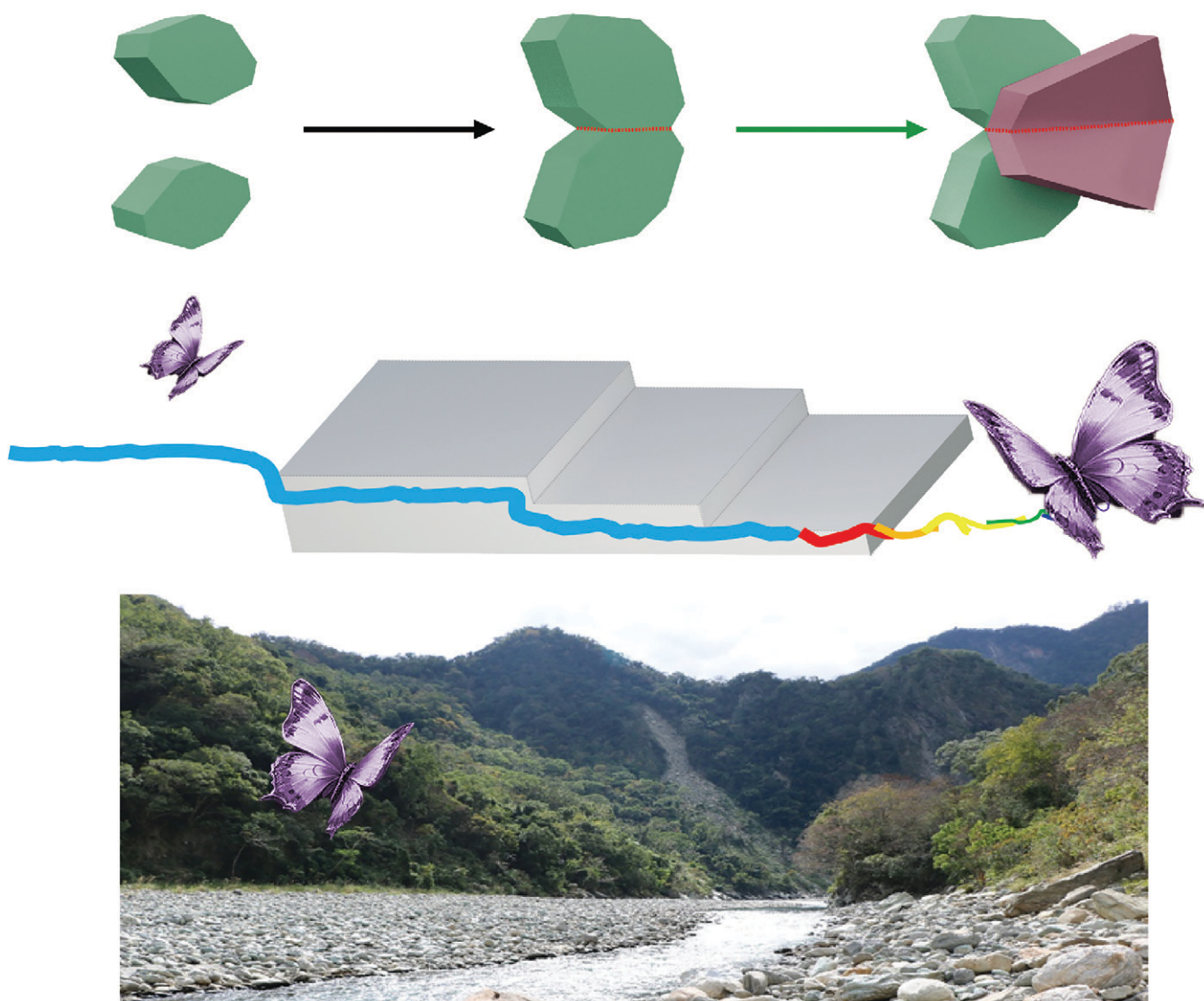


# CrystEngComm

rsc.li/crystengcomm



ISSN 1466-8033

**PAPER**

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via pulsed laser deposition – implications for the dense  
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Cite this: *CrystEngComm*, 2024, 26, 1926

# Rock-salt $\text{Ti}_{1-x}\text{O}$ $\rightarrow$ rutile $\text{TiO}_{2-x}$ transformation twinning *via* pulsed laser deposition – implications for the dense (*hkl*)-specific phase change and optoelectronic properties†

Chang-Ning Huang, <sup>\*a</sup> Jian-Yu Chen,<sup>a</sup> Yu-Xuan Lin<sup>a</sup> and Pouyan Shen<sup>b</sup>

Defective rock-salt (R)  $\text{Ti}_{1-x}\text{O}$   $\rightarrow$  rutile (r)  $\text{TiO}_{2-x}$  transformation twinning occurred in a thin film with preferred shape and crystallographic orientation when deposited on silica glass substrates, with or without a coating of fluorine-doped tin oxide, using pulsed laser ablation of a rutile polycrystal under specific pulse energies in the range of 200 to 800 mJ per pulse in vacuum. The films were characterized by X-ray diffraction and electron microscopy and shown to contain nanocrystallites of R, r, and minor high-temperature-stabilized hexagonal  $\text{Ti}_{1-x}\text{O}$  all with preferred orientations adopted by close-packed planes. The R + r intimate intergrowth was found to form a butterfly twin following the optimum crystallographic orientation relationship  $[101]_{\text{R}}/[11\bar{1}]_{\text{r}}$  with close-packed  $(\bar{1}11)_{\text{R}}$  and  $(011)_{\text{r}}$  planes as the twin boundary and phase interface with a fair 2-D coincidence site lattice in accordance with the dense  $(111)_{\text{R}}$ -specific R  $\rightarrow$  r transformation route. The R + r predominant film with aliovalent point defects and paracrystalline distribution of defect clusters showed characteristic white light absorbance, violet + green double emission, and fine photoelectron chemical properties for potential photovoltaic, photocatalytic, and optoelectronic applications, in particular for multiple temperature- and oxygen-fugacity sensors in terms of the R  $\rightarrow$  r transformation.

Received 1st November 2023,  
Accepted 28th February 2024

DOI: 10.1039/d3ce01091k

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## Introduction

Titanium oxides have various crystal structures and stoichiometries, and hence multiple cation charges in terms of Ti:O atomic ratios.<sup>1</sup> Their synthesis and mutual transformations to form specific structures, sizes, shapes, and space-charged defects are of particular interest with regard to their photocatalytic/photoelectrochemical properties<sup>2</sup> and superconductivity.<sup>3,4</sup> Besides the wet chemical sol-gel synthesis of some  $\text{TiO}_2$  powders in the form of anatase and/or rutile for commercial purposes or fundamental research,<sup>5,6</sup> the physical-chemical evaporation method is commonly used to deposit a thin titanium oxide film on some substrates, under specific temperature and oxygen-fugacity conditions.<sup>7,8</sup> In the transparent conductive oxide glass category, fluorite-

doped tin oxide (FTO)-coated glass has been widely used as a substrate for facile photocatalytic and photoelectrochemical applications of the overlying film.<sup>9</sup>

Pulsed laser ablation (PLA) of a Ti metal target in specific environmental conditions is a powerful physical-chemical evaporation method used to fabricate various kinds of titanium oxides. For example, high-pressure polymorphs of  $\text{TiO}_2$  with an  $\alpha\text{-PbO}_2$ -type (space group *Pbcn*) structure<sup>10</sup> and baddeleyite-type (MI, fluorite-related type *P2<sub>1</sub>/c*)  $\text{TiO}_2$  (ref. 11) were synthesized *via* very energetic Nd-YAG laser pulse irradiation of the oxygen-purged Ti target under very rapid heating and cooling for a significant shock effect. Such dense polymorphs of  $\text{TiO}_2$  occur in nature only above 4 to 5 GPa at 900 °C to 1000 °C in an ultrahigh-pressure (UHP) metamorphic terrain.<sup>12</sup> In another study, ambient-pressure-stabilized anatase (space group *I4<sub>1</sub>/amd*) and rutile (space group *P4<sub>2</sub>/mnm*) nanoparticles were fabricated by PLA of the Ti target under a specific pulse energy and oxygen flow rate.<sup>13</sup> Such rutile nanoparticles were found to have well-developed {110} and {011} surfaces with steps for { $\sim$ 110} and { $\sim$ 011} vicinal attachment, causing, respectively, edge dislocations and planar defects (fault and twin) in the rutile crystal.<sup>14</sup> Topologically, a displacement vector  $\vec{R}$  of 0.5[011] parallel to the (011) plane results in an intrinsic fault, and a further

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† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d3ce01091k>

successive fault ends up with an extrinsic fault (*cf.* Fig. 6c and d in Tsai *et al.*, 2004).<sup>14</sup>

Conversely, titanium oxide compounds  $\text{Ti}_{1-x}\text{O}$ ,  $\text{Ti}_2\text{O}_3$ , and  $\text{TiO}_2$  with a considerable extent of nonstoichiometry ( $x$ ) as fabricated by PLA in water were protonated and have a relatively high content of  $\text{Ti}^{2+}$  for a lower minimum band gap of 3.4 eV, as well as Ti vacancies and charge/volume-compensating defects for potential optoelectronic and catalytic applications in the UV-visible range.<sup>15</sup> In contrast, C–H doped anatase nanospheres with disordered shells and planar defects were topotaxially formed from rock-salt-type  $\text{TiC}_x\text{O}_y$  and  $\beta$ -Ti nuclei with a paracrystalline distribution of defect clusters upon PLA of bulk Ti in tetraethyl orthosilicate.<sup>16</sup> In this connection, the stoichiometric rock-salt TiO (space group  $Fm\bar{3}m$ ), as synthesized by reacting  $\text{KClO}_4$  with elemental Ti in a sealed and evacuated quartz tube, was reported to have a rather large lattice parameter of 0.4228 nm and hence an enhanced superconductivity transition temperature of 5.5 K.<sup>4</sup>

With regard to protoxide to dioxide transformation, a Ti film deposited on a NaCl (001) surface was subjected to thermal oxidation in air at 350 °C to form TiO and at 400 °C for partial transformation into anatase (A) following the parallel crystallographic orientation relationship (COR)  $\{200\}_A/\{200\}_{\text{TiO}}$  in accordance with the similarity of the oxygen sublattice (*cf.* Fig. 8 in Kao *et al.* 2011)<sup>17</sup> (in fact, the TiO  $\rightarrow$  anatase transformation involves about one-half of  $\text{Ti}^{2+}$  ions being removed from the TiO cell coupled with the remaining  $\text{Ti}^{2+}$  ions being oxidized into  $\text{Ti}^{4+}$  ions and a slight shift in  $\text{O}^{2-}$  ions (Kao *et al.* 2011)<sup>17</sup>). In contrast, the backward anatase to TiO transformation was recently achieved by *in situ* heating in a vacuum ( $10^{-3}$  Pa) during electron microscopic observations in the temperature range of 950–1200 °C (Chen *et al.*, 2022)<sup>18</sup> (note the quote from Chen *et al.* (2022):<sup>18</sup> “When the heating took place in the vacuum chamber, the overall pressure (about  $10^{-3}$  Pa) is not as low as that in the microscope (about  $10^{-6}$  Pa)”). The rock-salt TiO nanoparticles thus produced from anatase nanorods are cuboctahedral in shape and immune to dislocation (*cf.* Fig. 4 in Chen *et al.*, 2022),<sup>18</sup> although the lattice parameter and hence a possible deviation from TiO stoichiometry were not measured.

