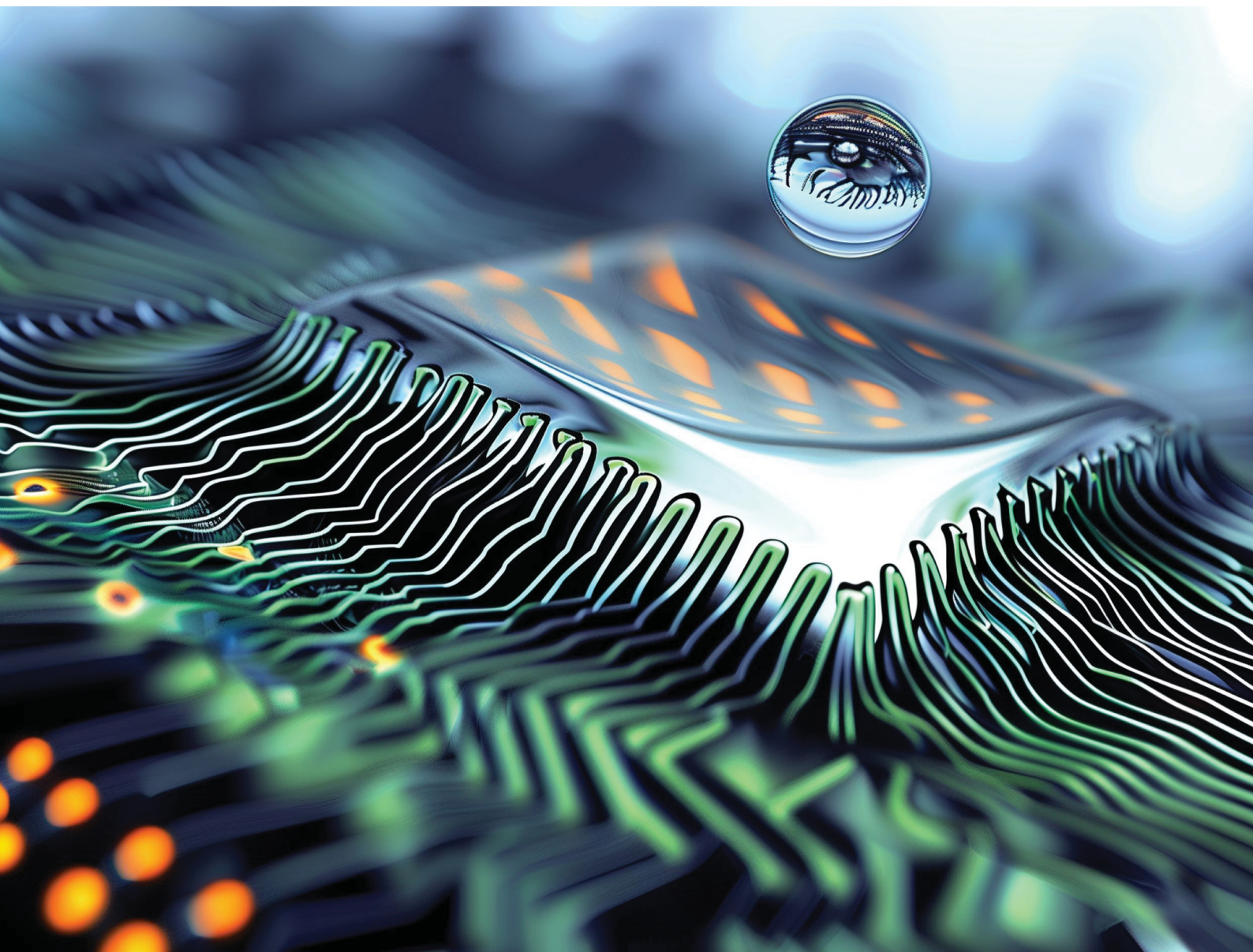


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**PAPER**

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## Three component superlattice enhanced stability for photovoltaic applications: a first principles study

Amanda Liu  and Xuan Luo \*

The use of superlattices is an attractive method to improve the stability and optoelectronic properties for enhanced photovoltaic performance. In this study, we construct ten superlattices composed of three unit cells of methylammonium tin halide perovskites  $\text{MASnI}_3$ ,  $\text{MASnBr}_3$ , and  $\text{MASnCl}_3$ , a structure never considered before. First-principles density functional theory (DFT) calculations are performed on the new trilayer superlattice to explore the effects of stacking order on its structural, electronic, and optical properties. The results show that all studied materials exhibit improved thermodynamic stability and adjustable bandgaps, demonstrating the effectiveness of the superlattice structures. In particular,  $\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$ ,  $\text{MASnI}_3/\text{MASnBr}_3/\text{MASnI}_3$ , and  $\text{MASnI}_3/\text{MASnCl}_3/\text{MASnI}_3$  have small binding energies and bandgaps of 1.56, 1.58, and 1.46 eV respectively, which are suitable for high-efficiency photovoltaic materials. The absorption spectrum and photovoltaic parameters also reveal their high optical absorption (over  $10^5 \text{ cm}^{-1}$ ) in the entire visible light region and theoretical efficiencies reaching over 20%, proving the potential of these three superlattices as novel nontoxic perovskite materials for photovoltaic and optoelectronic applications.

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## Introduction

The exhaustion of fossil fuel resources has attracted considerable attention to the development of solar energy as a renewable and sustainable energy.<sup>1</sup> Although silicon currently dominates the market with an efficiency of 27.6%,<sup>2</sup> it requires extremely high purity to function well in electronic devices.<sup>3</sup> Consequently, researchers have turned their focus on perovskite solar cells (PSCs) which exhibit great potential to become the next generation photovoltaic technology.<sup>4</sup> Unlike their silicon counterparts, perovskite-based photovoltaics are characterized by a higher defect tolerance, making it possible for PSCs to achieve high performing devices.<sup>5,6</sup> In recent years, organic–inorganic halide perovskites have demonstrated a rapid improvement of power conversion efficiency (PCE), from 3.8% in 2009 to 26.1% today.<sup>7,8</sup> Methylammonium (MA) lead iodide ( $\text{MAPbI}_3$ ) has emerged as the most promising candidate for PSCs with its tunable bandgap, strong light absorption, and high PCE exceeding 25%.<sup>9–11</sup> However, lead-based perovskites face many limitations, most notably from the toxic nature of lead (Pb) and poor stability which restricts their commercial applications.<sup>12</sup>

Overcoming these problems has motivated researchers to seek new alternative materials. The general structure for metal halide perovskites is  $\text{ABX}_3$ , where A is an organic or inorganic cation, B is a metal cation, and X is a halogen anion. An effective method to reduce the toxicity and degradation issues is to change the composition of elements in the  $\text{ABX}_3$  structure by mixing or partially substituting the metal cations.<sup>13</sup> It has been reported that  $\text{Sn}^{2+}$  and  $\text{Ge}^{2+}$  may be considered as potential environmentally friendly replacements for lead due to their similar electronic configurations and interesting optoelectronic properties.<sup>14</sup> Roknuzzaman *et al.* investigated the physical properties of lead-free  $\text{MABX}_3$  (B = Sn, Ge; X = I, Br, Cl) compared with its Pb containing counterparts  $\text{MAPbX}_3$  (X = I, Br, Cl) and found Sn to be the most effective Pb replacement due to its excellent electrical and optical properties.<sup>15,16</sup> Noel *et al.* has also experimentally fabricated a completely lead free perovskite solar cell yielding efficiencies of over 6% by using  $\text{MASnI}_3$  as the absorber layer.<sup>17</sup> These results led Sn-based materials to be of great interest as low toxicity lead-free perovskites for photovoltaics and optoelectronics.

