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## Effect of dimensionality on the excitation wavelength dependence of the Fano-Raman line-shape: a brief review

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The already existing heterogeneity in nanomaterials makes it an intriguing yet complex system to study size effect *vis-à-vis* other external perturbations and thereby local modifications at the nanoscale, thus demanding an improved tool and analysis for the choice of study. The analysis of existential subtle perturbations and interactions in a wide class of materials using Raman spectromicroscopy has proved to be of utmost importance, and various phenomena such as quantum confinement and its interplay with Fano resonance have already been investigated in nanomaterials, including the role of various perturbations such as temperature, pressure, doping, bias, and excitation wavelength on Raman spectral line shape parameters. Amongst different perturbations that cause a change in the spectral profile of Fano resonance, the gray area of wavelength dependence of Fano Raman line shape profiles has been least analysed in the literature. Moreover, the true signature of Fano resonance in nanoscaled systems, which is the wavelength dependence of Fano interaction, remains the least discussed. This review summarises the wavelength dependent correlation of Fano resonance and its effect on the Raman spectral line-shape parameters in some bulk materials, nanomaterials, and molecular systems involving heavily doped p-type crystalline silicon, 2-D MoS<sub>2</sub>, graphene, WS<sub>2</sub>, single walled carbon nanotubes, etc. A brief overview of Fano resonance in metamaterials and photonic systems is also provided.

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### 1. Introduction

Light matter interaction allows deeper insights into the physical properties of a material, which in general helps in the development of new technologies and the advancement of existing ones. It encompasses a variety of topics, from the physics of optical phenomena to the study of materials and their characteristics. In general, light matter interactions such as absorption/transmission, and scattering are important for investigating a wide range of scientific and engineering problems, from imaging<sup>1</sup> and sensing<sup>2</sup> to energy conversion<sup>3</sup> and generation.<sup>4</sup> A range of techniques available to understand subtle interactions and physical phenomena not only aid in gaining deep insights into the world of technology but also help in understanding the microscopic scale physics in a wide range of materials. After the discovery of the Raman effect, Raman spectroscopy has been established as one of the best non-destructive techniques<sup>5,6</sup> for the analysis of materials and to gain deeper insights into the range of physical phenomena

and interactions such as quantum confinement<sup>7–10</sup> and electron–phonon interactions<sup>11,12</sup> taking place in any material, including their nanoforms. Advanced techniques like Raman spectromicroscopy have moved beyond the routine characterization of nanomaterials to be used in applications and physical property characterization at the macroscopic level to a whole new level, which also includes interaction-based microscopy,<sup>13,14</sup> involving the detection of subtler level perturbations caused by the interaction of two interacting particles. These interactions get manifested and can thus be identified in terms of different Raman line-shape features, namely peak position, asymmetry, and broadening. Thus, it is important that the unambiguous interpretation of Raman spectral analysis is carried out to precisely understand the actual physical process taking place at the microscopic level.

Fano interaction,<sup>9,13,15–21</sup> one of the interactions, that is best investigated using Raman scattering has been explored in different areas of science involving optics,<sup>22</sup> photonics,<sup>23–25</sup> materials science<sup>26,27</sup> and molecular systems<sup>28</sup> to understand resonance in two level systems.<sup>26,29,30</sup> Fano resonance in materials in general and nanomaterials in particular depends on several physical perturbations and their interactions with others. The manifestation of Fano resonance in heavily doped p- & n-type crystalline silicon<sup>31–33</sup> (c-Si) has been explored in detail, following the years after this discovery using Raman

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spectroscopy through the observed asymmetry in Raman line-shape and present wavelength dependence therein. The asymmetry originating due to Fano resonance is sensitive to doping type and doping concentration and is often accompanied by an antiresonance dip in the Raman line-shape. In addition to these, the true signature of electron–phonon interaction in any system remains the perturbed wavelength dependence of the Raman line-shape profiles. The wavelength-dependent Fano-Raman line-shape has been well reported for bulk materials.<sup>31–34</sup> Fano resonance is not confined exclusively to the domain of bulk materials and a lot of reports are available on the observation of asymmetric Raman line-shape due to Fano effect in nanomaterials,<sup>8,35</sup> where the quantum confinement effect is present inherently, which also affects the Raman line-shape.<sup>18</sup> Hence, understanding and deconvoluting the different contributions in Raman line-shape becomes essential when one attempts to identify how individual processes affect the Raman line-shape.

Rigorous studies are available on the Raman spectroscopic study of systems where Fano<sup>18,34,36</sup> and quantum effects<sup>9,35</sup> are present but only a few reports account for the wavelength-dependent electron–phonon (Fano) interaction in nanomaterials and particularly in silicon (Si) nanostructures (NSs) due to several experimental constraints. Reports showing true Fano type wavelength-dependent Raman line-shape are scarce, which always attracts critics when it comes to reports on the Fano effect in nanomaterials. Typically, with increasing excitation wavelength, Fano coupling strength increases, which is reflected in terms of increasing Raman line width. Such obser-

vations are seen in bulk materials, but in nanomaterials, no affirmatory or other reports are available, likely due to the presence of (stronger) interplay of different processes at the nanoscale.

The deconvolution of interplay between size effect and wavelength-dependent Fano resonance in nanodimensional silicon, remains the least explored area even after the discovery of general Fano resonance in 1961 by U. Fano.<sup>26</sup> The inhomogeneity and the various vital active players like varying sizes and excitation wavelength's penetration depth in the Si NSs causes the perturbation in the electronic and vibronic properties and thus gets manifested in terms of Fano Raman line-shape, which needs complicated analytical frameworks. The inattentiveness in this field due to the complexity arisen *vis-à-vis* size inhomogeneity present in the SiNSs thus needs to be summarized and compiled, which will eventually focus on the vital parameters that influence the wavelength dependence in nanomaterials following some examples in bulk systems, which has progressed with time. Overall, the current mini-review attempts to summarize recent updates in this direction and tries to achieve the following goals.

(a) Highlight the utmost importance of wavelength dependence of Fano resonance affecting the Raman line shape profiles used for extracting information about Fermi level and electronic states of the system.

(b) Identify the role of various potent players like surface effects, penetration depth, and the modifications in physical and chemical properties that could perturb the wavelength dependence of Fano resonance in nanomaterials.