Herein, PLA deposition of a  $\text{Ti}_{1-x}\text{O}$  +  $\text{TiO}_{2-x}$  composite film on a substrate of silica glass with or without an FTO coating was accomplished by laser irradiation of a sintered rutile polycrystal at specific pulse energies in vacuum. We focused on the (*hkl*)-specific orientation of the phase assemblage and pulse-energy dependent lattice parameters of predominant  $\text{Ti}_{1-x}\text{O}$  and  $\text{TiO}_{2-x}$  that enabled the novel rock-salt  $\text{Ti}_{1-x}\text{O} \rightarrow$  rutile  $\text{TiO}_{2-x}$  transformation with optimum COR and a fair lattice match. The size, shape, and planar defects of nanocondensates in terms of the close-packed planes and cluster of point defects with aliovalent charges were characterized with regard to measured UV-visible, photoluminescence, and photoelectrochemical properties for potential sensor applications.

## Experimental

### Materials preparation

Reagent-grade  $\text{TiO}_2$  (rutile type, Alfa Aesar, 99.5%, 325 mesh) powders were ground and dry pressed at 650 MPa into a disk *ca.* 20 mm in diameter and 0.5 mm in thickness. The green disk was then sintered at 1200 °C for 12 h at the rate of 5 °C  $\text{min}^{-1}$  in an Ar atmosphere. It was then cooled to room temperature in a tube furnace. The disks of rutile polycrystal (*ca.* 1 to 10  $\mu\text{m}$  in grain size with point defects and hence an iron grayish color) thus obtained were subjected to pulse irradiation from an energetic Nd-YAG-laser (Quantel smart 850, 1064 nm in wavelength, beam mode: TEM00) in vacuum ( $8 \times 10^5$  torr). A laser beam was focused down to a diameter of 3 mm on the rotating target with a working distance of 5 cm under laser pulse energy of 200–800 mJ per pulse using 1064 nm excitation to achieve a peak power density of  $4.7 \times 10^8$  to  $1.8 \times 10^9$   $\text{W cm}^{-2}$ , given a pulse time duration of 6 ns at 10 Hz under the Q-switch mode. The 30 min PLA runs were employed to deposit thin films on the substrate of FTO-coated silica glass or FTO-free silica glass for further microscopic and spectroscopic analysis of deposits. The detailed laser parameters and resultant phase abundance are listed in Table 1.

### Characterization of the materials

An X-ray diffraction (XRD, Cu  $K\alpha$  at 40 kV and 40 mA) study using the method of grazing incidence wide-angle X-ray

**Table 1** Laser ablation parameters and resultant relative abundance of amorphous phase (a), rock-salt  $\text{Ti}_{1-x}\text{O}$  (R), and rutile  $\text{TiO}_{2-x}$  (r) based on XRD of films on silica glass and TEM results of films on FTO-coated silica glass

| Sample number                        | 1                 | 2                 | 3                    | 4                     |
|--------------------------------------|-------------------|-------------------|----------------------|-----------------------|
| Wavelength (nm)                      | 1064              | 1064              | 1064                 | 1064                  |
| Mode                                 | Q-switch          | Q-switch          | Q-switch             | Q-switch              |
| Pulse energy (mJ per pulse)          | 200               | 400               | 600                  | 800                   |
| Pulse duration (ns)                  | 6                 | 6                 | 6                    | 6                     |
| Frequency (Hz)                       | 10                | 10                | 10                   | 10                    |
| Beam size ( $\text{mm}^2$ )          | 7                 | 7                 | 7                    | 7                     |
| Peak power (W)                       | $1.3 \times 10^7$ | $6.5 \times 10^7$ | $9.8 \times 10^7$    | $1.3 \times 10^8$     |
| Power density ( $\text{W cm}^{-2}$ ) | $4.7 \times 10^7$ | $9.4 \times 10^8$ | $1.4 \times 10^9$    | $1.8 \times 10^9$     |
| Deposition time (min)                | 30                | 30                | 30                   | 30                    |
| Phase relative abundance             | $R > a > H \gg r$ | $R > a > H \gg r$ | $R \sim r > H \gg a$ | $r \sim R \sim a > H$ |

scattering (GIWAXS, Bruker AXS D8 Discovery instrument) coupled with a GADDS area detector was employed to identify crystalline phases in the thin film deposited on a transparent glass substrate with or without FTO coating. The thin film deposited on optically transparent FTO glass was characterized by polarized light microscopy (Nikon Eclipse LV100N POL), with a focus on the condensates under open and/or cross-polarizers regarding their agglomeration, crystallinity, and orientation. Scanning electron microscopy (SEM, JEOL JSM-6701F, 10 kV) coupled with secondary electron image (SEI) and energy dispersive X-ray (EDX) analysis was used for further analyses of microstructure and chemical composition of the condensates in the films.

The thin film, as deposited on FTO-silica glass, was cross-cut into thin sections by a focused ion beam (FIB, using an FEI Helios G3 CX system, 30 kV) and was then settled on the copper grid with a carbon-coated collodion film for further characterization of composition distribution and crystal structure/shape using transmission electron microscopy (TEM, JEOL JEM-2100F Cs STEM at 200 kV) coupled with bright field image (BFI), selected area electron diffraction (SAED) and point-count energy dispersive X-ray (EDX) analysis at a beam size of 1 nm. The Z-contrast images coupled with compositional line scanning profiles were acquired with a high-angle annular dark-field (HAADF) detector and EDX under STEM mode. Lattice images coupled with 2-D forward Fourier transform (FFT) were taken to identify the definite COR of the phase assemblage with (*hkl*)-specific interfaces due to particle impingement and/or phase transformation.

The electron energy loss spectrum (EELS), as acquired from a Gatan image filter coupled with TEM, was employed to identify the chemical bonding state of the titanium oxides in the FIB section. The powdery samples were also examined by X-ray photoelectron spectroscopy (XPS, PHI 5000 Versa Probe with monochromatized Al K $\alpha$  radiation of 1486.6 eV) calibrated with a standard of C 1s at 284 eV for the determination of Ti<sup>2+</sup>, Ti<sup>3+</sup>, and Ti<sup>4+</sup> peaks. A UV-vis (HITACHI U4100) spectrometer coupled with an integrating sphere was used to measure light absorption in the range of 190–900 nm for the determination of the band gap of the deposit on the FTO-silica glass by the Tauc plot method. For vibrational and photoluminescence (PL) studies, micro-Raman/PL spectroscopy (HORIBA Labram HR) under 325 nm and 532 nm excitation by a He–Cd and a diode laser with 1  $\mu$ m spatial resolution were employed, respectively.

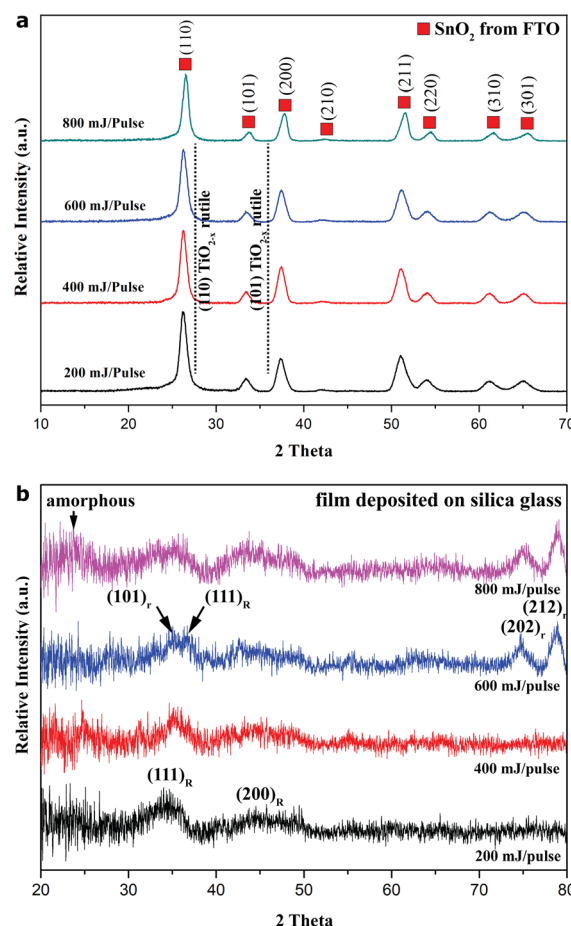
A potentiostat (Metrohm Autolab PGSTAT30) with a three-electrode electrochemical cell, with Pt as the counter electrode, Ag/AgCl as the reference electrode, Ti<sub>1-x</sub>O + TiO<sub>2-x</sub>/FTO film as the working electrode, and 0.1 M KOH (pH = 13.1) as an electrolyte solution was employed to measure cyclic voltammograms and the current density-time curve at 1.2 V under xenon lamp irradiation.

## Results

### XRD measurements

XRD from thin films deposited on the FTO-coated silica glass (Fig. 1a) showed strong diffraction from a cassiterite polycrystal in FTO, but there was negligible diffraction from the films, as otherwise isostructural rutile peaks shifting to higher  $2\theta$  angle (*cf.* dashed lines) and diffraction of other titanium oxide phases would be observed. Apparently, a total of 30 min PLA time was not long enough to accumulate XRD-appreciable crystallites on the FTO-silica glass, despite using the advanced 2-D GIWAX technique. This indicates a significant interdiffusion reaction with FTO to consume the films during the PLA process.

In contrast, the two relatively strong yet broad (111) and (200) diffractions of rock-salt (hereafter denoted R) Ti<sub>1-x</sub>O were unambiguously identified from the films as deposited on FTO-free silica glass at all the pulse energies adopted (Fig. 1b). The preferred orientation of R-Ti<sub>1-x</sub>O in such films



**Fig. 1** XRD traces of titanium oxide thin films as deposited on the substrates of (a) FTO-coated silica glass and (b) silica glass by the PLA process for 30 min in vacuum under 200, 400, 600, and 800 mJ per pulse, respectively. Note that R-Ti<sub>1-x</sub>O and r-TiO<sub>2-x</sub> condensates are not concentrated enough in the film to show appreciable diffraction, in contrast with the overwhelming signals of cassiterite polycrystals from the FTO underneath in (a).



adopted by the close-packed  $(111)_R$  plane is manifested by a much higher intensity ratio of  $I_{111R}/I_{200R} = 2$  in comparison with 0.5 for the random powder diffraction data of TiO (JCPDS file 65-2900). It should be noted that a minor additional phase (denoted as H, space group  $P6/mmm$ , JCPDS file 85-2084) of  $Ti_{1-x}O$  confirmed by TEM observations is different from three TiO phases ( $\alpha$ ,  $\beta$ , and  $\gamma$ ) with defective NaCl-type structures of lower crystal symmetry (Amano *et al.*, 2016);<sup>19</sup> it tended to survive the PLA process at pulse energy as high as 800 mJ per pulse. The  $(111)_R$  diffraction peak shifts progressively toward a higher  $2\theta$  angle, *i.e.* a smaller  $d$ -spacing approaching that (0.241 nm) of TiO with supposed stoichiometry (JCPDS file 65-2900) when the pulse energy increases from 200 to 600 mJ per pulse. The corresponding lattice parameter (0.4180 nm) is significantly smaller than 0.4228 nm for stoichiometric TiO (Wang *et al.*, 2017),<sup>4</sup> indicating a considerable extent of nonstoichiometry ( $x$ ). Such a nonstoichiometric state of  $R-Ti_{1-x}O$  was sustained despite significant amorphization and oxidation transformation into dioxide at a high pulse energy of 800 mJ per pulse.

