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# The activating capture of N<sub>2</sub> at the active site of Mo–nitrogenase†

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Dinitrogen is inherently inert. This report describes detailed density functional calculations (with a 485+ atom model) of mechanistic steps by which the enzyme nitrogenase activates unreactive N<sub>2</sub> at the intact active site FeMo-co, to form a key intermediate with bound HNNH. This mechanism does not bind N<sub>2</sub> first and then add H atoms, but rather captures N<sub>2</sub> ('N<sub>2</sub>-ready') that diffuses in through the substrate channel and enters a strategic gallery of H atom donors in the reaction zone, between Fe2 and Fe6. This occurs at the E4 stage of the complete mechanism. Exploration of possible reactions of N<sub>2</sub> in this space leads to the conclusion that the first reaction step is transfer of H on Fe7 to one end of N<sub>2</sub>-ready, soon followed by Fe–N bond formation, and then a second H transfer from bridging S<sub>2</sub>BH to the other N. Two H–N bonds and one or two N–Fe bonds are formed, in some cases with a single transition state. The variable positions and orientations of N<sub>2</sub>-ready lead to various reaction trajectories and products. The favourable products resulting from this capture, judged by the criteria of reaction energies, reaction barriers, and mechanistic competence for further hydrogenation reactions in the nitrogenase cycle, have Fe<sub>2</sub>–NH–NH bonding. The trajectory of one N<sub>2</sub> capture reaction is described in detail, and calculations that separate the H atom component and the 'heavy atom' components of the classical activation energy are described, in the context of possible H atom tunneling in the activation of N<sub>2</sub>-ready. I present arguments for the activation of N<sub>2</sub> by the pathway of concerted hydrogenation and binding of N<sub>2</sub>-ready, alternative to the commonly assumed pathway of binding N<sub>2</sub> first, with subsequent hydrogenation. The active site of nitrogenase is well primed for the thermodynamic and kinetic advantages of N<sub>2</sub> capture.

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## 1. Introduction

The conversion of N<sub>2</sub> to NH<sub>3</sub> is essential for all life on earth. The microbial enzyme nitrogenase is the natural catalyst for this process, but now roughly half of the food for human life is fertilised by ammonia from the industrial Haber–Bosch process,<sup>1</sup> which is very energy expensive and emits unacceptable amounts of CO<sub>2</sub>.<sup>2</sup> Concerns about the consequences for the earth's climate from all greenhouse gas emissions, and the transition away from fossil fuels, have generated new attention on NH<sub>3</sub>, produced from N<sub>2</sub>, as a practical carrier for H<sub>2</sub> as a fuel, or in NH<sub>3</sub> fuel cells.<sup>3–6</sup>

The hydrogenation of N<sub>2</sub> to NH<sub>3</sub> is thermodynamically favourable,  $\Delta G_f^\circ \text{NH}_3(\text{g}) = -3.9 \text{ kcal mol}^{-1}$ . However the reac-

tion pathway from N<sub>2</sub> to NH<sub>3</sub> is kinetically difficult. The bond dissociation energy of N<sub>2</sub> is 225 kcal mol<sup>-1</sup>. The remarkable unreactivity of the N<sub>2</sub> molecule is also due to its non-polarity, and the large gap of 10.8 eV between the highest occupied and lowest unoccupied molecular orbitals.<sup>7</sup> The hydrogenation of N<sub>2</sub> to diazene N<sub>2</sub>H<sub>2</sub> is endothermic, +49.6 kcal mol<sup>-1</sup>.<sup>8</sup> Other fundamental properties related to the initial hydrogenated species from N<sub>2</sub> are collected in ref. 8. Emphatic expression of the fact that the kinetic difficulty in converting N<sub>2</sub> to NH<sub>3</sub> occurs at the first hydrogenation step appears in the initially large negative standard reduction potentials plotted in Fig. 1.

Clearly any mechanism for the hydrogenation of N<sub>2</sub> needs to account for the first step to N<sub>2</sub>H. The enzyme nitrogenase hydrogenates N<sub>2</sub> to NH<sub>3</sub> with relative ease under ambient conditions, and so must have an effective pathway for the first hydrogenation of N<sub>2</sub>. I report here investigations of the mechanism of these intrinsically difficult first steps for the activation of N<sub>2</sub> as they are effected by the enzyme nitrogenase.

The catalytically active site that has evolved to effect this chemistry is a multi-metal cluster, CFe<sub>7</sub>MoS<sub>9</sub>, the largest metallocluster of enzymology.<sup>12,13</sup> Fig. 2a shows this cluster, which together with an obligatory homocitrate ligand (HCA), is known as the iron-molybdenum cofactor, FeMo-co. It is

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† Electronic supplementary information (ESI) available: Description of the protein model and the structural constraints used, including movement of Val70 and the contiguous chain, a description of the density functional procedures and their validation, a description of procedures for determination of transition states and reaction trajectories, and atomic coordinates for reactants, transition states and products. See DOI: <https://doi.org/10.1039/d4dt01866d>

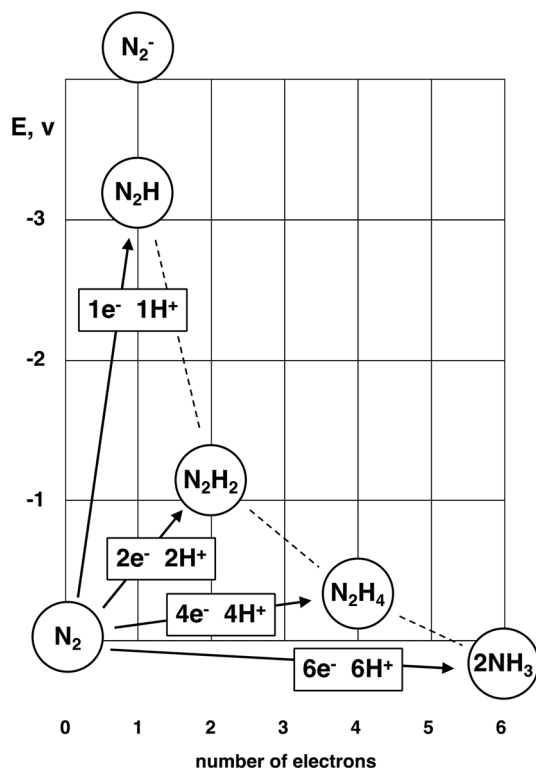


Fig. 1 Standard reduction potentials (vs. NHE) for reduction of  $\text{N}_2$ . From refs. 8–11.

located in the MoFe protein that together with the Fe protein comprises the nitrogenase enzyme.<sup>14,15</sup> At the centre of the cluster is a six-coordinate C atom ( $\text{C}^{\text{c}}$ ), bonded to a trigonal prism of Fe atoms (Fe2 to Fe7).<sup>16–19</sup> Three S atoms doubly-bridge the axial edges of this trigonal prism, and at each end of the prism a set of three triply-bridging S atoms connect to Fe1 or Mo. There are only two bonds to the surrounding protein, through cysteine coordination of Fe1, and histidine coordination at Mo. Each Fe atom is four-coordinate, approximately tetrahedral, while Mo is octahedrally coordinated. The mechanistically influential amino acids that surround FeMo-co, identified by experimental investigations of the consequences of amino acid mutations on the enzyme reactions and reactivity,<sup>15,20–34</sup> are shown in Fig. 2b: throughout this paper amino acids are numbered according to crystal structure PDB 3U7Q of species *Azotobacter vinelandii* (*Av*). Val70 and the side chain of Arg96 flank the active face and NeH of His195 forms a good hydrogen bond to S2B.

Mutagen-reactivity experiments show that the active domain of FeMo-co is the front face, Fe2–S2B–Fe6–S3B–Fe7 enclosed by Val70 and Arg96 (see Fig. 2b).<sup>20–22,26,30,31,33–37</sup> Assumptions that this domain remains intact during the catalytic cycle have been challenged in recent years, by crystal structures and reactivities of nitrogenase isoforms in which S2B has been displaced into the protein by another atom or ligand.<sup>38–46</sup> The possible lability of S2B has attracted compu-

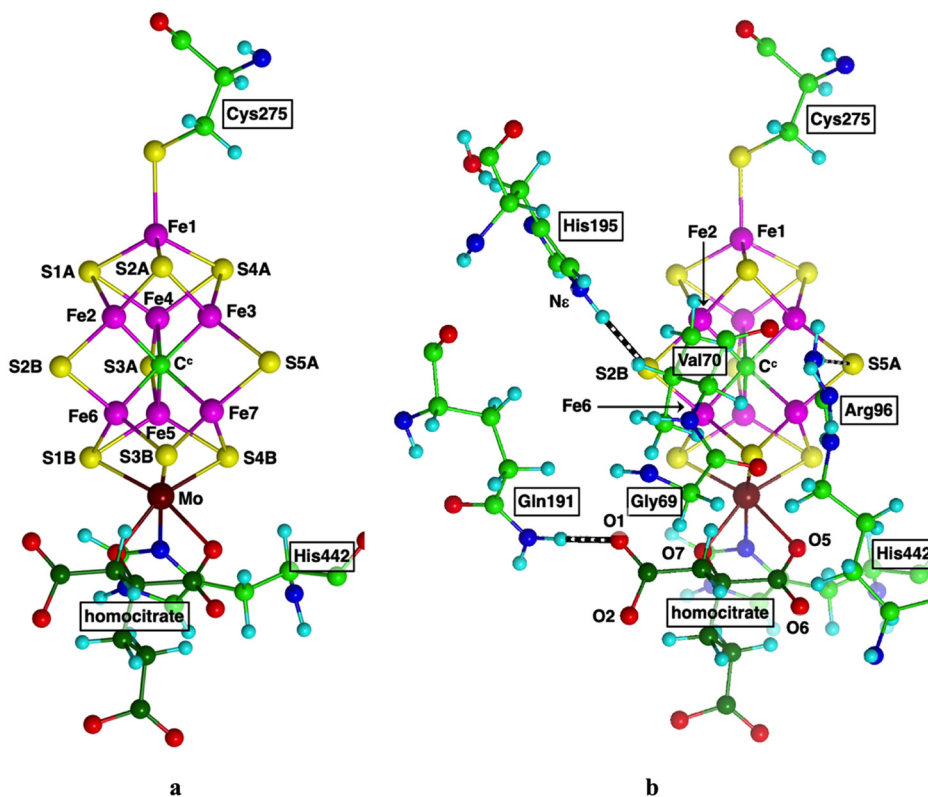


Fig. 2 (a) Structure of FeMo-co, with connections via Cys275, His442. Labels for Av1 protein, crystal PDB 3U7Q. Homocitrate C atoms are dark green. (b) Significant surrounding amino acids. Hydrogen bonds are striped.

tational investigations.<sup>47–55</sup> An alternative hemilability of S2B, in which only one of the S2B–Fe bonds is broken during catalysis, has been explored computationally.<sup>50,56–62</sup> In the results presented here the S2B–Fe2 and S2B–Fe6 bonds remain, and FeMo-co is intact.

