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Kinetic study of isoprene hydroxy hydroperoxide radicals reacting with sulphur dioxide and their global-scale impact on sulphate formation

The rate constants of isoprene-derived organic peroxide radicals reacting with sulphur dioxide were estimated by the microcanonical kinetic calculations, and their global-scale impacts on sulphate formation were considered.

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# Kinetic study of isoprene hydroxy hydroperoxide radicals reacting with sulphur dioxide and their global-scale impact on sulphate formation†

Hiroo Hata \*<sup>a</sup> and Kenichi Tonokura <sup>b</sup>

Isoprene is the most relevant volatile organic compound emitted during the biosynthesis of metabolism processes. The oxidation of isoprene by a hydroxy radical (OH) is one of the main consumption schemes that generate six isomers of isoprene hydroxy hydroperoxide radicals (ISOPOOs). In this study, the rate constants of ISOPOOs + sulphur dioxide (SO<sub>2</sub>) reactions that eventually generate sulphur trioxide (SO<sub>3</sub>), the precursor of sulphate aerosol (SO<sub>4</sub><sup>2-</sup>(p)), are determined using microcanonical kinetic theories coupled with molecular structures and energies estimated by quantum chemical calculations. The results show that the reaction rates range from 10<sup>-27</sup> to 10<sup>-20</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>, depending on the atmospheric temperature and structure of the six ISOPOO isomers. The effect of SO<sub>3</sub> formation from SO<sub>2</sub> oxidation by ISOPOOs on the atmosphere is evaluated by a global chemical transport model, along with the rate constants obtained from microcanonical kinetic theories. The results show that SO<sub>3</sub> formation is enhanced in regions with high SO<sub>2</sub> or low nitrogen oxide (NO), such as China, the Middle East, and Amazon rainforests. However, the production rates of SO<sub>3</sub> formation by ISOPOOs + SO<sub>2</sub> reactions are eight orders of magnitude lower than that from the OH + SO<sub>2</sub> reaction. This is indicative of SO<sub>4</sub><sup>2-</sup>(p) formation from the direct oxidation of SO<sub>2</sub> by ISOPOOs, which is almost negligible in the atmosphere. The results of this study entail a detailed analysis of SO<sub>3</sub> formation from gas-phase reactions of isoprene-derived products.

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## Environmental significance

This study discusses the impact of six isomers of isoprene hydroxy hydroperoxide radicals (ISOPOOs) on the global-scale formation of sulphate aerosol (SO<sub>4</sub><sup>2-</sup>(p)). The rate constants of ISOPOOs + SO<sub>2</sub> and subsequent reactions are estimated using microcanonical kinetic theory (transition-state theory and RRKM/Master equation theory) coupled with quantum chemical calculations. The obtained rate constants are incorporated into a global chemical transport model, GEOS-Chem, to evaluate the effects of ISOPOOs on sulphur trioxide (SO<sub>3</sub>), a precursor of SO<sub>4</sub><sup>2-</sup>(p). The obtained results suggest that the direct oxidation of SO<sub>2</sub> by ISOPOOs contributes maximally 10<sup>-6</sup> % of gas-phase SO<sub>3</sub> formation in the high-isoprene regions, indicating the negligible contribution of ISOPOOs to the direct oxidation of SO<sub>2</sub> for forming SO<sub>4</sub><sup>2-</sup>(p) on a global scale.

## Introduction

Isoprene (C<sub>5</sub>H<sub>8</sub>) is a biogenic volatile organic compound (BVOC) emitted to the atmosphere during the biosynthesis of metabolic processes.<sup>1-3</sup> Isoprene contains two carbon-carbon double bonds, and its reactivity enables the high-production potential of tropospheric ozone (O<sub>3</sub>) and secondary organic aerosol (SOA).<sup>4,5</sup> Globally, isoprene dominates ~60% of the total VOC emissions that are condensed in forests and vegetated areas such as the Amazon.<sup>6-8</sup>

Fig. S1 of the ESI† shows typical pathways for the oxidation of isoprene by O<sub>3</sub> and hydroxy radical (OH).<sup>9</sup> The oxidation of isoprene by O<sub>3</sub>, shown in Fig. S1(a),† produces Criegee intermediates (CIs) that finally form sulphate aerosols (SO<sub>4</sub><sup>2-</sup>(p)) by the oxidation of sulphur dioxide (SO<sub>2</sub>), the nuclei of particulate matter,<sup>10-15</sup> However, the contribution of the CIs-to-SO<sub>4</sub><sup>2-</sup>(p) formation is negligible compared to that of OH.<sup>9</sup> Conversely, the oxidation of isoprene by OH forms six isomers of isoprene hydroxy hydroperoxide radicals (ISOPOOs) as shown in Fig. 1, which then form isoprene epoxydiols (IEPOXs) as shown in Fig. S1(b).†<sup>16-19</sup> Thereafter, owing to low volatility and the water-soluble chemical structure from the two hydroxyl groups of IEPOXs, SOA is eventually generated. Several studies have reported the importance of in-cloud oxidation of bisulphate (HSO<sub>3</sub><sup>-</sup>) by the reaction of ISOPOOHs (formed by the hydroperoxyl radical (HO<sub>2</sub>) + ISOPOOs reactions described in Fig. S1(b)†) to form SO<sub>4</sub><sup>2-</sup>(p).<sup>20-22</sup>

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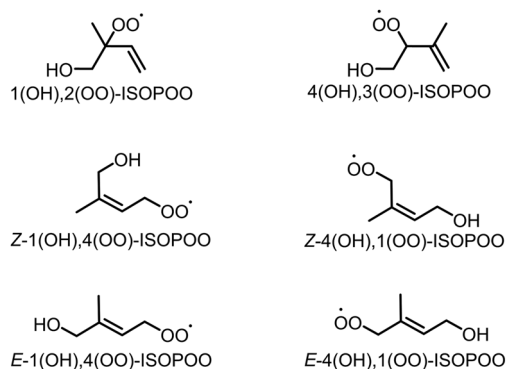


Fig. 1 Six structural isomers of isoprene hydroxy hydroperoxide radical (ISOPOO).

