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#### Introduction

## EtOH-mediated cascade C(sp<sup>3</sup>)–H alkylation *via* aromatization-driven [1,6]-hydride transfer: green and divergent synthesis of spirocyclic azepino [4,3,2-*cd*]indoles<sup>†</sup>

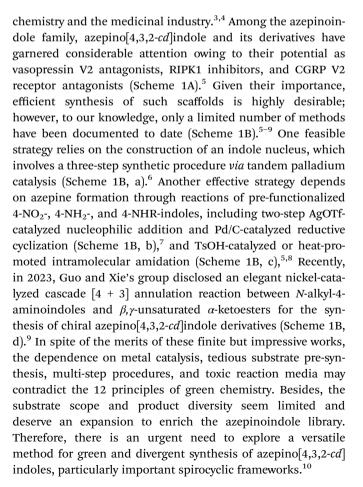
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The development of green and efficient methods for the construction of azepinoindole skeletons remains highly desirable yet challenging. Described herein are the EtOH-mediated cascade  $C(sp^3)$ -H alkylation reactions of 4-dialkylamino-indole-3-carbaldehydes for green and divergent synthesis of spirocyclic azepino[4,3,2-*cd*]indole derivatives. This protocol proceeded through a cascade *in situ* assembly of prearomatics/aromatization-driven [1,6]-hydride transfer/cyclization sequence, which exhibited many advantages such as green bio-sourced EtOH as the reaction medium, metal-free and redox-neutral conditions, high step-/atom-economy, water as waste, high yields, excellent diastereoselectivities (up to >20 : 1 dr), a wide substrate scope, and diverse transformations.

Nowadays, green synthetic chemistry has emerged as a prevailing research field, which advocates 12 principles of less hazardous synthesis, atom-/step-economy, benign solvents, waste prevention, and so on.<sup>1</sup> The 'Green ChemisTREE',<sup>1c</sup> presented by Anastas in 2018, vividly showcased a wide range of research areas stemming from green chemistry. For example, non-metal catalysis and C–H bond functionalization align with the principle of less hazardous synthesis, while one-pot cascade reactions meet the principles of atom-/step-economy and waste prevention. The bio-sourced ethanol (EtOH) satisfies the principle of benign solvents.<sup>2</sup> In view of the escalating concern about sustainability, the design of green synthetic methods, such as EtOH-mediated metal-free C–H functionalization cascade reactions, for the synthesis of high value-added molecules is undoubtedly appealing.

Azepinoindoles, which combine the privileged structures of azepine and indole, are attractive targets in both synthetic

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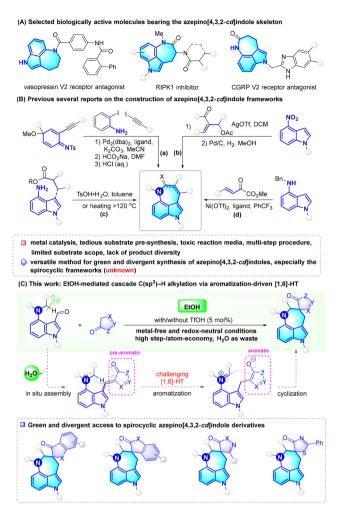


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Scheme 1 Background and outline of this work.

Direct  $C(sp^3)$ -H functionalization enabled by redox-neutral [1,*n*]-hydride transfer (HT) represents an atom-/step-economic strategy for achieving molecular diversity.<sup>11</sup> The past decade has witnessed the endeavours of many reputable groups in exploring [1,n]-HT-involved  $\alpha$ -C(sp<sup>3</sup>)-H functionalization of amines for N-heterocycle synthesis.<sup>12-14</sup> However, in contrast to the well-developed synthesis of spirocyclic tetradroquinolines via [1,5]-HT, the access to spirocyclic azepinoindoles via [1,6]-HT is still unknown. The main reason is the lack of a class of suitable substrates that can meanwhile assemble both 7-membered azepinoindole and spirocycle via HT mode. In this context, we designed 4-dialkylamino-indole-3-carbaldehydes as versatile platform molecules and put forward EtOHmediated aromatization-driven cascade C(sp<sup>3</sup>)-H functionalization reactions for green and divergent synthesis of spirocycazepino[4,3,2-cd]indole derivatives (Scheme 1C). As lic depicted, the transformation was expected to proceed through the in situ assembly of pre-aromatics, aromatization-driven [1,6]-HT, and cyclization steps. Of note, both [1,6]-HT and the final azepine formation are spatially unfavourable, posing a challenge for the proposal. As a continuous journey in onestep assembly of bioactive molecules *via* the HT strategy,<sup>12</sup> the

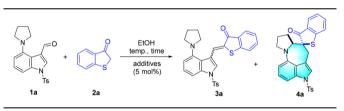
research findings on this subject are presented herein. This methodology featured green bio-sourced EtOH as the solvent, metal-free and redox-neutral reaction conditions, high step-/ atom-economy, water as waste, and a wide substrate scope.

