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Response of helielectric nematics under an in-plane electric field

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In traditional chiral nematic liquid crystals, the apolar cholesterics, the dielectric effect is the main driving force for responding to an electric field. The emerging polar chiral nematics, dubbed helielectric nematics, are the polar counterparts of the cholesterics. The head-to-tail symmetry breaking of the new matter state enables it to respond sensitively to the polarity of an electric field. Here, we report on the observation of a sequential polar winding/unwinding process of polarization helices under an electric field applied perpendicular to the helical axes, which behaves distinctly from the unwinding of the apolar cholesteric helices. Understanding the helix-unwinding behaviors provides insights for developing switchable devices based on helielectric nematics.

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Introduction

The chiral nematic liquid-crystalline (LC) state, the so-called cholesteric (Ch) LCs, is characterized by its self-assembled helical superstructure with a chiral arrangement of molecules that form quasi-layers.¹⁻³ The matter state is ubiquitous and can be found in a wide range of natural and synthetic systems. The fundamental constituents are usually anisotropicallyshaped particles such as rod- and bend-shaped molecules, whose local ensemble-averaged orientation of molecules (the so-called director \vec{n}) is head-to-tail symmetric, *i.e.*, $\vec{n} \equiv -\vec{n}$. This apolar orientational symmetry is usually characterized by the second-order Legendre polynomial ($P_2(\cos \theta)$). This symmetry leads to the dielectric response of Ch LCs under an electric field, where the orientation of the director quadratically couples to the electric field. It means that the director does not sense the directionality of the electric field but chooses the fastest way to align either parallel or antiparallel to the electric field (Fig. 1a). Thanks to the excellent electro-optic properties and fast electric-field tunability, Ch LCs have been the central target in establishing vast next-generation LC technologies such as liquid-matter-based sensors,⁴ security⁵ and anticounterfeiting applications⁶ photonic systems including optical modulators,⁷ planar optics⁸ and lasing devices.⁹

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Recently, several new classes of LCs have been discovered, e.g., ferroelectric nematic,^{10–15} polar chiral Ch (dubbed helielectrics)¹⁶⁻²⁰ and ferroelectric smectic-A²¹⁻²³ states. In contrast to traditional nematic, Ch and smectic-A LCs that are macroscopically apolar, the emerging matter states demonstrate strong macroscopic polarity enabled by introducing large dipoles into the molecular constituents.^{10,13,24–27} Among them, the polarization vector \vec{P} is nearly along the long axis of \vec{n} , so \vec{n} and \vec{P} are completely coupled. In other words, the apolar and polar orientational orders, characterized by $P_2(\cos \theta)$ and the first-order Legendre polynomial ($P_1(\cos \theta)$), respectively, coexist in the emerging polar states.²⁴ For simplicity and convenience, we use the polarization vector P to describe the directionality of the local polarization hereafter. The unique symmetry endows the systems with mixed polar and dielectric responses to an electric field. At low amplitudes, the polar response, which exhibits a linear coupling between \vec{P} and the electric field \vec{E} , *i.e.*, electric torque $\propto \vec{\vec{P} \cdot E}$, dominates.^{12,20,28,29} Compared to the dielectric response in traditional Ch LCs (Fig. 1a), the polar response differentiates the directionality of the electric field and favors the alignment of local polarization parallel to the electric field (Fig. 1b). This would result in an asymmetric deformation of polar helices in polar and chiral nematic LCs (Fig. 1d), dubbed helielectrics, while traditional Ch LCs exhibit a symmetric response of the helices (Fig. 1c). It is shown that polar switching significantly lowers the driving voltage for the LC reorientation down to the order several tens of mV μm^{-1} .^{20,28} It provides an exciting opportunity to develop ultralow electricity-consumption LC devices. Yet, there are only a few studies investigating the dynamic processes of the polar response. It is challenging to understand how the

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Fig. 1 Schematics of the dielectric (a) and polar (b) responses under a DC electric field. The deformation of the director and polarization fields under the DC field in the N* (c) and HN* (d) states. The dielectric anisotropy is assumed to be positive here.

polarization reorientation processes would affect the structure of the new polar states.