In the FeMo-co resting state the central Fe atoms are four coordinate and pseudo-tetrahedral. Ligands can bond to the *exo* and *endo* coordination positions, shown on Fig. 3a for Fe2 and Fe6, and this bonding induces modification of the FeMo-co cluster core as marked by the arrows on Fig. 3b. The coordination stereochemistry at ligated Fe can be tetrahedral, trigonal bipyramidal, or octahedral.<sup>63,64</sup> *Exo* ligation of Fe usually causes extension of the *trans* Fe–C<sup>c</sup> bond (blue arrows). For *endo* coordination (and *endo* plus *exo* coordination) the geometrical adjustment is more substantial, because the S2B–Fe2–S2A and S2B–Fe6–S3B angles (the ‘*endo* angles’) expand from near trigonal (120°) in the unligated cluster to nearer 180° (red arrows). Changes in the *endo* angles are associated with a folding backwards or forwards of S2B (violet arrows) Fig. 3b, a movement that is connected to hydrogen bonding between N $\epsilon$  of His195 and S2B. The side chain of His195 can move substantially in several directions (green arrows) in conjunction with this plasticity of FeMo-co. These distortions of the FeMo-co core incur adaptation energy penalties.<sup>65</sup>

### 1.1 Background

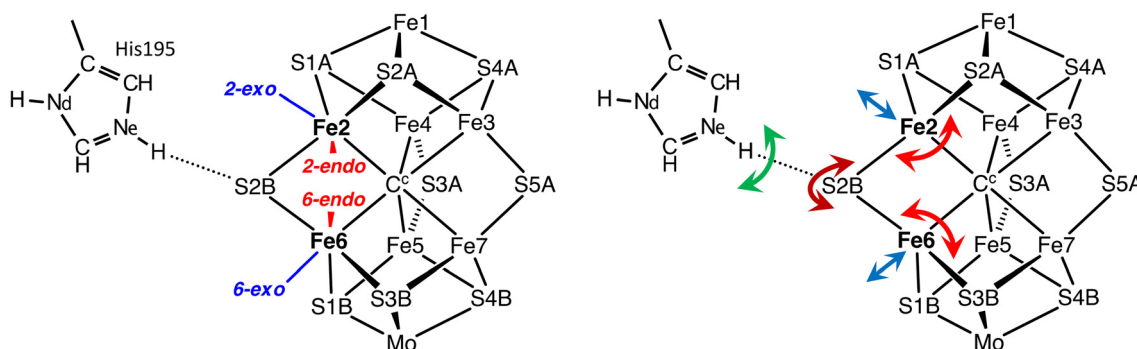
Two fundamental components of the initial hydrogenation of N<sub>2</sub> are the location and mode of N<sub>2</sub> binding, and the sources of H atoms. Note that these H atoms may be polarized slightly positive or slightly negative, according to their bonded atoms: they are neither hydride ions H<sup>–</sup> nor protons H<sup>+</sup>, both of which cannot exist as such on FeMo-co. In this paper H represents a slightly polarised H atom on Fe or S, or an acidic H on N $\epsilon$  of His195 or on carboxylate or coordinated OH of HCA. ‘Proton transfer’ describes movement of a positively polarised H atom. The H atoms are generated by the introduction of protons along the water chain, as previously described in detail,<sup>66</sup> concomitant with electron addition to FeMo-co.<sup>67</sup>

I have previously described the ingress of N<sub>2</sub>, along a well-defined channel in the protein leading directly to the *exocoordination* position of Fe2.<sup>68</sup> This pathway has been characterised by the structures of crystals pressurised with Xe<sup>69</sup> and molecular dynamics calculations.<sup>70</sup> N<sub>2</sub> can bind end-on ( $\eta^1$ ) at *exo*-Fe2, with a binding energy that becomes more favourable as the degree of hydrogenation of FeMo-co increases.<sup>68</sup> Calculated binding energies in the range –7 to –14 kcal mol<sup>–1</sup> and association barriers ranging 2 to 7 kcal mol<sup>–1</sup> have been reported, and the entropy penalty for the binding of N<sub>2</sub> from the N<sub>2</sub> pathway is estimated to be 4 kcal mol<sup>–1</sup>.<sup>65</sup> However, the prospects for hydrogenation of this *exo*-Fe2–N–N group are not favourable. Possible H donors are HisH<sup>+</sup>, S2B–H, or *endo*-Fe2–H, but as shown previously these are very awkward stereochemically.<sup>68</sup> This unreactive N<sub>2</sub> at the *exo*-Fe2 position is proposed<sup>71</sup> to be the N<sub>2</sub> required to enable the non-physiological HD reaction, D<sub>2</sub> + 2H + + 2e<sup>–</sup> → 2HD.<sup>72</sup>

A second N<sub>2</sub> molecule can enter along the N<sub>2</sub> ingress pathway, past *exo*-Fe2–N<sub>2</sub>, and, without interference, enter the reaction zone between Fe2 and Fe6.<sup>68,73</sup> The red enclosure of Fig. 4 shows a sequence of diffusing positions for this second N<sub>2</sub>. These positions are equi-energetic within 3 kcal mol<sup>–1</sup>, and are sustained by van der Waals interactions with non-polar H atoms of adjacent residues (mainly Ser278, Val70 and Val71) at distances ranging upwards from 2.35 Å and in the stabilising range of the N...H dispersion energy potential. There are no contacts less than 3 Å between the first *exo*-Fe2–N<sub>2</sub> and the second incoming N<sub>2</sub>. In this reaction zone this second N<sub>2</sub> can tumble freely: the blue enclosure of Fig. 4 shows (in different colours) four tumbling positions in the reaction cavity enclosed by Fe2, S2B, Fe6, Val70, Arg96, Fe3 and Fe7. The energy profile as N<sub>2</sub> diffuses in and tumbles is relatively flat.

### 1.2 Premises for N<sub>2</sub> capture at the E4 stage

The mechanistic cycle of nitrogenase is commonly described with the scheme developed by Thorneley and Lowe (TL) from seminal early kinetic measurements.<sup>74</sup> This model has been



**Fig. 3** (a) Locations of the *exo* and *endo* coordination positions at Fe2 and Fe6. A ligand bridging Fe and Fe6 supplants their *endo* coordination positions. (b) Blue arrows indicate the movements of Fe accompanying *exo*-coordination; red arrows indicate and define the *endo* angles that change with coordination at *endo* positions of Fe, by flapping of S2B forward or backward as indicated by the violet arrow. The side chain of His195 can swing as indicated by the green arrow.

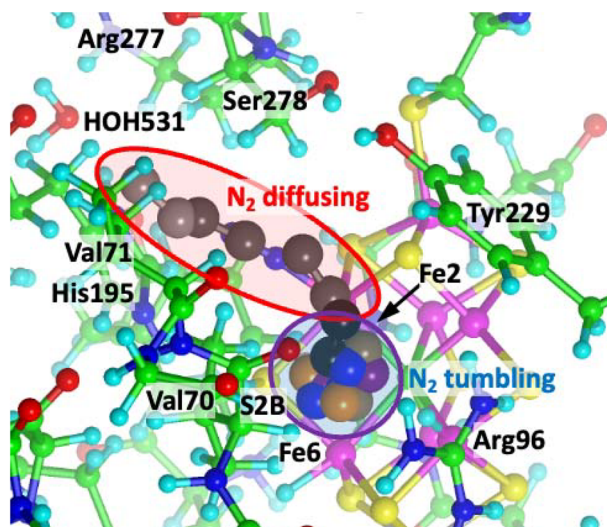


Fig. 4 Positions of the second  $N_2$  (gray shades) diffusing (red enclosure) along the  $N_2$  pathway, past the *exo*-Fe2- $N_2$  (blue, largely obscured), into the reaction domain where it can tumble (blue enclosure) through various positions (multi-coloured). All of the  $N_2$  positions marked are equi-energetic, within  $4 \text{ kcal mol}^{-1}$ .

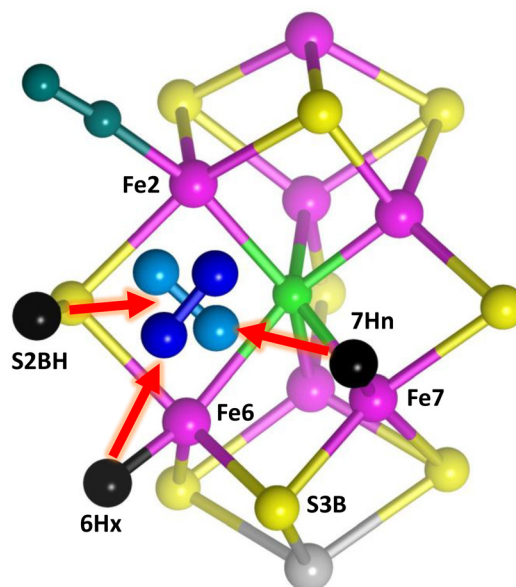


Fig. 5 The reaction space for investigation of the capture of  $N_2$  by surrounding Fe and H atoms. Two of the various postulated orientations of incoming  $N_2$  are shown: light blue is labelled **N2-ready-a** and dark blue is labelled **N2-ready-b**. Uninvolved  $N_2$  at *exo*-Fe2 is turquoise.

analysed further<sup>75</sup> and elucidated with a recent analysis of new steady-state kinetic data.<sup>76</sup> The TL scheme involves a sequence of *En* states, generated by addition of electron + proton pairs. The TL scheme describes the important relationships between  $N_2$  and  $H_2$  because exogenous  $H_2$  is a competitive inhibitor of the reduction of  $N_2$ <sup>72,74,77</sup> and this is embodied in the  $N_2/H_2$  exchange equilibria of the TL mechanism.

Exchange of  $H_2$  and  $N_2$  and binding of  $N_2$  occur at the E4 stage of the TL mechanism. In a complete mechanism (E0 to E8) to be described separately a necessary component is the accumulation of five added protons with four added electrons at E4. Although it is generally assumed that proton addition is coupled with electron addition, there is no experimental evidence for equal numbers of added protons and electrons at each stage. The E4 5H stage (*i.e.* 4 added electrons, 5 added protons) of the full mechanism includes  $H_2$  generated and bound at the *endo* position of Fe6, and it is this  $H_2$  that dissociates to expose *endo*-Fe6 for  $N_2$  capture in the reaction zone. The reason for the additional proton at the E4 stage arises later in the mechanism, which uses this proton at a stage where its replenishment by migration is still blocked.<sup>78</sup>

The starting point for the involvement of  $N_2$  is the arrangement shown in Fig. 5. Following previous surveys of the accumulation of H atoms on FeMo-co in the earlier stages of the mechanism,<sup>64,79</sup> there are H atoms on S2B (S2BH), at *exo*-Fe6 (6Hx) and at *endo*-Fe7 (7Hn). S2B is the most stable location for H on FeMo-co,<sup>64,79,80</sup> *exo*-Fe6-H also has high stability, and 7Hn has been reported as on the path of incoming protons.<sup>55</sup>  $H_2$  has just dissociated from *endo*-Fe6 in the  $H_2/N_2$  exchange,<sup>78</sup> allowing the reducible  $N_2$  to have various positions and orientations in the reaction cavity. Two postulated orientations of  $N_2$  are shown in Fig. 5: in the following these

are described as **N<sub>2</sub>-ready-a** (light blue) and **N<sub>2</sub>-ready-b** (dark blue). A crucial feature of the premised scenario in Fig. 5 is that three H atoms are primed (red arrows) to capture  $N_2$  with H-N bond formation, concurrent with  $N_2$  forming bond(s) with Fe2 and/or Fe6. This general array in Fig. 5 is stereochemically propitious for the crucial first hydrogenation and binding of  $N_2$ , and is the starting point for the investigations described here.

