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Leveraging GPT-4 to transform chemistry from paper to practice†

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Large Language Models (LLMs) have revolutionized numerous industries as well as accelerated scientific research. However, their application in planning and conducting experimental science, has been limited. In this study, we introduce an adaptable prompt-set with GPT-4, converting literature experimental procedures into actionable experimental steps for a Mettler Toledo EasyMax automated laboratory reactor. Through prompt engineering, we developed a 2-step sequential prompt: the first prompt converts literature synthesis procedures into step-by-step instructions for reaction planning; the second prompt generates an XML script to communicate these instructions to the EasyMax reactor, automating experimental design and execution. We successfully automated the reproduction of three distinct literature-based synthetic procedures and validated the reactions by monitoring and characterizing the products. This approach bridges the gap between text-to-procedure transcription and automated execution, and streamlines literature procedure reproduction.

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Introduction

Self-Driving Labs (SDLs) integrate robotic automation with machine learning (ML) to explore chemical space. SDLs accelerate research and discovery across various disciplines, including organic synthesis,^{1–3} materials chemistry,^{4–6} photo-^{7,8} and electrochemistry.^{9–11} Automation liberates human researchers from time-consuming and repetitive tasks, while closed-loop optimization algorithms and/or computer vision further reduce the need for human oversight.^{7,12–14} However, the broader adoption of SDLs remains challenging because the required expertise in engineering and programming are beyond the scope of many chemistry laboratories. For example, programming an established automation system to execute a single, well-known chemical reaction can be a laborious exercise.^{14,15} Additionally, SDLs still require significant human involvement, skill, and time in the design of experiments and in mapping simple chemical actions to complex robot movements.¹⁶ The lack of standardization also poses a barrier in adaptability and transferability of SDLs development.

Recognizing this, the Cronin group introduced ChemIDE,¹⁷ a tool that transforms common procedures written in natural language to a domain-specific chemical descriptive language (χ DL).^{18,19} This approach generalized the vast majority of chemistry related tasks, with the goal of facilitating standardization and transfer of chemical procedures for SDLs. This translation used a Natural Language Processing (NLP) algorithm, called SynthReader, to link text to their action entities and extract action details using pattern recognition. To support standardization, χ DL is hardware-agnostic, and depends on SDLs to have a layer that expands the high-level χ DL actions to basic hardware instructions or map these actions to programming functions. For example, χ DL has enabled the successful transfer of synthetic protocols between different hardware platforms in different countries, and applied to the discovery of organic solid-state laser gain materials.^{20,21}

IBM's RXN for Chemistry (RXN) is a cloud-based SDL platform, supporting remote execution of a designed procedure on the automation lab.²² The platform also supports text-to-RXN procedure, a translation tool using the transformer model that promotes user-friendly experimental design and reaction planning.²³ Despite the executable commands varying from ChemIDE/ χ DL in task names and arguments, both platforms support transcription of natural language to their executable languages, demonstrating that experimental design through natural language is more intuitive and enabling better transferability between SDLs.

Artificial Intelligence (AI) and Large Language Models (LLMs) have attracted significant public attention since the

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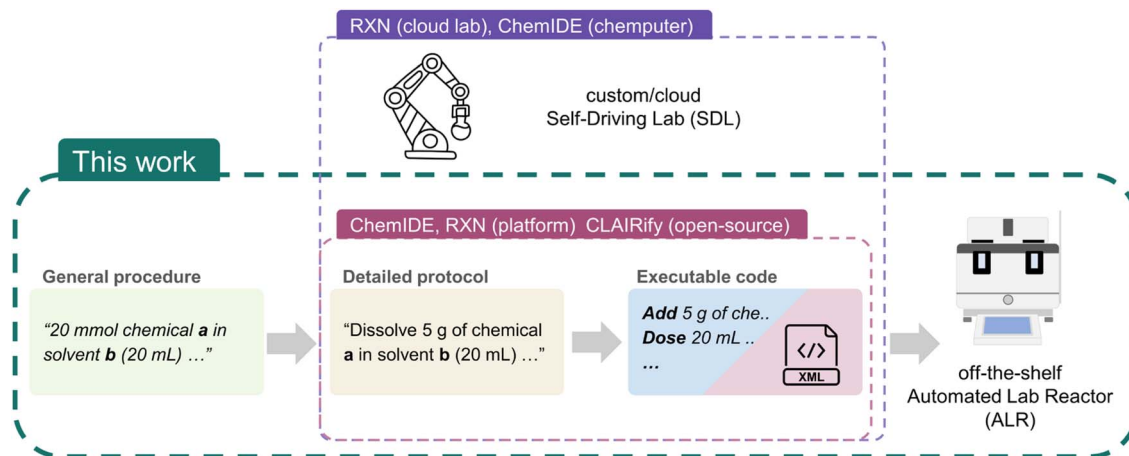


