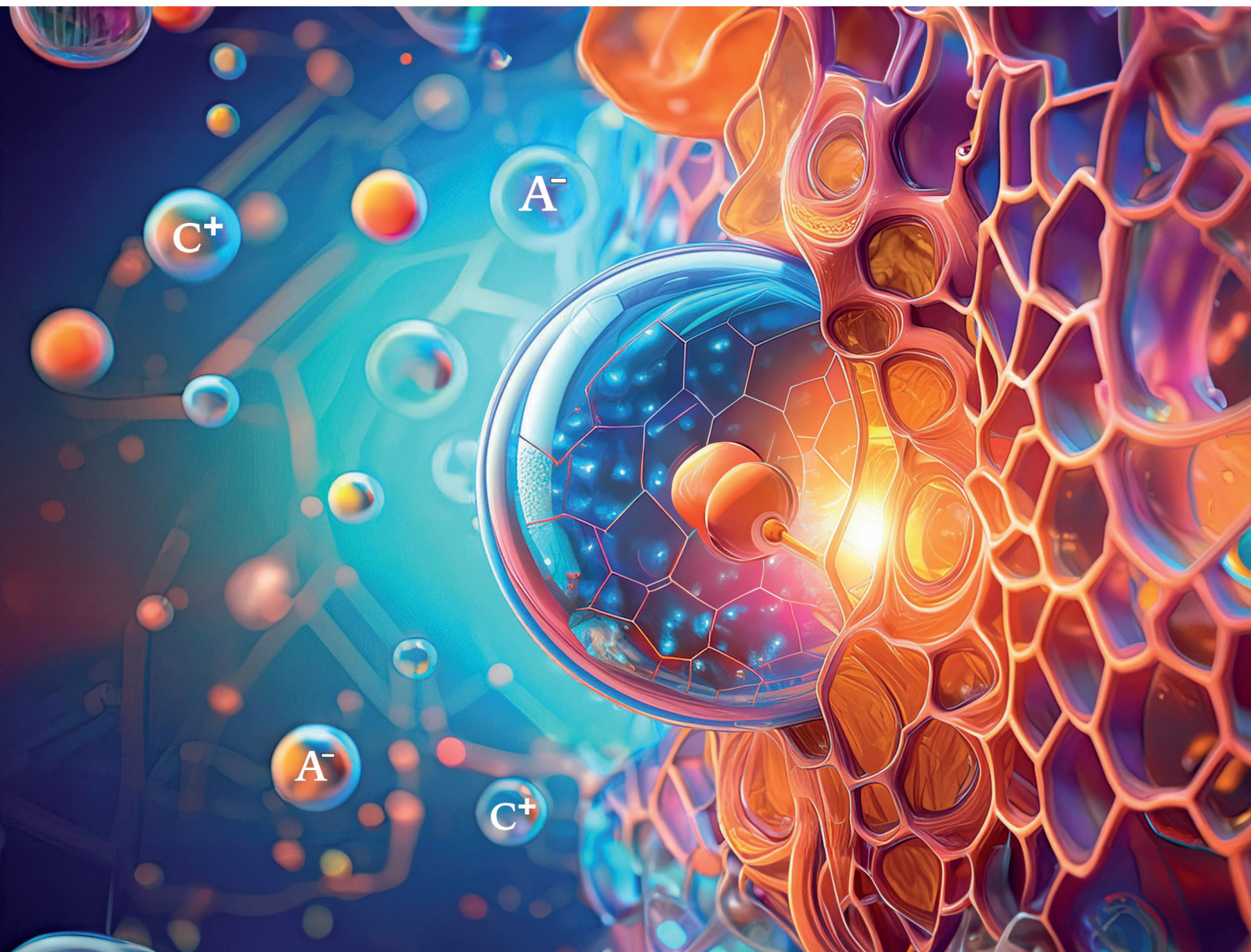


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**PAPER**

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formulations of diclofenac

## PAPER

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## A model binary system for the evaluation of novel ion pair formulations of diclofenac

