



Cite this: *Nanoscale*, 2024, **16**, 9710

# Strategies to alleviate distortive phase transformations in Li-ion intercalation reactions: an example with vanadium pentoxide

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Chemical and electrochemical Li-ion insertion in transition metal oxides, either *via* a phase transformation reaction (ions insert into specific crystallographic sites of the host lattice) or a solid solution insertion (ions distribute uniformly throughout the host lattice), enables high energy density electrochemical energy storage. Many phase transformation cathode materials, that undergo two-phase reactions, exhibit high theoretical capacities arising from multi-electron redox reactions. However, challenges in distortive phase transformations and uncontrolled phase nucleation, propagation, segregation, and co-existence continue to limit the energy density, (dis)charging rate performances, and cycling stability of available phase transformation cathode materials. Vanadium pentoxide ( $V_2O_5$ ), a classical layered intercalation host material with high theoretical capacity, undergoes irreversible structural changes and capacity fading when intercalating more than one lithium ion per  $V_2O_5$  unit in its thermodynamically stable phase. Here, we review recent synthetic strategies to alter the V–O connectivity, thereby alleviating distortive phase transformations and promoting solid solution-based Li-ion insertion in  $V_2O_5$ . We also summarize several widely accessible and classical molecular-based analytical tools that can provide local structural dynamics and phase transformation mechanism information on the lithiation of  $V_2O_5$ , including single-crystal X-ray diffraction, infrared and Raman spectroscopy, electron paramagnetic resonance, and nuclear magnetic resonance spectroscopy.

Received 1st December 2023,

Accepted 7th March 2024

DOI: 10.1039/d3nr06138h

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## 1 Introduction

Vanadium pentoxide ( $V_2O_5$ ), a highly oxidizing layered oxide, reacts readily with lithium through multi-electron redox reactions and accommodates Li ions within its interlayer spacings, making it a promising cathode material for Li-ion batteries

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with high voltage and high theoretical capacity.<sup>1–8</sup> The theoretical capacity for full lithiation of  $V_2O_5$  is  $442 \text{ mA h g}^{-1}$  and the practical capacity without distortive phase transformation is  $\sim 147 \text{ mA h g}^{-1}$  at about one Li ion per  $V_2O_5$ , which is comparable to commercialized lithium iron phosphate ( $\text{LiFePO}_4$ ) and nickel manganese cobalt ( $\text{LiNi}_x\text{Mn}_y\text{Co}_z\text{O}_2$ ,  $x+y+z=1$ ) type Li-ion battery cathode materials.<sup>9,10</sup> Commercially,  $V_2O_5$  is utilized as a cathode material in small-size Li-ion batteries.<sup>11</sup> Additionally,  $V_2O_5$  exhibits multi-electrochromic color switching and in the thin film form, it is a good candidate material for charge-balancing counter electrodes in electrochromic windows.<sup>3,12–15</sup> An understanding in extending the reversible ion insertion region underpins the design of reversible energy storage materials with higher capacity and better rate performances.

Thermodynamically stable orthorhombic  $\alpha\text{-V}_2\text{O}_5$  consists of two-dimensional single-polyhedron thick layers at an interlayer distance of  $4.38 \text{ \AA}$ .<sup>16</sup> In each polyhedron ( $\text{VO}_5$  square pyramid), the vanadium atom forms a short vanadyl bond with an apical oxygen ( $\text{V-O}_A$  at  $1.54 \text{ \AA}$ ), a  $\text{V-O}$  bond with doubly coordinated oxygen between two corner-shared pyramids (bridge  $\text{V-O}_B\text{-V}$  at  $1.77 \text{ \AA}$ ), and three  $\text{V-O}$  bonds with three triply coordinated edge-shared oxygen ( $3\text{V-O}_C$  at  $1.88, 1.88, \text{ and } 2.02 \text{ \AA}$ ).<sup>17</sup> Edge- and corner-shared  $\text{VO}_5$  square pyramids within each layer form “up-up-down-down” zig-zag chains and the sixth  $\text{V}\cdots\text{O}$  weak electrostatic interaction between layers allows interlayer intercalation of ions and small molecules (Fig. 1 and 8).<sup>18</sup>

$\alpha\text{-V}_2\text{O}_5$  has an open circuit potential of  $3.7 \text{ V vs. Li/Li}^+$  and can readily react with lithium *via*  $x\text{Li}^+ + xe^- + \text{V}_2\text{O}_5 = \text{Li}_x\text{V}_2\text{O}_5$  ( $0 < x < 3$ ). The electrode capacity, in practice, depends on the structural reversibility, which in turn is limited by the extent of lithiation,  $x$ , in  $\text{Li}_x\text{V}_2\text{O}_5$ .<sup>19</sup> As shown in Fig. 1, pristine  $\alpha\text{-V}_2\text{O}_5$  transforms to  $\alpha\text{-Li}_x\text{V}_2\text{O}_5$  at  $x < 0.1$  with only increased interlayer spacing and then to  $\varepsilon\text{-Li}_x\text{V}_2\text{O}_5$  at  $0.3 < x < 0.7$  with increased interlayer spacing and puckering. A  $\delta\text{-Li}_x\text{V}_2\text{O}_5$  phase is formed when Li intercalation approaches  $x \approx 0.8\text{--}1$  at around  $3.1\text{--}3.2 \text{ V vs. Li/Li}^+$ . This first-order transition proceeds by gliding of alternating layers for half a unit cell along the  $b$ -axis and can result in hysteresis in charge and discharge pro-

files. At a higher Li concentration ( $x > 1$ ), the layered structures undergo irreversible distortive phase transformation to  $\gamma$ - and  $\omega\text{-Li}_x\text{V}_2\text{O}_5$  phases, which have prohibited the full theoretical capacity of  $V_2O_5$  from being realistically achieved ( $\sim 442 \text{ mA h g}^{-1}$  for three Li ions).  $\delta\text{-Li}_x\text{V}_2\text{O}_5$  structurally rearranges to distinctly different  $\gamma\text{-Li}_x\text{V}_2\text{O}_5$  phases at around  $2.3\text{--}2.4 \text{ V vs. Li/Li}^+$ . Instead of the “up-up-down-down” arrangement of  $\text{VO}_5$  square pyramids in the  $\alpha$ -,  $\varepsilon$ -, and  $\delta$ -phases, the  $\text{VO}_5$  square pyramids in  $\gamma\text{-Li}_x\text{V}_2\text{O}_5$  are arranged in a highly puckered “up-down-up-down” manner. Once the  $\gamma\text{-Li}_x\text{V}_2\text{O}_5$  phase forms, complete delithiation of  $\gamma\text{-Li}_x\text{V}_2\text{O}_5$  no longer restores the original layered  $\alpha\text{-V}_2\text{O}_5$ . Instead, metastable  $\gamma'\text{-V}_2\text{O}_5$  forms, with retained “up-down-up-down” structural motifs. At deeper discharging potentials of around  $2.0\text{--}2.1 \text{ V vs. Li/Li}^+$ , a highly disordered rock-salt  $\omega\text{-Li}_x\text{V}_2\text{O}_5$  forms, typically with a significant loss of long-range ordering.<sup>20–24</sup>

At a higher Li-ion concentration ( $x > 1$  per  $\text{Li}_x\text{V}_2\text{O}_5$ ), while abovementioned distortive structural transformations effectively accommodate increasing Li-ion flux with minimized cationic repulsions, incommensurate lattices at the boundaries of co-existing phases that have different Li concentrations present challenges in Li ion diffusion and mechanical stability. Phase boundaries within particles and at interfaces also strongly modulate ion diffusion between the two phases and experimental diffusivities can be orders of magnitude lower than theoretical values.<sup>25</sup> Lattice mismatch at phase boundaries also induces dislocations and stress build-up, which may propagate and aggregate to cause cracks. Materials that lost electrical contact due to the crack become electrochemically inactive, accelerating capacity fading. In addition, as the phase transformation requires a first-order reaction with the nucleation of new phases from existing phases, the electrode particle size, geometry, and connectivity strongly influence the spatio-temporal dynamics of lithiation, potentially leading to inconsistent observations of the electrochemical performances due to variations in materials processing and packing during device fabrication.

Despite the problems with distortive phase transformation at higher Li concentrations, certain highly lithiated phases can



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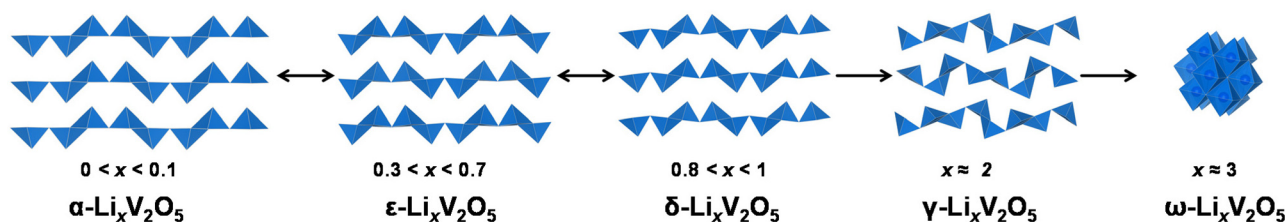
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**Fig. 1** Lithiation-induced phase transformation in thermodynamically stable bulk  $\alpha$ - $\text{V}_2\text{O}_5$ , the lithium atoms are omitted to highlight the structural transformation of the  $\text{V}_2\text{O}_5$  host.