Fig. 1 Workflow of calculation, transcription and execution of a literature procedure on off-the-shelf synthesis workstation; and a comparison of this work with conventional tools or open-source software.

release of chat Generative Pre-trained Transformer (GPT) 3.5 from OpenAI.²⁴ LLMs, trained on extensive datasets, provide comprehensive text responses to user requests upon various tasks. In chemistry particularly, LLMs have shown promise in reaction and molecular structure prediction owing to their expansive knowledge bases and complex pattern recognition capabilities.^{25–27} Embedding a chemistry-specific knowledge base into LLMs can noticeably improve the general performance in chemistry-specific queries.^{28–30} For example, ChemCrow is a LLM chemistry agent used to predict organic molecule structures with desirable properties and assist with the planning and execution of their synthesis.²⁹

While LLMs can assist with interpreting experimental data and providing theoretical insights, they are traditionally incapable of conducting physical laboratory tasks, such as mixing reagents or operating lab equipment. This limitation can be overcome with their ability to assist in this text-to-procedure transcription with comprehensive understanding. For example, a comparable accuracy to transformer models can be achieved using GPT-3.5 with few-shot learning, by including several ground-truth instances in the prompt.^{31,32} Yoshikawa *et al.* proposed CLAIRify, which generates χ DL code from descriptive instructions using zero-shot GPT coupled with a verifier that iteratively prompts error messages in the chat.³³ This LLM-based planning tool was later implemented to Organa, an AI voice assistant system on an electrochemistry-specialized SDL configuration, allowing interaction with the SDL in natural language.¹¹ Additionally, Coscientist, a multi-LLM intelligent agent (GPT-4), used function calling with an embedded function pool. The system selects the most appropriate function according to the prompt to generate scripts in Emerald Cloud Lab (ECL) Symbolic Lab Language (SLL) and Openrons Python application programming interface (API).³⁴

Nonetheless, the implementation of LLMs in SDLs remains inaccessible for most chemists due to the lack of available and readily useable prompt methodologies for experimental design, and/or the labor-intensive development involved in using open-source LLM models. Platforms like RXN and ChemIDE, while

available for text-to-procedure translation, require specific SDL configurations to execute the generated code locally due to their hardware-agnostic nature. Moreover, some general procedures often lack exact mass or volume quantities, limiting the efficacy of current machine-readable transcription techniques or services. Indeed, this is also a laborious and time-consuming practice for human researchers.

Recognizing these current limitations in text-to-procedure transcription and challenges in local SDLs execution, we aim to create an easy-to-use LLM prompt set for literature-to-procedure execution on an off-the-shelf automated laboratory reactor (ALR; Fig. 1). We selected the Mettler Toledo (MT) EasyMax because its software provides a user-friendly experimental design interface (iControl) and automatic design import from Extensible Markup Language (XML) (iC Data Center). We used ChatGPT-4 web-version to facilitate a no-code chemical protocol-to-procedure transcription, making it easy to be adopted by chemists with no coding expertise. We demonstrated the capability of this approach with three synthetic methods from the literature: (1) a detailed nucleophilic aromatic substitution reaction (S_NAr) protocol; (2) a general hydrazine synthesis procedure and (3) an autonomous Curtius rearrangement monitoring protocol, showcasing different use case scenarios. Our two-prompt approach first transforms the literature protocol into a detailed stepwise procedure and subsequently to machine-executable XML scripts that communicated with MT EasyMax reactor. We further examined the generation robustness in length limits and accuracy as well as the code generation transferability in Python-based SDLs.

Methods

Selection of LLM

We chose GPT-4 over other generative AI platforms or other open-source models due to public accessibility, calculation accuracy, task-driven performance and token limit. First, OpenAI's ChatGPT family is one of the most accessible generative AI systems. The interaction with a GPT model through



a web User Interface (UI) has no installation or hardware requirements (unlike options that require an API). GPT-4 also exhibits superior performance in chemistry-related tasks, including its enhanced accuracy in reaction prediction and structure elucidation.²⁵ Furthermore, GPT-4's web browsing capability and integrated Python code interpreter³⁵ enabled us to leverage its analytical capabilities for chemical phase lookup and advanced calculation tasks. Previous GPT models like GPT-3.5-turbo or out-of-the-box open-source models might result in incorrect or missing output when there is a need of information lookup.

Prompt engineering

We developed a two-step sequential prompt to convert literature text into a machine-readable format using ChatGPT-4. The first prompt transformed general experimental procedures/protocols from the literature to detailed, structured, and step-by-step procedures. The second prompt transcribed the output from the first prompt to machine readable tailored XML scripts that can communicate with iControl. This sequential prompt approach also allows for the integration of additional prompts in either step for potential correction in molecular weight lookup and syntax error fixing.

