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# HH130: a standardized database of machine learning interatomic potentials, datasets, and its applications in the thermal transport of half-Heusler thermoelectrics†

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High-throughput screening of thermoelectric materials from databases requires efficient and accurate computational methods. Machine-learning interatomic potentials (MLIPs) provide a promising avenue, facilitating the development of database-driven thermal transport applications through high-throughput simulations. However, the present challenge is the lack of standardized databases and openly available models for precise large-scale simulations. Here, we introduce HH130, a standardized database for 130 half-Heusler (HH) compounds in MathHub-3d (<http://www.mathub3d.net>), containing both MLIP models and datasets for the thermal transport of HH thermoelectrics. HH130 contains 31 891 total configurations (~245 configurations per HH) and 390 MLIP models (three models per HH), generated using the dual adaptive sampling method to cover a wide range of thermodynamic conditions, and can be openly accessed on MathHub-3d. Comprehensive validation against first-principles calculations demonstrates that the MLIP models accurately predict energies, forces, and interatomic force constants (IFCs). The MLIP models in HH130 enabled us to efficiently perform four-phonon interactions for 80 HHs with phonon frequencies closely matching *ab initio* results. It is found that HHs with an 8 valence electron count (VEC) per unit cell generally exhibit lower lattice thermal conductivities ( $\kappa_L$ s) compared to those with an 18 VEC, due to a combination of low 2nd-order IFCs and large scattering phase spaces in the former group. Additionally, we identified several HHs that demonstrate significant reductions in  $\kappa_L$  due to four-phonon interactions. HH130 provides a robust platform for high-throughput computation of  $\kappa_L$  and aids in the discovery of next-generation thermoelectrics through machine learning.

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## 1 Introduction

Since the introduction of the Materials Genome Initiative (MGI)<sup>1</sup> in 2011, methods for exploring novel materials have advanced beyond traditional trial-and-error approaches.<sup>2–4</sup> Databases based on first-principles calculations have emerged, including the Materials Project (MP),<sup>5,6</sup> the Automatic-FLOW for Materials Discovery (AFLOW),<sup>7–9</sup> the Open Quantum Materials Database (OQMD),<sup>10,11</sup> the Joint Automated Repository for Various Integrated Simulations (JARVIS),<sup>12</sup> the MatHub-3d<sup>13–15</sup> and others. These databases provide fundamental information about materials, such as crystal parameters, electronic density of states, formation energies, and phase fields. MatHub-3d is a materials data repository containing over 33 000 electronic structures and 10 000 electrical transport properties, specifically designed for thermoelectric applications.

Currently, computational materials databases primarily rely on first-principles calculations and related results. However, this limits the range of properties for which large volumes of data can be obtained. Although there are some existing

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databases based on machine learning methods, such as AFLOW-ML<sup>16–19</sup> and JARVIS-ML,<sup>20–22</sup> there remains a lack of computational results that are based directly on the structures of materials and can be precisely applied to larger-scale simulations. MLIPs, which parameterize the interaction between atoms, are highly promising novel direction machine learning methods due to their reusable models and training datasets and their demonstrable high fidelity to the underlying first-principles calculations.<sup>23,24</sup> This combination of transferability and precision enables large scale studies of complex materials and efficient high throughput searches. Mortazavi *et al.* utilized MLIPs to compute phonon dispersion relations in two-dimensional materials and made the MLIP model and training dataset publicly accessible.<sup>25</sup> Liu *et al.* developed an open-access MLIP model for SnSe to precisely capture its temperature-dependent phonon transport properties.<sup>26</sup> Accelerated thermal transport simulation is also a primary application of MLIP models, as thermal transport is crucial in various fields such as thermoelectrics,<sup>27,28</sup> thermal barriers,<sup>29</sup> and thermal management materials.<sup>30</sup> Ouyang *et al.* used accurate machine learning neuroevolution potentials to calculate the  $\kappa_L$  of AgX (X = Cl, Br, I) including four-phonon scattering.<sup>31</sup> However, significant challenges are the computational difficulty of generating accurate validated MLIPs and the absence of standardized MLIP databases and open-access MLIP models.

Here, a standardized open-access database, HH130, has been established on MatHub-3d. This can be applied to the simulation of thermal transport in HHs. We demonstrate this database by investigating the thermal transport properties of HHs for thermoelectric performance. Out of the 273 HHs in MatHub-3d, 130 HHs meet two criteria, band gap > 0.1 eV and no imaginary frequencies in phonon dispersion. These are selected for our high-throughput computational materials study. MLIP models for the 130 HH compounds from MatHub-3d (IDs of each material are shown in Table S1†) have been trained. To cover a wide range of thermodynamic conditions, the dual adaptive sampling (DAS)<sup>32</sup> method is adopted to construct the HH130 database. HH130 consists of 390 MLIP models (three models per HH) and 31 891 configurations (~245 configurations per HH).

The MLIP models from HH130 enabled us to efficiently perform four-phonon thermal conductivity calculations for 80 HHs with phonon frequencies similar to those obtained from *ab initio* calculations. We find that HHs with an 8 VEC typically exhibit lower  $\kappa_L$  than those with an 18 VEC. This phenomenon arises from a combination of low 2nd-order IFCs and large scattering phase spaces. Specifically, low 2nd-order IFCs lead to reduced phonon group velocities, while large scattering phase spaces increase phonon scattering rates in the HHs with an 8 VEC. It may also be noted that low 2nd-order IFCs indicate weak bonding, which is often associated with anharmonicity.<sup>33,34</sup> Additionally, we screened several HHs that exhibit substantial reductions in  $\kappa_L$  due to four-phonon interactions. Among these, LiAgTe exhibits the highest reduction (54.4%), owing to its large four-phonon scattering phase space. All trained MLIP models and datasets in HH130 are publicly available on the website <http://www.mathub3d.net>, providing a robust foundation for

future data mining. The establishment of HH130 has expanded the data scope of MatHub-3d beyond first-principles results, demonstrating novel possibilities for integrating machine learning with thermal transport research.

