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# Engineering Maxwell–Wagner relaxation and interface carrier confinement in Al<sub>2</sub>O<sub>3</sub>/TiO<sub>2</sub> subnanometric laminates for high-density energy storage applications†

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The Al<sub>2</sub>O<sub>3</sub>/TiO<sub>2</sub> nanolaminates (ATA NLs), with the dominant Maxwell–Wagner interfacial polarization, have been extensively explored in last decade due to their potential for new-generation energy storage applications. Here, we report the fabrication of device-grade sub-nanometric (<1 nm) ATA NLs using an optimized pulsed laser deposition technique, where the interface-confined carrier relaxation and sublayer conductivity contrast-induced Maxwell–Wagner interfacial polarization mechanism was engineered by precisely tailoring the individual Al<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> sublayer thickness along with the top-bottom capping layer thickness. The formation of oxygen vacancy-generated carriers in reduced titania sublayers across Al<sub>2</sub>O<sub>3</sub>/TiO<sub>2</sub> heterointerfaces and their relative response towards the applied field were responsible for both charge storage and leakage. An NL with a TiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub> sublayer thickness of ~1 and 0.6 nm, respectively, sandwiched between ~3 nm Al<sub>2</sub>O<sub>3</sub> barrier layers, has demonstrated an improved capacitance density of ~33.1 fF μm<sup>-2</sup> and a high cut-off frequency up to ~0.5 MHz, along with a low dielectric loss of ~0.032 and a reduced leakage current density of ~3.08 × 10<sup>-7</sup> A cm<sup>-2</sup> at 1 V. The calculated energy density value of ~4.6 J cm<sup>-3</sup> achieved with this optimized subnanometric Al<sub>2</sub>O<sub>3</sub>/TiO<sub>2</sub> laminate is comparable to those of state-of-the-art capacitive devices. These superior electrical properties and controllable dielectric relaxation make this laminate a promising high-*k* and low-loss dielectric material for next-generation nano-electronics and high-density energy storage capacitors.

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## 1. Introduction

Rechargeable energy storage capacitors are vital components of electric vehicles, portable electronics and computing systems,

for which a dielectric material with high dielectric constant (*k*) and breakdown strength (*E<sub>b</sub>*) values is necessary.<sup>1,2</sup> In the last decade, the pursuit of an alternative high-*k* and low loss (tan δ) material for such storage devices, using a simple fabrication process, and cost reduction remained as main objectives of the scientific community. Recently, polymer-based composites like PVDF with BaTiO<sub>3</sub> and TiO<sub>2</sub> nanofibers displayed a high energy storage density (*U*) of ~20 J cm<sup>-3</sup>, however with a limited working temperature regime.<sup>3,4</sup> On the other hand, although inorganic ceramics are capable of tolerating high working temperature, the *U* is <3 J cm<sup>-3</sup>, owing to their very low *E<sub>b</sub>*.<sup>5,6</sup> Since dielectric thin films possess much higher *E<sub>b</sub>* and display a good temperature stability relative to bulk ceramics, these are considered as the most suitable materials for energy storage applications.<sup>7</sup> Although several binary oxide thin film laminates of Al<sub>2</sub>O<sub>3</sub>, ZrO<sub>2</sub>, ZnO and HfO<sub>2</sub> have been investigated, as alternative high-*k* dielectric materials,<sup>8–10</sup> the Maxwell–Wagner (M–W) relaxation-driven high-*k* values in Al<sub>2</sub>O<sub>3</sub>/TiO<sub>2</sub> nanolaminates (ATA NLs) have recently opened up a channel for new generation energy storage applications.<sup>8,9</sup> Typically, this M–W relaxation process in interface polarization-dominated multilayer dielectric materials solely depends on the charge carrier concentration and their mobility.<sup>8,11,12</sup> Meanwhile, the

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† Electronic supplementary information (ESI) available: The interfacial analysis of representative ATA NLs is presented. Comparison of performance parameters of our optimised TiN/ATA NL/TiN capacitors with the previously reported NLs in the literature is also illustrated (PDF). Fig. S1. (a) Measured XRR curves for three representative ATA NLs deposited on a TiN coated Si substrate with Y-offsets. (b) Measured and fitted XRR profiles of [1A-1T-1A] NL grown on a TiN coated Si substrate and the depth variation of the electron density profile is displayed. (c) High-resolution transmission electron microscopy image and (d) the intensity variation profile of a representative [0.8A-0.8T-0.8T] NL. Table S1 Structural parameters of [0.8A-0.8T-0.8T] NL deposited on Si and TiN coated Si substrates extracted from best fit results of XRR data. Table S2: Comparison of the performance parameters of our PLD grown TiN/ATA NL/TiN capacitors with previously reported NLs in the literature. See DOI: <https://doi.org/10.1039/d4lf00125g>

dielectric permittivity and the interface confined carrier relaxation time ( $\tau$ ) are mainly decided by the relative thickness/size of conducting and resistive elements as well as the interface density.<sup>8,9,13,14</sup>

In our previous report, we demonstrated the scope of engineering the interfacial polarization effect in pulsed laser deposited (PLD) ATA NLs by tailoring the sublayer conductivity contrast.<sup>9</sup> The best dielectric performance was obtained from the NL with a total stack thickness ( $d$ ) of  $\sim 60$  nm and a bilayer thickness ( $t_{\text{bl}}$ ) of  $\sim 1.6$  nm (*i.e.*  $\sim 0.8$  nm sublayer thickness ( $t_{\text{s}}$ ) for both  $\text{Al}_2\text{O}_3$  and  $\text{TiO}_2$  sublayers). However, further scaling down to the subnanometric level, the NLs suffered from degradation of dielectric constant, cut-off frequency ( $f_c$ ) and  $E_b$  values along with a high dielectric loss ( $\tan \delta$ ) and high leakage current density ( $J_{\text{leak}}$ ) issues.<sup>9</sup> This scaling down-assisted dielectric and electric property degradation in the subnanometric regime is also observed in laminates of different material combinations, which hinders the processing of these NLs in commercial device applications.<sup>9,15,16</sup> Recently, a few approaches have been made to reduce the carrier loss in NLs by inserting an insulating interfacial layer across the NL/electrode interface; however, most of them lack an explicit investigation regarding their influence on carrier dynamics and dielectric properties.<sup>16–19</sup> Furthermore, the role of interface defect states and their interdiffusion in the carrier relaxation and an analytical testing or evaluation of the energy storage properties have not yet been addressed. Since most of the storage applications demand a simultaneous requirement of high- $k$ , low  $\tan \delta$  and reduced  $J_{\text{leak}}$  values,<sup>8,9</sup> a detailed investigation towards further improvement in areal capacitance density (capacitance ( $C$ )/area ( $A$ )) and reduction in charge carrier loss in these ATA NL superlattice structures will be highly beneficial.

In relation to this scientific goal, here we report the deposition and characterization of device grade ATA NLs using optimized PLD growth conditions, wherein the interface confined carrier relaxation and M–W interfacial polarization process in subnanometric layers have been tailored by precisely controlling the sublayer and capping layer thicknesses. The experimental protocol reported here is designed to tailor the M–W relaxation assisted dielectric properties and subsequently to improve the storage performance of ATA NL-based nanocapacitors, by tailoring the interface confined carrier relaxation time ( $\tau$ ) and sublayer conductivity contrast. As an important part of the research, we have investigated the effect of  $\text{Al}_2\text{O}_3$  top-bottom barrier layer thickness on carrier loss and leakage properties. In this work,  $\text{Al}_2\text{O}_3$  layers of different thicknesses varying between 1 and 5 nm were inserted across both top and bottom electrode/ATA-NL interfaces, to minimize carrier losses and leakage while maintaining a high- $k$  value up to a higher  $f_c$  limit. The optimized ATA NL-based capacitors with a 3 nm  $\text{Al}_2\text{O}_3$  capping layer have displayed a superior  $C/A$  of  $\sim 33.2$  fF  $\mu\text{m}^{-2}$ , low loss and reduced  $J_{\text{leak}}$  of  $\sim 3.08 \times 10^{-7}$  A  $\text{cm}^{-2}$  at 1 V along with a higher breakdown voltage of  $\sim 0.65$  MV  $\text{cm}^{-1}$ . The investigation protocols, in this work, adopted for engineering the carrier relaxation and transport properties across  $\text{Al}_2\text{O}_3/\text{TiO}_2$  interfaces can be implemented for other

binary metal oxide combinations in NL structures and the obtained results may open up new avenues for next-generation nanoelectronics and energy storage capacitors.