Besides changing the composition of the general perovskite structure through substitution or doping, the use of superlattice materials are promising for a variety of applications.<sup>18</sup> Previous research has revealed how the unique superlattice structuring and periodicity can influence the geometric and

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electronic properties to design low bandgap semiconductors.<sup>19,20</sup> There has been a large number of theoretical and experimental research performed on inorganic perovskite superlattices.<sup>21–24</sup> Applying these superlattice structures to current hybrid organic–inorganic perovskites could be a potential strategy for developing stable and high-performance perovskites. Singh *et al.* successfully synthesized MAPbI<sub>3</sub>/MASnI<sub>3</sub> to understand the electronic transport properties and demonstrated how constructing superlattices provides the opportunity for bandgap engineering.<sup>25</sup> As shown in the work of Li *et al.*, different layered superlattice structures combining MAPbI<sub>2</sub>BF<sub>4</sub> and CsPbI<sub>2</sub>BF<sub>4</sub> with conventional perovskites (CsPbI<sub>3</sub>, MAPbI<sub>3</sub>, and FAPbI<sub>3</sub>) also indicated high stability with excellent optical absorption properties.<sup>26</sup> In addition to the electronic and optical properties, superlattice-monolayer structures have obtained a thermoelectric figure of merit much higher than existing monolayer and 2D thermoelectric materials reported so far.<sup>27</sup> The Seebeck coefficient and lattice thermal conductivity should be further studied with such heterostructures to maximize thermoelectric performance.

Research has shown that the bandgaps of perovskites can be tuned by the compositional engineering of the halide ion.<sup>28,29</sup> Moreover, altering the halogen atom can also increase the stability of the atomic structure of mixed-halide perovskites as well as absorb broadly across the solar spectrum.<sup>30,31</sup> However, no related superlattice investigations have been done for lead-free halide materials. Thus, this study utilizes its uniqueness to construct a superlattice structure of tin-based organic–inorganic trihalide perovskites MASnX<sub>3</sub>/MASnY<sub>3</sub>/MASnZ<sub>3</sub>, where each material is composed of the halide ion I, Br, or Cl, independently.

In this density functional theory (DFT) study, we analyze the impact of changing the halide species of each superlattice layer on the material's properties. The structural, electronic, and optical properties of MASnX<sub>3</sub>/MASnY<sub>3</sub>/MASnZ<sub>3</sub> (X, Y, and Z = I, Br, or Cl) were studied using first-principles calculations. To better understand the photovoltaic mechanism, the binding energy, electronic structure, bandgap, partial density of states, and optical absorption spectra have been analyzed. We will report our computational method, calculated results, and a discussion of our findings as well as their use for future studies.

## Methods

### Computational details

We performed first-principle calculations based on density functional theory (DFT) using the generalized gradient approximation (GGA) with the Perdew–Burke–Ernzerhof (PBE)<sup>32</sup> format implemented in the ABINIT<sup>33</sup> code. We use the projected augmented wave (PAW) method<sup>34</sup> to generate pseudopotentials using the ATOMPAW code.<sup>35,36</sup> The electron configurations and radius cut-offs used to generate these PAW pseudopotentials are listed in Table 1.

The kinetic energy cut-off and Monkhorst–Pack *k*-point grid were converged for each material through self-consistent field (SCF) total energy calculations. The SCF iterations were

**Table 1** Electron configurations and radius cut-off of each element used in this study for generating PAW pseudopotentials

Element	Electron configuration	Radius cut-off (bohr)
Hydrogen (H)	1s <sup>2</sup>	1.00
Carbon (C)	2s <sup>2</sup> 2p <sup>2</sup>	1.50
Nitrogen (N)	2s <sup>2</sup> 2p <sup>3</sup>	1.20
Tin (Sn)	5s <sup>2</sup> 5p <sup>2</sup> 4d <sup>10</sup>	2.50
Iodine (I)	5s <sup>2</sup> 5p <sup>5</sup>	2.30
Bromine (Br)	4s <sup>2</sup> 4p <sup>5</sup>	2.20
Chlorine (Cl)	3s <sup>2</sup> 3p <sup>5</sup>	1.80

terminated when the total energy difference was less than the set criterion of  $1.0 \times 10^{-10}$  Hartree twice consecutively. The kinetic energy cut-off, Monkhorst–Pack *k*-point grid, and vacuum height of the superlattice were considered converged once the total energy difference between consecutive datasets were less than  $1.0 \times 10^{-4}$  Hartree (about 3 meV) twice.

With the converged kinetic energy cut-off, *k*-point grid, and vacuum, the Broyden–Fletcher–Goldfarb–Shanno (BFGS) method<sup>37</sup> was used to perform structural relaxation calculations to optimize the atomic structure. The atomic positions and the cell parameters were then relaxed until the force on each atom was below  $5.0 \times 10^{-5}$  hartree bohr<sup>−1</sup> (about 3 meV Å<sup>−1</sup>). After relaxation, the electronic structure and optical properties were analyzed.

### Material

Our materials are based on the organic–inorganic halide perovskites MASnX<sub>3</sub> (X = I, Br, Cl). The initial lattice constant and position of bulk cubic MASnI<sub>3</sub> were retrieved from previous research.<sup>38,39</sup> In cubic ABX<sub>3</sub> perovskite structures, the A atom is in Wyckoff position 1b with fractional coordinates (0.5 0.5 0.5), the B atom resides in 1a (0 0 0), and X atoms in the 3d Wyckoff position (0.5 0 0), (0 0.5 0), (0 0 0.5). For the current organic–inorganic perovskites, the A atom is occupied by MA and each unit cell contains 28 atoms. Fig. 1 (top) shows the bulk (a) MASnI<sub>3</sub>, (b) MASnBr<sub>3</sub>, and (c) MASnCl<sub>3</sub> structures.

The corresponding superlattices of the cubic halide perovskites can be seen in Fig. 1 (bottom). The structures are constructed by stacking three cubic cells of MASnX<sub>3</sub> (X = I, Br, Cl) to create a superlattice composed of 3 pristine perovskites. With this method, we formed 10 total superlattices from a combination of conventional halide perovskites. These materials, after fully optimized, are employed in band structure and Bethe–Salpeter (BSE) calculations.

### Binding energy

Since the supercells of most of the considered superlattice structures differ, it is important to study the stability of the superlattice structures. The relative stability of these hybrid structures can be calculated based on the binding energies (*E<sub>b</sub>*):<sup>40</sup>

$$E_b = E[\text{MASnX}_3/\text{MASnY}_3/\text{MASnZ}_3] - E[\text{MASnX}_3] - E[\text{MASnY}_3] - E[\text{MASnZ}_3] \quad (1)$$