## 2 Methods

For our research, we utilize the DAS method to construct an effective configuration dataset for each HH compound. This method comprises an inner adaptive loop and an outer loop: the inner loop explores the local configuration space under relatively narrow thermodynamic conditions, while the outer loop spans a broad temperature range. The main difference between the DAS method and other active learning sampling methods is its adaptive approach, which automatically updates the threshold of ensemble ambiguity using atomic forces. The ensemble ambiguity  $\bar{a}(x)$  for configuration  $x$  is calculated by using<sup>35</sup>

$$\bar{a}(x) = \max_j \sqrt{\frac{1}{N_m} \sum_m \|\mathbf{f}_{j,m}(x) - \bar{\mathbf{f}}_j(x)\|^2} \quad \text{and} \quad (1)$$

$$\bar{\mathbf{f}}_j(x) = \frac{1}{N_m} \sum_m \mathbf{f}_{j,m}(x),$$

where  $N_m$  is the number of MLIP models and  $\mathbf{f}_{j,m}$  is the force on atom  $j$  predicted by the model  $m$ .

Based on the above formula, the convergence criterion for the inner loop is defined as

$$\bar{a}(x) \leq \bar{a}_i^\alpha = \max_{\tilde{x} \in \tilde{X}_{i-1}^\alpha} \bar{a}(\tilde{x}), \quad \text{for all } x \in X_i^\alpha, \quad (2)$$

where  $X_i^\alpha$  is the set of configurations generated from molecular dynamics (MD) simulations in the  $i$ th iteration of the sampling block  $\alpha$ , and  $\tilde{X}_{i-1}^\alpha$  is the set of configurations added to the training dataset in the  $(i - 1)$ th iteration.

The inner loop of DAS primarily consists of three steps: (1) training  $N_m$  MLIP models based on the updated training dataset; (2) exploring the configuration space under specified thermodynamic conditions through MD simulations and sampling according to the adaptive threshold of ensemble ambiguity; (3) labeling the sampled configurations using density functional theory (DFT)<sup>36,37</sup> calculations, and subsequently adding them to the training dataset.

In the MLIP model training section, we select the moment tensor potential (MTP)<sup>38,39</sup> as the local environment descriptor to fit the training dataset due to its high accuracy and low computational cost.<sup>40</sup> The moment tensor descriptors have the following form:

$$M_{\mu,\nu}(n_i) = \sum_j f_\mu(|\mathbf{r}_{ij}|, z_i, z_j) \underbrace{\mathbf{r}_{ij} \otimes \cdots \otimes \mathbf{r}_{ij}}_{\nu \text{ times}}, \quad (3)$$

where  $f_\mu$  is the radial part,  $\mathbf{r}_{ij} \otimes \cdots \otimes \mathbf{r}_{ij}$  is the angular part, and  $\nu$  is the tensor rank. The atomic neighborhood of the  $i$ th atom, denoted as  $n_i$ , consists of the atomic type  $z_i$ , the atomic type of its neighbors  $z_j$ , and the positions of the neighbors relative to the  $i$ th atom, represented by  $\mathbf{r}_{ij}$ .



In the exploration of configuration space and sampling section, we use the LAMMPS package<sup>41</sup> to conduct MD simulation with the MLIP model that has the lowest loss on the training dataset. The optimized configurations of the 130 HHs and their DFT-calculated phonon dispersions are all obtained from MatHub-3d.<sup>13–15</sup> All of the *ab initio* calculations are carried out by using the Vienna *ab initio* Simulation Package (VASP)<sup>42</sup> with the projector augmented wave method.<sup>43</sup> The Perdew–Burke–Ernzerhof form of the generalized gradient approximation served as the exchange–correlation functional.<sup>44</sup> For each HH compound, the initial training dataset is constructed by sampling every two steps from a 20 fs *ab initio* molecular dynamics (AIMD)<sup>45</sup> simulation at 300 K, with a timestep of 1 fs. This dataset is then used to train the initial MLIP models, initiating the DAS process. All DFT calculations, including AIMD, employ a plane-wave energy cutoff of 400 eV and an energy convergence criterion of  $10^{-5}$  eV, with the  $\mathbf{k}$ -point mesh set to  $1 \times 1 \times 1$ . During the sampling process, all MD simulations and DFT calculations used 192-atom  $4 \times 4 \times 4$  supercells.

The phonon dispersion calculations are done using the Phonopy package.<sup>46,47</sup> The  $\kappa_{\text{L}}$ s, derived using the Boltzmann transport equation (BTE) method, are computed with the ShengBTE package based on a full iterative solution.<sup>48</sup> In the framework of the BTE, the  $\kappa_{\text{L}}$  tensor can be expressed as:<sup>48</sup>

$$\kappa_{\text{L}}^{\alpha\beta} = \frac{1}{k_{\text{B}} T^2 \Omega N} \sum_{\lambda} n_{\lambda}^0 (n_{\lambda}^0 + 1) (\hbar \omega_{\lambda})^2 v_{\lambda}^{\alpha} F_{\lambda}^{\beta}, \quad (4)$$

where  $\alpha$  and  $\beta$  are the Cartesian directions,  $k_{\text{B}}$  is the Boltzmann constant,  $\Omega$  is the volume of the unit cell, and  $N$  is the number of  $\mathbf{q}$  points in the first Brillouin zone.  $n_{\lambda}^0$ ,  $\omega_{\lambda}$ ,  $v_{\lambda}^{\alpha}$ , and  $F_{\lambda}^{\beta}$  are the Bose–Einstein distribution function, frequency, group velocity, and the linear coefficient in the nonequilibrium phonon distribution function corresponding to phonon mode  $\lambda$ , respectively.

In this work, the relaxation time was obtained with both three-phonon (3ph) and four-phonon (4ph) scattering. The scattering rates for the 3ph and 4ph processes were calculated using the scattering probability matrices:<sup>49</sup>

$$\Gamma_{\lambda\lambda'\lambda''}^{\pm} = \frac{\hbar\pi}{4} \left\{ \frac{n_{\lambda'}^0 - n_{\lambda''}^0}{n_{\lambda}^0 + n_{\lambda'}^0 + 1} \right\} \frac{\delta(\omega_{\lambda} \pm \omega_{\lambda'} - \omega_{\lambda''})}{\omega_{\lambda}\omega_{\lambda'}\omega_{\lambda''}} \left| V_{\lambda\lambda'\lambda''}^{\pm} \right|^2 \quad (5)$$

$$\Gamma_{\lambda\lambda'\lambda''\lambda'''}^{(++)} = \frac{\hbar^2\pi}{8N} \frac{(1 + n_{\lambda}^0)(1 + n_{\lambda'}^0)n_{\lambda''}^0}{n_{\lambda}^0} \left| V_{\lambda\lambda'\lambda''\lambda'''}^{(++)} \right|^2 \frac{\delta(\omega_{\lambda} + \omega_{\lambda'} + \omega_{\lambda''} - \omega_{\lambda'''})}{\omega_{\lambda}\omega_{\lambda'}\omega_{\lambda''}\omega_{\lambda'''}} \quad (6)$$