## 2. Experimental details

### 2.1 Synthesis of ATA NLs and device fabrication

A KrF excimer laser-based optimized PLD system was implemented to fabricate  $\text{Al}_2\text{O}_3/\text{TiO}_2$  nanolaminates (ATA NLs) with an alternating sublayer thickness ( $t_{\text{s}}$ ) varying between 0.4 to 1.2 nm, while maintaining a fixed NL stack ( $d$ ) and bilayer thickness ( $t_{\text{bl}}$ ) of 60 nm and  $\sim 1.6$  nm, respectively. The substrate temperature, oxygen partial pressure, substrate–target separation and pulse repetition rate were maintained at  $\sim 300$  °C, 0.01 mbar, 4.5 cm and 5 Hz, respectively, during the growth of these NLs. Specifically, the laser fluence was maintained close to the ablation threshold (*i.e.*  $\sim 0.5$  J  $\text{cm}^{-2}$ ) to reduce the interface intermixing effect in NLs, which may likely arise from the bombardment of incoming energetic ablated particles. The inference of these optimized growth parameters for these NLs was taken from our previous work.<sup>9</sup> The NLs were deposited on sapphire, n-Si (100), and TiN film coated Si substrates with surface r.m.s roughness values of  $\sim 0.5$  nm and  $\sim 1.1$  nm, respectively.

For convenience, the NL with an  $\text{Al}_2\text{O}_3$  sublayer thickness ( $t_{\text{A}}$ ) and  $\text{TiO}_2$  sublayer thickness ( $t_{\text{T}}$ ) of  $\sim 0.6$  nm and 1 nm, respectively, is labelled 0.6A-1T-0.6A NL and the NLs capped with  $\text{Al}_2\text{O}_3$  top and bottom barrier layers of 1, 3, and 5 nm thicknesses are denoted as 1A/(0.6A-1T-0.6A)/1A, 3A/(0.6A-1T-0.6A)/3A and 5A/(0.6A-1T-0.6A)/5A NL, respectively. The dielectric and electrical characterization of the as-grown NLs was carried out in a metal–insulator–metal (MIM)-based capacitor (MIMCAP) configuration, where the RF-magnetron sputtered top-bottom conducting TiN ( $\sim 300$   $\mu\Omega$  cm) circular electrodes of  $\sim 50$  nm thickness and  $\sim 200$   $\mu\text{m}$  diameter were defined using a stainless-steel shadow mask. For  $\sim 60$  nm thick NL-based MIMCAPs, the empty cell (air) capacitance ( $C_0 = \epsilon_0 A/d$ ) becomes  $\sim 5.6$  pF.

### 2.2 Characterization methods

The interface quality of these NLs was examined using Cu-K $\alpha$  (8.05 keV) radiation-based X-ray reflectivity (XRR) measurements. To obtain the thickness ( $d$ ), electron density ( $\rho_{\text{el}}$ ) and interfacial roughness ( $\sigma$ ) of different sublayers in ATA NLs, the measured XRR curves are successfully modelled and fitted using MATLAB program-based “REFLEX” software.<sup>8</sup> The long-range thickness uniformity of individual sublayers in the NL was revealed by using a cross-sectional transmission electron microscopy (TEM) system (Philips CM 200 TEM) operated at 200 keV. To investigate the change in the band gap and any quantum confinement effect in the as-grown NLs, the UV-visible transmission spectroscopy measurements of the NLs were carried out using a spectrophotometer (make: Lab-India) in the wavelength range of 600 nm to 190 nm.

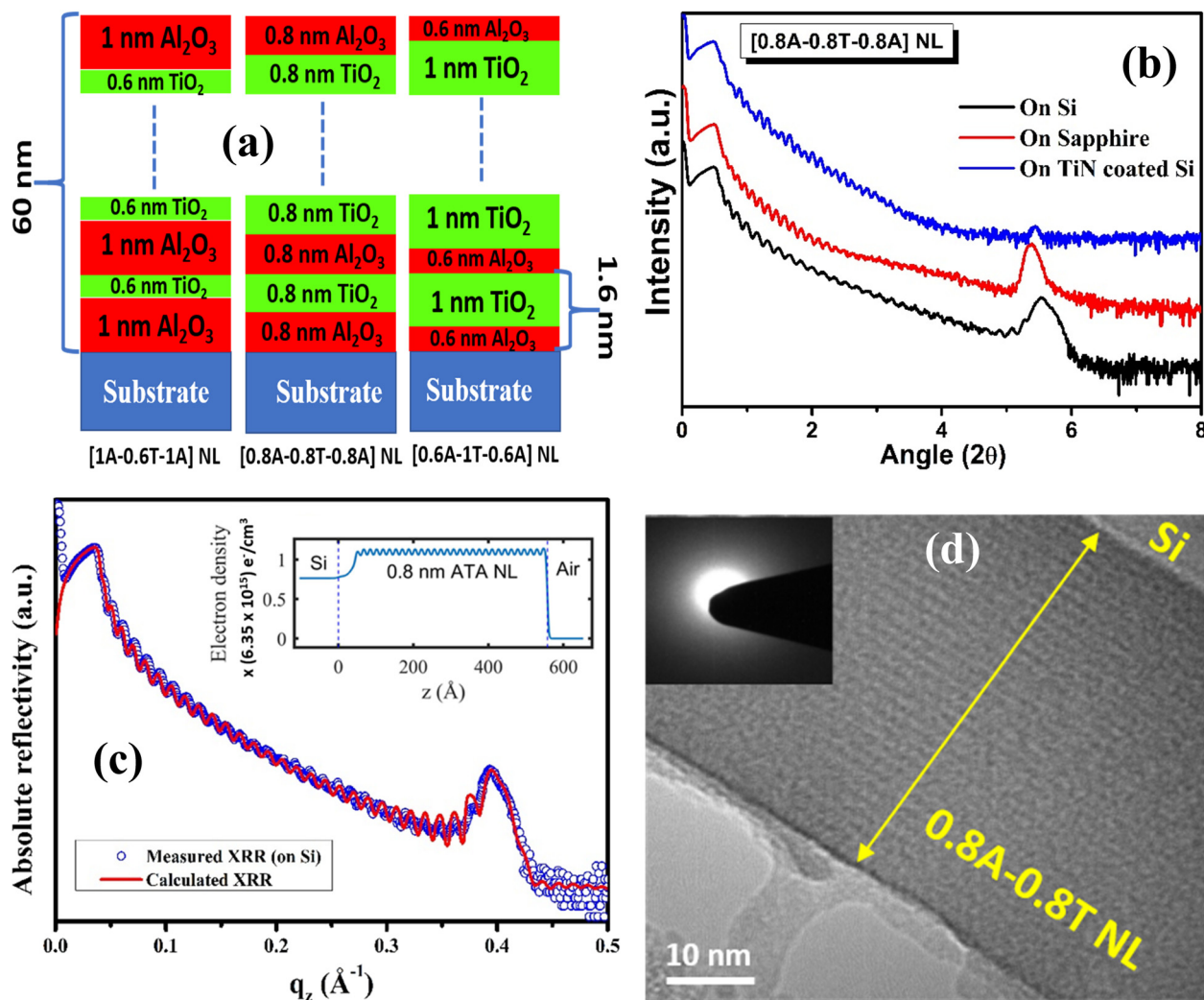
To find out the type of defect states and their concentration in these NLs, X-ray photoemission spectroscopy (XPS) measurements



were performed using an Al K $\alpha$  source with  $\sim 1486$  eV photon energy and 0.5 eV energy resolution. In order to verify the results from XPS measurements, the valence band resonant photoemission spectroscopy (VB-RPES) measurements of a representative 0.8A-0.8T NL were performed at the Beamline-10 (BL-10) of Indus-2, RRCAT, India. The beamline (BL-10) details can be found elsewhere.<sup>20</sup> Prior to measurements, the samples were electrically grounded using silver contacts and Ar<sup>+</sup> ion sputtering at 1.5 kV and 6.0 mA for  $\sim 1$  min was performed to clean the sample surface. A hemispherical electron energy analyser SPECS Phoibos 150, with a typical energy resolution of 40 meV at 90 eV photon energy, was used to record the high-resolution photoemission spectra. The VB spectra were collected in the angle integrated mode and normalized to the mirror current recorded during the measurement. The binding energy calibration was performed with the Ag 3d lines and Fermi edge using the standard procedure.<sup>20,21</sup> The VB-RPES spectra were recorded across the Ti 2p-3d and Al 2p-