#### **Results and discussion**

1-Thioaurones, characterized by their inherent tendency towards aromatization, are reactive pre-aromatic substrates that participate in various aromatization-driven cycloaddition reactions.<sup>15</sup> Therefore, we commenced our exploration by performing the model reaction between 4-(pyrrolidin-1-yl)-1-tosyl-1*H*-indole-3-carbaldehyde **1a** and benzo[*b*]thiophen-3(2*H*)-one 2a in EtOH (Table 1). It was found that 1-thioaurone 3a was readily afforded in 96% yield at 40 °C (Table 1, entry 1), which confirmed our assumption of in situ assembly of pre-aromatics. When the reactions were performed at higher temperatures, to our delight, the expected benzothiophen-3-one spirocyclic azepino[4,3,2-cd]indole derivative 4a was obtained in up to 73% yield with an excellent diasteromeric ratio (dr) of >20:1 (Table 1, entries 2-4). To improve the reaction efficiency, several protonic acids including PhCO<sub>2</sub>H (benzoic acid), MsOH (methanesulfonic acid), p-TSA·H<sub>2</sub>O (p-toluenesulfonic acid monohydrate), and TfOH (triflic acid) were screened (Table 1, entries 6-8). It was found that using 5 mol% TfOH resulted in the production of 4a in 90% yield (Table 1, entry 8). In addition, the elevation of the molar amount of 2a to 0.3 mmol led to a comparative yield (Table 1, entry 9).

After the establishment of optimized reaction conditions, we turned to investigate the substrate scope by employing a

Table 1 Optimization of the reaction conditions<sup>a</sup>



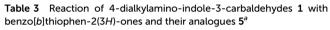
Yield (%)

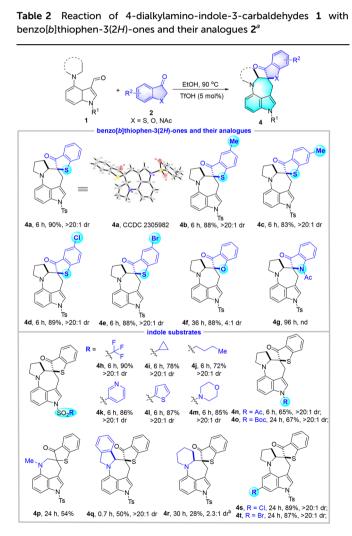
Entry	Additives	Temp. (°C)	Time (h)		
				3a	4a
1	_	40	1	96	0
2		60	72	97	0
3	_	90	72	53	42
4	_	120	12	0	73
5	$PhCO_2H$	90	72	45	45
6	MsOH	90	21	0	81
7	p-TSA·H <sub>2</sub> O	90	35	0	79
8	TfOH	90	6	0	90
$9^b$	TfOH	90	6	0	90

<sup>*a*</sup> Reaction conditions: **1a** (0.20 mmol), **2a** (0.24 mmol), and solvent (2 mL) at the given temperature for the indicated time. Isolated yields after column chromatography. The dr value of **4a** was >20:1, which was determined by NMR analysis. PhCO<sub>2</sub>H = benzoic acid, MsOH = methanesulfonic acid, *p*-TSA·H<sub>2</sub>O = *p*-toluenesulfonic acid monohydrate, and TfOH = triflic acid. <sup>*b*</sup> **2a** (0.30 mmol).