In this article, we report on a systematic observation of polar responses in the helielectrics with different numbers of polar helices. Under a small direct-current (DC) electric field, we reveal that the polarization helix undergoes multiple stages of unwinding and winding structural transitions upon the field being turned on and off, respectively. This scenario is absent in traditional Ch LCs and offers a novel pathway for controlling LC structures.

Experimental section

Sample preparation

The helielectric mixture was generated by adding commercial chiral dopants R811 at a concentration of 1.1 wt% to the homemade ferroelectric nematic LC 4-((4-nitrophenoxy)carbonyl) phenyl 2,4-dimethoxybenzoate (RM734). These two solid materials were dissolved in chloroform, and then the solution was sonicated to make it homogeneously dispersed. Finally, the solvent was volatilized at 55 °C until completely removed. When the temperature of RM734/R811 mixtures was controlled below 133 °C, the helielectric nematic (HN*) phase appeared spontaneously. According to the results of the reciprocal of the helical pitch as a function of the concentration of R811 (HTP $\approx 14.2 \,\mu\text{m}^{-1}$),^{30,31} the helical pitch *p* of the HN* mixture we used is about 6.7–7.2 μ m. The apolar helical structures in Ch LCs were obtained by mixing a chiral agent with a mass concentration of 1.1% in 5 CB and RM734/R811 mixtures at high temperatures.

LC cell preparation

We used an alignment agent, KPI-3000 (Shenzhen Haihao Technology Co. Ltd), to facilitate planar alignment. The



Fig. 2 (a)–(c) Three types of surface treatments for LC cells. The light grey part indicates the lower glass substrate, and the red and dark grey parts on its surface represent the schematic of the interdigitated comb-shaped ITO electrodes. The transparent white part indicates the upper glass plate. The blue unidirectional arrow indicates the rubbing direction **R** and polarization **P** of the upper glass plate, and the purple unidirectional arrow indicates the rubbing direction R and polarization P of the lower glass plate. The black bidirectional arrow indicates the electric field direction E pointed horizontally left or right depending on the initial phase. (a) Type I is created through unidirectional antipolar surface treatment with the buffing direction perpendicular to the electric field direction ($\boldsymbol{E} \perp \boldsymbol{R}$), which results in the formation of a polarization field with a helical period number of 1/2 + n(natural number) as shown in (d). (b) Type II is made through unidirectional synpolar surface treatment with the buffing direction perpendicular to the electric field direction ($E \perp R$), which results in the formation of a polarization field with a helical period number of n as shown in (d). (c) Type III is made through unidirectional synpolar surface treatment with the buffing direction parallel to the electric field direction $(\boldsymbol{E} \| \boldsymbol{R})$, which results in the formation of a polarization field with a helical period number of n as shown in (f).

surfaces of two glass plates coated with KPI-3000 by rubbing would provide the pre-tilting condition, aligning the surface polarization P_{suf} parallel to the rubbing direction $R (P_{suf})/$ -R). This corresponds to the polar surface treatment. To examine the coupling between the bulk polarization P and the external direct-current (DC) electric field E, we designed three types of LC cells combining different combinations of the directions of P and E: (i) type I—antiparallel P_{suf} on upper and bottom surfaces by antiparallelly-rubbing the two surfaces, which are perpendicular to the electric field direction ($E \perp P_{suf}$ or $-P_{suf}$; Fig. 2a). Under this condition, the number of the helielectric polar helices is n + 1/2 (n is a natural number; Fig. 2b); (ii) type II—syn-parallel P_{suf} by rubbing both surfaces in the same direction, which is perpendicular to $E (E \perp P_{suf};$ Fig. 2c). Under this condition, the number of the helielectric polar helices is n (Fig. 2e); (iii) the surface treatment and the number of helielectric helices in type III are the same as those in type II. The rubbing direction is parallel or antiparallel to $E((E \parallel P_{suf} \text{ or } -P_{suf}); \text{ Fig. 2c, f})$. In wedged LC cells, the helielectric pitch correlates with the periodicity of Grandjean–Cano lines as $p = L \tan \alpha$;³² the number of helielectric helices continuously increases with increasing sample thickness (from 0 to 30 μm).