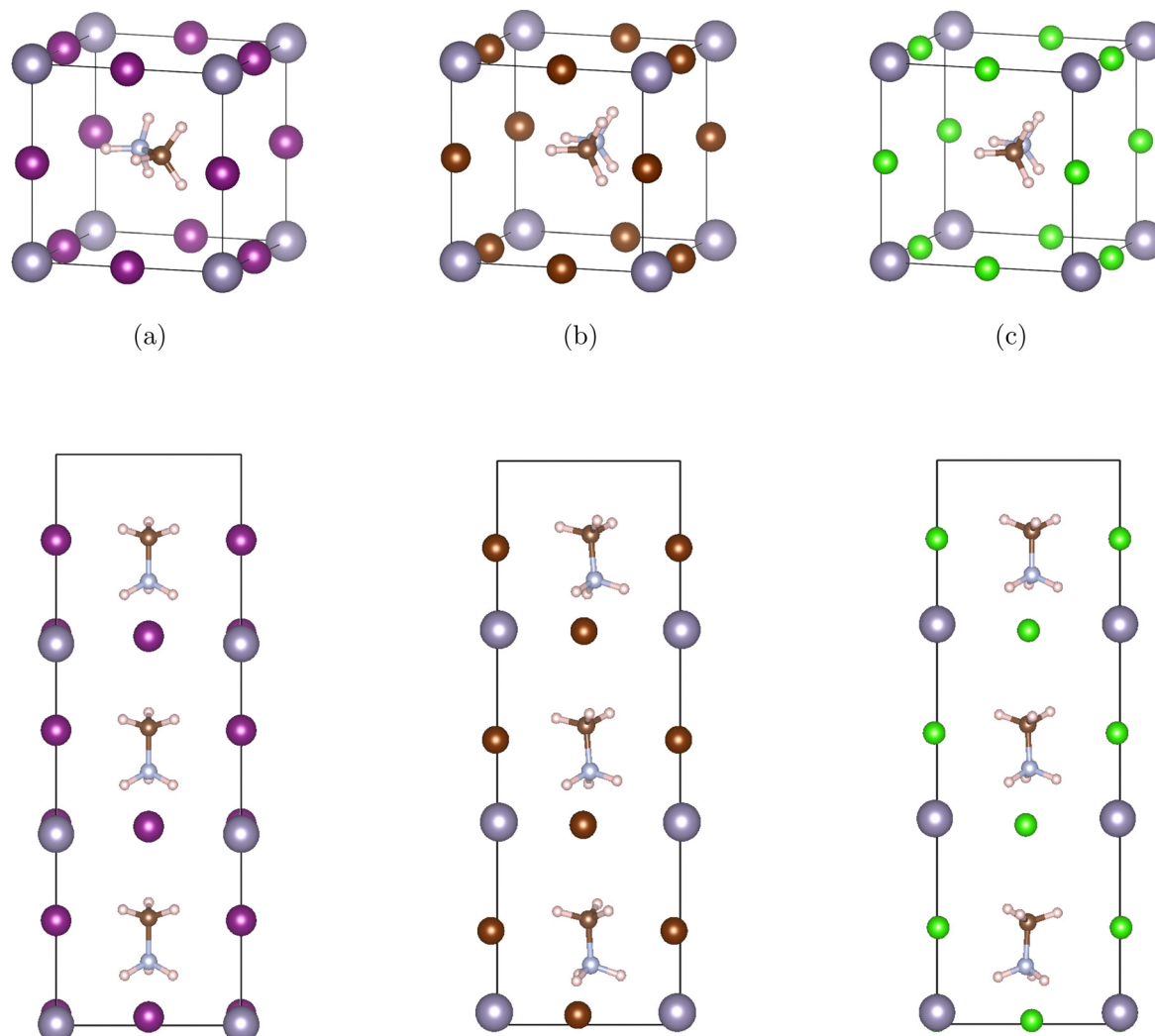


Fig. 1 The conventional unit cell of  $\text{MASnX}_3$  (top) and their corresponding superlattice structures  $(\text{MASnX}_3)_3$  (bottom) composed of three unit cells stacked along the  $c$  direction, where (a)–(c) are  $X = \text{I}, \text{Br}, \text{Cl}$ , respectively. Pink spheres represent hydrogen atoms, brown ones for carbon, blue for nitrogen, grey for metal Sn, and purple for halides I, Br, and Cl.

where  $E[\text{MASnX}_3/\text{MASnY}_3/\text{MASnZ}_3]$  is the DFT total energy of the superlattice and  $E[\text{MASnX}_3]$ ,  $E[\text{MASnY}_3]$ , and  $E[\text{MASnZ}_3]$  are the total energies of the cubic perovskites corresponding to each of the individual layers in the superlattice ( $\text{MASnI}_3$ ,  $\text{MASnBr}_3$ ,  $\text{MASnCl}_3$ ).

### Electronic structure

The electronic structure was analyzed through the band structure and density of states (DOS). We calculated the band structure of the lead-free halide perovskite superlattices along the high-symmetry  $k$ -points of the first Brillouin zone  $\Gamma(0\ 0\ 0)$ ,  $X(0\ 0.5\ 0)$ ,  $M(0.5\ 0.5\ 0)$ ,  $X(0.5\ 0\ 0)$ . Using the optimized lattice parameters and atomic structures, we calculated the partial density of states (PDOS) for all compounds to further understand the nature and formation of the energy bands.

In this current research, DFT calculations with GGA-PBE generally underestimate bandgaps for most crystalline solids relative to their experimental value, which has been accepted

internationally for the result of the function itself. In order to predict the experimental bandgap from the standard DFT calculation for practical applications of solar cells, we correct the theoretical bandgap values using the equation:<sup>41</sup>

$$E_g^{\text{c}}(\text{corrected}) = (E_g(\text{GGA-PBE}) \times 1.358 + 0.125) \times 0.998 + 0.014 \quad (2)$$

where  $E_g^{\text{c}}$  represents the corrected bandgap from the theoretical calculated bandgap  $E_g$ .

### Optical calculations

The macroscopic dielectric function is calculated using the Beth-Salpeter approach from the ABINIT software. A standard excitonic calculation within the Tamm-Dancoff approximation (TDA) using the Haydock iterative technique is performed. In the Beth-Salpeter calculation, the iterative method was stopped when the difference between two consecutive evaluations of dielectric function was less than 0.05 eV and the



screening was formatted with an unsymmetrical  $k$ -mesh (shifted along the primitive axis by 0.11, 0.21, and 0.31). With these conditions, we computed the dielectric constants.

The optical properties of perovskite materials can be expressed by the complex dielectric function:

$$\epsilon(\omega) = \epsilon_1(\omega) + i\epsilon_2(\omega) \quad (3)$$

where  $\epsilon_1$  and  $\epsilon_2$  are the real and imaginary parts of the dielectric function, respectively. The real and imaginary coefficients can be calculated with the Kramers–Kronig relations:<sup>42,43</sup>

$$\epsilon_1(\omega) = 1 + \frac{2}{\pi} P \int_0^\infty \frac{\omega' \epsilon_2(\omega')}{(\omega')^2 - \omega^2} d\omega' \quad (4)$$

$$\epsilon_2(\omega) = \frac{2e^2\pi}{\Omega\epsilon_0} \sum_{k,v,c} |\langle \psi_k^c | s \hat{u} \cdot r | \psi_k^v \rangle|^2 \delta(E_k^c - E_k^v - \hbar\omega) \quad (5)$$