As for rutile (denoted r)  $TiO_{2-x}$ , it shows  $(101)_r$ ,  $(202)_r$ , and  $(212)_r$  diffractions in the XRD trace for the film fabricated at 600 mJ per pulse (Fig. 1b) due to the preferred orientation adopted by the close-packed  $(101)_r$  plane, *i.e.* with close-packed layers lying parallel to the substrate. There is a slightly larger  $d$ -spacing (+0.001 nm) for the present  $r-TiO_{2-x}$  nanocondensates than that documented for rutile  $TiO_2$  (JCPDS file 21-1276), presumably due to doped  $Ti^{2+}$  (0.086 nm) and  $Ti^{3+}$  (0.067 nm) having relatively large effective radii in coordination number (CN) 6 (Shannon, 1976).<sup>20</sup> The preferred orientation of  $r-TiO_{2-x}$  remained, and the broad scatter intensity below the  $25^\circ$   $2\theta$  angle of the amorphous phase was enhanced for the film fabricated at the highest pulse energy of 800 mJ per pulse. This indicates that 600 mJ per pulse is the optimum pulse energy, which gives the best yield of  $R-Ti_{1-x}O$  and  $r-TiO_{2-x}$ , although with significant strain broadening of XRD peaks due to the combined effects of  $x$ , miniature size, and defects. Beyond such a threshold, further transformation of  $R-Ti_{1-x}O$  into  $r-TiO_{2-x}$  and the amorphous phase occurred (the XRD results from the 2-D GIWAX analysis are basically the same for the film samples as prepared in three different runs of PLA deposition on silica glass in a vacuum). In fact, there is a different relative abundance of the amorphous phase,  $H-Ti_{1-x}O$ ,  $R-Ti_{1-x}O$  and  $r-TiO_{2-x}$  (*cf.* Table 1) according to XRD and later TEM observations on the films, which increase in thickness linearly from 0.1 to 0.5  $\mu m$  (SEM cross-section measurements) as the pulse energy increases from 200 to 800 mJ per pulse.

### Optical microscopy and SEM observations

The film transparency decreases with an increase in the pulse energy used for PLA deposition on FTO-glass, regardless of the subsequent annealing at 450  $^\circ C$  for 5 h in air (Fig. S1†).

The drastic color change from gray to dark brownish for the samples produced above 200 mJ per pulse indicates a significant phase and/or defect change of the condensates in the films. Optical polarized microscopic observations on such glass slides overlain with film under reflective light or transmitted light with open/cross polarizers, and an optical retardation  $\lambda$  plate (Fig. S2†) showed noncubic crystals up to micron size with significant interference color. They are mainly columnar cassiterite grains in FTO, and there are occasional rutile  $TiO_{2-x}$  particulates in the films, although the nanocondensates are too fine to be resolved by optical microscopy. The occurrence of rutile  $TiO_{2-x}$  particulates with optical birefringence was confirmed by optical polarized microscopic observations on the films as deposited on FTO-free silica glass (Fig. S3†).

Top-view SEM SEI indicates that the film deposited on FTO-coated silica glass in vacuum consists of 100–300 nm-scale agglomerates, which are composed of faceted nanocondensates of *ca.* tens of nm in size, as represented by the sample produced under 200 mJ per pulse for 30 min (Fig. 2a). The nanocrystallites are mainly  $R-Ti_{1-x}O$  with the preferred orientation of the close-packed plane to enable further transformation into  $r-TiO_{2-x}$  at higher pulse energy according to XRD and later TEM identification (the so-called artificial epitaxy of crystallites on the amorphous substrate is also related to the preferred orientation of the crystallites

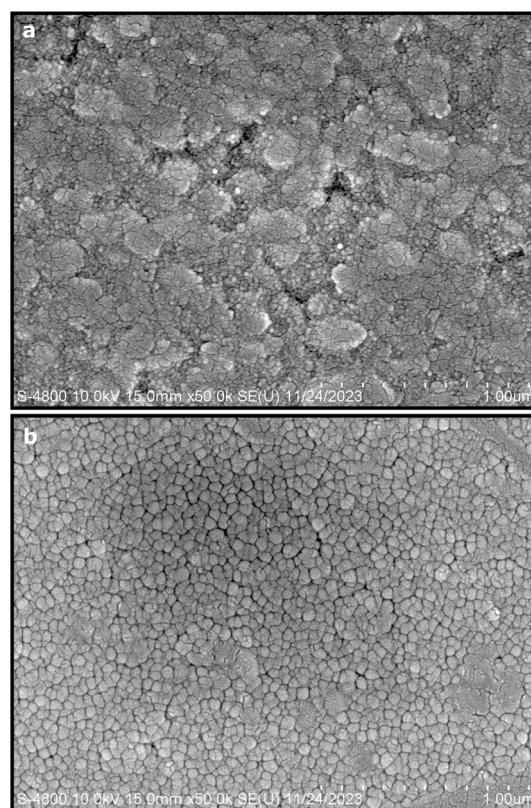


Fig. 2 Top-view SEM SEI of the titanium oxide thin film (a) as deposited on FTO-coated silica glass under 200 mJ per pulse for 30 min in vacuum and (b) after annealing at 450  $^\circ C$  for 5 h in air.

adopted by the close-packed plane<sup>21</sup> and references therein). The nanocrystallites were significantly sintered to form triple junctions typical of a solid-state sintering process and coarsened to *ca.* 50–100 nm in size after annealing at 450 °C for 5 h in air (Fig. 2b). The occasional pores in Fig. 2b indicate that the sintering process was incomplete after this heating treatment. The microstructures of the other film samples (*i.e.* those prepared under 400, 600, and 800 mJ per pulse) are basically the same (not shown).

### STEM and TEM observations

The STEM results for a thin film deposited on the FTO-glass by PLA of a rutile polycrystal target are compiled in Fig. 3, with the representative case of PLA under 600 mJ per pulse. The HAADF image (Fig. 3a) coupled with EDX mapping (Fig. 3b–e for Ti K $\alpha_1$ , Sn L $\alpha_1$ , Si K $\alpha_1$ , and O K $\alpha_1$  signals, respectively) and line scanning showed a higher Z-contrast of FTO and significant Ti inward and Sn outward diffusion in a narrow zone, *ca.* 50 nm in thickness, across the film/FTO boundary. There was also a similar Sn/Si interdiffusion zone across the FTO/silica boundary. In contrast, there is a monotonous trend of oxygen outward diffusion throughout the cross-section of film/FTO/silica to act as a directional oxygen supply for the titanium oxide film. Apparently, the FTO-silica glass substrate was significantly heated up by the PLA deposition process to activate not only diffusion bonding but also oxidation of the accumulating condensates. A film thus deposited under the highest pulse energy of 800 mJ per pulse, as another example (Fig. S4 and S5†), also showed Ti/Sn interdiffusion within a zone of *ca.* 100  $\mu$ m in width. Again, there is significant monotonous oxygen

outward diffusion toward the free surface of the film, which is made of fine nanocondensates in drastic contrast to large (hundreds of nm, *cf.* Fig. S4†) columnar cassiterite grains in FTO. The oxygen concentration profiles for the two representative samples indicate that the condensates partially oxidized by the PLA process with a rutile TiO<sub>2</sub> target were further oxidized by the oxygen uptake from the FTO-coated silica glass.

TEM BFI coupled with the SAED pattern and point EDX spectrum (Fig. 4a–c) showed further structural and compositional details of the thin film as deposited on the FTO-glass at 600 mJ per pulse as an example. The predominant R-Ti<sub>1-x</sub>O and minor r-TiO<sub>2-x</sub> nanocondensates are *ca.* 5 to 30 nm in size and more or less coalesced with significant preferred orientations, as indicated by the diffraction arcs of R phase (*i.e.* {200}<sub>R</sub> and {220}<sub>R</sub> arcs and conjugated {111}<sub>R</sub> diffractions of 70.5° twin variants in Fig. 4b). There is also an amorphous phase to account for the diffuse scattering intensity. The enlarged BFI shows more clearly the coalesced nanocrystals of R-Ti<sub>1-x</sub>O and genicular rutile TiO<sub>2-x</sub> in accordance with the 135.0° twin law (*cf.* Fig. S6†). The microstructures and phase assemblage are generally similar for the films deposited under other pulse energy conditions, despite the difference in relative abundance of the phases (*cf.* Fig. S7† for the case of 200 mJ per pulse with an abundant amorphous phase). Further lattice imaging showed that additional high temperature favored the H-Ti<sub>1-x</sub>O phase (Fig. S8†) according to the documented case of H-TiO (JCPDS file 85-2084). The

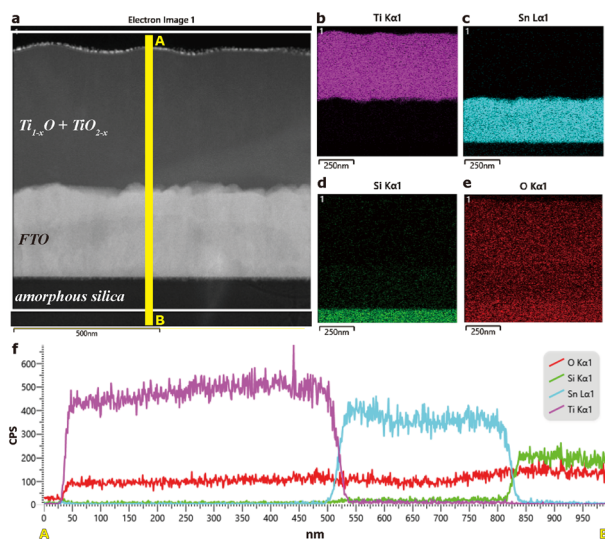


Fig. 3 Compiled STEM results of the titanium oxide thin film as deposited on FTO-coated silica glass by the PLA process under 600 mJ per pulse in vacuum: (a) HAADF image; (b)–(e) EDX mapping and (f) EDX line scan from position A to B in (a), showing Ti inward and Sn outward diffusion in a narrow (*ca.* 50 nm thick) interdiffusion zone.