Notably, the formation pathways of SOA and  $\text{SO}_4^{2-}$  shown in Fig. S1(b) and (c)† can proceed under low NO conditions owing to the high reactivity of NO and  $\text{HO}_2$  with organic peroxide radicals ( $\text{RO}_2$ ) including ISOPOOs.<sup>23–26</sup> Some of the ISOPOOs hold ring structures formed by the intramolecular hydrogen bond of the hydroxy (–OH) and peroxy radical (–OO) groups, which cause unimolecular isomerization due to hydrogen shift from –OH to –OO.<sup>27,28</sup> Wennberg *et al.* reviewed and summarized ISOPOO reactions,<sup>29</sup> with their pathways already considered in atmospheric chemical mechanisms. Unlike other atmospheric oxidants such as OH, nitrate radical ( $\text{NO}_3$ ) or  $\text{RO}_2$  including ISOPOOs are relatively stable in the atmosphere and can accumulate to high concentrations in oxidation systems.<sup>30</sup> Thus, to the best of our knowledge, limited studies have focused on the direct oxidation of  $\text{SO}_2$  by ISOPOOs, with low expected rates of oxidation estimated by Kurtén *et al.* who conducted the theoretical calculation of the reaction of  $\text{SO}_2$  with four peroxide radicals;  $\text{HO}_2$ , methyl peroxide ( $\text{CH}_3\text{OO}$ ), ethyl peroxide ( $\text{C}_2\text{H}_5\text{OO}$ ) and hydroxymethyl peroxide ( $\text{CH}_3(\text{OH})\text{OO}$ ).<sup>31</sup> Nevertheless, isoprene is the most relevant BVOC in the atmosphere, and owing to the high global concentrations of isoprene and isoprene-derived intermediates, acknowledgement of the contributions of ISOPOOs to the oxidation and formation of  $\text{SO}_2$  and  $\text{SO}_4^{2-}(\text{p})$ , respectively, is significant.

In this study, the direct oxidation rate constants of  $\text{SO}_2$  obtained from the six isomers of ISOPOOs, shown in Fig. 1 (1(OH),2(OO)-ISOPOO, 4(OH),3(OO)-ISOPOO, Z-1(OH),4(OO)-ISOPOO, Z-4(OH),1(OO)-ISOPOO, E-1(OH),4(OO)-ISOPOO, and E-4(OH),1(OO)-ISOPOO), are determined using the transition state theory. The estimated rate constants are incorporated into the chemical mechanism of the global chemical transport model to evaluate the effect of  $\text{SO}_2$  oxidation by ISOPOOs on the global scale  $\text{SO}_3$  formation. The results of this study are expected to contribute towards a quantitative understanding of direct oxidation of  $\text{SO}_2$  by ISOPOOs in the atmosphere.

## Methodology

### Kinetic analysis

**Quantum chemical calculations.** This study focused on the rate constants of the six isomers of ISOPOOs, shown in Fig. 1,

which reacted with  $\text{SO}_2$ . The reactions produced sulphur trioxide ( $\text{SO}_3$ , the precursor of  $\text{SO}_4^{2-}(\text{p})$ ) and alkoxy radicals derived from ISOPOOs (ISOPOs). Additionally, the reactions generate intermediate products described as ISOPOOSO<sub>2</sub>s, in which the lone pair of –OO adducted to the unoccupied orbital of the sulphur atom in  $\text{SO}_2$ . For simplicity, the descriptions of the functional groups of ISOPOOs and ISOPOOSO<sub>2</sub>s were abbreviated, such that 1(OH),2(OO)-ISOPOO and E-1(OH),4(OO)-ISOPOOSO<sub>2</sub> were described as 1,2-ISOPOO and E-1,4-ISOPOOSO<sub>2</sub>, respectively. Gaussian 16 (G16; rev. C.01) was used for quantum chemical calculations to study the potential energy surface (PES) of the reactions.<sup>32</sup> The M06-2X level of density functional theory (DFT)<sup>33</sup> was applied to optimise the chemical structure of the components and obtain rovibrational properties and zero-point vibrational energies. Considering that there are several internal rotations for the targeted species of this study, we chose to focus on the global-energetically minimized structures for data accrument. Single-point electronic energies for all the optimised structures were calculated using the couple cluster method (CCSD(T)).<sup>34</sup> Dunning's triple-zeta basis function was applied to both DFT and single-point energy calculations. Previous studies revealed that the importance of extra-tight *d* functions should be considered for the DFT and CCSD(T) calculations of molecules that contain sulphur to be uniformly efficient with correct energy values.<sup>35–38</sup> Therefore, herein, two Dunning's triple-zeta functions, aug-cc-pVTZ and aug-cc-p(V+d)Z,<sup>39</sup> were applied to the DFT and CCSD(T) calculations to verify the effect of the extra-tight *d* function on the energy level of sulphur-containing molecules.  $T_1$ -diagnostic was also applied to the CCSD(T) calculations to check the multireference character of the molecules.<sup>40</sup> The calculated results for the TS structures were validated using intrinsic reaction coordinate calculations<sup>41</sup> at the same level of theory (M06-2X/aug-cc-pVTZ and M06-2X/aug-cc-pV(T+d)Z). Stability analysis was conducted for all the open-shell calculations of radical species. As per the results of this observation, all structures were stable. The vibrational frequencies were scaled by 0.946, while the zero-point vibrational energies were scaled by 0.971.<sup>42</sup>