## 2. Methodology

### 2.1 Protein model

The computed protein model is a 485+ atom extract from crystal 3U7Q, including all relevant amino acids. This is my standard model for simulations of nitrogenase reactions and reactivity.<sup>65,71,73,81</sup> Details, and the rationale for inclusion of amino acids and truncation of uninvolved side chains, are provided in the ESI.† Investigations of the protonation state of homocitrate using crystal structures at various pH (summarised in ref. 82), together with vibrational circular dichroism spectroscopy,<sup>83</sup> QM/MM calculations,<sup>82,84</sup> and quantum refinement,<sup>84</sup> indicate that the coordinated alcoholate O7 atom is protonated and hydrogen bonded to O1, and this is now included in the computed model. Some constraints on the protein structure are required during optimization calculations because the modelled protein is incomplete and the influences of the complete protein outside the computational model are absent. The modelled protein must also maintain flexibility sufficient to accommodate the coordination of  $N_2$  and of  $H_2$ , and the diffusion of these molecules in the vicinity of



FeMo-co. The constraining strategy and the 28 distance constraints are described in the ESI.† In the reaction zone the distances  $C\alpha(\text{Val70})-C^c$  and  $C\alpha(\text{Val70})-CZ(\text{Arg96})$  are fixed. The charge on the  $[\text{CFe}_7\text{MoS}_9]$  core of FeMo-co is  $-1$ , in agreement with experimental and computational studies.<sup>81,82,85,86</sup>

## 2.2 Density functional procedures

Density functional (DF) calculations use the DMol methodology of Delley,<sup>87–92</sup> with accurate DNP (double numerical plus polarisation) basis sets.<sup>90</sup> The gradient-corrected functional PBE<sup>93</sup> is used because validation tests demonstrate that when used with the numerical basis sets of DMol it is more accurate than other commonly used functionals.<sup>94</sup> See the ESI† for validation information. The conductor-like screening model (COSMO)<sup>95–97</sup> is used with a dielectric constant of 5. The dispersion components of the non-bonding intermolecular interactions are treated effectively with the numerical basis sets used here: see the ESI† for additional information. Constraints on interatomic distances use the Lagrange Multiplier Algorithm. Control of electronic states is *via* input specifications of spin populations for Fe1, Fe3, Fe4, Fe5 and Fe7, subsequently optimised.

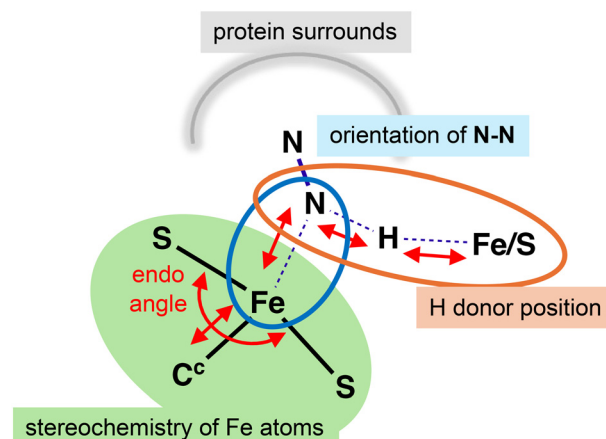
## 2.3 Electronic states for the structures reported here

The electronic states of the structures reported here are characterised by the spin densities on the Fe atoms, and the total spin  $S$ . I have previously shown that the electronic states with negative spin densities on Fe3 and Fe5 (labelled '35'), or on Fe4 and Fe7 ('47'), are generally the most stable,<sup>68</sup> and this is consistent with the findings and procedures of other authors.<sup>54,60,82,98–104</sup> The rationale for this stability of the 35 and 47 electronic states is published.<sup>68</sup> These two electronic states were used in the present calculations, together with total spins  $S = 1/2$  and  $S = 3/2$ . In general the structures and reactions reported here were calculated in the four combinations of electronic and spin state, labeled as 35(1/2), 35(3/2), 47(1/2), 47(3/2).

## 2.4 Mapping potential energy surfaces

This investigation comprehensively mapped the potential energy topology in the reaction space of Fig. 5, in order to locate energy minima, and then to locate the energy saddle points between them. Reactant  $\text{N}_2$  is unbound, and there are multiple geometrical variables, identified generally in Fig. 6. In addition to the position and orientation of the reactant  $\text{N}_2$ , there are variable positions for the H donors (H on Fe or S), and the core of FeMo-co is plastic. The Fe2–S2B–Fe6 bridge is intact in all structures, and all N–Fe bond formation occurs at the *endo* positions of Fe2 and/or Fe6. Fe–N ligation changes the *endo* angles at Fe (S2B–Fe2–S2A, S2B–Fe6–S3B), and the coupled  $C^c$ –Fe distances. All reported models have the same number of atoms and electrons, so energies are directly comparable.

The exploration deployed many calculations of energy minimisation trajectories, starting with the possible locations and orientations of  $\text{N}_2$ , and positions of the H atoms on S2B and at



**Fig. 6** Cartoon representation of variables explored, and their classification. The multiple possible orientations of unbound  $\text{N}_2$ , and its distance from Fe (Fe2 and/or Fe6) are marked blue; the position of the H atom donor and of H in the transfer to N are marked orange; adaptation of the FeMo-co core is green. Red arrows typify monitored dimensions.

*endo*-Fe7, guided always by the relevant stereochemical principles. The shape of the potential energy surface was monitored throughout, and the magnitude of the displacement in each energy minimisation step was adjusted to maintain regular small energy changes.

Transition states and intrinsic reaction coordinates were determined by the procedure described previously<sup>66,81,105,106</sup> and explained in detail in the ESI.† Because there are multiple variables in the systems described here (see Fig. 6) including descriptors of the orientation of unbound N–N, the TS calculations are less straightforward than for conventional bond breaking and making reactions. Some reactions contain more than one bond breaking-bond making step. Application of the relevant principles of stereochemistry is important for these manual calculations of reaction trajectories and transition states. There are variations of Fe spin densities during most reactions, and continuity of the electronic state throughout each reaction trajectory is checked.

## 2.5 Activation energy analysis

The reactions described here involve H transfer reactions, which might occur, at least in part, with H atom tunneling. Hydrogen tunneling, a quantum mechanical phenomenon, is possible when there is overlap of the probability functions of the reactant and product states. The effect of tunneling can be regarded as a lowering of the barrier of classical transition state theory. Tunneling is often evaluated in terms of the de Broglie wavelength, which for a hydrogen atom with ambient (300 K) thermal energy of  $2.5 \text{ kcal mol}^{-1}$  is *ca.* 0.5Å: the concept is that as the H atom ascends a barrier it can tunnel through it when the barrier width is of similar dimension.<sup>107</sup>

In view of the possibility of H atom tunneling, additional calculations were made to separate the components of the activation potential energy that involve movements of non-H atoms ('heavy atoms'), and the components which primarily

involve H atom movements. This is *not* a calculation of a tunneling correction, which is beyond the capability of the present calculations. The concept here is that removal of the H atom from a calculated structure removes its contribution to the calculated energy, leaving the heavy atom components. The computational procedure is illustrated in Scheme 1. The calculated activation energy,  $E_{\text{act}}$  has contributions from the formation of the H–N bond, the formation of N–Fe bond(s), and the adaptation of the cluster core (Fig. 6). Here, the H atom component of the energy barrier is separated by the following calculation protocol. The transferring H atom is deleted from the transition state structure, and the energy of the ‘transition state sans H’ is minimised until the FeMo-co core and any Fe–N interactions revert to their dimensions in the reactant structure. These changes, and their limit, are evident primarily in the Fe–C<sup>c</sup> distances and *endo* angles at Fe2, Fe6, Fe7. This energy change,  $E_{\text{adapt}}$ , is the activation energy devoid of the H–N bond formation components and is labelled  $E_{\text{act}}^*$  in the following. The adaptation energy calculated from ‘transition state sans H’ necessarily has total spin *S* different from that of the transition state, and that difference was maintained as  $\pm 1/2$ . The necessarily different electronic structure of ‘TS-sans-H’ relative to the TS causes an energy adjustment at the outset of the core reversion energy minimisation, prior to the geometry changes during the minimisation, and, by using miniscule displacements initially, this was carefully separated from the energy changes as the core geometry reverts. The component of the reaction barrier that involves H atom movements is  $E_{\text{act}} - E_{\text{act}}^*$ . There is some uncertainty in identifying the minimisation limit in the calculation of  $E_{\text{adapt}}$  and values have a precision of 1–2 kcal mol<sup>-1</sup>.

### 3. Results

The reaction space of Fig. 5 was comprehensively explored, starting with possible locations and orientations of N<sub>2</sub>, and of the H donors, to find stable structures in which N<sub>2</sub>, N<sub>2</sub>H or N<sub>2</sub>H<sub>2</sub> is bonded to Fe2 and/or Fe6. I will first describe the scope of struc-

tures found and investigated, together with some pictures showing dimensions, followed by tabulation of relative energies. I will then describe the reactions that form and transform these structures, with their potential energy profiles and activation barriers  $E_{\text{act}}$  and  $E_{\text{act}}^*$ . Analysis of the reaction trajectories and components of the potential energy barriers leads to considerations of H atom tunnelling in the initial stages. Then, the products of these reactions, with bound HNNH, are assessed against the criterion of mechanistic competence.

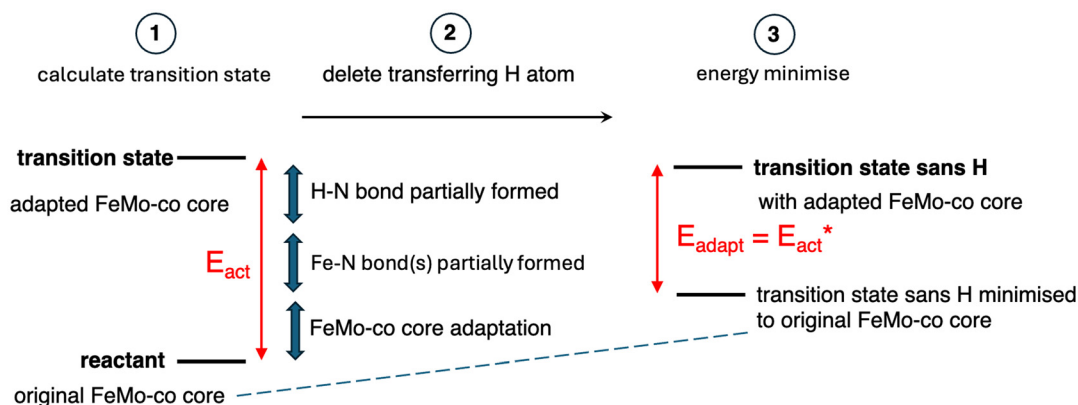
#### 3.1 Scope

The structures found and investigated are portrayed as minimal skeletons in Scheme 2, which also defines their labels. There are two principal orientations of unbound N<sub>2</sub>, **N2-ready-a** and **N2-ready-b**. These are not arbitrary positions but are obtained as the reactant limit in reaction trajectories from transition states, as described below. A third orientation, **N2-ready-c**, is part of only one trajectory.