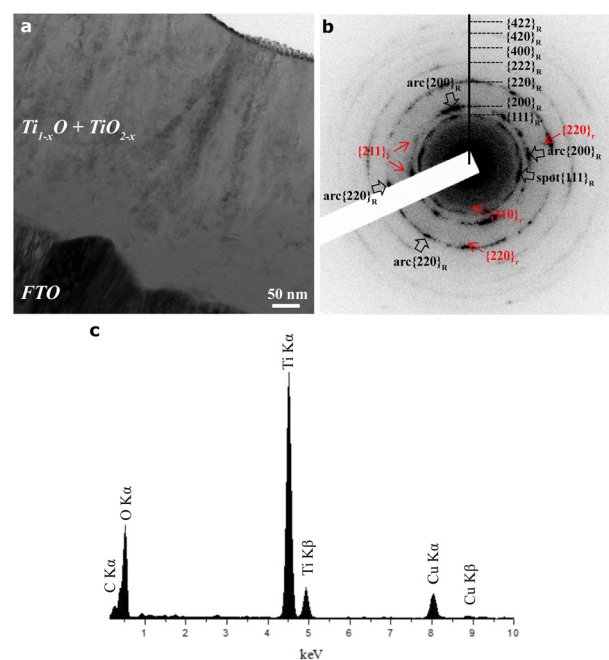


Fig. 4 TEM images of (a) BFI coupled with (b) SAED pattern and (c) EDX spectrum of the titania oxide thin film (predominantly R-Ti<sub>1-x</sub>O with preferred orientation and minor r-TiO<sub>2-x</sub>) as deposited on FTO-coated silica glass by the PLA process under 600 mJ per pulse in vacuum.



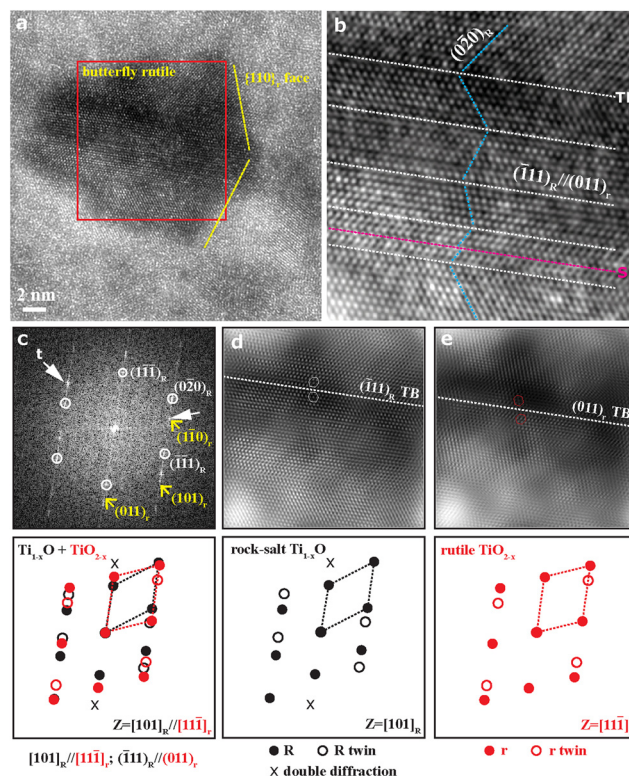
amorphous phase is abundant and has strong diffuse electron intensity for a film fabricated under the highest pulse energy of 800 mJ per pulse, as compiled in the “phase relative abundance” item in Table 1. The point-count EDX analyses on the intimately mixed R-Ti<sub>1-x</sub>O and r-TiO<sub>2-x</sub> qualitatively showed the Ti/O point count ratios varying from place to place with negligible Sn and F counts (Fig. 4c).

The TEM lattice image (Fig. 5a) coupled with a 2-D forward Fourier transform (FFT) (inset) from the magnified region of interest (Fig. 5b) shows the defective rock-salt Ti<sub>1-x</sub>O nanocondensate, as produced by 200 mJ per pulse as an example. The defect is about dislocation with a (100) half-plane edge-on in the [001]<sub>R</sub> zone axis, presumably due to the coalescence of nanocondensates on the  $\sim(100)$  vicinal surface. Sideband (SB) diffractions around the fundamental diffractions of rock-salt Ti<sub>1-x</sub>O can be attributed to the paracrystalline distribution of defect clusters with an average interspacing of *ca.* 2.5 times the lattice parameter of the rock-salt structure, as addressed latter. The low-magnification TEM BFI (Fig. S9†) shows the overall view of the defective region with distinct wavefront contrasts, which are attributed to a combination of dislocations and defect clusters.

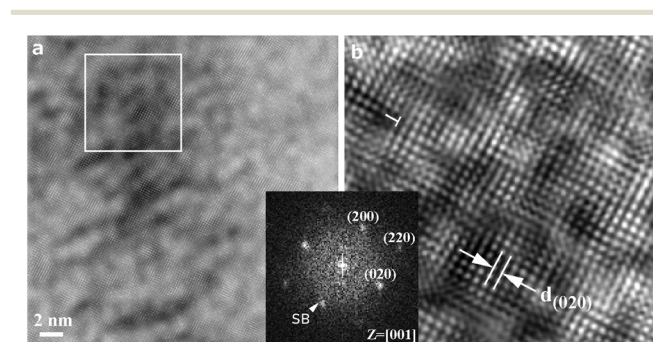
The lattice image (Fig. 6a) and magnified region of interest (Fig. 6b) from the film produced under 200 mJ per pulse, as an example, showed the novel rutile TiO<sub>2-x</sub>/rock-salt Ti<sub>1-x</sub>O nanocomposite with planar defects. They occurred amazingly as butterfly twin bicrystals following optimum COR [101]<sub>R</sub>//[111]<sub>r</sub> with ( $\bar{1}11$ )<sub>R</sub>/(011)<sub>r</sub> as the shared twin boundary edge-on in the [101]<sub>R</sub>/[111]<sub>r</sub> zone axis. This twinned composite accounts for superimposed twin spots from the two phases and double diffractions in the zone axis, as confirmed by the 2D-FFT with schematic indexing in the reciprocal lattice (Fig. 6c) and 2-D inverse Fourier transform (IFFT) from the individual phases in Fig. 6d and e, respectively. There are stacking faults (intrinsic with displacement vector  $\vec{R} = 0.5[0\bar{1}1]_r$ ) near the twin boundaries and well-developed {110}<sub>r</sub> faces, as shown edge-on in the [101]<sub>R</sub>/[111]<sub>r</sub> zone axis. Note that the rutile twin variants are

shown by the opposite lattice fringes across the (011)<sub>r</sub> twin boundary in Fig. 6d, whereas the rock-salt Ti<sub>1-x</sub>O twin variants shown by the opposite lattice fringes across the (111)<sub>R</sub> twin boundary in Fig. 6e are obscured by the partial transformation into r-TiO<sub>2-x</sub> and accompanying conjugated {111}<sub>R</sub> faulting and deformation twinning. The magnified images of Fig. 6d and e (Fig. S10†) indicate that the secondary polysynthetic twins of the butterfly bicrystal belong mainly to the parental R-Ti<sub>1-x</sub>O. In contrast, the intimate intergrowth of r-TiO<sub>2-x</sub> shows a closure twin associated with abundant dislocations and stacking faults. In contrast, the edge of the butterfly bicrystal is dominated by r-TiO<sub>2-x</sub>, which shows its well-developed {110}<sub>r</sub> faces edge-on (Fig. 6a).

The R  $\rightarrow$  r transformation twinning could proceed by topotaxial nucleation of r at the ( $\bar{1}11$ )<sub>R</sub> twin boundary or surface to form a (011)<sub>r</sub> twin boundary. In any case, the original ( $\bar{1}11$ )<sub>R</sub> surface and ( $\bar{1}11$ )<sub>R</sub>/(011)<sub>r</sub> interface became obscured by the secondary deformation twins and faults of R and r phases for beneficial lower strain energy. As discussed



**Fig. 6** TEM (a) lattice image and (b) magnified square region of the r-TiO<sub>2-x</sub>/R-Ti<sub>1-x</sub>O nanocomposite following COR [101]<sub>R</sub>//[111]<sub>r</sub>; ( $\bar{1}11$ )<sub>R</sub>/(011)<sub>r</sub> as a butterfly twin bicrystal showing stacking faults (SF) near the twin boundary (TB) and well-developed {110}<sub>r</sub> faces edge-on in the [101]<sub>R</sub>/[111]<sub>r</sub> zone axis. (c) IFT of the square region with schematic indexing showing superimposed twin spots and double diffractions due to an intimate mixture of the two phases as further indicated by the IFFT of the individual phases in (d) and (e). FIB sample from the film deposited on the FTO-coated silica glass under 200 mJ per pulse. Note that the phantom ( $\bar{1}11$ )<sub>R</sub>/(011)<sub>r</sub> interface, as denoted by the white dashed line in (b), is obscured by the secondary deformation TBs of R and r phases (*cf.* text).



**Fig. 5** TEM (a) lattice image of the R-Ti<sub>1-x</sub>O rich domain and (b) magnified square region with FFT (inset) showing dislocation (⊥) and paracrystalline distribution of defect clusters with an interspacing *ca.* 5 times (020) lattice fringes corresponding to sideband (SB) diffractions in the [001]<sub>R</sub> zone axis. FIB sample from a film deposited on the FTO-coated silica glass under 200 mJ per pulse.

later, the composite butterfly twin is remarkable with regard to the fact that the protoxide nanocondensates are likely to undergo coalescence twinned by the cubic close-packed (ccp) (111)<sub>R</sub> plane, which then acts as a nucleus for the geniculate rutile twin by the quasi close-packed (011)<sub>r</sub> plane.

### XPS and EELS measurements

Fig. 7a–d show the high-resolution Ti 2p and O 1s XPS spectra for the films as deposited on the FTO-silica glass under laser pulse energies of 600 and 800 mJ per pulse, respectively, as examples. For the case of 600 mJ per pulse, the major doublet  $\text{Ti}^{3+}2p_{3/2}$  and  $\text{Ti}^{3+}2p_{1/2}$  with binding energies of 456.4 eV and 462.3 eV, respectively, (Fig. 7a) arose from the spin-orbit splitting of  $\text{Ti}^{3+}$  in the defective lattice of the predominant rock-salt  $\text{Ti}_{1-x}\text{O}$  and rutile  $\text{TiO}_{2-x}$ . The superimposed minor peaks were further resolved by Lorentzian–Gaussian curve fitting at binding energies of 454.5 and 460.4 eV for  $\text{Ti}^{2+}$  and at 458.9 and 464.2 eV for  $\text{Ti}^{4+}$ . Thus, there are  $\text{Ti}^{4+}$ ,  $\text{Ti}^{3+}$  and  $\text{Ti}^{2+}$  charge states in the defective lattice of the predominant rock-salt  $\text{Ti}_{1-x}\text{O}$  and rutile  $\text{TiO}_{2-x}$ . The corresponding O 1s spectrum of this sample (Fig. 7b) shows the major peaks of  $\text{Ti}^{3+}$ ,  $\text{Ti}^{4+}$ , and  $\text{O}^{2-}$  with binding energies of 530.0, 530.7, and 532.0 eV, respectively, as well as minor signals at 527.6 and 533.1 eV for  $\text{Ti}^{2+}$  and  $\text{V}_\text{O}^{2-}$ , respectively. For the case of a higher pulse energy of 800 mJ per pulse, the Ti 2p and O 1s XPS spectra (Fig. 7c and d) show that the  $\text{Ti}^{4+}$  state dominates the  $\text{Ti}^{3+}$  state. Meanwhile, the O 1s signal of  $\text{Ti}^{2+}$  is drastically reduced, and the  $\text{Ti}^{3+}$  signal shifts slightly towards higher binding energy as a result of the more oxidized state of the nanocondensates under such a high pulse energy as 800 mJ per pulse.