be topochemically deintercalated to obtain metastable  $\text{V}_2\text{O}_5$  polymorphs that have the same composition and theoretical capacity, but different atomic connectivities and Li-ion insertion pathways.  $\gamma$ - $\text{Li}_x\text{V}_2\text{O}_5$  with a highly puckered “up–down–up–down” arrangement of  $\text{VO}_5$  square pyramids can reversibly cycle 1.5 Li ions per  $\text{V}_2\text{O}_5$  via solid solution-based Li-ion insertion ( $0 < x < 1.5$ ).<sup>26</sup> The disordered rock-salt  $\omega$ - $\text{Li}_3\text{V}_2\text{O}_5$  can reversibly cycle through a solid solution mechanism without phase transformation.<sup>27</sup> A deeply discharged disordered rock-salt  $\text{Li}_{3+x}\text{V}_2\text{O}_5$  ( $0 < x < 2$ ) anode material obtained from reducing  $\text{V}_2\text{O}_5$  down to 0.01 V vs.  $\text{Li}/\text{Li}^+$  allows fast and reversible insertion of two Li ions with a specific capacity of 266  $\text{mA h g}^{-1}$ . The fast Li diffusion through a redistributive lithium insertion mechanism along concerted tetrahedra–octahedra–tetrahedra sites enables over 1000 stable charge–discharge cycles with negligible decay.<sup>28</sup>

From the solid solution-based lithiation mechanism observed in  $\gamma$ - and  $\omega$ - $\text{Li}_x\text{V}_2\text{O}_5$ , altering atomic connections without changing the  $\text{V}_2\text{O}_5$  stoichiometry retains the thermodynamic driving forces; therefore the high voltage and high capacitance of  $\text{V}_2\text{O}_5$  remain. However, reconfigured atomic connections can change the kinetics of Li-ion diffusion, which is governed by interlayer and interfacial migration barriers.

The change in structures potentially induces a solid solution-based lithiation mechanism instead of phase transformation, thereby enhancing ion diffusion kinetics and cycling reversibility. We review the synthetic strategies for structures that retain the high voltage and high capacity of  $\text{V}_2\text{O}_5$ , but with an altered atomic connectivity, increased Li-ion diffusivity, and structural, electrochemical, and mechanical stability (Fig. 2). We also discuss several less-explored but commonly accessible molecular-based analytical tools that play unique roles and can provide dynamics of structural transformation during (de)lithiation.<sup>29–31</sup> Such tools are complementary to synchrotron-based X-ray techniques that provide atomistic information on lattice transformation with high temporal or spatiotemporal resolution, but are not universally available.<sup>32,33</sup> Widely accessible molecular-based tools like single-crystal X-ray diffraction (XRD), infrared (IR) spectroscopy, Raman spectroscopy, electron paramagnetic resonance (EPR), and nuclear magnetic resonance (NMR) spectroscopy are highlighted for their potential applications in differentiating phase transformation mechanisms during (de)intercalation.<sup>34–38</sup> Single-crystal XRD, with its precise determination of Li atom positions for millimetre scale single crystals, identifies Li positions for differently lithiated  $\text{V}_2\text{O}_5$  in addition to lattice transformation and captures diffusion pathways.<sup>16,36</sup> IR spectroscopy, sensitive to V–O bond stretching and bending, implicates lithiation-induced structural changes in  $\text{V}_2\text{O}_5$ , while Raman spectroscopy reveals local disorder and ion coordination dynamics during electrochemical reactions.<sup>38,39</sup> EPR that measures unpaired electrons ( $\text{V}^{4+}$ ,  $3d^1$ ) distinguishes several crystalline phases during lithiation with high sensitivity.<sup>37</sup> NMR spectroscopy that employs isotopes including  $^7\text{Li}$  and  $^{51}\text{V}$  offers valuable information on local environments such as the Li environment and hydration sites.<sup>34,40,41</sup> The collaborative use of these techniques holds immense potential for advancing the design and optimization of  $\text{V}_2\text{O}_5$  materials for electrochemical energy storage applications.



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## 2 Strategies

### 2.1 Theoretical considerations

The thermodynamically stable  $\alpha$ - $\text{V}_2\text{O}_5$  exhibits an interlayer distance of 4.38 Å, and the diffusion of Li ions in bulk  $\alpha$ - $\text{V}_2\text{O}_5$  is sluggish at around  $10^{-12} \text{ cm s}^{-1}$ . At a higher Li-ion concen-





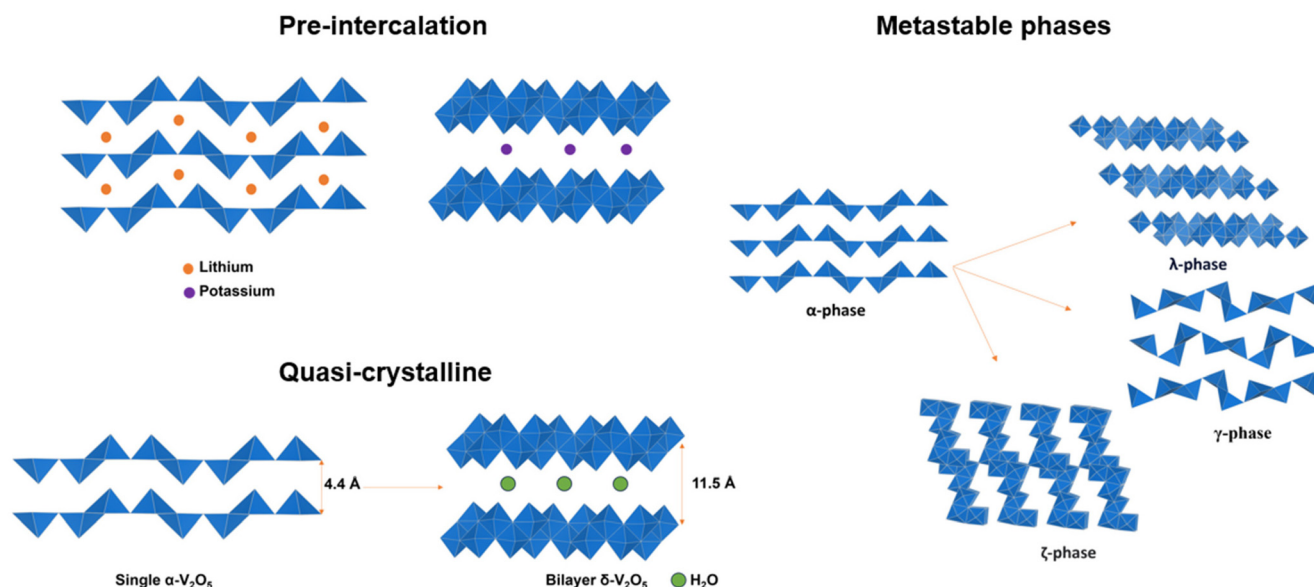


Fig. 2 Structural modification strategies that alleviate distortive phase transformations in  $\alpha$ - $\text{V}_2\text{O}_5$ .

tration of  $x > 1$  for  $\text{Li}_x\text{V}_2\text{O}_5$ , the Li-ion diffusion through the framework and the diffusion coefficient decreases to  $10^{-15} \text{ cm s}^{-1}$ .<sup>24</sup> Additionally, high local coordination of the Li ions and polaron-ion interactions further increase the migration barriers and impede Li-ion diffusion.<sup>36,42,43</sup> Due to sluggish diffusion, even in the reversible lithiation region of  $0 < x < 1$ , intercalation of lithium into bulk  $\alpha$ - $\text{V}_2\text{O}_5$  often results in the co-existence of multiple lithiated phases.<sup>20</sup> The phase coexistence further slows down the overall lithium diffusion.

Li-ion migration energetics and diffusion pathways in various lithiated phases of  $\text{V}_2\text{O}_5$  have been systematically calculated using the Density Functional Theory with Nudged Elastic Band (DFT NEB) method at the atomistic scale. The Li-ion diffusion coefficient shows an exponential dependence on the activation energy barrier, a 0.06 eV change in the migration barrier correlates with a one-order-of-magnitude change in the diffusion rate. In  $\alpha$ - $\text{V}_2\text{O}_5$ , Li ions encounter a 0.17 eV energy barrier per unit cell while diffusing between cubooctahedral coordination environments. The transformation to the  $\epsilon$ - $\text{Li}_x\text{V}_2\text{O}_5$  phase results in a migration barrier of 0.29 eV, attributed to the further constriction of the trigonal site where ions reside. In the  $\delta$ -phase, Li ions encounter a migration barrier of 0.20 eV along a distinctive diffusion pathway. The  $\gamma$ -phase displays the lowest migration barrier at 0.13 eV, attributed to the presence of a relatively spacious square-planar transition state between tetrahedral Li-ion sites.<sup>25</sup> Between different  $\text{V}_2\text{O}_5$  metastable phases, NEB calculations show that lithium ions exhibit suitable migration barriers (<0.525 eV for micrometer-sized particles, <0.650 eV for nanometer-sized particles) in multiple phases of  $\text{V}_2\text{O}_5$  with minimal differences due to the limited ability of Li ions to polarize the anion framework. Conversely, larger cations, such as sodium, magnesium, and aluminum, display substantial variations in migration barriers

across different metastable phases of  $\text{V}_2\text{O}_5$ , arising from their better ability to polarize the anion framework.<sup>25</sup>

As DFT NEB is an atomistic method without consideration of defects and phase boundaries, the roles of electrode particle size, geometry, grain boundaries, and stacking pressure during cell assembly further lead to a deviation of experimentally measured macroscopic ion diffusivity with theoretically predicted results. Nevertheless, the calculation of Li-ion diffusion and migration energetics in different diffusion pathways helps in rationalizing and designing materials with optimized lattice-ion interaction, facilitating fast and solid solution-based lithiation. The design rules include decreasing Li-ion coordination with lattice oxygens, reducing lattice polarization, and expanding the interlayer distance, which will be introduced in the following sections.<sup>20,25</sup>