Using  $\epsilon_1$  and  $\epsilon_2$ , the extinction coefficient  $k(\omega)$  is represented by the following equation.<sup>44</sup> The absorption coefficient  $\alpha(\omega)$  can then be calculated with respect to  $k(\omega)$  and the wavelength ( $\lambda$ ):

$$k(\omega) = \sqrt{\frac{(\sqrt{(\epsilon_1(\omega)^2 + \epsilon_2(\omega)^2)} - \epsilon_1(\omega))}{2}} \quad (6)$$

$$\alpha(\omega) = \frac{4\pi k}{\lambda} \quad (7)$$

### Photovoltaic parameters

For perovskite superlattices with an optical bandgap  $E_g$ , the short circuit current, open circuit voltage, and theoretical power conversion efficiency can be calculated by assuming that all photons with energy larger than  $E_g$  are absorbed and produce electron–hole pairs.<sup>45</sup> The short-circuit current density  $J_{SC}$  is calculated by integrating the product of the material's absorbance  $A(E)$  and the incident solar spectrum  $I_{sun}(E)$  over the relevant energy range:<sup>46</sup>

$$J_{SC} = e \int_0^\infty A(E) \cdot I_{sun}(E) dE \quad (8)$$

The open circuit voltage  $V_{OC}$  can be estimated with the formula:<sup>47</sup>

$$eV_{OC} = (E_g - E_{loss}) \quad (9)$$

where  $E_{loss}$  is the loss-in-potential and can be adopted with the value of 0.5 eV as shown in a previous report.<sup>48</sup> With these values, we find the maximum theoretical limit for the power conversion efficiency ( $\eta$ ) as a function of the bandgap:

$$\eta = \frac{J_{SC} \cdot V_{OC} \cdot FF}{P_{sun}} \quad (10)$$

$P_{sun}$  is the total incident power density which can be calculated from the solar spectrum data provided by the National Renewable Energy Laboratory.<sup>49</sup> The fill factor (FF) is taken to be 80% reported by previous research.<sup>50</sup>

## Results and discussion

### Structural properties

The organic–inorganic hybrid perovskite superlattices  $MASnX_3/MASnY_3/MASnZ_3$  possess a structure of three conventional  $MASnX_3$  perovskites. The vacuum was converged with a value of 7 Bohr and the atomic structures were relaxed. The energy cutoff was converged at 24 Hartree and  $k$ -point mesh at  $4 \times 4 \times 1$ . Based on the optimized lattice parameters, we construct 10 symmetrical superlattices, as shown in Fig. 2 which displays the side views of these mixed halide structures.

Our converged lattice parameters and their available experimental data are listed in Table 2. To our knowledge, only the perovskites of the form  $MASnX_3$  have been synthesized experimentally. It can be seen that for those compounds, the relaxed lattice constants are in a fair agreement with the reported experimental values, with all having less than 4% difference from the experimental data. The superlattices mainly preserve the structure and lattice parameters of the pristine perovskites. For example, the thickness of planar  $MASnI_3/MASnI_3/MASnI_3$  is stretched slightly from 6.239 Å in cubic  $MASnI_3$  to 6.392 Å in the superlattice. From Table 2, we can also observe that with the replacement of I to Br and Cl, the optimized lattice parameters of the superlattices present an evident shrink due to the decreasing atomic radius at the halogen site.

The binding energies of the superlattices are also listed in Table 2. We first calculated the total energies of structures with a surface to determine its effect on the binding energies. After comparing the energy differences with the bulk superlattices, we found that surface energy was negligible and disregarded its influence. All of the considered superlattices had negative binding energies, indicating they are more stable than each of the perovskite structures alone and exhibit good thermodynamic stability.<sup>26,54</sup> It is also reflective of the suitable lattice constants match between the different perovskite compounds compromising the superlattice because lattice mismatch could destabilize the crystal structure.<sup>55</sup> It can be seen that according to eqn (2), the binding energies of  $MASnI_3/MASnI_3/MASnI_3$ ,  $MASnI_3/MASnBr_3/MASnI_3$ , and  $MASnI_3/MASnCl_3/MASnI_3$  are more negative compared to the other materials, indicating that these superlattices are the most stable.

### Electronic properties

The band structure has an important impact on the optical properties, which is crucial in evaluating potential materials for solar cell applications. For this reason, we calculated the band structures of the optimized  $MASnX_3/MASnY_3/MASnZ_3$  perovskite structures for X, Y, and Z = I, Br, or Cl each. Fig. 3 shows the band structures of single halogen  $(MASnI_3)_3$  and some mixed-halide superlattices plotted from  $-2$  to  $+2$  eV with the Fermi level at the VBM set to zero. It can be seen that both the valence band maximum (VBM) and the conduction band minimum (CBM) are located at point  $M$  in the reciprocal space, meaning all compounds are direct bandgap semiconductors. The calculated results of the bandgaps using the GGA–PBE approximation and the corrected bandgaps of the considered 10 compounds range