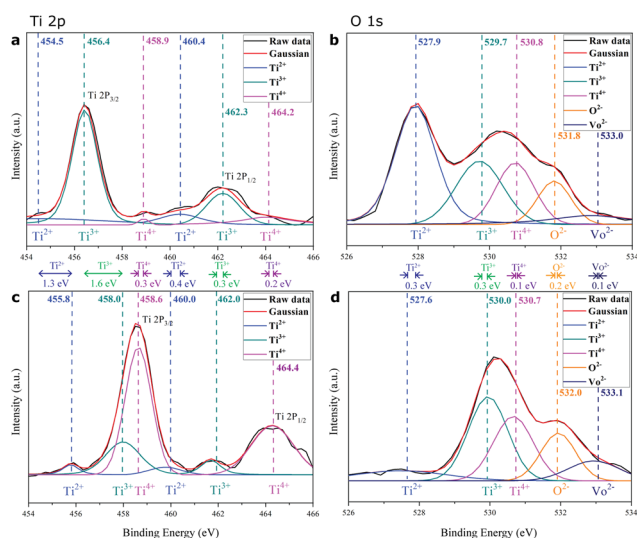


Fig. 7 Ti 2p (left panel) and O 1s (right panel) XPS spectra of the titanium oxide thin film as deposited on the FTO-coated silica glass by the PLA process in vacuum under specified pulse energies of (a and b) 600 mJ per pulse and (c and d) 800 mJ per pulse.

The background-subtracted Ti  $L_{2,3}$ -edge and O K-edge EELS spectra of the thin film as deposited on the FTO-glass under 600 mJ per pulse in vacuum are shown as examples in Fig. 8a and b, respectively. Note that the  $L_{2,3}$  edge of 3d transition metals is generally dominated by the dipole-allowed transition of 2p electrons to 3d unoccupied states ( $L_2: 2p_{1/2} \rightarrow 3d_{3/2}$ ,  $L_3: 2p_{3/2} \rightarrow 3d_{5/2}$ ) and generally reflects the valence state of transition-metal atoms. The two major  $L_3$  and  $L_2$  edges of the predominant rock-salt  $\text{Ti}_{1-x}\text{O}$  and rutile  $\text{TiO}_{2-x}$  turned out not to be doublets (Fig. 8a). In contrast, the stoichiometric rutile  $\text{TiO}_2$  standard (EELS Atlas, Gatan Inc.) shows subdivided peaks due to strong crystal-field splitting of  $\text{Ti}^{4+}$  from octahedrally coordinated oxygens (Gloter *et al.*, 2009; Huang *et al.*, 2010).<sup>15,22</sup> Apparently, the crystal field deteriorates due to the significant nonstoichiometry ( $x$ ) in the present nanocondensates for imperfect octahedral oxygen coordination. This is also manifested by the EELS O–K edge in Fig. 8b, showing that the Ti 3d and O 2p hybridized peak is slightly split by 1.5 eV for the film in contrast with 2.75 eV for the stoichiometric rutile standard. It should be noted that the  $L_{2,3}$  and K edges of the film shift to high energies relative to the rutile standard, apparently owing to the presence of  $\text{Ti}^{2+}/\text{Ti}^{3+}$  and defect clusters in the intimate intergrowth of rock-salt  $\text{Ti}_{1-x}\text{O}$  and rutile  $\text{TiO}_{2-x}$ .

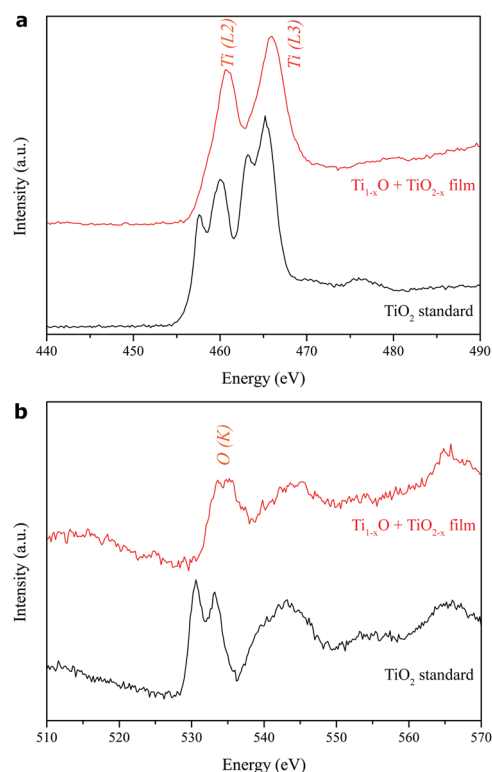


Fig. 8 Electron energy loss spectra of (a) Ti  $L_{2,3}$  and (b) O K edge of the  $\text{r-Ti}_{1-x}\text{O} + \text{r-TiO}_{2-x}$  predominant thin film as deposited on the FTO-coated silica glass by the PLA process under 600 mJ per pulse in vacuum (red traces) in comparison with the rutile  $\text{TiO}_2$  standard (black traces, EELS Atlas, Gatan Inc.).

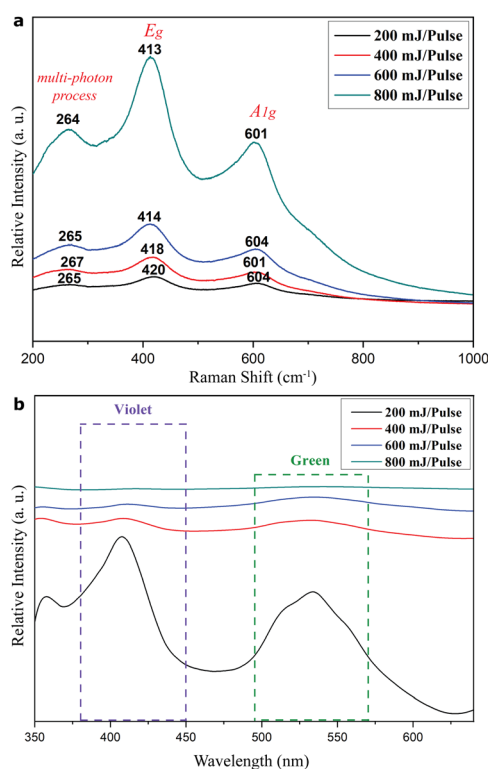


### Raman, PL, and UV-vis absorbance

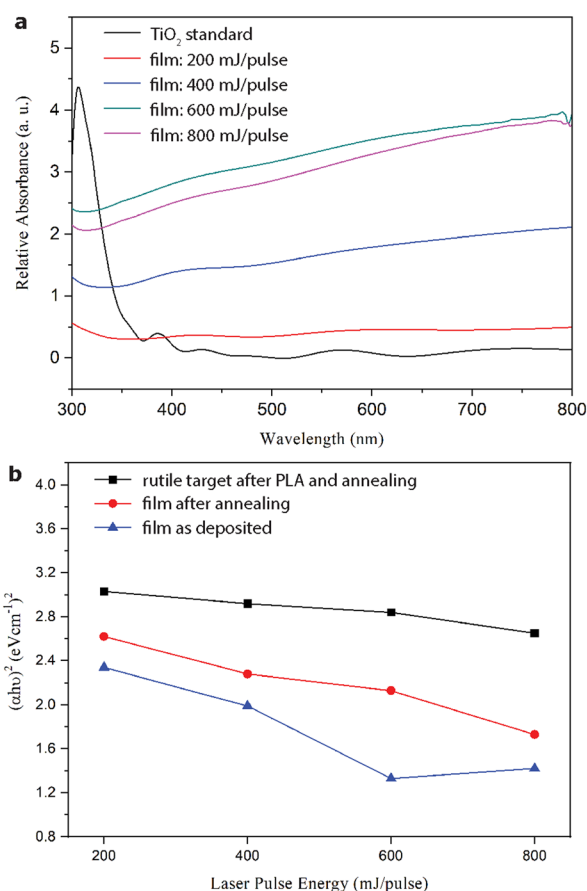
Raman shifts showed up at *ca.* 264, 413, and 601  $\text{cm}^{-1}$  for the predominant rock-salt  $\text{Ti}_{1-x}\text{O}$  and rutile  $\text{TiO}_{2-x}$  mixture in the films as deposited on the FTO-glass by PLA under various pulse energies (Fig. 9a). These shifts can be attributed to the multi-phonon process,  $E_g$ , and  $A_{1g}$  modes of rutile  $\text{TiO}_{2-x}$ , although with a significant shift of  $E_g$  and  $A_{1g}$  bands towards higher wavenumber, *i.e.* lower frequency, compared with 445.8 and 609.8  $\text{cm}^{-1}$ , respectively, for stoichiometric rutile.<sup>23</sup> This red shift effect is analogous to that due to  $\text{Ti}_2\text{O}$ ,  $\text{Ti}_3\text{O}_5$ , and some members of the homologous series  $\text{Ti}_n\text{O}_{2n-1}$  (where *n* is a number between 4 and 10) phases produced by microwave plasma processing on titanium.<sup>24</sup> In contrast, the Raman peak broadening and intensity reduction of  $E_g$  and  $A_{1g}$  modes can be attributed to the combined effects of crystallite size miniaturization, amorphization, and three-dimensional phonon confinement.<sup>25</sup> It should be noted that the intensity ratio of Raman bands was approximately the same among common  $\text{TiO}_2$ , such as  $A_{1g}/E_g$  of rutile and  $A_{1g}/E_g$  or  $B_{1g}/E_g$  of anatase.<sup>26</sup> In contrast, there was an irregular ratio of  $A_{1g}/E_g$  for the present rutile  $\text{TiO}_{2-x}$ , which has an intimate mixture of rock-salt  $\text{Ti}_{1-x}\text{O}$  with a paracrystalline distribution of defect clusters. The corresponding photoluminescence spectra of the films as compiled in Fig. 9b show significant violet and green emissions for the 200 mJ per pulse sample. These emissions can be attributed

to the predominant rock-salt  $\text{Ti}_{1-x}\text{O}$  and rutile  $\text{TiO}_{2-x}$ , respectively, in view of the reported value for each phase. In fact, titanium monoxide thin films (presumably  $\text{TiO}$  with some extent of nonstoichiometry and protonation) were prepared from precursor  $\text{TiCl}_3$  in distilled water using thermal chemical spraying on thin glass bases heated to a temperature of 350 °C.