$$\Gamma_{\lambda\lambda'\lambda''\lambda'''}^{(+-)} = \frac{\hbar^2\pi}{8N} \frac{(1 + n_{\lambda}^0)n_{\lambda'}^0 n_{\lambda''}^0}{n_{\lambda}^0} \left| V_{\lambda\lambda'\lambda''\lambda'''}^{(+-)} \right|^2 \frac{\delta(\omega_{\lambda} + \omega_{\lambda'} - \omega_{\lambda''} - \omega_{\lambda'''})}{\omega_{\lambda}\omega_{\lambda'}\omega_{\lambda''}\omega_{\lambda'''}} \quad (7)$$

$$\Gamma_{\lambda\lambda'\lambda''\lambda'''}^{(--)} = \frac{\hbar^2\pi}{8N} \frac{n_{\lambda}^0 n_{\lambda'}^0 n_{\lambda''}^0}{n_{\lambda}^0} \left| V_{\lambda\lambda'\lambda''\lambda'''}^{(--)} \right|^2 \frac{\delta(\omega_{\lambda} - \omega_{\lambda'} - \omega_{\lambda''} - \omega_{\lambda'''})}{\omega_{\lambda}\omega_{\lambda'}\omega_{\lambda''}\omega_{\lambda'''}} \quad (8)$$

Eqn (5) corresponds to 3ph processes, and eqn (6) to (8) correspond to 4ph processes, with energy conservation enforced by using the Dirac delta function  $\delta$ .

To calculate the  $\kappa_{\text{L}}$  using MLIP, the higher-order IFCs are determined through the finite displacement method. We considered up to the fifth-nearest neighboring atoms for the 3rd-order IFCs and second-nearest neighboring atoms for the 4th-order IFCs. The cutoff distances for both 3rd- and 4th-order IFCs are identical for DFT and MLIP. The BTE with 3ph scattering is solved using a  $20 \times 20 \times 20$   $\mathbf{q}$ -point grid, while the BTE with 4ph scattering is solved using a  $12 \times 12 \times 12$   $\mathbf{q}$ -point grid. To calculate the phonon renormalization and coherent  $\kappa_{\text{L}}$  for TiCoSb,<sup>50</sup> we conducted MD simulations using the MLIP model and employed the obtained snapshots to fit the higher-order IFCs in the Hiphive software package.<sup>51</sup>

### 3 Results and discussion

To predict HH compounds with excellent thermal transport properties in the context of thermoelectric application, we established the HH130 database and designed a comprehensive process for material screening and calculation standards. The HH130 workflow comprises three main parts: material selection, training MLIP models, and material property prediction, as illustrated in Fig. 1. HH compounds XYZ are ternary solids with a cubic structure (space group no. 216,  $F43m$ ). Their crystalline structure can be visualized as a combination of rock salt and zinc blende structures. The Wyckoff positions of the X, Y, and Z atoms are 4a (0, 0, 0), 4c (1/4, 1/4, 1/4), and 4b (1/2, 1/2, 1/2), respectively. The elements for HH compounds are shown in Fig. S1.†

The training datasets for the 130 HH compounds are obtained through the DAS method. Two temperature sampling blocks, 250 K to 400 K and 650 K to 800 K, are established with 50 K intervals. Based on the temperature dependence of the lattice constants, a 3% thermal expansion is considered for the sampling volume at each temperature. For each HH compound, we simultaneously trained three MTP models with random initialization under identical conditions. The DAS program selects the model with the lowest loss on the training dataset to run MD simulations, each lasting 2.5 ps with a time step of 0.5 fs. Configurations are sampled every 20 steps and added to the candidate configuration set. From this set, effective configurations are selected for labeling based on the adaptive threshold of ensemble ambiguity, with a maximum of 10 configurations chosen for labeling. Finally, the DFT-labeled configurations are added to the training dataset for model updates.

To verify the accuracy of the MLIP models, the energies and forces of randomly selected configurations, as calculated by MLIP, are compared with those calculated by DFT. At each temperature (300 K or 700 K, both within the temperature