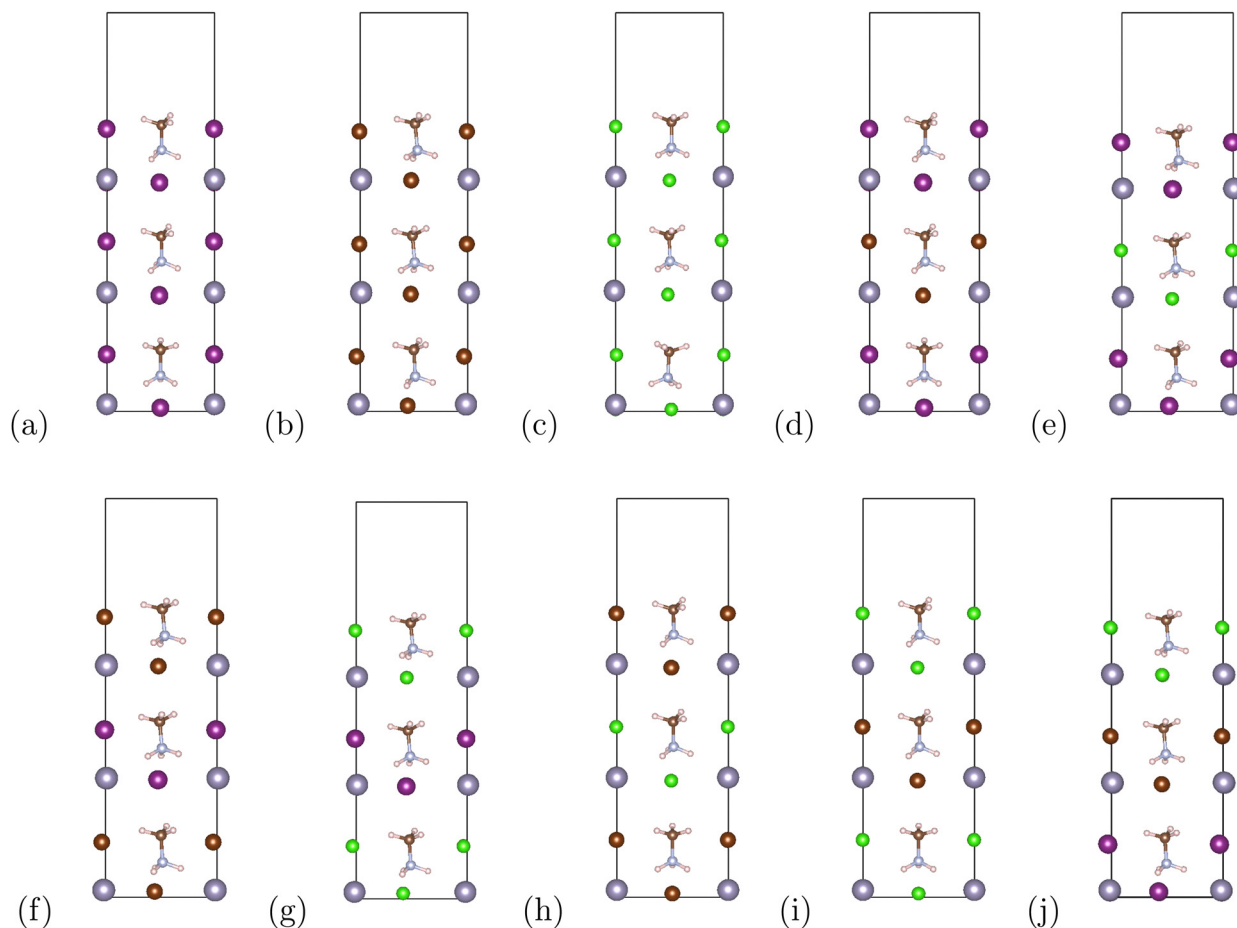


Fig. 2 The optimized superlattice structures of (a)  $\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$  (b)  $\text{MASnBr}_3/\text{MASnBr}_3/\text{MASnBr}_3$  (c)  $\text{MASnCl}_3/\text{MASnCl}_3/\text{MASnCl}_3$  (d)  $\text{MASnI}_3/\text{MASnBr}_3/\text{MASnI}_3$  (e)  $\text{MASnI}_3/\text{MASnCl}_3/\text{MASnI}_3$  (f)  $\text{MASnBr}_3/\text{MASnI}_3/\text{MASnBr}_3$  (g)  $\text{MASnCl}_3/\text{MASnI}_3/\text{MASnCl}_3$  (h)  $\text{MASnBr}_3/\text{MASnCl}_3/\text{MASnBr}_3$  (i)  $\text{MASnCl}_3/\text{MASnBr}_3/\text{MASnCl}_3$  (j)  $\text{MASnI}_3/\text{MASnBr}_3/\text{MASnCl}_3$ . The atoms are represented by different colors, Sn: grey, I: purple, Br: dark brown, Cl: green, C: light brown, N: blue, H: pink.

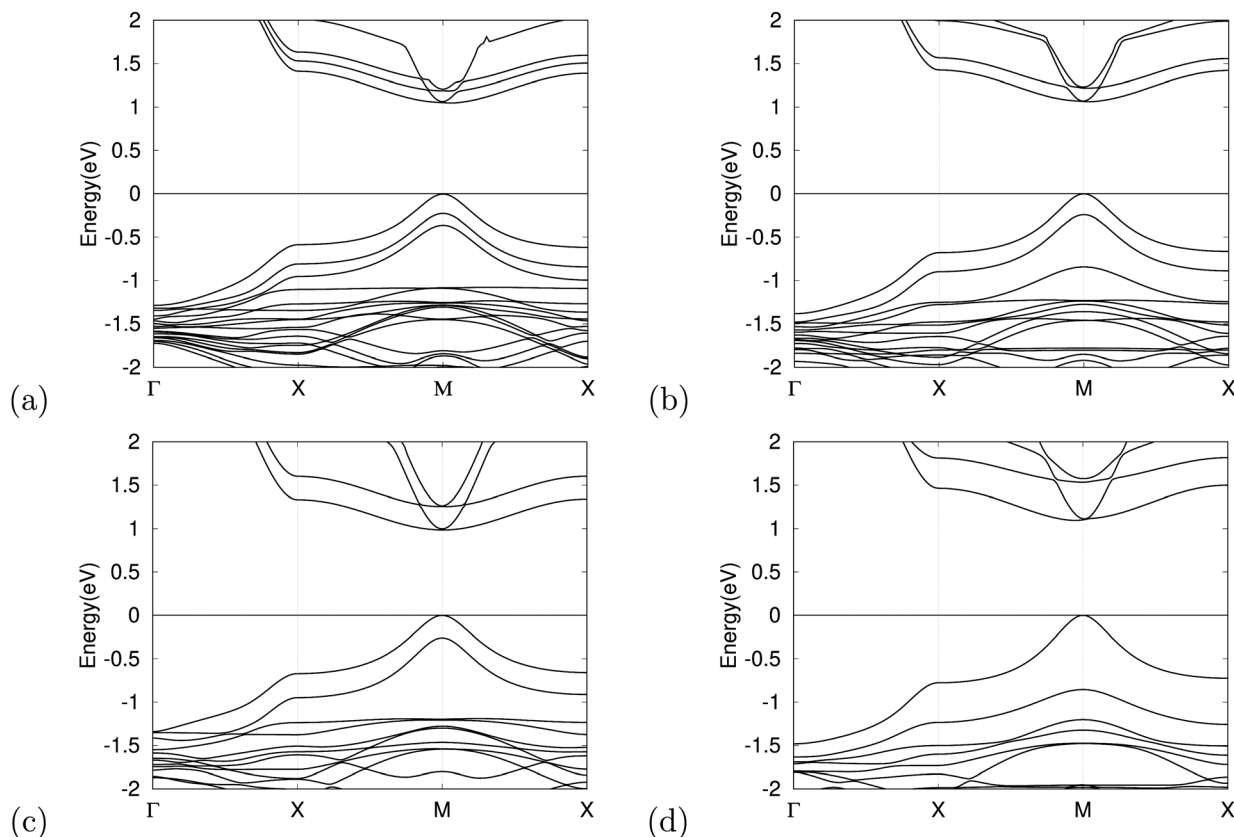
**Table 2** The calculated lattice parameters ( $a = b, c$ ) of the current tin halide perovskites and the available experimental data of the cubic perovskites (Exp.) are listed, along with the lattice constant percent error (% error) and superlattice binding energies ( $E_b$ )

Current materials	Lattice constants ( $\text{\AA}$ )			Exp.	% Error	$E_b$
	$a$	$b$	$c$			
$\text{MASnI}_3$	6.239	6.239	6.239	6.243 <sup>51</sup>	0.06	—
$\text{MASnBr}_3$	6.126	6.126	6.126	5.905 <sup>52</sup>	3.74	—
$\text{MASnCl}_3$	5.926	5.926	5.926	5.760 <sup>53</sup>	2.88	—
$\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$	6.392	6.392	23.091	—	—	−0.40
$\text{MASnBr}_3/\text{MASnBr}_3/\text{MASnBr}_3$	6.128	6.128	22.136	—	—	−0.03
$\text{MASnCl}_3/\text{MASnCl}_3/\text{MASnCl}_3$	5.934	5.934	21.437	—	—	−0.11
$\text{MASnI}_3/\text{MASnBr}_3/\text{MASnI}_3$	6.355	6.355	22.957	—	—	−0.30
$\text{MASnI}_3/\text{MASnCl}_3/\text{MASnI}_3$	6.353	6.353	22.949	—	—	−0.33
$\text{MASnBr}_3/\text{MASnI}_3/\text{MASnBr}_3$	6.316	6.316	22.815	—	—	−0.16
$\text{MASnCl}_3/\text{MASnI}_3/\text{MASnCl}_3$	6.272	6.272	22.657	—	—	−0.22
$\text{MASnBr}_3/\text{MASnCl}_3/\text{MASnBr}_3$	6.078	6.078	21.956	—	—	−0.05
$\text{MASnCl}_3/\text{MASnBr}_3/\text{MASnCl}_3$	6.035	6.035	21.801	—	—	−0.08
$\text{MASnI}_3/\text{MASnBr}_3/\text{MASnCl}_3$	6.258	6.258	22.606	—	—	−0.19

from 1.46–3.86 eV, as presented in Table 3. Therefore, the bandgap of the considered superlattices can be tuned over almost the entire range of the visible light spectrum by changing the halogen species.

