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## The formation and transport of HNO<sub>3</sub> over the Yellow Sea and its impact on the January 2018 PM<sub>2.5</sub> episode in Seoul†

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The formation of HNO<sub>3</sub> gas over the Yellow Sea and its impact on particulate nitrate concentrations in Seoul during the PM<sub>2.5</sub> episode in Seoul in January 2018 were studied by analyzing monitoring data and running WRF-CMAQ model simulations. Monitoring data showed that particulate nitrate made up 41% of the PM<sub>2.5</sub> during the episode, 2.7 times more than in other periods, and its level was strongly correlated with PM<sub>2.5</sub> mass, indicating that the particulate nitrate is the primary cause of the episode. During the episode, eastern China was in slight to moderate ammonia-rich conditions and Seoul had slight ammonia-rich conditions. In contrast, severe ammonia-lean conditions prevailed in the Yellow Sea. Despite the decline of solar radiation at night, the production rate of HNO<sub>3</sub> in the Yellow Sea still increased slightly due to the higher nocturnal ozone levels compared to the daytime. On the other hand, it decreased in eastern China and western Korea during the night as O<sub>3</sub> dissipated by NO titration, resulting in the conversion rates of NO<sub>2</sub> to HNO<sub>3</sub> in the Yellow Sea being several times higher than those in eastern China and western Korea. The HNO<sub>3</sub> gas formed in the Yellow Sea was transported to Seoul under westerly winds, contributing to particulate nitrate formation. Furthermore, the rate of formation of HNO<sub>3</sub> gas in the Yellow Sea was 65% higher than that in Seoul during the night, which indicated that the regional influence dominated the local influence in determining the particulate nitrate concentrations in Seoul.

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### Environmental significance

Particulate nitrate is a significant contributor to severe PM<sub>2.5</sub> pollution in Seoul. This paper focuses on forming HNO<sub>3</sub> and nitrate during the wintertime PM<sub>2.5</sub> pollution in Seoul. During the PM<sub>2.5</sub> episode, abundant HNO<sub>3</sub> was produced in the Yellow Sea, and the westerlies moved the HNO<sub>3</sub> to the land of Korea, forming the particulate nitrate by reacting with NH<sub>3</sub> in Korea. The CMAQ model was used to examine the formation of HNO<sub>3</sub> in the Yellow Sea and compare it to that in the land of China and Korea. The results of the study emphasize the impact of the Yellow Sea on PM<sub>2.5</sub> events in western Korea and the need for monitoring of gaseous precursors of PM<sub>2.5</sub> over the Yellow Sea.

## 1. Introduction

In recent years, Korea has experienced serious PM<sub>2.5</sub> (particulate matter with an aerodynamic diameter of 2.5 μm or less) pollution episodes from winter until the onset of spring. These pollution events are attributed to the secondary inorganic aerosol (SIA), comprising particulate sulfate, particulate nitrate, and particulate

ammonium.<sup>1</sup> Until the mid-1990s, sulfur dioxide (SO<sub>2</sub>) was the most abundant acid precursor in Korea, making sulfate the predominant acidic species.<sup>2</sup> Since then, the SO<sub>2</sub> emissions have been greatly reduced by stringent governmental measures. In contrast, nitrogen oxide (NO<sub>x</sub>) emissions have steadily increased until recently due to the increase of mobile sources, making nitrate the predominant SIA species in Korea.<sup>1,3–5</sup> During the winter to early spring period in Seoul, when the ambient temperatures are cool and westerly winds prevail, nitrate levels significantly rise, resulting in severe PM<sub>2.5</sub> pollution.<sup>1,6</sup> At cool temperatures, the equilibrium reaction of “NO<sub>2</sub> + NO<sub>3</sub> → N<sub>2</sub>O<sub>5</sub>” is shifted toward N<sub>2</sub>O<sub>5</sub> (dinitrogen pentoxide), leading to the formation of nitrate *via* hydrolysis during the night.<sup>7</sup> Under westerly winds, PM<sub>2.5</sub> and its gaseous precursors from high-emission areas in China are transported to western cities in Korea including Seoul. Being transported, NO<sub>2</sub>, one of the PM<sub>2.5</sub> precursors, is oxidized to form HNO<sub>3</sub> to raise particulate nitrate concentrations in Seoul.

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Lee *et al.* (2019) conducted a WRF-CMAQ model simulation with sensitivity analysis and showed that transboundary transport accounted for nearly 80% of the PM<sub>2.5</sub> concentrations during the early spring PM<sub>2.5</sub> episode that occurred in 2019.<sup>6</sup> The CMAQ-WRF model was also applied to study the impact of transboundary HNO<sub>3</sub> gas on ground-level nitrate concentrations and nocturnal nitrate formation processes.<sup>1,8</sup> These previous works focused on the formation and transport of nitrate in land areas, where a large amount of NH<sub>3</sub> and NO<sub>2</sub> are emitted. However, very few studies have been performed concerning the role of the Yellow Sea in the formation and transport of nitrate. The Yellow Sea, with a width of 400–700 km, lies between eastern China and Seoul, thus air masses traveling from eastern China to Korea usually traverse it. The NH<sub>3</sub> gas is barely present in the Yellow Sea due to near-zero emissions as well as high dry deposition velocities, and therefore the HNO<sub>3</sub> formed in the Yellow Sea stays as HNO<sub>3</sub> without being converted to nitrate. When this HNO<sub>3</sub> gas is transported to the western coastal cities of Korea, it quickly reacts with NH<sub>3</sub> gas emitted from the land and is transformed into particulate nitrate.

Unlikely to lands, HNO<sub>3</sub> gas and particulate nitrate coexist over the Yellow Sea, necessitating the inclusion of HNO<sub>3</sub> gas to adequately address the secondary formation and transport of PM<sub>2.5</sub>. In the Yellow Sea, the HNO<sub>3</sub> is mostly locally produced, whereas the particulate nitrate is mostly transported from the nearby lands. However, HNO<sub>3</sub> measurement and analysis were rarely conducted in previous studies of the Yellow Sea.<sup>9–11</sup> The goal of the present work is to evaluate the contribution of HNO<sub>3</sub> production over the Yellow Sea to the PM<sub>2.5</sub> concentration in the western coastal cities of Korea during a multi-day PM<sub>2.5</sub> episode. The WRF-CMAQ model was employed to model the transport/chemistry/dry deposition with a focus on HNO<sub>3</sub> formation over the Yellow Sea. Previous modeling works often over-predicted the PM<sub>2.5</sub> nitrate concentrations in Seoul,<sup>1</sup> North East Asia,<sup>12,13</sup> and the United States.<sup>14</sup> Anttila *et al.* (2006) proposed that an organic layer coats the aerosol core and inhibits nocturnal nitrate formation.<sup>15</sup> We incorporated Anttila *et al.* (2006)'s organic coating theory<sup>15</sup> into the CMAQ model to reduce the overprediction of nitrate concentrations. Cross-sectional plots of HNO<sub>3</sub> gas, NH<sub>3</sub> gas, and particulate nitrate across the Yellow Sea were made to compare the HNO<sub>3</sub> gas-to-particulate nitrate ratios in the Yellow Sea with those in the neighboring lands and more importantly to estimate the contribution of HNO<sub>3</sub> gas formed in the Yellow Sea to particulate nitrate levels in Seoul. We also calculated the rates of HNO<sub>3</sub> formation in the study area by the CMAQ utility tool named IRR

(Integrated Reaction Rate) and found that the rates of formation of HNO<sub>3</sub> in the Yellow Sea were significantly higher than those in Korea in the nighttime of the episode, indicating the regional influence on nitrate dominated over the local production.

## 2. Methods

### 2.1. Study areas and periods

Seoul, the capital of South Korea, has a population of 10 million and is the biggest metropolis in Korea, located in the northwest of the country. Korea suffered severe PM<sub>2.5</sub> pollution in 2018 and 2019, recording the most frequent PM<sub>2.5</sub> advisory issued. Seoul Metropolitan Area (SMA) led the nation in the number of PM<sub>2.5</sub> advisories in 2018 and 2019. Therefore, Seoul was selected as a study area for the present work.

PM<sub>2.5</sub> advisory is issued when an hourly PM<sub>2.5</sub> concentration exceeds 75 µg m<sup>-3</sup> in two consecutive hours. While most PM<sub>2.5</sub> advisories were lifted in less than 24 hours, a few PM<sub>2.5</sub> advisories lasted more than two days. The multi-day advisory has been seen to lead to more severe health impacts, causing more stringent PM<sub>2.5</sub> regulations. The multi-day PM<sub>2.5</sub> advisories were issued three times in Seoul in 2018 and 2019 as listed in Table 1. The meteorological variables of these episodes share common characteristics: cool temperatures, light air, and westerly winds. In this study, we chose the multi-day advisory event in January 2018 to represent a wintertime PM<sub>2.5</sub> episode.