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Diclofenac (DF) is well established as a topical treatment option for conditions such as osteoarthritis. In investigating novel DF ion pairs for topical delivery, studies to determine the impact of various amino acids on the distribution of DF between octanol and aqueous environments were conducted. These studies identified the amino acid L-histidine hydrochloride monohydrate (LHSS) as an ion pair candidate for diclofenac sodium (DNa). Preliminary porcine skin permeation studies indicated that the addition of LHSS to DNa solutions increased the amount of DF that permeated through porcine skin. With increasing amounts of LHSS added, greater amounts of DF precipitated out of solution. In the present work, the solubility of DNa in various solvents was assessed, with the intention of identifying solvents in which DNa was most soluble. Binary systems comprising water and selected solvents were tested for both miscibility and the solubility of DNa and LHSS. The model system selected to evaluate novel ion pair formulations using porcine skin *in vitro* permeation studies under finite dose (10  $\mu$ L) conditions comprised Transcutol® (TC) and water. The tested formulations contained DNa at concentrations of 5, 7.5 and 10 mg mL<sup>-1</sup>. Higher LHSS concentrations were possible when the DNa concentrations were lower, and ranged from 10–25 mg mL<sup>-1</sup>. However, increasing the DNa concentration to 10 mg mL<sup>-1</sup>, without adding LHSS, resulted in a significant reduction in the amount of DF that partitioned and permeated, relative to formulations that contained either 5 mg mL<sup>-1</sup> DNa in combination with LHSS (at 12.5 or 25 mg mL<sup>-1</sup>), or 7.5 mg mL<sup>-1</sup> DNa together with 12.5 mg mL<sup>-1</sup> LHSS. The current work confirms previous investigations, suggesting that the addition of LHSS to DNa in a formulation may increase the partition and permeation of DF.

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## Introduction

Osteoarthritis (OA) is a painful and degenerative condition of the joints, affecting the hips, knees and hands. According to the Global Burden of Disease study, the condition affects approximately 7% of the world's population, amounting to more than 500 million people.<sup>1</sup> Direct costs associated with OA are estimated at 1–2% of the Gross National Product of countries with established market economies, including the UK, the USA, Canada and Australia.<sup>2</sup> Indirect costs such as the loss of productivity and early retirement, serve to exacerbate the already substantial economic implications.

Various organisations worldwide have published guidelines relating to the treatment of OA.<sup>3,4</sup> In the UK, topical non-steroidal anti-inflammatory drugs (NSAIDs) are considered first-line pharmacological treatment options for OA, due to the adverse drug reactions associated with other options such as

opioids and oral NSAIDs.<sup>5</sup> The European Society for clinical and economic aspects of osteoporosis, osteoarthritis and musculoskeletal diseases have strongly recommended the use of topical NSAIDs, particularly where so-called symptomatic slow-acting drugs such as chondroitin sulfate and prescription crystalline glucosamine sulfate, in conjunction with paracetamol, have not relieved the symptoms of OA.<sup>6</sup> In the US, the use of topical NSAIDs for the treatment of OA has been endorsed by the American College of Rheumatology in conjunction with the Arthritis Foundation<sup>7</sup> as well as the American Academy of Orthopaedic Surgeons.<sup>8</sup> The global organisation, Osteoarthritis Research Society International, have also strongly recommended the use of topical NSAIDs as a treatment option for OA of the knee.<sup>9</sup> As the most prescribed NSAID worldwide,<sup>10</sup> it is unsurprising therefore that topical formulations using diclofenac (DF) are widely recognised as effective treatment options for OA.<sup>11</sup> Unfortunately, due to the efficacy of the barrier properties of the stratum corneum, only a small percentage of topically applied pharmaceutical salt preparations partition into the skin. Consequently, much of the applied pharmaceutical product never reaches its target site. Rational formulation design of topical DF products offers

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the potential for both economic savings as well as an opportunity to demonstrate commitment to reducing the environmental consequences of conscious formulation choices. This is consistent with the policies of many large pharmaceutical companies (such as Astra Zeneca,<sup>12</sup> Novartis<sup>13</sup> and Roche<sup>14,15</sup>) who are committed to reducing, where possible, the presence of pharmaceuticals in the environment.