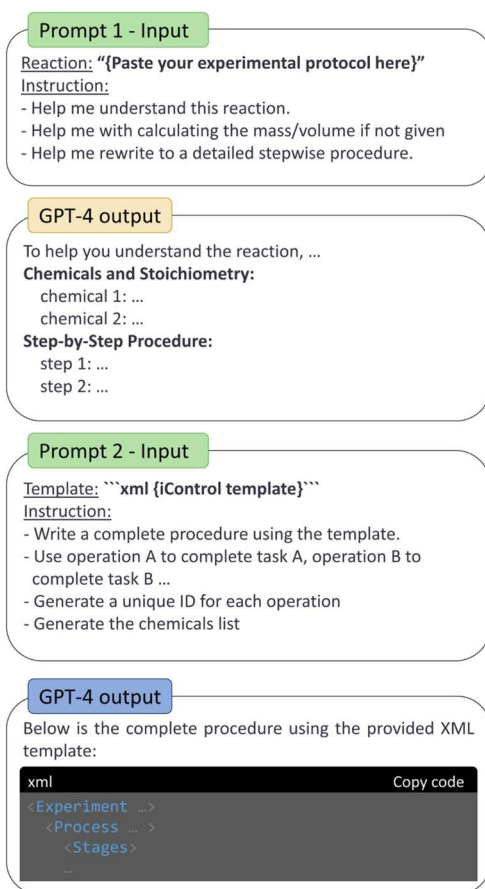


Fig. 2 Abstracted prompts and GPT-4 outputs for generating iControl XML scripts from literature.

The first prompt in Fig. 2 (“prompt 1 – input” box) focused on breaking the translation task into three subtasks, called “instructions” in the prompt. This was inspired by how human researchers replicate a literature procedure, specifically contextualization, calculation and step organization as the “prelab” exercises. The first instruction requires the LLM to retrieve information to comprehensively understand the reaction before performing calculations. The second instruction specifically asks to find the reagent phase and calculate the actual addition mass or volume, significantly improving the mathematical accuracy of the chemical amounts in the stepwise procedure. Lastly, the third subtask is to rewrite the protocol to a step-by-step procedure with detailed chemical quantities, breaking down an unstructured procedure into modular and explicit steps. This prompt is customizable and can be adjusted to provide additional details, such as scale adjustments, chemical properties like liquid densities, or vendor information for reagents. An example of requesting a density search and formatting results in a markdown table can be found in ESI S3.2.†

The focus of the second prompt (Fig. 2 “prompt 2 – input” box) is to teach GPT-4 the EasyMax domain-specific XML structure. Although XML format in general can be familiar to LLMs, this iControl tailored structure and hierarchy are unseen to GPT-4. A minimal experimental design over iControl can be divided into two elements: an operation sequence (<OperationSequence>) and a chemicals list (<Chemicals>). The XML template includes the schema and contains necessary operations (heat, stir, add, dose, wait and end) organized in the <OperationSequence> container. It also includes sample entries for solid and liquid chemicals in the <Chemicals> element, showcasing the iControl domain-specific XML's structure and hierarchy. The instructions in this prompt aim to map the operation names to the experimental operations, especially to differentiate solvent dosing from other reagent additions (solid or liquid) as the operation name may not be self-explanatory. The instruction also requests the generation of a 128 bit Universally Unique Identifier (UUID) for every operation to replace the TrackingID placeholder in the XML template. This step is important for iControl experiments, as it ensures each chemical or operation is distinctly identifiable and traceable during execution. For example, the add operation identifies the chemical to be added from the chemical list by referencing its TrackingID. Lastly, the instruction indicates that all chemicals used in the experiment should be defined in <Chemicals> to ensure correct reference in reagent addition operations. Detailed prompts used can be found in ESI Table S2.†

ALR configuration

Our experimental setup incorporated a Mettler Toledo EasyMax 102 synthesis workstation, equipped with an overhead stirrer and a SP-50 dosing unit for liquid handling. We opted for this commercialized ALR over custom or prototype SDL modules for its reliability, availability, and well-maintained user-friendly software families. We utilized the MT AutoChem software iControl 6.2 complemented by the iC Data Center 6.2 with