## 2.2 Nanosizing

Nanosized  $\alpha$ - $\text{V}_2\text{O}_5$  enhances the accessible electrode volume for reversible ion storage, reduces lithiation heterogeneity, and improves electrochemical reversibility.<sup>44–46</sup> Crystallite size and geometry alter the local electrochemical potential at surfaces and energy penalty for phase boundary formation within the particle and at interfaces. Meanwhile, nanostructures with a high surface-to-volume ratio offer improved contact with the electrolyte, shorter Li-ion diffusion paths, and efficient strain relaxation.<sup>25</sup>

Early work by Chan and Cui *et al.* reported fast and completely reversible Li insertion in  $\text{V}_2\text{O}_5$  nanoribbons from the deeply discharged, disordered rock salt  $\omega$ - $\text{Li}_x\text{V}_2\text{O}_5$  back to the original single-crystalline  $\alpha$ - $\text{V}_2\text{O}_5$  without the formation of phase boundaries. Meanwhile, the bulk deeply discharged  $\alpha$ - $\text{V}_2\text{O}_5$  retains the disordered rock-salt structure during delithiation and cannot fully reverse back to the original



$\alpha$ -V<sub>2</sub>O<sub>5</sub>, but instead remains in the  $\omega$ -V<sub>2</sub>O<sub>5</sub>. The authors also observed that the Li diffusion in nanoribbons surpasses that of the bulk material by three orders of magnitude, requiring less time for complete lithiation.<sup>24</sup> Luo and Banerjee *et al.* systematically compared the phase transformation dynamics in bulk and nanoscale  $\alpha$ -V<sub>2</sub>O<sub>5</sub> under otherwise identical packing and device assembly methods. The irregularly shaped  $\alpha$ -V<sub>2</sub>O<sub>5</sub> microparticles and  $\alpha$ -V<sub>2</sub>O<sub>5</sub> nanospheres (~50 nm) were investigated within a voltage range of 2.8 V–4.0 V vs. Li/Li<sup>+</sup> (Fig. 3). The  $\alpha$ -V<sub>2</sub>O<sub>5</sub> microparticle shows severe phase coexistence during lithiation, where  $\delta$ -LiV<sub>2</sub>O<sub>5</sub> co-exists with  $\epsilon$ - and  $\epsilon'$ -Li<sub>x</sub>V<sub>2</sub>O<sub>5</sub> at around 2.8 V vs. Li/Li<sup>+</sup>. In contrast, ~50 nm  $\alpha$ -V<sub>2</sub>O<sub>5</sub> is stabilized by the metastable  $\epsilon'$ -Li<sub>x</sub>V<sub>2</sub>O<sub>5</sub> that is structurally similar to  $\epsilon$ -Li<sub>x</sub>V<sub>2</sub>O<sub>5</sub> but accommodates Li flux through solid solution-based Li re-ordering with minimized structural rearrangement and less mechanical stress build-up. Because of the suppressed phase transformation and controlled coexistence through the metastable  $\epsilon'$  phase, the nanosphere V<sub>2</sub>O<sub>5</sub> exhibits improved Li-ion diffusivity, substantially decreased charge-discharge hysteresis, and enhanced capacity retention.<sup>47</sup>

The change in the lithiation mechanism by the nanosizing effect – from distortive phase transformation to the solid solution mechanism – has been extensively explored in LiFePO<sub>4</sub>-based cathode materials. In large single crystals at room temperature, there is a miscibility gap between FePO<sub>4</sub> and LiFePO<sub>4</sub>.<sup>48</sup> Below a particle size of ~50–100 nm, the miscibility gap decreases, therefore the single-phase regions increase. As smaller nanoparticle sizes show increased energy penalty for phase boundary formation, phase boundaries cannot be stabilized within a particle and each crystallite below 15–25 nm is a single phase.<sup>49</sup> The solid solution lithiation mechanism minimizes restricted ionic diffusion observed in bulk electrode

materials in two-phase reactions, ultimately enhancing the rate performance, reversibility, and active material utilization of cathode materials.<sup>10</sup>

### 2.3 Pre-intercalation

Distortive phase transformation in  $\alpha$ -V<sub>2</sub>O<sub>5</sub> involves the gliding of alternating single-polyhedron thick layers. To circumvent distortive phase transformation, one effective strategy is to expand the interlayer spacing and “hinge” the layers by pre-intercalation of metal ions before the primary intercalation process, thereby stabilizing V<sub>2</sub>O<sub>5</sub> for an extended lithiation region.<sup>50–52</sup> Zhong, Huang and Liu *et al.* demonstrated the pre-intercalation of  $\alpha$ -V<sub>2</sub>O<sub>5</sub> through the hydrothermal reaction into lithium-ordered Li<sub>0.0625</sub>V<sub>2</sub>O<sub>5</sub> nanobelts with superstructures (Fig. 4a). This superstructure consists of eight V<sub>2</sub>O<sub>5</sub> unit cells, with about 1% expansion along the *c*-axis. The VO<sub>5</sub> square pyramids are distorted and compressed, and the pre-intercalated lithium-ions form LiO<sub>6</sub> triangular prisms located between the layers of VO<sub>5</sub>. The pre-intercalation leads to additional pseudo-capacitive reactions in a low voltage range of 2.5–3.2 V vs. Li/Li<sup>+</sup>, and the reversible capacity is significantly increased to 215 mA h g<sup>−1</sup> at 1.5 Li ions per V<sub>2</sub>O<sub>5</sub> within the voltage range of 2.5–4 V vs. Li/Li<sup>+</sup>. By pre-intercalation, the superstructure is stable for 1000 cycles without capacity fade, while pure  $\alpha$ -V<sub>2</sub>O<sub>5</sub> cycles 1 Li ion per V<sub>2</sub>O<sub>5</sub> in the same voltage range and lost more than 60% capacity within 100 cycles under the same cycling conditions. In addition to the enhanced cycling stability and increased reversible capacity, the rate performance of Li<sub>0.0625</sub>V<sub>2</sub>O<sub>5</sub> is also much higher than  $\alpha$ -V<sub>2</sub>O<sub>5</sub> with a similar size and geometry.<sup>53</sup>

The pre-intercalation strategy to stabilize  $\alpha$ -V<sub>2</sub>O<sub>5</sub> is applicable to ions other than lithium. Pre-intercalation of larger yttrium Y<sup>3+</sup> ions form yttrium-ordered Y<sub>0.02</sub>V<sub>2</sub>O<sub>5</sub> structures, with YO<sub>6</sub> octahedra pillars that together with the role of V<sup>4+</sup> formed during pre-intercalation, effectively enhanced Na<sup>+</sup> diffusion and stabilized the layer structure during discharge and charge cycles.<sup>54</sup> The pre-intercalation of Na<sup>+</sup> in V<sub>2</sub>O<sub>5</sub> into Na<sub>x</sub>V<sub>2</sub>O<sub>5</sub> (*x* = 0.00, 0.005, 0.01, or 0.02) “hinges” the VO<sub>5</sub> layers, while providing more space for hosting the lithium ions with lower insertion energy, therefore enhancing the cycling stability and rate capabilities for Li intercalation.<sup>55</sup> Li and Cao *et al.* reported Sn-doped  $\alpha$ -V<sub>2</sub>O<sub>5</sub>, where introduction of Sn<sup>4+</sup> in between VO<sub>5</sub> slabs leads to a slightly expanded lattice along the *a*- and *c* axes and compression along the *b* axis. The SnO<sub>6</sub>

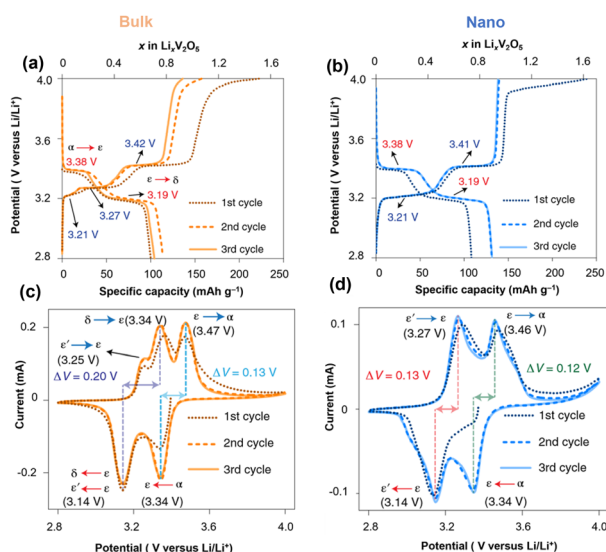


Fig. 3 Charging/discharging profile and cyclic voltammetry data of (a and c)  $\alpha$ -V<sub>2</sub>O<sub>5</sub> microparticle and (b and d)  $\alpha$ -V<sub>2</sub>O<sub>5</sub> nanosphere. Reproduced from ref. 47 with permission from Springer Nature. Copyright 2021.