3s transition *i.e.* at  $\sim 458.5$  eV and 74.3 eV photon excitation energies, respectively. The typical energy resolution around the Ti 2p-3d resonance is 200 meV and around the Al 2p-3s resonance is 35 meV. All the measurements were performed at room temperature and in an ultrahigh vacuum of  $7 \times 10^{-11}$  mbar. The measured XPS spectra were deconvoluted using XPS PeakFit 4.11 software, whereas the inelastic background of the core levels was subtracted using the Shirley method.<sup>22</sup>

The frequency-dependent dielectric measurements of NL-based MIMCAPs were performed in the range from 10 to  $10^6$  Hz using an impedance analyzer (Solartron make: SI-1206) with 100 mV applied AC bias. To understand the influence of  $t_s$  on the M-W interfacial polarization mechanism and the carrier relaxation time, temperature dependent dielectric measurements were carried out in the range of 303–363 K, at an interval of 10 K and with an accuracy of  $\pm 1$  K. The temperature was controlled using an Angstrom Sun PID controlled heater (Ang-A1205)



**Fig. 1** a) Schematic of three representative NLs labelled 1A-0.6T-1A NL, 0.8A-0.8T-0.8A NL, and 0.6A-1T-0.6A NL, where the number of interfaces, total stack thickness, and bilayer thicknesses were kept constant at 38, 60 nm, and 1.6 nm, respectively. (b) Measured XRR profiles, with Y-offsets, of a representative ATA NL with 0.8 nm sublayer thickness deposited on TiN coated Si, sapphire, and Si substrates. (c) Fitted XRR spectra of the representative 0.8T-0.8A NL deposited on TiN coated Si and the inset displays the corresponding EDP. (d) Cross-sectional TEM image of [0.8A-0.8T-0.8A] NL deposited on Si. The selected area electron diffraction pattern is depicted in the inset.





combined with a chiller. The current-voltage measurements of these MIMCAPs were carried out using a source measurement unit (Keithley 2636B).

### 3. Results and discussion

#### 3.1 Role of $\text{Al}_2\text{O}_3$ and $\text{TiO}_2$ sublayer thickness

We have fabricated five different ATA NLs with the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  sublayer thicknesses varying between  $\sim 1.2$  and  $0.4$  nm, whereas the ' $d$ ' and ' $t_{\text{bl}}$ ' are kept fixed at  $\sim 60$  nm and  $1.6$  nm, respectively. These 5 ATA NLs are labelled [1.2A-0.4T-1.2A] NL, [1A-0.6T-1A] NL, [0.8A-0.8T-0.8A] NL, [0.6A-1T-0.6A] NL, and [0.4A-1.2T-0.4A] NL, wherein the denotations, *e.g.* [1A-0.6T-1A] NL, represent an ATA NL with a  $t_{\text{A}}$  of  $\sim 1$  nm and  $t_{\text{T}}$  of  $\sim 0.6$  nm, respectively. The schematic in Fig. 1(a) illustrates three representative NLs with an increasing order of  $\text{TiO}_2$  content (from left to right), *i.e.* [1A-0.6T-1A] NL, [0.8A-0.8T-0.8A] NL, and [0.6A-1T-0.6A] NL.

To verify the artificial periodic structure in the as-grown ATA NLs, XRR measurements of a representative ATA NL with  $0.8$  nm sublayer thickness grown on TiN coated Si, sapphire and Si substrates are carried out and the measured XRR profiles are depicted in Fig. 1(b) with  $Y$ -offsets. The main purpose of growing NLs on Si and sapphire was to investigate their interfacial and optical properties, whereas the growth of NLs on the TiN coated Si substrate was intended to construct the MIM capacitor structure for dielectric and electrical characterization. The presence of Bragg peaks in the XRR profiles is clearly indicating the maintained distinct layer feature in [0.8A-0.8T-0.8A] NL grown on all three substrates. The relative reduction in Bragg peak intensity observed for the NL grown on TiN coated Si, as compared to the bare Si and sapphire substrate, indicates slightly higher interface intermixing, which is likely due to the propagation of TiN layer roughness into the subsequent sublayers of the NL.<sup>8</sup> Furthermore, the Bragg peak intensity drastically reduced for lower  $t_{\text{s}}$  values, which indicates higher interface roughness and enhanced interface intermixing. The observed Bragg peak broadness indicates a slight aperiodicity in the bottom and topmost bilayer thickness of the NL, likely due to slight non uniformities across these substrates arising from the narrow angular distribution profile of the PLD plasma plume. To calculate the measured XRR profiles, a small aperiodicity in  $t_{\text{s}}$  values and a  $\text{Si-SiO}_2\text{-(Al}_2\text{O}_3\text{-TiO}_2\text{)}_{30}\text{-Al}_2\text{O}_3$  based multilayer model, mimicking the NL structure, are implemented. An excellent match between the measured and calculated XRR profiles for [0.8A-0.8T-0.8A] NL can be observed in Fig. 1(c). The alternating alumina and titania sublayer growth in the NL structure can be confirmed from the electron density profile (EDP), illustrated in the inset of Fig. 1(c). The best fit results including the calculated aperiodicity, layer thickness, densities and interface width for 0.8A-0.8T NL grown on Si and TiN coated Si obtained from XRR curve fitting are summarized in Table S1 of the ESI.<sup>†</sup>

Cross-sectional transmission electron microscopy (TEM) measurement of a representative 0.8A-0.8T NL, grown on Si

substrates, is carried out to demonstrate the controllability of optimized PLD parameters in growing subnanometric layers and to validate the multilayer periodicity in the as-grown NLs. The distinctive layer structure, shown in Fig. 1(d), confirms the long-range sublayer thickness uniformity and distinct interfaces even in the subnanometric regime. From the intensity distribution profiles, as depicted in Fig. S1(b) of the ESI,<sup>†</sup> the measured  $t_{\text{s}}$  values for  $\text{Al}_2\text{O}_3$  and  $\text{TiO}_2$  sublayers are measured to be  $\sim 0.79$  and  $0.81$  nm, respectively, which indicate the control in the deposition of subnanometric layers using the optimized PLD technique. The absence of any discernable crystalline phases in the selected area electron diffraction (SAED) pattern of the 0.8A-0.8T NL, shown in the inset of Fig. 1(d), confirms the amorphous nature of the deposited subnanometric layers. The finite interface interdiffusion observed from the TEM images also corroborates with the XRR results.

The impedance spectroscopy measurements of the five as-grown TiN/ATA-NL/TiN-based MIMCAPs are carried out to examine the effect of variation in  $t_{\text{T}}$  and  $t_{\text{A}}$  on the interfacial and dielectric properties. The schematic of one of the MIMCAPs is depicted in the inset of Fig. 2(a), and the top view of an actual device structure is shown in the inset of Fig. 2(b). It is relevant to discuss a few key observations from the frequency dispersed  $\epsilon_{\text{r}}$  and  $\tan \delta$  spectra, as depicted in Fig. 2(a) and (b), respectively. From a cursory overview of frequency dispersed  $\epsilon_{\text{r}}$  and  $\tan \delta$  spectra, as depicted in Fig. 2(a) and (b), respectively, the  $\epsilon_{\text{r}}$  plateau,  $\tan \delta$  valley, and the  $f_{\text{c}}$  values are found to be directly proportional to  $t_{\text{T}}$  and inversely proportional to  $t_{\text{A}}$  values up to a certain sublayer thickness limit. The  $\epsilon_{\text{r}}$  plateau and  $\tan \delta$  values demonstrate a monotonous increment from  $\sim 260$  to  $720$  and  $\sim 0.31$  to  $0.7$  with an increase in  $t_{\text{T}}$  from  $0.4$  to  $1$  nm and a simultaneous decrease in  $t_{\text{A}}$  from  $1$  to  $0.4$  nm, respectively. Since the total number of interfaces of these ATA NLs is kept constant at  $\sim 76$ , the variation in dielectric contributions is independent of interface density and is solely decided by the change in sublayer conductivity contrast.