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(c) Assimilate the literature available in this field to pave a way for the scientific community to explore in greater detail about the grey area of Fano resonance in nanomaterials and hence understand elementary light–matter interaction and carrier excitations.

As mentioned above, Fano interaction, after the first discovery in terms of asymmetric spectral line profiles, has been explored in various systems. The quantification of the degree and nature of Fano resonance was analysed using a perturbation-based approach by considering the pre-diagonalized state and thus coupling the discrete bound states with the states having continuum of energies.<sup>29</sup> This led to the formula depicting the shape of resonance profile with a scattering cross section given by eqn (1), which is also known as the general Fano profile function:

$$\sigma = \frac{(\epsilon + q)^2}{1 + \epsilon^2}, \quad (1)$$

where  $\epsilon = \frac{2(E - E_F)}{\Gamma}$ , denotes resonant energy and  $\Gamma$  being the width of autoionized state. The minima and maxima in the Fano profile is given as  $\sigma_{\min} = 0$  (at  $\epsilon = -q$ ) and  $\sigma_{\max} = 1 + q^2$  (at  $\epsilon = 1/q$ ). The special parameter of utmost importance here is 'q', given as the ratio of transition probabilities to the mixed state and to the continuum. The schematic in Fig. 1 depicts the interaction between the discrete and continuum states (Fig. 1a) along with the well separated components of eqn (1) (Fig. 1b), indicating the contribution from discrete state, mixing term and continuum state, to the scattering cross section. In the limit of  $|q| \rightarrow \infty$ , the line shape converges to standard Lorentzian profile of a Breit–Wigner resonance, indicating a weak continuum and a transition through discrete state only. The unit value of Fano parameter, *i.e.*,  $q = 1$ , depicts the equal strength of continuum and discrete states, with the maximum and minimum value at  $E_{\max} = [E_F + \Gamma/(2q)]$  and  $E_{\min} = [E_F - \Gamma q/2]$ , respectively. The main features of asymmetrical line profile are a maxima accompanied by a minima, also called as antiresonance dip. At  $q = 0$ , the asymmetry parameter only describes an asymmetrical dip, also called as anti-resonance, and denotes the destructive interference in the system.<sup>26,29</sup> Since its first appearance, the Fano formula has been successfully used to reveal the consequential mechanism in the resonant systems including optical cavities,<sup>37</sup> photonics,<sup>38</sup> nuclear<sup>39</sup> and atomic physics<sup>40</sup> as well as in molecular systems.<sup>41</sup> Some of these mechanisms have been explained in detail in the following sections starting from an example of Fano resonance in the molecular system of pyridine.

## 2. Fano resonance in molecular systems

Based on the basic formulation by U. Fano, Lombardi *et al.*,<sup>28</sup> modified the expression for excitation profiles, accounting for the interference between molecular orbital energy levels of a molecule and the continuum of conduction band in metals, to



**Fig. 1** (a) Schematic representation of Fano resonance and (b) a schematic depiction of the Fano formula (eqn (1)) in terms of its components as the interference of discrete states with electronic continuum. Reproduced from ref. 29 with permission from [American Physical Society], copyright [2010].

generate an asymmetric Fano line-shape from a molecule/metal system to experimentally demonstrate Fano type asymmetry (Fig. 2a). This work was an extension to the theoretical expression of surface enhanced Raman scattering (SERS) to account for the interaction between molecular orbital levels and continuum of states in metal. In this work, the SERS spectrum of  $\text{CN}^-$  comprised of a strong background signal (not due to Rayleigh scattering). Lombardi *et al.*<sup>28</sup> explained that the continuum displayed an electrochemical potential, which is identical to the SERS spectrum of pyridine. Following that, many scientists tried to adequately explain the background followed by the usage of Fano resonance by Lombardi *et al.*, in the context to generate universal theory of SERS, including the contributions from molecular resonance, surface plasmon resonance and charge transfer resonance. The idea of using Fano profiles in SERS-based systems leads to excellent fits of the excitation profiles obtained from SERS (Fig. 2b).

In a previous study, the equation consisting of expression for the contribution of three possible sources of resonantly enhanced surface Raman spectra is given as eqn (2) below:<sup>28</sup>

$$R_{\text{IFK}}(\omega) = \frac{\mu_{\text{KL}}\mu_{\text{FK}}h_{\text{IF}}\langle i|Q_k|f\rangle}{((\epsilon_1(\omega) + 2\epsilon_0)^2 + \epsilon_2^2)(\omega_{\text{FK}}^2 - \omega^2 + \gamma_{\text{FK}}^2)(\omega_{\text{IK}}^2 - \omega^2 + \gamma_{\text{IK}}^2)}, \quad (2)$$

where  $\mu$  represents transition dipoles between various states of the molecule–metal system, I and F being the ground state of



**Fig. 2** (a) Energy levels of the metal–molecule system. (b) Fano profiles of the voltage-dependent profile of the  $1008\text{ cm}^{-1}$  line of pyridine, wherein red circles represent simulated Raman line shape and black squares represent the experimental data. Reproduced from ref. 28 with permission from [American Chemical Society], copyright [2010].

the system and an excited molecule–metal charge transfer state, K refers to the excited state of molecule,  $h_{IF}$  denotes Herzberg–Teller vibronic coupling constant. Term  $\langle i|Q_k|f\rangle$  denotes vibrational matrix element of the normal mode  $Q_k$ .  $\epsilon_1$ ,  $\epsilon_2$  and  $\epsilon_0$  represent the real and imaginary dielectric constants of the metal and the surrounding medium, respectively,  $\omega_{FK}$ ,  $\omega_{IK}$  and  $\omega$  are optical transition frequencies and laser frequency, respectively, along with the damping factor  $\gamma$ . eqn (2) is fundamentally contingent upon the relative values of resonance conditions, including the damping factors  $\epsilon_2$ ,  $\gamma_{FK}$ , and  $\gamma_{IK}$ , in relation to the laser wavelength. Variations in the excitation wavelength are expected to induce changes in the relative intensities of Raman bands. This phenomenon underlies the considerable variability in the SERS spectrum's appearance with varying excitation wavelengths. It is essential to recognize that the three resonances contribute multiplicatively, precluding their consideration as independent entities. Even though each resonance may contribute differently at distinct wavelengths, their interdependence is evident in the examination of the numerator. Specifically, the three resonances are interconnected by the requirement that all four matrix elements must be simultaneously nonzero for the observation of a spectral band. This imposes stringent selection rules that govern the resultant appearance, including relative intensities, of the SERS spectrum. The numerator encompasses two transition dipole moments, one for the charge-transfer state (F, K) and another for the molecular state (I, K). Additionally, the Herzberg–Teller coupling constant ( $h_{IF}$ ) plays a crucial role in determining the symmetry of the normal mode.