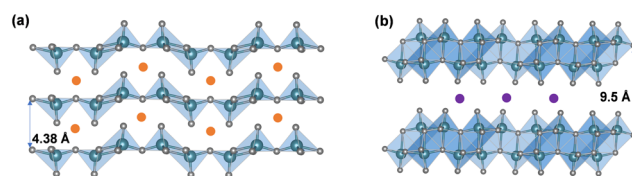


Fig. 4 Pre-intercalated  $\alpha$ -V<sub>2</sub>O<sub>5</sub> into (a) “hinged” structure and (b) bilayered structures with expanded interlayer distance. Reproduced with adaption from ref. 58 with permission from Elsevier. Copyright 2019.



octahedra stabilized the VO<sub>5</sub> polyhedron layers and enhanced the capacity and cycling stability of  $\alpha$ -V<sub>2</sub>O<sub>5</sub>.<sup>56</sup> Theoretical calculations reported by Suthirakun *et al.* rationalized the pre-intercalation effect, where Sn intercalation is energetically preferred than the substitution of vanadium and that the Sn insertion increased the number of charge carriers to improve electronic conductivity, while the lattice distortion introduced diffusion pathways with lower-lying energy levels, thereby facilitating the Li intercalation.<sup>57</sup>

In addition to the “hinging” effect, pre-intercalation can also lead to expanded bilayered structures. Zhu, Yan, and Jiang *et al.* demonstrated potassium pre-intercalated double-layered  $\delta$ -K<sub>0.51</sub>V<sub>2</sub>O<sub>5</sub> nanobelts with greatly enhanced capacity and faster ion diffusion for K-ion storage than pristine  $\alpha$ -V<sub>2</sub>O<sub>5</sub>. The double-layered structure with reconstructed two single sheets of VO<sub>6</sub> octahedra within each layer, has significantly larger interlayer spacing, 9.5 Å, compared to 4.38 Å in  $\alpha$ -V<sub>2</sub>O<sub>5</sub> and the increased interlayer distance mitigated the high diffusion barriers for K ions (Fig. 4b).<sup>58</sup> Due to their expanded interlayer distance and optimized growth orientation, the nanobelt structures exhibit extended single-phase reactions regime upon insertion and extraction of K ions. The primary single-phase mechanism was confirmed by *ex situ* XRD from subtle shifts in peak positions across wide voltage windows. The pre-intercalated  $\delta$ -K<sub>0.51</sub>V<sub>2</sub>O<sub>5</sub> exhibits a high and completely reversible specific capacity of 131 mA h g<sup>-1</sup> for reversible K-ion insertion, compared to 14 mA h g<sup>-1</sup> for  $\alpha$ -V<sub>2</sub>O<sub>5</sub>.<sup>58</sup>

The pre-intercalation strategy, where guest metal ions are introduced in between the layers before primary electrochemical (de)intercalation, is distinct from doping, where guest ions can substitute vanadium from the host lattice. Examples of metal doping in introducing “pre-transformation” have also been demonstrated, which suppresses the distortive phase transformation and extends the solid-solution regime of intercalation, thereby enhancing the reversibility of V<sub>2</sub>O<sub>5</sub> lithiation.<sup>52</sup>

## 2.4 Metastable structures

Metastable polymorphic V<sub>2</sub>O<sub>5</sub> structures with substantially different atomic connectivities and bonding motifs from the thermodynamically stable  $\alpha$ -V<sub>2</sub>O<sub>5</sub> phase provide a comparable

theoretical capacity but offer a range of alternative ion diffusion pathways and potentially minimized distortion in phase transformations.<sup>42,59–62</sup> Topochemical deintercalation of alkali and transition metal ions, such as Li, Ag, and Cu, from ternary bronze phases can introduce new and otherwise inaccessible phases under mild conditions with preserved key structural features that are distinct from thermodynamically stable V<sub>2</sub>O<sub>5</sub>.<sup>16,26,63</sup> A well-explored example is the metastable  $\gamma'$ -V<sub>2</sub>O<sub>5</sub> from the chemical or electrochemical deintercalation of the  $\gamma'$ -LiV<sub>2</sub>O<sub>5</sub> bronze phase.<sup>26</sup> Such metastable structures present a diverse range of alternative diffusion pathways, along with an abundance of interstitial sites that have relatively shallow potential energies in between layers and channels, which can accommodate Li ions with minimized distortion of the host structure upon (de)intercalation.<sup>20,78,79</sup> Reported metastable V<sub>2</sub>O<sub>5</sub> structures with different V-O atomic arrangements include tunnel ( $\zeta$ -V<sub>2</sub>O<sub>5</sub>) and layered ( $\rho$ ,  $\gamma$ ,  $\delta$ ,  $\lambda$ -V<sub>2</sub>O<sub>5</sub>) structures, as shown in Table 1.<sup>80</sup>

**2.4.1 Tunnel structures.** The metastable tunnel-structured  $\zeta$ -V<sub>2</sub>O<sub>5</sub> shares the same composition with layered  $\alpha$ -V<sub>2</sub>O<sub>5</sub>, yet accommodates increasing concentration of Li ions by extensive cation reordering *via* sequential occupancy of a series of energetically distinct interstitial sites.<sup>16</sup> The Li-ion reordering mechanism eliminates distortive phase transformation during reversible Li-ion insertions. The Banerjee group synthesized tunnel-structured  $\zeta$ -V<sub>2</sub>O<sub>5</sub> as nanobeams and millimeter-scale single crystals by topochemical leaching of Ag or Cu ions from bronze  $\beta$ -Ag<sub>0.33</sub>V<sub>2</sub>O<sub>5</sub> and  $\beta'$ -Cu<sub>0.64</sub>V<sub>2</sub>O<sub>5</sub>.<sup>16,73,81,82</sup> The open framework of the tunnel structure, originally occupied by Ag or Cu, is enclosed by edge-sharing VO<sub>6</sub> octahedra and VO<sub>5</sub> square pyramids that form chains along the tunnel direction.<sup>43</sup> Chemical and electrochemical lithiation of  $\zeta$ -V<sub>2</sub>O<sub>5</sub> accompanies the filling of a series of Li occupancy sites within a preserved tunnel framework. Only minor structural expansion was observed between different states with varying Li-ion contents and occupancies.<sup>16,43,81</sup> As the cation reordering mechanism of  $\zeta$ -V<sub>2</sub>O<sub>5</sub> eliminates the distortive phase transformation and phase co-existence with preserved tunnel structures (Fig. 5), completely reversible Li-ion insertion, much higher capacity retention, and three-orders-of-magnitude higher ionic conductivities were obtained.

**Table 1** List of structures and energy barriers of thermodynamically stable and metastable polymorphs of V<sub>2</sub>O<sub>5</sub>

Polymorph	Space group	Crystal system	Structure	Synthesis	Interlayer distance (Å)	Diffusion barrier (eV)
$\alpha$ -V <sub>2</sub> O <sub>5</sub>	<i>Pmmn</i> <sup>16</sup>	Orthorhombic <sup>16</sup>	2D-layered <sup>16</sup>	Direct chemical <sup>16</sup>	2.753 <sup>26</sup> 4.4 <sup>64</sup>	0.34 <sup>73</sup>
$\beta$ -V <sub>2</sub> O <sub>5</sub>	<i>P21/m</i> <sup>65</sup>	Tetragonal <sup>66</sup>	Layered <sup>66</sup>	Direct chemical (high pressure) <sup>68</sup>	7.12 <sup>69</sup>	0.45 <sup>70</sup>
$\delta$ -V <sub>2</sub> O <sub>5</sub>	<i>Cmcm</i> <sup>71</sup>	Monoclinic <sup>67</sup>	3D-layered <sup>71</sup>	Direct chemical (high pressure) <sup>72</sup>	11.5–13 <sup>64</sup>	0.07–0.19 <sup>20</sup> ( $\delta'$ )
$\varepsilon$ -V <sub>2</sub> O <sub>5</sub>	<i>C2/m</i> <sup>73</sup>	Monoclinic <sup>67</sup>	2D-layered <sup>73</sup>	Cu leaching from $\varepsilon$ -Cu <sub>x</sub> V <sub>2</sub> O <sub>5</sub> <sup>20,74</sup>	4.159 <sup>36</sup>	0.37 <sup>73</sup>
$\lambda$ -V <sub>2</sub> O <sub>5</sub>	<i>C2/m</i> <sup>75</sup>	Monoclinic <sup>36</sup>	2D-layered <sup>36</sup>	Cu leaching from Cu <sub>0.85</sub> V <sub>2</sub> O <sub>5</sub> <sup>36</sup>	4.13 <sup>36</sup>	—
$\gamma$ -V <sub>2</sub> O <sub>5</sub>	<i>Pnma</i> <sup>71</sup>	Orthorhombic <sup>67</sup>	2D-layered <sup>26,76</sup>	Leaching from $\gamma$ -Li <sub>x</sub> V <sub>2</sub> O <sub>5</sub> <sup>26,76</sup>	5.325 <sup>26</sup>	0.1–0.18 <sup>20</sup> ( $\gamma'$ )
$\zeta$ -V <sub>2</sub> O <sub>5</sub>	<i>C2/m</i> <sup>77</sup>	Monoclinic <sup>16</sup>	Tunnel <sup>16</sup>	Direct chemical synthesis Leaching from $\beta$ -Ag <sub>x</sub> V <sub>2</sub> O <sub>5</sub> <sup>16</sup>	—	0.24 <sup>73</sup>





**Fig. 5** Structural and electrochemical data of metastable  $\zeta$ - $\text{V}_2\text{O}_5$ . (a) crystal structures and (b) XRD patterns of  $\zeta$ - $\text{V}_2\text{O}_5$  and lithiated  $\zeta$ - $\text{V}_2\text{O}_5$ . (c) cyclic voltammogram and (d) galvanostatic charge-discharge curves of  $\zeta$ - $\text{V}_2\text{O}_5$ . Reproduced with adaptation from ref. 43 with permission from American Chemical Society. Copyright 2017.