Furthermore, with the increase in  $\text{TiO}_2$  content, the M-W interfacial carrier relaxation frequency peak positions in frequency dispersed  $\tan \delta$  plots are found to shift towards the high frequency side, as shown by arrow marks in Fig. 2(b). This clearly indicates an improvement in the semiconducting nature of titania sublayers with the increase in  $\text{TiO}_2$  content up to  $\sim 63\%$  in a given bilayer, which is possibly due to the increase in the  $\text{Ti}^{3+}$  and  $\text{O}_{\text{v}}$  defect generated carrier concentration.<sup>8,13</sup> This observation concludes that the concentration of charge carriers in the ATA NLs is increasing with  $t_{\text{T}}$ , which is increasing the sublayer conductivity contrast assisted M-W interfacial polarization and resulting monotonous increment in  $\epsilon_{\text{r}}$  values. On the other hand, due to a fixed  $t_{\text{bl}}$  of  $\sim 1.6$  nm, the  $\text{Al}_2\text{O}_3$  barrier layers are simultaneously getting thinner with an increase in  $\text{TiO}_2$  content, hence resulting in an increase in the  $\tan \delta$  values. We can also observe that with an increase in  $t_{\text{T}}$  up to  $1$  nm, the cut-off frequency ( $f_{\text{c}}$ ) for high  $k$  values of 0.6A-1T-0.6A NL extends up to  $\sim 3 \times 10^5$  Hz. With an increase in  $\text{TiO}_2$ /



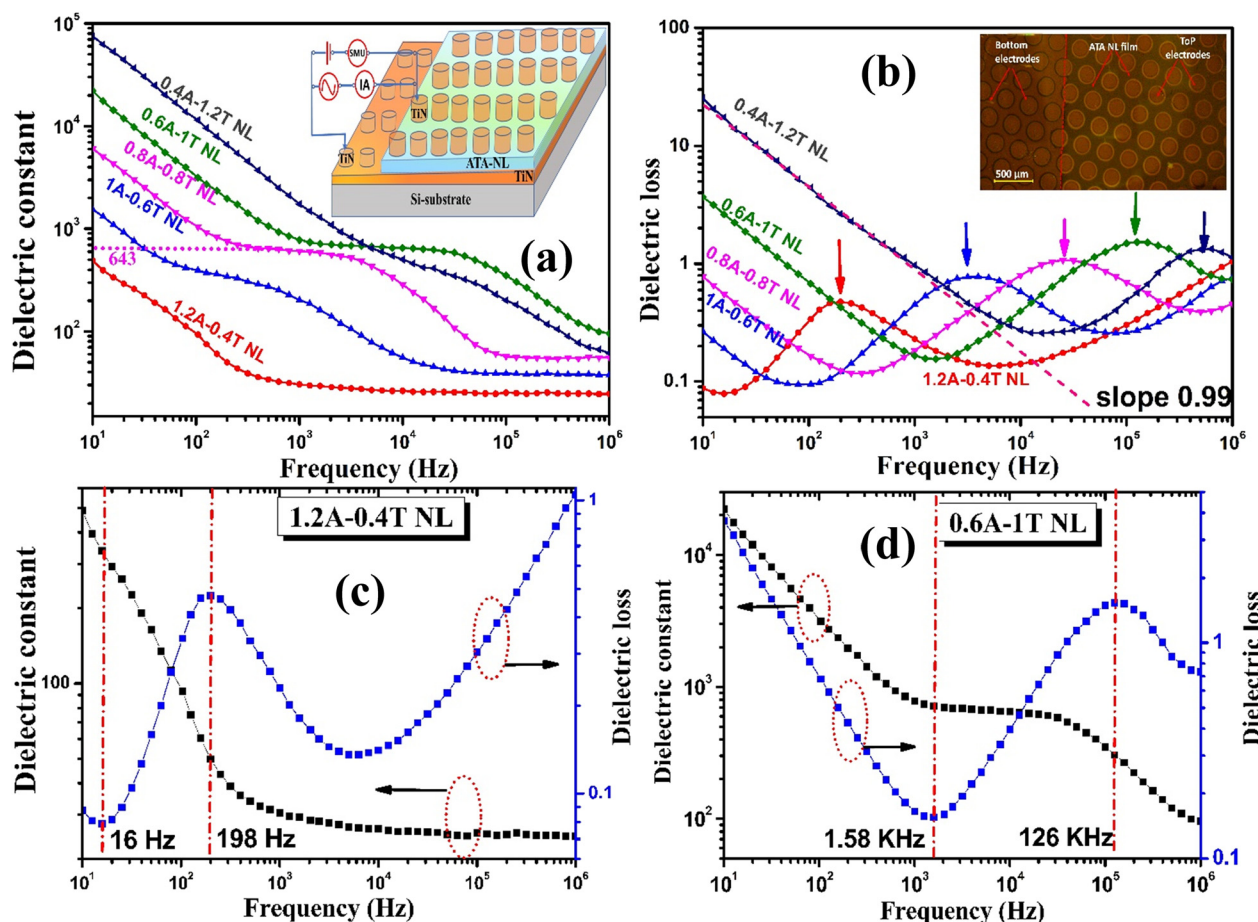


Fig. 2 (a) and (b) The measured frequency dispersed  $\epsilon_r$  and  $\tan \delta$  profiles for different ATA NLs with  $t_T/t_A$  varying between 0.33 and 3. The corresponding insets show the schematic of the TiN/ATA NL/TiN based MIM capacitor configuration and the actual device image with TiN top-bottom electrodes. (c) and (d) The combined  $\epsilon_r$  and  $\tan \delta$  profiles for [1.2A-0.4T-1.2A] NL and [0.6A-1T-0.6A] NL, respectively. The area confined by vertical red dashed lines, where the  $\epsilon_r$  is increasing and  $\tan \delta$  is decreasing, indicates the working frequency regime for ATA NL-based energy storage capacitors.

$\text{Al}_2\text{O}_3$  content up to  $\sim 66.3\%$ , the increase and decrease in  $f_c$  and  $\tau$  values, respectively, can be attributed to the increase in carrier concentration of  $\text{TiO}_2$  layers. Meanwhile, further reduction in  $t_T$  down to  $\sim 0.4$  nm leads to trend reversal in  $f_c$  values, possibly due to the enhanced interface intermixing of sublayers. With an increase in  $t_T$  from  $\sim 0.4$  to 1 nm, there is an improvement in the working frequency domain from 16 Hz to 198 Hz in 1.2A-0.4 T NL and 1.58 KHz to 126 KHz in 0.6A-1T NL, as depicted in Fig. 2(c) and (d). The above observations strongly support that the  $\epsilon_r$  and  $f_c$  values of these ATA NLs are mainly dependent on the amount of charge carriers in the oxygen deficient  $\text{TiO}_2$  ( $\text{TiO}_{2-\delta}$ ) sublayers. Meanwhile, the  $\tan \delta$  and  $J_{\text{leak}}$  values rely on the insulating nature of the  $\text{Al}_2\text{O}_3$  barrier layers. Furthermore, at room temperature, the M-W relaxation process and hence the dielectric properties of these PLD-grown ATA NLs can be tailored by only varying individual sublayer thicknesses. Since the dielectric measurements for all the NL samples were carried out at room temperature, the change in  $\tau$  and  $f_c$  is definitely not a thermally induced effect.

To investigate the effect of the relative variation in  $t_A$  and  $t_T$  on the carrier relaxation and transport mechanism in the

aforesaid NLs, the temperature dependent dielectric measurements are carried out in a temperature range from  $\sim 303$  to 373 K. The frequency dispersed  $\epsilon_r$  and  $\tan \delta$  profiles of a few representative NLs, labelled 1A-0.6T NL, 0.8A-0.8T NL, 0.6A-1T NL, are depicted in Fig. 3(a)–(c) and in their corresponding insets, respectively. With an increase in temperature, the shift in the  $\epsilon_r$  profile and the relaxation peaks towards the high frequency side indicates the thermally activated nature of this M-W relaxation process. The two sets of relaxation peaks observed in the  $\tan \delta$  vs.  $f$  plots support the M-W relaxation in these NLs and the decrease in  $\tau$  for interface confined carriers at elevated temperature is owing to the increase in charge carrier mobility. With an increase in temperature, the  $\tan \delta$  values of 1A-0.6T NL initially increased and saturated at  $\sim 353$  K, whereas the trend reversed with further increase in temperature to 373 K. A higher Al interdiffusion into the  $\text{TiO}_2$  sublayer is expected, with an increase in temperature, owing to the smaller Al cation size as compared to Ti.<sup>3,4,9</sup> Additionally, an abrupt increase in the measured  $\epsilon_r$  and  $\tan \delta$  values for 0.6A-1T NL is observed around 363 K, as shown in Fig. 3(c), and is likely owing to the thermal