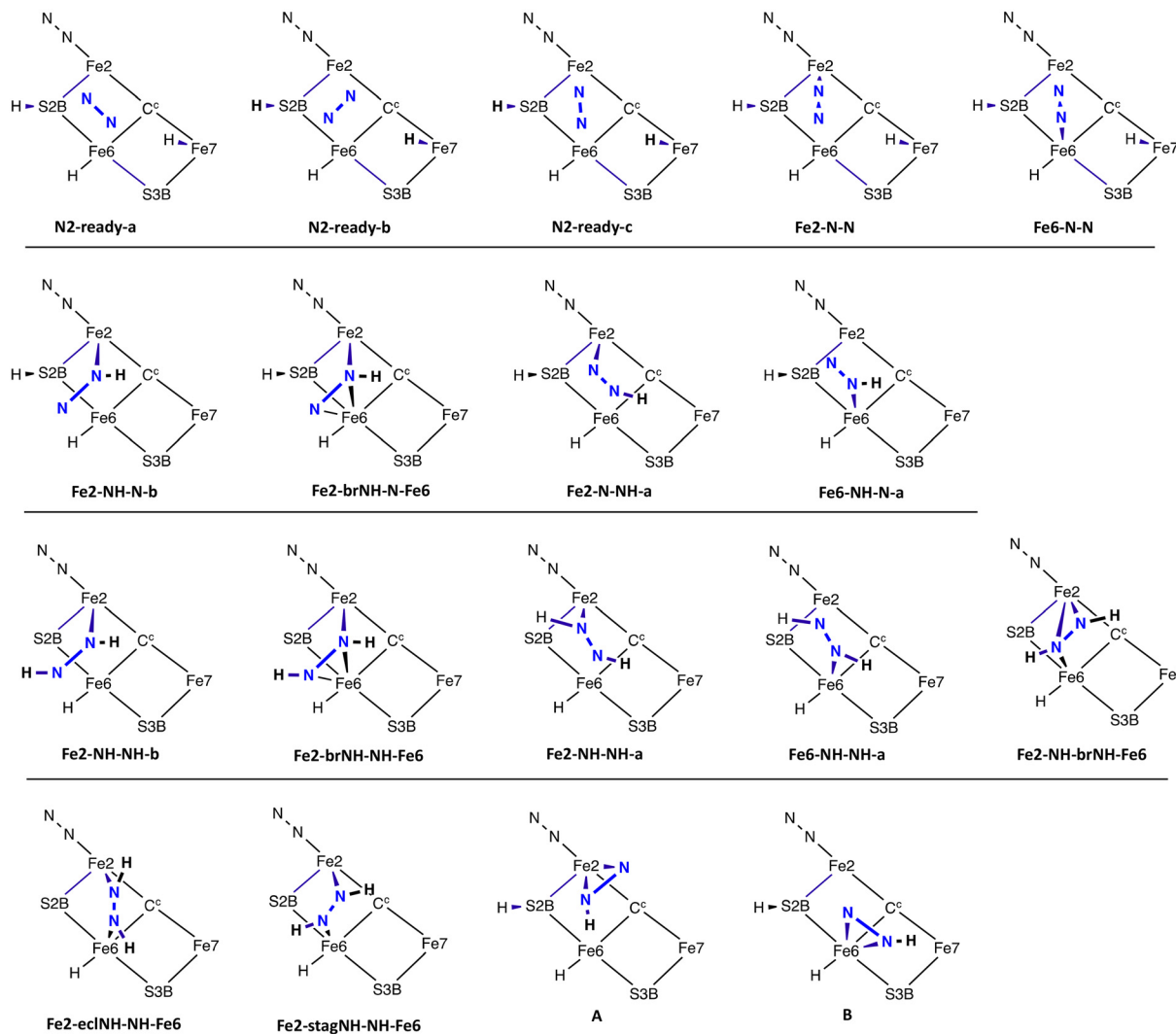
(a) Two obvious structures have N<sub>2</sub> bound end-on ( $\eta^1$ ) at the *endo* coordination positions of Fe2 (**Fe2-N-N**) or Fe6 (**Fe6-N-N**).

(b) Structures with one N–H bond are the second set of four in Scheme 2. Three stable structures contain NNH bound to Fe: they are **Fe2-NH-N-b** and **Fe2-N-NH-a** with one Fe–N bond, and **Fe2-brNH-N-Fe6** in which the NNH ligand bridges Fe2 and Fe6. In these structures the H atom is sourced from Fe7–H, and S2BH is unchanged. The Fe6 analog of **Fe2-NH-N-b**, **Fe6-NH-N-a**, is not an energy minimum and undergoes barrierless transfer of H from S2B, forming **Fe6-NH-NH-a**.

(c) Five structures contain bound diazine HNNH. They are **Fe2-NH-NH-b**, **Fe2-NH-NH-a** and **Fe6-NH-NH-a** with HNNH bound to one Fe atom, while **Fe2-brNH-NH-Fe6** and **Fe2-NH-brNH-Fe6** contain HNNH bridging both Fe atoms. Diazine bound end-on between Fe2 and Fe6 is stable only as the eclipsed (*cis*) conformation, in structure **Fe2-eclNH-NH-Fe6**. Diazine in the staggered conformation and bound only end-on between Fe2 and Fe6, as in **Fe2-stagNH-NH-Fe6**, or the alternative conformation, is unstable, transforming without barrier to **Fe2-NH-brNH-Fe6** or **Fe2-eclNH-NH-Fe6**. The predominance of bound diazine in the *trans* conformation is a consequence of



**Scheme 1** Components of the calculated activation potential energy for a reaction in which an H–N bond is formed, and the procedure for calculation of the activation energy  $E_{\text{act}}^*$  excluding the H–N bond formation component.



**Scheme 2** The structures investigated and their labels. Suffixes a and b define the oblique orientation of the N–N bond (as in Fig. 5). 'br' in the label signifies bridging Fe2 and Fe6, 'ec' signifies the eclipsed conformation of *cis* diazene, and 'stag' the staggered conformation.

the positions of the Fe7H and S2BH donors. Two conceivable structures with side-on bonding of N<sub>2</sub>H (**A** and **B** in Scheme 2) are not stable.

All structures use the *endo* coordination positions of Fe2 or Fe6, and all contain a normal Fe2–S2B–Fe6 bridge.

Pictures which reveal core details of the minimised structures are provided in Fig. 7, with selected dimensions marked, and with the first picture showing the contextual surrounding amino acids. The N–N distances increase as expected with the degree of bonding to N–N. The N–N distances are 1.12 Å for end-on NN, 1.19–1.21 Å for bound NNH, 1.25–1.27 Å where HNNH has one bond to Fe, and 1.31–1.33 Å when HNNH has three bonds to Fe in **Fe2-brNH-NH-Fe6** and **Fe2-NH-brNH-Fe6** (not shown).

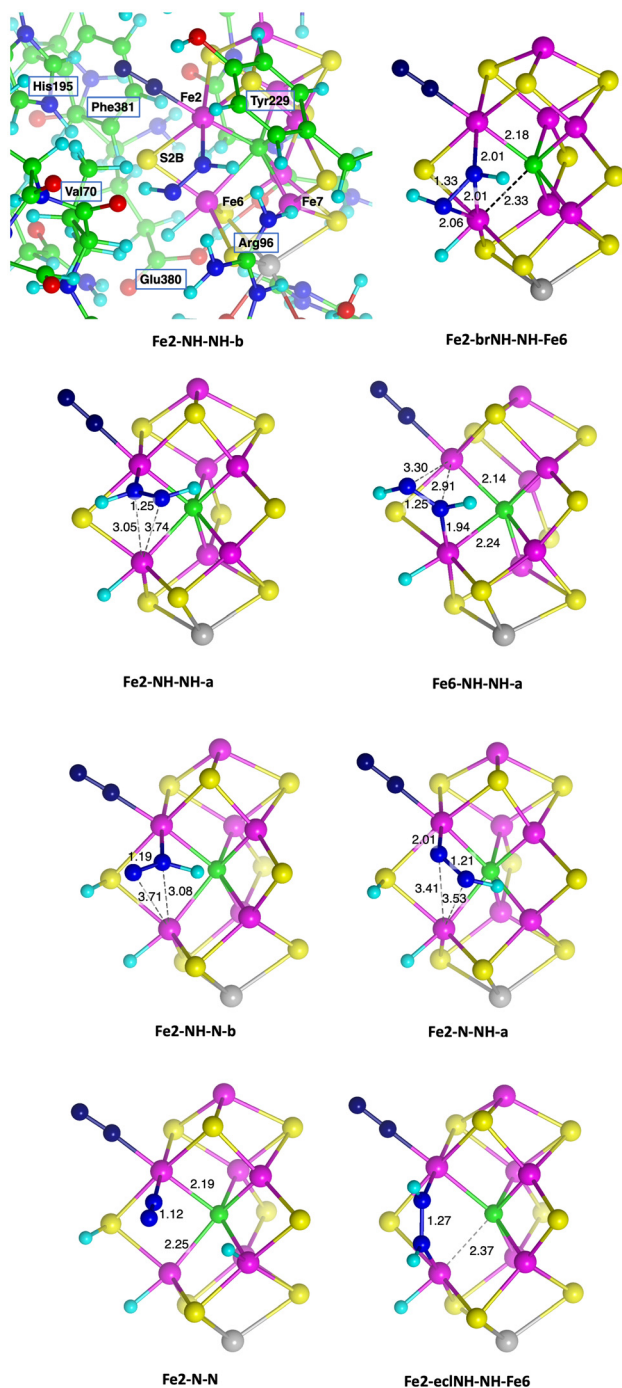
### 3.2 Energies

All of the structures described here were calculated with the standard protein structure (section 2.1) and have the same

number of atoms and electrons, so that straightforward comparisons of energy are possible. Similarly, the same electronic and spin states were used for all structures. The relative energies of the found structures are presented in Table 1, and are referenced to the energy of the **N2-ready-a** structure with the same electronic/spin state.

Energies, here and in subsequent analyses, are given at 1 kcal mol<sup>-1</sup> precision. This is because uncertainties of this magnitude arise in several ways. (a) N<sub>2</sub> in the N2-ready structures is unbound and variable in position and orientation, with energy variations of *ca.* 1 kcal mol<sup>-1</sup>. (b) Structures are generally calculated as the limit of the trajectory from a transition state, either towards reactant or towards product. Variations of *ca.* 1 kcal mol<sup>-1</sup> arise between structures calculated as reactant in one reaction trajectory and product in another.

Several generalisations can be made from the results in Table 1.



**Fig. 7** Core representations of some optimised structures, with selected dimensions (Å) marked. The top left panel includes the nearby amino acids as they occur for all structures.

(a) The two structures with end-on Fe–N–N bonding are more stable by up to 10 kcal mol<sup>-1</sup> than the N<sub>2</sub>-ready forms.

(b) Structures with bound N<sub>2</sub>H are unstable relative to N<sub>2</sub>-ready.

(c) Structures containing bound HNNH are more stable than those with bound N<sub>2</sub>H, by 20 to 30 kcal mol<sup>-1</sup>.

**Table 1** Relative energies (kcal mol<sup>-1</sup>) of the stable structures, relative to the N<sub>2</sub>-ready-a structure with the same spin/electronic state. The entries are ordered as N<sub>2</sub> structures, N<sub>2</sub>H structures, then N<sub>2</sub>H<sub>2</sub> structures

Structure	<i>S</i> = 3/2, 35	<i>S</i> = 3/2, 47	<i>S</i> = 1/2, 35	<i>S</i> = 1/2, 47
N <sub>2</sub> -ready-a	0	0	0	0
N <sub>2</sub> -ready-b	3	6	7	3
N <sub>2</sub> -ready-c				5
Fe <sub>2</sub> -N-N	-9	-10	-10	-6
Fe <sub>6</sub> -N-N	0	-3	-3	3
Fe <sub>2</sub> -NH-N-b	8	11	13	13
Fe <sub>2</sub> -brNH-N-Fe <sub>6</sub>	14	14	17	17
Fe <sub>2</sub> -N-NH-a	4	2	4	6
Fe <sub>2</sub> -NH-NH-b	-14	-14	-10	-13
Fe <sub>2</sub> -brNH-NH-Fe <sub>6</sub>	-10	-8	-3	-4
Fe <sub>2</sub> -NH-NH-a	-15	-18	-13	-15
Fe <sub>6</sub> -NH-NH-a	-6	-7	-4	-2
Fe <sub>2</sub> -NH-brNH-Fe <sub>6</sub>	-4	-4	4	0
Fe <sub>2</sub> -eclNH-NH-Fe <sub>6</sub>	-15	-16		-12

(d) Structures containing HNNH bound to Fe<sub>6</sub> are less stable by *ca.* 10 kcal mol<sup>-1</sup> than analogous structures bound to Fe<sub>2</sub>.