At the atomic level, higher ionic diffusion in  $\zeta$ - $\text{V}_2\text{O}_5$  than  $\alpha$ - $\text{V}_2\text{O}_5$  can be attributed to different electronic structures and Li-ion coordinations arising from the reconfigured atomic connectivity.<sup>26</sup> Altered V–O bonding, revealed by the oxygen K-edge in the X-ray absorption spectroscopy measurement and electron density calculations, increases bond covalency and facilitates ionic diffusion through the tunnel.<sup>43</sup> The degeneracy of vanadium 3d states at the bottom of the conduction band destabilizes polarons and decreases electron localization, thereby improving cation mobility. Moreover,  $\zeta$ - $\text{V}_2\text{O}_5$  exhibits a less drastic change in Li-ion coordination during ion hopping,  $4 \rightarrow 3 \rightarrow 5 \rightarrow 3 \rightarrow 4$ , leading to faster ion diffusion compared to  $\alpha$ - $\text{V}_2\text{O}_5$ ,  $8 \rightarrow 3 \rightarrow 8$ .<sup>16,43,73,82</sup> These structures feature a significantly lower migration barrier than  $\alpha$ - $\text{V}_2\text{O}_5$ , making  $\zeta$ - $\text{V}_2\text{O}_5$  a promising candidate host material for not just Li-ion energy storage, but also larger cations such as Na and Mg.

**2.4.2 Layered structures.** Metastable  $\text{V}_2\text{O}_5$  obtained from millimeter size single crystals that are chemically delithiated from bronze phases without exfoliation helps identify “out-of-equilibrium” Li interstitial sites that reveal Li site preferences, filling sequence and, therefore, diffusion pathways, which are unattainable from “equilibrated”  $\text{Li}_x\text{V}_2\text{O}_5$  directly synthesized at a higher temperature.<sup>83</sup> For example, the topochemical conversion of  $\gamma$ - $\text{LiV}_2\text{O}_5$  into metastable  $\gamma'$ - $\text{V}_2\text{O}_5$  allows stepwise chemical lithiation back to the original single-crystal  $\gamma$ - $\text{LiV}_2\text{O}_5$ .<sup>26</sup> At low levels of lithiation, the metastable, highly puckered,  $\gamma'$   $\text{V}_2\text{O}_5$  transformed to  $\gamma$ - $\text{Li}_x\text{V}_2\text{O}_5$  ( $0 < x < 1$ ), where a tetrahedral site is slightly stabilized and serves as an intermediate site between 6-coordinated sites to support facile Li diffusion pathways. At higher lithiation content, the tetrahedral site loses its stability, and instead, Li occupies highly stable octahedral sites in random distribution. Re-lithiated

$\gamma$ - $\text{LiV}_2\text{O}_5$  closely resembles the starting single-crystal  $\gamma$ - $\text{LiV}_2\text{O}_5$ , exhibiting no distortive phase transformation.<sup>23,26</sup>

Topochemical deintercalation of two-dimensional bronze phases can also provide new metastable  $\text{V}_2\text{O}_5$  with interlayer distances and Li-ion coordination environments that are different than  $\alpha$ - $\text{V}_2\text{O}_5$ . For instance, the leaching of Cu from  $\varepsilon$ - $\text{Cu}_{0.85}\text{V}_2\text{O}_5$  produced layered  $\lambda$ - $\text{V}_2\text{O}_5$ . This  $\lambda$ - $\text{V}_2\text{O}_5$  maintains a preserved V–O connectivity but a shift of layers compared to the original  $\varepsilon$ - $\text{Cu}_{0.85}\text{V}_2\text{O}_5$ , and has substantial void space from the original Cu positions between layers that can accommodate Li ions.<sup>75,84,85</sup> Chemical lithiation with dilute *n*-butyllithium transforms the metastable layered  $\lambda$ - $\text{V}_2\text{O}_5$  to  $\lambda$ - $\text{LiV}_2\text{O}_5$ , where Li preferentially occupies 5-coordinated sites that are distinct from the 6-coordinated sites originally occupied by Cu, before residing in sites that are originally occupied by Cu at higher lithiation levels.<sup>26,36</sup> The metastable  $\lambda$ - $\text{V}_2\text{O}_5$  transforms to a thermodynamically stable phase at 350–400 °C.

**2.4.3 Other metastable  $\text{V}_2\text{O}_5$  polymorphs.** At elevated temperature and pressure, the coordination number of vanadium increases from five to six, leading to the formation of  $\beta$ - $\text{V}_2\text{O}_5$  (density = 3.77 g cm<sup>−3</sup>, 6 GPa) and rutile-like  $\delta$ - $\text{V}_2\text{O}_5$  (density = 4.16 g cm<sup>−3</sup>, 8 GPa), respectively.<sup>86,87</sup> The  $\beta$  phase features a  $\text{V}_4\text{O}_{10}$  quadruple basic unit and can be regarded as the parent of a series of vanadium oxide bronze phases.<sup>88</sup> The  $\beta$  phase transforms to thermodynamically stable  $\alpha$ - $\text{V}_2\text{O}_5$  at around 370–380 °C. The vanadium–oxygen layers are intermittently connected by shared oxygen atoms, resulting in a more close-packed pseudo-layered structure.<sup>89</sup> Note that several theoretically calculated phases are not experimentally reported yet, such as  $\rho'$ - $\text{V}_2\text{O}_5$  and  $\delta'$ - $\text{V}_2\text{O}_5$ .  $\rho'$ - $\text{V}_2\text{O}_5$  and  $\delta'$ - $\text{V}_2\text{O}_5$  differ from  $\alpha$ - $\text{V}_2\text{O}_5$  by having double-layered  $\text{V}_4\text{O}_{10}$  sheets along the *c* axis.  $\delta'$ - $\text{V}_2\text{O}_5$  has a  $C2/m$  symmetry with two distinct  $\text{VO}_6$  octahedra forming layers. The layers in  $\delta'$ - $\text{V}_2\text{O}_5$  and  $\rho'$ - $\text{V}_2\text{O}_5$  share a similar local structure but differ in stacking along the *a* axis, leading to a change in the space group of  $\rho'$ - $\text{V}_2\text{O}_5$  to  $\text{Cmcm}$  (orthorhombic).  $\rho'$ - $\text{V}_2\text{O}_5$  and  $\delta'$ - $\text{V}_2\text{O}_5$  have calculated diffusion barriers of as low as 0.07 eV, as compared to 0.17–0.34 eV in  $\alpha$ - $\text{V}_2\text{O}_5$  and the hopping process involves fewer coordination changes in  $\delta'$ - $\text{V}_2\text{O}_5$  ( $5 \rightarrow 3 \rightarrow 4 \rightarrow 3 \rightarrow 5$ ) and  $\rho'$ - $\text{V}_2\text{O}_5$  ( $6 \rightarrow 4 \rightarrow 6 \rightarrow 4 \rightarrow 6$ ) compared to  $\alpha$ - $\text{V}_2\text{O}_5$  ( $8 \rightarrow 3 \rightarrow 8$ ). These structures’ ability to maintain stable coordination environments and undergo minimal changes in the coordination geometry along the diffusion path make them intriguing candidates as high-performance electrode materials (Fig. 6).<sup>20</sup>

## 2.5 $\text{V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$

Unlike crystalline  $\text{V}_2\text{O}_5$  which relies on multi-electron redox processes for its energy storage capacity,<sup>90,91</sup> quasi-crystalline hydrated  $\text{V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$  stores potentially more ions through a pseudocapacitive mechanism that involves both redox and capacitive processes. In electrochemical reactions, crystalline  $\text{V}_2\text{O}_5$  displays distinct voltammogram peaks and multiple charge-discharge plateaus corresponding to a sequence of redox events along with phase transformation and co-existence, whereas quasi-crystalline  $\text{V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$  shows a featureless





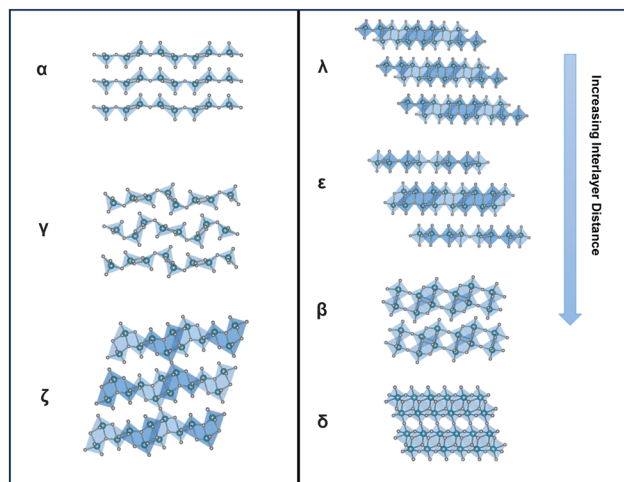


Fig. 6 Structural comparison between several metastable structures.

and smooth voltammogram and charge–discharge profile (Fig. 7).<sup>92–95</sup> Many reports show that, Li-ion intercalation in quasi-crystalline or amorphous  $V_2O_5$  exhibits higher lithium intercalation capacity ranging from 3.3 to more than 5 Li ions per  $V_2O_5$ .<sup>96–100</sup> However, there are reports where the high surface area  $V_2O_5 \cdot nH_2O$  gel experiences rapid capacity loss during extended cycling due to the accumulation of electrochemically inactive LiOH.<sup>101</sup>