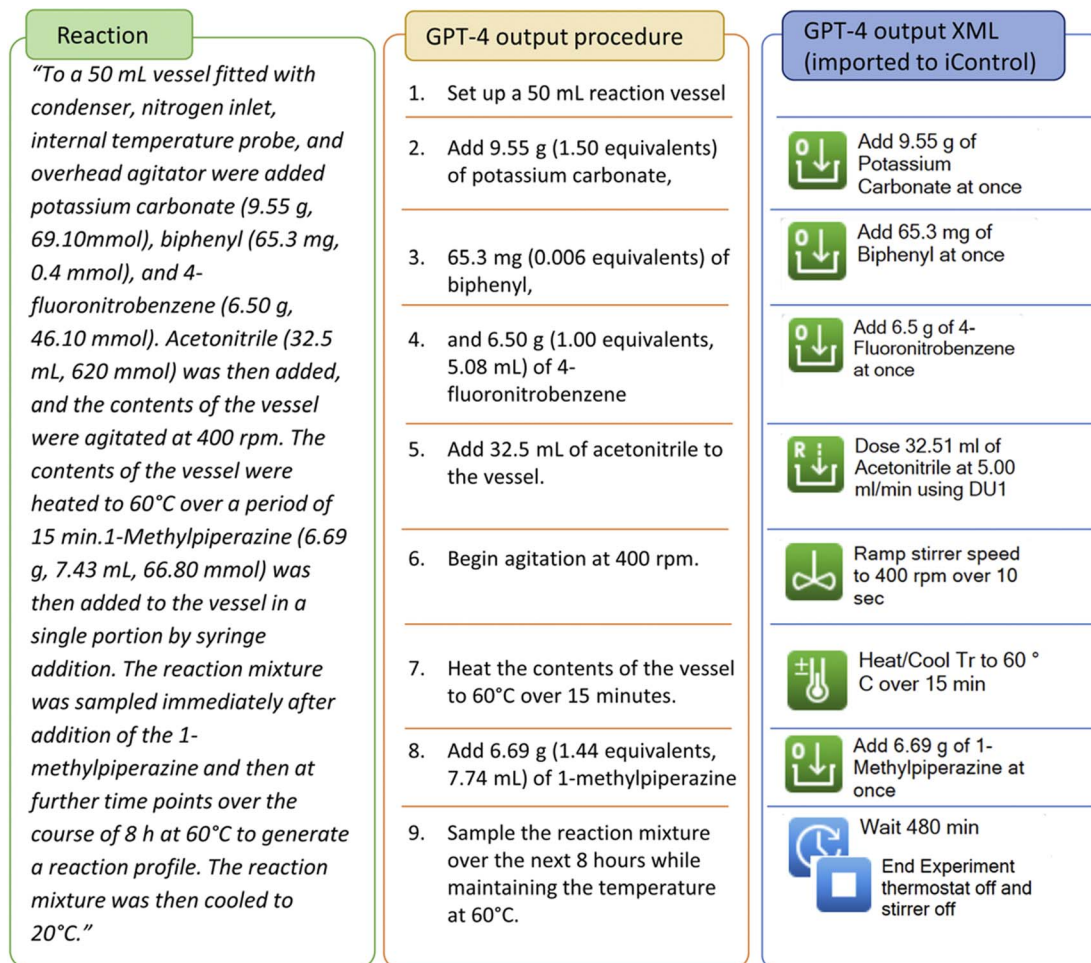


Fig. 3 Literature procedure of S_NAr aminolysis, stepwise procedure and iControl operations generated by GPT-4.

Electronic Laboratory Notebook (ELN)-enabled capacity (detailed setup in ESI Section S4.2†) to allow experiment design and automatic XML import from a designated folder. Once the LLM-generated XML file was moved to the folder, it should appear to the iControl ELN session if there was no syntax error (ESI Fig. S13†). The experimental design interface in iControl can help visualize scripted operations, allowing easy fine-tuning. In case of any syntax error, the iC Data Center would create an error message file in the same folder that can be used to prompt the LLM for correction in the same dialogue (ESI Fig. S6†). This error correction approach is similar that of CLAIRify, enabling GPT to correct the syntax error by understanding the error message.³³

Execution and monitoring

The imported iControl experiment was then started without any modifications. Reagent addition operations were completed according to the pop-up window manually. Three reactions taken from literature were monitored using online high-performance liquid chromatography (HPLC) previously demonstrated with high reproducibility.^{3,36} The products were then characterized using nuclear magnetic resonance (NMR) spectroscopy. Detailed experiment and monitoring information are in ESI S1.†

Results and discussion

Case study 1: S_NAr aminolysis

The transcription capabilities of GPT-4 was firstly evaluated with a S_NAr reaction that was also done on a EasyMax 102 synthesis workstation.³⁷ In this case, the literature protocol provided stir rate, temperature ramping detail and the mass and/or volume of the necessary reagent to carry out the reaction. We purposely let GPT-4 carry out the calculations using the standard prompt (ESI Table S2†), which matched the information from the literature. Following the output of the detailed stepwise procedure, each step is successfully mapped to iControl operations according to the given rules, see Fig. 3. The reaction was executed without modification and was monitored with online-HPLC (Fig. 4). We then confirmed the identity of 1-methyl-4-(4-nitrophenyl)piperazine *via* 1H NMR spectroscopy (ESI Fig. S14†). The complete prompt and response generated by GPT-4 are in ESI Table S3.†

Case study 2: hydrazone synthesis

Although the detailed experimental protocol with exact reagent amounts is sometimes required by the journals, which ease the preparation and calculation in reproducing the method, this is