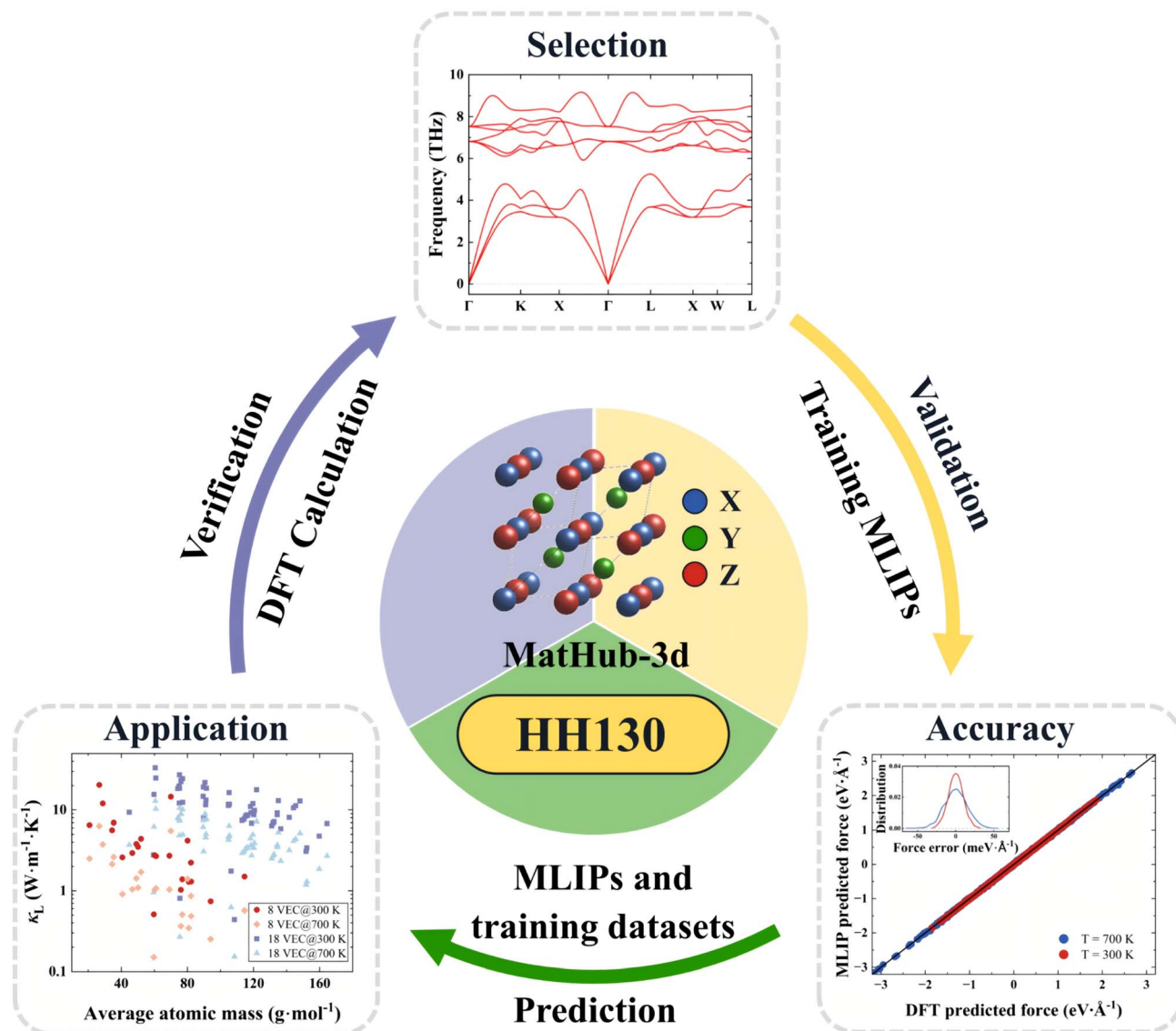


Fig. 1 The workflow of HH130 on MatHub-3d.

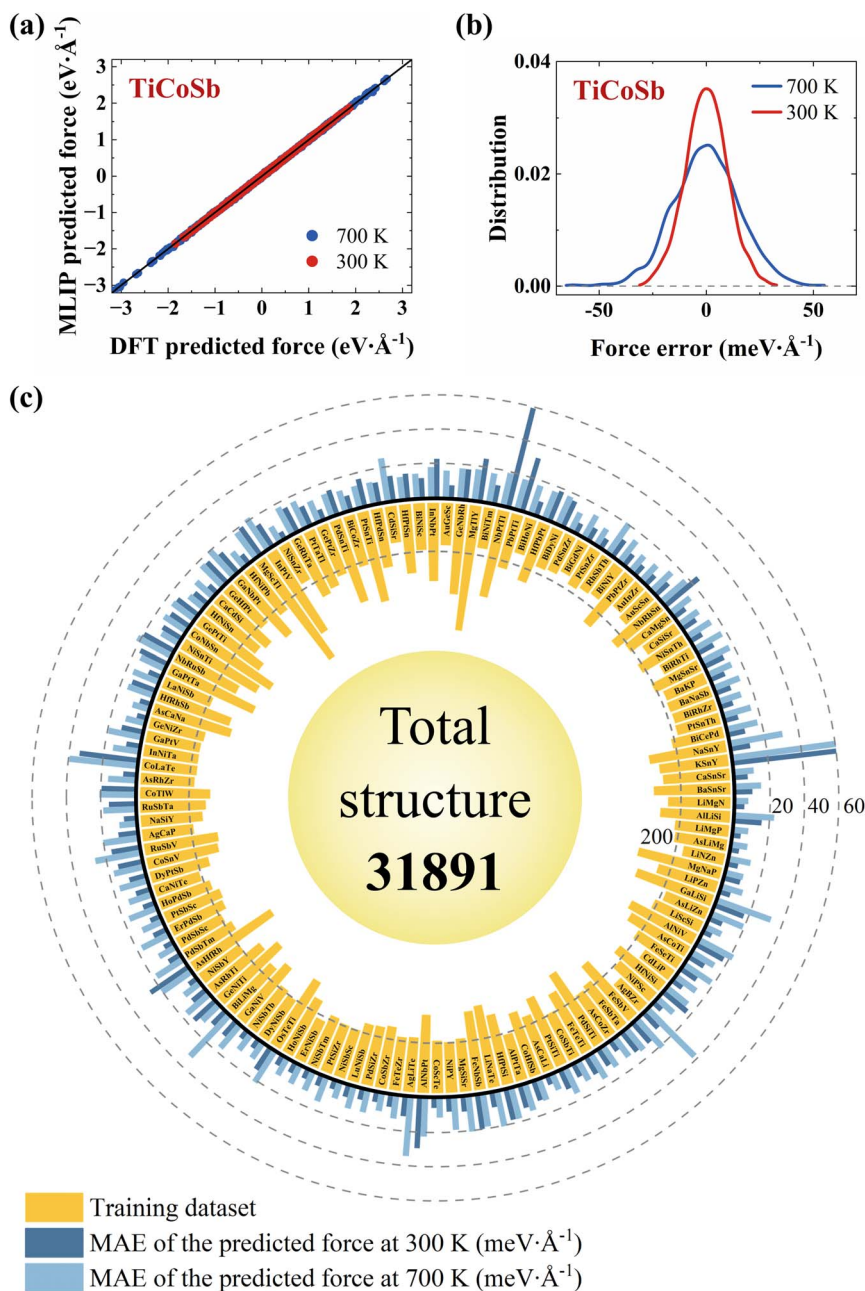
sampling blocks), a 0.1 ns MD simulation is first conducted. Following this, an additional 4.5 ps simulation is performed, during which configurations are sampled every 0.5 ps to ensure they are relatively novel to the model. This process results in a total of 10 test configurations. In TiCoSb, the comparison of forces between the DFT and MLIP calculated configurations at 300 K and 700 K is shown in Fig. 2a. The average mean absolute errors (MAEs) of the energies are 1.91 meV per atom and 1.20 meV per atom, respectively. The corresponding MAEs of the forces are 7.84 meV  $\text{\AA}^{-1}$  and 12.17 meV  $\text{\AA}^{-1}$ . These errors follow a near-zero normal distribution, indicating the high accuracy of MLIP in predicting forces (Fig. 2b).