Observation of in-plane electro-optics of the polarization helices

We tracked the electro-optic response of the HN^{*} structures by using polarizing light microscopy (PLM) in the abovementioned three types of LC cells to analyze the variations in the polarization field. The ITO layer that drives an in-plane electric field consists of an interdigital comb-gap between two adjacent electrodes, measuring 500 μ m. The DC electric field was generated by a function generator and its direction was changed according to the experimental conditions as shown in Fig. 2.

Numerical simulation of field-induced reorientation of polarization

As recently revealed in ref. 33 and 34 an extended Oseen– Frank free-energy functional comprising the elastic, flexoelectric, polarization gradient, depolarization and surface anchoring free energies is used for conducting simulations on the field-induced reorientation of polarizations. As the



Fig. 3 (a) The PLM observation of the unwinding process in type I configuration with a 1.5 helix. The boundaries in (iv, vi, viii) indicate the areas that experience nucleation of the unwinding. (b) The time evolution of the polarization field is shown when an electric field is applied to the left. The polarization of upper and lower substrate surfaces is considered to be unchanged under the applied electric field. (c) The returning process to the initial helical structure is reversible through instability when the electric field is removed. The yellow non-shaded area in (iii) shows the domains with some elastic instability. The measurement was made at 110 °C.



Fig. 4 (a) The PLM observation of the unwinding process in type I configuration with a 1.5 helix. The boundaries in (iv, vi, viii) indicate the areas that experience nucleation of the unwinding. (b) The time evolution of the polarization field is shown when an electric field is applied to the right. The polarization of upper and lower substrate surfaces is considered unchanged under the applied electric field. (c) The returning process to the initial helical structure is reversible through instability upon the electric field is removed. The boundaries in (iii) indicate the areas that experience a transition back to the initial state. The measurement was made at 110 °C.

field deformation, except for the twist, is negligible based on experimental observation, the flexoelectric, polarization gradient and depolarization free energy terms are omitted in the following calculations. Then, the free-energy density is written as

$$f = f_{\text{elastic}} + f_{\text{anchor}} + f_{\text{E}},$$

$$f_{\text{elastic}} = \frac{1}{2}K_{11}(\nabla \cdot \boldsymbol{n})^2 + \frac{1}{2}K_{22}(\boldsymbol{n} \cdot (\nabla \times \boldsymbol{n}) + q_0)^2 + \frac{1}{2}K_{33}(\boldsymbol{n} \times (\nabla \times \boldsymbol{n}))^2$$

$$f_{\text{anchor}} = \frac{1}{2}W(\cos\theta_{\text{ref}} - \boldsymbol{n} \cdot \boldsymbol{v})^2,$$

$$f_{\text{E}} = -\boldsymbol{P} \cdot \boldsymbol{E}.$$

The first term represents the Frank elastic energy. K_{11} , K_{22} , and K_{23} represent the splay, twist and bend elastic constants, respectively. **n**, q_0 , q_{ref} , ν , W, **P** and **E** are the director, the wavenumber of helices, the angle between the easy axis of anchoring and the normal of surface, the surface normal vector, the anchoring strength, the local polarization and the

electric field, respectively. **P** is assumed to be parallel to *n*. The last term $f_{\rm E}$ represents the linear coupling between the local polarization to the electric field. Based on the free energy, we calculate the time evolution of the polarization field before and upon the application of electric fields according to the time-dependent partial differential Ginzburg-Landau (TDGL) equation.³⁵ In our simulations, we set the parameters as below: the simulation parameters are as follows: $K_{11} = K_{22} = K_{23} = 2$ pN, cell thickness = 30 µm, $W = \infty$, $\theta_{\rm ref} = 90^\circ$, the magnitude of the polarization $P_0 = 6 \ \mu \text{C cm}^{-2}$, $q_0 = 2\pi/15 \ \mu \text{m}^{-1}$, $\Delta t = 0.02$ ms, and the effective rotational viscosity $\gamma = 9.6$ mPa s.