Strategies to overcome the skin barrier are frequently categorised into two groups. The first comprises active or physical methods<sup>16,17</sup> such as iontophoresis,<sup>18–20</sup> sonophoresis,<sup>21</sup> microneedles,<sup>22–25</sup> magnetophoresis<sup>26</sup> and electroporation.<sup>27</sup> The second consists of passive techniques that focus specifically on the formulation. Examples include increasing the thermodynamic activity of the active pharmaceutical ingredient,<sup>28–31</sup> the inclusion of various excipients as skin penetration enhancers<sup>28,32–35</sup> and the use of ion pairs to address ionised drug molecules.<sup>36</sup>

Previously the amino acid L-histidine hydrochloride monohydrate (LHSS) was identified as an ion pair candidate for diclofenac sodium (DNa).<sup>37</sup> This determination resulted from studies performed to investigate the impact of LHSS on the distribution of DF between octanol and aqueous environments. Experiments comprised DNa and LHSS in various ratios, namely 1 : 0.5; 1 : 1; 1 : 5; 1 : 10 and 1 : 50. The results suggested that increasing the quantity of LHSS relative to DNa, would result in an increase in the amount of DF that partitioned into an organic medium. Preliminary porcine skin permeation studies confirmed that the addition of LHSS to DNa aqueous solutions also increased the amount of DF that permeated through porcine skin. The formulations used comprised DF at 100 µg mL<sup>-1</sup> and 350 µg mL<sup>-1</sup>. LHSS was either not included, for the purposes of a control (1 : 0) or added at 1 : 1 or 1 : 50 molar ratios. The more LHSS that was added, however, the more DF precipitated out of solution. This was particularly evident at the higher concentration of DF.<sup>37</sup> As LHSS is only soluble in water and DNa has very low solubility in water, a binary solvent system was developed. The aims of the present study, therefore, were to build upon the previous investigations<sup>37</sup> with two main objectives: (i) to address the issue of the solubility of both DNa and LHSS and (ii) to develop a model binary system to evaluate novel DNa : LHSS ion pair formulations, using porcine skin *in vitro* permeation studies (IVPT) under finite dose (10 µL) conditions.

## Materials and methods

### Materials

DNa 98% and the amino acid salt, LHSS, were supplied by VWR (Leicestershire, UK). High vacuum grease was obtained from Dow Corning (Seneffe, Belgium). Oxoid<sup>TM</sup> phosphate buffered saline (PBS) tablets were purchased from Thermo Fisher Scientific (Lancashire, UK). Filter paper, 150 mm diameter, as well as HPLC grade acetonitrile (ACN) and trifluoroacetic acid (TFA), were purchased from Fisher Scientific (Lancashire, UK). Propylene glycol was supplied by Merck Life

Sciences (Poole, UK). Hexylene glycol, butylene glycol and di-propylene glycol were supplied by VWR (Leicestershire, UK). Isopropyl alcohol was purchased from Honeywell (Berkshire, UK). Dimethyl isosorbide, isopropyl myristate and mineral oil were obtained from Thermo Fisher Scientific (Lancashire, UK). Diethylene glycol monoethyl ether (Transcutol®), propylene glycol monocaprylate type II (Capryol 90®), propylene glycol monolaurate type 1 (Lauroglycol 90®) and medium chain triglycerides (Labrafac Lipophile W1349®) were kind donations from Gattefosse (St Priest, France).

### HPLC analysis

The detection and quantification of DF was performed using the method previously reported. This method was validated in accordance with ICH (2005) guidelines (International Conference on Harmonisation Expert Working Group, 2005) for linearity, accuracy, precision, robustness, limit of detection (LOD) and limit of quantification (LOQ).<sup>37</sup> The mobile phase was made up of acetonitrile (ACN) : 0.1% trifluoroacetic acid in water (70 : 30). Calibration curves for the detection of diclofenac were prepared using DNa. They ranged from 0.05 to 100 µg mL<sup>-1</sup>. The LOD was 0.03 µg mL<sup>-1</sup> and LOQ was 0.10 µg mL<sup>-1</sup>.<sup>37</sup>

### Solubility studies, solubility parameters (SP) of solvents, miscibility studies and stability studies

**Single solvent solubility studies.** Individual solvents (2 mL) were added to screw cap glass vials. An excess of DNa and a Teflon® coated magnetic stirrer bar were added to each solvent. The vials were subsequently sealed with Parafilm® and placed in a Grant Sub Aqua 26 water bath (Grant Instruments, Cambridgeshire, UK) at 32 ± 1 °C for 48 h with continuous stirring. The samples were inspected periodically to ensure that DNa remained visibly in excess. Where this was not the case, further DNa was added. After 48 h, approximately 1 mL of each solvent was transferred into a micro centrifuge tube. These tubes were then centrifuged for 15 min at 12 000 rpm, at a temperature of 32 ± 1 °C. The pipette tips and centrifuge tubes used to perform these tasks were maintained at 32 ± 1 °C in an oven for at least 30 min prior to use. Samples were diluted where required and analysed by HPLC.