### 2.2. Model configuration

The Global to Mesoscale Air Quality Forecast and analysis system (GMAF) developed by Cho *et al.* (2021) was used in this study.<sup>16</sup> The GMAF uses the Weather Research and Forecasting (WRF) model version 3.6 (ref. 17) as a numerical weather prediction model and Community Multiscale Air Quality (CMAQ) model version 5.3.1 (ref. 18) as an air quality forecasting model. The 3rd release of the carbon bond version 6 mechanism (CB6r3) was used as a gas-phase chemical mechanism, and the AERO7 module as an aerosol dynamic model in the CMAQ. The CMAQ was modified to incorporate Slinn (1983)'s semi-empirical formula<sup>19</sup> for accurate estimation of the below-cloud scavenging coefficient and to optimize the parameters determining the formation of SOA from combustion emissions. Detailed descriptions of the CMAQ modification and the physical parameterization options selected for the WRF were presented in our previous works.<sup>16,20</sup> Global analysis and forecast data were imported to generate initial and boundary conditions and a grid nudging-based Four-Dimensional Data Assimilation (FDDA) for

**Table 1** Mean temperature, relative humidity, wind speed, and prevalent wind direction during the multi-day PM<sub>2.5</sub> episodes that occurred in 2018 and 2019 in Seoul. The time is based on Korean Standard Time (KST)

	Noon, Jan. 16 – 3 PM, Jan. 18, 2018	Noon, Jan. 12 – 5 PM, Jan. 15, 2019	4 PM, Feb. 28 – 6 AM, Mar. 7, 2019
Temperature (°C)	4.1	1.5	8.2
Relative humidity (%)	63.7	59.4	47.7
Wind speed (m s <sup>-1</sup> )	1.3	1.5	1.8
Prevalent wind direction	WNW	WNW	WNW



the CMAQ as well as WRF was carried out; the National Centers for Environmental Prediction (NCEP) Final (FNL) operational global analysis data with  $0.25^\circ$  grid resolution was used for the WRF and the Copernicus Atmosphere Monitoring Service (CAMS) forecast and reanalysis system with  $0.4^\circ$  grid resolution from European Centre for Medium-range Weather Forecasts (ECMWF) for the CMAQ.

As shown in Fig. 1(a), the model domain covers the Korean peninsula, China, parts of Russia, and Japan. And  $391 \times 288$  horizontal grids with 12 km uniform spacing were used to adequately simulate the transport and chemical reaction of air pollutants over the Yellow Sea. In the previous works,<sup>1,16,21</sup> a nested grid system was utilized to increase the grid resolution in the area of focus without significantly increasing the processing time. Since the nested grid system tends to have large errors at the borders of the enclosed area which is usually situated in the middle of the Yellow Sea, we opted for a uniform grid system for this study. To examine the characteristics of  $PM_{2.5}$  formation according to land uses as described in Section 3.5, we designated three study areas, the China sub-area, the Yellow Sea sub-area, and the Korea sub-area as illustrated in Fig. 1(a). The China sub-area and the Yellow Sea sub-area are located in the upwind direction from Korea during the chosen  $PM_{2.5}$  episode. The China sub-area represents an industrial area with a large  $NO_x$  emission, while the Yellow Sea sub-area is a sea area. Korea sub-area is western Korea, having an elevated  $PM_{2.5}$  concentration in the chosen  $PM_{2.5}$  episode.

We used the inventories for anthropogenic emissions in our previous works,<sup>16,22</sup> which were constructed from 2017 Multi-resolution Emission Inventory for China (MEIC)<sup>23,24</sup> (<http://www.meicmodel.org>), 2018 Clean Air Policy Support System (CAPSS) emission inventory for South Korea, and Korea-United States Air Quality study (KORUS-AQ) anthropogenic emission inventory version 2.1 for the rest of region. Biogenic emissions were generated by using the Model of Emissions of Gases and Aerosols from Nature (MEGAN) version 2.1.<sup>25</sup>

### 2.3. Monitoring methods

$PM_{2.5}$  mass and particulate species concentrations measured in the Korean  $PM_{2.5}$  supersites were used in this work. The selected  $PM_{2.5}$  supersites are Seoul (SU), Baengnyeong (BN), Gwangju (GJ), and Ulsan (US), marked by circle in Fig. 1(b). The SU site is located in northern Seoul. The BN site is a remote site located in the upwind region of Seoul, and the GJ site is an urban site located 120 km South of the SU site. The US site is also an urban site located in the downwind region of Seoul. In addition, meteorological data including wind speeds and directions monitored by the national weather station in Seoul as marked by an asterisk in Fig. 1(b) were also used.

The  $PM_{2.5}$  mass concentration was measured by the beta-attenuation monitor (BAM1020, MetOne Instrument Inc., USA). The measured particulate species include carbonaceous materials, particulate ionic species, and trace elements. Among these particulate species, particulate ionic species including sulfate, nitrate, and ammonium are directly related to nitric acid gases, and therefore their monitoring method is briefly discussed below. The particulate ionic species were measured by an ambient ion monitor (AIM, URG9000D, URG Corporation). AIM is equipped with a  $PM_{2.5}$  sharp-cut cyclone inlet to separate fine PM and a liquid diffusion denuder to remove acid and alkaline gases. The gas stream exiting the denuder was fed to the super-saturated steam chamber to promote particle growth. Grown particles were collected by an inertial impactor and then fed to an ion chromatography instrument (<http://www.urgcorp.com>).

### 2.4. Chemistry of $HNO_3$ gas and particulate nitrate

$HNO_3$  gas reacts with  $NH_3$  gas *via* a thermodynamic equilibrium reaction to produce particulate ammonium nitrate (reaction (R0)), and this equilibrium reaction is influenced by the ambient temperature and humidity. The forward reaction of equilibrium reaction (R0) is favored at cool and moist conditions, thus forming ammonium nitrate. However, dry and warm conditions

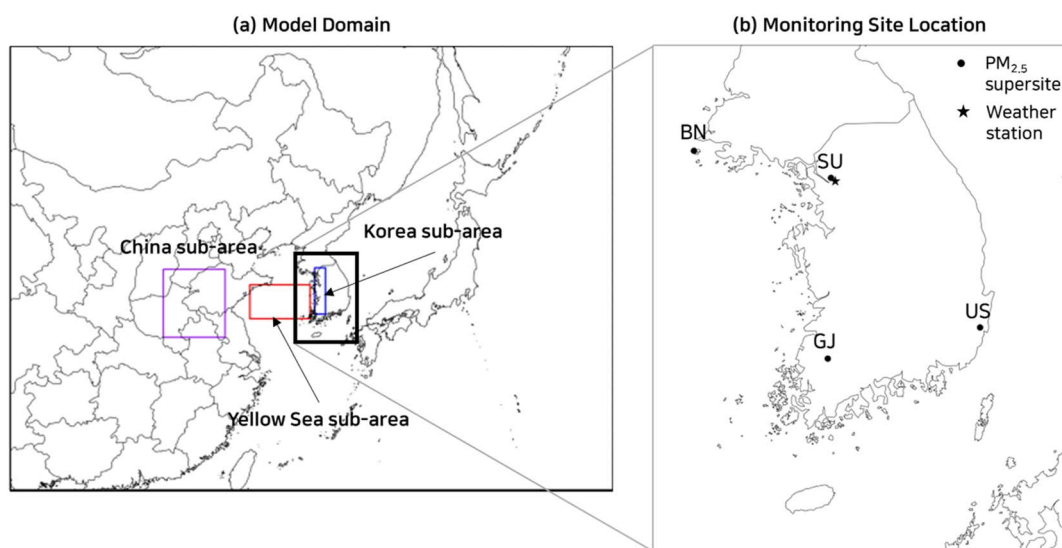


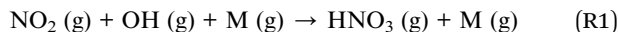
Fig. 1 Model domain (a) and locations of weather stations in SU and  $PM_{2.5}$  supersites in BN, SU, GJ, and US (b).



will cause the backward reaction to take place, breaking down the ammonium nitrate into its gaseous components.



“g” and “a” inside the parenthesis in the above reactions denote a gas phase and an aerosol phase, respectively. The major reaction for generating HNO<sub>3</sub> gas during the day is the oxidation of NO<sub>2</sub> by OH (reaction (R1)), which is a radical termination reaction.



During the night, photochemically-induced radicals including OH and HO<sub>2</sub> concentrations decline rapidly, whereas nitrate radical (NO<sub>3</sub>) rises as the photolysis of NO<sub>2</sub> and NO<sub>3</sub> discontinues. This enriched NO<sub>3</sub> reacts with VOC including aldehydes, alkene, glyoxal, peroxy-radical, cresol, aromatic, nitro-cresols, and methyl catechols to form HNO<sub>3</sub> as outlined in reaction (R2).



Moreover, NO<sub>3</sub> undergoes a chemical equilibrium denoted as reaction (R3) to form N<sub>2</sub>O<sub>5</sub>, which is then hydrolyzed into HNO<sub>3</sub> through reactions (R4) and (R5), thus terminating the NO<sub>3</sub> radical. The equilibrium constant of reaction (R3) increases with decreasing ambient temperature to increase N<sub>2</sub>O<sub>5</sub> concentration and to facilitate N<sub>2</sub>O<sub>5</sub> hydrolysis (reactions (R4) and (R5)). The N<sub>2</sub>O<sub>5</sub> hydrolysis reactions dominate over direct NO<sub>3</sub> radical reaction (reaction (R2)) in cool temperatures.



The heterogeneous hydrolysis rate (reaction (R5)) was reported to far exceed the homogeneous hydrolysis rate (reaction (R4)).<sup>26</sup> IUPAC (International Union of Pure and Applied Chemistry) evaluated an upper limit for the homogeneous hydrolysis reaction rate coefficient as  $1 \times 10^{-22}$  cm<sup>3</sup> per molecule per seconds. The computationally estimated rate coefficient of reaction (R4) by Alecu and Marshall (2014) is over a million times lower at the temperature of 273.15 K.<sup>27</sup> Our numerical experiments demonstrated that the homogeneous hydrolysis is significantly slower than the heterogeneous hydrolysis (reaction (R5)) even with the upper limit estimated by IUPAC. Therefore, we used the upper limit ( $1 \times 10^{-22}$  cm<sup>3</sup> per molecule per seconds) by IUPAC for the rate coefficient of reaction (R4). The heterogeneous hydrolysis rate (reaction (R5)) was estimated by integrating Anttila *et al.* (2006)'s organic coating theory<sup>15</sup> into the CMAQ model. This theory proposes that the presence of an organic coating around the aqueous aerosol core inhibits the N<sub>2</sub>O<sub>5</sub> uptake and the subsequent formation of HNO<sub>3</sub>.<sup>15,28</sup>

HNO<sub>3</sub> may also be formed by hydrolysis of organic nitrates NTR2, multi-functional organic nitrate.<sup>29</sup>



In addition, chlorine monoxide (ClO) oxidizes NO<sub>2</sub> to ClNO<sub>3</sub> (reaction (R7)), which forms HNO<sub>3</sub> *via* heterogeneous pathways (reaction (R8)).