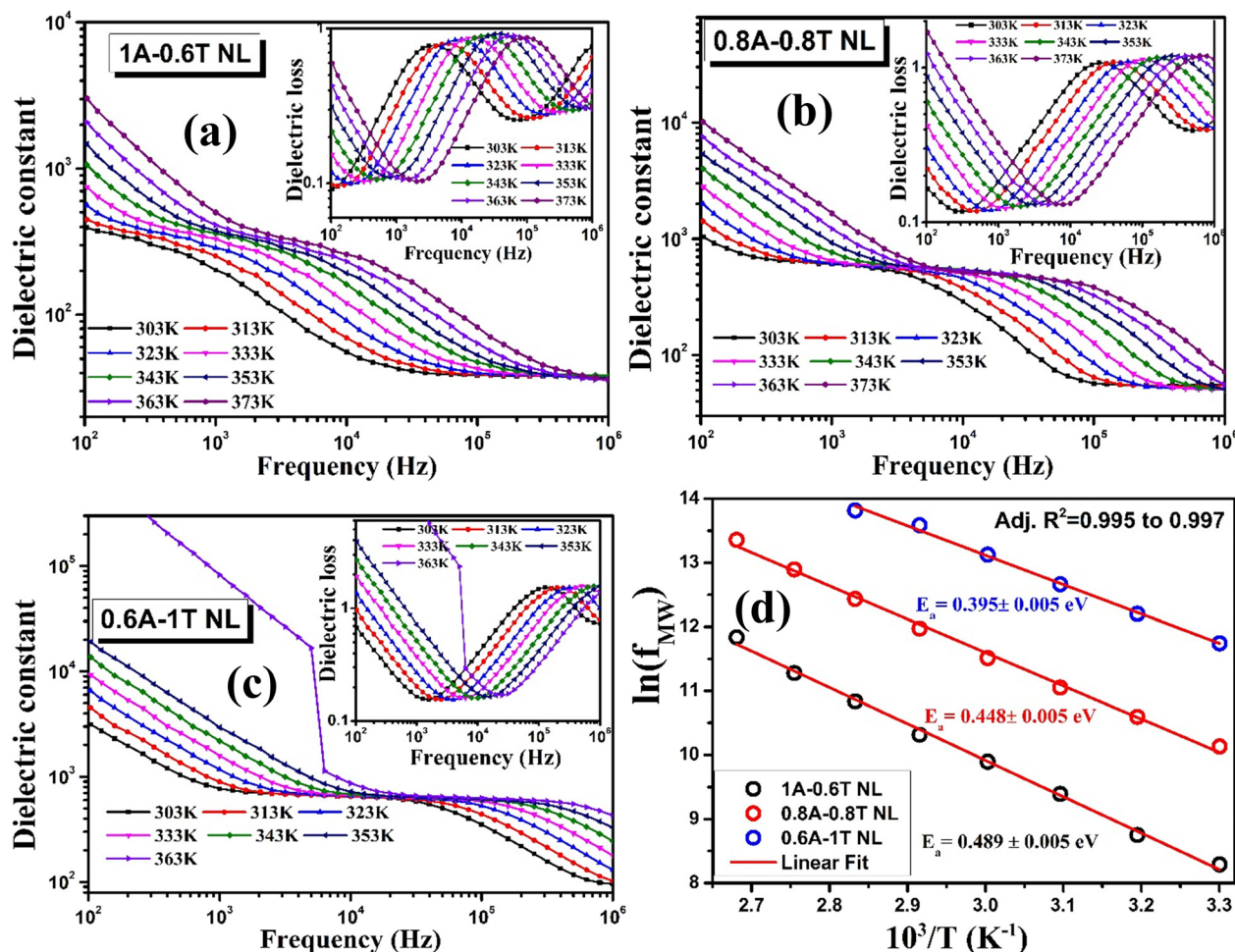


Fig. 3 Frequency dispersed  $\epsilon_r$  and  $\tan \delta$  spectra for (a) 1A-0.6T NL, (b) 0.8A-0.8T NL and (c) 0.6A-1T NL measured in a temperature range from 303 K to 373 K are depicted in the main figure and inset, respectively. (d) Arrhenius linear curve fitting for M-W relaxation peak position variation with experimental temperature with adj.  $R^2$  values close to unity.

soft breakdown of thinner  $\text{Al}_2\text{O}_3$  barrier layers ( $\sim 0.6$  nm).<sup>9</sup> This observation further indicates that decreasing the  $t_{\text{Al}}$  below 0.6 nm severely degrades the charge holding capability, which subsequently deteriorates the M-W relaxation assisted dielectric properties. The reciprocal temperature variation of the M-W relaxation peak positions is linearly fitted using the Arrhenius equation,  $f(T) = f_0 \exp(-E_a/K_B T)$ , as shown in Fig. 3(d), and the activation energies ( $E_a$ ) for interfacial carrier relaxation is calculated to be in the range from  $\sim 0.395$  to  $0.489$  eV. This  $E_a$  values are closely matching with the literature reported activation energy values of oxygen vacancy (OV) defect states in  $\text{TiO}_2$ , and it can be assigned responsible for M-W relaxation mechanism in ATA NLs.<sup>8</sup>

The X-ray photoelectron spectroscopy (XPS) measurement of a representative 0.8A-0.8T NL was carried out using Al  $k_{\alpha}$  ( $\sim 1486$  eV) photon energy, to verify the role of OV related defect states in the origin of M-W relaxation induced enhanced dielectric properties in these ATA NLs. O 1s core level spectra are deconvoluted into four peaks, as depicted in Fig. 4(a), where the 1st and 3rd peaks around  $529.7 \pm 0.1$  eV and  $531.15 \pm 0.1$  are assigned to the lattice oxygens in Ti-O and Al-O

bonds, respectively. The shoulder peaks observed around  $530.45 \pm 0.1$  and  $532.2 \pm 0.1$  eV are assigned to OV related defect states in  $\text{TiO}_2$  sublayers and surface adsorbed oxygen in the form of C-O & O-H bonds on the top  $\text{Al}_2\text{O}_3$  sublayer (labelled as Al-O-OH), respectively.<sup>8</sup> The recorded Ti 2p and Al 2p core level scans and their deconvoluted spectra are depicted in Fig. 4(b) and the inset, respectively. The Ti core level peak is deconvoluted into two sets of spin orbit splitting Ti  $2p_{3/2}$  and Ti  $2p_{1/2}$  peaks, corresponding to  $\text{Ti}^{4+}$  and  $\text{Ti}^{3+}$  states, with a peak separation of  $\sim 5.9$  and  $\sim 5.78$  eV, respectively. The presence of both  $\text{Ti}^{4+}$  and  $\text{Ti}^{3+}$  states in the Ti 2p core level deconvoluted spectra confirms the OV induced reduced titania ( $\text{Ti}_2\text{O}_3$ ) phase, in the  $\text{TiO}_2$  sublayers of 0.8A-0.8T NL.<sup>13</sup> These defect states, as a source of high concentration unpaired electrons, are known to improve the conductivity of thin  $\text{TiO}_2$  sublayers making them n-type semiconducting in nature. The deconvoluted spectra of high-resolution Al 2p core levels and the corresponding binding energy (BE) positions, shown in the inset of Fig. 4(b), clearly indicate the absence of any Al related defect states except an Al-O bonding and Al-OH related surface contamination contribution.<sup>8</sup>