**Solubility parameters (SP) of solvents.** SPs of single solvents were determined using the Van Krevelen and Hoftyzer method, incorporated within the Molecular Modelling Pro software, version 7.0.8 (Norgwyn Montgomery Software Inc., Pennsylvania, USA). The saturated solubility of DNa in each solvent was plotted against the SPs of each solvent using OriginPro® 2022 software (OriginLab Corporation, USA). Where the SP of binary solvents were considered, calculations were based on the volume fraction of the solvent as shown in eqn (1):<sup>38–40</sup>

$$(\delta)^n = \frac{(\delta^i \times \Phi^i) + (\delta^j \times \Phi^j)}{(\Phi^i + \Phi^j)} \quad (1)$$



where  $(\delta)^n$  represents the SP of the solvent mixture,  $\delta^i$  and  $\delta^j$  correspond to the SP of the individual solvents, while  $\Phi^i$  and  $\Phi^j$  refer to the volume fraction of each solvent.

**Miscibility testing of drug-loaded binary solvent systems.** As LHSS is only soluble in water, binary solvent combinations comprised water and one other solvent in the ratios 10 : 90, 20 : 80, 30 : 70, 40 : 60, 50 : 50, 60 : 40, 70 : 30, 80 : 20 and 90 : 10 (v/v). The non-aqueous solvent options were identified through the single solvent solubility studies mentioned above. As shown in Fig. 1, DNA was determined to be most soluble in Transcutol® (TC), dipropylene glycol (DiPG) and propylene glycol (PG), which were selected for this study. Methylene blue was added to all samples to confirm miscibility. These studies were carried out using DNA at fixed concentrations of 1.00%, 0.75% and 0.50% (w/v). Stock solutions containing 50 mg mL<sup>-1</sup> and 25 mg mL<sup>-1</sup> of LHSS in water were prepared. In contrast to the fixed concentrations of DNA, the concentration of LHSS in the samples increased or decreased relative to the volume of LHSS stock solution contained in the sample. Samples were sealed with Parafilm® and shaken for 24 h using an orbital shaker (VWR, Leicestershire, UK) set to 32 °C and 800 rpm. The samples were then left at room temperature and evaluated at 24 h and 72 h.

**Stability testing of binary formulations.** The stability of selected formulations was evaluated for a period of 72 h. These binary formulations were added to Eppendorf® tubes or glass vials containing micro stirrer bars. They were sealed with Parafilm® and placed in a Grant Sub Aqua 26 water bath (Grant Instruments, Cambridgeshire, UK) at 32 ± 1 °C. At 24, 48 and 72 h samples were visually inspected for precipitation. Where precipitation occurred, formulations were not taken forward for investigation. Where no precipitation was evident, samples were analysed using HPLC.

**Finite dose (10 µL) porcine skin *in vitro* permeation testing (IVPT) and mass balance studies.** All porcine skin IVPT used

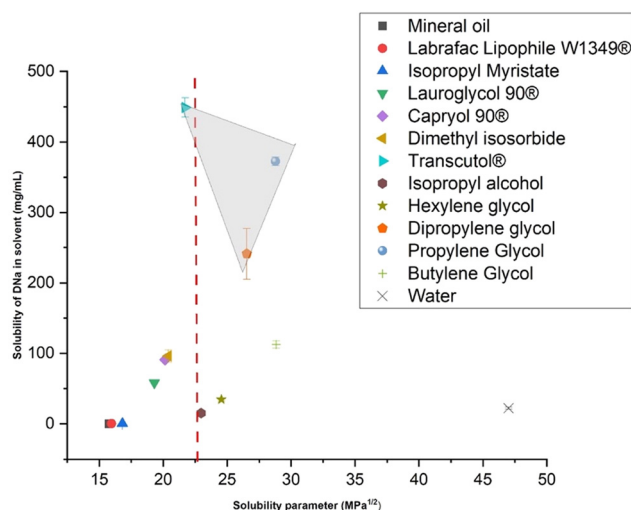
full thickness porcine skin. Preparation of the membrane as well as IVPT and mass balance studies, were conducted in accordance with the methods used in previous work.<sup>37</sup> The only change related to the solvent used for the washing of the membrane and extraction of DNA. Instead of pure methanol, a mixture of methanol and water (85 : 15 v/v), was used due the increased solubility of DNA.<sup>41</sup>