The hydrated  $V_2O_5 \cdot nH_2O$  was primarily identified as amorphous  $V_2O_5$  in early literature. More recent studies, however, have shown ordering in the quasi-crystalline, bilayer  $\delta\text{-}V_2O_5 \cdot nH_2O$  with layers of double polyhedra stacked along the  $c$  axis and a large interlayer spacing of 9–13 Å. The removal of interlayer water causes a smaller interlayer spacing. For example, the interlayer spacing is 8.7 Å for  $V_2O_5 \cdot 0.5H_2O$  and 11.6 Å for  $V_2O_5 \cdot 1.6H_2O$ . Broad XRD reflections for the (001) planes corresponding to the large interlayer spacings facilitate structural identification resolved by the atomic pair distribution function and density functional theory calculation.<sup>104–106</sup> The bilayer  $\delta\text{-}V_2O_5 \cdot nH_2O$  is synthesized through methods including

(1) sol–gel processes, where organometallic precursors such as pervanadate ions condense under controlled pH;<sup>107,108</sup> (2) cooling of molten  $V_2O_5$  (splat cooling);<sup>109,110</sup> and (3) electrochemical deposition.<sup>111,112</sup> These methods preserve structural water between the layers, which reside in the layers in two forms: intercalated (removable at 120 °C) and tightly bound (removable at above 200 °C), respectively. The structural water coordinates with incoming ions, shielding positive charges and enhancing diffusion.<sup>113–115</sup> The bilayer  $\delta\text{-}V_2O_5 \cdot nH_2O$  is the precursor of high surface area  $V_2O_5$  xerogel and aerogel structures, which are produced by freeze drying (xerogel) and supercritical  $CO_2$  drying (aerogel) of  $\delta\text{-}V_2O_5 \cdot nH_2O$  obtained from sol–gel methods.<sup>116</sup>

The expanded interlayer distance allows the quasi-crystalline bilayer  $\delta\text{-}V_2O_5 \cdot nH_2O$ , or its dried derivative aerogel and xerogel, to accommodate for the intercalation of larger ions such as  $Na^+$ ,  $K^+$ ,  $Zn^{2+}$ , and  $Mg^{2+}$  in organic and aqueous electrolytes. Wei, He, and Mai *et al.* reported reversible Na-ion intercalation in the  $V_2O_5 \cdot nH_2O$  xerogel with significant interlayer spacing shrinkage and decreased crystallinity upon intercalation, which partially reverses upon deintercalation.<sup>116</sup> Tepavcevic, Stamenkovic, Johnson, and Rajh *et al.* reported ordering upon Na-ion intercalation and disordering upon Na-ion extraction from bilayered  $V_2O_5$ . The different trends in ordering upon intercalation from different quasi-crystalline or amorphous  $V_2O_5$  systems suggest a potential structural diversity produced from different synthetic methods.<sup>117,118</sup> As an example, an aerogel has larger interlayer spacing, higher porosity, and a smaller ion diffusion pathway than a xerogel; therefore it has a significantly higher specific capacity and better reversibility. Despite the possible structural variations of quasi-crystalline or amorphous  $V_2O_5$ , certain trends are consistent with its crystalline counterparts, for example, oxygen defects in amorphous  $V_2O_5$  demonstrated enhanced performance in aqueous Zn-ion batteries and the same trend has been observed in crystalline  $V_2O_5$  as well.<sup>119,120</sup>

### 3 Characterization by conventional molecular tools

Characterizing different  $V_2O_5$  polymorphs and probing their *in situ* phase transformation pathways upon lithiation requires multiple corroborative structural analysis tools. Techniques such as synchrotron-based X-ray diffraction, absorption, and scattering reveal *in situ* and *in operando* structural information with high temporal resolution, including phase inhomogeneities, Li gradient, phase co-existence, local structural environments, structural defects, and impurities.<sup>92</sup> However, the advanced characterization tools are not universally available in many research institutions and data interpretation is not trivial. Therefore, we highlight several widely available molecular-based tools that are more commonly found in institutional chemistry departments and shared research facilities, including single-crystal X-ray diffraction (XRD), infrared (IR) spectroscopy, Raman spectroscopy, electron paramagnetic resonance (EPR), and nuclear magnetic resonance (NMR) spec-

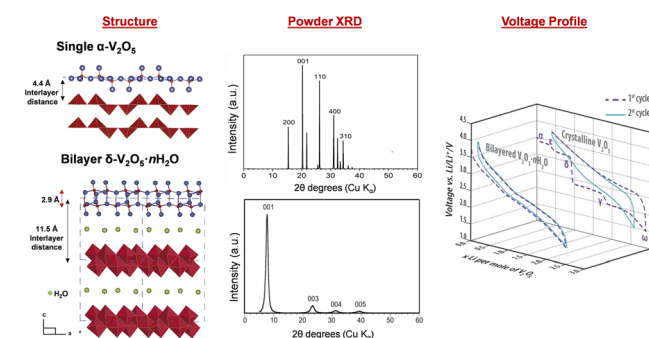
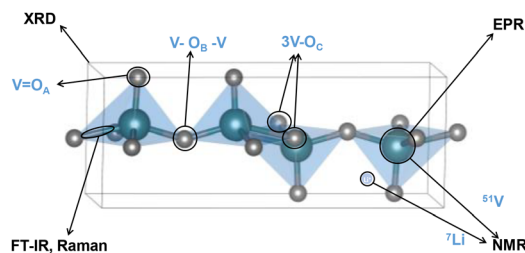


Fig. 7 Structural and electrochemical comparison between crystalline  $V_2O_5$  and bilayered  $\delta\text{-}V_2O_5 \cdot nH_2O$ . Reproduced and adapted from ref. 23, 102 and 103, with permission from Elsevier, copyright 1994, John Wiley & Sons, copyright 2016, American Chemical Society, copyright 2002.







**Fig. 8** Overview of structural motifs that can be resolved by molecular-based characterization techniques.

troscopy. Each of the techniques has its own set of advantages and limitations, therefore needs to be used corroboratively, as shown in Fig. 8.

### 3.1 Single-crystal XRD

*Operando* and series of *ex situ* powder X-ray diffraction can provide information on the phase transformation pathways of electrode materials at the lattice level.<sup>121</sup> However, its ability to directly image the positions of low-Z atoms and resolve their site occupancies, which is crucial for extrapolating Li diffusion pathways, is limited, with exceptions corroborated by neutron diffraction. In contrast, single-crystal X-ray diffraction with a Mo target ( $\lambda = 0.71 \text{ \AA}$ ), typically used for identifying molecular single crystals, provides precise determination of low-Z atoms at the Angstrom level by accurately mapping their electron densities. The detailed depiction of Li atomic positions by single-crystal XRD, which captures approximately 1000 times more raw reflections than powder XRD, illustrates diffusion pathways through snapshots at different intercalation concentrations and guides metastable cathode material design.<sup>26,36,81,123</sup> For instance, single-crystal XRD was utilized by the Banerjee group to examine millimeter-sized 2D layered single-crystal  $\lambda\text{-V}_2\text{O}_5$  formed from topochemical leaching of  $\epsilon\text{-Cu}_{0.85}\text{V}_2\text{O}_5$ . The single-crystal XRD traced the sites occupied by Li ions in sequence during different stages of chemical lithiation in  $\lambda\text{-V}_2\text{O}_5$ , capturing sub- $\text{\AA}$  resolution positions of Li ions as they diffuse across the 2D host lattice.<sup>36,75</sup> Similarly, structural motifs and atomistic diffusion pathways were reconstructed by high-resolution “snapshots” of a chemical lithiation–delithiation cycle for  $\zeta\text{-V}_2\text{O}_5$  with 1D tunnels. The “snapshot” approach revealed a series of Li-ion accommodation sites, including those originally occupied by Cu atoms, and reconstructed a cation reordering process in tunnel-structured  $\zeta\text{-V}_2\text{O}_5$  in contrast to phase coexistence in the thermodynamically stable  $\alpha\text{-V}_2\text{O}_5$ .<sup>16,81</sup>

In addition to single-crystal X-ray diffraction, a few studies combined *operando* X-ray diffraction, which monitors the change in diffraction patterns, peaks shift or broadening as lattice parameters change during (de)intercalation, and neutron diffraction to locate cation sites.<sup>124,125</sup> These work illustrated the structural dynamics of host upon (de)intercalation,<sup>47,69,126</sup> and we refer the readers to review papers focusing on the advanced synchrotron techniques.<sup>121</sup>

Advanced apparatus revealed phase transformations at high temporal or spatiotemporal resolution, such as AMPIX cell (Argonne’s multi-purpose *in situ* X-ray cell) that applies uniform stack pressure for various X-ray scattering and spectroscopic measurements to be performed *in operando* with high temporal resolution.<sup>47,127</sup> Synchrotron-based microscopy methods such as scanning transmission X-ray microscopy (STXM) can collect near-edge fine structure with sub-micron resolution for both chemically (de)lithiated samples and samples from electrochemically (de)lithiated and disassembled coin cells.<sup>16</sup> High spatiotemporal resolution can be achieved by *operando* synchrotron energy dispersive XRD (EDXRD), which can map phase inhomogeneity across the thickness of the electrode in a coin cell during electrochemical cycling.<sup>47</sup> Compared with the synchrotron-based measurements, the single-crystal XRD method is uniquely advantaged to identify Li positions and occupancy for different  $\text{V}_2\text{O}_5$  phases at varying stages of lithiation, while *in operando* synchrotron diffraction and absorption spectroscopy do not resolve the Li positions. However, synchrotron-based diffraction resolves the positions of larger cations with higher electron density, such as  $\text{Mg}^{2+}$ .<sup>122,128</sup>