## 2.5. Process analysis tool of CMAQ

The CMAQ implements process analysis tools, which provide rate information on individual atmospheric processes or individual chemical reactions. Among the analysis tools provided, we utilized IRR analysis, which estimates the contributions of individual chemical reactions including those leading to HNO<sub>3</sub> production. IRR calculates the production rate for a given reaction on every grid cell during each time step, which may be integrated over space and time as needed:

$$\text{IPROD}_j(\text{HNO}_3) = \frac{1}{pA} \int_A \int_0^p \text{PROD}_j(\text{HNO}_3) dt dA \quad (1)$$

PROD<sub>j</sub>(HNO<sub>3</sub>) denotes the molar production rate of HNO<sub>3</sub> by chemical reaction j. “A” at the lower part of the integration symbol is the area of interest and “p” is the time duration of interest. We selected three areas, the China sub-area, the Yellow Sea sub-area, and the Korea sub-area, as shown in Fig. 1(a). We used IRR to evaluate the pathways (reactions (R1), (R2), (R4), (R5), (R6), and (R8)) leading to HNO<sub>3</sub> production and to estimate the HNO<sub>3</sub> production rate at each sub-area. IRR was also used to estimate the rate of conversion of NO<sub>2</sub> to HNO<sub>3</sub> (CR), defined by

$$\text{CR} = \frac{\int_A \int_0^p \text{PROD}_i(\text{HNO}_3) dt dA}{\int_A \int_0^p [\text{NO}_2] dt dA} \times 100 \quad (2)$$

where [NO<sub>2</sub>] is the molar concentration of NO<sub>2</sub>.

In addition, we utilized IPR (Integrated Process Rate) analysis to estimate HNO<sub>3</sub> production and loss rates by physical processes of dry deposition, chemical production, and aerosol process (nitrate formation) in the sub-areas of China, Yellow Sea, and Korea. The quantitative HNO<sub>3</sub> production and loss rates by those physical processes were calculated by eqn (3).

$$\text{IPROC}_i(\text{HNO}_3) = \frac{1}{pA} \int_A \int_0^p \int_0^z \text{PROC}_i(\text{HNO}_3) dz dt dA \quad (3)$$

PROC<sub>i</sub>(HNO<sub>3</sub>) means the molar production or loss rate of HNO<sub>3</sub> by physical process i. “A” and “p” are the area of interest and the time duration of interest, referred in above. “z” denotes a vertical height in the model.

## 3. Results and discussion

### 3.1. Monitoring results

Fig. 2 shows hourly concentrations of PM<sub>2.5</sub> and its chemical compositions in January 2018 observed at the SU site. “KST” in the figure stands for the Korean Standard Time. The PM<sub>2.5</sub> concentration increased slightly for the first eight days in



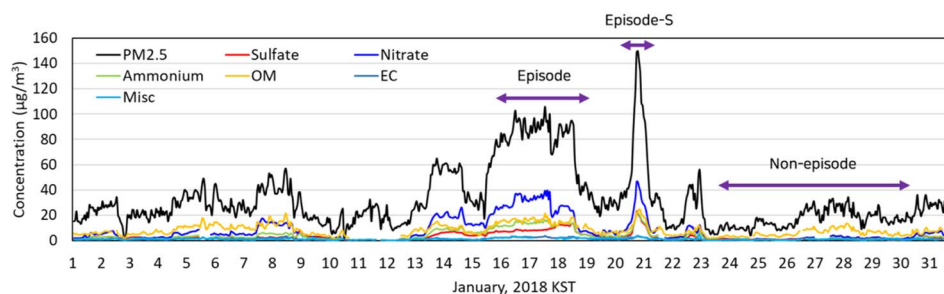


Fig. 2  $PM_{2.5}$  mass and particulate species concentrations measured at the SU supersite in January 2018.

January and decreased for the next five days. It increased to  $60 \mu\text{g m}^{-3}$  at 3 PM on January 13 and stayed at that level for a day. After a brief intermission on January 15, it rose back up and exceeded  $75 \mu\text{g m}^{-3}$  for 51 hours, which was marked as an “episode” in Fig. 2. And it spiked above  $75 \mu\text{g m}^{-3}$  at 3 PM on January 20 to have another episode, which was short, named as an “episode-S”. We chose the period during which  $PM_{2.5}$  concentration was below  $35 \mu\text{g m}^{-3}$  as a control period and marked it as “non-episode” in Fig. 2. The particulate nitrate had a strong correlation with  $PM_{2.5}$  ( $R^2 > 0.92$ ), suggesting that particulate nitrate is a probable cause of the episode.

As shown in Fig. 3, particulate nitrate was the predominant aerosol species in the  $PM_{2.5}$ , accounting for 41% during the episode, while organic matter (OM) accounted for 46% in the  $PM_{2.5}$  during the non-episode. During the episode, the percent of particulate nitrate and ammonium rose twofold compared with the non-episode, whereas the percent of particulate sulfate rose only by 30%. This twofold increase in the percent of particulate nitrate and ammonium during the episode led to a twofold decrease in the proportion of organic matter (OM).

Fig. 4 compares temporal variations of  $PM_{2.5}$  mass, particulate nitrate, and  $NO_2$  concentrations at the SU, the BN, the GJ,

and the US sites in January 2018. As shown in Fig. 4(a), all two  $PM_{2.5}$  episodes (episode and episode-S in Fig. 2) were observed at SU, BN, GJ, and US sites with different time lags. Here, the time lag was defined as a difference between the time of  $PM_{2.5}$  concentration exceeding  $75 \mu\text{g m}^{-3}$  in the SU site and that in another site associated with the  $PM_{2.5}$  episode in the SU site. The  $PM_{2.5}$  concentrations at the BN site, located 200 km away in an upwind direction from the SU site, exceeded  $75 \mu\text{g m}^{-3}$  14 hours before the SU site in the episode. Similarly, there was an 18 hour time difference between the BN and the SU sites for the episode-S. The GJ and US sites, situated 250 km and 310 km away from the SU site in a downwind direction, had 69 hour and 67 hour delays of the episode, and they had 18 hour and 16 hour delays of the episode-S, respectively. The much longer time lag between the SU site and the GJ, US sites during the episode might be due to the slower wind speed in the SU site during the episode than that during the episode-S. The wind speed in the SU site was  $0.3\text{--}1.4 \text{ m s}^{-1}$  during the middle of the episode, making stagnant condition, and it kept  $PM_{2.5}$  concentration in the SU site for a longer time compared to the case of episode-S. While the wind speed in the SU site during the middle of the episode-S was  $1.8\text{--}3.2 \text{ m s}^{-1}$ . The peak  $PM_{2.5}$  concentrations in

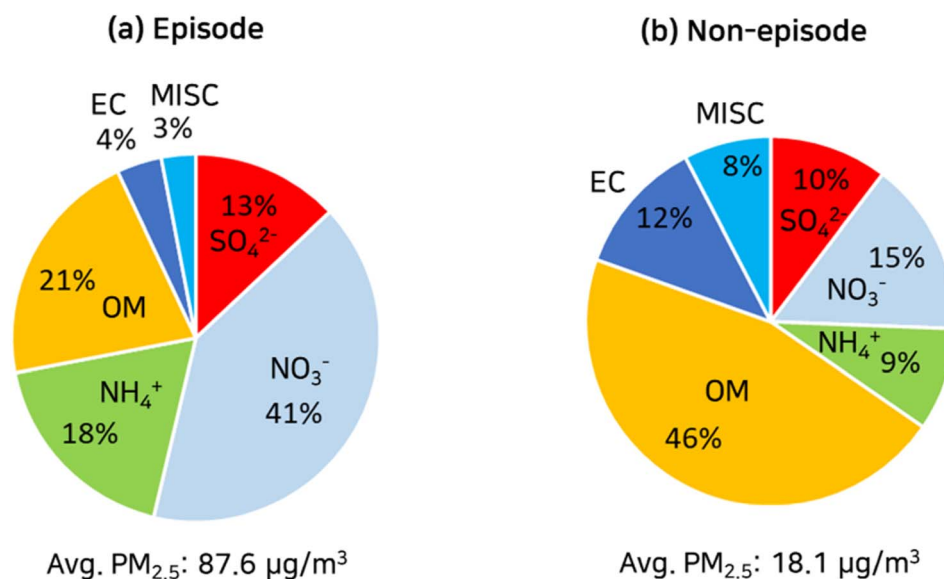


Fig. 3 Mass fractions of  $PM_{2.5}$  chemical compositions measured at the SU supersite during the episode (a) and non-episode (b) in January 2018.