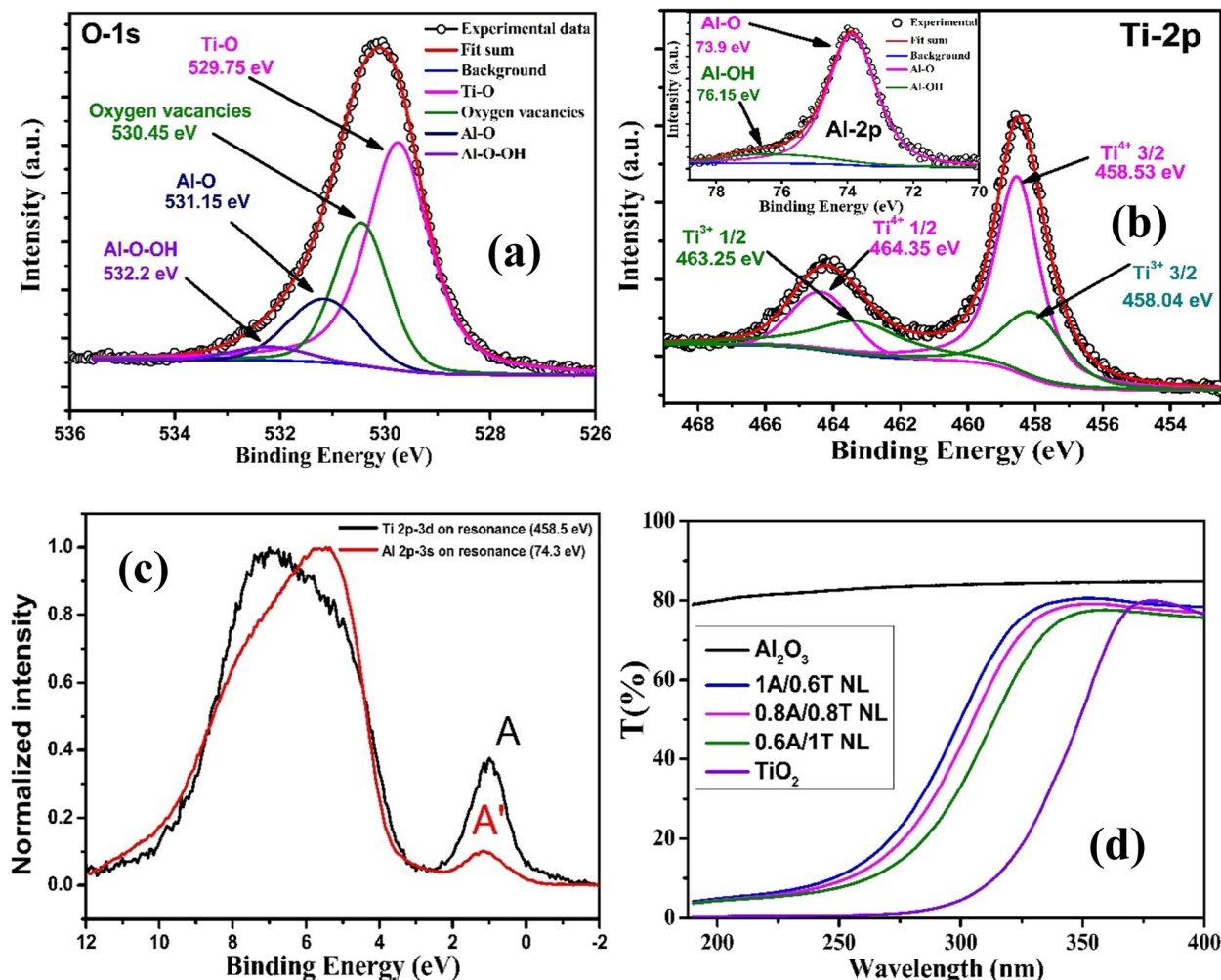


Fig. 4 The measured and deconvoluted (a) O 1s, (b) Ti 2p, (inset) Al 2p core levels XPS spectra of 0.8A-0.8T NL, (c) the recorded Al 2p-3s and Ti 2p-3d VB-RPES spectra of 0.8A-0.8T NL at the resonance photon excitation energy of  $\sim 458.5$  eV and  $74.3$  eV respectively. (d) Transmission vs. wavelength spectra of  $\sim 60$  nm  $\text{TiO}_2$ ,  $\text{Al}_2\text{O}_3$  film and for three  $\sim 60$  nm thick representative NLs, i.e. 1A/0.6T, 0.8A/0.8T and 0.6A/1T NL.

Furthermore, VB-RPES measurements of a representative 0.8A-0.8T NL are performed to identify the source of defect states through a detailed understanding of the sublayer electronic structure and the recorded spectra are shown in Fig. 4(c). In the Ti 2p to 3d VB-RPES spectra,  $\sim 1$  eV below the Fermi level, the appearance of the in-gap-state (IGS) feature 'A' clearly signifies the presence of OV defect states as trap centers for available charge carriers.<sup>23–25</sup> The appearance of IGS A' in the Al 2p-3s RPES spectra and its close matching of the BE positions with those of feature A indicate the Al 3s and Ti 3d states' hybridization effect due to finite sublayer interface intermixing in the 0.8A-0.8T NL, as verified from XRR and cross-sectional TEM measurements.<sup>26</sup>

Additionally, one more important aspect can be observed from Fig. 3(d), i.e. the increment in  $E_a$  values from  $\sim 0.395$  to  $0.489$  eV with an increase in  $\text{Al}_2\text{O}_3$  barrier layer thickness from  $0.6$  to  $1$  nm and decrease in  $\text{TiO}_2$  quantum well (QW) thickness from  $1$  to  $0.6$  nm. This increasing trend in  $E_a$  with an increase in  $t_A$  can be explained by considering NLs as multiple QW structures and a strong quantum confinement

effect in this 1A-0.6T NL.<sup>27</sup> To verify this effect, UV-VIS transmission spectroscopy measurements of the aforesaid three representative NLs, grown on sapphire substrates, were carried out and are depicted in Fig. 4(b). With an increase in  $t_A$  from  $0.6$  to  $1$  nm and a simultaneous decrement in the  $t_T$  value from  $1$  to  $0.6$  nm, a blue shift in the measured T% vs. wavelength spectra can be observed. This confirms an improvement in the carrier confinement effect with an increase in  $t_A$  and decrease in  $t_T$ , which further supports the decrement in carrier loss observed from frequency dispersed  $\tan \delta$  profiles, shown in Fig. 2(b).

### 3.2 Role of top-bottom $\text{Al}_2\text{O}_3$ barrier layer thickness

Albeit, the observed  $\varepsilon_r$  ( $\sim 732$ ) and  $f_c$  ( $\sim 126$  KHz) values for the 0.6A-1T NL ( $S_0$  NL) are quite significant in magnitude; high  $\tan \delta$  and  $J_{\text{leak}}$  values are observed owing to the enhanced semiconducting nature of titania layers and thinner  $\text{Al}_2\text{O}_3$  insulating layers. However, the improvement in charge carrier loss and leakage current, without much



interference with the M–W relaxation and cut-off frequency, can be achieved by introducing high band gap barrier layers across the NL/electrode interfaces and high work function electrodes.<sup>13,28</sup> By taking inference from this work, the  $S_0$  NL is sandwiched between 1, 3, and 5 nm top-bottom  $\text{Al}_2\text{O}_3$  barrier layers and the resulting NLs are labelled  $S_1$  NL,  $S_2$  NL and  $S_3$  NL, respectively. The schematic of these NLs is depicted in Fig. 5(a). The influence of top-bottom  $\text{Al}_2\text{O}_3$  interfacial barrier layer thickness ( $t_{\text{Al}_2\text{O}_3}$ ) on the frequency dispersed  $\epsilon_r$  and  $\tan\delta$  spectra of the  $S_0$ ,  $S_1$ ,  $S_2$  and  $S_3$  NLs is depicted in Fig. 5(b) and its inset, respectively. It is important to note that with the increase in  $t_{\text{Al}_2\text{O}_3}$  from 1 to 5 nm, the low frequency  $\epsilon_r$  and  $\tan\delta$  values decreased from  $\sim 733$  to  $\sim 100$  and  $\sim 0.17$  to 0.015, respectively. Introducing these thicker low permittivity ( $\epsilon_r \sim 11$ )  $\text{Al}_2\text{O}_3$  barrier layers in series with the NL decreases the apparent stack permittivity and limits the total stack capacitance. Meanwhile, the high band gap ( $E_g > 7$  eV) and insulating nature of alumina are

significantly reducing the dc conductivity of charge carriers from the NLs to electrodes, hence reducing the  $\tan\delta$  values. Additionally, by increasing  $t_{\text{Al}_2\text{O}_3}$  from 1 to 5 nm, there was a finite increment in the  $f_c$  values from  $\sim 126$  KHz to 1 MHz is observed. This is possibly owing to the improvement in titania sublayer conductivity from the controlled elemental interface interdiffusion and also due to the smooth interface geometry of the NL matrix provided by the sufficiently thick smooth bottom amorphous alumina platform.