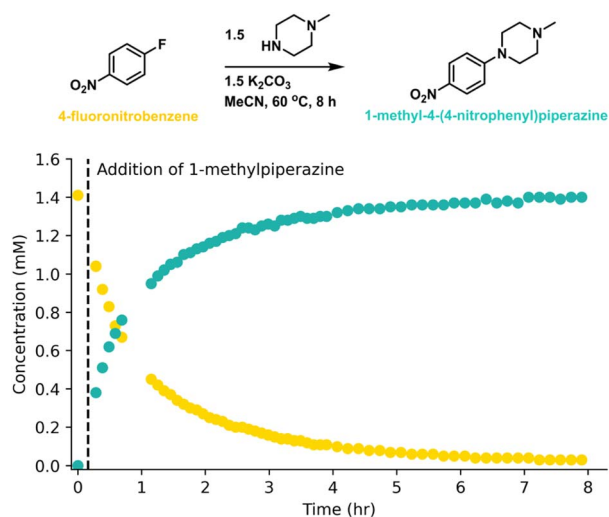


Fig. 4 Online HPLC monitoring of 1-methylpiperazine and 1-methyl-4-(4-nitrophenyl)piperazine.

not invariably the case, especially in general procedure. Conventional tools, such as RXN and ChemIDE, are not capable of performing calculations of masses from mole or equivalence. The synthesis of hydrazone is a simple and straightforward reaction that we used to demonstrate the use case of performing calculation using GPT-4. In the general procedure of hydrazone synthesis,³⁸ the amount of aldehyde is given in moles and equivalent to accommodate the various aldehyde species. Using 4-fluorobenzaldehyde as a test case, GPT-4 successfully outputted the correct molecular weight and calculated the correct mass of 4-fluorobenzaldehyde, see Fig. 5. Note that the identified step 3 involves both temperature and stirring information as these two steps are described in one

sentence with no additional ramping instruction, like case study 1. Despite the identified single-step action, the output from second prompt successfully converted this step to HeatCool and Stir operations. The complete response generated by GPT-4 and the experiment design XML are in ESI Table S4.†

Following creation of the iControl protocol, the reaction was executed and monitored using online-HPLC and by plotting the peak areas of 4-fluorobenzaldehyde and (4-fluorobenzylidene)hydrazine over time (Fig. 6). The addition of the hydrazine hydrate was delayed to determine the pre-reaction composition of the benzaldehyde solution. A significant (4-fluorobenzylidene)hydrazine formation is presented at the first sampling point after the addition of hydrazine hydrate at 17 minutes. Formation of the intended product, (4-fluorobenzylidene)hydrazine, was also confirmed by ¹H NMR spectroscopy. Acetal (1-dimethoxymethyl)-4-fluorobenzene is also present as an expected by-product (ESI Fig. S15†).

Case study 3: Curtius rearrangement

In this example of autonomous monitoring of Curtius rearrangement using NMR spectroscopy,³⁹ the experimental protocol includes detailed documentation of monitoring techniques. Notably, the timing of reagent addition was determined by referencing the number of spectra collected. The complexity of the sampling technique may pose a barrier to proof-of-concept experimentations without autonomous monitoring tools. However, by prompting for calculation of actual reagent addition time using the sampling time interval, GPT-4 can comprehensively interpret the protocol and effectively generate a stepwise procedure as well as an iControl XML script with estimated reaction time (Fig. 7). The iControl design was executed, and the reaction was monitored with online-HPLC (Fig. 8, zoomed initial 7 hours data in ESI Fig. S2†). Note that

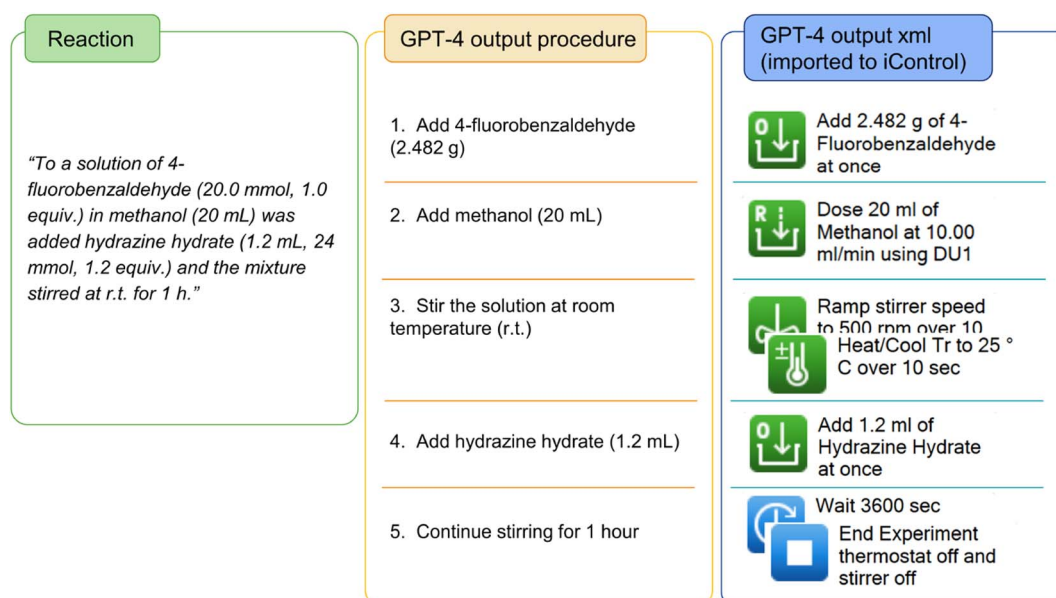


Fig. 5 Detailed textual procedure of synthesis of hydrazone and stepwise procedure and iControl operations generated by GPT-4.