The MAEs of the forces for 130 HHs at 300 K and 700 K are shown in Fig. 2c. At 300 K and 700 K, the average MAEs of the energies are 0.98 meV per atom and 0.62 meV per atom, and the average MAEs of the forces are 11.73 meV  $\text{\AA}^{-1}$  and 16.42 meV  $\text{\AA}^{-1}$ , respectively. The corresponding error distributions are

shown in Fig. S2.† The MLIP trained using a single room-temperature sampling block exhibits a relatively dispersed distribution of MAEs. In contrast, training with both room-temperature and high-temperature sampling blocks significantly reduces the MAEs of energies to below 1 meV per atom for most systems, while the MAEs of forces are below 20 meV  $\text{\AA}^{-1}$ . Overall, the energies and forces predicted by MLIP are in good agreement with those obtained by DFT calculations. The final training datasets for 130 HH compounds, along with the number of configurations, are shown in Fig. 2c. The total number of configurations is 31 891, with an average of 245 per HH compound.

As summarized in Table 1, the HH130 includes sample information, MLIP model details, and open-access files from MatHub-3d for 130 HH compounds. The sampling covers temperatures ranging from 250 K to 400 K and 650 K to 800 K, spanning both low- and high-temperature ranges. The MLIP





**Fig. 2** (a) Comparison of the DFT-predicted forces and the MLIP-predicted forces for TiCoSb at 300 K and 700 K. (b) The distribution of absolute errors in the forces for TiCoSb at 300 K and 700 K. (c) The number of configurations in the training datasets (yellow bar) and the MAEs of the forces at 300 K (dark blue bar) and 700 K (light blue bar) for 130 HH compounds.

model section lists the employed descriptors and cutoff radius. The MTP descriptors effectively capture various material properties during training. To achieve an optimal balance between accuracy and efficiency, we selected an MTP model with a level of 18 and a cutoff radius of 6 Å based on our tests (as shown in Tables S2 and S3<sup>†</sup>). Additionally, the open-access section provides the trained MLIP models and training datasets, which are available on MatHub-3d. Three independent MLIP models are provided for each HH compound to assess prediction uncertainty. The training datasets record atomic coordinates, energies, forces, and stress tensors sampled during training,

which facilitate the validation of the potentials against the first-principles calculations.

The public availability of MLIP models and their corresponding training datasets in HH130 expands the scope of data provided by MatHub-3d, extending beyond purely first-principles results. The provided MTP models can be used directly and accurately for large-scale MD simulations of HH compounds. Moreover, these models are highly accurate in predicting atomic forces and stress tensors for most systems in the training dataset. The accuracy makes them suitable for precise predictions of lattice dynamics and modulus properties.



**Table 1** A summary of the key contents included in HH130. It is divided into three main sections: sample information, MLIP model details, and open-access files from MatHub-3d

Sample information	Materials	130 HHs
	Temperatures	[250, 300, 350, and 400 K] [650, 700, 750, and 800 K]
MLIP model details	Descriptors	MTP
	Cutoff radius	6.0 Å
Open-access files from MatHub-3d	MLIP models	3 MLIP models per HH
	Training datasets	Atomic coordinates Energies Forces Stress tensors
	Input files	INCAR.vasp KPOINTS.vasp POTCAR.spec in.lammps

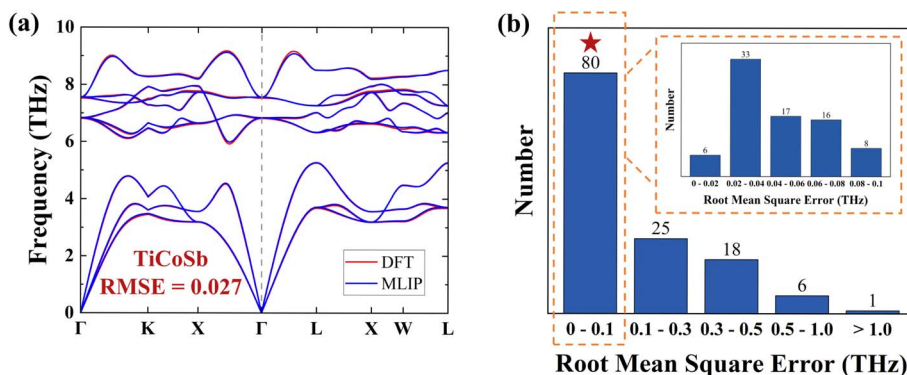
The remainder of this paper provides demonstrations of the prediction of 2nd-, 3rd-, and even 4th-order IFCs, as well as the simulation of  $\kappa_L$ , using the MLIP models from the HH130 database. Based on these simulations, we can elucidate the trends in the  $\kappa_L$  of HH compounds using the generated large dataset, as shown below. Besides, from a technical standpoint, even accounting for the total time required to generate the training datasets (including training, sampling, and DFT labeling), the efficiency of calculating 3rd- and 4th-order IFCs using MLIP remains approximately an order of magnitude higher than that of traditional DFT calculations (as shown in Tables S4 and S5†).

To evaluate the model's suitability for accurate prediction of IFCs, we calculated the 2nd-order IFCs and harmonic phonon dispersions using the finite displacement method within MLIP, comparing them to DFT data from MatHub-3d. Fig. 3a shows that the TiCoSb phonon dispersion calculated by MLIP is very similar to the DFT result. To facilitate statistical analysis of the differences across all HH compounds, we calculated the root mean square error (RMSE) of the phonon dispersion for each HH compound, based on the frequencies at corresponding points in the DFT and MLIP results. RMSE =

$$\sqrt{\frac{\sum_{\mathbf{q}\lambda}^N (\omega_{\mathbf{q}\lambda}^{\text{DFT}} - \omega_{\mathbf{q}\lambda}^{\text{MLIP}})^2}{N}}$$
, where  $\omega_{\mathbf{q}\lambda}$  is the frequency corresponding to a phonon mode with wave vector  $\mathbf{q}$  and branch  $\lambda$ , and  $N$  is the number of  $\mathbf{q}$  points in the first Brillouin zone. The RMSE of TiCoSb phonon dispersion is 0.027 THz. Fig. 3b displays the RMSEs of phonon dispersions for 130 HH compounds. Among these, the RMSEs for 123 HH compounds range from 0 to 0.5, demonstrating the high accuracy of MLIP in predicting 2nd-order IFCs.