**Data analysis.** Microsoft Excel® version 16.55 (Microsoft Corporation, Washington, U.S.) was used to calculate the mean and standard deviation (SD) of the data. Additional statistical analysis was carried out using IBM® SPSS Statistics® Version 28.0 (IBM, New York, US). Evaluation of the normality of distribution of the data sets was performed using the Shapiro–Wilk test. The statistical significance of parametric data was analysed using a one-way analysis of variance (ANOVA) combined with Tukey's *post hoc* test or the independent-samples *t*-test for only two samples. For non-parametric data, statistical significance was assessed using the Kruskal–Wallis one-way ANOVA (*k*-samples) with multiple pairwise-comparisons or the Mann–Whitney *U* test for two samples. Probability values where  $p < 0.05$  were considered statistically significant.

## Results and discussion

### Solubility studies, SP of solvents, drug-loaded miscibility studies and stability studies

**Single solvent solubility studies.** The results of the saturated solubility of DNA in each solvent are plotted against the SP of the solvent in Fig. 1. The SP reflects the cohesive energy density of the molecules in question. It has been suggested that materials exhibiting closely matched SPs have a strong affinity for one another, with the degree of similarity between these parameters directly influencing the extent of their interaction.<sup>42</sup> Therefore, liquids with similar SPs should be miscible<sup>42</sup> and compounds<sup>43</sup> should dissolve in solvents with comparable SPs. It is important to acknowledge, however, that practical observations do not always align perfectly with SP values. Furthermore, neither the Van Krevelen and Hoftyzer nor any of the standard contribution methods are applicable to the determination of the SPs of salts.<sup>44</sup> Nonetheless, they remain a useful starting point when screening solvents for solubility and miscibility purposes. The grey triangulated area in Fig. 1 identifies the three solvents in which DNA was most soluble. These include Transcutol® (TC), propylene glycol (PG) and dipropylene glycol (DiPG) with corresponding SPs of 21.72, 28.78 and 26.54 MPa<sup>1/2</sup>. Applying the principles of SPs, high solubility equates to high affinity, which in turn suggests similar SPs.<sup>42</sup> It is possible, therefore, that the SP of DNA could lie within the aforementioned triangulated shaded area (Fig. 1). This is corroborated in work published by Bustamante and Barra *et al.*<sup>44,45</sup> Their research group expanded on existing SP methods enabling the evaluation of the SPs of certain sodium salts. The SP value for DNA determined by the cohort, using the van Krevelen group contribution method, equated to



**Fig. 1** The results of the saturated solubility of DNA in individual solvents are plotted against their SPs ( $n \geq 3$ ; mean ± SD). The dashed red line represents the SP of DNA determined by Barra *et al.*<sup>44</sup>



22.65 MPa<sup>1/2</sup>. This value corresponds very closely to the SP of TC, the solvent in which DNA was most soluble, and is represented in Fig. 1 by a dashed red line.

As a result of the single solvent solubility studies, which indicated that DNA exhibited the highest solubility in TC, PG and DiPG, these solvents were chosen for the subsequent phase of formulation development. They are shown alongside water and DNA in Table 1 together with their CAS numbers, chemical structures, molecular weights, dielectric constant values at 25 °C and SPs. The solvents, selected primarily to maximise the solubility of DNA, are reported to function as permeation enhancers,<sup>33</sup> and are also commonly used as excipients in topically applied pharmaceutical formulations. One such example is the inclusion of PG in the commercial formulation, Voltaren® 1% gel (GSK Consumer Health, New Jersey, USA). As such, they appear in the FDA Inactive Ingredients Database. Currently the maximum daily exposure (MDE) for TC (CAS 111-90-0) in topical applied gels is 1500 mg and the maximum potency per unit dose (MPPUD) for transdermal systems is 430 mg. PG (CAS 57-55-6) has a MDE for topically applied creams of 6113 mg and a MPPUD of 65% (w/w) for topical ointments. DiPG (CAS 25265-71-8) has a 296 mg MDE for extended-release films for transdermal use, while general transdermal systems are limited to 6 mg. No MPPUD is currently listed for DiPG contained in transdermal systems.