wide range of indole substrates 1, benzo[b]thiophen-3(2H)ones and their analogues 2 (Table 2). For the variation of benzo[b]thiophen-3(2H)-ones, both electron-donating groups such as Me and electron-withdrawing groups such as Cl and Br on the phenyl ring were well tolerated, and products 4b-4e were delivered in high vields (83%-89%) with excellent diastereoselectivities (>20:1 dr). Benzofuran-3(2H)-one was also a competent candidate for the reaction and gave 4f in 88% yield, albeit with a moderate dr value of 4:1. However, 1-acetylindolin-3-one was found to be incompatible with this system, and the expected 4g was not detected upon the consumption of 1a. Afterwards, various indole substrates 1 were screened. In detail, N-sulfonyl indole-3-carbaldehydes that carry trifluoromethyl, cyclopropyl, n-butyl, pyridyl, thienyl, and morpholinyl substituents were well compatible and provided products 4h-4m in 72%-90% yields and excellent diastereoselectivities (>20:1 dr). The N-Ac and N-Boc substrates were also shown to be feasible to give rise to 4n and 4o in moderate yields. Besides pyrrolidine, both dimethylamine and isoindoline were demonstrated as viable hydride donors, allowing the synthesis of 4p and 4q in good yields. The challenging piperidine was also a competent donor to trigger the [1,6]-HT, although product 4r was afforded in 28% yield with 2.3 : 1 dr at 110 °C. This could be rationalized by the conformational difference. Moreover, substrates that bear Cl and Br groups at the benzene ring of the indole scaffold reacted to furnish 4sand 4t with good results. Notably, the relative configuration of 4a was unambiguously assigned by X-ray crystallography analysis.

In order to further broaden the substrate scope, we examined the reaction of 1a with benzo[*b*]thiophen-2(3*H*)-one 5a under the same conditions as above (Table 3). Satisfyingly, the





TfOH (5 mol%) X = S, O, NMe CCDC 2352432 >20.1 di 60%, 2.4:1 6h, 48 h, 76%, 1.2:1 dr<sup>b</sup> 6i, 48 h, 81%, 4:1 dr<sup>b</sup> 6j, 48 h, 80%, 1.5:1 dr<sup>b</sup> 6k, 48 h, 86%, 10:1 dr<sup>b</sup> 6m, 5 h, 95% 3.1 dr 6n. 5 h. 88% 60, 5 h, 90% >20:1 dr 6p, 5 h, 79% >20:1 dr 6q, 24 h, 91% 6s. 24 h. 87%. >20:1 dr 6t, 24 h, 90%, >20:1 di 6r, 22 h, 84%, 1.4:1 dr

<sup>*a*</sup> Reaction conditions: **1** (0.20 mmol), **2** (0.24 mmol), TfOH (5 mol%), and EtOH (2 mL) at 90 °C for the indicated time. Isolated yields after column chromatography. The dr was determined by NMR analysis. <sup>*b*</sup> At 110 °C.

<sup>*a*</sup> Reaction conditions: **1** (0.20 mmol), **5** (0.24 mmol), TfOH (5 mol%), and EtOH (2 mL) at 90 °C for the indicated time. Isolated yields after column chromatography. The dr was determined by NMR analysis. <sup>*b*</sup> **1a** (0.3 mmol) and **5** (0.2 mmol) at 110 °C. <sup>*c*</sup> At 100 °C.

#### Paper

expected benzothiophen-2-one spirocyclic azepino[4,3,2-cd] indole derivative 6a was successfully accessed in 95% yield with an excellent dr value of >20:1. The structure of 6a was confirmed by X-ray crystallography. Then, various benzo[b] thiophen-2-ones and their analogues 5 as well as selected indole substrates 1 were investigated. Remarkable tolerance to different functional groups was exhibited in this EtOH system. For instance, the introduction of an electron-donating group (Me) or an electron-withdrawing group (Cl and Br) into the phenyl ring of benzo[b]thiophen-2-ones did not influence the reaction efficiency but resulted in much lower diastereoselectivities (6b-6d). Benzofuran-2(3H)-one was also found to be an ideal substrate and gave the desired 6e with excellent diastereoselectivity (>20:1 dr). Moreover, in view of the significance of the spirocyclic oxindole skeleton in both synthetic and medicinal industries,16 1-methylindolin-2-ones that incorporate Me, Cl, and Br groups were tested, which proved competent to furnish spirocyclic oxindoles 6f-6k in 60%-86% yields. Nevertheless, the introduction of substituents, regardless of their electronic effects or positions, led to reduced dr values (1.2:1-16:1). Various N-sulfonyl and N-Ac indoles could survive the reaction conditions and gave 61-6p in good to high yields (79%-95%). In addition, the acyclic 4-dimethylaminyl indole substrate was well-tolerated, affording the corresponding product 6q in 91% yield, although a higher reaction temperature was required. The substrate with a piperidine motif reacted smoothly and provided 6r in 84% yield, albeit with 1.4:1 dr. The introduction of Cl and Br groups into the indole motif had little effect on the reactions, and products 6s and 6t were synthesized with high yields and excellent diastereoselectivities.