### Calculation of rate constants using transition-state theory.

The rate constants of the reactions that hold relevant, vibrationally adiabatic energy barriers (zero-point corrected energy barrier) were calculated using the transition-state theory (TST)<sup>43</sup> with the Gaussian post-processor (GPOP).<sup>44</sup> To briefly summarize TST, the high-pressure limit rate constant of a specific reaction in a thermodynamically stable environment,  $k_{\text{TST}}$ , could be formulated as eqn (1).

$$k_{\text{TST}} = \frac{k_{\text{B}}T}{h} \frac{Q^{\ddagger}(T)}{Q(T)} \exp\left(-\frac{E_0}{k_{\text{B}}T}\right) \quad (1)$$

where  $h$  is the Planck constant (J s),  $k_{\text{B}}$  is the Boltzmann constant ( $\text{J K}^{-1}$ ),  $T$  is the ambient temperature (K),  $Q$  is the total partition function of the reactants,  $Q^{\ddagger}$  is the partition function of the TS, and  $E_0$  is the vibrationally adiabatic energy barrier (J). The partition functions  $Q$  and  $Q^{\ddagger}$  were the products of partition functions of four physical properties: translation of molecules, molecular vibration, moment of inertia of molecular rotation,



and electronic state. These parameters were obtained from the quantum chemical calculations as aforementioned, while GPOP automatically read the results of the G16 output files to derive  $Q$  and  $Q^\ddagger$ . Eckart's tunnelling correction<sup>45</sup> was applied to the TST calculations.

**Evaluation of branching ratio calculated by RRKM/ME theory.** Unimolecular decomposition reactions exhibited pressure dependence, which resulted in a microcanonical non-equilibrium state of the reactants and TS, leading to errors in the rate constants evaluated by the TST. Such local-non-equilibrium kinetics were evaluated using the Rice–Ramsperger–Kassel–Marcus (RRKM)/Master Equation (ME) theory.<sup>46</sup> Briefly, the RRKM/ME theory was summarised to express the non-equilibrium reactions of unimolecular decompositions caused by the collision of reactants with bath gases such as nitrogen ( $N_2$ ) and oxygen ( $O_2$ ), following the commonly applied ME expressed as eqn (2).

$$\frac{dN_i}{dt} = [M] \sum_{j=0}^n (k_{ij}N_j - k_{ji}N_i) - k_{uni,i}N_i \quad (2)$$

where  $N_i$  is the number of energy states  $i$  ( $\sum N_i = 1$ ),  $M$  represents the bath gas ( $N_2$  and  $O_2$  in ambient),  $k_{ij}$  is the rate of transition from energy state  $j$  to  $i$ , and  $k_{uni,i}$  is the microcanonical unimolecular decomposition rate constant at energy state  $i$ .  $k_{uni,i}$  was determined using RRKM theoretical calculations. In this study, RRKM/ME calculations were conducted using a steady-state unimolecular master-equation solver (SSUMES).<sup>47</sup> Troe's bi-exponential collisional energy transfer model was applied to the evaluated intermediates of SSUMES.<sup>48</sup> Collision relaxation energy transfer ( $\langle \Delta E \rangle_{down}$ ) of  $130 \text{ cm}^{-1}$  between the reactants and  $M$  was chosen according to a previous study, where the value was determined by measuring the relaxation energy of toluene relaxed by  $N_2$ .<sup>49</sup> Lennard–Jones collisional parameter,  $\epsilon_K = 750 \text{ K}$  and  $\sigma = 3.86 \text{ \AA}$ , were determined according to the potential well-depth of two 1,2-ISOPOOSO<sub>2</sub>s calculated using G16 with the theory of M06-2X/aug-cc-pV(T+d)Z. The obtained potential curve was analysed, as shown in Fig. S2.† Eckart's tunnelling correction<sup>45</sup> was applied to the  $k_{uni,i}$  calculations.

### Global chemical transport modelling

The Goddard Earth observing system chemistry model (GEOS-Chem (v14.2.3))<sup>50</sup> was used to evaluate the global impact of the reactions between ISOPOOs and  $SO_2$ . For this study, a grid resolution of  $2 \times 2.5^\circ$  (latitude  $\times$  longitude) with 72 vertical layers holding the ground surface to the stratosphere was selected. The modern-era retrospective analysis for research and applications version 2 (MERRA2) was used as the meteorological input.<sup>51</sup> For emission inventories, emissions database for community emissions data system (CEDSV2) was used for anthropogenic emissions,<sup>52</sup> hemispheric transport of air pollution (HTAPv3) was used for ship emissions,<sup>53</sup> monthly-mean data of Aviation Emissions Inventory (AEIC2019) was used for aircraft emissions,<sup>54</sup> global fire emissions database (GFED) was used for biomass burning,<sup>55</sup> and the model of emissions of gases and aerosols from nature (MEGAN) was used for BVOC emissions.<sup>56</sup> The targeted period spanned from 1st

July 2018 to 31st December 2019. The following six-month period was treated as a spin-off period, while the second year of 2019 was treated as the analysis term. The branching ratio and related reactions of the six isomers of ISOPOOs were modified using the full-chemistry module of the kinetic pre-processor (KPP) that controlled the chemical reactions of GEOS-Chem; the details are described in the subsequent section.