While these solvents were chosen specifically due to their efficacy as solubilisers of DNA, the work by Minghetti *et al.* revealed the need for caution when focusing primarily on solubility. It was ascertained that DNA was far more soluble in PG (567 ± 31 µg mL<sup>-1</sup>) and TC (660 ± 70 µg mL<sup>-1</sup>) than oleic acid (25 ± 10 µg mL<sup>-1</sup>) or water (37 ± 10 µg mL<sup>-1</sup>). Despite the application of saturated solutions, the flux from water (2.29 ± 0.37 µg cm<sup>-2</sup> h<sup>-1</sup>) and oleic acid (1.84 ± 0.18 µg cm<sup>-2</sup> h<sup>-1</sup>) was greater than the flux from PG (1.21 ± 0.06 µg cm<sup>-2</sup> h<sup>-1</sup>) and TC

(0.06 ± 0.01 µg cm<sup>-2</sup> h<sup>-1</sup>).<sup>46</sup> The study demonstrated that the assumption of equivalent thermodynamic activity for saturated solutions is negated when the activity coefficients of the solute in the solvents vary.<sup>46</sup> This was addressed by Higuchi, who explained that a high affinity between solute and vehicle translates into low activity coefficients. This in turn results in reduced rates of partition of the solute from the vehicle into the membrane.<sup>47</sup> Minghetti described this affinity as a very small difference between the SP of the active pharmaceutical ingredient (API) and the solvents, PG and TC, which reduced the ability of the API to partition into the membrane.<sup>46</sup> This study indicated that a similarity in SPs could cause a reduction in the activity coefficient and therefore the thermodynamic activity of the active in the formulation. While this would suggest potential challenges for single solvent systems, or combinations of the solvents selected for maximum DNA solubility, the inclusion of water should mitigate any such concerns. The SP of water (47.00 MPa<sup>1/2</sup>) is distinct from that of PG (28.78 MPa<sup>1/2</sup>), DiPG (26.54 MPa<sup>1/2</sup>) and TC (21.72 MPa<sup>1/2</sup>), and therefore should result in a higher activity coefficient, thermodynamic activity and ability to partition into the membrane. The dielectric constants of solvents and solvent systems should also be considered due to their bearing on the stability of ion pairs. In general at lower dielectric constants, the association between ion pairs increases, while the converse is true for higher dielectric constants.<sup>48,49</sup> The three solvents TC, PG and DiPG have dielectric constants of 14.1,<sup>50</sup> 28.95<sup>51</sup>–30.2<sup>52</sup> and 19.8<sup>53</sup> respectively, at 25 °C. These values are lower than that of water which exhibits a dielectric constant of 78.3 at the same temperature.<sup>54</sup> Thus, the addition of any of the selected solvents would result in a reduction in the dielectric constant and polarity of water alone. As the organic component of the formulation increases, the electrostatic attraction generated by the solvent system diminishes in relation to the ions. This reduction leads to decreased interference in the electrostatic attraction between the ion pairs.<sup>55</sup>

**Table 1** Chemical structures and molecular mass (g mol<sup>-1</sup>) of DNA and the solvents DiPG, PG, TC and water. The table also contains the dielectric constants (ε) and SP (MPa<sup>1/2</sup>) of the solvents

Compound name	CAS	Chemical structure	Molar mass (g mol <sup>-1</sup> )	Dielectric constant of solvent (ε) at 25 °C	Solubility parameter (MPa <sup>1/2</sup> ) of solvents
DNA	15307-79-6		318.13	n/a	n/a
DiPG	25265-71-8		134.17	19.80 <sup>53</sup>	26.54
PG	57-55-6		76.09	28.95 <sup>51</sup> –30.20 <sup>52</sup>	28.78
TC	111-90-0		134.18	14.10 <sup>50</sup>	21.72
Water	7732-18-5		18.02	78.30 <sup>54</sup>	47.00