Subsequently, we investigated the reaction between 3-phenylisoxazol-5(4H)-one 7a and 4-(pyrrolidin-1-yl)-1-tosyl-1Hindole-3-carbaldehyde 1a (Scheme 2). It was found that the pre-aromatic 8a could be readily afforded in 99% yield in EtOH at 40 °C after 1.5 h. After adjusting the reaction temperature to 60 °C under additive-free conditions, the isoxazolone spirocyclic azepino[4,3,2-cd]indole derivative 9a, to our gratification, was produced with high efficiency (90% yield, 1.2:1 dr).

Afterwards, the generality of this reaction was studied (Table 4). A series of isoxazol-5(4H)-ones 7 bearing electrondonating (MeO and Me) and electron-withdrawing (CF<sub>3</sub>, NO<sub>2</sub>, F, Cl, and Br) groups on the phenyl ring were subjected to the reactions with 1a. The expected isoxazolone spirocyclic azepino[4,3,2-cd]indole derivatives 9b-9h were furnished in

Scheme 2 Conditions employed for the reaction of isoxazol-5(4H)-one 7a with indole substrate 1a.

99% vield

nd

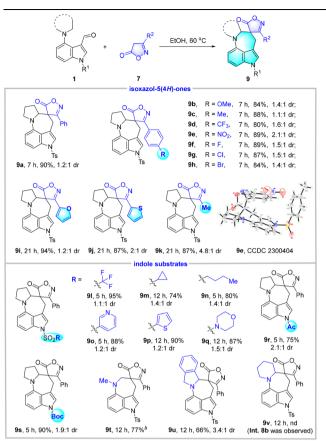
nd

90% yield, 1.2:1 dr

temp., time

1a:7a = 1:1 2

Table 4 Reaction between 4-dialkylamino-indole-3-carbaldehydes 1 and isoxazol-5(4H)-ones 7<sup>a</sup>



<sup>a</sup> Reaction conditions: 1 (0.20 mmol), 7 (0.24 mmol), and EtOH (2 mL) at 60 °C for the indicated time. Isolated yields after column chromatography. The dr was determined by NMR analysis. <sup>b</sup> At 100 °C.

80%-89% yields with 1.1:1-2.1:1 dr. The structure of product 9e has been confirmed by X-ray diffraction analysis. Furyl- and thienyl-substituted isoxazolones were also found to be suitable substrates to give products 9i and 9j in high yields. In addition, 3-methylisoxazol-5(4H)-one was examined, which afforded the desired 9k in 87% yield with a moderate dr value of 4.8:1. For indole substrates that incorporate diverse N-sulfonyl, N-Ac, and N-Boc groups, good to excellent yields (74%-95%) were obtained for 91-9s, which indicated the robustness of this method. Moreover, indole substrates that contain the motifs of dimethylamine and isoindoline at the C4 position successfully engaged in cascade C(sp<sup>3</sup>)-H alkylation to give 9t and 9u in good yields. When the substrate bearing the piperidine moiety was employed, the intermediate 8b was observed and could be isolated; however, we failed to detect and isolate the desired product 9v due to the messy reaction system. Of note, the diastereoselectivity of this transformation was not so satisfactory in spite of its advantages such as excellent functional group tolerance and high yields.

Edaravone (10a), 5-methyl-2-phenyl-2,4-dihydro-3*H*-pyrazol-3-one, is a kind of free radical scavenger used for protecting

7a Initial conditions; EtOH, 40 °C, 1.5 h, no additives

Optimized conditions: EtOH, 60 °C, 7 h, no additives

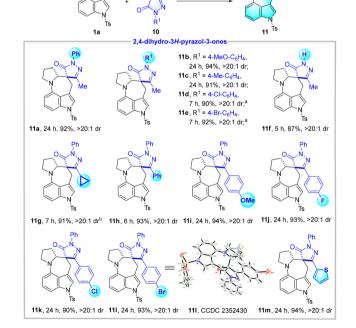
1a

mammalian brains.<sup>17</sup> The functionalization of such a molecule is of great interest for exploring bioactive drugs. When the reaction between 1a and edaravone 10a was conducted under the same conditions as above, the desired edaravone spirocyclic azepino[4,3,2-cd]indole derivative 11a was successfully delivered in 92% yield with excellent diatereoselectivity (>20:1 dr). With this exciting result in hand, we then screened a broad range of edaravone derivatives 10 (Table 5). The N-aryl pyrazolones 10b-10e that bear MeO, Me, Cl, and Br groups could smoothly participate in the transformations and generated the expected 11b-11e in high yields (90%-94%) with excellent diatereoselectivities (>20:1 dr). In addition, the N-free substrate was applicable to afford 11f in 87% yield with >20:1 dr. Upon changing  $R^2$  from the methyl to the cyclopropyl group, the conversion occurred at a higher temperature of 80 °C. Moreover, pyrazolones that contain electron-rich and electron-deficient phenyl and thienyl groups were well tolerated and gave 11h-11m in 90%-94% yields with excellent diatereoselectivities (>20:1 dr). The relative configuration of **11l** has been unambiguously determined by X-ray diffraction analysis.