## Results

### Optimised structures and diagnostic values for the ISOPOO and $SO_2$ reactions

The globally optimised structures of the six ISOPOO isomers are shown in Fig. S3† and the detailed cartesian coordinates are listed in Tables S1 to S6 of the ESI.† Compounds 1,2-, 4,3-, Z-1,4- and Z-4,1-ISOPOOs adopted a ring structure due to the formation of intramolecular hydrogen bonds between  $-OH$  and  $-OO$ . E-1,4-ISOPOO also formed a ring structure, but the distance between  $-OH$  and  $-OO$  was large, enabling  $SO_2$  to form a complex with E-1,4-ISOPOO, as shown in Fig. S4 and Tables S7 and S8 of the ESI.† E-4,1-ISOPOO had a straight chain structure. Peeters *et al.* conducted the optimization and energetical calculations of the six isomers of ISOPOOs using quantum chemical calculations (CCSD(T)/aug-cc-pVTZ//M06-2X/6-311++G(3df,2p)).<sup>57</sup> The obtained optimized structures of ISOPOOs well represented the results obtained by Peeters *et al.* The initial reactions of ISOPOOs +  $SO_2$  led to intermediate products of ISOPOOSO<sub>2</sub>s through the transition states of TS1, followed by the unimolecular decomposition of ISOPOOSO<sub>2</sub>s to form  $SO_3$  and alkoxy radicals (ISOPOs). The calculated structures of TS1 and TS2, ISOPOOs, ISOPOs,  $SO_2$  and  $SO_3$  are presented in Fig. S5 to S9 and Tables S9 to S34 of the ESI,† respectively.

### Evaluation of the extra-tight $d$ function of sulphur-containing molecules

Table 1 shows the total atomization energy (TAE) and zero-point energy (ZPE) of aug-cc-pVTZ and aug-cc-pV(T+d)Z obtained by M06-2X and CCSD(T) calculations. The values of the vibrational frequencies, rotational constants, electronic state and point group, spin contamination and  $T_1$ -diagnostic for the targeted molecules are listed in Tables S35–S48, S49–S50, S51, S52–S55 and S56–S57† respectively. For all species, the calculations that applied a basis set with extra-tight  $d$  functions showed a  $\sim 10 \text{ kcal mol}^{-1}$  decrease in the TAE compared to the values for a normal basis set. Contrarily, all ZPE values obtained from the results of the extra-tight  $d$  function were  $\sim 0.1$  to  $0.2 \text{ kcal mol}^{-1}$  higher than those of a normal basis-set. The reference TAE values of  $SO_2$  and  $SO_3$  were reported to be 258.3 and  $343.7 \text{ kcal mol}^{-1}$  respectively, and when compared to M06-2X and CCSD(T) for the two basis sets, the value of M06-2X was closer to the experimental results than that of CCSD(T). All the sulphur-included ISOPOO species demonstrated  $\sim 10$  to  $20 \text{ kcal mol}^{-1}$  more stability when introducing the basis set encompassing the extra-tight  $d$  function. To the best of our knowledge, no experimental values of the TAE have been



**Table 1** Total atomisation energies (kcal mol<sup>-1</sup>) calculated by M06-2X/aug-cc-pVTZ ( $D_0$ ), M06-2X/aug-cc-pV(T+d)Z ( $D_{0\_d}$ ), CCSD(T)/aug-cc-pVTZ ( $D_0$ ) and CCSD(T)/aug-cc-pV(T+d)Z ( $D_{0\_d}$ ) and zero-point energies (kcal mol<sup>-1</sup>) calculated by M06-2X/aug-cc-pVTZ (ZPE) and M06-2X/aug-cc-pV(T+d)Z (ZPE<sub>d</sub>) for the sulphur-containing species targeted in this study. Diff indicates the difference between  $D_{0\_d}$  and  $D_0$  or between ZPE<sub>d</sub> and ZPE