(e) Structures containing an NH bridge between Fe<sub>2</sub> and Fe<sub>6</sub> are less stable than analogous unbridged structures by 3 to 9 kcal mol<sup>-1</sup>.

(f) The most stable structures are **Fe<sub>2</sub>-NH-NH-b**, **Fe<sub>2</sub>-NH-NH-a** and **Fe<sub>2</sub>-eclNH-NH-Fe<sub>6</sub>**.

(g) In general the *S* = 3/2 state is slightly more stable than *S* = 1/2, but there is no systematic differentiation of the 35 and 47 electronic states.

The structures in the collection presented here need to be judged by criteria other than energy. In multi-step reaction mechanisms, as occur in nitrogenase, possible intermediates are assessed by the pathway(s) by which they are formed, and the pathway(s) by which they can react further. Therefore I present the potential energy profiles for the formation of structures with bound N<sub>2</sub>, bound N<sub>2</sub>H and bound N<sub>2</sub>H<sub>2</sub>, and the reaction profiles for additional N<sub>2</sub> → N<sub>2</sub>H, N<sub>2</sub> → N<sub>2</sub>H<sub>2</sub> and N<sub>2</sub>H → N<sub>2</sub>H<sub>2</sub> steps.

### 3.3 Reaction profiles

Possible reactions were profiled by a protocol that first located transition states (TS) between the various structures, then followed the trajectories from each TS to the reactant and product structures. Energy profiles for each of the reactions are presented in Fig. 8. For each reaction the result for one electronic/spin state is drawn: the full collection of results is in Table 2. As described in section 2.5 two calculations were made. One is the standard activation energy  $E_{\text{act}}$  and the other is  $E_{\text{act}}^*$ , the activation energy devoid of the contributions from the H atom transfer from Fe<sub>7</sub> to N.  $E_{\text{act}}^*$  is the ‘heavy atom’ component of the activation barrier, and the difference  $E_{\text{act}} - E_{\text{act}}^*$  contains mainly the energy components due to H atom movements. In Fig. 8  $E_{\text{act}}$  is drawn black and  $E_{\text{act}}^*$  is red. Note that some reactions take N<sub>2</sub> to HNNH with only one reaction barrier, and some have an energy minimum at the NNH inter-



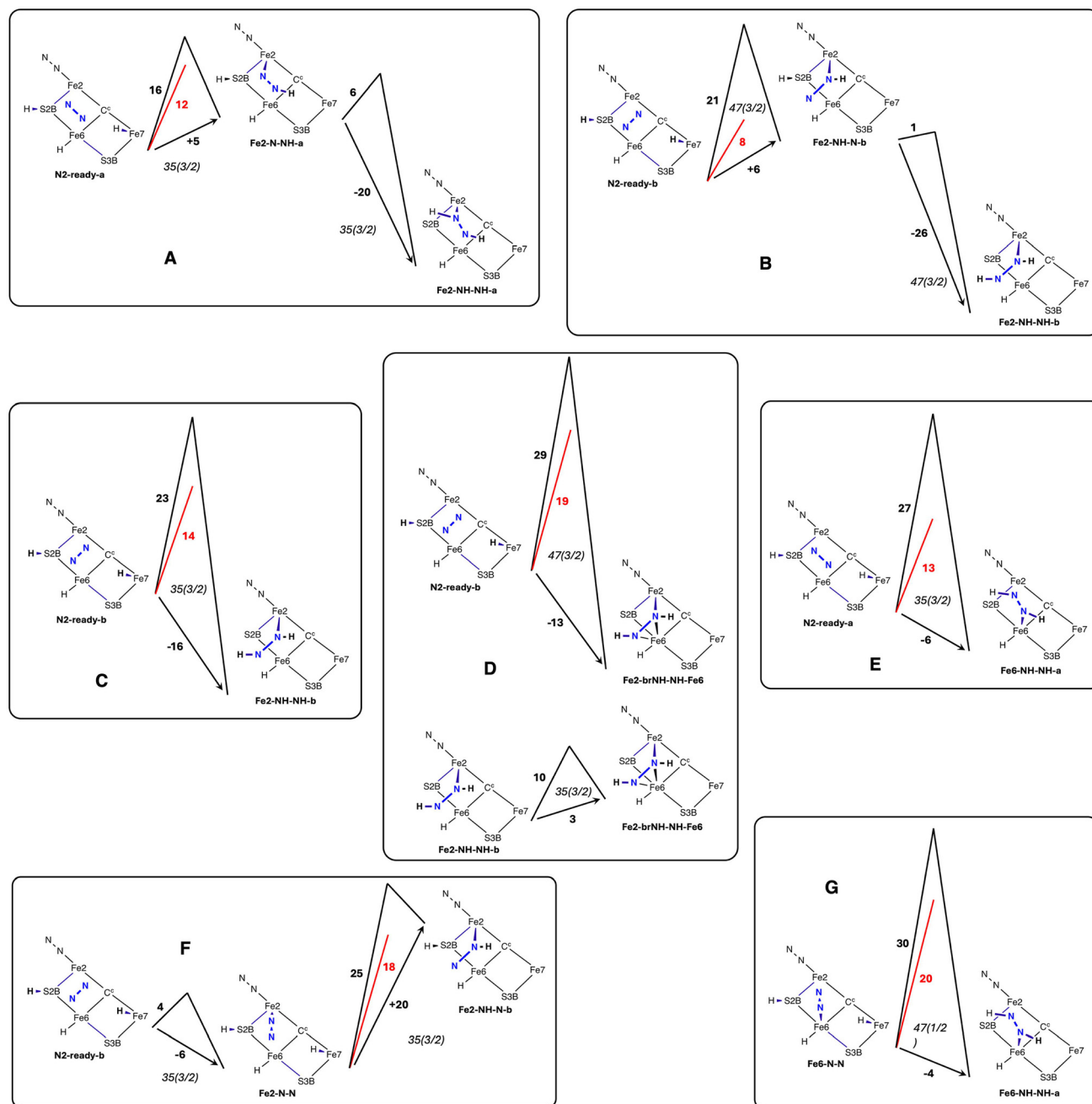


Fig. 8 Reaction energy profiles, in kcal mol<sup>-1</sup>, showing  $E_{\text{act}}$  in black,  $E_{\text{act}}^*$  in red, and the reaction energy against the arrow.

mediate. In all cases the first H transfer is from Fe7H, with the second from S2BH.

Fig. 8A shows the trajectory for **N2-ready-a** → **Fe2-N-NH-a** → **Fe2-NH-NH-a** in electronic state 35(3/2). The first step, transfer from Fe7-H, is 5 kcal mol<sup>-1</sup> endergonic and then the second step, transfer from S2BH, is 20 kcal mol<sup>-1</sup> exergonic, with a net energy change of -15 kcal mol<sup>-1</sup>. For the first step the classical activation energy  $E_{\text{act}}$  is 16 kcal mol<sup>-1</sup>, and 4 kcal mol<sup>-1</sup> of this ( $E_{\text{act}} - E_{\text{act}}^*$ ) is attributed to H atom transfer. In the second exergonic step  $E_{\text{act}}$  for H atom transfer from S2B is small, 6 kcal mol<sup>-1</sup>. Fig. 8B shows a similar pair of steps, **N2-**

**ready-b** → **Fe2-NH-N-b** → **Fe2-NH-NH-b** in electronic state 47(3/2). The first step is 6 kcal mol<sup>-1</sup> endergonic, but the second is 26 kcal mol<sup>-1</sup> exergonic, net reaction energy -19 kcal mol<sup>-1</sup>. For the first step there is some variation in the numbers for the three electronic states, 47(3/2), 47(1/2), 35(1/2) (see Table 2), but the overall dynamics are similar,  $E_{\text{act}}$  is 19–22 kcal mol<sup>-1</sup>,  $E_{\text{act}}^*$  is 6 to 9 kcal mol<sup>-1</sup>. S2BH transfer to N in the second step is again easy, with an activation barrier *ca.* 1 kcal mol<sup>-1</sup>.

In contrast to these two instances of two-step formation of bound HNNH, the reactions in Fig. 8C, D and E capture

**Table 2** Calculated energies (kcal mol<sup>-1</sup>) for all reactions

Reaction	Electronic (spin) state	Reaction energy	$E_{\text{act}}$	$E_{\text{act}}^*$
<b>N2-ready-b</b> → <b>Fe2-NH-NH-b</b>	35(3/2)	-16	23	14
<b>N2-ready-b</b> → <b>Fe2-NH-N-b</b>	47(3/2)	6	21	8
	47(1/2)	7	19	9
	35(1/2)	10	22	6
<b>N2-ready-b</b> → <b>Fe2-brNH-N-Fe6</b>	35(1/2)	11	29	21
<b>Fe2-brNH-N-Fe6</b> → <b>Fe2-brNH-NH-Fe6</b>	35(1/2)	-21	<1	
<b>Fe2-NH-N-b</b> → <b>Fe2-NH-NH-b</b>	47(3/2)	-25	<1	
	47(1/2)	-27	<1	
	35(3/2)	-22	<1	
<b>N2-ready-b</b> → <b>Fe2-brNH-NH-Fe6</b>	35(3/2)	-14	28	24
	47(3/2)	-14	29	19
	47(1/2)	-15	26	22
<b>Fe2-NH-NH-b</b> → <b>Fe2-brNH-NH-Fe6</b>	35(3/2)	3	10	
	47(3/2)	5	11	
<b>N2-ready-a</b> → <b>Fe6-NH-NH-a</b>	35(3/2)	-6	27	13
	47(3/2)	-7	31	15
	35(1/2)	-5	32	11
	47(1/2)	-7	29	13
<b>N2-ready-a</b> → <b>Fe2-N-NH-a</b>	35(3/2)	5	16	12
	47(3/2)	3	18	10
	47(1/2)	8	20	9
<b>Fe2-N-NH-a</b> → <b>Fe2-NH-NH-a</b>	35(3/2)	-20	6	6
	47(3/2)	-19	6	
	35(1/2)	-20	3	
	47(1/2)	-20	7	7
<b>N2-ready-c</b> → <b>Fe2-N-N</b>	35(3/2)	-6	4	
<b>Fe2-N-N</b> → <b>Fe2-NH-N-b</b>	35(3/2)	20	25	18
	47(1/2)	18	27	16
<b>Fe2-N-N</b> → <b>Fe2-N-NH-a</b>	35(3/2)	17	26	14
	47(1/2)	12	20	18
<b>N2-ready-b</b> → <b>Fe6-N-N</b>	47(3/2)	-7	6	
<b>Fe6-N-N</b> → <b>Fe6-NH-NH-a</b>	35(3/2)	-6	32	21
	35(1/2)	-1	30	17
	47(1/2)	-4	30	20

N2-ready and form bound HNNH in a single step, and the favourable second S2BH → N transfer seen in schemes A and B is now barrierless. **N2-ready-a** → **Fe6-NH-NH-a** is exergonic by 6 kcal mol<sup>-1</sup>, **N2-ready-b** → **Fe2-NH-NH-b** is exergonic by 16 kcal mol<sup>-1</sup>, and **N2-ready-b** → **Fe2-brNH-NH-Fe6** is exergonic by 13 kcal mol<sup>-1</sup>, values similar to the overall exergonicity of the analogous sequences in schemes A and B. The **N2-ready-b** → **Fe2-brNH-NH-Fe6** reaction is slightly different in the 35(1/2) electronic state, because there is a very shallow (*ca.* 1 kcal mol<sup>-1</sup>) minimum at the **Fe2-brNH-N-Fe6** intermediate (see Table 2).