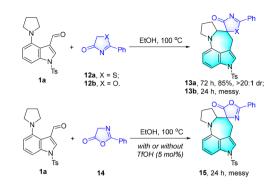
In addition, other substrates, including 2-phenylthiazol-4 (5*H*)-one **12a**, 2-phenyloxazol-4(5*H*)-one **12b**, and 2-phenyloxazol-5(4*H*)-one **14**, were investigated to react with **1a** (Scheme 3). Pleasingly, the expected thiazolone spirocyclic azepino[4,3,2-cd]indole derivative **13a** was furnished in 85% yield with excel-

 
 Table 5
 Reaction of 4-(pyrrolidin-1-yl)-1-tosyl-1H-indole-3-carbaldehyde 1a with edaravone derivatives 10<sup>a</sup>

FtOH 60 °C



<sup>*a*</sup> Reaction conditions: **1a** (0.20 mmol), **10** (0.24 mmol), and EtOH (2 mL) at 60 °C for the indicated time. Isolated yields after column chromatography. The dr was determined by NMR analysis. <sup>*b*</sup> At 80 °C.

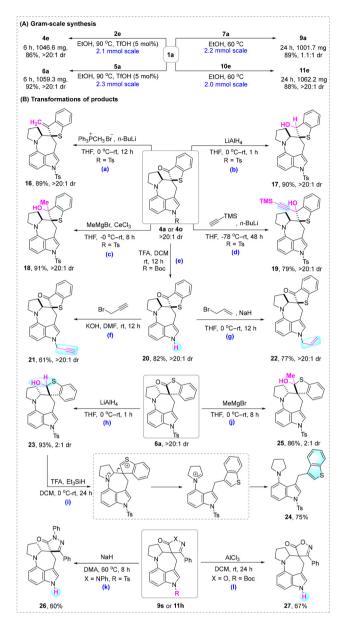


Scheme 3 Investigation of other substrates.

lent diastereoselectivity (>20:1 dr). However, messy reaction systems were observed for substrates **12b** and **14**, and we failed to obtain the desired products **13b** and **15**.

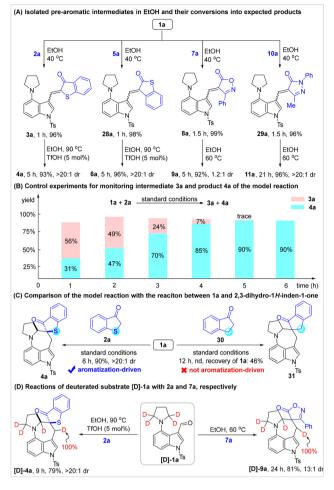
In order to demonstrate the synthetic utility of the developed EtOH-mediated cascade C(sp<sup>3</sup>)-H alkylation, gram-scale syntheses and transformations of products were performed (Scheme 4). As shown in Scheme 4A, the preparation of 4e, 6a, 9a, and 11e on a gram scale could be achieved in high yields with the maintenance of diastereoselectivities. Various derivatization reactions are depicted in Scheme 4B. The Wittig reaction of 4a gave rise to alkene 16 in 89% yield (Scheme 4B, a), while the reduction of 4a by LiAlH<sub>4</sub> furnished alcohol 17 in 90% yield (Scheme 4B, b). The Grignard reactions of 4a gave tertiary alcohols 18 and 19 in high yields (Scheme 4B, c and d). The treatment of 40 with TFA (trifluoroacetic acid) in DCM afforded the N-H free product 20 (Scheme 4B, e), which could be further functionalized into 21 and 22 via N-propargylation and N-allylation (Scheme 4B, f and g). Surprisingly, the reduction of 6a by LiAlH<sub>4</sub> afforded the stable thiohemiacetal 23 in 93% yield (Scheme 4B, h), which could undergo an interesting cascade acid-catalyzed dehydration/aromatizationdriven carbocation rearrangement/reduction reaction to provide 3-(benzo[b]thiophen-3-ylmethyl)-indole 24 in 75% yield (Scheme 4B, i). Moreover, the nucleophilic addition of MeMgBr resulted in the successful formation of thiohemiketal 25 in 86% yield (Scheme 4B, j). The N-H products 26 and 27 could be accessed via N-Ts and N-Boc deprotection (Scheme 4B, k and l).