To demonstrate the reliability of the measured dielectric properties, the  $\epsilon_r$  and  $\tan\delta$  values of 12 MIM devices from each of the  $S_0$ ,  $S_1$ ,  $S_2$ ,  $S_3$  NLs are depicted in the form of box chart representation, in Fig. 5(c) and the inset. A relatively broad distribution of  $\epsilon_r$  and  $\tan\delta$  values for the  $S_0$  NL was found with the median value of  $\sim 730$ ; however, a decrease in this distribution profile with an increase in  $t_{\text{Al}_2\text{O}_3}$  indicates an improvement in the device stability. Furthermore, the distributions in the  $\epsilon_r$  and  $\tan\delta$  values of  $\sim 68\%$ , 75%, 86%,

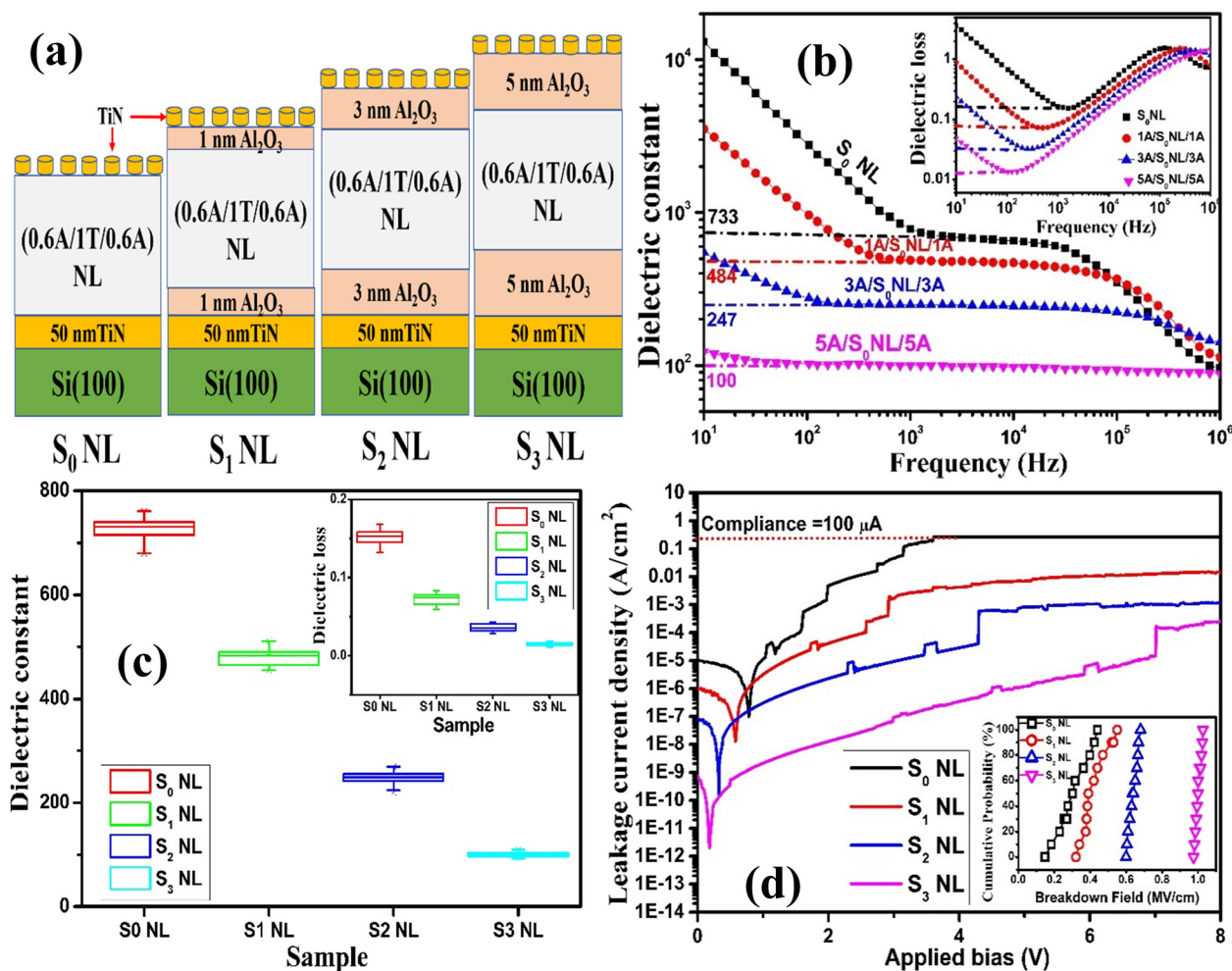


Fig. 5 (a) Schematic of the ATA NL with the  $\text{Al}_2\text{O}_3$  capping layer thickness varying between 1 and 5 nm. The NLs are denoted as  $S_0$  NL,  $S_1$  NL,  $S_2$  NL, and  $S_3$  NL. (b) The measured frequency dispersed dielectric constant and (in the inset) the  $\tan\delta$  spectra for the curves of  $S_0$ ,  $S_1$ ,  $S_2$ , and  $S_3$  NLs. (c) Box chart representation of the low frequency dielectric constant and  $\tan\delta$  distribution of 10 random devices from each of the aforementioned NL stack. (d) The  $J_{\text{leak}}$  vs. applied bias characteristic curves for 0.8A-0.8T NL; the inset shows the cumulative probability of the electrical breakdown field of the  $S_0$ ,  $S_1$ ,  $S_2$ ,  $S_3$  NL-based MIM structures.



and 95% of the tested devices of the  $S_0$ ,  $S_1$ ,  $S_2$ , and  $S_3$  NLs, respectively, are found within the upper and lower quartile profiles of the box chart, which clearly suggests a consistent improvement in the stability of the device performance parameters with the increase in  $t_{\text{Al}_2\text{O}_3}$ . This might be owing to the better flat and amorphous platform provided by thicker alumina layers across top-bottom electrodes, which restricts the propagation of bottom electrode roughness into the subsequent interfaces of the NL.

To investigate the role of  $t_{\text{Al}_2\text{O}_3}$  in the conduction mechanism as well as to calculate the bias dependent  $J_{\text{leak}}$  values, current-voltage ( $I$ - $V$ ) measurements were performed on the  $S_0$ ,  $S_1$ ,  $S_2$  and  $S_3$  NL-based MIMCAPs in the voltage range from 0 to 8 V. From the  $I$ - $V$  profiles, as depicted in Fig. 5(d), the  $J_{\text{leak}}$  values for all the NLs at a low applied bias ( $V_{\text{app}}$ ) decreased with the increase in  $V_{\text{app}}$ , reaching a minimum. Non-zero crossing current features appeared due to the M-W interfacial polarization induced built-in potential or induced back emf in these NL structures, which opposed the applied field and resulted in a reduction in charge carrier leakage across the interfaces.<sup>8,29</sup> The electrons can quantum mechanically tunnel through ultrathin alumina barrier layers in these NLs and strongly couple with the neighboring quantum wells to form delocalized minibands, which help in vertical carrier transport and increase the tunneling current through the NLs.<sup>30</sup> With the increase in  $V_{\text{app}}$ , the  $J_{\text{leak}}$  values increased exponentially passing through current kinks/spikes, which are assigned to negative differential resistance (NDR) features in these ATA NL-based multiple QW structures.<sup>8,31</sup> Further increase in  $V_{\text{app}}$  promotes electron tunneling through the triangular potential barriers, resulting in an exponential increase in  $J_{\text{leak}}$ , as shown in Fig. 5(d),<sup>32</sup> which supports the Fowler-Nordheim tunneling (FNT) transport in these NLs.<sup>33</sup> Hence, the dominant leakage current mechanism and the observed NDR feature can be explained by considering the low bias trap-assisted and higher bias triangle barrier quantum mechanical tunneling mechanism through these subnanometric  $\text{Al}_2\text{O}_3$  barrier layers.<sup>28,30</sup>

For the  $S_0$  NL, the higher  $J_{\text{leak}}$  and higher number of increasing current steps along with the diminished NDR feature were possibly owing to the soft breakdown of ultrathin ( $\sim 0.6$  nm) barrier layers at low  $V_{\text{app}}$ .<sup>27</sup> By introducing 1 to 5 nm thick  $\text{Al}_2\text{O}_3$  barrier layers across the NL/electrode interface, the  $J_{\text{leak}}$  reduced drastically from  $1.18 \times 10^{-5}$  to  $2.11 \times 10^{-9}$  @ 1 V. Considering the rapid current surge steps in each NL as the soft breakdown of  $\text{Al}_2\text{O}_3$  barrier layers, the cumulative probability distributions of the electrical soft breakdown field strengths ( $E_{\text{break}}$ ) of the 12 MIM devices from the  $S_0$ ,  $S_1$ ,  $S_2$  and  $S_3$  NL stacks are calculated and are displayed in the inset of Fig. 5(d). Although the distribution in  $E_{\text{break}}$  is relatively broad for the  $S_0$  NL, an improvement in the average distribution of the  $E_{\text{break}}$  values and also in the median values (from  $\sim 0.3$  MV  $\text{cm}^{-1}$  to  $1.01$  MV  $\text{cm}^{-1}$ ) can be observed with the increase in  $t_{\text{Al}_2\text{O}_3}$  from 1 to 5 nm. This improvement in the magnitude and distribution of  $E_{\text{break}}$  indicates an increase in the insulating properties and stability of the performance parameters of NLs.<sup>13</sup> The overall

fluctuation in the measured dielectric and electrical parameters observed from device to device in a given NL can be attributed to the thickness non-uniformity issues in PLD-grown thin films and/or the randomness in manually applied probe tip pressure.