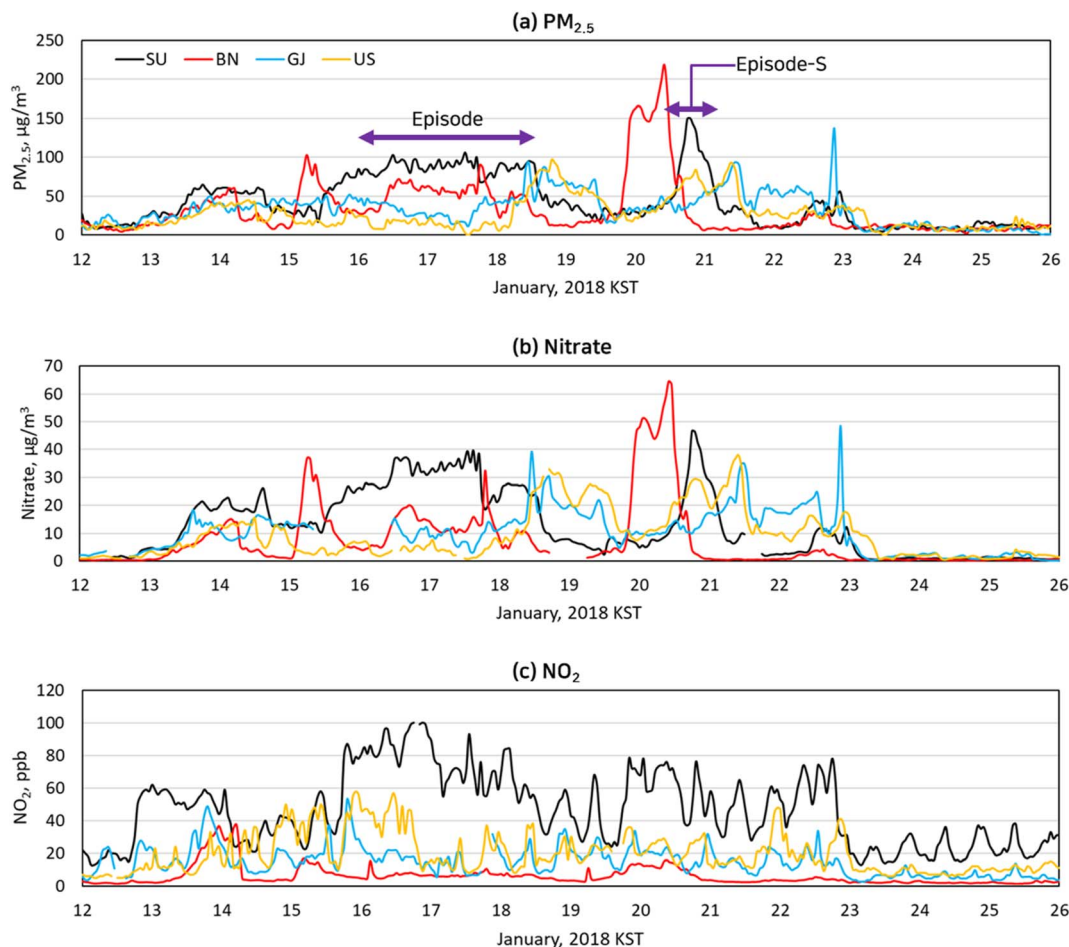


Fig. 4 Hourly variations of  $\text{PM}_{2.5}$  (a), nitrate (b), and  $\text{NO}_2$  (c) concentrations monitored at the four selected PM supersites in January 2018.

the episode decreased as it moved from the upwind site (BN site) to the downwind site (GJ site and US site). It seemed that regional transport was a major factor in the episode because the time lags and peak concentrations of  $\text{PM}_{2.5}$  were dependent on the upwind or downwind distances and wind velocities rather than the local emission strengths.

The particulate nitrate concentrations observed at the BN, the GJ, and the US sites, as shown in Fig. 4(b), were highly correlated with  $\text{PM}_{2.5}$  concentrations, similar to those at the SU site. The correlation coefficients  $R^2$  between nitrate concentrations and  $\text{PM}_{2.5}$  mass concentrations at the BN, GJ, and US sites were 0.95, 0.88, and 0.88, respectively, confirming the previous findings from Fig. 3: the particulate nitrate is the cause of the episode. Due to its high reactivity,  $\text{NO}_2$  is short-lived, making it a local air pollutant. As seen in Fig. 4(c),  $\text{NO}_2$  was the highest in the SU site due to large mobile emissions. Conversely, the BN site, located in a remote area, had the least amount of  $\text{NO}_2$ . Although  $\text{NO}_2$  is one of the major nitrate precursors, the correlation between nitrate and  $\text{NO}_2$  was weak, with  $R^2$  values of 0.46 at the SU site, 0.32 at the BN site, 0.18 at the GJ site, and 0.06 at the US site, which indicates that locally emitted  $\text{NO}_2$  was not the primary contributor to the nitrate formation.

### 3.2. Comparison of the predicted concentrations with the observed concentrations

Our previous works examined the performances of the current implementation of the CMAQ and the WRF,<sup>16,20</sup> and therefore in this work, we focused on performance review on meteorological and chemical parameters closely related to the  $\text{PM}_{2.5}$  episode, which included  $\text{PM}_{2.5}$ , nitrate,  $\text{NO}_2$ , and  $\text{O}_3$ . Table 2 shows the model performance for predicting hourly wind speed,  $\text{PM}_{2.5}$ , nitrate,  $\text{NO}_2$ , and  $\text{O}_3$  concentrations with statistical metrics recommended by Emery *et al.* (2001; 2017): correlation coefficient ( $R$ ), mean bias (MB), and root mean square error (RMSE) for wind speed, and  $R$ , normalized mean bias (NMB), and normalized mean error (NME) for  $\text{PM}_{2.5}$ , nitrate,  $\text{NO}_2$ , and  $\text{O}_3$ .<sup>30,31</sup> The formulae of these statistical metrics and their benchmarks for evaluation of model performance recommended by Emery *et al.* (2001; 2017) were shown in ESI.<sup>†30,31</sup>

The  $R$  of the wind speed was 0.74, meaning that the modeled wind speed was moderately correlated with the observation. The MB and RMSE of the wind speeds were  $0.5 \text{ m s}^{-1}$  and  $1.2 \text{ m s}^{-1}$ , respectively. Both the MB and the RMSE were within the accuracy bounds set by Emery *et al.* (2001), which are  $0.5 \text{ m s}^{-1}$  for MB and  $2 \text{ m s}^{-1}$  for RMSE.<sup>31</sup> The predicted hourly  $\text{PM}_{2.5}$  and nitrate concentrations were in close agreement with the



**Table 2** Statistical performance for predicting hourly wind speed, PM<sub>2.5</sub>, nitrate, NO<sub>2</sub>, and O<sub>3</sub> in Seoul

	<i>R</i>	MB	RMSE
Wind speed	0.74	0.5 m s <sup>-1</sup>	1.2 m s <sup>-1</sup>
	<i>R</i>	NMB	NME
PM <sub>2.5</sub>	0.89	-7.4%	27%
Nitrate	0.92	41%	50%
NO <sub>2</sub>	0.82	-17%	29%
O <sub>3</sub>	0.77	-22%	35%

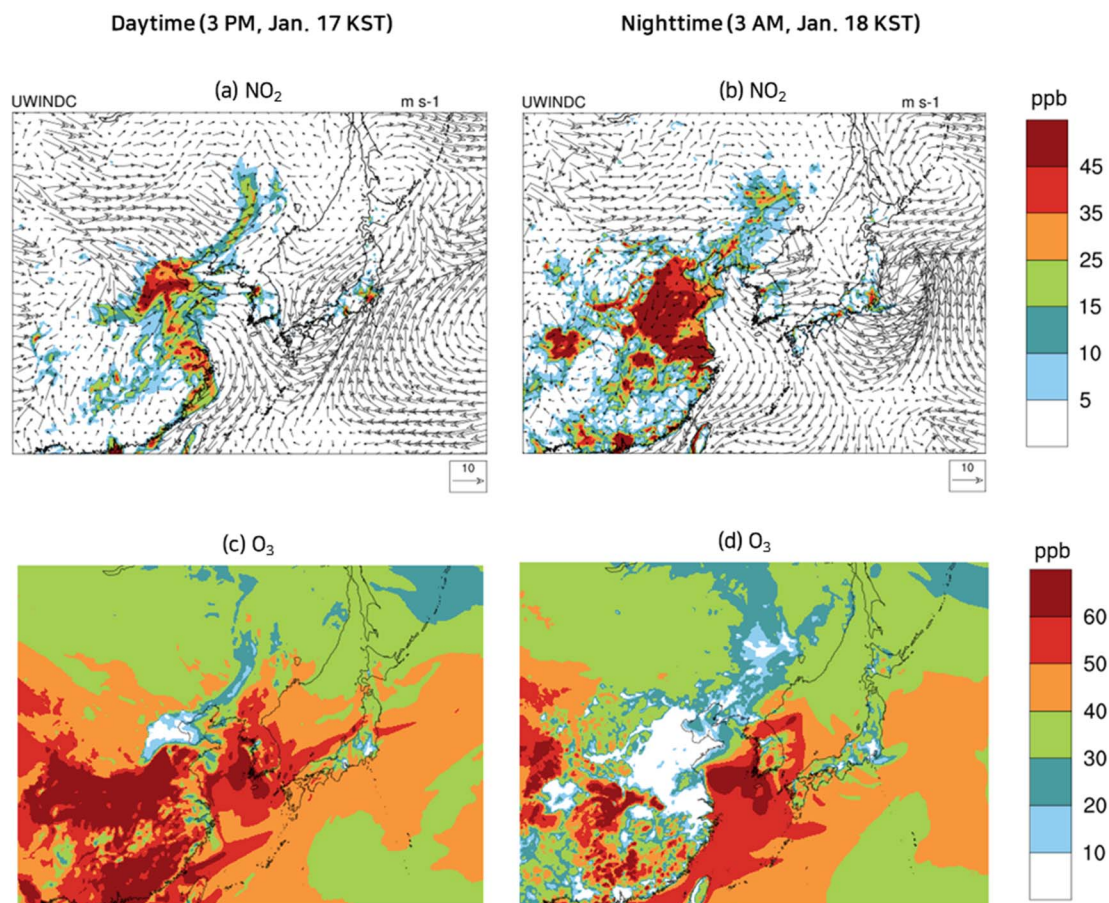
observation, resulting in an *R* of 0.89 and 0.92. The performance statistics of daily averaged PM<sub>2.5</sub> concentrations are better than those of hourly averages, the *R*, the NMB, and the NME being 0.95, -7%, and 19% respectively, thus meeting the QA goal set by Emery *et al.* (2017).<sup>30</sup> Also, the *R*, the NMB, and the NME of daily averaged nitrate concentrations are 0.98, 40%, and 44%, respectively, satisfying QA goal and criteria from Emery *et al.* (2017).<sup>30</sup> The *R*-value of the predicted hourly averaged concentrations of NO<sub>2</sub> is 0.82, which is lower than PM<sub>2.5</sub> but still deemed acceptable. The *R* of hourly O<sub>3</sub> satisfied the goal set by