This was followed by annealing at 323, 373, 423, 473, and 523 K. These films showed PL emissions in the range of 310–470 nm (peaks at 367, 376, 402, 408, and 429 nm) with an excitation wavelength of 300 nm.<sup>27</sup> These emission peaks were attributed to defect-trapped excitons which enhance various states in the  $\text{TiO}$  band gap and recombination center electrons.<sup>27</sup> As for the sol-gel  $\text{TiO}_2$  thin film, it shows green and orange emissions depending on the post-deposition processing, *i.e.* annealing at 500 °C in air or vacuum and UV light curing at room temperature.<sup>28</sup> It is noteworthy that violet and green emissions are rather broad and are progressively blurred as the pulse energy for film deposition increases from 400 to 800 mJ per pulse. This indicates that the recombination rate of photogenerated electrons and



**Fig. 9** (a) Raman and (b) PL spectra of the  $\text{R-Ti}_{1-x}\text{O} + \text{r-TiO}_{2-x}$  predominant thin film as deposited on the FTO-coated silica glass by the PLA process in vacuum under specified laser pulse energies of 200, 400, 600 and 800 mJ per pulse, respectively.



**Fig. 10** (a) UV-vis absorbance spectra and (b) the corresponding band gap of the  $\text{R-Ti}_{1-x}\text{O} + \text{r-TiO}_{2-x}$  predominant thin film as deposited on the FTO-coated silica glass by the PLA process in vacuum under 200, 400, 600 and 800 mJ per pulse, respectively, vs. that subject to further annealing at 450 °C in air for 5 h. The control group is the ablated  $\text{TiO}_2$  rutile target without/with further 450 °C annealing in air for 5 h.

holes is suppressed for rock-salt  $\text{Ti}_{1-x}\text{O}$  and rutile  $\text{TiO}_{2-x}$  as the laser pulse energy increases. However, the green emission became stronger for all the films (fabricated by PLA under 200 to 800 mJ per pulse) after annealing at 450 °C in air for 5 h (Fig. S11†); apparently, this was due to the enhanced  $\text{R} \rightarrow \text{r}$  transformation.

The UV-vis spectra of the films deposited on the FTO-silica glass under 200 to 800 mJ per pulse in vacuum are compared to corresponding rutile  $\text{TiO}_2$  targets in Fig. 10a. In contrast to the rutile  $\text{TiO}_{2-x}$  target which showed typical iron grayish color and UV absorption of around 200–400 nm, the films showed enhanced white light absorption, *i.e.* in the wavelength range of 400–800 nm in accordance with their darkish color as seen by the naked eye. The corresponding band gap from the Tauc plot (Fig. 10b) decreases from 1.7 to *ca.* 1.4 eV with an increase in pulse energy for both the films, and the ablated targets more or less with the accumulated condensates of  $\text{R-Ti}_{1-x}\text{O}$  and  $\text{r-TiO}_{2-x}$  for more effective visible absorption. The band gap widened significantly for the films but remained unchanged for the ablated targets after annealing at 450 °C in air for 5 h.

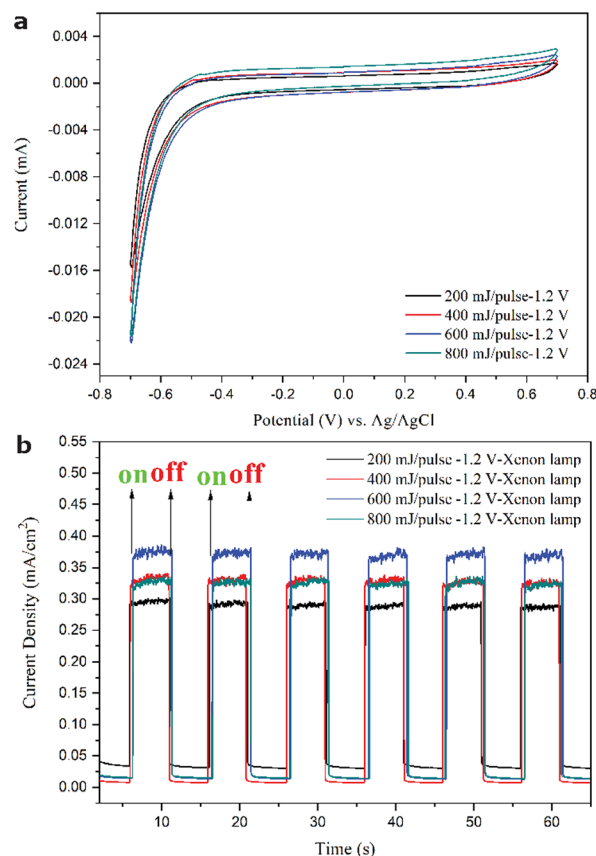
### Photoelectrochemical measurements

The current-voltage hysteresis curves related to the so-called current density ( $J$ )- $V$  curve as measured by a potentiostat at room temperature (Fig. 11a) indicates that the darkish thin films with the predominant  $\text{R-Ti}_{1-x}\text{O}$  and  $\text{r-TiO}_{2-x}$  as deposited on FTO-glass under 200–800 mJ per pulse have about the same photoelectron transfer efficiency for redox catalytic performance in terms of fair visible absorption and carrier recombination. Such redox properties remained almost the same for the films after annealing at 450 °C in air for 5 h (not shown). In contrast, the current density-time curves of the darkish films (Fig. 11b) show good photocurrent density close to that of FTO<sup>29</sup> and  $\text{Ag:TiO}_2$  thin films<sup>30</sup> for potential photovoltaic applications. The film produced at 600 mJ per pulse turned out to be optimum with the highest current density, indicating that the film performance has little to do with the FTO underneath. Such photoelectronic properties were further improved for the films after annealing at 450 °C in air for 5 h (not shown). It should be noted that the titanium oxide thin film as deposited on silica glass (Fig. 1b) was retained upon ambient aging for more than 1 year, although the crystalline  $\text{R} + \text{r}$  phases became less than the amorphous phase (Fig. S12a†). As for a thin film subjected to immersion in KOH solution for more than 12 hours and PEC measurement under xenon lamp irradiation and an external voltage of 1.2 V, the amorphous phase was even more pronounced (Fig. S12b†).

## Discussion

### Pulse-energy tailored lattice parameters and $x$ of $\text{Ti}_{1-x}\text{O}$ and $\text{TiO}_{2-x}$

The rock-salt  $\text{Ti}_{1-x}\text{O}$  nanocondensates in the thin film deposited on silica glass free of FTO coating (Fig. 1b) showed



**Fig. 11** Cyclic voltammetry (a) and chopped current density-time curve (b) of a photoelectrochemical cell using the titanium oxide film/FTO as the working electrode in a three-electrode configuration with 1.2 V external bias under xenon illumination, indicating that a sample prepared with the optimum pulse energy (*i.e.* 600 mJ per pulse) has the highest current density.

progressively smaller X-ray lattice parameters down to *ca.* 0.418 nm as the pulse energy increased from 400 to 800 mJ per pulse. This compares with 0.4180 nm (JCPDS file 65-2900) or the even larger 0.4228 nm documented for stoichiometric  $\text{TiO}_2$ .<sup>4</sup> In general, the lattice parameter increases with the decreasing extent of nonstoichiometry  $x$  for a transition metal monoxide such as wüstite ( $\text{Fe}_{1-x}\text{O}$ ) due to the so-called Jette and Foote rule,<sup>31</sup> but decreases with an increasing extent of applied pressure such as by the PLA process to fabricate dense post-rutile phases of  $\text{TiO}_2$ .<sup>10,11</sup> For this reason, because  $\text{R-Ti}_{1-x}\text{O}$  and  $\text{r-TiO}_{2-x}$  nanoparticles are intimately mixed which interferes with chemical composition analysis, it is very difficult if not impossible to determine the exact  $x$  values for the two phases. Nevertheless, XRD and chemical analyses on high-pressure hot-pressed specimens showed that rutile has a significant extent of nonstoichiometry ranging from  $\text{TiO}_{1.86}$  to  $\text{TiO}_{1.91}$ .<sup>32</sup> In contrast, high-pressure experimental results at 300 °C indicated that the value of  $x$  in  $\text{Fe}_{1-x}\text{O}$  decreases as the pressure is increased isothermally to 100 kbar, and later increases as the pressure increases above 100 kbar.<sup>33</sup> It is not clear whether higher pulse energy favors the high entropy state of  $\text{R-Ti}_{1-x}\text{O}$  by a

larger extent of nonstoichiometry ( $x$ ). In any case, an optimum  $x$  for each phase for fair lattice match at  $(hkl)_R$ -specific surfaces is of concern for the transformation twinning path, as addressed in the following section.

### R-Ti<sub>1-x</sub>O to r-TiO<sub>2-x</sub> transformation (I): the dense $(hkl)$ -specific transformation path

The rock-salt-type Ti<sub>1-x</sub>O nanocondensates, as deposited on the FTO-silica substrate, were partially transformed into rutile-type TiO<sub>2-x</sub> (Fig. 6) rather than into the structurally more similar anatase. This can be rationalized by the dense  $(111)_R$ -specific phase transformation path under the influence of directional (monotonous outward) oxygen supply from FTO and silica glass at rather high temperatures in the rutile T-P stability field during the PLA deposition process in vacuum. Apparently, without FTO coating, the amorphous silica substrate was still effective at oxidizing Ti<sub>1-x</sub>O into titanium double oxide (Fig. 1b) in accordance with the optically birefringent rutile TiO<sub>2-x</sub> particulates as identified by optical polarized microscopy (ESI† C). The Ti inward and Sn outward diffusion were restricted to a narrow (*ca.* 50 nm thick) interdiffusion zone (Fig. 3), and hence played a minor/negligible role in the phase selection in the film overlain on FTO. Conversely, the predominant  $\{111\}_R$  surface of the R-Ti<sub>1-x</sub>O nanocondensates with such a preferred orientation on the substrate enabled the topotaxial nucleation of r-TiO<sub>2-x</sub> with the preferred  $(101)_r$  orientation (*cf.* XRD results in Fig. 1b), as otherwise anatase would be favored on the  $\{100\}_R$  surface by the excellent parallel-lattice along the  $a_1$  and  $a_2$  axes of anatase (Kao *et al.*, 2011)<sup>17</sup> (in this connection, thermal oxidation of Ti film in air is known to produce oxygen-enriched Ti, *i.e.* an intermediate hexagonal Ti<sub>2</sub>O phase which transforms into rutile at 700 °C (Ting *et al.*, 2000)<sup>34</sup> with  $(200)$  texture due to the  $(0002)$  preferred orientation of Ti<sub>2</sub>O after Ti film (Ting *et al.*, 2022)).<sup>35</sup> There are two reasons for having  $(101)_r$  preferred orientations of the present r-TiO<sub>2-x</sub> condensates by the PLA process. First, the  $(101)_r$  surface has the second lowest surface energy among the habit planes of rutile, *i.e.*  $\gamma\{110\} < \{101\} < \{221\} < \{100\}$  with surface energies of 1.78, 1.85, 2.02, and 2.08 J m<sup>-2</sup>, respectively, according to the atomistic simulations in Oliver *et al.* (1997).<sup>36</sup> Second, the  $(101)_r$  surface has higher oxygen atom coverage and surface density than  $\{110\}_r$  or  $(100)_r$ , and both have edge-shared TiO<sub>6</sub> octahedral chains along the  $c$ -axis, for easier oxidation and densification under the PLA process, analogous to the formation of dense  $(hkl)$  surfaces for  $\alpha$ -PbO<sub>2</sub>-type TiO<sub>2</sub> (Chen and Shen, 2002).<sup>10</sup>