Results and discussion

Using the aforementioned LC cell configurations (Fig. 2a–c), we investigated how the polar helices in the HN* state respond to an external DC field. By controlling the sample thickness, we varied the number of the polar helices confined in the LC cells and explored the difference. As representative examples, we explain the electric-field response



Fig. 5 (a) The PLM observation of the unwinding process in type II configuration with 2 helices. The boundaries in (iv, vi, viii) indicate the areas that experience nucleation of the unwinding. (b) The time evolution of the polarization field is shown when an electric field is applied to the left. The polarization of upper and lower substrate surfaces is considered unchanged under the applied electric field. (c) The returning process to the initial helical structure is reversible through instability when the electric field is removed. The boundaries in (iii, v, vii) indicate the areas that experience a transition back to the initial state. The measurement was made at 110 $^{\circ}$ C.

for helielectrics under type I, II, III configurations (Fig. 3–8). For type I configuration, we demonstrate the results by applying a DC electric field to either the left (Fig. 3) or right (Fig. 4) directions to a 1.5-pitch polar helix. The electric fields are perpendicular to the surface anchored polarizations. For type II configuration, we demonstrate the results by applying a DC electric field to either the left (Fig. 5) or right (Fig. 6) directions to a 2-pitch polar helix. The electric fields are perpendicular to the surface anchored polarizations. For type III configuration, we demonstrate the results by applying a DC electric field to either the left (Fig. 3) or right (Fig. 4) directions to a 2-pitch polar helix. The electric fields are parallel to the surface-anchored polarizations.

When the pitch number was a half integer as in Fig. 3 (1.5pitch helices; type I configuration), we observed the nucleation of untwisted domains upon increasing the DC electric fields. When the DC field is applied to the left of the helix where the DC field is parallel to the average polarization directions near the bottom and top half pitches, the polarization field of the polar helices first deforms and undergoes a slight color variation (Fig. 3a, i and ii). This process corresponds to the asymmetric redistribution of the polarization field (Fig. 3b, i and ii), which was later investigated using confocal fluorescent polarizing light microscopy. While the middle half pitch shrinks, the two half pitches near the surfaces lengthen due to coupling with the polarization field. This is a unique electric-field-induced



Fig. 6 (a) The PLM observation of the unwinding process in type II configuration with 2 helices. The boundaries in (iv, vi, viii) indicate the areas that experience nucleation of unwinding. (b) The time evolution of the polarization field is shown when an electric field is applied to the right. The polarization of upper and lower substrate surfaces is considered unchanged under the applied electric field. (c) The returning process to the initial helical structure is reversible through an instability upon the electric field is removed. The boundaries in (ii, iv) indicate the areas that experience a transition back to the initial state. The measurement was made at 110 °C.

reorientational process for the polar helices. The apolar helices, like the Ch state, respond dielectrically to the electric field, leading to a symmetric biasing of the director along the DC field (Fig. 1a, b). When the DC electric field reaches 0.003 V μ m⁻¹, a nucleation of the unwound state occurs. This corresponds to the unwinding of the initial twist of the polarization field by covering the space with the polarization orientation state in the middle half pitch of the LC cell (Fig. 3a, iii and iv). Noteworthily, this ultralow electric field is beneficial for reducing the electric driving voltage of LC devices down to the millivolts range by choosing an appropriate electrode distance. When the electric field was removed, the nucleated domains followed the reverse path back to the original state (Fig. 3c) unless the unwound domains fully covered the field of the view. The only difference in the backward process is the additional appearance of a transient stripe pattern, which occurs just after the backward nucleation from the unwound to the helielectric state with 1.5 helices (Fig. 3c, i–iv). A completely different process occurs when the DC field is reversed to the right direction of the helix (Fig. 4). In contrast to the process shown in Fig. 3, the middle half pitch undergoes an expansion and the two half pitches near the surfaces shrink. On increasing the DC electric field, the localization of the twist in the two half pitches near the surfaces becomes stronger. Over a critical electric field at about 0.006 V μ m⁻¹, nucleation occurs. This process is attributed to the helix unwinding from the two half pitches because the localized twists become energetically unfavorable.