Emery *et al.* (2017),<sup>30</sup> although it was slightly lower than that of PM<sub>2.5</sub>, nitrate, and NO<sub>2</sub>. As a result, the model showed acceptable agreement with observations.

### 3.3. Calculated spatial distribution of selected chemical species concentrations

Monitoring data at the PM supersites indicated that nitrate was a major particulate species in Seoul in the episode. As described in Section 2.4, O<sub>3</sub>, OH, and NO<sub>3</sub> oxidize NO<sub>2</sub> to HNO<sub>3</sub> (reactions (R1)–(R8)), and HNO<sub>3</sub> is transformed into nitrate by equilibrium reactions with ammonia (reaction (R0)). Fig. 5 displays spatial distributions of ground-level NO<sub>2</sub> and O<sub>3</sub>, the major precursor of nitrate and the major oxidant respectively, at the selected daytime and nighttime in the episode. Also presented are the wind velocity vectors. As seen in Fig. 5(a) and (b), a weak westerly or northwesterly wind of 1–4 m s<sup>-1</sup> dominated the Yellow Sea and Korea. At 3 PM, Jan. 17 KST (daytime), a strong westerly wind of 10 m s<sup>-1</sup> reigned in northern China, and a weak southwesterly wind prevailed in eastern China. By contrast, at 3 AM, Jan. 18 KST (nighttime) a weak wind blew in varying directions in northern and eastern China.

Fig. 5(a) and (b) show that the NO<sub>2</sub> concentrations were significantly high at 3 AM, Jan. 18 KST (nighttime), due to a low



**Fig. 5** Wind velocity vectors and spatial variation of concentrations of NO<sub>2</sub> (a and b) and O<sub>3</sub> (c and d) at selected daytime and nighttime during the episode.



mixing height and a slow wind speed, when compared to the daytime (3 PM, Jan. 17 KST).  $\text{NO}_2$  is a local air pollutant due to its high reactivity; its concentrations were found to be

significantly high in the industrial areas of northern China and eastern China, while near-zero concentrations were seen in the Yellow Sea. At 3 PM, Jan. 17 KST (daytime), a photochemical

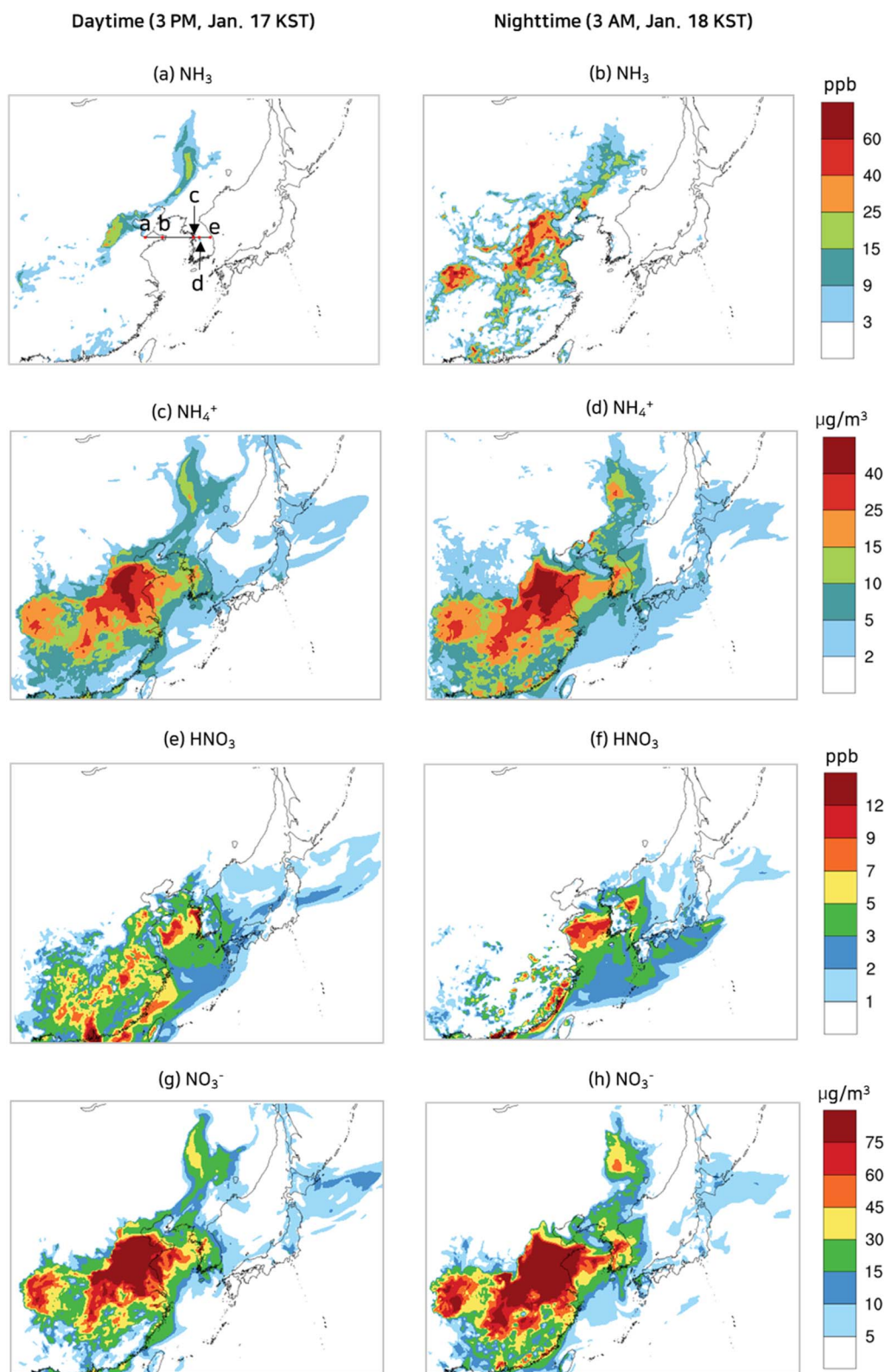


Fig. 6 Spatial distribution of ground-level concentrations of  $\text{NH}_3$  (a and b), particulate ammonium (c and d),  $\text{HNO}_3$  (e and f), and particulate nitrate (g and h) at selected daytime and nighttime during the episode.





reaction between  $\text{NO}_2$  and VOCs caused the  $\text{O}_3$  concentrations to exceed 60 ppb in the industrial areas of China and Korea, as seen in Fig. 5(c). At 3 AM, Jan. 18 KST (nighttime), the  $\text{O}_3$  in the industrial areas was dissipated by strong NO emissions, whereas the  $\text{O}_3$  concentrations in the Yellow Sea remained virtually the same due to negligible NO emissions as depicted in Fig. 5(d). The  $\text{O}_3$  that remained high in the Yellow Sea during the night reacted with  $\text{NO}_2$  to produce  $\text{NO}_3$ , which yielded  $\text{HNO}_3$  via reactions (R3)–(R5).

Fig. 6 displays spatial distributions of ground-level  $\text{NH}_3$  gas and  $\text{HNO}_3$  gas concentrations and their corresponding particulate species concentrations at the selected daytime and nighttime during the episode. In January, the temperatures in the model domain were cool enough to favor particulate nitrate or particulate ammonium over  $\text{HNO}_3$  gas or  $\text{NH}_3$  gas. Consequently,  $\text{NH}_3$  gas and  $\text{HNO}_3$  gas could not co-exist. In an  $\text{NH}_3$ -rich atmosphere,  $\text{NH}_3$  continues to exist in the gas phase while  $\text{HNO}_3$  enters the particulate phase. Conversely, in an  $\text{NH}_3$ -lean atmosphere, the reverse is true.

Being a primary pollutant,  $\text{NH}_3$  gas concentrations were higher at 3 AM, Jan. 18 KST (nighttime) than those at 3 PM, Jan. 17 KST (daytime) due to a lower mixing height at the nighttime as seen in Fig. 6(a) and (b). The elevated  $\text{NH}_3$  gas concentrations at 3 AM, Jan. 18 KST (nighttime) resulted in an  $\text{NH}_3$ -rich condition in eastern, western, and northern China, leading to near zero  $\text{HNO}_3$  concentrations, as shown in Fig. 6(b). Conversely, at 3 PM, Jan. 17 KST (daytime),  $\text{NH}_3$  gas concentrations diminished due to rapid vertical mixing in eastern China, leading to an  $\text{NH}_3$  lean condition. In the Yellow Sea,  $\text{NH}_3$  emissions were near zero, inducing an  $\text{NH}_3$  lean condition. Fig. 6(c) and (d) highlighted an area of considerable particulate ammonium concentrations in eastern and central China. Owing to the insufficient  $\text{NH}_3$  gas in the Yellow Sea to form ammonium, it is evident that the particulate ammonium present in the Yellow Sea was being transported from China. Additionally, the particulate ammonium concentrations were slightly higher at 3 AM, Jan. 18 KST (nighttime) than those at 3 PM, Jan. 17 KST (daytime).