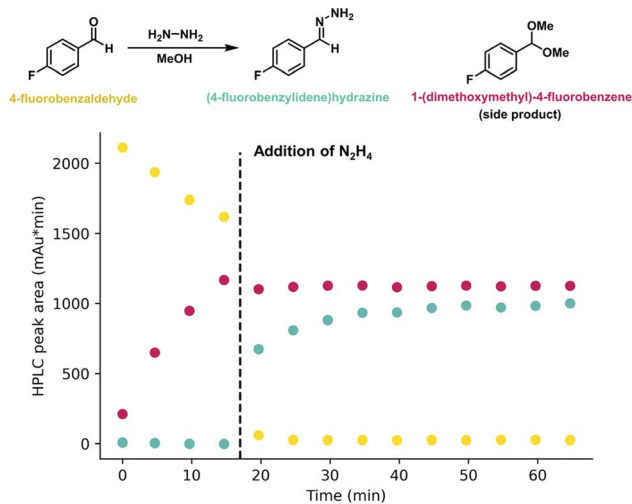


Fig. 6 Online HPLC monitoring of product formation with hydrazine hydrate addition at 17 min.

the time course data had separate signals for 4-fluorobenzoyl azide formation that was not reflected in ^{19}F NMR in the literature.³⁹ The addition of hexafluoroisopropanol was delayed to 31 hours after an observed plateau of nitrene consumption. The carbamate formation after Curtius rearrangement was not observed with online-HPLC, but was characterized by ^{19}F NMR spectroscopy with sampling before and after the addition of hexafluoroisopropanol (HFIPA) (ESI Fig. S16†). The complete prompt and response generated by GPT-4 are in ESI Table S5.†

Robustness evaluation

The generation length limit were evaluated using the recently published metal-free C–N cross-coupling procedure.⁴⁰ This method, including 9 reagent additions, 4 temperature changes and 3 reaction time settings, leading to a total of 18 iControl operations (including stir and end operations). Depending on the operation type, the token usage of one additional operation is 100 to 240.⁴¹ All solid reagents in this procedure were given in moles or equivalence, which may increase token usage during calculation steps in the first output. Assuming no additional prompt was used other than the base example, the resulting XML with the structured prompt would result in an estimated usage of 5140 tokens, which is beyond the ~4000 limits in recent GPT models. This means that lengthy protocols need to be broken down into smaller portions, which could impact reliability of the translation. In 10 conversations during late April to June, 2024, we observed a higher occasion of multiple missing steps or unfinished scripting. For cases where operations or chemical elements are missing, the fix rate is promising when prompting for full procedure or full chemical list (ESI Table S11†). Due to the token limit, the maximum length of procedure is ideally within ~120 words or 15 steps.

The occurrence of syntax error is generally low because of the provided XML template, and they are often resolved effectively with the systematic error messages from iC Data Center. However, exceptions occur with missing element-ending tag ('>') (example in ESI Fig. S8†). In these instances, manual correction in text/code editor is more effective because this issue pertains more to text markup formatting than to scripting performance.