| Molecule                            | M06-2X |            |       |       |                  |         | CCSD(T) |            |       |
|-------------------------------------|--------|------------|-------|-------|------------------|---------|---------|------------|-------|
|                                     | $D_0$  | $D_{0\_d}$ | Diff  | ZPE   | ZPE <sub>d</sub> | Diff    | $D_0$   | $D_{0\_d}$ | Diff  |
| SO <sub>2</sub>                     | 237.6  | 247.4      | 9.713 | 4.559 | 4.645            | 0.08597 | 231.5   | 240.4      | 8.896 |
| SO <sub>3</sub>                     | 313.0  | 327.8      | 14.85 | 7.899 | 8.087            | 0.1883  | 302.7   | 316.8      | 14.07 |
| 1,2-TS1                             | 1746   | 1756       | 10.14 | 92.61 | 92.70            | 0.08722 | 1705    | 1715       | 9.516 |
| 4,3-TS1                             | 1745   | 1755       | 10.16 | 92.90 | 93.01            | 0.1086  | 1704    | 1713       | 9.528 |
| <i>E</i> -1,4-TS1                   | 1747   | 1757       | 9.896 | 93.41 | 93.47            | 0.06212 | 1705    | 1714       | 9.295 |
| <i>E</i> -4,1-TS1                   | 1744   | 1754       | 10.10 | 93.01 | 93.10            | 0.08346 | 1702    | 1711       | 9.468 |
| <i>Z</i> -1,4-TS1                   | 1745   | 1755       | 10.16 | 93.38 | 93.45            | 0.07216 | 1702    | 1712       | 9.542 |
| <i>Z</i> -4,1-TS1                   | 1744   | 1754       | 10.11 | 93.32 | 93.43            | 0.1117  | 1702    | 1711       | 9.475 |
| 1,2-ISOPOOSO <sub>2</sub>           | 1749   | 1760       | 11.10 | 93.68 | 93.83            | 0.1569  | 1707    | 1718       | 10.69 |
| 4,3-ISOPOOSO <sub>2</sub>           | 1747   | 1759       | 11.15 | 93.94 | 94.08            | 0.1450  | 1706    | 1716       | 10.75 |
| <i>E</i> -1,4-ISOPOOSO <sub>2</sub> | 1750   | 1761       | 10.94 | 94.48 | 94.68            | 0.1958  | 1707    | 1718       | 10.57 |
| <i>E</i> -4,1-ISOPOOSO <sub>2</sub> | 1746   | 1757       | 11.09 | 94.04 | 94.21            | 0.1688  | 1704    | 1715       | 10.70 |
| <i>Z</i> -1,4-ISOPOOSO <sub>2</sub> | 1747   | 1759       | 11.07 | 94.35 | 94.54            | 0.1839  | 1705    | 1715       | 10.67 |
| <i>Z</i> -4,1-ISOPOOSO <sub>2</sub> | 1747   | 1758       | 11.07 | 94.35 | 94.54            | 0.1945  | 1704    | 1715       | 10.67 |
| 1,2-TS2                             | 1736   | 1749       | 12.44 | 92.29 | 92.47            | 0.1770  | 1698    | 1710       | 11.87 |
| 4,3-TS2                             | 1736   | 1749       | 12.48 | 92.49 | 92.62            | 0.1299  | 1697    | 1709       | 11.90 |
| <i>E</i> -1,4-TS2                   | 1737   | 1750       | 12.31 | 92.74 | 92.90            | 0.1562  | 1697    | 1709       | 11.74 |
| <i>E</i> -4,1-TS2                   | 1720   | 1732       | 11.93 | 91.77 | 91.96            | 0.1945  | 1675    | 1685       | 10.02 |
| <i>Z</i> -1,4-TS2                   | 1737   | 1749       | 12.33 | 92.82 | 93.01            | 0.1908  | 1697    | 1708       | 11.75 |
| <i>Z</i> -4,1-TS2                   | 1734   | 1747       | 12.41 | 92.96 | 93.13            | 0.1719  | 1695    | 1707       | 11.79 |
| <i>E</i> -1,4-complex1              | 1762   | 1771       | 9.467 | 93.37 | 93.39            | 0.02259 | 1720    | 1728       | 8.707 |
| <i>E</i> -1,4-complex2              | 1779   | 1794       | 14.99 | 92.98 | 93.20            | 0.2115  | 1735    | 1749       | 14.31 |

reported for sulphur-containing ISOPOO species; therefore, it is hard to verify which basis sets are effective. Nevertheless, several studies have suggested the effectiveness of kinetic analyses that were conducted based on the basis-set results of the extra-tight  $d$  function (CCSD(T)/aug-cc-pV(T+d)Z//M06-2X/aug-cc-pV(T+d)Z).

### Diagnostics of the electronic states of ISOPOO-related species

According to Tables S52–S55,† the open-shell radicals ( $S = 0.5$ ) of the M06-2X calculations show almost no spin contamination after annihilation of the effect (the value could be  $S(S+1) = 0.75$  when no spin contamination occurs). On the other hand, the CCSD(T) calculations exhibit weak spin contamination for the TS2 species. Additionally, only *E*-4,1-TS2 show the high value of 1.38 after annihilation. According to Tables S56 and S57 of the ESI,† all species with the exception of the TS2s meet the required  $T_1$ -diagnostic value for single-reference methods,<sup>58</sup> approximately ranging from less than 0.02 to 0.03. In terms of the TS2s apart from *E*-4,1-isomer shows a value lesser than 0.033, which meets the criterion of the  $T_1$ -diagnostic value for open-shell calculations,<sup>58</sup> and almost no multireference characteristic is expected. The results of the high-spin contamination and the high  $T_1$ -diagnostic value imply that *E*-4,1-TS2 has strong multireference characteristics and the single-point energy can be estimated by multireference wave function methods such as the Complete Active Space Second Order Perturbation Theory (CASPT2).<sup>59</sup> Nevertheless, further calculations were not conducted for *E*-4,1-TS2 in this study, because the total reaction rate of *E*-4,1-ISOPOO + SO<sub>2</sub> to form SO<sub>3</sub> and

the total existence ratio of *E*-4,1-ISOPOO in the atmosphere were expected to be low, which is discussed in the later section. A detailed analysis of the multireference characteristics will be conducted as future work.