Let us analyze the results for type II configuration with 2-pitch helices, where the DC electric field is applied perpendicular to surface-anchored polarizations. In this case, we observed the nucleation of untwisted domains twice upon increasing the DC electric fields. When the DC field is applied to the left (Fig. 5a), the second and fourth bottom half pitches expand, whereas the first top and third



Fig. 7 (a) The PLM observation of the unwinding process in type III configuration with 2 helices. The boundaries in (iv, vi, viii) indicate the areas that experience nucleation of the unwinding. (b) The time evolution of the polarization field is shown when an electric field is applied to the left. The polarization of upper and lower substrate surfaces is considered unchanged under the applied electric field. (c) The returning process to the initial helical structure is reversible through an instability when the electric field is removed. The boundaries in (iii, v, vii) indicate the areas that experience a transition back to the initial state. The measurement was made at 110 °C.

half pitches shrink due to the polarization-field coupling. Unlike the situation with 1.5-pitch helices in type I configuration, one of the shrank half pitches is located near the surface and the other is close to the middle in bulk. Since the two domains differ in their threshold to unwind, the PLM observation directly visualizes the unwinding nucleation twice at about 0.002 V μ m⁻¹ and 0.004 V μ m⁻¹, respectively (Fig. 5b, iii-vi). In the backward process after switching off the electric field, we again observe the winding nucleation processes twice, returning back to the original state (Fig. 5c). Applying a DC field to the right mirrors the symmetric process observed when applying a DC field to the left. This time, the second and fourth bottom half pitches shrink, whereas the first top and third half pitches expand (Fig. 6).

Finally, let us we explain the results for type III configuration with 2-pitch helices, where the DC electric field is applied parallel to surface anchored polarizations. When a DC field is applied syn-parallel to both the surface polarizations (*i.e.*, DC field to left; Fig. 7), three half pitches (*i.e.*, the first, third and fifth half pitches) expand, and the rest shrink near the middle of the cell (Fig. 5a, b). In this case, we also observe the nucleation of unwinding twice (Fig. 7a). The first unwinding is attributed to a process where one of the two half pitches shrinks, while the remaining half pitch slides (Fig. 7b, ii–iv). The second unwinding corresponds to the unwinding of the remaining half pitch (Fig. 7b, iv and v). Switching the DC field to be antiparallel to both the surface polarizations (*i.e.*, DC field to the right; Fig. 8) results in another situation where the first, third and fifth half pitches



Fig. 8 (a) The PLM observation of the unwinding process in type III configuration with 2 helices. The boundaries in (iii, v, vii) indicate the areas that experience nucleation of the unwinding. (b) The time evolution of the polarization field is shown when an electric field is applied to the left. The polarization of upper and lower substrate surfaces is considered unchanged under the applied electric field. The measurement was made at 110 °C.

shrink and the rest expand. For this time, only one nucleation occurs, corresponding to the unwinding of the third middle half pitch (Fig. 8a). On the surface, two shrunk half pitches near the surfaces cannot be unwound or flipped due to the strong anchoring of the polarization up to 4.5 V μ m⁻¹ (Fig. 8b).

For other situations with different pitches in type I, II, and III configurations, a clear rule is observed, as summarized in Fig. 9a, through a systematic investigation of the relationship. The number of nucleations observed under each condition is fully consistent with the proposed unwinding scenarios observed experimentally (Fig. 3-8). For type I configuration with a half-integer number (n) of polar helices and the anchoring axis perpendicular to the DC field, the number of the nucleation is n - 1/2 for the left-direction DC field and n - 1/2 for the right-direction DC field (Fig. 9). For type II configuration with an integer number of polar helices and anchoring axis perpendicular to the DC field, the number of the nucleation is n for both the left- and rightdirection DC fields (Fig. 9). For type III configuration with an integer number of polar helices and anchoring axis parallel to the DC field, the number of the nucleation is *n* for the leftdirection DC field and n - 1 for the right-direction DC field (Fig. 9).

All these unwinding behaviors can be well reproduced or predicted by simulations based on an extended Oseen–Frank theory accounting for the polar interaction with the electric field. Fig. 10 displays some representative simulation results about the unwinding behaviors under the electric field in type I and II configurations. In type I configuration, the simulated results for a 1.5-pitch system are consistent with the experimentally obtained models, as shown in Fig. 10a. A slight difference is that the unwinding does not occur in the middle of the cell but near surfaces. The expanded domains with the syn-parallel alignment of polarizations along the electric field push the shrinking domains with the antiparallel alignment of polarizations toward the electric field toward the surfaces. The strong surface anchoring with perpendicular polarizations to the electric field favors the local unwinding happening there. Similarly, in type II configuration with a 2pitch system, Fig. 10b demonstrates that the first unwinding occurs near the middle of the cell, where the middle half helix with its polarization antiparallel to the electric field is



Fig. 9 The number of times the unwinding nucleation happens in each configuration.