Given the high demand for accurate higher-order IFCs, 80 HH compounds with phonon dispersion RMSEs less than 0.1 THz were selected to calculate  $\kappa_L$ . Calculating the  $\kappa_L$  including higher-order scatterings, requires higher-order IFCs (such as 3rd- and 4th-order IFCs), which account for the majority of the calculation time. This often necessitates thousands of single-point DFT force calculations, depending on the cutoff distance and symmetry relationship.<sup>52</sup> MLIP models capable of accurately predicting forces are employed to calculate higher-order IFCs, significantly reducing the high-throughput calculation costs.<sup>53–55</sup> To assess our MLIP model's accuracy in predicting  $\kappa_L$ , we computed the  $\kappa_L$  of TiCoSb using 3rd-order IFCs with the MLIP model. The predictions closely match the  $\kappa_L$  at the DFT level, as well as the experimental results (Fig. S3†).

The  $\kappa_L$  values at 300 K for the 80 HH compounds, considering both 3ph and 4ph scattering, are presented in Fig. 4a (the results with only 3ph scattering are shown in Fig. S4†). The  $\kappa_L$  values range from 0.44 to 33.16 W m<sup>-1</sup> K<sup>-1</sup>. This wide range underscores substantial variability in the thermal transport properties of HHs. Based on the stability characteristics of HH semiconductors with an 8 or 18 VEC proposed by Carrete *et al.*,<sup>56</sup> we classified the 80 HH compounds into two groups: 23 with an 8 VEC and 57 with an 18 VEC. This classification is important for understanding the underlying physical mechanisms affecting  $\kappa_L$ . As shown in Fig. 4a, the  $\kappa_L$  for both categories of HH compounds decreases with increasing average atomic mass as expected from consideration of the effect of mass on phonon group velocities. Furthermore, the  $\kappa_L$  of HH compounds with an 8 VEC is generally lower than that of those with an 18 VEC, suggesting that the number of VEC plays a key role in the thermal transport properties of HHs.



**Fig. 3** (a) The DFT (red line) and MLIP (blue line) phonon dispersions for TiCoSb. (b) The root mean square errors (RMSEs) of the DFT and MLIP phonon dispersions for 130 HH compounds. The RMSEs from 0 to 0.1 are shown in the inset.



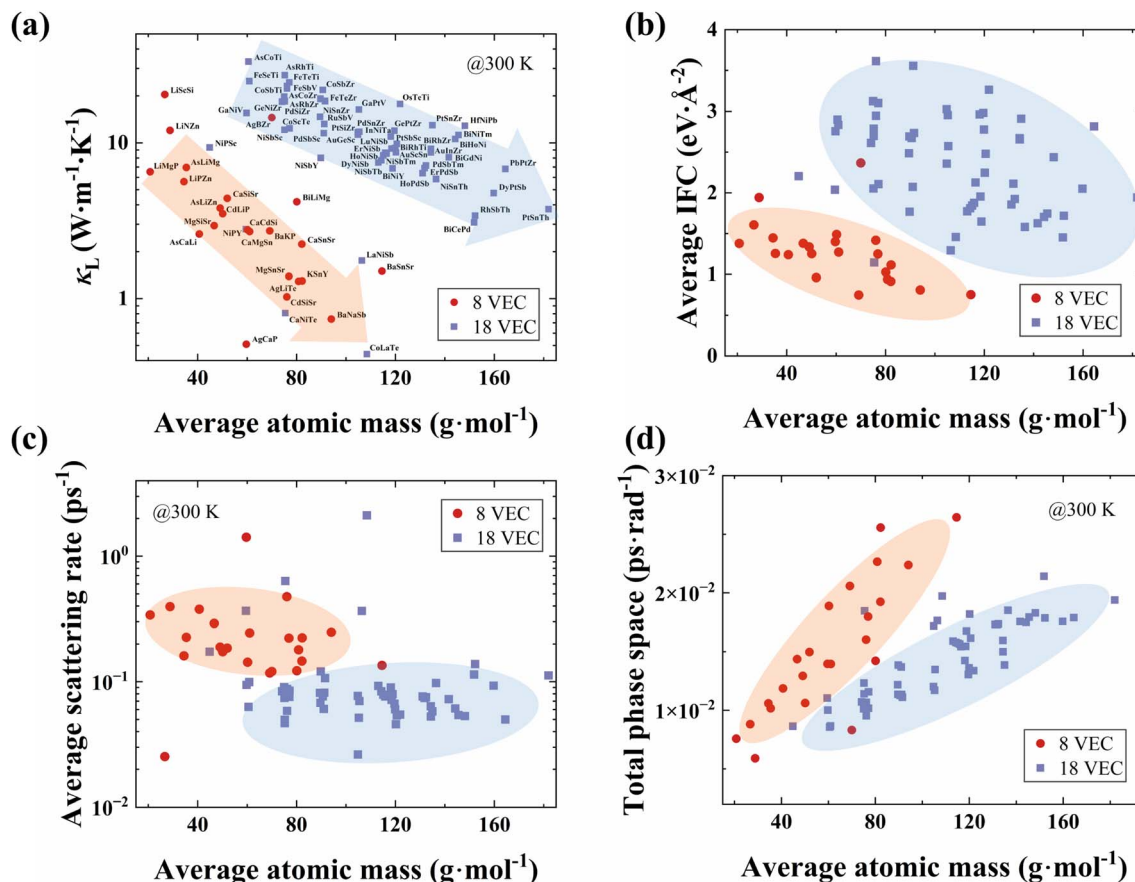


Fig. 4 (a) The relationship between the  $\kappa_L$ s of 80 HH compounds with an 8 (red plot) and 18 (blue-purple plot) VEC at 300 K and the average atomic mass. (b) The average 2nd-order IFCs ( $|\Phi_{ij}^{\alpha\beta}|$ ), (c) the average phonon scattering rates and (d) the total phase spaces of 80 HH compounds plotted as functions of the average atomic mass at 300 K.

To further investigate the reasons behind the difference in  $\kappa_L$  between 8- and 18-VEC HHs, we analyzed the phonon group velocities and scattering rates for both groups based on the BTE. The average phonon group velocities for 8-VEC HHs are generally lower than that for 18-VEC HHs (as illustrated in Fig. S5<sup>†</sup>). Furthermore, the magnitude of the phonon group velocity depends on the 2nd-order IFCs ( $|\Phi_{ij}^{\alpha\beta}|$ ), where  $i$  and  $j$  represent the atomic indices, and  $\alpha$  and  $\beta$  denote the directions. To gain a deeper understanding of phonon behavior, we calculated and averaged the 2nd-order IFCs for each HH compound (the methodology for averaging the 2nd-order IFCs is shown in the ESI<sup>†</sup>). The average IFCs as a function of average atomic mass are depicted in Fig. 4b. At the same average atomic mass, the equivalent average 2nd-order IFCs for 8-VEC HHs are smaller than those for 18-VEC HHs, which aligns with observed trends in  $\kappa_L$ s and phonon group velocities. Thus 8-VEC HHs tend to be more weakly bonded than 18-VEC compounds. This comparison underscores the influence of the VEC on the IFCs, thereby enhancing the understanding of the lower  $\kappa_L$ s observed in 8-VEC HHs.