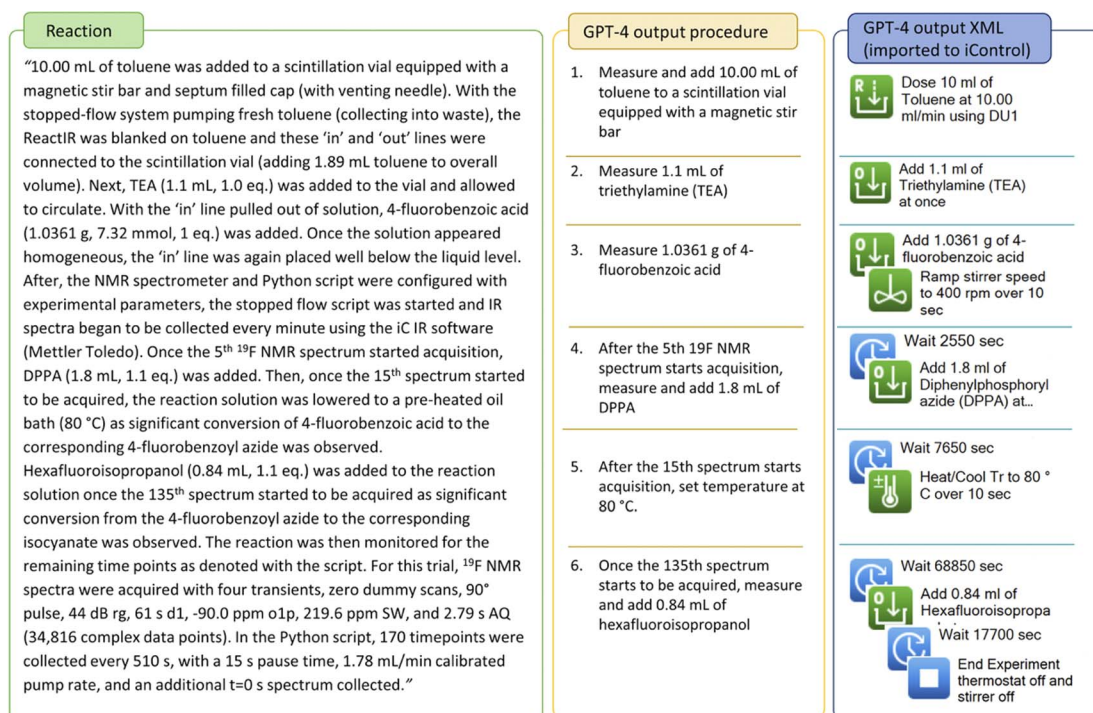


Fig. 7 Literature procedure of Curtius rearrangement, stepwise procedure and iControl operations generated by GPT-4.



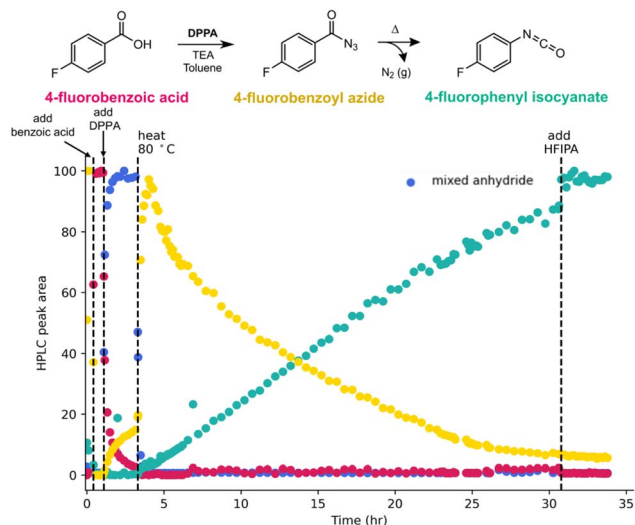


Fig. 8 Online HPLC monitoring of 4-fluorophenyl isocyanate formation with DPPA addition at 42.5 min, and heat at 169.5 min.

Using the same experimental protocol, the density lookup ability of GPT-4 shows 100% accuracy in 5 conversations that specifically requested density lookups. Although GPT-4 was demonstrated to have great understand of chemical information including molecular weight,²⁷ the lookup results in prompt 1 cannot output the correct molecular weight for 5,6-dichloropyrazine-2,3-dicarbonitrile in all 10 conversations, resulting the incorrect mass output even though using the correct equation (ESI Table S12[†]). This error may stem from incorrect formula identification and a lack of chemistry knowledge, as GPT-4 did not activate web browsing feature, possibly related to recent cases of web searching reluctance.⁴² However, this can be fixed by prompting “search the molecular weight” and/or providing the chemical formula to leverage general chemistry knowledge. Additionally, an external chemical database embedding can also enhance the lookup accuracy.

Aside from the molecular weight discrepancy, GPT-4 accurately converted chemical amounts from mole to mass in all 10 conversations (ESI Table S12[†]). Importantly, the code interpreter feature was not activated in any of those instances, highlighting that these calculations were performed based on the model’s capabilities. Recent evaluations have demonstrated GPT-4’s near human-level accuracy in more complex calculus problems, suggesting that its performance in basic chemical mass calculations should be reliably accurate.^{43,44} The generated iControl operations remains consistent across conversations, ensuring correct sequence of steps and parameters despite variations in unit and decimal places (ESI Table S13[†]).

Scalability and transferability

The EasyMax domain-specific XML schema adheres to the S88 format, a standardization of the control philosophy in hardware control. While hierarchy and operation parameters may vary between manufacturers, the fundamental philosophy remains consistent, aligning with the S88 baseline. Therefore, this

Prompt 2 – Input

Template:

```
class SelfDrivingLab:
    def add_reagent(self, chemical: str, amount: float, ...
    def heat_stir(self, temperature: float, stir_rate: int, ...
    def add_solvent(self, solvent: str): pass

if __name__ == "__main__":
    sdl = SelfDrivingLab()
    # ...
    sdl.add_reagent("methanol", 1.0)
```

Instruction:

- Write a complete procedure using the template.
- Use operation A to complete task A, operation B to complete task B ...