The above eqn (2) is a typical sum over states and is valid when far from any of the resonances. Only a single or a few terms dominate near the resonance. The term in eqn (2), which could be dependent on Fermi energy and depicts charge transfer resonance ( $\omega_{FK}^2 - \omega^2 + \gamma_{FK}^2$ ) occurring at  $\omega = \omega_{FK}$ , being the more general type of resonance, has been considered

to understand the interaction of the molecule's unfilled, excited level with an unfilled level of the conduction band of the metal. After expanding the term responsible for charge transfer, the final equation comprising the Fano asymmetry parameter is given as eqn (3)

$$|R_{IFK}|^2 \propto \left| \frac{\mu_{FK}}{\gamma_{FK}(i + \epsilon)} \right|^2 = c \left( \frac{(q + \epsilon)^2}{1 + \epsilon^2} \right) \quad (3)$$

where  $q$  is the measure of ratio of transition probabilities from the initial state  $i$  to the modified discrete state  $\Phi$  to that of unperturbed continuum states  $\psi_E$ , such that

$$\frac{1}{2} \pi q^2 = \frac{2|(\Phi|T|i)|^2}{|(\psi_E|T|i)|^2 \gamma_{FK}}$$

To test this theoretical formulism, the  $1008\text{ cm}^{-1}$  line of the pyridine molecule, previously reported on Ag electrode, in comparison with potential dependence of continuum, was used for fitting with the theoretical Fano line shape. The optimum fit was obtained with a width of  $0.25\text{ eV}$  for  $q = 5$ . Overall, the modified SERS profile, encompassing the Fano resonance between molecular state and continuum of energy provide by the metal, has been studied as evidence of molecular Fano in these systems (Fig. 2b). This study remains one of the firsts in the area that shows Fano interaction in molecular systems.

## 2.1 Fano resonance in material systems

Although Fano resonance is explained by its own way of revealing itself in different systems like nanostructures, bulk, meta-materials and photonic systems, Fano resonance in light scattering from material systems<sup>42</sup> is predominantly caused by the interaction of electronic continuum provided by the means of heavy doping, electron excitation, *etc.*, with the discrete energy of phonon of a discrete vibration, giving rise to the asymmetrically broadened Raman line shapes having strong Fano profile

features, as discussed in detail in section 1. For example, in heavily doped p-type Si, the carrier concentration, due to heavy acceptor doping, affects the peak position and FWHM of the Raman line shape of one-phonon Raman spectrum.<sup>31</sup> Considering the effects of carrier concentration on the elastic constants of the material, the “static” phonon perturbation is known to split the top of the valence band and eventually change the dispersion relation of the holes. These changes in the band structure causes inter- & intra band redistribution of carriers, which is known to lower the electronic contribution to the free energy of the crystal. Due to this, the energy spent in deforming the crystal is regained through carrier redistribution with a consequent “softening” of the crystal with respect to that vibration. The shift of the peak position towards low energy is a manifestation of the phonon “softening”.<sup>15</sup> The energy of continuum of electronic excitations produced by inter-valence-band transitions overlaps with that of the phonon, giving rise to two competing mechanisms: one originating from the continuum of electronic excitations & the other one from one-phonon scattering. The continuum overlaps in energy with the phonon for a broad range of values of carrier concentration because the Si’s spin orbit energy is 44 meV, whereas the phonon frequency is 65 meV. Apart from Fano resonance in heavily doped Si,<sup>31,43</sup> it has been extensively observed in carbonic materials like graphene,<sup>44,45</sup> single walled carbon nanotubes<sup>46</sup> and 2-D materials like MoS<sub>2</sub><sup>36</sup> & WS<sub>2</sub>.<sup>47</sup> The mode of electronic continuum is evidently different in each material systems, as discussed in further details in each section.

### 3. Fano resonance and its wavelength dependence in bulk materials

#### 3.1 Heavily doped c-Si

Heavily doped semiconductors, like Si, prove to be a great platform to observe Fano resonance taking place due to the coupling of continuum of states, occupied by electrons (or holes), to the discrete one-phonon state. For example, in heavily doped p-type Si, the inter-valence-band transitions provide electronic continuum, whose energy then overlaps with the phonon. The one electron excitations are IR-forbidden but Raman-active. The spin-orbit splitting energy is reported to be 44 meV, the electronic continuum overlaps with the phonon energy, which leads to the asymmetric Fano resonance Raman line-shape profiles (Fig. 3). Additionally, the asymmetric line shape also displays a shift in the position phonon energy towards low frequencies owing to the real part of the self-energy due to phonon deformation-potential interaction in the presence of free electrons in the conduction band.<sup>31</sup> The above said electron-phonon interaction is dependent on various physical parameters like carrier concentration of dopant atoms, temperature, laser power as well as wavelength of incident laser<sup>11</sup> (Fig. 3). Due to the ease of experiment and Raman



Fig. 3 Wavelength-dependent Raman line shape in boron-doped Si (p-type); discrete points show experimental data points and solid lines depict theoretical fits using eqn (1). Reproduced from ref. 48 with permission from [Elsevier], copyright [1973].

spectroscopy being one of the versatile tools to probe such processes, degenerately doped Si is considered as one of the best systems to understand Fano resonance in its totality. This establishes that the Fano-Raman line-shape asymmetry and thus the Fano coupling increases with increasing excitation wavelength and increasing doping concentration.