Next, the average scattering rate for all HHs was obtained based on the method by Dai *et al.*,<sup>57</sup> as shown in Fig. 4c. This average scattering rate is derived from the total phonon scattering rates, considering both the 3ph and 4ph scattering. The

results reveal that 8-VEC HHs exhibit higher average scattering rates compared to 18-VEC HHs. To explore the underlying physical mechanisms, we examined the relationship between the total scattering phase space (considering both 3ph and 4ph scattering) and the average atomic mass. As shown in Fig. 4d, 8-VEC HHs exhibit larger total scattering phase spaces than 18-VEC HHs. This trend is consistent in the individual 3ph and 4ph scattering phase spaces, as illustrated in Fig. S6<sup>†</sup>. Thus, the combination of low 2nd-order IFCs, leading to low phonon group velocities, and large scattering phase spaces, resulting in high phonon scattering rates, contributes to the lower  $\kappa_L$  observed in HH compounds with an 8 VEC.

To gain quantitative insights into the influence of 4ph scattering and the number of VEC on the  $\kappa_L$ , we calculated the reduction rate in  $\kappa_L$  ( $\eta$ ) due to 4ph scattering for the 80 HHs classified with an 8 and an 18 VEC, as shown in Fig. 5a. Here,  $\eta$  is defined as:

$$\eta = \frac{\kappa_L^{3\text{ph}} - \kappa_L^{3\text{ph}+4\text{ph}}}{\kappa_L^{3\text{ph}}}, \quad (9)$$

where  $\kappa_L^{3\text{ph}}$  represents the  $\kappa_L$  including 3ph scattering, and  $\kappa_L^{3\text{ph}+4\text{ph}}$  represents the  $\kappa_L$  including both 3ph and 4ph scattering. At 300 K,  $\eta$  values for most of the HHs are within 20% (67 out of 80). However, three HHs exhibit significant reductions



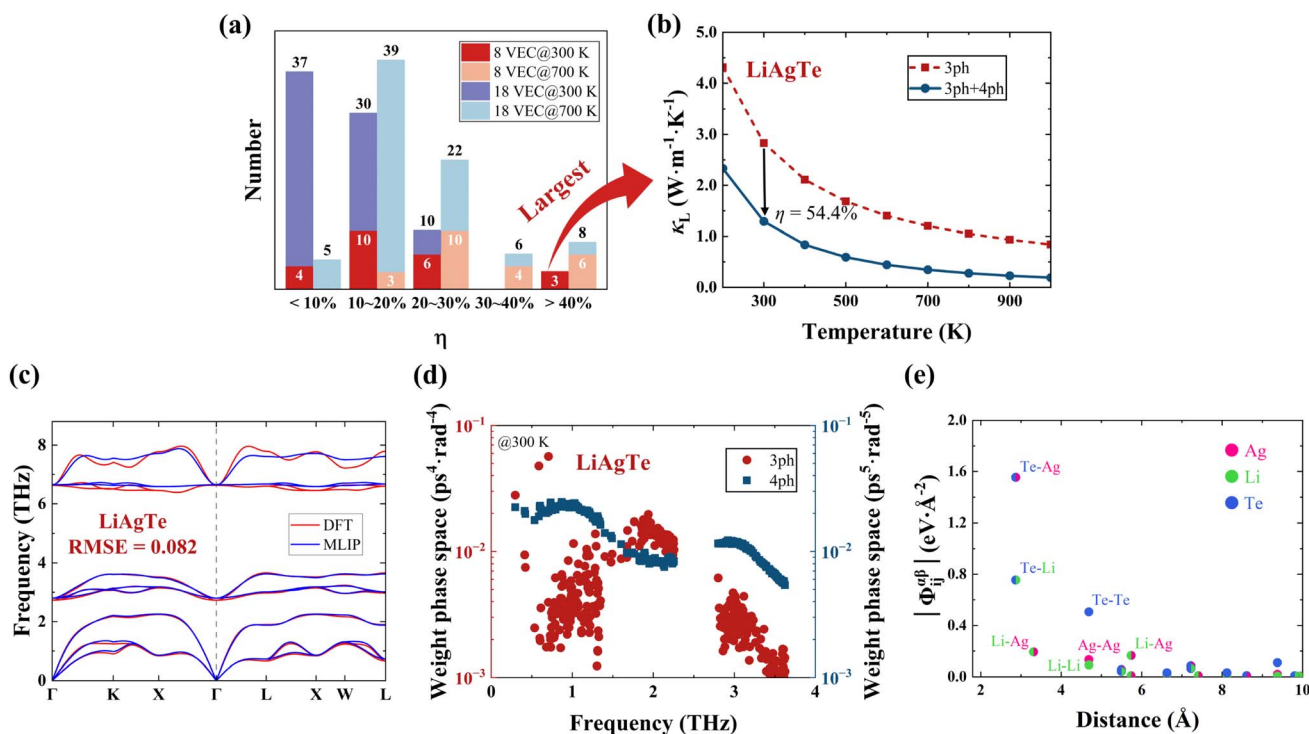


Fig. 5 (a) The distribution of the  $\kappa_L$  reduction from  $\kappa_L^{3ph}$  to  $\kappa_L^{3ph+4ph}$  for 80 HHs. (b) The  $\kappa_L$  as a function of temperature for LiAgTe, with (blue line) and without (red dashed line) 4ph scattering. (c) The DFT and MLIP phonon dispersions for LiAgTe. (d) The weight phase space at 300 K as a function of frequency for 3ph scattering (red) and 4ph scattering (blue). (e) The norm of the 2nd-order IFCs ( $|\Phi_{ij}^{\alpha\beta}|$ ) plotted as a function of the interatomic distance between atoms  $i$  and  $j$ .