### 3.2 Infrared (IR) spectroscopy

Infrared (IR) spectroscopy is an important method to analyze the structural modifications of lithiated metal oxides in both inorganic glasses and crystalline solids with peak splitting, shifting, and intensity change, and can measure both *ex situ* and *in situ* (e.g. a sputtered film in a three-electrode configuration with a thin layer of electrolyte).<sup>129</sup> In crystalline  $\text{V}_2\text{O}_5$ , signals for V–O bond stretching, bending, and the relative motion of structural units can be divided into three distinct regions.<sup>38,39,130,131</sup> The bands between 1020 and 950  $\text{cm}^{-1}$  are associated with the stretching mode of the short vanadyl V–O<sub>A</sub> bond, a structural feature that is generally observed in both crystalline  $\text{V}_2\text{O}_5$  and quasi-crystalline  $\text{V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$  gels. The bridging V–O<sub>B</sub>–V stretching between 700 and 900  $\text{cm}^{-1}$  corresponds to oscillation along the *a*-axis. The bands below 600  $\text{cm}^{-1}$  correspond primarily to the bridge V–O<sub>B</sub>–V deformation and 3-fold-coordinated 3V–O<sub>C</sub> stretching modes.<sup>109,132</sup> The 3-fold-coordinated triple bridging 3V–O<sub>C</sub> modes are typically absent in disordered  $\text{V}_2\text{O}_5$ , suggesting a disruption in the linkage between edge-shared  $\text{VO}_5$  square pyramids (Fig. 9).<sup>107,130,133</sup>

During lithiation in the range of  $0 < x < 1$ , polaron absorption from electronic transitions emerges in charged crystalline  $\text{V}_2\text{O}_5$ , extending from near-infrared to 2000  $\text{cm}^{-1}$ . The polaron intensity is correlated with the amount of intercalated  $\text{Li}^+$  under applied potentials. The V–O<sub>A</sub> stretching modes shift to 1000 and 986  $\text{cm}^{-1}$ , indicating the presence of  $\text{V}^{4+}$ –O group modes and interactions with lithium ions. The stretching mode for V–O<sub>B</sub>–V at 795  $\text{cm}^{-1}$  and 3V–O<sub>C</sub> at 503  $\text{cm}^{-1}$  completely diminished. At more reductive potentials for  $x > 1$ , the polaron absorption disappears, concomitant with the loss of long-range ordering during irreversible phase transformations (Fig. 9).<sup>129,134</sup>





Fig. 9 IR absorption spectra of the  $\text{V}_2\text{O}_5$  crystalline film at pristine, intercalated, and de-intercalated states. Reproduced with adaption from ref. 129 with permission from Elsevier. Copyright 1999.

The limitations of the IR spectroscopy technique include the sensitivity to surface species and limited penetration depth. The absence of certain vibrational modes for thin film samples with preferred orientation, and band overlap and broadening upon lithiation further complicates the interpretation of change in structural motifs. In addition, the accurate interpretation of IR modes requires comparison with reference data, which may not be available for certain new metastable phases or lithiation dynamics.<sup>39</sup>

### 3.3 Raman spectroscopy

Raman spectroscopy measurements provide an understanding of local disorder, bond length, and ion coordination dynamics during electrochemical reactions. As shown in Fig. 10a, Raman modes of crystalline  $\alpha\text{-V}_2\text{O}_5$  can be categorized into three main peak groups: a high-frequency mode at around  $1000\text{ cm}^{-1}$  related to vanadyl  $\text{V}=\text{O}$  bond ( $\text{V}-\text{O}_\text{A}$ ) stretching, several bands in the  $500\text{--}1000\text{ cm}^{-1}$  range for stretching and in the  $200\text{--}500\text{ cm}^{-1}$  range for bending, and the low-frequency translation mode at  $145\text{ cm}^{-1}$  for the shear motion and rotations of the ladders between  $\text{VO}_5$  square pyramids. Disordered and

oxygen-deficient  $\text{V}_2\text{O}_5$  exhibits broadened Raman peaks with an additional peak at around  $932\text{ cm}^{-1}$ , indicating the  $\text{V}^{4+}=\text{O}$  double bond and a diminished translational band at  $145\text{ cm}^{-1}$  due to the lack of long-range order (shown in Fig. 10a).<sup>135,136</sup>

*In situ* Raman microspectrometry during electrochemical lithiation has been reported to distinguish between the solid solution or phase transformation Li-ion insertion mechanisms, where  $\text{V}_2\text{O}_5$  is sputtered onto quartz optical windows with Au wire current collectors for *in situ* electrochemical reactions. The solid solution mechanism has been observed in nanostructured  $\alpha\text{-V}_2\text{O}_5$  thin films, which exhibits good electrochemical reversibility in the range of  $0 < x < 0.94$  for  $\text{Li}_x\text{V}_2\text{O}_5$  with complete recovery of the Raman spectrum after the de-intercalation of Li ions. As shown in Fig. 10b, during intercalation in the range of  $0 < x < 0.5$ , the vanadyl stretching mode at  $997\text{ cm}^{-1}$  gradually disappears, while a new peak at  $985\text{ cm}^{-1}$  keeps increasing in intensity and shifts to  $980\text{ cm}^{-1}$ . A stiffening of the lattice along the *a*-axis is reflected in the shift of several stretching and bending modes at  $404\text{ cm}^{-1}$  and  $527\text{ cm}^{-1}$  toward higher wavenumbers ( $\text{V}-\text{O}_\text{B}-\text{V}$ ). An increase in disorder between the square pyramids ( $3\text{V}-\text{O}_\text{C}$ ) is reflected by progressively quenched and shifted translational mode as the peak shift from  $145\text{ cm}^{-1}$  to  $153\text{ cm}^{-1}$ .<sup>137</sup>

At  $x \geq 0.75$ , the vanadyl peak at  $980\text{ cm}^{-1}$  remained unchanged, while a new peak emerged at  $958\text{ cm}^{-1}$ , indicating the formation of Li-rich  $\epsilon\text{-Li}_{0.94}\text{V}_2\text{O}_5$  without the formation of  $\delta\text{-Li}_x\text{V}_2\text{O}_5$  as observed in bulk  $\text{V}_2\text{O}_5$ .<sup>137</sup> The Raman mode shift for the solid solution mechanism, corroborated by a continuous shift in XRD peaks, is distinct from the fingerprint patterns for distinct lithiated  $\text{Li}_x\text{V}_2\text{O}_5$  phases obtained through *ex situ* measurement of chemically (de)lithiated  $\text{V}_2\text{O}_5$  that is shown in Fig. 10b.<sup>138,139</sup>

At more reductive potentials ( $2.0\text{ V vs. Li/Li}^+$ ) and over cycles, most of the Raman signals rapidly diminish for the electrochemically obtained  $\gamma$ - and  $\omega$ -phases, indicating the distortion of crystallinity and near-amorphous nature of the highly lithiated phases. The Raman band at around  $980\text{ cm}^{-1}$  broadens and shifts to lower wavenumbers, indicating the elongation and disordering of the vanadyl bond upon further lithiation, which is correlated with capacity fading.<sup>31</sup> Well-defined Raman shifts have been reported from chemically prepared  $\gamma$ - and  $\omega\text{-Li}_x\text{V}_2\text{O}_5$  in contrast to electrochemically obtained near amorphous structures.

### 3.4 Electron paramagnetic resonance (EPR)

Electron paramagnetic resonance (EPR), also known as electron spin resonance, is a technique used for studying materials with unpaired electrons. Key parameters analyzed in EPR include the “*g* tensor”, “signal width”, and “*A* factor”, which resolve electrons’ response to magnetic fields, the width of the signal, and interactions with nearby atomic nuclei, respectively. In vanadium oxides, EPR reveals paramagnetic local environments, such as  $\text{V}^{4+}$  and vanadyl  $\text{VO}^{2+}$  ions, for *ex situ* measurement of samples ranging from single crystals, powder, and cathode materials disassembled from a coin cell.<sup>132,140</sup>



Fig. 10 Raman spectra for  $\text{V}_2\text{O}_5$  at varying extents of lithiation with (a) the phase transformation in chemically lithiated bulk  $\text{V}_2\text{O}_5$  and (b) the solid solution mechanism in  $\text{V}_2\text{O}_5$  nano particle thin films. Reproduced with adaption from ref. 137 and 139 with permission from Elsevier, copyright 2009 and American Chemical Society, copyright 2006.



EPR effectively differentiates various crystalline phases of  $\text{Li}_x\text{V}_2\text{O}_5$  during the lithiation, as shown in Fig. 11. In the topotactic lithiation range ( $0 < x < 1$ ), the EPR signal primarily reflects the interactions between  $\text{V}^{4+}$  ions. While the EPR features of the  $\epsilon\text{-Li}_x\text{V}_2\text{O}_5$  phase closely resemble that of  $\alpha\text{-V}_2\text{O}_5$ , substantial shifts emerge at  $x > 0.75$ , indicating the nucleation onset of the  $\delta\text{-Li}_x\text{V}_2\text{O}_5$  phase. Low-temperature EPR helps in differentiating signals for some of the distinct phases, such as for  $\epsilon\text{-Li}_x\text{V}_2\text{O}_5$  where the signal width linearly varies with temperature to be differentiated with  $\alpha\text{-Li}_x\text{V}_2\text{O}_5$ .<sup>37</sup> When  $x > 1$ , the EPR signals exhibit entirely different features, indicating significant changes in the interactions of unpaired electrons coupled with major phase transformations. This change is manifested by the emergence of new EPR signals from liberated  $\text{VO}^{2+}$  ions, leading to irreversible phase transformations when  $x > 1$ .<sup>141</sup>

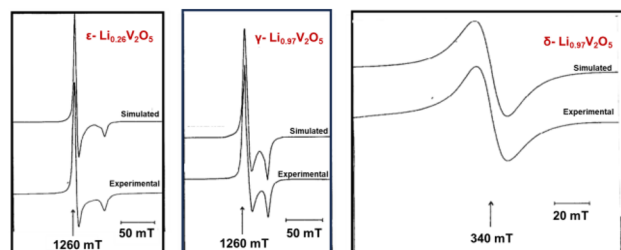
One advantage of EPR in identifying phase co-existence is the sensitivity. The EPR study reveals that both  $\alpha\text{-Li}_x\text{V}_2\text{O}_5$  and  $\epsilon\text{-Li}_x\text{V}_2\text{O}_5$  phases coexist at  $x = 0.01$  in powder samples undergoing electrochemical lithiation.<sup>141</sup> For metastable polymorphs of  $\text{V}_2\text{O}_5$ , a broader range of electronic states in the EPR spectra indicates increased lithiation levels and a non-uniform pattern of site filling.<sup>36,142</sup> The limitation of EPR is that EPR is most effective on materials with well-ordered structures, as it detects magnetic moments associated with unpaired electrons within a crystalline lattice. In quasi-crystalline or amorphous  $\text{V}_2\text{O}_5$ , the lack of long-range order results in more complicated EPR spectra that are difficult to interpret. Additionally, only a subset of vanadium ions has unpaired electrons, leading to low signal concentrations.