A deeper study by Cerdeira *et al.*<sup>48</sup> analysed the underlying mechanism of wavelength dependence of Fano interaction heavily doped p-type Si. Fig. 3 shows the line shapes for a boron doping of  $1.6 \times 10^{20} \text{ cm}^{-3}$  at varying scattering wavelengths. The experimentally obtained curves were fitted with Fano line shape function (eqn (1)). The dependence of Fano parameter “ $q$ ”, as it is defined as the ratio of scattering probability of the discrete state to that of the continuum, can therefore reveal a wavelength dependence if the two processes have varied frequency dependence. The expression for the leading term near the resonance of the  $\Gamma_{25'}$  Raman tensor component for electronic scattering ( $R_e$ ) is given by eqn (4).<sup>48</sup>

$$R_e \propto \sum_i \frac{\langle f|P_y|i\rangle\langle i|P_x|0\rangle}{[(\omega_f - \omega_i) - \omega_L]}, \quad (4)$$

On the other hand, the Raman tensor for one-phonon Raman scattering is given by eqn (5)<sup>48</sup>

$$R_p \propto \sum_i \frac{\langle 0|P_x|i\rangle\langle i|P_x|0\rangle}{[(\omega_f - \omega_i) - \omega_L]^2}, \quad (5)$$

where  $|0\rangle$  &  $|f\rangle$  represent initial and final states respectively;  $\omega_L$  is the frequency of scattering radiation; &  $p$  is the linear momentum. The dependence  $q \propto |R_p/R_e|$  thus explains the decrease in electron-phonon coupling as the excitation frequency (wavelength) increases (decreases).

Approximating the electronic excitation spectrum of Si as one-dimensional critical point  $E'_O$  at 3.3 eV and  $E_2$  at 4.3 eV, the final expression for  $q$  comes out as eqn (6).

$$q^{-1} \propto (\omega_0 - \omega_L), \quad (6)$$

Similar observations have been made for heavily doped n-type Si also because of the possible transitions of conduction band valleys between  $\Delta_1$  and  $\Delta_2$  conduction bands that are along the  $\langle 100 \rangle$  directions. Several reports and consequent theory suggest that there must exist a wavelength-dependent Raman line-shape where Fano resonance is breaking the typical Raman line-shape symmetry, especially in semiconductors.

### 3.2 Perovskite type oxide ( $ABO_3$ ) systems

The electron–phonon Fano interaction is not limited to only metal–molecular or extrinsic semiconductor alone; rather, it is a general phenomenon that can be observed in any system where discrete–continuum type interactions are possible. Recently, Kumar *et al.*<sup>49</sup> reported the typical wavelength dependence of orbital-mediated electron–phonon Fano coupling in doped rare-earth orthoferrites. The asymmetric Raman line shape profiles comprising of antiresonance dip has been claimed to carry a wavelength-dependent orbital-mediated lattice rearrangement originating from the electronic excitation from  $Cr^{3+}(d^3)-O^2-Fe^{3+}(d^5)$  ions. In this study, the Raman mode at  $660\text{ cm}^{-1}$  has been attributed to this lattice rearrangement. Such an orbital-mediated charge transfer was also explained to be related with antiresonance dip around  $600\text{ cm}^{-1}$  (Fig. 4). Comparison between the resonant Raman spectra recorded using 785 nm and 633 nm laser, closer to the charge transfer gap of  $Cr^{3+}(d^3)$  and  $Fe^{3+}(d^5)$  ions, also showed significant wavelength dependence. Typically, Fano resonance is not observed in traditional semiconductors due to the considerably higher energy scale associated with interband electronic transitions compared to phonon excitations in these materials.

Nevertheless, the introduction of dopants allows for the creation of a continuum of electronic energy states, causing a shift in the Fermi level toward the valence band/conduction band. The electrons at the Fermi level become nearly unrestricted in their ability to interact with phonons. In this study, a 50% substitution of Cr in  $RFeO_3$  samples was undertaken with the anticipation of inducing Fano resonance scattering. To delve into this phenomenon, resonant Raman scattering data were gathered using lasers at 785 and 633 nm.

The choice of a 633 nm wavelength (approximately 1.95 eV) closely aligns with the charge transfer (CT) gap ( $\sim 2\text{ eV}$ ) involving  $Cr^{3+}(d^3)$  and  $Fe^{3+}(d^5)$  ions. Consequently, the absorption is maximized for the 633 nm wavelength, suggesting a minimized penetration depth for lower excitation wavelength. This aligns with the observation that the Raman modes of the  $Pnma$  space group exhibit greater intensity at 785 nm than at 633 nm. Fig. 1 highlights significant alterations in the antiresonance dip concerning both the excitation laser wavelength and incident power. The origin of this dip is explicable in terms of the interaction between the electronic continuum of electronic excitations and discrete phonon lines. The pronounced reliance of the Raman line shape on the excitation wavelength arises from the fundamentally distinct behaviours exhibited by these two scattering processes. This study reaffirms the wavelength dependence of the Fano-Raman line-shape.

## 4. Excitation wavelength-dependent Fano interaction in nanostructures

From the discussion above, there is no apparent fundamental restriction on the materials' dimensions for Fano resonance with its parametric identifiers like wavelength dependence to be observed if the basic condition of discrete/continuum overlap is met. This is evident from various reports about the

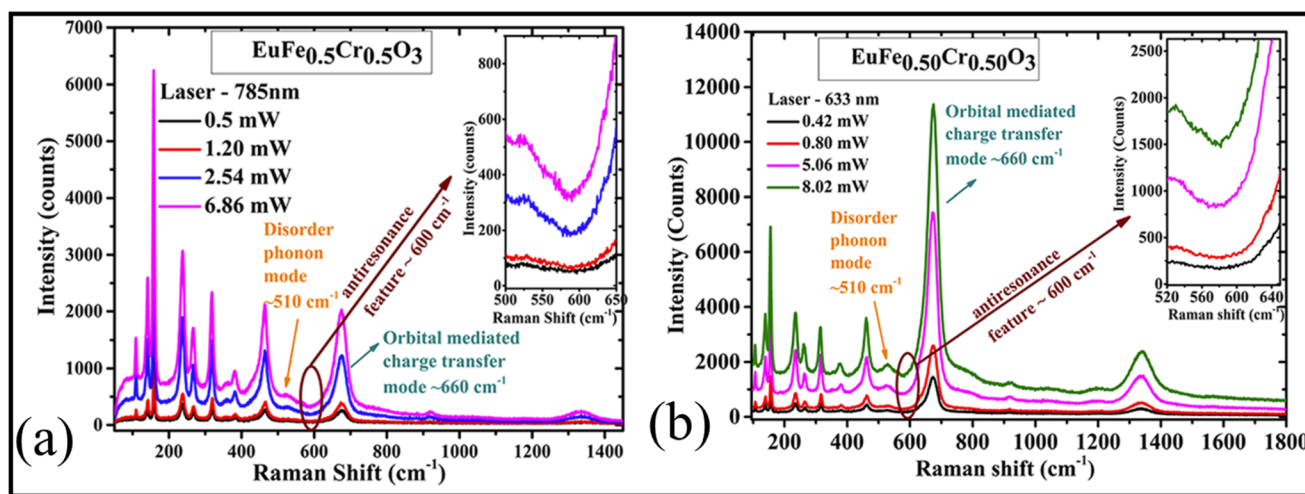


Fig. 4 Raman spectra for Cr-doped  $EuFeO_3$  samples using excitation wavelengths (a) 785 nm and (b) 633 nm at different laser powers. Reproduced from ref. 49 with permission from [American Chemical Society], copyright [2022].