Further comparisons are insightful. **N2-ready-b** → **Fe2-NH-N-b** → **Fe2-NH-NH-b** (Fig. 8B) has the same reactant and product as **N2-ready-b** → **Fe2-NH-NH-b** (Fig. 8C), but the reaction profiles differ because the electronic states are different. The single step **N2-ready-b** → **Fe2-NH-NH-b** in Fig. 8C occurs with electronic state 35(3/2), while the two step version in Fig. 8B occurs with electronic states 47(3/2), 47(1/2), 35(1/2). Compare also **N2-ready-b** → **Fe2-NH-NH-b** (Fig. 8C) and **N2-ready-b** → **Fe2-brNH-NH-Fe6** (Fig. 8D). Both have the same orientation of N2-ready and the same orientation of product HNNH, but only the second involves bridging to Fe6. The different trajectories and products arise from differing positions of N2-ready at the outset. When N2-ready is central in

the reaction zone the bridging product **Fe2-brNH-NH-Fe6** is formed, while when incoming N2-ready is nearer to Fe2 than Fe6 the product is unbridged **Fe2-NH-NH-b**. The two reactions are similarly exergonic (-13, -16 kcal mol<sup>-1</sup>), but the activation barriers  $E_{\text{act}}$  and  $E_{\text{act}}^*$  are *ca.* 5 kcal mol<sup>-1</sup> larger to form the bridged product. The profile for the conversion of unbridged **Fe2-NH-NH-b** to bridged **Fe2-brNH-NH-Fe6** is shown in Fig. 8D.

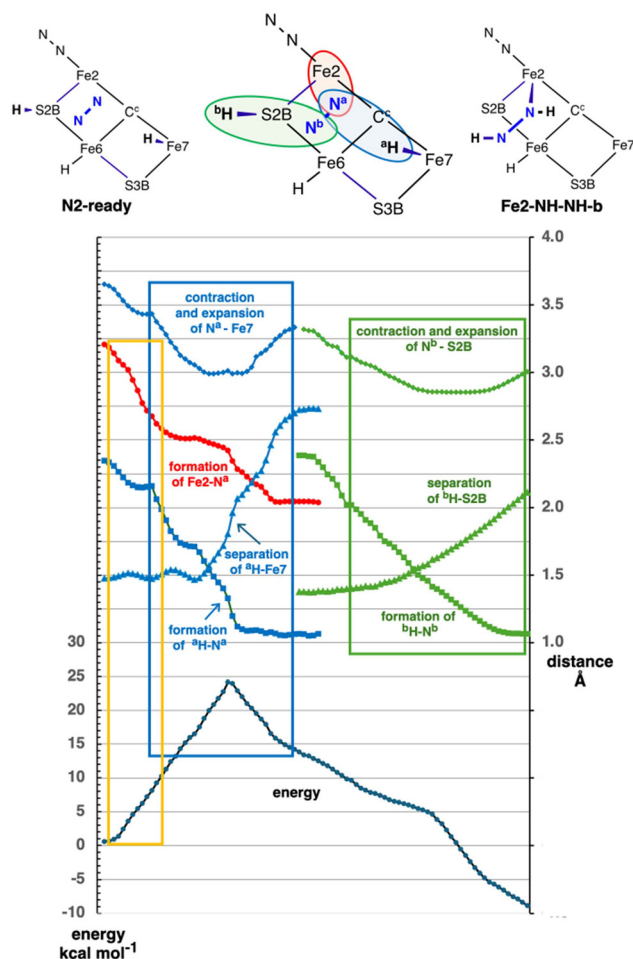
Schemes F and G in Fig. 8 show profiles for reactions involving end-on Fe-N-N. The formation of **Fe2-N-N** from **N2-ready-b** is exergonic (-6 kcal mol<sup>-1</sup>) with a small barrier of 4 kcal mol<sup>-1</sup>. The formation of **Fe6-N-N** is similar. The transfer of Fe7-H to **Fe2-N-N** yields **Fe2-NH-N-b** but the step is endergonic by 20 kcal mol<sup>-1</sup> with relatively large values for  $E_{\text{act}}$  (27 kcal mol<sup>-1</sup>) and  $E_{\text{act}}^*$  (16 kcal mol<sup>-1</sup>). For **Fe6-N-N**, transfer of H from Fe7 is followed in a single trajectory by H transfer from S2B, producing **Fe6-NH-NH-a**. The overall reaction is exergonic by 4 kcal mol<sup>-1</sup>, but the activation barriers are now considerably larger,  $E_{\text{act}}$  (30 kcal mol<sup>-1</sup>) and  $E_{\text{act}}^*$  (20 kcal mol<sup>-1</sup>). These results exemplify the difficulty in hydrogenating N<sub>2</sub> when bound end-on to Fe.

### 3.4 Trajectory analysis

The preceding results reveal significant reactions in which N<sub>2</sub> in an N2-ready position is captured with the formation of H-N and Fe-N bonds. These results describe reactant, transition states, and products. I now examine the course of these reactions more closely. The calculations to establish a transition state, and the pathways from transition state to reactant or product, reveal significant attributes that are now described.

Fig. 9 is a detailed analysis of the trajectory for the conversion **N2-ready-b** to **Fe2-NH-NH-b**, in electronic state 35(3/2). There are sequential phases along the multivariable reaction coordinate, with one transition state. Colour differentiation of the component steps is used in Fig. 9, with abbreviations <sup>a</sup>H and N<sup>a</sup> for the first transfer, <sup>b</sup>H and N<sup>b</sup> are the second (see cartoon). The initial section (orange enclosure of Fig. 9) involves the entrance of N<sub>2</sub> into the reaction zone, with shortening of Fe2-N<sup>a</sup> (red) from >3 Å to a non-bonding distance of *ca.* 2.55 Å. At the same time N<sup>a</sup> is moving towards Fe7 (blue diamonds), although still quite distant at *ca.* 3.3 Å. The energy increase of *ca.* 12 kcal mol<sup>-1</sup> during this approach of N<sub>2</sub> (orange enclosure) is due in part to adaptation of the FeMo-co geometry (section 2.5).

In the next phase (blue enclosure) Fe7-N<sup>a</sup> shortens, N<sup>a</sup>-<sup>a</sup>H shortens and <sup>a</sup>H-Fe7 lengthens. This is the transfer of <sup>a</sup>H from Fe7 to N<sup>a</sup>. It occurs with a contraction of the donor-acceptor distance Fe7-N<sup>a</sup> from 3.3 to 3.0 Å during the transition. At the same time the N<sup>a</sup> is moving with low energy gradient towards Fe2, but the Fe2-N<sup>a</sup> bond does not fully form at 2.1 Å until after the <sup>a</sup>H transfer step is completed. Most of the <sup>a</sup>H transfer involves an energy climb towards the transition state, while most of the Fe2-N<sup>a</sup> bond formation occurs beyond the transition state. Note that the energy gradient is relatively steep near the transition state, because several bonding connections are changing.



**Fig. 9** Details of the course of the transformation of **N2-ready-b** to **Fe2-NH-NH-b** in electronic state 35(3/2). Elements of the three main components are coloured red, blue, and green.  $^a\text{H}$  and  $\text{N}^a$  are labels for the first transfer,  $^b\text{H}$  and  $\text{N}^b$  for the second. Squares are bond formation distances, triangles are bond breaking distances, diamonds are the donor–acceptor separations for the H transfer. The orange enclosure is the preparatory adaptation as  $\text{N}_2$  enters the reaction zone.

The third component of the reaction (green enclosure, Fig. 9) is the second  $^b\text{H}$  transfer step, from S2B to  $\text{N}^b$ . Again this H transfer step involves a contraction and subsequent expansion of the donor–acceptor distance,  $\text{N}^b\text{--S2B}$ . There is no potential energy barrier for this step, and an overall energy change of *ca.*  $-15 \text{ kcal mol}^{-1}$ .

The general pattern of  $\text{N}_2$ -ready capture and hydrogenation pictured in Fig. 9 is characteristic of the other reactions of Fig. 8 schemes C, D and E. In all cases the energy climb to the TS involves adaptation of the  $\text{FeMo-co}$  core and the first H transfer from Fe7 to N, while the  $\text{Fe--N}$  bond formation begins near the top of the reaction barrier and completes after the TS. Variations occur mainly in the numerical values. The origin of the difference between single step transformations (schemes C, D, E) and two-step transformations (schemes A, B) is evident in the trajectory domain between the blue and green enclosures of Fig. 9, where there is smaller downhill energy

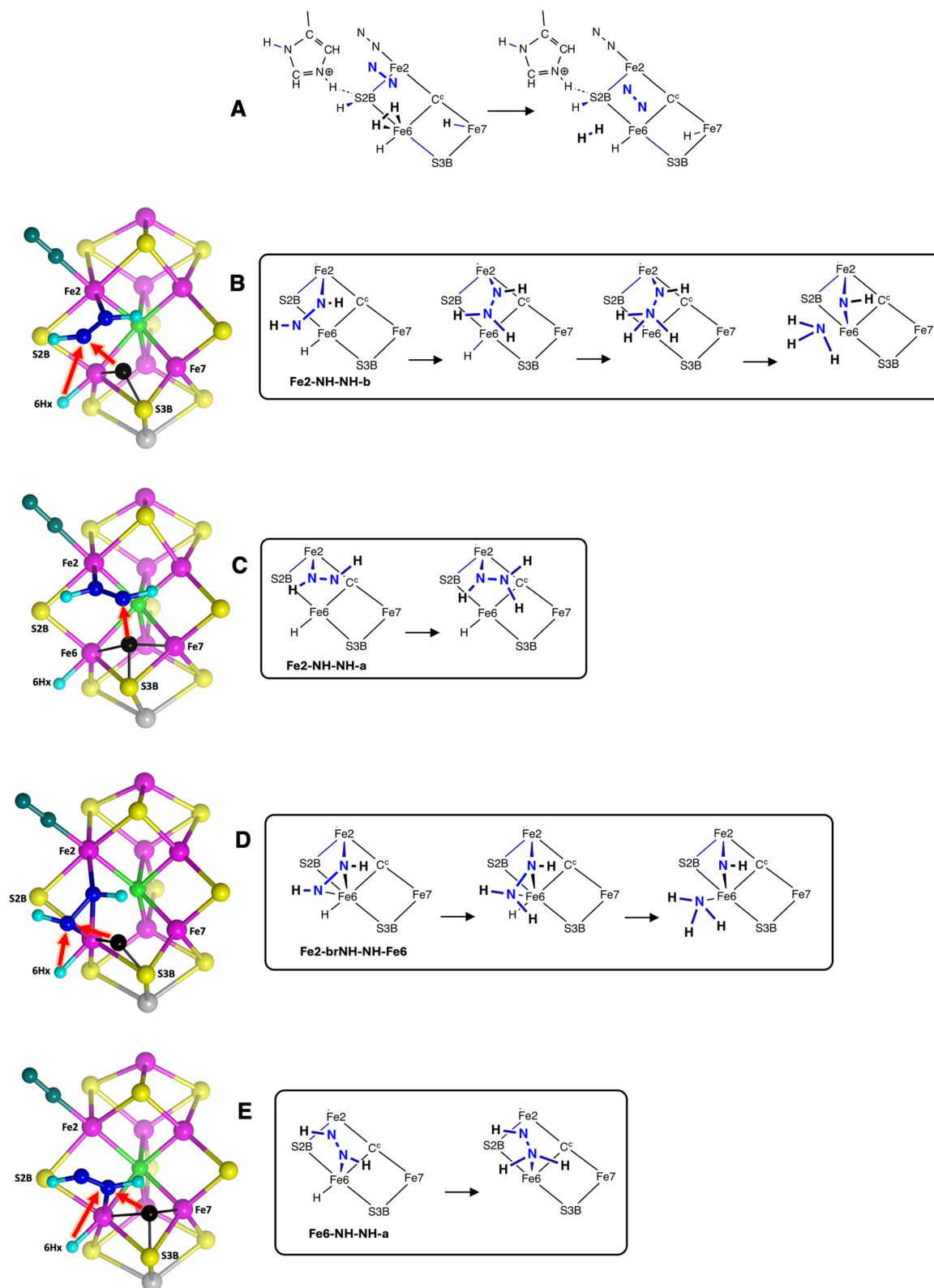
gradient. In the two step reactions this part of the profile occurs as an energy minimum, which is about  $1 \text{ kcal mol}^{-1}$  deep in the **N2-ready-b**  $\rightarrow$  **Fe2-NH-NH** [47(3/2)] reaction, and  $6 \text{ kcal mol}^{-1}$  deep in **N2-ready-a**  $\rightarrow$  **Fe2-NH-NH-a**. A geometric factor in the differentiation of single step and two-step pathways is the partial rotational freedom around the  $\text{Fe--N}^a$  bond formed in the first step.