Fig. 10 Time evolution of the polarization field under electric fields: (a) type I configuration under a 0.02 V μ m⁻¹ field; (b) type II configuration under a 0.02 V μ m⁻¹ field; (c) type III configuration under a 0.04 V μ m⁻¹ field. The dashed boxes show the locations where the unwindings occur.

compressed. The second unwinding then occurs near the surfaces. Fig. 10c shows the unwinding behaviors in type III configuration with a 2-pitch system. Although unwinding occurs at two places located symmetrically near the cell center, they do not happen at the same time because the electric field applied to the cell in the experiment is localized near the bottom surface, which creates a gradient of the electric field along the *z* axis.

To obtain direct evidence of the asymmetric dilation/ shrinkage of the polarization helices under a DC field, we used confocal fluorescence polarizing microscopy (CFPM). Fig. 11 shows cross-sections of the HN* helices in type III configuration along the *z* axis. The helical pitch is 5.6 μ m. We use a very small DC electric field (0.02 V μ m⁻¹) because the unwinding dynamics are so slow that we can track the time evolution. When the DC field is off, distances between neighboring black lines, corresponding to the half pitch, are 2.8 µm and the same for all the neighboring pairs (Fig. 11a). This confirms that field-free HN* helices are distorted. When the DC field is applied syn-parallel to the rubbing direction, the distance between neighboring black lines exhibits a repetitive dilation and shrinkage (Fig. 11b, c). For the half pitches with the polarization orientation along the rubbing direction, the half pitch expands. Otherwise, a shrinkage was observed. Under a strong DC field (but lower than the threshold for achieving untwisting), the half pitch with the averaged antiparallel orientation to the DC field becomes extremely thin. Therefore, the distance between the two black lines in the corresponding part becomes very small (Fig. 11c). The total pitch length remains nearly the same as in the field-free condition (Fig. 11d), suggesting the pitch invariance nature before the nucleation of untwisted domains occurs. It is worth noting that, in our observations, the potentially existing inhomogeneity of the in-plane electric field does not produce a noticeable out-of-pane reorientation of polarizations. This consequence should be attributed to the strong penalty of the splay deformation due to the strong electrostatic effect.

Summarizing, we experimentally observed polar switching in the HN* state. We revealed that the asymmetric dilation/shrinkage of the polarization helices over a strain threshold results in the unwinding of the helices. It is found that polar switching and partial untwisting start to occur at a very low electric field of several microvolts, which can dramatically reduce the driving voltages. This is in sharp contrast to the case of dielectric cholesteric states, where electric switching typically necessitates several volts to several tens of volts. The extended Oseen–Frank model can reproduce the experimental results well, thereby facilitating the examination of field-induced polarization reorientation behaviors in bulk. The results establish a foundation for understanding the electrooptic properties of polar and chiral LCs.

Conflicts of interest

There are no conflicts to declare.

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Fig. 11 Time evolution of cross-sectional CFPM images of HN* helices under an in-plane DC field. (a) A CFPM image taken without an electric field. Gray lines in the graph show the fitted peak positions. The decrease in the fluorescent signal along the *z* direction is caused by scattering. (b) A CFPM image taken under $0.02 V \mu m^{-1}$ at 30 min is denoted in (d). The time zero is defined as the moment when the DC field is applied. (c) A CFPM image taken under $0.02 V \mu m^{-1}$ at 80 min. (d) The time evolution of a pair of neighboring half pitches under $0.02 V \mu m^{-1}$. The purple line shows the half pitch length of the half pitch where the average polarization is antiparallel to the DC field. The green line shows the half pitch length of the half pitch where the average polarization is syn-parallel to the DC field. The black line shows the total length for a full pitch helix. The measurements were made at 25 °C.

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