The bandgap of absorber materials is one of the key characteristics to assure high efficiency for solar cells and plays a vital role in improving device performance. The superlattice structures composed purely of  $\text{MASnBr}_3$  and  $\text{MASnCl}_3$  layers have bandgap values above 2.2 eV, meaning they are less suitable for use as solar cell materials, but they have the potential to be developed as materials for other optical devices.<sup>56,57</sup> In order to achieve a theoretical efficiency of >25%, the bandgap value should be within the range 0.9–1.6 eV.<sup>58</sup>  $\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$  and  $\text{MASnI}_3/\text{MASnBr}_3/\text{MASnI}_3$  have bandgaps of 1.56 and 1.58 eV, which are within this range. It is seen that replacing halogens in different layers can both increase and decrease the bandgap effectively. By changing the halogen ion in the middle perovskite material to Cl, we successfully tuned the bandgap of  $\text{MASnI}_3/\text{MASnCl}_3/\text{MASnI}_3$  to 1.46 eV, making it an optimal candidate for the light-absorber layer in single-junction perovskite solar cells following the Shockley–Queisser limit.<sup>59</sup>





**Fig. 3** Electronic structures of (a)  $\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$  (b)  $\text{MASnI}_3/\text{MASnBr}_3/\text{MASnI}_3$  (c)  $\text{MASnI}_3/\text{MASnCl}_3/\text{MASnI}_3$  and (d)  $\text{MASnI}_3/\text{MASnBr}_3/\text{MASnCl}_3$ . The Fermi levels are shifted to be at 0 eV in the band structures.

**Table 3** Energy bandgaps (eV) of mixed  $\text{MASnX}_3/\text{MASnY}_3/\text{MASnZ}_3$  (X, Y, Z = I, Br, Cl) superlattice using GGA–PBE from DFT calculations ( $E_g$ ) and corrected DFT bandgaps ( $E_g^c$ ) using eqn (2)

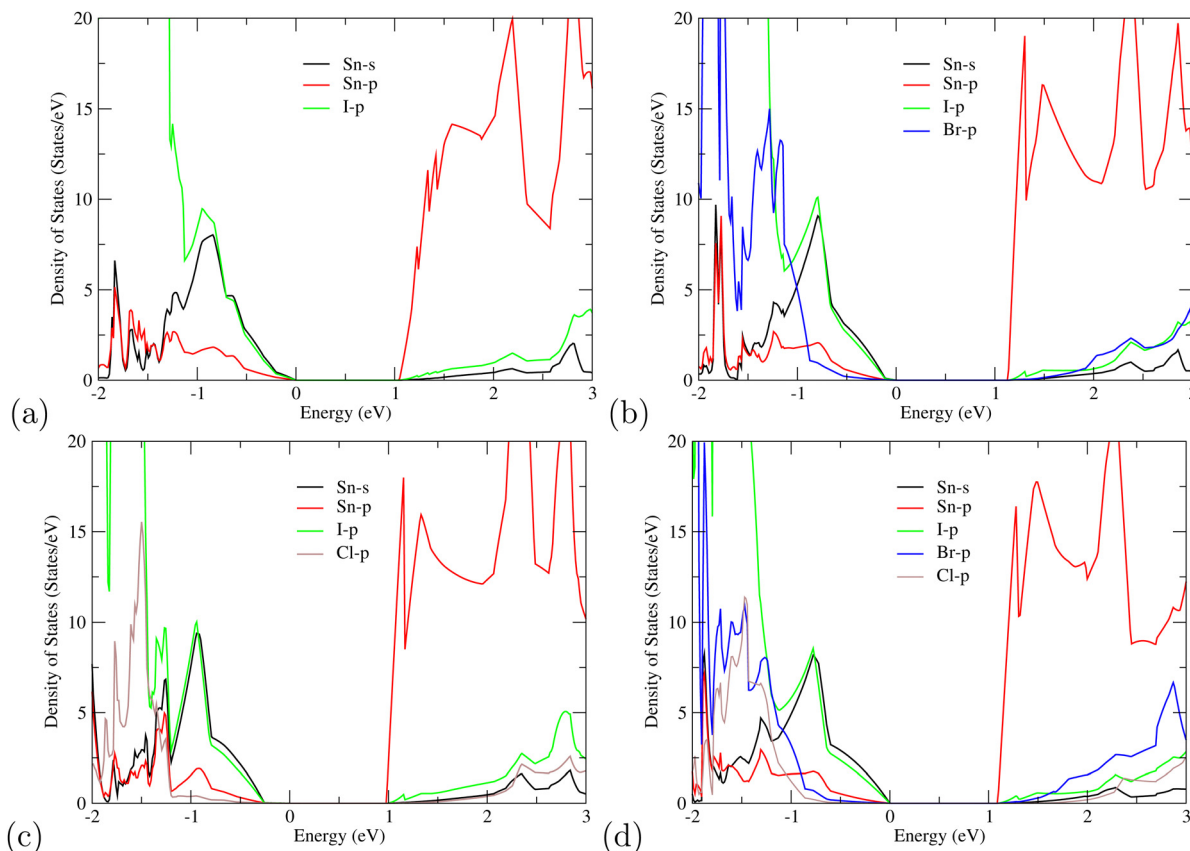
Material	$E_g$ (eV)	$E_g^c$ (eV)
$\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$	1.05	1.56
$\text{MASnI}_3/\text{MASnCl}_3/\text{MASnI}_3$	0.98	1.46
$\text{MASnI}_3/\text{MASnBr}_3/\text{MASnI}_3$	1.07	1.58
$\text{MASnI}_3/\text{MASnBr}_3/\text{MASnCl}_3$	1.10	1.62
$\text{MASnCl}_3/\text{MASnI}_3/\text{MASnCl}_3$	1.11	1.64
$\text{MASnBr}_3/\text{MASnI}_3/\text{MASnBr}_3$	1.21	1.77
$\text{MASnBr}_3/\text{MASnCl}_3/\text{MASnBr}_3$	1.56	2.25
$\text{MASnBr}_3/\text{MASnBr}_3/\text{MASnBr}_3$	1.89	2.70
$\text{MASnCl}_3/\text{MASnBr}_3/\text{MASnCl}_3$	1.92	2.74
$\text{MASnCl}_3/\text{MASnCl}_3/\text{MASnCl}_3$	2.75	3.86

For further understanding of the electronic properties of superlattice perovskites, we also computed the density of states (DOS) in Fig. 4. Similar to other organic halides, the MA cations do not contribute directly to the band edges of the valence band nor of the conduction band.<sup>52</sup> This indicates that the probability of electron transition of MA is very small and its main function is to maintain the stability of the perovskite structure. Thus, only the valence orbital contributions of Sn and halogen atoms around the Fermi level are presented. For the single-halide  $(\text{MASnX}_3)_3$  perovskites, the valence bands are mainly contributed by the X-p orbital, as seen from  $\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$  in Fig. 4(a). For mixed-halide superlattices like

$\text{MASnI}_3/\text{MASnBr}_3/\text{MASnCl}_3$ , Fig. 4(d) shows the valence bands mainly composed of I-5p, Br-4p, and Cl-3p orbitals, with higher energy levels from the I-5p orbital. Overall, the valence band near the Fermi surface is contributed by the p states of halogens (I-5p, Br-4p, and Cl-3p) and a small amount of Sn-5s while the CBM is composed of mostly Sn-5p orbital and some of the halogen p orbital. When the electrons are excited by light, it will transition from the anti-bonding orbitals hybridized by I-5p, Br-4p, and Cl-3p to the Sn-5p orbital. The results of the PDOS provide further evidence that the bandgap of the studied compounds can easily be tuned by replacing the halogen content, as the halogens are observed to contribute towards the density of states at both the VBM and CBM simultaneously.