The reaction profile shown in Fig. 9 is typical of other similar conversions. However these processes have some fluidity, and there are variations in distances and energies. There is no significant difference in trajectory between reactions that form the  $\text{Fe--N}$  bond at Fe2 or Fe6 or both (**N2-ready-b**  $\rightarrow$  **Fe2-brNH-NH-Fe6**). This is because the **N2-ready** conformations are approximately equi-(non-bonding)-distant from these two Fe atoms. There are energy variations as shown in Fig. 8.

### 3.5 Mechanistic competence

In assessing a reaction step and the intermediates it connects in a catalytic mechanism cycle, an essential consideration is the feasibility of both the preceding formation step and the subsequent reaction step. There must be a way in and a way out. This is the mechanistic competence criterion. In the present context of  $\text{N}_2$  capture and hydrogenation, the pathway (s) to the capture precursor, and the subsequent reaction(s) of captured HNNH must be feasible. The reaction prior to the formation of the **N2-ready** precursors here is dissociation of  $\text{H}_2$  from the *endo* position of Fe6 as  $\text{N}_2$  diffuses in past Fe2, shown in Fig. 10A.<sup>78</sup> This is the established  $\text{H}_2/\text{N}_2$  exchange at the E4 stage of the TL nitrogenase mechanism.<sup>74,76,108–110</sup> In my current mechanism this  $\text{H}_2$  is formed using an H atom occupying an *endo* position of Fe2/Fe6 or an  $\text{Fe2--H--Fe6}$  bridge. This H atom blocks inwards diffusion of  $\text{N}_2$  into the reaction zone, and so must be removed. This is achieved by converting it to  $\text{H}_2$  (using another H from the proton supply chain). In this way dissociation of  $\text{H}_2$  is required to allow introduction and reaction of  $\text{N}_2$ , explaining the  $\text{H}_2/\text{N}_2$  exchange.<sup>78</sup>

Next, considering subsequent steps in the mechanism, there are four structures with bound HNNH resulting from **N2-capture**. These are shown in Fig. 10B, C, D and E together with possible directions for transfer of the next H atom, consistent with favourable stereochemistry at N. The red arrows show how an incoming H atom (black sphere) from the proton supply chain and positioned in one of the several possible configurations near S3B, Fe6 and/or Fe7<sup>55,66</sup> could transfer to N to form a bound HNNH<sub>2</sub> intermediate. There is no other H atom source at Fe2 or S2B that could provide this first H atom transfer to HNNH. For **Fe2-NH-NH-b** the first transfer from S3BH would yield  $\text{Fe2--NH--NH}_2$ , and a subsequent transfer of 6Hx would yield  $\text{Fe2--NH--NH}_3$ , which could then separate  $\text{NH}_3$  as shown, and is well positioned to deliver  $\text{NH}_3$  to the  $\text{NH}_3$  egress channel.<sup>111</sup> For **Fe2-brNH-NH-Fe6** (Fig. 10C) the first and second H transfers from S3BH and 6Hx are similar, but there is an alternative in which  $\text{NH}_3$  is bound to Fe6 in concert with the breaking of  $\text{N--N}$ . These steps are stereochemically competent. For **Fe2-NH-NH-a** the first transfer shown (Fig. 10D) yields  $\text{Fe2--NH--NH}_2$ . Rotation about the  $\text{Fe2--N}$  bond might



**Fig. 10** Reaction steps that precede and follow  $N_2$  capture. (A) The reaction that precedes  $N_2$  capture, involving dissociation of  $H_2$  from *endo* Fe6 position as  $N_2$  diffuses into the reaction zone. In parts B, C, D and E the black sphere is a new H atom introduced from the proton supply chain to possible positions between S3B, Fe6 and/or Fe7. Red arrows represent possible H transfer steps, from incoming H or 6Hx to N of captured HNNH. The enclosures show stereochemically favourable further additions of H atoms and possible ongoing intermediates. (B) Subsequent H atom transfers for **Fe2-NH-NH-b** and stereochemically favourable first and subsequent H atom transfers. (C) H transfer possibilities for **Fe2-brNH-NH-Fe6**. (D) H transfer possibilities for **Fe2-NH-NH-a**. (E) For **Fe6-NH-NH-a** the black H is poorly placed to transfer, but transfer of 6Hx is stereochemically favourable.



improve the stereochemistry at both N atoms for transfer of 6Hx. For the N<sub>2</sub> capture product **Fe6-NH-NH-a** (Fig. 10E) a first transfer from 6Hx is possible (note that S3BH transfer is collisional), yielding Fe6-NH<sub>2</sub>-NH, but there is no feasible opportunity to further hydrogenate the distal NH, and therefore this product of N<sub>2</sub> capture is judged to be mechanistically less competent.

The conclusion here is that the N<sub>2</sub> capture reactions that generate **Fe2-NH-NH-b**, **Fe2-brNH-NH-Fe6** and **Fe2-NH-NH-a** are mechanistically competent. In **Fe2-NH-NH-a** and **Fe2-NH-NH-b** the Fe6-N distances are about 3 Å (see Fig. 7), considerably longer than the bonds in **Fe2-brNH-NH-Fe6**. Further calculations are investigating these possibilities.

## 4. Summary

Here is a succinct summary of the main results.

- Reducible N<sub>2</sub>, diffused into the reaction zone, can be positioned and oriented such that a first H atom transfer from Fe7 can cooperate with Fe-N bond formation to capture N<sub>2</sub> as Fe bound N<sub>2</sub>H, which is then easily hydrogenated to Fe bound HNNH, either without barrier or with small barrier of ≤6 kcal mol<sup>-1</sup>.
- *Endo* Fe7-H is the significant donor. It is adjacent to and formed from the terminus of the proton wire supply chain.
- The S2B bridge between Fe2 and Fe6 is retained, and the H atom of S2B has a crucial role in the second hydrogenation of N<sub>2</sub>.
- The second H transfer from S2B to N is facilitated by the bending of Fe-N-N caused by the first transfer of H from Fe7.
- The array of H donors includes 6Hx, present throughout, but away from incoming N<sub>2</sub> and unable to participate in the initial hydrogenation. It is primed for subsequent H transfer to an intermediate beyond the HNNH stage.
- Conversion of free N<sub>2</sub> to Fe-bound HNNH is exergonic and in the range -13 to -20 kcal mol<sup>-1</sup> for all cases except the formation of **Fe6-NH-NH-a** where the reaction energy is -6 kcal mol<sup>-1</sup>.
- Classical potential energy barriers for capture and hydrogenation of N<sub>2</sub> (single step, or the first of two-step sequences) range 16 to 32 kcal mol<sup>-1</sup>. The smallest barriers, 16-20 kcal mol<sup>-1</sup>, are for the reaction **N2-ready-a** → **Fe2-N-NH-a**. The next smallest (19-23 kcal mol<sup>-1</sup>) are for **N2-ready-b** → **Fe2-NH-N-b** or **Fe2-NH-NH-b**. Then comes formation of the bridging intermediates, **N2-ready-b** → **Fe2-brNH-N-Fe6** or **Fe2-brNH-NH-Fe6**, 26-29 kcal mol<sup>-1</sup>, and the largest occurs when the first Fe-N bonding is to Fe6, **N2-ready-a** → **Fe6-NH-NH-a**, 27 to 32 kcal mol<sup>-1</sup>.
- Intermediates in which NNH or HNNH is bridged between Fe2 and Fe6 are less stable than unbridged analogs bonded to one Fe, and have larger formation barriers.
- The energy climb to the classical transition state,  $E_{act}$ , contains components due to (a) H (light) atom movements, and (b) all other heavier atom movements, including adaptation of the FeMo-co cluster core geometry and possibly

partial formation of Fe-N bonds. Adaptation of the cluster core is most evident as changes in the *endo* angles at Fe, and in the Fe-C<sup>c</sup> distances. By deletion of H from the transition state and calculation of the energy required to revert to the reactant state, the 'heavy' atom component of the activation energy barrier is calculated, as  $E_{act}^*$ . The difference,  $E_{act} - E_{act}^*$ , is attributed to H atom movements that might occur with some quantum tunneling, so that actual reaction barriers could be less than  $E_{act}$ .

- The adaptation energy  $E_{act}^*$  for N<sub>2</sub> capture reactions is smallest in **N2-ready-b** → **Fe2-NH-N-b** (ranging 6 to 9 kcal mol<sup>-1</sup> over the electronic states) and largest (19 to 24 kcal mol<sup>-1</sup>) in **N2-ready-b** → **Fe2-brNH-NH-Fe6**.
- The reactions and intermediates are calculated for the most probable electronic and spin states.

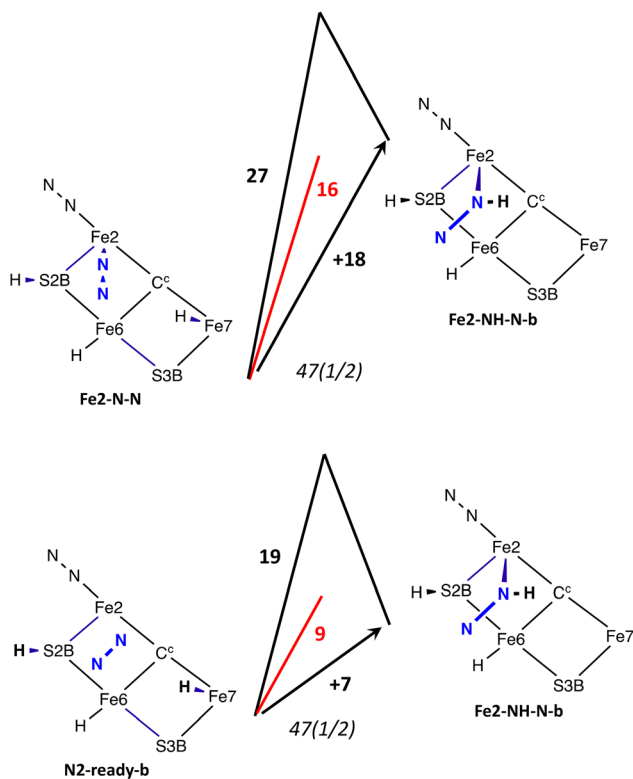
## 5. Discussion

The results presented here show that substrate N<sub>2</sub> diffusing into the reaction zone of the active site can be captured by surrounding H atoms, forming HNNH bound to one or two Fe atoms. The capture of N<sub>2</sub> to form HNNH can occur in a single step, or pass through an Fe-NNH intermediate in a shallow energy well. I discuss here the advantageous characteristics of N<sub>2</sub> capture, the retention of the S2B bridge, and assess the reactions and products and electronic states. I consider connections of this theory to experimental information.