GPT-4 output

Below is the complete procedure using the provided Python template:

```
Python Copy code
if __name__ == "__main__":
    sdl = SelfDrivingLab()
    # ...
    sdl.add_reagent("methanol", 1.4)
    sdl.add_reagent("4-fluorobenzaldehyde", 196.154)
    sdl.add_reagent("hydrazine hydrate", 0.084, "mL")
    sdl.heat_stir(temperature=25, stir_rate=300,
                 duration_in_minutes=60)
```

Fig. 9 Example prompt 2 and GPT-4 output using Python SDL template.

prompt engineering approach should be transferable as long as the prompt can map experimental actions (e.g., heating, stirring, dosing) to operation names. Beyond the XML format, this approach should support scripting experimental procedures in any general-purpose programming languages. With more familiarity to the language and its function calling ability,⁴⁵ the LLM can script series of functions to effectively plan and execute sequential tasks.

As a proof of concept, we demonstrate the translation of hydrazine synthesis (case study 2) on Python-based SDLs.^{46,47} Methods such as heating, stirring and dosing were tailored to resemble the configuration of an ALR setup, with manual addition of reagents other than solvents. The second prompt was adapted using the Python method definitions with updated mapping instructions (Fig. 9). The resulting Python script accurately mapped functions for all the steps in hydrazine synthesis, showcasing the transferability of the prompt engineering approach across different instruments and programming languages (full conversation in ESI Table S7 and 8[†]). Beside direct execution with Python-based SDLs, the functions can also serve as a backend for scripting operations in domain-specific XML (ESI Table S9[†]). This permits the potential transcription of more complex procedures with fewer token usages, as Python function calls do not require hierarchical templates like XML. With more sophisticated SDLs, potentially designed for material synthesis, there is the prospect of fully autonomous pipelines bridging the gap between literature and product.



Limitations

Although this solution can streamline the calculation and scripting process for replicating a literature method, it relies on human intervention for prompt engineering, XML file saving, error correction and manual reagent addition during experiment. Some key parameters, such as stirring rate and ramping rate are sometimes not addressed in the literature and may require users' judgement to edit default values in XML template, or generated XML files before or after importing to iControl. The XML generation accuracy also depends on the operation mapping rules in prompt 2, where new rules need to be established for the addition of new workups or operations. Due to the hardware constraints, such as temperature range, stirring rate limit and vessel capacity, it's important yet challenging for LLMs to design experiments that strictly adhere to these rules. Additionally, the response may encounter an unexpected pause due to high server load or token limit, potentially requiring a "continue" prompt to resume the generation. It's worth noting that GPT performance can vary across different releases, and its behaviour may evolve over time.

Lastly, although this approach is designed to reproduce literature methods, concerns around the safety and ethics of using LLMs remain, particularly when it comes to generating scripts for dangerous or unethical reactions. Most instrumental constraints (e.g., temperature) are managed by the safety protections of the ALR. ChemCrow has proposed a warning system to flag dangerous reactions, enhancing lab safety.²⁹ However, when utilizing ChatGPT with prompt engineering, preventing the generation or interpretation of hazardous scripts largely depends on the pre-training safeguards implemented by the generative AI provider (e.g., OpenAI).

Conclusions

In this study, we have developed a prompt engineering solution to translate technical methodologies to stepwise procedures and machine-readable scripts in one dialogue. In the first prompt, GPT-4 demonstrates its proficiency in performing calculations, chemical phase lookup and generating steps with precise quantities in the procedure. With the XML template and mapping rules that associate solid, liquid dosing, heat and stir actions to the operation names in XML, GPT-4 can generate a complete XML script with reasonable accuracy and minimal human intervention. We demonstrated the execution of the XML script utilizing an EasyMax 102 workstation and confirmed the successful product formation using online HPLC and NMR. Overall, this approach streamlines the fundamental research necessity in reproducing literature methods with accessible hardware and services. While showcasing the possibility of using LLMs, there are still challenges in applying LLMs to more complex procedures or SDLs. To deal with less common or complicated reagents, Retrieval Augmented Generation (RAG)⁴⁸ approach can be utilized, with an LLM such as ChemCrow,²⁹ which can aid in increasing chemical accuracy and correctness. Finally, future work can also focus on developing a fully

autonomous literature to product pipeline across various disciplines and SDLs configurations.

Data availability

The prompts, generated XML files and Python codes can be found at: <https://gitlab.com/heingroup/gpt-xml-translation>.

Author contributions

Conceptualization, J. E. H. and S. M.; prompt design, J. E. H., S. M., J. M. H. (before December 15th 2023) and W. Z.; prompt tuning, all authors; experimentation, M. A. G., J. Y. and J. L.; coding, W. Z. and L. H.; writing – original draft, W. Z.; writing – review & editing, all authors.

Conflicts of interest

There are no conflicts to declare.

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