### Optical properties and photovoltaic performance

Optical properties such as the absorption coefficient and dielectric function are very important quantities for estimating the overall photovoltaic performance of perovskite solar cells. We focused on calculating the light absorption properties for the three superlattices with the most optimal bandgaps between 0.9–1.6 eV:  $\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$ ,  $\text{MASnI}_3/\text{MASnBr}_3/\text{MASnI}_3$ , and  $\text{MASnI}_3/\text{MASnCl}_3/\text{MASnI}_3$ . The response of a material to incident electromagnetic radiation can be characterized by the dielectric function. The dielectric function for zero photon energy is also known as the static dielectric function or dielectric constant  $\epsilon_1(0)$ . Large dielectric constants



**Fig. 4** Partial density of states (PDOS) of partially mixed halogen superlattices (a)  $\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$  (b)  $\text{MASnI}_3/\text{MASnBr}_3/\text{MASnI}_3$  (c)  $\text{MASnCl}_3/\text{MASnI}_3/\text{MASnCl}_3$  and (d)  $\text{MASnI}_3/\text{MASnBr}_3/\text{MASnCl}_3$  with contributions from the s orbitals of Sn (black), p orbitals of Sn (red), p of I (green), p of Br (blue), and p of Cl (brown).

with high optical absorption across a wide range of the solar spectrum are critical for efficient photovoltaic devices.<sup>60</sup> Compared to the electronic bandgap, materials with lower bandgap values possess higher dielectric constants, with a maximum dielectric constant (8.25) found in  $\text{MASnI}_3/\text{MASnCl}_3/\text{MASnI}_3$  and a minimum in  $\text{MASnI}_3/\text{MASnBr}_3/\text{MASnI}_3$  (7.87), which reflects the findings of other theoretical research.<sup>61</sup> For  $\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$ , the static dielectric constant 8.03 in this work is in good agreement with the dielectric constant of 8.2 for  $\text{MASnI}_3$  calculated by Umari *et al.*<sup>62</sup> This variation could help to design materials with optimum qualities for photovoltaic applications.

The dielectric function of the selected perovskite superlattices  $\text{MASnX}_3/\text{MASnY}_3/\text{MASnZ}_3$  is shown in Fig. 5, with the real part  $\epsilon_1$  in (a) and the imaginary part  $\epsilon_2$  in (b). The dielectric constants are plotted against light intensities from 0 to 2.5 eV with intervals of 0.02 eV. The results reveal that the real and imaginary parts of the dielectric function for all three materials are very similar to each other in the energy range of 0–2.5 eV. From Fig. 5(a), the three perovskite compounds successively reach their peaks at around 1.0 eV, and a max of 13.5, 12.9, and 13 eV is found for Y = I, Br, and Cl, respectively. The real component denotes the amount of energy stored in any medium, demonstrating that  $\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$  has a better absorption capacity to visible light. The negative values in the

real curve indicate that the materials change from dielectric behavior to metallic behavior.<sup>63</sup> The obtained curves from the imaginary component are plotted in Fig. 5(b), with the thresholds starting around 1.1, 1.0, and 0.9 eV, agreeing with previous trends seen in the dielectric spectra of  $\text{MASnI}_3$ .<sup>64</sup> The imaginary part of the dielectric constant is directly related to the electronic structure of materials and describes the absorption characteristics.<sup>65</sup>  $\text{MASnI}_3/\text{MASnBr}_3/\text{MASnI}_3$  and  $\text{MASnI}_3/\text{MASnCl}_3/\text{MASnI}_3$  follow similar absorption peaks as  $\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$ , with one strong peak around 1.0 eV and a second peak around 1.5 eV.

The absorption coefficient of solar cells can reflect the ability of the material to absorb light of various wavelengths. Generally, the most important wavelength range is the visible light region (400–800 nm) and near ultraviolet range. Hence, the calculated absorption coefficients of the three considered superlattices are plotted against the wavelengths 350–850 nm, as shown in Fig. 5(c). From the absorption spectra, it can be seen that  $\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$  has more superior absorption than the other two superlattices.  $\text{MASnI}_3/\text{MASnI}_3/\text{MASnI}_3$  has a maximum absorption coefficient of  $4.5 \times 10^5 \text{ cm}^{-1}$  at a wavelength of around 700 nm and another smaller peak at 400 nm, both belonging to the visible region of the solar spectrum. Similarly,  $\text{MASnI}_3/\text{MASnBr}_3/\text{MASnI}_3$  has two peaks, with high absorption in the 400–500 nm region and a second





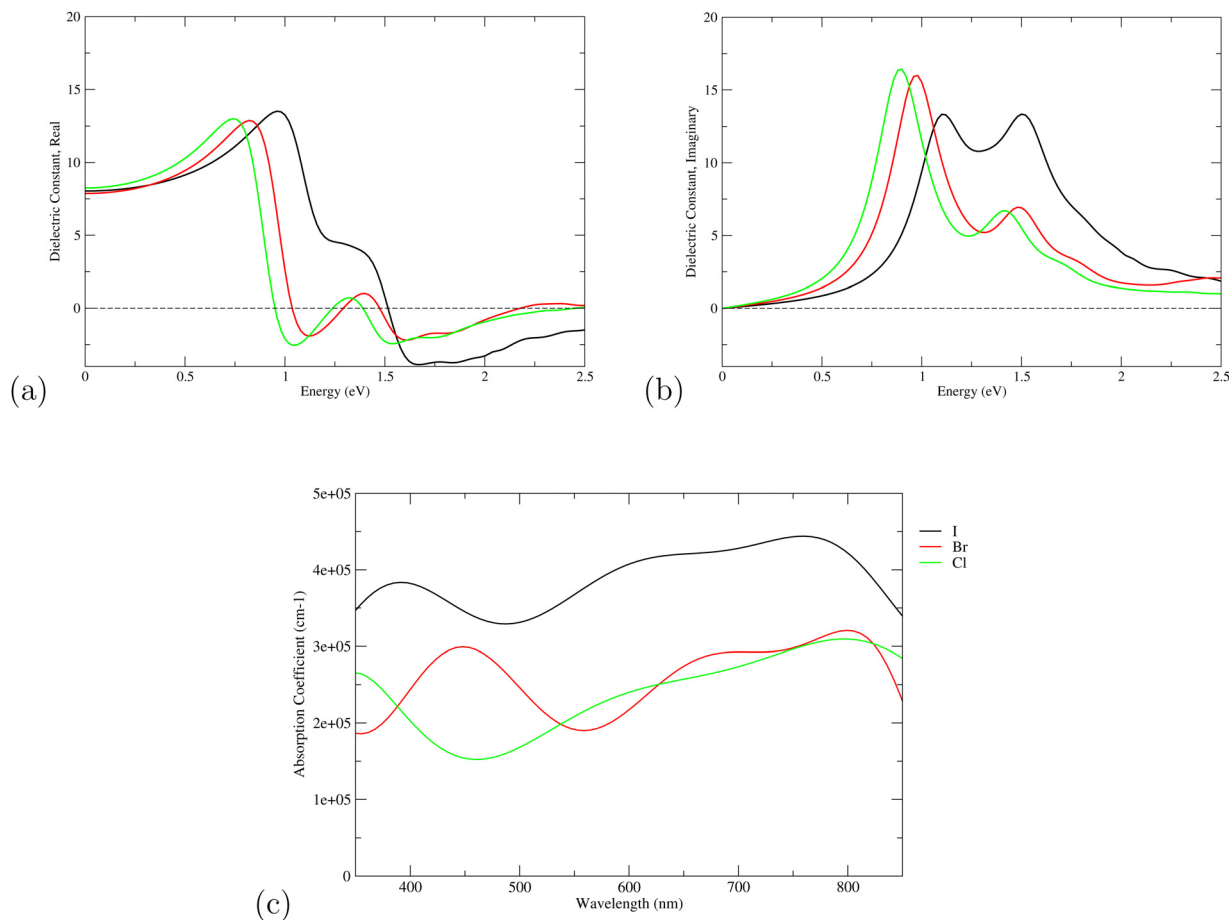


Fig. 5 The components (a) real part  $\epsilon_1$  and (b) imaginary part  $\epsilon_2$  of the dielectric function and (c) the absorption spectra of mixed perovskite compounds with MASnI<sub>3</sub>/MASnI<sub>3</sub>/MASnI<sub>3</sub> in black, MASnI<sub>3</sub>/MASnBr<sub>3</sub>/MASnI<sub>3</sub> in red, and MASnI<sub>3</sub>/MASnCl<sub>3</sub>/MASnI<sub>3</sub> in green.