Regarding the temperature and pressure effects on phase stability, TiO film was found to partially transform into anatase upon thermal oxidation in air at 400 °C, *i.e.* in the anatase stability field below the anatase/rutile equilibrium temperature of 600 °C at 1 atm; see Fig. 2 in Jamieson and Olinger (1969).<sup>37</sup> In addition, uniform anatase-type TiO<sub>2</sub> nanoparticles were synthesized by the gel-sol process from a condensed Ti(OH)<sub>4</sub> gel upon aging at 140 °C under optimum

pH.<sup>5</sup> Confined pressure by the very rapid heating and cooling effect of the PLA process would also favor rutile formation rather than anatase in view of the T-P phase diagram of TiO<sub>2</sub>.<sup>37</sup> It is interesting that the anatase-to-rutile phase transformation was found to nucleate at anatase  $\{112\}$  twin boundaries formed by oriented attachment of nanocrystalline anatase during hydrothermal synthesis at 250 °C.<sup>6</sup> This implies that the rock-salt Ti<sub>1-x</sub>O  $\{111\}$  twin boundary of the primary butterfly twin boundary as formed by a condensation-coalescence mechanism in the PLA process would also act as a nucleation site for rutile to form a secondary butterfly twin in terms of lattice match with a fair 2-D CSL, as addressed in the following.

### R-Ti<sub>1-x</sub>O to r-TiO<sub>2-x</sub> transformation (II): 2-D CSL at phase interface and twin boundary

Given the COR  $[101]_R//[11\bar{1}]_r$  with  $(\bar{1}11)_R/(011)_r$  as the phase interface (see the trace in the stereographic projection in Fig. S13†) and twin boundary of each counterpart, the geometry and energetics of such a special interface can be visualized by the following construction of the coincidence site lattice (CSL) with *in situ* constrained lattice parameters based on the XRD  $d$ -spacing of  $(111)_R$  and  $(101)_r$  for the case of 600 mJ per pulse (Fig. 1b). As shown by the schematic drawing of atom disposition in Fig. 12, the  $(\bar{1}11)_R/(011)_r$  interface in the top view is about the match of cation-anion unmixed  $(111)_R$  and  $(011)_r$  planes to have a fair 2-D rectangular (or twinned primitive rhombohedra) CSL in terms of the periodic match of Ti atoms. The unconstrained misfits for CSL are calculated from the XRD  $d$ -spacings of R-Ti<sub>1-x</sub>O ( $d_{111R} = 0.241$  nm;  $d_{211R} = 0.171$  nm, JCPDS file 65-2900) and r-TiO<sub>2-x</sub> ( $d_{101r} = 0.249$  nm;  $d_{122r} = 0.120$  nm, JCPDS file 21-1276) as,  $\varepsilon_0 = (d_{011r} - d_{111R})/d_{111R} = 0.033$  for the quasi close-packed plane match

$$\varepsilon_1 = \varepsilon_{(111)_R/(110)_R} = 0.21$$

$$\varepsilon_2 = \varepsilon_{(755)_r/(112)_R} = 0.37$$

in terms of Ti atomic plane spacing along the  $\langle 111 \rangle_r$  and  $\langle 755 \rangle_r$  directions, respectively (Fig. 12) (note  $(d_{552r} \times 6 - d_{110R})/d_{110R} = (0.357 - 0.296 \text{ nm})/0.296 \text{ nm} = 0.21$  for  $\varepsilon_1$ , and  $(d_{752r} \times 7 - d_{224R} \times 3)/(d_{224R} \times 3) = (0.351 - 0.256 \text{ nm})/0.256 \text{ nm} = 0.37$  for  $\varepsilon_2$ ).

The  $(\bar{1}11)_R/(011)_r$  pole pair is the only low-index plane match to act as a habit plane for the composite (*cf.* Fig. S13†). The anisotropic stress state in terms of  $\varepsilon_0$ ,  $\varepsilon_1$ , and  $\varepsilon_2$  then exerts significant shear stress along the habit  $(\bar{1}11)_R/(011)_r$  interface with a fair CSL (Fig. 12). This accounts for the extensive faulting and even secondary deformation twinning for relic R-Ti<sub>1-x</sub>O upon transformation into r-TiO<sub>2-x</sub> (Fig. 6), presumably coupled with the synchro-shear of the quasi-close-packed  $(\bar{1}11)_R$  and  $(011)_r$  atom planes to form super-partial dislocations. In this connection, the rutile



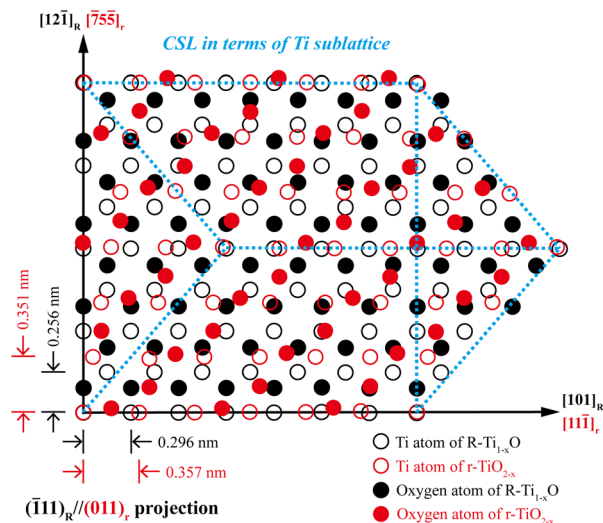


Fig. 12 Schematic drawing of the  $(\bar{1}\bar{1}1)_R/(011)_r$  interface in top view with Ti (open circle) and O (solid circle) atoms denoted in black and red for R and r, respectively with CSL in terms of the periodic match of Ti atoms outlined by blue dashed lines.

nanocondensates that underwent the  $(011)$ -specific coalescence process were found to relax by shearing along  $\langle 011 \rangle$  directions to form stacking faults.<sup>14</sup> It is also interesting that there is an interaction of dislocations belonging to the slip systems  $\{101\}\langle \bar{1}01 \rangle$  and  $\{110\}\langle 001 \rangle$  with small and extended nonstoichiometric defects.<sup>38</sup>

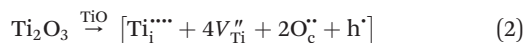
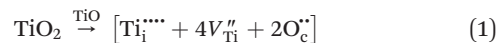
Topologically, there is a similar geniculate structure unit at the  $(\bar{1}\bar{1}1)_R$  twin boundary ( $[101][\bar{1}\bar{1}1]$   $70.5^\circ$  tilt boundary) and  $(011)_r$  twin boundary (*i.e.*  $[11\bar{1}](011)$   $135.0^\circ$  tilt boundary equivalent to  $[100](011)$   $114.4^\circ$  tilt boundary<sup>39</sup>) presumably with O or Ti atoms merged from neighboring layers (Fig. S14a†). This accounts for the favorable nucleation of  $r\text{-TiO}_{2-x}$  at the  $R\text{-Ti}_{1-x}\text{O}$  twin boundary. The 2-D lattice match at the  $(\bar{1}\bar{1}1)_R/(011)_r$  interface allows the topotaxial nucleation of three twinned variants of  $r\text{-TiO}_2$  from the  $R\text{-Ti}_{1-x}\text{O}$  host, involving considerable  $\text{TiO}_6$  rotation at the coincidence site (Fig. S14b†) to introduce deformation twinning and faulting (Fig. S10†). Thus, the  $R\text{-Ti}_{1-x}\text{O}$  coalescence twin variants in the film fabricated optimally at 600 mJ per pulse (Fig. S6†) may develop into  $r\text{-TiO}_{2-x}$  multiple twin variants upon thermal oxidation analogous to that *via* a hydrothermal reaction.<sup>39</sup> (Regarding the  $(hkl)$ -specific coalescence twinning, it was originally reported for  $\text{CeO}_2$  condensates by the PLA process<sup>40</sup> and later observed for rock-salt-type magnesiowüstite nanocondensates with  $(111)$  twin boundaries.<sup>41</sup>) The topological constraint at such a  $(111)_R$  coalescence twin boundary with close-packed atoms accounts for the favorable dense-phase selection of rutile rather than anatase and polysynthetic deformation/closure twins that accompanied the partial  $R \rightarrow r$  transformation for strain relaxation (Fig. S10†).

It is interesting that the dense  $\alpha\text{-PbO}_2$ -type  $\text{TiO}_2$  (denoted  $\alpha$ ) nucleated at the rutile  $(011)_r$  twin boundary by the COR  $[110]_\alpha/[11\bar{1}]_r$ ;  $(001)_\alpha/(011)_r$  in the UHP metamorphic

terrain<sup>12</sup> did not form a twinned bicrystal because the  $(001)_\alpha$  plane happens to be a mirror plane given space group  $Pbcn$  (Fig. S15†). For the same reason, a rutile twinned bicrystal cannot be formed by nucleation at the  $(112)$  twin boundary of anatase (A) following the COR  $(112)_A/(010)_r$ ;  $[\bar{1}01]_A/[\bar{1}\bar{1}6]_r$  because  $(010)_r$  as a match plane at the interface (*cf.* Fig. 6 in Penn and Banfield, 1999),<sup>6</sup> is again a mirror plane given space group  $P4_2/mnm$ . Indeed, such rutile nuclei on the  $(112)_A$  anatase twin surface are a single crystal, as indicated by the lattice fringes (*cf.* Fig. 4 in Penn and Banfield).<sup>6</sup> Thus, the geniculate twinned bicrystal of single-phase rutile with  $(011)_r$  twin boundary *via* the hydrothermal process, as shown in Fig. 5 of Penn and Banfield,<sup>6</sup> or *via* the PLA process in vacuum with purged oxygen,<sup>14</sup> were most likely formed by the crystallites coalescing over an atomic plane which happens to be a non-mirror plane, *i.e.*  $(011)_r$  for the  $(hkl)$ -specific impingement. The criterion of the novel secondary butterfly-transformation twinning in this work, along with other twinning mechanisms under natural or synthetic conditions, are compiled schematically in Fig. S15.†