### Potential energy diagram of the reaction of ISOPOO + SO<sub>2</sub>

The potential energy diagram of the entire reaction initiated by 1,2-ISOPOO and SO<sub>2</sub> is shown in Fig. 2(a), and that by 4,3-, *Z*-1,4-, *Z*-4,1- and *E*-4,1-ISOPOOs are shown in Fig. S10 to S13 of the ESI.† According to Fig. 2(a) and S10 to S13,† the barrier heights for the formation of TS1 by ISOPOOs, barring *E*-1,4-ISOPOO, ranged from 7.9 to 9.1 kcal mol<sup>-1</sup>. From Fig. 2(b), *E*-1,4-ISOPOO held a complex with SO<sub>2</sub>, which stabilized the total energy of the reactant system to 14.0 kcal mol<sup>-1</sup>. The barrier height from complex to TS1 rose to 12.7 kcal mol<sup>-1</sup>. Thus, ISOPOOs, barring *E*-1,4-ISOPOO, reacted with SO<sub>2</sub>; however, in terms of *E*-4,1-ISOPOO, the barrier height of TS2 was significantly higher than that of the other ISOPOOs, and less decomposition to SO<sub>3</sub> was expected. The barrier height of TS1 for the reaction of *E*-1,4-ISOPOO and SO<sub>2</sub> was ~4 kcal mol<sup>-1</sup> higher than that of other ISOPOOs owing to the formation of the complex, and the reaction was expected to be very slow. The entire reaction was exothermic. Detailed kinetics are presented in the following section.

### Results of kinetic calculations

Fig. 3 shows the rate constants calculated for the ISOPOOs + SO<sub>2</sub> reaction and the branching ratio ( $\eta$ ) of ISOPOOSO<sub>2</sub>s to form SO<sub>3</sub>,



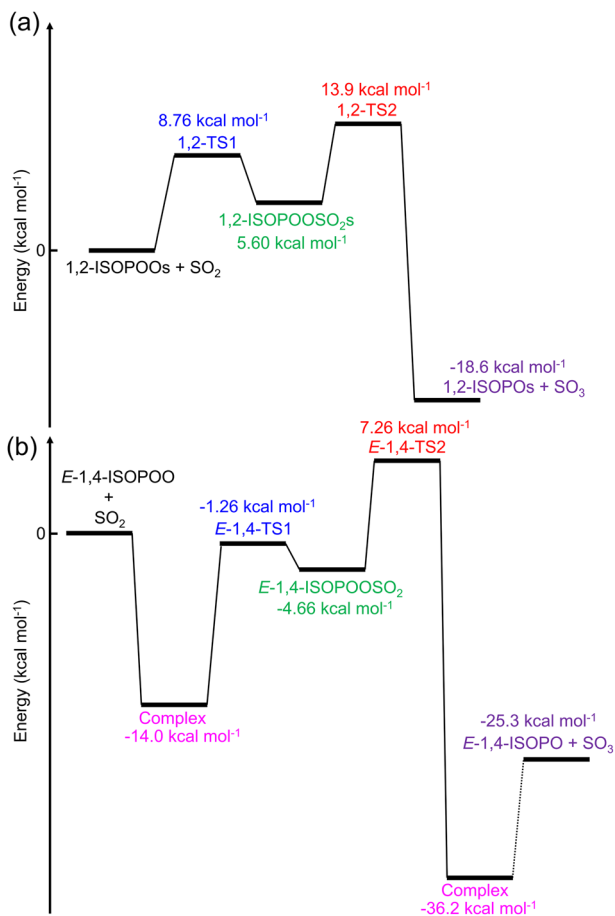


Fig. 2 Potential diagram of the entire ISOPOOs + SO<sub>2</sub> reaction obtained from the quantum chemical calculations for (a) 1,2-ISOPOO and (b) *E*-1,4-ISOPOO evaluated by CCSD(T)/aug-cc-pV(T+d)Z//M06-2X/aug-cc-pV(T+d)Z level of theory.

which were obtained by RRKM/ME calculation. The parameters of the rate constants in Arrhenius form are listed in Table S58 of the ESI.† *E*-1,4-ISOPOO showed 5 orders of lower magnitude for

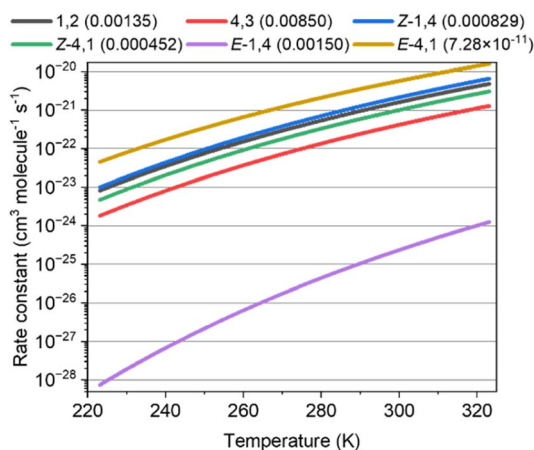


Fig. 3 Rate constants of the reaction of ISOPOOs + SO<sub>2</sub> to form ISOPOOSO<sub>2</sub>s for six isomers of ISOPOO. The value inside the parenthesis indicates the branching ratio of unimolecular reactions of ISOPOOSO<sub>2</sub>s to form SO<sub>3</sub>.