peak approaching 800 nm. MASnI<sub>3</sub>/MASnCl<sub>3</sub>/MASnI<sub>3</sub> has its main heights at around 350 nm and 800 nm, indicating the perovskite also exhibits good ultraviolet absorption. These results are consistent with the absorption spectra of MASnX<sub>3</sub> (X = Cl, Br, I) perovskites, with a high absorption coefficient of above  $10^5 \text{ cm}^{-1}$  in the high ultraviolet and visible light region.<sup>66</sup> With the change from I to Br to Cl, the curves seem to be red-shifted and move towards larger wavelengths of the visible region. This trend is also evident in the real and imaginary part of the dielectric function as the substitution from Br to Cl corresponds with smaller photon energies. From these figures, it can be seen that perovskites containing heavier halogen anions generally exhibit higher absorption coefficients, which are key parameters for both optical sensory and photovoltaic cell applications.<sup>67</sup> Moreover, the optical absorption coefficient reaches  $1 \times 10^5$  for the perovskite materials in the entire wavelength range 300–800 nm, meaning the corresponding 300 nm thick perovskite could absorb 90% of the incident light and be used in several highly efficient planar heterojunction devices.<sup>68,69</sup> Therefore, these three perovskite superlattices have excellent optical performance in the entire range of visible light and are predicted to exhibit high efficiencies for photovoltaic devices.

The theoretical efficiencies of the materials with optimal bandgaps are further considered to evaluate the photovoltaic

applications of superlattice perovskites for solar cell devices. From eqn (8)–(10), we calculated the values of  $V_{OC}$ ,  $J_{SC}$ , and  $\eta$  for MASnI<sub>3</sub>/MASnCl<sub>3</sub>/MASnI<sub>3</sub>, MASnI<sub>3</sub>/MASnI<sub>3</sub>/MASnI<sub>3</sub>, and MASnI<sub>3</sub>/MASnBr<sub>3</sub>/MASnI<sub>3</sub>. The parameters are directly related to the bandgap as open-circuit voltage decreases while the short-circuit current and maximum power conversion efficiency increase with bandgap. As seen in Table 4, MASnI<sub>3</sub>/MASnCl<sub>3</sub>/MASnI<sub>3</sub> has the smallest  $V_{OC}$  but larger  $J_{SC}$  and  $\eta$  due to its small bandgap. For MASnI<sub>3</sub>/MASnI<sub>3</sub>/MASnI<sub>3</sub>, it is observed that the calculated  $J_{SC}$  and  $\eta$  are  $23.87 \text{ mA cm}^{-2}$  and 20.05%, which are higher than the experimental observations of  $16.8 \text{ mA cm}^{-2}$  and 6.4% with MASnI<sub>3</sub> as the absorber layer.<sup>70</sup> Similarly, experimental based results show that a maximum PCE of 4.27% is achieved using MASnBr<sub>3</sub> as the perovskite layer in the solar cell.<sup>71</sup> Our results indicate the superior photovoltaic performance of the superlattice perovskites compared to pristine perovskites and their potentials in maintaining high PCEs as light absorption layers for photovoltaic devices. These findings likely reflect real-world factors, but more work should be done to verify the theoretical performance in a practical setting. For instance, future research on the fabrication of such structures is needed to experimentally grow the superlattices with precise control over the location of the layers to match our theoretical atomic structures in order to further demonstrate their photovoltaic applications.

**Table 4** Calculated open-circuit voltages ( $V_{OC}$ ), short-circuit currents ( $J_{SC}$ ), and PCEs ( $\eta$ ) for Perovskite superlattices

Material	$V_{OC}$ (V)	$J_{SC}$ (mA cm <sup>-2</sup> )	$\eta$ (%)
MASnI <sub>3</sub> /MASnCl <sub>3</sub> /MASnI <sub>3</sub>	0.96	26.41	20.28
MASnI <sub>3</sub> /MASnI <sub>3</sub> /MASnI <sub>3</sub>	1.05	23.87	20.05
MASnI <sub>3</sub> /MASnBr <sub>3</sub> /MASnI <sub>3</sub>	1.08	22.35	19.31

## Conclusion

We constructed ten different superlattice structures by combining the conventional halide perovskites MASnI<sub>3</sub>, MASnBr<sub>3</sub>, and MASnCl<sub>3</sub>. The crystal structure, binding energy, band structure, partial density of states, optical absorption, and photovoltaic properties of the tin-based lead-free perovskite superlattices MASnX<sub>3</sub>/MASnY<sub>3</sub>/MASnZ<sub>3</sub> (X, Y, Z = I, Br, Cl) were investigated by first-principles density functional theory (DFT) calculations. All superlattice structures are thermodynamically stable due to their negative binding energies and direct bandgap semiconductors with bandgap energies ranging from 1.46 to 3.86 eV, making many of these materials potentially suitable for a broad range of optoelectronic devices. A decrease of electronic bandgap is observed while changing the halogen composition of each pristine perovskite layer, meaning variations in the halogen contents in these materials can be used to tune the bandgap for various applications.

Of the ten superlattices considered, three displayed optimal bandgaps between 0.9 and 1.6 eV: MASnI<sub>3</sub>/MASnI<sub>3</sub>/MASnI<sub>3</sub> with a bandgap of 1.56 eV, MASnI<sub>3</sub>/MASnBr<sub>3</sub>/MASnI<sub>3</sub> with 1.58 eV, and MASnI<sub>3</sub>/MASnCl<sub>3</sub>/MASnI<sub>3</sub> with 1.46 eV. Their optical properties reveal that the materials possess high dielectric constants and large absorption coefficients between  $2\text{--}5 \times 10^5 \text{ cm}^{-1}$ . With the increasing of larger halide ions, the absorption spectra of the compounds red-shift and the absorption coefficient in the visible light range shows an increasing trend. The photovoltaic parameters were also evaluated and the calculations show that the estimated theoretical PCE of the superlattice structures reach up to 20.28%. These results suggest that the efficiency and absorption of superlattice perovskites can be improved by controlling the thickness and composition of perovskites.

The overall analysis of the properties of tin based perovskite superlattices suggests that MASnI<sub>3</sub>/MASnI<sub>3</sub>/MASnI<sub>3</sub>, MASnI<sub>3</sub>/MASnBr<sub>3</sub>/MASnI<sub>3</sub>, and MASnI<sub>3</sub>/MASnCl<sub>3</sub>/MASnI<sub>3</sub> are promising solar cell materials that display a close to ideal bandgap as well as excellent absorption properties desired for stable and high efficiency photovoltaic devices. These three compounds can be utilized in future perovskite research to enhance the design of nontoxic light absorbing perovskite materials and encourage further development of photovoltaic devices.

## Author's contributions

Amanda Liu carried out DFT calculations and data collection, created data visualization, and prepared the writing – original draft and writing – review and editing. Xuan Luo aided in designing the methodology and contributed to writing – review and editing.

## Conflicts of interest

The authors declare no conflicts of interest.

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