As shown in Fig. 6(e) and (f),  $\text{HNO}_3$  was significantly present at 3 PM, Jan. 17 KST (daytime), and then diminished at 3 AM, Jan. 18 KST (nighttime) on the following day in eastern and western China, thus confirming the findings of Fig. 6(a) and (b), which are  $\text{NH}_3$ -lean at the daytime and  $\text{NH}_3$ -rich at the nighttime. More importantly, the Yellow Sea, always in  $\text{NH}_3$  lean condition in the episode, has the highest  $\text{HNO}_3$  gas concentration at 3 AM, Jan. 18 KST (nighttime) as its abundant nocturnal  $\text{O}_3$  efficiently oxidized  $\text{NO}_2$  to form  $\text{HNO}_3$  via reactions (R3)–(R5). The  $\text{HNO}_3$  formed in the Yellow Sea traversed across the Yellow Sea as  $\text{HNO}_3$  without being converted to nitrate because of the  $\text{NH}_3$ -lean atmosphere in the Yellow Sea. Thus, it can be concluded that the majority of the  $\text{HNO}_3$  in the Yellow Sea was formed within the Yellow Sea itself, while the majority of the nitrate in the Yellow Sea was transported from nearby lands. The  $\text{HNO}_3$  gas which arrived at the coastal areas quickly reacted with  $\text{NH}_3$  emitted from nearby land and turned into particulate nitrate. As a result, the predicted nitrate concentrations in the coastal cities were higher than  $40 \mu\text{g m}^{-3}$ . A more detailed explanation of the contribution of  $\text{HNO}_3$

formed in the Yellow Sea to nitrate concentration in the coastal areas is presented in Sections 3.4 and 3.5.

To contrast the spatial distributions of the episode with those of the non-episode, the spatial distributions of  $\text{NO}_2$  and  $\text{O}_3$  at selected daytime and nighttime in the non-episode are presented in Fig. 7. The Yellow Sea and Korea were largely affected by a strong northerly wind with a speed of over  $10 \text{ m s}^{-1}$ , while inland China was mainly exposed to a strong easterly wind as illustrated in Fig. 7(a) and (b). Due to a strong wind, the  $\text{NO}_2$  concentrations in the industrial area of China (Fig. 7(a) and (b)) were between 10 ppb and 20 ppb, significantly lower than those in the  $\text{PM}_{2.5}$  episode (Fig. 5(a) and (b)). As shown in Fig. 7(c), the  $\text{O}_3$  concentrations at 3 PM, Jan. 24 KST (daytime) in eastern China, central China, the Yellow Sea, and Korea were mostly below 30 ppb. Nocturnal  $\text{O}_3$  concentrations higher than 50 ppb, which were observed in the  $\text{PM}_{2.5}$  episode model simulation, were not seen on the non-episode days as evidenced in Fig. 7(d): the nocturnal  $\text{O}_3$  levels in the Yellow Sea on the non-episode days were mostly below 30 ppb.

The low  $\text{NO}_2$  and  $\text{O}_3$  result in slow  $\text{HNO}_3$  formation, leading to low  $\text{HNO}_3$ , nitrate, and ammonium levels in eastern and central China, the Yellow Sea, and Korea as shown in Fig. 8(a)–(h). Despite the strong wind decreasing  $\text{NH}_3$  concentration, low  $\text{HNO}_3$  concentration had a positive effect on the  $\text{NH}_3$  gas concentration, therefore the levels of  $\text{NH}_3$  in the non-episode were comparable to those in the episode.

#### 3.4. $\text{NH}_3$ , $\text{HNO}_3$ , nitrate concentration distribution in the cross-section from China to Korea during the $\text{PM}_{2.5}$ episode

Particulate nitrate and particulate ammonium are in equilibrium with  $\text{NH}_3$  and  $\text{HNO}_3$  gas. According to Section 3.3 (Fig. 6), the model domain was in an  $\text{NH}_3$ -lean condition during the day, while it was in an  $\text{NH}_3$ -rich condition during the night except in the Yellow Sea. Cross-sectional views of  $\text{NH}_3$  gas,  $\text{HNO}_3$  gas, and particulate nitrate concentrations are depicted in Fig. 9 for a better representation of the dynamic equilibrium between  $\text{HNO}_3$  gas and particulate nitrate. As shown in Fig. 6(a), the cross-section line that begins from Laizhou Bay, situated on the Shandong peninsula in China (labeled by “a”), traverses the Yellow Sea and ends 40 km away from the eastern coast of Korea (labeled with “e”), running in parallel with the wind velocity vector. And we designated points “b”, “c”, and “d” at the eastern coast of China, the western coast of Korea, and the eastern end of the Korea sub-area.

As shown in Fig. 9, the  $\text{NH}_3$  gas concentrations were nearly zero over the Yellow Sea, whereas they ranged widely in China and Korea depending on the availability of  $\text{HNO}_3$  gas and the vertical mixing height over the lands. As the air mass was transported across the Yellow Sea,  $\text{HNO}_3$  was formed, thus raising its concentration. When it landed on the west coast of Korea labeled as “c”, the  $\text{HNO}_3$  gas was depleted as it reacted with  $\text{NH}_3$  gas, and the nitrate concentration rose proportionally. Fig. 9(a) shows that the  $\text{NH}_3$  concentrations were not high enough to exhaust the  $\text{HNO}_3$  completely in the daytime. In contrast, in the nighttime, the  $\text{HNO}_3$  completely reacted away near the coasts to produce particulate ammonium as seen in



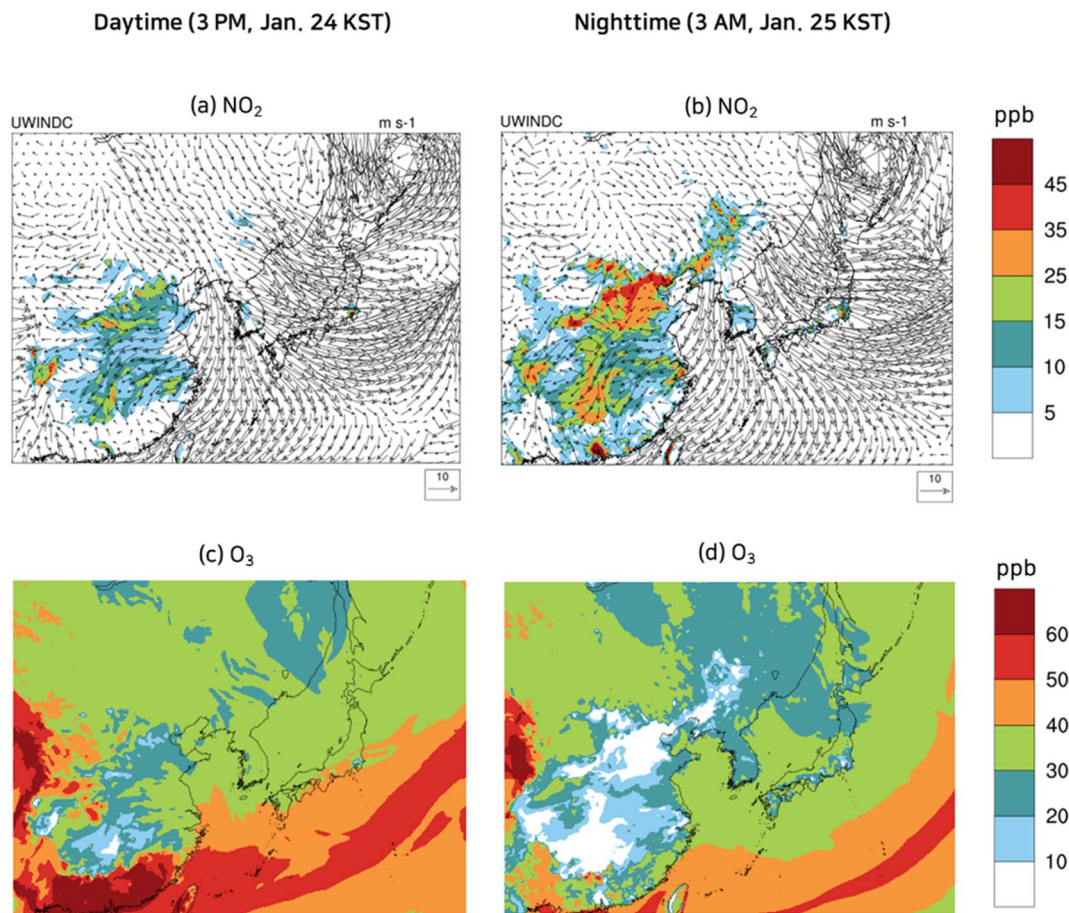


Fig. 7 Wind velocity vectors and spatial variation of concentrations of  $\text{NO}_2$  (a and b) and  $\text{O}_3$  (c and d) at selected daytime and nighttime during the non-episode.