the SO<sub>2</sub> reaction at 298 K than that of other isomers, which ranged from  $\sim 10^{-22}$  to  $10^{-21}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> in standard conditions ( $T = 298$  K). Kurtén *et al.* suggested almost the same kinetic values,  $5 \times 10^{-21}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> or less for the reactions of organic peroxides and SO<sub>2</sub> of which the value was consistent with the ISOPOOs results obtained in this study.<sup>31</sup> As aforementioned, the barrier height of the *E*-1,4-ISOPOO reacting with SO<sub>2</sub> was approximately 4 kcal mol<sup>-1</sup> higher than that of the other isomers, leading to lower rate constants for the *E*-1,4-ISOPOO + SO<sub>2</sub> reaction. The branching ratios,  $\eta$ , required to form SO<sub>3</sub> by the unimolecular reactions of ISOPOOSO<sub>2</sub>s, generated from ISOPOOs + SO<sub>2</sub> reactions, are described in parenthesis in Fig. 3. All the values of the unimolecular reactions were recorded at the conditions of 298 K and 1atm. The values of  $\eta$ , barring *E*-4,1-ISOPOO, ranged from  $\sim 0.0005$  to 0.009; thus, maximally only 1% of ISOPOOSO<sub>2</sub>s decomposed to SO<sub>3</sub> and ISOPO, while the remaining ISOPOOSO<sub>2</sub>s reproduced ISOPOO and SO<sub>2</sub> by a reverse reaction due to high energy barrier of TS2, as shown in Fig. 2. The value of  $\eta$  of *E*-4,1-ISOPOO was more than approximately 7 orders of magnitude lower than that of the other isomers, implying no complete generation of SO<sub>3</sub> from *E*-4,1-ISOPOO. Thus, both *E*-1,4- and *E*-4,1-ISOPOOs were less relevant to form SO<sub>3</sub> owing to the lower rate constant of the reaction with SO<sub>2</sub> for *E*-1,4-ISOPOO and lower  $\eta$  value for *E*-1,4-ISOPOO.

## Global-scale impact of ISOPOOs and SO<sub>2</sub> reactions on the formation of sulphates

### Detail of the reaction mechanisms incorporated into the global chemical transport model

The impact of the ISOPOOs + SO<sub>2</sub> reactions on SO<sub>3</sub> formation was estimated using GEOS-Chem. Since the rate constants of the ISOPOOs + SO<sub>2</sub> reactions were low, direct incorporation of the kinetic parameters of these reactions resulted in reaching the limit of numerical error of GEOS-Chem. For this reason, we estimated the impact of the reactions *via* an indirect method, *i.e.*, by calculating the concentration of ISOPOOs and then multiplying the concentration of SO<sub>2</sub> by the rate constants in each grid to obtain the rate of SO<sub>3</sub> formation. For the estimations, the reactions related to *E*-1,4- and *E*-4,1-ISOPOOs were excluded because these two reactions were expected to be highly slower than the remaining reactions, according to the kinetic parameters described in Fig. 3. The reactions for the production of ISOPOOs from isoprene oxidation by OH were modified in this study. KPP defines ISOPOOs as IHOO1 and IHOO4, corresponding to 1(OH)-ISOPOOs and 4(OH)-ISOPOOs, which cannot be distinguished by the six geometric isomers shown in Fig. 1. In this study, IHOO1 and IHOO4 were divided into three isomers, each with a branching ratio as proposed in a previous study (1,2-ISOPOO: 0.479, 4,3-ISOPOO: 0.259, Z-1,4-ISOPOO: 0.049, Z-4,1-ISOPOO: 0.063, *E*-1,4-ISOPOO: 0.102, *E*-4,1-ISOPOO: 0.048).<sup>29</sup> All other IHOO1 and IHOO4-related reactions were substituted by the corresponding six geometric isomers.



## Results of global chemical transport modelling

The annual mean tropospheric column concentrations of (a) total concentration of the six isomers of ISOPOO (molecule  $\text{cm}^{-2}$ ), (b) rate of  $\text{SO}_3$  formation from ISOPOOs +  $\text{SO}_2$  reactions ( $r_{\text{ISOPOO}_{\text{SO}_2}} = \eta k_{\text{ISOPOO}_{\text{SO}_2}}[\text{ISOPOOs}][\text{SO}_2]$  where  $\eta$  is the branching ratio of  $\text{ISOPOO}_{\text{SO}_2}$ s to form  $\text{SO}_3$  and  $k_{\text{ISOPOO}_{\text{SO}_2}}$  is the rate constant of ISOPOOs +  $\text{SO}_2$  reactions; molecule  $\text{cm}^{-2} \text{s}^{-1}$ ) and (c) ratio of the  $r_{\text{ISOPOO}_{\text{SO}_2}}$  to the rate of  $\text{SO}_3$  formation from  $\text{OH} + \text{SO}_2$  ( $r_{\text{OH}_{\text{SO}_2}}$ ) (%) are shown in Fig. 4. Here, OH was chosen to compare the rate of  $\text{SO}_2$  oxidation because OH is the most relevant oxidiser of  $\text{SO}_2$  in the atmosphere, which accounts for around 50% of total  $\text{SO}_2$  oxidation.<sup>9,60</sup> The annual mean tropospheric column concentrations of isoprene,  $\text{SO}_2$ , NO and  $\text{HO}_2$  are described in Fig. S14 to S17 of the ESI,<sup>†</sup> respectively. According to Fig. 4(a) and S14,<sup>†</sup> the distribution of ISOPOOs is condensed in high-isoprene regions such as the Amazon rainforests, Central Africa and Australia. On the other hand, according to Fig. 4(b),  $r_{\text{ISOPOO}_{\text{SO}_2}}$  is high not only in the high-isoprene regions, but also in highly polluted regions such as China, India, Middle Eastern regions and the north to middle regions of the American continent due to high  $\text{SO}_2$  concentrations in those regions. Finally, according to Fig. 4(c), the ratio of