presence of Fano interaction in nanomaterials; however, these reports do not clearly comment on its wavelength dependence. As a consequence, no theoretical framework has been developed to be experimentally validated. Following are some low dimensional systems where Fano effects and its wavelength dependence have been reported.

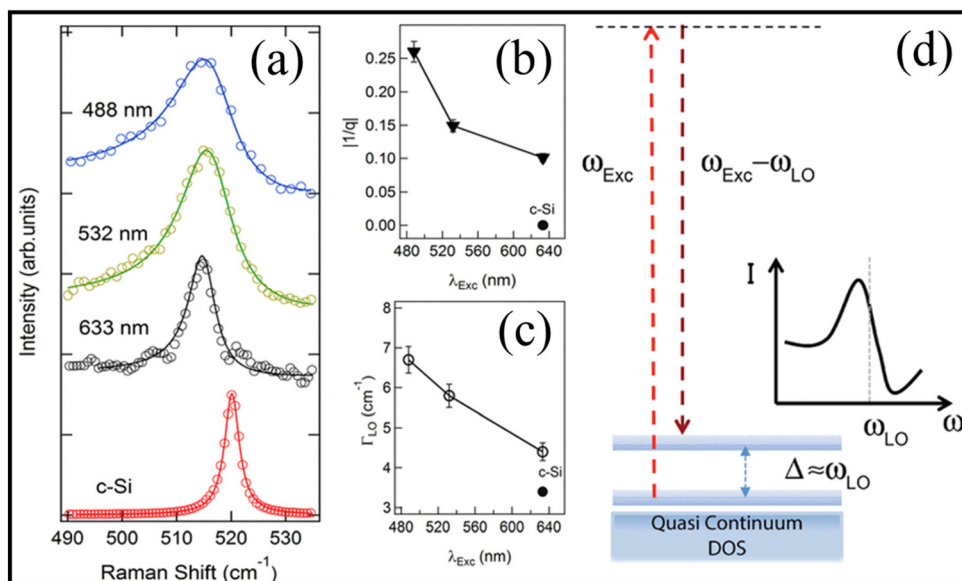
#### 4.1 Silicon nanocrystals

In a recent study, Sagar *et al.*<sup>50</sup> reported the role of excitation wavelength on asymmetric Raman line-shape in a colloidal Si nanocrystal system. In a successful attempt to study phonon confinement from Si, the origin of asymmetry in 5.3 nm sized nanocrystals was studied as a function of excitation wavelength. In a striking observation, in this measurement, a reversed trend of Fano resonance with the excitation energies as opposed to the bulk Si was observed, *i.e.*, the line width and Fano interaction both decrease as the excitation wavelength decreases (Fig. 5a–c). Such an atypical wavelength dependent Fano-Raman line-shape was explained to be caused likely by shift in the energy electronic continuum with the change in excitation wavelength and size of the NS. A representative schematic (Fig. 5d) shows the proposed scenarios, *i.e.*, the modification of continuous density of states, thus giving rise to mini gaps and narrow band like levels due to quantum confinement, suggesting that due to the inter-band photoexcitation of electron-hole pairs, a dissimilarity in the band structures of electron and holes causes asymmetric excitonic wavefunction. In this qualitative model (Fig. 5d), the strong quantum confinement present in smaller nanocrystals results in the formation of band-like levels, which can host a continuum of photoexcited electrons. The level spacing ( $\Delta$ ) approaches the

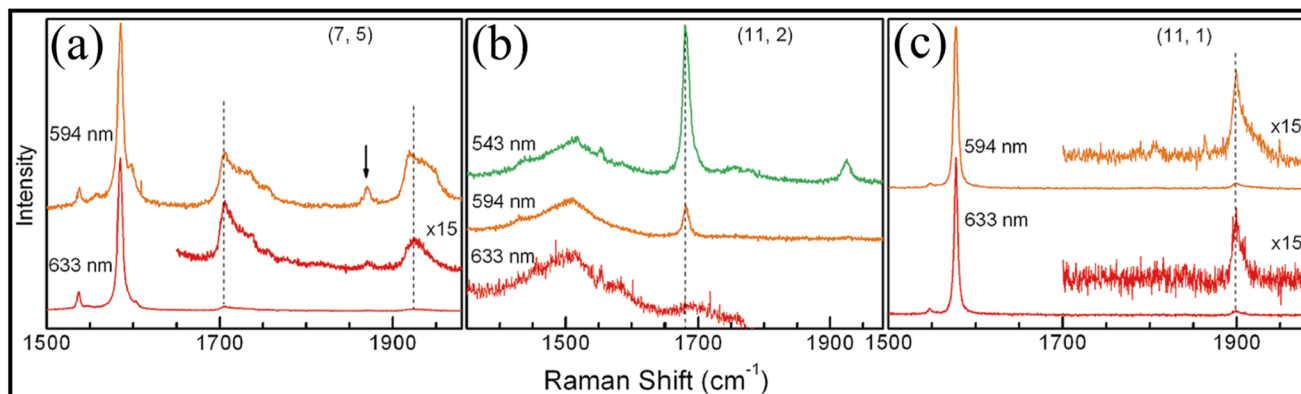
longitudinal optical (LO) phonon energy, leading to the coupling of LO phonons to the continuum, resulting in the observed strong Fano-line shapes. Conversely, in larger nanocrystals, the level spacing is smaller than the LO phonon energy, leading to limited interaction between the LO phonon and electrons and resulting in a nearly symmetric Lorentzian line-shape. Though the report by Sagar<sup>50</sup> *et al.* shows (atypical non-Fano type) wavelength-dependent Fano-Raman line-shape, the explanation remains qualitative in nature and is not a representative of a true Fano type energy dispersive line-shape.