Fig. 9(b) and (c). Assuming that the chemical reaction rates greatly surpass the vertical diffusive flux, the decrease of  $\text{HNO}_3$  gas concentration near point “c” in Fig. 9(b) and (c) can be attributed to the nitrate produced by  $\text{HNO}_3$  gas from the Yellow Sea. According to Fig. 9(b), 3.2 ppb of  $\text{HNO}_3$  gas were converted to  $14.2 \mu\text{g m}^{-3}$  of particulate nitrate at 3 AM in the local time. A similar conversion of  $\text{HNO}_3$  to nitrate took place at 6 AM as shown in Fig. 9(c).

### 3.5. $\text{HNO}_3$ production during the episode

IRR (Integrated Reaction Rate), a CMAQ utility tool, was employed to calculate the hourly  $\text{HNO}_3$  production rate of reactions (R1)–(R8) on the ground level at the sub-areas chosen from China, the Yellow Sea, and Korea. Fig. 10 illustrates that during the day  $\text{HNO}_3$  was primarily formed by the oxidation of  $\text{NO}_2$  by OH radical (reaction (R1)), while during the night it was mainly generated by the heterogeneous hydrolysis of  $\text{N}_2\text{O}_5$  (reaction (R5)). This figure also indicates that reactions (R2) (reaction between  $\text{NO}_3$  and VOC), (R6) (the hydrolysis of  $\text{NTR}_2$ ), and (R8) (the hydrolysis of  $\text{CLNO}_3$ ) had an insignificant part in producing nitrate, with all of them contributing less than 10%. Our model simulation indicates that the variations in  $\text{NO}_2$  concentrations across the three sub-areas were pronounced,

leading to different  $\text{HNO}_3$  yields in each sub-area during the day. Due to its elevated ambient  $\text{NO}_2$  levels, the China sub-area had the highest daytime production rate of  $\text{HNO}_3$ , 3.6 times higher than the Yellow Sea sub-area and 2.8 times higher than the Korea sub-area.

During the night,  $\text{O}_3$  also has a large spatial variability as NO titrated away  $\text{O}_3$  in urban areas. The  $\text{O}_3$  concentrations at 3 AM, Jan. 18 KST (nighttime) in the China sub-area were generally below 10 ppb whilst those in the Yellow Sea sub-area were above 40 ppb as depicted in Fig. 5(d). The China sub-area still had the highest  $\text{HNO}_3$  production rate during the night due to its elevated  $\text{NO}_2$  levels, but production of  $\text{HNO}_3$  decreased by more than half compared to the daytime because of the low  $\text{O}_3$  levels. Similarly, the  $\text{HNO}_3$  production rate in the Korea sub-area was reduced by 40 percent during the night. In contrast, the  $\text{HNO}_3$  production rate in the Yellow Sea sub-area was increased by 24% during the night as the near-zero NO emissions limited  $\text{O}_3$  destruction by NO titration. As a result, the nighttime  $\text{HNO}_3$  production rate in the Yellow Sea sub-area was higher than that in the Korea sub-area by 65%, supporting the findings in Fig. 9 on the role of the Yellow Sea.

$\text{HNO}_3$  is a highly soluble gas-phase chemical species, making it vulnerable to dry deposition in the Yellow Sea. The



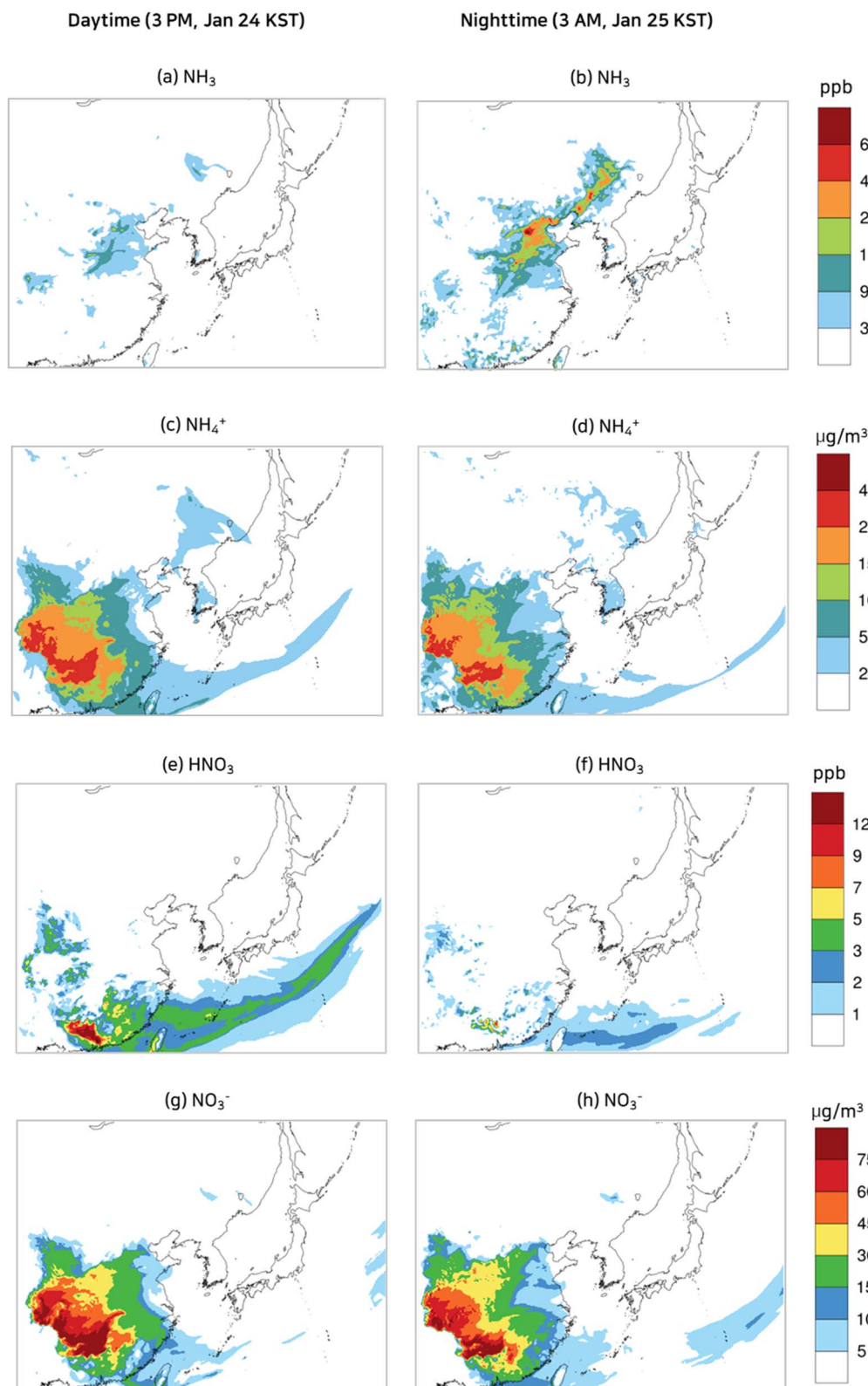


Fig. 8 Spatial variation of concentrations of  $\text{NH}_3$  (a and b), particulate ammonium (c and d),  $\text{HNO}_3$  (e and f), and particulate nitrate (g and h) at selected daytime and nighttime during the non-episode.

significance of dry deposition was evaluated by comparing the  $\text{HNO}_3$  loss rates by dry deposition with other production and loss rates, as shown in Fig. 11. Here, a CMAQ utility tool, IPR

(Integrated Process Rate) defined by eqn (3), was utilized to calculate each production and loss rate. The  $\text{HNO}_3$  dry deposition rate in the Yellow Sea sub-area was 2.5 times as high as that