### Miscibility studies for drug-loaded binary solvent systems.

As LHSS is only soluble in water, the binary systems comprised TC, PG or DiPG in combination with an aqueous fraction in ratios of 10 : 90, 20 : 80, 30 : 70, 40 : 60, 50 : 50, 60 : 40, 70 : 30, 80 : 20 and 90 : 10 (v/v). DNA was included in fixed concentrations of 1.00%, 0.75% and 0.50% (w/v). The concentration of LHSS varied according to the volume of 50 mg mL<sup>-1</sup> or 25 mg mL<sup>-1</sup> LHSS solution added to the sample. Methylene blue was used to confirm miscibility. Table 2 indicates all miscible solvent combinations, at specific concentrations of DNA and LHSS that showed no apparent precipitation.

**Binary solvent selection and stability testing.** Stability testing was conducted to identify suitable formulations for permeation experiments, resulting in the exclusion of formulations marked in italics in Table 2. TC : water was selected as a model binary system as it facilitated comparisons where concentrations of DF, as well as solvent ratios, remained constant while the concentration of the counter ion was varied. This system also contained stable combinations of increased concentrations of DF at the same and different solvent ratios, as

shown in Table 2. DiPG : water systems were not selected, as they did not comprise a sufficient number of stable formulations appropriate for comparative purposes. This was particularly relevant in relation to formulations containing an aqueous content of 50% (v/v), where the concentration of LHSS would be maximised. PG : water systems were not considered due to their consistently low aqueous content, limiting the quantity of LHSS. Formulations shown in bold were selected for IVPT as they appeared to be stable after 72 h and were therefore suitable for comparative purposes.

### Results of finite dose (10 $\mu$ L) binary IVPT and mass balance studies

**Binary solvents: TC and water (50 : 50 v/v), containing 5 mg mL<sup>-1</sup> DNA and 25 mg mL<sup>-1</sup> LHSS (5DL25), 12.5 mg mL<sup>-1</sup> LHSS (5DL12.5) or 0 mg mL<sup>-1</sup> LHSS (5DL0).** The data observed in Table 3 and Fig. 2(a) suggests that the addition of LHSS enhanced the permeation of DF across porcine skin at 25 h, relative to the control formulation containing no LHSS. The variations, however, were not statistically significant ( $p > 0.05$ ).

**Table 2** Miscible binary solvent combinations comprising TC, PG or DiPG and water, that had no apparent precipitation following drug-loaded miscibility testing. Results include the percentage concentration of DNA (w/v) in the sample as well as the molar ratio of LHSS relative to DNA in the sample. Formulations in italics were removed after precipitation was detected during stability testing. Formulations in bold appeared stable after stability tests and were selected for use in IVPT