#### 4.2 Single walled carbon nanotube

Like bulk semiconductors, Raman spectroscopy of single walled carbon nanotube (SWCNT) has proved to be extremely significant and a non-destructive characterization tool to understand the electronic and vibrational properties. Zhang *et al.*<sup>51</sup> observed the Raman mode in the range of 1650–2000  $\text{cm}^{-1}$  for small diameter SWCNT (Fig. 6). The Raman spectra from semiconducting and metallic tube display excitation wavelength-dependent line-shape. The assignment of the tubes ( $n,m$ ) has been done on the basis of their radial breathing mode frequencies and intensities at various excitation energy, along the Kataura plot reported by Son *et al.*<sup>52</sup> The intensity of the M band and 1950  $\text{cm}^{-1}$  band, which is a combination of in plane transverse optical and longitudinal acoustic phonon (iTOLA), does not change with excitation wavelength, related to the G band. As the excitation wavelength decreases, a shoulder develops at the high-energy side of the iTOLA band (Fig. 6). Similar to the abovementioned report for Si NSs, a wavelength dependence, in contrast to the case of Fano type, is also observed from individual tubes when 594,



**Fig. 5** (a) Excitation wavelength-dependent Raman spectra of the LO mode for the 5.3 nm ( $E_{\text{PL}} = 1.29$  eV) sample with the Raman spectrum for bulk intrinsic c-Si shown for comparison and collected on the same setup as nanocrystalline samples. (b and c) Variation of the asymmetry factor and line width as a function of excitation wavelength. (d) A qualitative picture describing the proposed model that can explain observed size-dependent Fano interference. Reproduced from ref. 50 with permission from [American Chemical Society], copyright [2015].



**Fig. 6** Excitation wavelength-dependent Raman spectra of three individual tubes: (a) semiconducting tube (7, 5), (b) metallic tube (11, 2), and (c) semiconducting tube (11,1). Spectra were acquired using laser wavelengths of 633, 594, and 543 nm. Arrow in panel a indicates the weak G + RBM combination feature. All spectra are normalized to the G band peak of each tube. Reproduced from ref. 51 with permission from [American Chemical Society], copyright [2009].

543 and 514 nm excitations were used. The observation of some unusual single-tube Raman spectra featuring enhanced second-order modes was attributed to the resonant scattering of photons with exciton transitions within the nanotubes. No attempt to develop a consolidate model on Fano-type wavelength-dependent Raman line-shape variation was made, leaving the atypical wavelength dependence unresolved. It is important here to mention that low-dimensional carbon is one of the systems where Fano interactions have been reported with no explanation of the wavelength dependence.

## 5. 2D materials

### 5.1 Graphene

The ability of carbon-based 2-D materials, like graphene, to revolutionize next-gen electronics and photonics have fuelled researchers to analyse various subtle interactions and physical properties in these materials.<sup>45</sup> Raman spectroscopy has been utilized as a non-destructive tool to probe graphene layers *vis-à-vis* understanding the electron-phonon interaction and interlayer interactions. Tan *et al.*,<sup>45</sup> analysed the interlayer shear mode, *i.e.*, low-energy  $E_{2g}$  mode denoted as the vibration of the C peak of few layer graphene (FLG), ranging from bilayer graphene (BLG) to bulk graphite. They used three BraggGrate notch filters (BNF) in combination with a single monochromator (Fig. 7a) to probe Raman modes with vibrational wavenumber as low as  $\sim 10 \text{ cm}^{-1}$ , leading to good Raman signals with shorter acquisition times. The authors in this study used low doped Si with resistivity  $\geq 2000 \text{ } \Omega \text{ cm}$  and suspended the FLG ON 2–5  $\mu\text{m}$  holes (Fig. 7b). Fig. 7c shows the Stokes and anti-Stokes Raman spectra of the supported and suspended BLG, 11LG and bulk graphite, where NLG denotes FLG with  $N$  layers. Fig. 7d shows the layer dependence of Raman spectra, and Fig. 7e plots the peak position of G and C peak as a function of  $1/N$ , where  $N$  is the number layer. It can be clearly seen that the position of G peak remains constant at  $\sim 1581 \text{ cm}^{-1}$ ,

whereas the C peak shifts towards higher wavenumber side as  $N$  increases.

The ratio of the intensity of C and G peak, denoted as  $I(C)/I(G)$ , at 633 nm was determined after calibration to consider the distinct response of the system in the C and G peak spectral regions. The calculated values are approximately 0.0052, 0.044, and 0.049. Additionally, the ratio of the integrated peak areas,  $A(C)/A(G)$ , is approximately 0.00038, 0.0023, and 0.0034 for bilayer graphene (BLG), 11-layer graphene (11LG), and bulk graphite, respectively. These ratios exhibit slight variations with excitation energy. For instance, at 532 nm, the ratio  $I(C)/I(G)$  is approximately 0.025, and  $A(C)/A(G)$  is approximately 0.00096 for bulk graphite. The dependence of these ratios on the electron-phonon coupling (EPC) suggests that  $EPC(C)$  is significantly smaller than  $EPC(G)$ . The dependence of  $\text{Pos}(C)$  on the number of layers is explicable through the consideration of a linear-chain model, suggesting implications for Bernal-stacked few-layer graphene (FLG).

In this context, the observed hardening of the C mode is attributed not to variations in interlayer coupling but rather to an overall increase in the restoring force. This increase is linked to the differing binding strengths of surface layers compared to those in the bulk, as observed in the transition from bilayer graphene (BLG) to bulk graphite. For a given number of layers ( $N$ ), the variations in  $\text{Pos}(C)$  are anticipated when interlayer coupling is modified, such as through alterations in spacing or relative layer orientation. In the latter case, the expectation is also for mode splitting to occur. The findings are substantiated by additional support from *ab initio* calculations conducted through density functional theory (DFT) and Density Functional Perturbation Theory (DFPT).