exceeding 40%: LiAgTe (54.4%), AgCaP (50.6%), and LiScSi (49.8%). Upon increasing the temperature to 700 K, 8 HHs exhibit  $\kappa_L$  reductions over 40%. Remarkably, at both 300 K and 700 K, 8 VEC-HHs show relatively higher  $\eta$ s compared to 18-VEC HHs. It was also found that as the temperature increases (300 K to 700 K), the impact of 4ph scattering becomes more pronounced, leading to a significant rise in  $\kappa_L$  reduction. According to the scaling law, the 3ph scattering rate is determined as  $\tau_{3ph}^{-1} \sim T$  and the 4ph scattering rate as  $\tau_{4ph}^{-1} \sim T^2$  for acoustic phonons. The quadratic temperature dependence of the 4ph scattering rate indicates its stronger impact on  $\kappa_L$  with temperature, compared to the linear dependence observed in 3ph processes. LiAgTe is one of the typical systems exhibiting this phenomenon, and exhibits the highest  $\eta$  value among the HHs. Its  $\kappa_L^{3ph}$  is  $2.83 \text{ W m}^{-1} \text{ K}^{-1}$ , and  $\kappa_L^{3ph+4ph}$  drops to  $1.29 \text{ W m}^{-1} \text{ K}^{-1}$ , reflecting a significant reduction of 54.4% at 300 K (Fig. 5b).

Next, the reasons for the large  $\eta$  in LiAgTe were analyzed. As the cumulative  $\kappa_L$  tends to be stable at high frequencies, we calculated the cumulative  $\kappa_L$  below 4 THz at 300 K (Fig. S7†). Between 0.4 and 1.5 THz, the cumulative  $\kappa_L^{3ph}$  increases rapidly, while  $\kappa_L^{3ph+4ph}$  increases more slowly, indicating that 4ph interactions within this frequency range significantly contribute to the  $\eta$ . Fig. 5c displays the phonon dispersion of LiAgTe calculated by using DFT and MLIP, which has only 0.082 THz RMSE. It has relatively flat and concentrated phonon dispersion in the low-frequency region and an  $\sim 1$  THz phonon gap

between acoustic and optical phonons. These behaviors are reported to enhance 4ph interaction.<sup>53,58</sup> In order to observe the specific scattering processes, Fig. 5d presents the 3ph and 4ph scattering weight phase spaces<sup>59</sup> at 300 K for LiAgTe. Similar to the cumulative  $\kappa_L$ , the 4ph scattering weight phase space is obviously larger than that of 3ph scattering in the 0.4 to 1.5 THz range. The large 4ph scattering weight phase space enhances the 4ph scattering rate, leading to a significant reduction in  $\kappa_L$ . It is interesting that the *aaaa* process is the most prevalent in the 4ph process. Furthermore, we assessed the effect of phonon group velocity on  $\kappa_L$  by calculating the norm of the 2nd-order IFC matrix, as shown in Fig. 5e. The equivalent average IFC is  $0.94 \text{ eV \AA}^{-2}$ , notably lower than the average of  $2.03 \text{ eV \AA}^{-2}$  for the 80 HH compounds. Therefore, in the case of LiAgTe, its low  $\kappa_L$  is due to, on one hand, low phonon velocities from small 2nd-order IFCs, and on the other hand, strong 4ph interactions from large 4ph scattering phase spaces.

## 4 Conclusions

The HH130 database includes open-access MLIP models and training datasets for 130 HH compounds, encompassing 31 891 configurations with atomic coordinates, energies, forces, and stress tensors. These MLIP models, formulated as MTP and fitted using the DAS method, demonstrate high accuracy in predicting energies and forces, as confirmed by validation against DFT calculations. Utilizing the MLIP models from HH130, we investigated the effects of 4ph scattering and the





number of VEC on the  $\kappa_L$  of HH compounds, revealing the complex relationship between atomic interactions and thermal transport properties. The analysis highlights substantial variability in  $\kappa_L$  among 80 HH compounds, with values ranging from 0.44 to 33.16 W m<sup>-1</sup> K<sup>-1</sup> at 300 K. 8-VEC HHs typically exhibit lower  $\kappa_L$  values than 18-VEC HHs due to smaller 2nd-order IFCs and larger scattering phase spaces, which contribute to the smaller phonon group velocities and higher scattering rates, respectively. Additionally, we screened several HH compounds that exhibit significant reductions in  $\kappa_L$  as a result of 4ph scattering. As temperature increases, 4ph processes become more pronounced. LiAgTe exhibits the highest  $\eta$  among the 80 HHs, due to its large 4ph scattering phase space. This comprehensive analysis elucidates the complex mechanisms governing thermal transport in HH compounds. The  $\kappa_L$  data presented in this work were computed using the finite displacement method implemented in the Phonopy and ShengBTE packages. Notably, the MLIP models for each HH compound can be utilized in conventional MD simulations, enabling the easy extraction of temperature-dependent IFCs and the corresponding particle-like  $\kappa_L$  and coherent  $\kappa_L$  (see the results for TiCoSb in Fig. S8†). The establishment of HH130 has expanded the data scope provided by MatHub-3d, and bridged the gap between accuracy and efficiency in computational thermoelectric research on a larger scale.

## Data availability

Data for this article, including trained MLIP models (three models per HH compound), datasets, necessary input files, and primitive cell structures of the 130 HH compounds are available from the download interface of the MatHub-3d database at <http://www.mathub3d.net>. The direct download link is <http://www.mathub3d.net/static/database/HH130.zip>. The dual adaptive sampling (DAS) code (which includes the workflow for training, sampling, and labeling) used in this work is available on GitHub at <https://doi.org/10.1103/PhysRevB.104.094310> and <https://github.com/hlyang1992/das>. Additionally, the moment tensor potential (MTP) package is available at <https://mlip.skoltech.ru/download/>.

## Author contributions

Yuyan Yang: conceptualization, methodology, writing – original draft. Yifei Lin: conceptualization, methodology, writing – original draft. Shengnan Dai: conceptualization, methodology, supervision, writing – review & editing. Yifan Zhu: conceptualization, methodology. Jinyang Xi: conceptualization, supervision, funding acquisition, writing – review & editing. Lili Xi: conceptualization, supervision, funding acquisition, writing – review & editing. Xiaokun Gu: conceptualization, methodology. David J. Singh: supervision, writing – review & editing. Wenqing Zhang: supervision, funding acquisition, writing – review & editing. Jiong Yang: conceptualization, project administration, supervision, funding acquisition, writing – review & editing.

## Conflicts of interest

There are no conflicts of interest to declare.

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