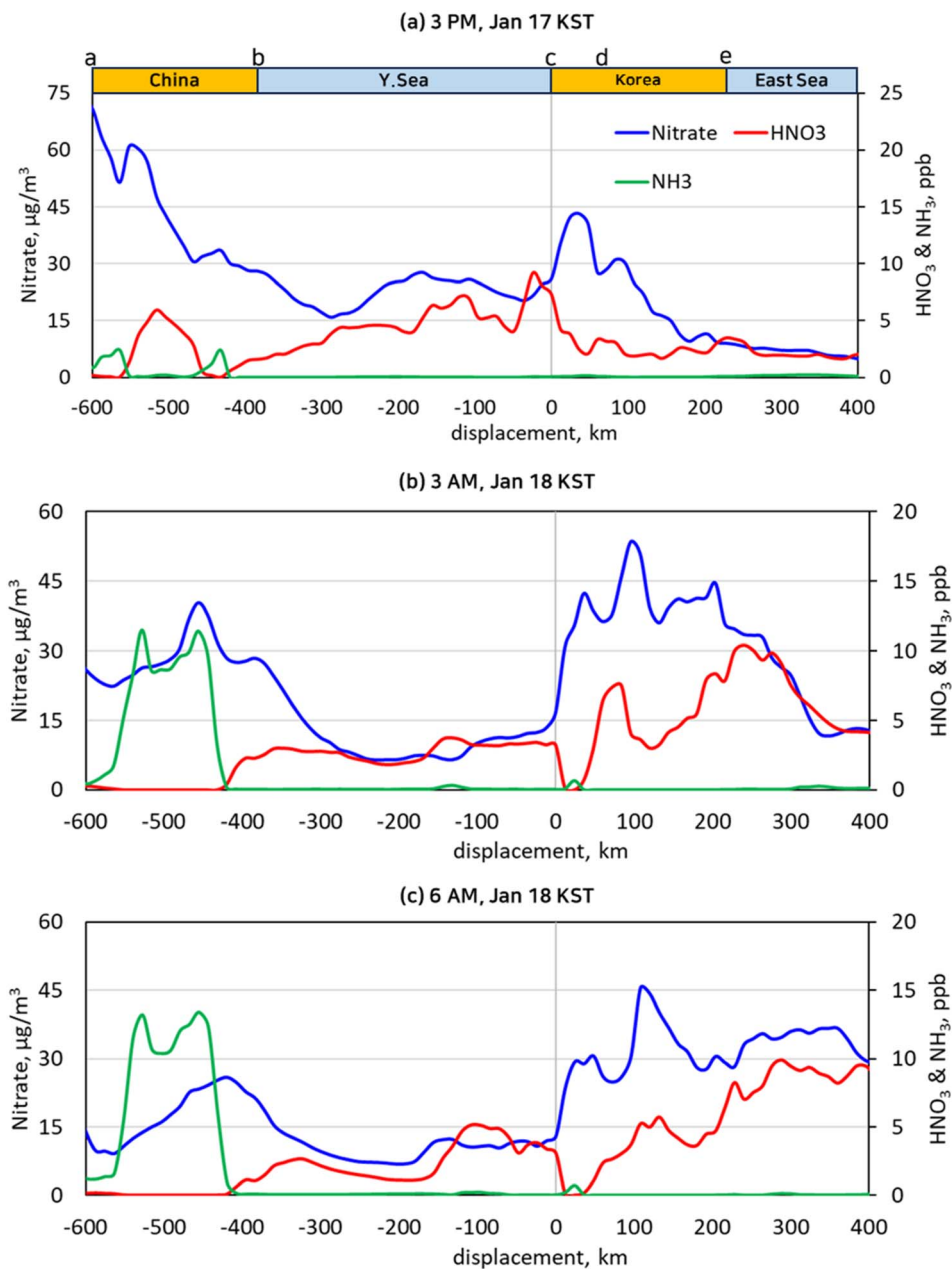


Fig. 9  $\text{NH}_3$ ,  $\text{HNO}_3$ , and nitrate concentrations along the cross-section from "a" to "e" as depicted in Fig. 6(a) at the selected time of 3 PM, Jan. 17 KST (a), 3 AM, Jan. 18 KST (b), and 6 AM, Jan. 18 KST (c).

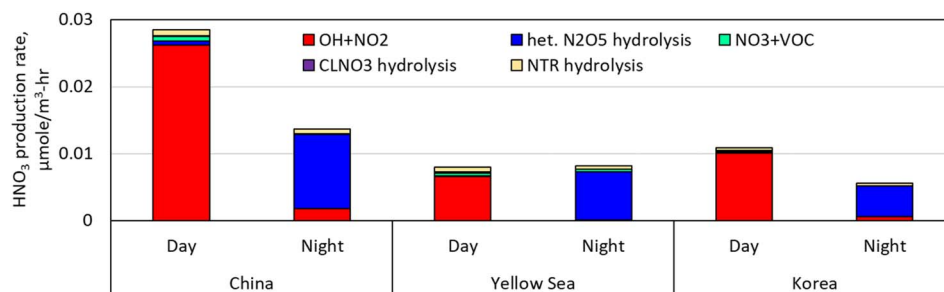


Fig. 10  $\text{HNO}_3$  production rate in the sub-areas of China, Yellow Sea, and Korea during the daytime and nighttime of the episode.





Fig. 11 HNO<sub>3</sub> chemical production rate, loss rates by dry deposition and nitrate formation in sub-areas of China, Yellow Sea, and Korea during the episode.

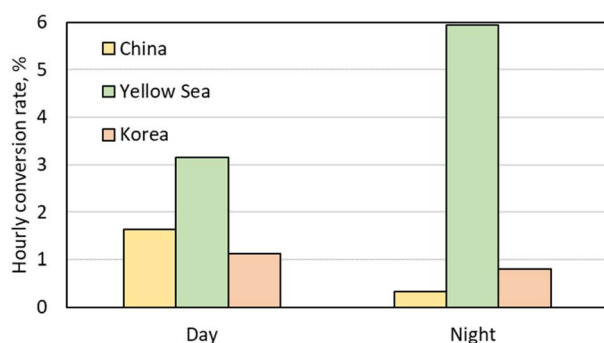


Fig. 12 Conversion rate of NO<sub>2</sub> to HNO<sub>3</sub> in the sub-areas of China, Yellow Sea, and Korea during the daytime and nighttime of the episode.

in the China sub-area, and the Korea sub-area as expected. However, the HNO<sub>3</sub> chemical production rate was still four times as high as the dry deposition rate of HNO<sub>3</sub> even in the Yellow Sea sub-area. This high chemical production rate contributed to the increase of HNO<sub>3</sub> concentration in the Yellow Sea as shown in Fig. 9 despite rapid dry deposition processes.

The oxidation of NO<sub>2</sub> to HNO<sub>3</sub> requires both oxidants and NO<sub>2</sub>. As eqn (2) stated, we calculated the conversion rates of NO<sub>2</sub> to HNO<sub>3</sub> by dividing the HNO<sub>3</sub> production rates by the NO<sub>2</sub> concentrations. During the day, the conversion rate in the Yellow Sea was the largest, surpassing the China sub-area by 48% and the Korea sub-area by 64% as seen in Fig. 12. The varying conversion rates across the three sub-areas during the day were attributed to the variation in OH levels, which were affected by solar irradiances and VOC to NO<sub>x</sub> ratios. Upon entering the night, the O<sub>3</sub> concentrations in the Yellow Sea remained relatively unchanged, while those in the urban areas were depleted by NO titration as stated in Section 3.3. In the Yellow Sea, the conversion rate of NO<sub>2</sub> to HNO<sub>3</sub> during the night was more than twice as much as during the day, yet in the China sub-area, it dropped nearly 80%. Consequently, the Yellow Sea had conversion rates of NO<sub>2</sub> to HNO<sub>3</sub>, which were 18 and 7 times larger than the China sub-area and the Korea sub-area, respectively.

The sensitivity of particle nitrate to a change in NH<sub>3</sub> gas or HNO<sub>3</sub> concentrations can be evaluated by the adjusted Gas

Ratio ( $\text{adjGR} = \frac{[\text{NH}_3] + [\text{nitrate}]}{[\text{HNO}_3] + [\text{nitrate}]}$ ).<sup>22,32</sup> The adjGR is an indicator of the limited regime: in cases where the adjGR is greater than 1, it is NH<sub>3</sub>-rich; if not, it is NH<sub>3</sub>-lean. During the episode, the adjGR was 1.4 in the China sub-area, 0.75 in the Yellow Sea sub-area, and 1.18 in the Korea sub-area. Therefore, the HNO<sub>3</sub> formed in the China sub-area is changed to nitrate before being transported out of China, whereas the HNO<sub>3</sub> formed in the Yellow Sea sub-area was transported to the Korea sub-area without being converted to nitrate. The transported HNO<sub>3</sub> to the Korea sub-area reacted with NH<sub>3</sub> to decrease the adjGR, however, the adjGR in the Korea sub-area was still greater than 1 to ensure the conversion of the HNO<sub>3</sub> to nitrate.

## 4. Conclusion

The present study used observational data and model results to reaffirm that elevated particulate nitrate concentration was the main cause of the severe PM<sub>2.5</sub> pollution episode that occurred in January 2018 in Seoul. Particulate nitrate was formed as particulate ammonium nitrate *via* the NH<sub>3</sub>-HNO<sub>3</sub>-NH<sub>4</sub>NO<sub>3</sub> equilibrium and it dominated over HNO<sub>3</sub> gas in urban areas during the episodes. Also found was that when the NO<sub>2</sub> emitted from the land was transported across the Yellow Sea, it was oxidized to HNO<sub>3</sub> and landed on the western coast of Korea. Then, the landed HNO<sub>3</sub> was quickly converted to nitrate through equilibrium with NH<sub>3</sub>, which is abundant in Korea. The nitrate formed in China was also transported to Seoul at the same time to further increase the contribution to the nitrate in Seoul. Despite VOC and NO<sub>x</sub> levels being low, the oxidant concentrations in the Yellow Sea were still considerable, making the conversion rates of NO<sub>2</sub> to HNO<sub>3</sub> in the Yellow Sea greater than those in China and Korea. Especially, during the night, the calculated O<sub>3</sub> concentration in the Yellow Sea was much higher than those in China and Korea because of very little NO titration effect, which is consistent with observation by Seo *et al.* (2017).<sup>9</sup> As a result, the conversion rates in the Yellow Sea were 18 times and 7 times larger than those in the China sub-area and Korea sub-area during the night, respectively.

Significant advances in understanding toward long-range transport and formation of PM<sub>2.5</sub> have been made in East Asia in the recent decade, but limited research has been conducted



on the impact of the Yellow Sea on PM<sub>2.5</sub> events in western Korea, with a significant lack of observational data. More recently, ship-based observations,<sup>10,11</sup> satellite data analysis,<sup>11</sup> and monitoring in islands off the west coast of Korea<sup>9</sup> have been made to measure PM<sub>2.5</sub> and criteria air pollutant concentrations over the Yellow Sea. However, monitoring of gaseous precursors of PM<sub>2.5</sub> including NH<sub>3</sub> gas HNO<sub>3</sub> over the Yellow Sea is still lacking and recommended as a future study.

## Data availability

The monitoring data were obtained from the National Institute of Environmental Research (NIER), and they are available from the authors with the permission of NIER.

## Author contributions

HyeonYeong Park: visualization, investigation, methodology, writing – original draft. Joon-Young Ahn: investigation, resources. Sung-Chul Hong: investigation, resources. Jae-Bum Lee: investigation, resources. SeogYeon Cho: conceptualization, investigation, methodology, writing – review & editing.

## Conflicts of interest

The authors declare no conflict of interest.

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