In Table S1 of the ESI,<sup>†</sup> the important fundamental and derived dielectric performance parameters of our  $S_0$ ,  $S_1$ ,  $S_2$  and  $S_3$  NL stack-based MIM capacitors are compared with the literature reports on other metal oxide NL-based devices produced through different fabrication technologies and having different electrodes, device areas, layer thicknesses, *etc.* The device performance parameters for our optimised NL stacks seem to be comparable and/or superior, among previous reports, even with a relatively larger electrode area of  $\sim 3.9 \times 10^4 \mu\text{m}^2$ . Although the series capacitances of the low dielectric constant  $\text{Al}_2\text{O}_3$  barrier layers impose an adverse effect on the overall high dielectric constant, it is highly beneficial for the reduction in carrier loss and leakage current in these NLs. Hence, to maintain a sizeable M-W effect along with a simultaneous reduction of the  $\tan \delta$  and  $J_{\text{leak}}$  values in ATA NLs, an optimized  $t_{\text{Al}_2\text{O}_3}$  is needed before integrating these NLs into the final electronic circuits. The International Technology Roadmap of Semiconductors (ITRS)-2023 has set required device performance parameters for energy storage applications of MIM capacitors, such as a  $C/A > 13$  fF  $\mu\text{m}^{-2}$ ,  $J_{\text{leak}} < 1 \times 10^{-8}$  A  $\text{cm}^{-2}$ , equivalent oxide thickness (EOT)  $< 2$  nm, *etc.*<sup>8,34</sup> Considering these requirements, the observed high  $C/A$  ( $\sim 33.2$  fF  $\mu\text{m}^{-2}$ ), low  $\tan \delta$  ( $\sim 0.032$ ), low EOT ( $\sim 1.04$  nm), and reduced  $J_{\text{leak}}$  ( $\sim 3.08 \times 10^{-7}$  A  $\text{cm}^{-2}$  at 1 V bias) values for the  $S_2$  NL capacitor make it highly suitable for high-density energy storage and advanced MIM capacitor applications. The volumetric energy density [ $U = (1/2) (C/Ad) (E_b)^2$ ] of this optimized NL structure ( $S_2$  NL) is computed to compare with the other dielectric thin film capacitors, documented in the literature. Using the values of  $C/A = \sim 33.2$  fF  $\mu\text{m}^{-2}$ ,  $d = 60$  nm, and  $E_b = 0.65$  MV  $\text{cm}^{-1}$ , the energy density ( $U$ ) value is calculated to be  $\sim 4.6$  J  $\text{cm}^{-3}$ , which is on par with those reported for commercial dielectric thin film capacitors (1–2 J  $\text{cm}^{-3}$ ),<sup>35</sup> lead lanthanum zirconated titanate (PLZT) dielectrics ( $< 3$  J  $\text{cm}^{-3}$ ),<sup>36</sup> and nanocomposite multilayer capacitors ( $< 2.5$  J  $\text{cm}^{-3}$ ).<sup>37</sup> The calculated  $U$  for our optimized ATA NL is not only superior to pure  $\text{TiO}_2$  (0.76 J  $\text{cm}^{-3}$ ) and  $\text{Al}_2\text{O}_3/\text{TiO}_2$  nanocomposites, (1.05 J  $\text{cm}^{-3}$ ),<sup>38</sup> but also comparable to the volume energy density values reported for atomic layer deposited  $\text{Al}_2\text{O}_3/\text{TiO}_2$  NLs.<sup>39</sup> The further increment in the calculated energy density values of our optimized NL structure can be achieved through improving the  $C/A$  and the  $E_b$  values, by increasing the NL interface density and employing high-work function electrodes like Au or Pt, respectively. Device performance optimization in this direction is ongoing.

## Conclusions

In conclusion, the device grade  $\text{Al}_2\text{O}_3/\text{TiO}_2$  subnanometric laminates are fabricated using an optimized pulsed laser deposition technique, where the interface confined carrier relaxation and M-W interface polarization mechanism was engineered by precisely controlling the sublayer and capping



barrier layer thickness. X-ray reflectivity and transmission electron microscopy measurements revealed the NL growth in an artificial periodic geometry with well-defined sublayers and distinct interfaces, even in the subnanometric regime. The carrier relaxation time and the sublayer conductivity contrast were tailored by varying the individual  $\text{Al}_2\text{O}_3$  and  $\text{TiO}_2$  sublayer thickness between  $\sim 1.2$  and  $0.4$  nm, while maintaining a fixed number of interfaces ( $\sim 76$ ) and bilayer thickness ( $\sim 1.6$  nm). The formation of oxygen vacancy generated carriers in reduced titania sublayers and their relative response towards applied AC and DC bias fields were responsible for the charge storage and leakage probability in these NL structures. The NL with a  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  sublayer thickness of  $\sim 1$  and  $0.6$  nm, respectively, has demonstrated a high dielectric constant of  $\sim 770$  up to  $\sim 2 \times 10^5$  Hz cut-off frequency, although with a relatively higher dielectric loss and leakage current density. By introducing  $\text{Al}_2\text{O}_3$  barrier layers with thicknesses from  $1$  to  $5$  nm, across the NL/electrode interfaces, the leakage paths are substantially reduced, resulting in significant improvement in the dielectric loss (reduced from  $0.1$  to  $0.01$ ), leakage current density (reduced from  $\sim 1.1 \times 10^{-5}$  to  $2.1 \times 10^{-9}$  A  $\text{cm}^{-2}$  at  $1$  V applied bias), and breakdown field (increase from  $0.26$  to  $1.01$  MV  $\text{cm}^{-1}$ ), albeit with an adverse effect on the dielectric constant. Notably, the optimized ATA NL with a  $\sim 3$  nm  $\text{Al}_2\text{O}_3$  interfacial barrier layer has demonstrated a high dielectric constant ( $\sim 250$  up to  $0.5$  MHz) resulting in a high capacitance density of  $33.13$  fF  $\mu\text{m}^{-2}$  and a low equivalent oxide thickness of  $\sim 1.04$  nm, along with a low dielectric loss ( $\sim 0.032$ ), a reduced leakage current density of  $\sim 3.08 \times 10^{-7}$  A  $\text{cm}^{-2}$  at  $1$  V and a higher breakdown field of  $\sim 0.65$  MV  $\text{cm}^{-1}$ . The calculated energy density value of  $\sim 4.6$  J  $\text{cm}^{-3}$  achieved with this optimized pulsed laser deposition-processed  $\text{Al}_2\text{O}_3/\text{TiO}_2$  subnanometric laminate is akin to those of state-of-the-art capacitive devices. These superior electrical properties and controllable dielectric relaxation make this ATA NL a promising dielectric material for next generation energy storage applications.

## Data availability

The data supporting this article have been included in the ESI.†

## Author contributions

All authors have contributed equally in writing the manuscript and have given approval to the final version of the manuscript. Partha Sarathi Padhi: conceptualization, methodology, formal analysis, data curation, investigation, and writing – original draft. Sanjay Kumar Rai: methodology, formal analysis, and writing – review and editing. R. S. Ajimsha: methodology and writing – review and editing. Pankaj Misra: visualization, supervision, project administration, resources, and writing – review and editing.

## Conflicts of interest

The authors declare no competing financial interest.

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