To gain insights into the reaction mechanism, mechanistic studies were conducted (Scheme 5). As clearly shown in Scheme 5A, the proposed pre-aromatic intermediates **3a**, **28a**, **8a**, and **29a** were efficiently assembled in EtOH at 40 °C and isolated in nearly quantitative yields. These pre-aromatics could successfully convert into the expected products **4a**, **6a**, **9a** and **11a** under the corresponding standard conditions. These results confirmed the *in situ* generation of pre-aromatic intermediates and their capability to undergo cascade C(sp<sup>3</sup>)– H alkylation for synthesizing spirocyclic azepino[4,3,2-cd] indoles. Then, control experiments were performed to monitor the formation of intermediate **3a** and product **4a** in the model reaction (Scheme 5B), which provided further confirmation for the assembly of pre-aromatics and their subsequent conver-



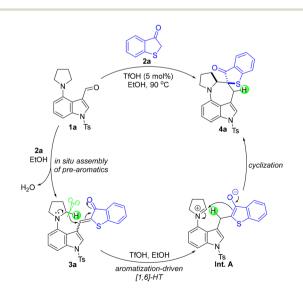
Scheme 4 Gram-scale synthesis and derivatization of products.

sions. Furthermore, the comparison of the model reaction with the control reaction between **1a** and 2,3-dihydro-1*H*-inden-1-one **30** was investigated under the standard conditions (Scheme 5C). It was found that no desired spirocyclic product **31** was detected in the control reaction, thus strongly supporting our proposal that aromatization acted as the driving force to facilitate and enable the cascade  $C(sp^3)$ -H alkylation reaction. In addition, deuterated labelling experiments were carried out using the reactions of the deuterated substrate [D]-1a with **2a** and **7a**, respectively (Scheme 5D). Remarkably, the complete transfer (100%) of the [D]-label to the benzylic position of the indole was observed, which provided compelling evidence for the occurrence of the intramolecular [1,6]-HT step.



Scheme 5 Mechanistic studies.

Based on the above experimental results, a plausible reaction mechanism was proposed for the synthesis of **4a** (Scheme 6). As illustrated, the EtOH-promoted condensation



Scheme 6 Proposed mechanism

between 4-(pyrrolidin-1-yl)-1-tosyl-1*H*-indole-3-carbaldehyde **1a** and benzo[*b*]thiophen-3(2*H*)-one **2a** achieved the *in situ* assembly of pre-aromatic 1-thioaurone **3a**, with the release of  $H_2O$  as waste. Aided by the catalytic amount of TfOH in EtOH, the aromatization-driven intramolecular [1,6]-HT of **3a** occurred and yielded aromatic **Int. A**, which finally underwent intramolecular cyclization to afford product **4a**.

#### Conclusions

In conclusion, the EtOH-mediated cascade C(sp3)-H alkylation reactions of well-designed 4-dialkylamino-indole-3-carbaldehydes have been realized in this work. The green and divergent synthesis of spirocyclic azepino[4,3,2-cd]indole derivatives, including benzothiophenone, benzofuranone, oxindole, isoxazolone, pyrazolone, thiazolone, and oxazolone spirocyclic azepino[4,3,2-cd] indole derivatives, was realized with good to high yields and excellent diastereoselectivities (up to >20:1 dr). The gram-scale synthesis and derivatization of products demonstrated the synthetic application potential of this methodology. The mechanistic studies proved that the transformation proceeded through a cascade in situ assembly of pre-aromatics/aromatization-driven [1,6]-HT/cyclization process. Many advantages of this protocol were exhibited, such as green bio-sourced EtOH as the reaction medium, metal-free and redox-neutral conditions, H<sub>2</sub>O as waste, high yields, excellent diastereoselectivities, a wide substrate scope, high step-/atom-economy, gram-scale synthesis, and diverse transformations. We were optimistic that this method would provide some inspiration for both green synthetic chemistry and drug discovery.

#### Data availability

The authors confirm that the data supporting the findings of this study are available within the article and its ESI.<sup>†</sup>

### Conflicts of interest

There are no conflicts to declare.

#### Acknowledgements

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