### 5.1 Advantageous characteristics of N<sub>2</sub> capture

Unbound N<sub>2</sub> in an N2-ready position and conformation is more favourably hydrogenated than bound N<sub>2</sub>. This contravenes the conventional view of the activation of N<sub>2</sub> by metal systems, in which the binding of N<sub>2</sub> initiates activation, with subsequent hydrogenation.<sup>112-116</sup> The modes of binding N<sub>2</sub> usually considered for FeMo-co are end-on, side-on, or a bridging combination of both.<sup>65,117</sup> End-on bonding of N<sub>2</sub> at *exo* sites of Fe2 or Fe6 places the N<sub>2</sub> away from H donors, and therefore introduces difficulties for any subsequent hydrogenation of *exo* bound N<sub>2</sub>.<sup>117</sup> N<sub>2</sub> bound end-on at the *endo* positions of Fe2 or Fe6 are closer to H donors, and these *endo* Fe2-N-N and Fe6-N-N possibilities are evaluated comparatively in this study.

The differences between H transfer to unbound N<sub>2</sub> and to bound N<sub>2</sub> in the nitrogenase context can be seen in the comparison of two reactions (in the same electronic state) forming **Fe2-NH-N-b**, from **Fe2-N-N** and from **N2-ready-b**, shown in Fig. 11. The reaction potential energy from bound N<sub>2</sub> is +18 kcal mol<sup>-1</sup>, but is +7 kcal mol<sup>-1</sup> from unbound N<sub>2</sub>. This is because bound N<sub>2</sub> has lower potential energy than unbound N<sub>2</sub> (Table 1). I have previously described comprehensive calculations of the binding of the reducible N<sub>2</sub> at the *endo* positions of Fe2 or Fe6, with binding potential energies relevant to the present system (i.e. intact S2B bridge) in the range -6 to -14 kcal mol<sup>-1</sup>.<sup>73</sup> Similarly, the values in Table 1 above show that Fe-N-N structures have potential energies of -9 to



**Fig. 11** Comparison of the formation of **Fe2-NH-N-b** from bound  $N_2$  and from unbound  $N_2$ , both in electronic state  $47(1/2)$ . Energies in  $\text{kcal mol}^{-1}$ , showing  $E_{\text{act}}$  in black,  $E_{\text{act}}^*$  in red, and reaction energy against the arrow.

$-17 \text{ kcal mol}^{-1}$  relative to **N2-ready**. Thorhallsson *et al.* reported comparable values of  $-6.9$ ,  $-10.2$ ,  $-13.5 \text{ kcal mol}^{-1}$  for the binding of  $N_2$  end-on at *exo-Fe2* or *exo-Fe6*.<sup>60</sup> The entropy change associated with the bonding of  $N_2$  from a diffusible location within the protein is estimated to contribute about  $4 \text{ kcal mol}^{-1}$ .<sup>65,73</sup> It is clear that, in the context of the first hydrogenation of  $N_2$ , bound  $N_2$  starts at a free energy disadvantage of *ca.*  $10 \text{ kcal mol}^{-1}$  relative to unbound  $N_2$  in an **N2-ready** position. This is the thermodynamic argument for the **N2-ready** capture method of activating  $N_2$ , rather than the 'bind first, then hydrogenate' pathway.

Fig. 11 illustrates also the kinetic advantage of the **N2-ready** capture pathway, with an energy barrier of  $19 \text{ kcal mol}^{-1}$  compared with  $27 \text{ kcal mol}^{-1}$  for bound  $N_2$ . Most of the difference in barrier energies is due the different relative energies of the reactants, because the energies and geometries of the two transition states are similar. The pathway from **N2-ready-b** starts with N-N suitably angled towards the product, but the pathway from linear **Fe2-N-N** requires initial bending. This difference is manifest in the larger adaptation energy,  $E_{\text{act}}^*$ , for the **Fe-N-N** pathway,  $16$  vs.  $9 \text{ kcal mol}^{-1}$  (Fig. 11).

It is worth reiterating the attributes in Fig. 5: the reaction zone of nitrogenase is well able to generate and provide the gallery of nearby H donors at locations stereochemically propitious for transfer to **N2-ready**. These H atoms are replenish-

able. It is also important to recognise that there is considerable geometrical flexibility in the reactions of **N2-ready**. The  $N_2$  entry is fluid, **FeMo-co** is plastic ( $E_{\text{act}}^*$  values), and the **Fe-NHNH** structures have some rotational freedom around the **Fe-N** bond. The influence of the conformational variability of **N2-ready** is evinced by **N2-ready-b** in state  $47(3/2)$  transforming to **Fe2-NH-NH-b** or to **Fe2-brNH-NH-b** or to **Fe6-N-N**.

## 5.2 Assessment of reactions and products

Several variations of  $N_2$  capture reactions are described in this report. The criteria to assess each reaction are reaction energy, classical reaction barrier, and mechanistic competence. All reactions capturing free  $N_2$  and forming **Fe-NHNH** at **Fe2**, including the bridging form **Fe2-brNH-NH-Fe6**, are suitably exergonic ( $-13$  to  $-20 \text{ kcal mol}^{-1}$ ), while at **Fe6** alone the formation of **Fe6-NH-NH** is less exergonic ( $-6 \text{ kcal mol}^{-1}$ ). The classical reaction barrier criterion favours the two-step reactions, *i.e.* formation of **Fe2-NH-NH-a** via **Fe2-N-NH-a** and the formation of **Fe2-NH-NH-b** via **Fe2-N-NH-b**. The mechanistic competence criterion also supports **Fe2-NH-NH-a** and **Fe2-NH-NH-b**. A favourable attribute of **Fe2-NH-NH-b** is that the  $NH_3$  formed after two more H transfer steps (Fig. 10) is delivered to the putative mouth of the  $NH_3$  egress channel.<sup>111</sup> The bridging intermediate **Fe2-brNH-NH-Fe6**, while similarly mechanistically attractive, is disfavoured slightly by the activation energies  $E_{\text{act}}$  and  $E_{\text{act}}^*$ . Thus the conclusion from the present results is that **Fe2-NH-NH-b** is the most likely structure for the **HNNH** intermediate at the **E4** stage of the nitrogenase mechanism. **Fe2-NH-NH-b**, **Fe2-NH-NH-a** and **Fe2-brNH-NH-Fe6** are included in ongoing calculations of the next steps as suggested in Fig. 10.

## 5.3 Electronic structures

The electronic structures of reactants and of the potential energy surfaces followed in the reactions described here have been selected as those generally accepted as possessing the best energies, from surveys<sup>54,82,98-104</sup> and by recognition of basic principles of electronic structure for ligated **FeMo-co**.<sup>68</sup> As is usually seen in the reactions of **FeMo-co**, the spin densities on Fe atoms undergoing ligation variation can change appreciably during the course of reaction steps. It is necessary to check that these spin density variations occur within the one electronic state being followed, and are not jumps to different electronic states. Electronic states can influence the shape of the potential energy surface and the reaction outcome: **N2-ready-b** in the  $35(3/2)$  state transforms to **Fe2-NH-NH-b** in one step, while in the  $47(3/2)$ ,  $35(1/2)$  and  $47(1/2)$  states passes through the shallow minimum of **Fe2-NH-N-b**.

## 5.4 The S2B bridge is intact

The mechanisms presented here for the first part of the  $N_2$  hydrogenation sequence of nitrogenase include the intact **S2B** bridge between **Fe2** and **Fe6**, and **S2B** in this bridging location is a crucial component, functioning *via* **S2B-H** as a facile H donor to the captured  $N_2$ . The precise position of **S2B** is vari-

able, bending backwards and forwards as the *endo* angles at Fe2 and Fe6 change, but the S2B–Fe2 bond and the S2B–Fe6 bond are retained throughout. There is much discussion, founded on experimental findings, about the possibility that S2B is partially or completely displaced from FeMo-co during the catalytic cycle, summarised in ref. 115 There is no experimental evidence that the physiological nitrogenase cycle involves disruption of the S2B bridge. The results presented here show that the first stages of N<sub>2</sub> activation can occur favourably without disruption of the S2B bridge.

The present results also reveal a significant and general attribute of the reactivity of the active site, focussed on the intact S2B bridge. The H transfer from S2BH to N is a facile step, aided by the proximity of S2BH to the reaction zone between Fe2 and Fe6 where the first binding of N<sub>2</sub> occurs, a proximity that is evident in the pictures in Fig. 7. The S2B bridge is also close to the protonated Ne atom of His195, with an Ne–H...S2B hydrogen bond in the resting state. This allows H transfer, in both directions, between His195 and S2B. His195Ne can function as an H atom buffer, but only if S2B is in its bridging position. These properties are deployed in a complete mechanism I have developed,<sup>78</sup> which starts with His195NeH and S2BH and includes these functions of S2B and His195Ne to provide two H atoms first to N<sub>2</sub> (as in the present results) and then to a subsequent intermediate. After completion of the hydrogenation of N<sub>2</sub> and the release of two NH<sub>3</sub> the same functions of S2B and His195Ne are used to regenerate the resting state with His195NeH and S2BH.

### 5.5 Connections with experiment

The devising of experiments to test the N<sub>2</sub> capture mechanistic proposals made here is challenging. How does the N<sub>2</sub> capture hypothesis relate to existing experimental information? Seefeldt *et al.* devised clever experimentation to employ diazene, HNNH, as substrate.<sup>118</sup> Diazene has a half-life of about 5s. The production of NH<sub>3</sub> from diazene is similar to that from N<sub>2</sub>, and, significantly, is similarly inhibited by H<sub>2</sub>. This suggests that N<sub>2</sub> and HNNH follow the same pathway early in the mechanism of nitrogenase. In the context of the present report this would be explained in terms of substrate HNNH diffusing into the reaction zone and forming one of the Fe–NHNH intermediates described here. In the absence of N<sub>2</sub> the *exo* position of Fe2 would also be available to bind HNNH, but as with N<sub>2</sub> in this position, further H transfer to *exo*-Fe2–HNNH would be impeded by lack of well-placed H donors. Cao and Ryde calculated numerous possibilities for HNNH bound to FeMo-co, including *trans* HNNH bound at this *exo* position of Fe2 as one of the most stable structures in their survey.<sup>119</sup> They reported that the HNNH group is stacked between His195 and Ser278, but is not stabilised by any hydrogen bonds. This supports the view that the experimental reaction with HNNH as substrate involves binding of HNNH at *exo*-Fe2 but that this HNNH would not react further. A second HNNH would diffuse into the reaction cavity, and would be primed for further reaction with Fe–N bonding.

## 6. Conclusion

This investigation provides an answer to the fundamental question raised in the introduction - how does nitrogenase avoid the inherent difficulty in the initial hydrogenation of N<sub>2</sub>? FeMo-co is able to conduct a concerted attack on N<sub>2</sub> entering the reaction zone, using two strategically placed H atom donors, as well as Fe atoms Fe2 and Fe6. The first part of the attack is H transfer to N, before Fe–N bond formation. This initial deployment of an H transfer step introduces the possibility of some quantum tunneling of H through the initial barrier, diminishing the activation barrier and facilitating the activation of N<sub>2</sub>. In short, I propose that the inherent unreactivity of N<sub>2</sub> is overcome by the ability of the enzyme to arrange a well-positioned gallery of H atoms for the first attack. The active site of nitrogenase is well primed for the thermodynamic and kinetic advantages of N<sub>2</sub> capture and activation.

## Data availability

The data supporting this article have been included as part of the ESI.†

## Conflicts of interest

There are no conflicts to declare.

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