### 3.5 Nuclear magnetic resonance (NMR)

Nuclear magnetic resonance (NMR) spectroscopy, employing nuclei such as  $^7\text{Li}$ ,  $^{17}\text{O}$ ,  $^{19}\text{F}$ ,  $^{31}\text{P}$ , and  $^{51}\text{V}$ , provides important information regarding the local environments and chemical structures of transition metal oxides.  $^{51}\text{V}$  and  $^{17}\text{O}$  NMR magic-angle spinning (MAS) spectroscopy, using  $\text{VOCl}_3$  and  $\text{H}_2\text{O}$  as references, shows a clear distinction between crystalline  $\text{V}_2\text{O}_5$  and quasi-crystalline  $\text{V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$  and can characterize single crystalline and powder samples that are either directly synthesized, chemically (de)lithiated, or electrochemically (de)lithiated and disassembled from a coin cell.<sup>34,41</sup> Crystalline

$\text{V}_2\text{O}_5$  shows a single resonance at  $-620$  ppm in  $^{51}\text{V}$  NMR, representing the  $\text{V}_1$  site, whereas layered  $\text{V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$  gels show superimposed patterns of spinning sidebands representing multiple distinct sites at  $-580$  ppm,  $-593$  ppm,  $-597$  ppm,  $-620$  ppm, and  $-663$  ppm (Fig. 12a), which suggest different coordination of vanadium in the presence of water in the gel. Upon dehydration at increasing temperature up to  $350^\circ\text{C}$  (the temperature that converts  $\text{V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$  gels to crystalline  $\text{V}_2\text{O}_5$ ), the resonances of the  $\text{V}_1$  site gradually emerge, while the sites coordinated with water disappear (Fig. 12b). While crystalline  $\text{V}_2\text{O}_5$  does not undergo re-hydration, the re-hydration of the partially dehydrated structure  $\text{V}_2\text{O}_5 \cdot 0.06\text{H}_2\text{O}$  revealed preferred initial hydration at sites that are *trans* to vanadyl oxygen, which is then readily exchanged into all types of oxygen sites.<sup>34</sup>

Holland *et al.* used  $^7\text{Li}$  MAS NMR spectroscopy to investigate the local environments of lithium ions as  $x$  increases in  $\text{Li}_x\text{V}_2\text{O}_5 \cdot 0.5\text{H}_2\text{O}$  xerogels and resolved two distinct lithium environments (interfacial sites, shown in Fig. 13a (A) and intercalated sites, shown in Fig. 13a (B)) in comparison with crystalline  $\text{Li}_x\text{V}_2\text{O}_5$ , which has only one intercalated site. For both xerogel and crystalline  $\text{Li}_x\text{V}_2\text{O}_5$ , as the Li-ion content increases, a broad upfield resonance continues to shift further to a lower frequency. The upfield shift is ascribed to the enhanced hyperfine interaction between intercalated lithium nuclei and paramagnetic  $\text{V}^{4+}$  sites on the lattice, as the number of paramagnetic  $\text{V}^{4+}$  ions increases when the Li content increases (Fig. 13a). The difference between crystalline  $\text{V}_2\text{O}_5$  and  $\text{Li}_x\text{V}_2\text{O}_5 \cdot 0.5\text{H}_2\text{O}$  is that the xerogel exhibits a narrow resonance positioned close to 0 ppm, which is



**Fig. 11** Experimental and calculated EPR spectra of  $\epsilon\text{-Li}_{0.26}\text{V}_2\text{O}_5$ ,  $\gamma\text{-Li}_{0.97}\text{V}_2\text{O}_5$ , and  $\delta\text{-Li}_{0.97}\text{V}_2\text{O}_5$ . Reproduced with adaption from ref. 141 with permission from Elsevier. Copyright 1995.



**Fig. 12** (a) Different coordination configurations of vanadium sites with water and (b)  $^{51}\text{V}$  MAS NMR spectra of  $\text{V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$  gels upon drying. Reproduced with adaption from ref. 34 with permission from American Chemical Society. Copyright 2002.







**Fig. 13**  $^7\text{Li}$  MAS NMR spectra of (a)  $\text{Li}_x\text{V}_2\text{O}_5\cdot 0.5\text{H}_2\text{O}$  xerogels upon lithiation and (b) crystalline  $\text{Li}_{0.55}\text{V}_2\text{O}_5$  and  $\text{Li}_{0.55}\text{V}_2\text{O}_5\cdot 0.5\text{H}_2\text{O}$  xerogel. Reproduced with adaption from ref. 40 with permission from American Chemical Society. Copyright 2002.

assigned to surface-bound  $\text{Li}^+$  sites at interfaces within the xerogel material (Fig. 13b). From  $^7\text{Li}$  MAS NMR, upon initial lithiation, the majority of Li ions were loaded onto the interface of the xerogel. At  $x = 0.17$  in  $\text{Li}_x\text{V}_2\text{O}_5$ , the lithium ions occupy both the interfacial (capacitive) and interlayer (intercalation) at equal percentages. At  $x \geq 0.55$ , the interfacial capacitive sites are saturated and only the interlayer intercalation sites continue to fill. The continuous upfield shift in the lithiation of  $\text{Li}_x\text{V}_2\text{O}_5\cdot 0.5\text{H}_2\text{O}$  xerogels is distinct from the chemical shift in lithiated crystalline  $\text{Li}_x\text{V}_2\text{O}_5$ , where certain downfield shifts correspond to phase transformation and the change in Li coordination, such as from the trigonal prism in  $\varepsilon\text{-Li}_x\text{V}_2\text{O}_5$  to tetrahedral in  $\delta\text{-Li}_x\text{V}_2\text{O}_5$ .<sup>40</sup>

Challenges using NMR spectroscopy to study  $\text{V}_2\text{O}_5$  materials include the broad NMR signals from  $^{51}\text{V}$  nuclei, the availability of a magic angle spinning probe, and data interpretation. To fully understand the structure of  $\text{V}_2\text{O}_5$  using NMR, complementary techniques including XRD and EPR can provide corroborative information.

## 4 Conclusion and outlook

In summary, materials design and synthesis strategies including nanosizing, pre-intercalation, and crystal engineering for metastable and quasi-crystalline structures effectively alleviate distortive phase transformations in  $\text{V}_2\text{O}_5$  and promote alternative solid solution mechanisms, thereby enhancing the Li-ion diffusion and reversible capacity. Building on the recent progress in the field, we envision that future research efforts in the directions below will contribute to further understanding and development of reversible  $\text{V}_2\text{O}_5$  energy storage materials.

Substantial progress in understanding the lithiation mechanism has been made by either high spatial resolution methods using free-standing electrode materials or high temporal resolution *in operando* electrochemical setups or operating devices. For example, *ex situ* diffraction and imaging at sub-Angstrom resolution for free-standing particles or millimeter scale single crystals reveal atomistic information for "relaxed"

structures, while *operando* measurement of cathode materials reveal real-time structural dynamics and phase coexistence with tens of hundreds of nanometers spatial resolution. While characterization techniques with higher spatiotemporal resolution and three-dimensional reconstruction keep advancing (such as synchrotron-based tomography and Bragg coherent diffractive imaging, and electron-beam based 4D scanning transmission electron microscopy), one should take into consideration the different electrochemical and mechanical conditions and parameters when comparing and correlating the data from *ex situ* diffraction with the ones from *operando* conditions. Meanwhile, the identification of distortive phase transformations and solid solution mechanisms, especially in early literature, has relied on structural identification tools such as powder XRD, which has relatively low detection limits and can be complicated by heterogeneous Li gradient, phase coexistence, and amorphization. Mechanisms such as non-distortive Li reordering require techniques with an enhanced signal-to-noise ratio and high spatio-temporal resolution. We expect opportunities to arise from "rediscovering" solid solution and Li-ion reordering mechanisms that continue to guide the design and synthesis of host materials without distortive phase transformations, and understanding of the roles of phase boundary-induced modifications of Li diffusion, especially for larger and more convoluted transport pathways involving dislocations and extended defects, that is beyond the computational power of atomistic calculation. Future advancements and better understanding of electrochemical phase transformation pathways should empower the design of new phases that facilitate developments in diverse fields such as energy storage materials and electrochromics.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

X. L. acknowledges the startup fund from Georgia State University and Department of Energy, Basic Energy Science DE-SC0024457.

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