### Point defects in $\text{Ti}_{1-x}\text{O}$ and $\text{TiO}_{2-x}$ for defect clustering and charge transfer

The side-band diffractions in the  $[001]$  zone axis of a single rock-salt  $\text{Ti}_{1-x}\text{O}$  nanocondensate (Fig. 5a and b) can be attributed to the paracrystalline state of the defect clusters. In this regard, rock-salt-type 3d transition metal monoxides with a considerable extent of nonstoichiometry, such as  $\text{Fe}_{1-x}\text{O}$  ( $x \leq 0.15$ ),<sup>42</sup>  $\text{Co}_{1-x}\text{O}$  ( $x \sim 0.01$  extended to  $\text{Co}_{3-x}\text{O}_4$ ),<sup>43</sup>  $\text{Ni}_{1-x}\text{O}$  doped with  $\text{Zr}^{4+}$ ,<sup>44</sup> and even an  $\text{NiO-CaO}$  binary,<sup>45</sup> tend to have their defect clusters in a paracrystalline distribution, which is why the spacing between defects remains fairly constant, but the relative lateral translation may occur with more variation.<sup>46,47</sup> The present rock-salt  $\text{Ti}_{1-x}\text{O}$  paracrystal is likely to have about 4 octahedral cation vacant sites surrounding one  $\text{Ti}^{4+}$ -filled tetrahedral interstitial site, analogous to the 4:1 type defect clusters in  $\text{Fe}_{1-x}\text{O}$ .<sup>42</sup> From a defect chemistry viewpoint, rock-salt  $\text{Ti}_{1-x}\text{O}$  doped with aliovalent  $\text{Ti}^{4+}/\text{Ti}^{3+}$ , as indicated by XPS (Fig. 6), and extra  $\text{O}^{2-}$  in the lattice ( $\text{O}_c^\bullet$ ) by directional oxygen uptake from FTO or an available proton ( $\text{h}^\bullet$ ) would undergo following equations for paracrystal formation:



where  $V_{\text{Ti}}^{\bullet\bullet}$  may in fact be tetravalent Ti in association with a pair of free electrons for a paracrystal with  $\text{Ti}^{4+}$  dopant in eqn (1). Alternatively, a hydrogen dopant, *i.e.* an electron-hole  $\text{h}^\bullet$  may be involved in the case of a  $\text{Ti}^{3+}$  dopant in eqn (2) to form a paracrystalline assembly. The concentration of the above defect clusters in the paracrystal can be scaled by their interspacing, which is *ca.* 2.5 times the unit cell dimension of the present  $R\text{-Ti}_{1-x}\text{O}$  (Fig. 5b).

As for the rutile  $\text{TiO}_{2-x}$  condensates, the  $\text{Ti}^{4+}$  ion could be replaced by larger-size  $\text{Ti}^{2+}$  or  $\text{Ti}^{3+}$  to introduce  $\text{V}_\text{O}^{\bullet\bullet}$  charge/volume compensation in view of the fact that  $\text{TiO}_{2-x}$  is a typical oxygen-deficient oxides<sup>48</sup> and is known to contain  $\text{Ti}^{4+}$  interstitials and oxygen vacancies with low formation energy.<sup>49</sup> The type of defect clusters for rutile  $\text{TiO}_{2-x}$  are then likely to be  $[\text{Ti}_\text{Ti}''-\text{V}_\text{O}^{\bullet\bullet}]$  or  $[\text{Ti}_\text{Ti}'-\text{V}_\text{O}^{\bullet\bullet}-\text{Ti}_\text{Ti}']$ , which did not assemble as a paracrystal but could cause stacking faults, as shown in Fig. 6. The intimate mixture of such defective rock-salt  $\text{Ti}_{1-x}\text{O}$  and rutile  $\text{TiO}_{2-x}$  would then have various point-defect species with multiple valences to facilitate charge transfer. The  $\text{Ti}^{2+}$  ion may be oxidized as  $\text{Ti}^{3+}$  and  $\text{Ti}^{4+}$  at the film surface accompanied by inward electron migration to oxygen vacancies as  $\text{V}_\text{O}^{2-}$ , in view of such species being revealed by the XPS spectra for a film fabricated at the optimum pulse energy of 600 mJ per pulse (Fig. 7a and b). It would hence affect the photocatalytic and photoelectrochemical properties.

### Defect-dependent photocatalytic and photoelectrochemical properties

The  $\text{R-Ti}_{1-x}\text{O} + \text{r-TiO}_{2-x}$  films overlain on the FTO-glass film under 200–800 mJ per pulse remained darkish by white light absorbance. Meanwhile, their green PL emissions were enhanced at the expense of violet emission by the  $\text{R} \rightarrow \text{r}$  transformation, whereas the band gap became wider, and the periodic on-off photocurrent density improved after the films were annealed at 450 °C in air for 5 h. This allows potential photovoltaic, photocatalytic, and optoelectronic applications of the  $\text{R-Ti}_{1-x}\text{O} + \text{r-TiO}_{2-x}$  nanocondensates in harsh environments, such as deserts, the earth's interior (mining tunnels), polluting factories, or space shuttles/stations in outer space.

In fact, the  $\text{R-Ti}_{1-x}\text{O} + \text{r-TiO}_{2-x}$  nanocondensates have abundant  $x$ -enabled oxygen/cation vacancies and defect clusters, which affect the efficiency of charge carrier trapping, migration, transfer, and separation. The recombination of such charge carriers below a certain concentration level to avoid the so-called fluorescence quenching effect at temperature and pressure would enhance the green PL intensities of rutile  $\text{TiO}_{2-x}$  at the expense of the UV intensity of rock-salt  $\text{Ti}_{1-x}\text{O}$  upon annealing at different temperatures in air (*cf.* Fig. 9b and S8†) although the actual PL mechanisms of  $\text{Ti}_{1-x}\text{O}$  and  $\text{TiO}_2$  are yet to be clarified.<sup>50</sup> (The rutile PL may involve self-trapped holes located at oxygen atoms or trapped electrons occupying midgap states positioned below the Fermi level, according to Pallotti *et al.*, 2017.<sup>50</sup>) In any case, the enhanced green PL emission at the expense of UV emission upon thermal oxidation for an  $\text{R} \rightarrow \text{r}$  transformation would have potential application as multiple sensors of temperature and oxygen fugacity.

In contrast, a lower recombination rate of electrons and holes is beneficial to higher photoconductivity and photoelectrochemical activity, as measured by the potentiostat in this study (Fig. 11). In this regard, formation

energies for the point-defect species in rutile with alleged  $\text{TiO}_2$  stoichiometry were calculated by the polarizable point-ion shell mode to be 10.8 eV for interstitial  $\text{Ti}^{4+}$ , 10.1 eV for an oxygen vacancy with  $2^+$  effective charge, and 2.9 eV for  $\text{Ti}^{4+}$  migration along the crystallographic  $c$  axis.<sup>49</sup> The formation energies for the point-defect species in rock-salt  $\text{Ti}_{1-x}\text{O}$  would be different from those of rutile  $\text{TiO}_{2-x}$  in view of the crystal field splitting in: (I)  $\text{TiO}_2$  *via* EELS showing strong splitting for L3 and L2 edges due to  $\text{Ti}^{4+}$  from the octahedrally coordinated oxygens,<sup>15,22</sup> (II)  $\text{Ti}_3\text{O}_5$  *via* EPR spectroscopy regarding  $\text{Ti}^{3+}$  ions in the mixed-valence phases of the titanium-oxygen system  $\text{Ti}_n\text{O}_{2n-1}$  (ref. 51) and (III) the stability of oxygen vacancies at the subsurface of  $\text{TiO}_2$  (with anatase as an analog) in terms of the Ti-3d orbitals in different crystal fields occupied by excess electrons.<sup>52</sup> Such various energy states under the crystal field effect for the point-defect species in the intimate mixture of  $\text{R-Ti}_{1-x}\text{O} + \text{r-TiO}_{2-x}$  nanocondensates could then possess photoelectronic characteristics, such as double UV-green PL emissions, to act as multiple sensors.

## Conclusions

By the PLA of a rutile polycrystal in vacuum for film deposition on an FTO-coated or FTO-free silica glass substrate, we were able to produce rock-salt  $\text{Ti}_{1-x}\text{O}$  and rutile  $\text{TiO}_{2-x}$  nanocondensates with a shape-preferred orientation adopted by the close-packed planes. The novel  $\text{R} \rightarrow \text{r}$  transformation was identified to follow optimum COR  $[101]_\text{R}/[11\bar{1}]_\text{r}$ ;  $(\bar{1}11)_\text{R}/(011)_\text{r}$  for composite butterfly twins due to topotaxial nucleation of rutile at the  $(111)_\text{R}$  surface or coalescence twin boundary to form a  $(\bar{1}11)_\text{R}/(011)_\text{r}$  interface with a fair CSL in terms of the periodic match of Ti atoms. Still, there is a significant anisotropic stress state at the transformation front, leaving secondary deformation twins, stacking faults, and dislocations in terms of the close-packed planes for both phases. The dense  $(111)_\text{R}$ -specific  $\text{R} \rightarrow \text{r}$  transformation is in drastic contrast to previous observations of the (100)-mediated  $\text{TiO} \rightarrow \text{anatase}$  transformation by thermal oxidation of Ti in air or the reverse anatase to  $\text{TiO}$  transformation by *in situ* heating in TEM. The composite butterfly twin from  $\text{R} \rightarrow \text{r}$  transformation also sheds light on the underlying criterion of the secondary butterfly twin being the  $(011)_\text{r}$  match plane, which happens to be a non-mirror plane.

The intimately mixed  $\text{R-Ti}_{1-x}\text{O}$  and  $\text{r-TiO}_{2-x}$  nanocondensates have buffered  $x$  and oxygen for crystal-field and surface-directed charge transfer in terms of planar/point defects and paracrystalline distribution of defect clusters. This accounts for characteristic violet/green double PL emissions, white-light absorbance, and fine photoelectrochemical properties for potential photocatalytic and optoelectronic applications, in particular as multiple sensors in terms of the  $\text{R} \rightarrow \text{r}$  transformation and even excellent electron conductivity when  $x$  in  $\text{R-Ti}_{1-x}\text{O}$  approaches zero.

## Author contributions

Conceptualization, C.-N. H. and P. S.; methodology, C.-N. H., J.-Y. C. and P. S.; validation, C.-N. H., Y.-X. Lin and P. S.; formal analysis, C.-N. H. and J.-Y. C.; investigation, C.-N. H., J.-Y. C. and P. S.; resources, C.-N. H. and J.-Y. C.; writing– original draft preparation, C.-N. H. and J.-Y. C.; writing – review and editing, C.-N. H. and P. S.; supervision C.-N. H. and P. S.; project administration, C.-N. H. All authors have read and agreed to the published version of the manuscript.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

The authors gratefully acknowledge anonymous reviewers for their positive feedback, their relevant and constructive comments on our manuscript, and Ms. Shih-Wen Tseng for the use of JEOL JEM-2100F Cs STEM belonging to the Instrument Center of National Cheng Kung University. This work was supported by the National Science and Technology Council, Taiwan, ROC under contract MOST 110-2637-E-218-007 and NSC 92-2120-M-110-001.

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