The wavelength-dependent change in the peak position and FWHM of C peak was analysed using three different excitation wavelengths (532, 633 & 785 nm). The C peak, corresponding to the  $E_{2g}$  mode at position  $x$ , exhibits non-dispersive behaviour with excitation energy, in contrast to the D, D', and D'' peaks along with their overtones. This lack of dispersion is evidenced





**Fig. 7** (a) Schematic diagram of our single monochromator with three BraggGrate notch filters (BNF). (b) Optical micrography of the FLG sample. 2LG-A/11LG-A and 2LG-B/11LG-B denote supported and suspended flakes, respectively, (c) S/AS spectra of supported flakes and suspended flakes, top and bottom, respectively, in the C peak region (left) and S spectra in the G/2D peaks region (right), (d) S/AS Raman spectra for the C peak spectral region (left) and S Raman spectra for the G peak spectral region (right). (e) Peak positions Pos(G) (filled black circles) and Pos(C) (open blue circles) as a function of inverse layer number, (f) C peaks with BWF fit for bulk graphite and 3LG. Fitted curve (solid red lines); background (dashed lines); BWF component (dash-dotted lines). Reproduced from ref. 45 with permission from [Springer Nature], copyright [2012].

in Fig. 7f, where the C peak remains unchanged for excitation wavelengths of 785, 633, and 532 nm. The full width at half maximum (FWHM) of the C peak (FWHM(C)) is influenced by two main factors governing the linewidth of  $E_{2g}$  Raman modes in graphene and graphite: the electron-phonon coupling (EPC) term and anharmonic phonon-phonon interactions.

In undoped conditions, FWHM(G) is approximately  $12\text{--}14\text{ cm}^{-1}$  in single-layer graphene (SLG) and bulk graphite, predominantly due to the dominant EPC contribution. The phonon-phonon contribution is about  $\sim 1.7\text{ cm}^{-1}$ . Notably, the experimental FWHM(C) is significantly smaller compared not only to the overall FWHM(G) but also in relation to the non-EPC component of FWHM(G). This discrepancy suggested a considerably smaller electron-phonon coupling for C (EPC(C)) compared to G (EPC(G)), in line with the lower intensity of the C peak.

## 5.2 Tungsten disulphide ( $\text{WS}_2$ )

The 2-D materials with stoichiometry of  $\text{MX}_2$  ( $\text{M} = \text{Mo}, \text{W}; \text{X} = \text{S}, \text{Se}, \text{Te}$ ) provides the researcher with an opportunity to understand electron phonon coupling and the interplay of quantum confinement & dielectric screening in these systems. In the realm of their optical transitions and light-matter interaction, a robust exciton feature guides them, characterized by binding energies in the order of several hundred meV. Governed by intense spin-orbit (SO) coupling and exciton-phonon interaction, the system facilitates the exploration, dark excitons, phonon-assisted excitonic luminescence up conversion, exciton-phonon scattering, *etc.* In an attempt to understand one of the 2D materials, namely,  $\text{WS}_2$ , the Raman scattering analysis of the excitonic resonant Raman scattering (RRS) spectra of few-layer  $\text{WS}_2$  was presented by Tan<sup>47</sup> *et al.* The

material was excited by 11 laser lines that covered all A, B, and C exciton transition energies at different sample temperatures ranging from 4 to 300 K.

Fig. 8a & b shows the ultra-low frequency RRS of the WS<sub>2</sub> layers. Comprising three atomic layers, with one W layer sandwiched between two S layers, each layer is referred to as a tri-layer (TL). The resonance of excitation energy with the C excitation transition is in the range of 2.81 to 2.54 eV; the only observable modes are interlayer shear modes (SMs) and interlayer breathing modes (LBMs), having a strict frequency dependence on the number of layers in WS<sub>2</sub>. On the other hand, when the excitation energy is resonant with B exciton (2.41 to 2.18 eV), two new peaks located at 27.8 & 45.4 cm<sup>-1</sup> were observed, having no frequency and layer number dependence and were labelled as acoustic phonons (TA & LA, respectively), with finite wave vector *k*. In between the energy range of A and B excitons, the LA and TA peaks vanished.

Lastly, the authors observed that when the laser excitation energy was close to A exciton (1.92 and 1.96 eV), a new peak with asymmetric Fano line shape was originated. The authors proposed and validated the origin of such Fano line shape from the interference between the SM<sub>NV-1</sub> discrete phonons and continuum of excitonic states at resonant Raman frequency using theoretical Fano function. Overall, in this study, several forbidden phonon modes were analysed using a range of excitation wavelengths. By fitting the experimentally obtained Fano Raman line shaped from SM and LA modes with the help of parity selection rules of Raman scattering, the authors successfully determined the bright-dark fine structure of 1s A exciton, hence probing the exciton-phonon interaction in WS<sub>2</sub>.

In another interesting study from the same author,<sup>53</sup> Fano resonance and its wavelength dependence between the dark excitons and zone-edged acoustic phonons in few layer WS<sub>2</sub> were reported. There results of resonant interaction between discrete phonons with large momentum at the M-point of the Brillouin zone and the continuum of dark excitonic states were analysed using Fano asymmetry parameter *q*.

### 5.3 Molybdenum di-sulphide (MoS<sub>2</sub>)

With the advent of better detectors and efficient lasers, Raman spectroscopy has proved to be the best technique to understand the vibrational properties of 2D materials in their macroscopic as well as monolayered forms.<sup>54-58</sup> Raman spectroscopy has revealed a great deal of information in terms of analysing the physical perturbation and subtle scale phenomena. The usage of Raman microscopy is not limited to the identification of number of layers/thicknesses of 2-D material but rather extends into the studies of intriguing light-matter interactions. The strong physical and chemical perturbations like quantum confinement and electron-phonon interaction have been explored for a better usage in devices due to their direct effect on the transport and optical properties of these materials. Amongst these perturbations, a study by Tanwar *et al.*<sup>36</sup> reported Fano-type wavelength-dependent Raman line-shape variation in MoS<sub>2</sub>. The two characteristic vibrational modes of MoS<sub>2</sub>, namely, E<sub>2g</sub><sup>1</sup> and A<sub>1g</sub>, were explored for their Fano type interaction with the continuum present in the system. A parametric Raman line shape analysis was presented in order to analyse the origin of asymmetry induced in the experimental Raman line shape was obtained from shining two different excitation wavelengths on the material. The asymmetry in the Raman line shape was assigned to the electron-phonon interaction having an excitation wavelength dependence (Fig. 9). The origin of electronic continuum was substantiated by the broad photoluminescence (PL) emission due to the energy difference between A and B excitonic levels, leading to exciton-mediated Fano-like interference in bulk MoS<sub>2</sub>. The work by Tanwar *et al.* remains one of the few reports where true Fano-type wavelength-dependent Fano-Raman line-shapes were demonstrated. It is important here to mention that this work too lacks the theoretical rationale that can confirm the energy dispersive behaviour of Fano interaction in low dimensional materials. Apparently, little work has been done towards establishing the nature of wavelength-