$r_{\text{ISOPOO}_{\text{SO}_2}}/r_{\text{OH}_{\text{SO}_2}}$  is maximally  $\sim 10^{-6}\%$  in the Amazon rainforests and Southeast Asian countries, which indicates that  $\text{SO}_3$  formation from the ISOPOOs +  $\text{SO}_2$  reactions is almost negligible, and that the reactions may not contribute to  $\text{SO}_4^{2-}(\text{p})$  formation in the real atmosphere. The reason for the negligible contribution of the ISOPOOs +  $\text{SO}_2$  reactions towards  $\text{SO}_3$  formation is the low ISOPOOs concentrations caused by the scavengers of ISOPOOs such as NO,  $\text{HO}_2$  and other organic peroxy radicals, and by the low-rate constants of entire reaction paths. Isoprene is the most popular VOC on a global scale, and ISOPOOs are the most relevant organic peroxy radicals generated from isoprene and OH reaction. However, according to the results of this study, the direct oxidation of  $\text{SO}_2$  by ISOPOOs is almost negligible. This indicates that other organic peroxy radicals generated by the different VOCs may also not contribute to  $\text{SO}_3$  and  $\text{SO}_4^{2-}(\text{p})$  formations in the global-scale atmosphere.

## Summary

The reaction kinetics of six isomers of ISOPOOs and  $\text{SO}_2$  are evaluated using microcanonical kinetic calculations coupled with quantum chemical calculations. The results show that the reaction barriers of five isomers, 1,2-, 4,3-, Z-1,4-, Z-4,1- and E-4,1-ISOPOOs, are sufficient to form intermediate products,  $\text{ISOPOO}_{\text{SO}_2}$ s, with rate constants ranging from  $\sim 10^{-27}$  to  $\sim 10^{-20} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ . The branching ratio of  $\text{ISOPOO}_{\text{SO}_2}$ s to form  $\text{SO}_3$  by unimolecular decomposition is estimated as maximally as 0.0085, implying the expected formulation of only a small amount of  $\text{SO}_3$ . Furthermore, the contribution of E-1,4- and E-4,1-ISOPOOs to sulphate aerosol formation is expected to be almost negligible owing to the low-rate constant of  $\text{ISOPOO}_{\text{SO}_2}$  (E-1,4-ISOPOO) formation and the low branching ratio of  $\text{SO}_3$  (E-4,1-ISOPOO) formation. The results of the global chemical transport modelling suggest that the rates of the ISOPOOs +  $\text{SO}_2$  reactions are relatively higher in high- $\text{SO}_2$  and high-isoprene regions. Nevertheless, the contribution of the ISOPOOs +  $\text{SO}_2$  reactions to form  $\text{SO}_3$  was estimated to be eight orders of magnitude lower than that from the  $\text{OH} + \text{SO}_2$  reactions, which indicated negligible effects of ISOPOOs to  $\text{SO}_4^{2-}(\text{p})$  formation in the real atmosphere.

## Author contributions

Hiroo Hata proposed the research concept, conducted kinetic analysis and global-chemical transport modelling, wrote the draft paper, and Kenichi Tonokura supervised and checked the work for accuracy.

## Conflicts of interest

There are no conflicts to declare.

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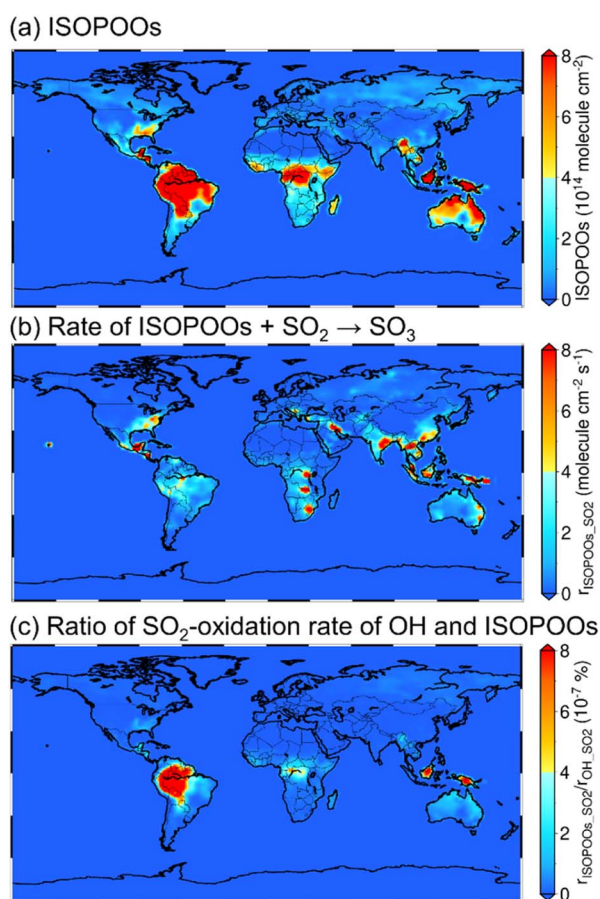


Fig. 4 Calculated results of the whole-year average of (a) total column-concentration of ISOPOOs, (b) rate of ISOPOOs +  $\text{SO}_2$  reactions to form  $\text{SO}_3$ , and (c) ratio of the oxidation rate of  $\text{SO}_2$  by ISOPOOs to the oxidation rate of  $\text{SO}_2$  by OH.



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