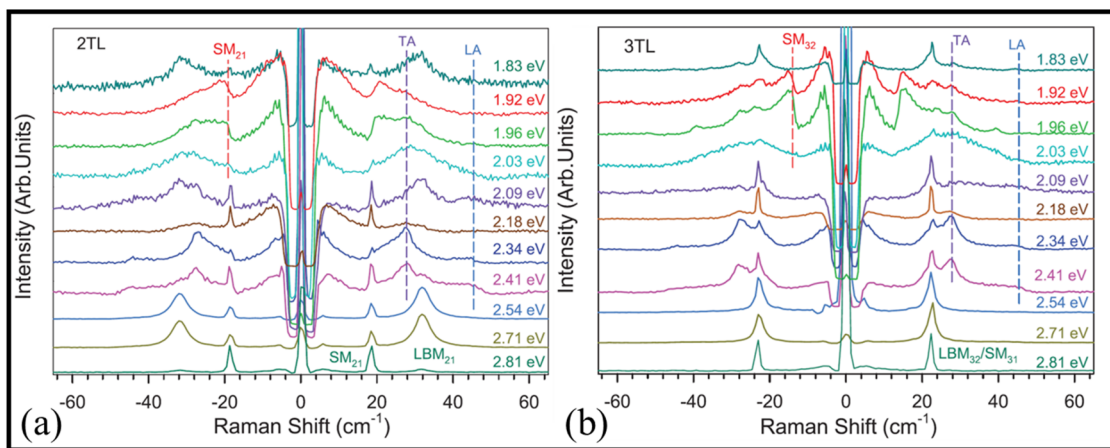


Fig. 8 (a) Ultra-low frequency Raman spectra of 2TL and (b) 3TL WS<sub>2</sub> samples with different laser excitation energy (*E*<sub>1</sub>). Reproduced from ref. 47 with permission from [IOP Publishing], copyright [2014].



**Fig. 9** Theoretical fitting (solid lines) of experimentally observed Raman spectra (discrete points) of MoS<sub>2</sub> nano-flakes corresponding to the E<sub>12g</sub> mode (a) and A<sub>1g</sub> mode (b) recorded using 633 and 532 nm laser excitation represented in red and green colours, respectively. Reproduced from ref. 36 with permission from [American Chemical Society], copyright [2022].

dependent Fano interaction in nanostructures due to which the area remains unexplored, and a lot of scientific mystery is waiting to be unearthed.

## 6. Fano resonance in metamaterials and photonic systems

Metamaterials are found to be consisting of periodic structures of subwavelength size, which can be tailored to support a resonant response to applied electromagnetic wave.<sup>59,60</sup> The excitation of bright and dark modes by the incident light, due to symmetry breaking, leads to Fano resonance. The optimization of Fano line shape in such a system is done by changing the structural symmetry of the resonator to give a sharp spectral response (*Q* factor). But such an optimisation has its own limitations and can lead to low resonance intensities as well as limiting the figure of merit (FoM) by coupling the plasmonic resonance to the first order lattice mode (FOLM). In a study by Tan<sup>59</sup> *et al.*, the coupling of an asymmetric split ring resonator (ASRR) to the FOLM of the resonator array was experimentally analysed. They observed an enhancement of both *Q* factor and FoM. In a typical ASRR, the excited split mode consists of low frequency Fano resonance and high frequency dipole resonance. The low frequency Fano resonance of the split mode implies a large period to couple with the lattice mode, which can be inefficient. Therefore, the capacitive coupling of ASRR was changed to inductive by flipping the arms of ASRR (inset Fig. 10). The higher (Fig. 10a) or lower (Fig. 10b) resonant frequency is then decided by the nature of coupling between the resonator arms.

In summary, a terahertz asymmetric resonator with a Fano resonance as the higher frequency split mode was used to perform lattice mode matching. This study demonstrated a general method for *Q* factor enhancement in metamaterial resonances for these to be utilized in various applications such as lasing spacers and sensing. Fano resonance in meta-



**Fig. 10** Fano resonances of different aluminium resonator configurations. (a) Square configuration of end-coupled resonator arms and (b) side-coupled configuration with the resonating arms flipped. Reproduced from ref. 59 with permission from [John Wiley and Sons], copyright [2020].

materials and photonic system has been rigorously studied to develop metamaterial devices for real world applications.<sup>61–64</sup>

## 7. Summary and future outlook

Even after the advent of nanoscience & nanotechnology, the grey area of size-modulated Raman excitations in nanomaterials has been least discussed in the last few decades due to the predominance of nanotechnology over nanoscience. Overall, the current review illuminates the understanding of the role of size in excitation wavelength-dependent electron-phonon interaction and thereby drawing the attention of researchers working in this field to resolve the role of dominant players that causes inhomogeneity in nanomaterials. Also, a better understanding of electron-phonon interaction by analysing the role of lesser studied yet critical perturbation, *i.e.*, excitation laser frequency, will certainly help the scientific community to contemplate upon this key parameter for broadened the understanding of various mechanisms taking place

at the nanoscale. Some possible futuristic analysis could be as follows.

Developing an exhaustive theoretical framework to understand and incorporate the role of latent players, for example, the modified electronic continuum in nanomaterials needs a theoretical modelling in the form of a modified Fano equation.

As mentioned above, the inhomogeneity and size-dependent variation in excitation wavelength penetration depth appears to be hindering the true Fano type behaviour in nanomaterials. This can be validated only after developing an appropriate theoretical framework.

There are hidden variables that govern the dynamics of physical perturbation and processes in nanomaterials' domain; therefore, the theory needs to be established, which can be validated through designing neat experiments so that the true wavelength-dependent Fano resonance in such type of systems can be established.

## Conflicts of interest

Authors declare no conflicts of interest.

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