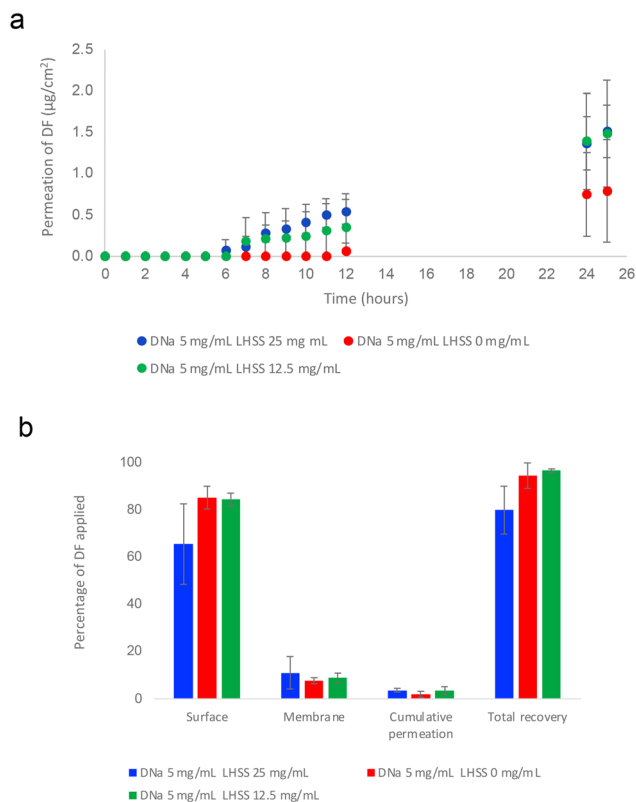
Solvent% (v/v)				Solvent% (v/v)				Solvent% (v/v)			
% DNA	TC	Water	Mols LHSS/mol DNA	%DNA	DiPG	Water	Mols LHSS/mol DNA	%DNA	PG	Water	Mols LHSS/mol DNA
<b>LHSS solution 50 mg mL<sup>-1</sup></b>											
0.75	50	50	4.71	1.00	50	50	3.53	0.50	60	40	5.65
<b>0.50</b>	<b>50</b>	<b>50</b>	<b>7.06</b>	0.75	50	50	4.71	0.50	80	20	2.83
0.50	40	60	8.48	0.50	50	50	7.06	0.50	90	10	1.41
				0.50	40	60	8.48				
<b>LHSS solution 25 mg mL<sup>-1</sup></b>											
1.00	50	50	1.77	1.00	60	40	1.41	1.00	70	30	1.06
<b>1.00</b>	<b>60</b>	<b>40</b>	<b>1.41</b>	1.00	70	30	1.06	1.00	80	20	0.71
1.00	70	30	1.06	1.00	80	20	0.71	1.00	90	10	0.35
<b>0.75</b>	<b>50</b>	<b>50</b>	<b>2.35</b>	1.00	90	10	0.35	0.50	60	40	2.83
0.75	60	40	1.88	0.75	70	30	1.41	0.50	70	30	2.12
0.75	70	30	1.41	0.75	80	20	0.94	0.50	80	20	1.41
0.50	40	60	4.24	0.75	90	10	0.47	0.50	90	10	0.71
<b>0.50</b>	<b>50</b>	<b>50</b>	<b>3.53</b>	0.50	50	50	3.53				
0.50	60	40	2.83	0.50	60	40	2.83				
				0.50	70	30	2.12				

**Table 3** Results for the finite dose (10  $\mu$ L) porcine IVPT using binary solvent formulations produced from TC and water (50 : 50 v/v), containing 5 mg mL<sup>-1</sup> DNA and 0, 12.5 or 25 mg mL<sup>-1</sup> LHSS. The table shows (i) cumulative permeation of DF ( $\mu$ g cm<sup>-2</sup>) at 25 h as well as the percentages of DF applied that (ii) permeated, (iii) remained on the skin surface, (iv) remained in the membrane, (v) permeated plus remained in the membrane and (vi) were recovered. In addition, the table contains a reference to the molar ratio of LHSS relative DNA, that was applied ( $4 \leq n \leq 5$ ; mean  $\pm$  SD)

Amount DF partitioned and permeated	DNA 5 mg mL <sup>-1</sup> : LHSS 25 mg mL <sup>-1</sup> (5DL25)	DNA 5 mg mL <sup>-1</sup> : LHSS 12.5 mg mL <sup>-1</sup> (5DL12.5)	DNA 5 mg mL <sup>-1</sup> : LHSS 0 mg mL <sup>-1</sup> (5DL0)
Cumulative permeation $\mu$ g cm <sup>-2</sup> at 25 h	1.52 $\pm$ 0.32	1.48 $\pm$ 0.65	0.79 $\pm$ 0.62
Permeated 25 h %	3.49 $\pm$ 0.73	3.47 $\pm$ 1.56	1.76 $\pm$ 1.37
Retained on the skin surface %	65.53 $\pm$ 17.57	84.28 $\pm$ 2.90	85.02 $\pm$ 5.83
Retained in the membrane %	11.00 $\pm$ 7.21	8.79 $\pm$ 2.05	7.60 $\pm$ 1.19
Retained in membrane plus permeated %	14.49 $\pm$ 7.76	12.26 $\pm$ 3.06	9.36 $\pm$ 2.49
Recovery %	80.02 $\pm$ 11.39	96.54 $\pm$ 1.81	94.38 $\pm$ 6.01
DNA : LHSS molar ratio	1 : 7.1	1 : 3.5	1 : 0







**Fig. 2** (a) Cumulative permeation of DF from IVPT using porcine skin. A finite dose (10  $\mu\text{L}$ ) of the binary solvent formulation comprising TC and water (50 : 50 v/v) containing 5  $\text{mg mL}^{-1}$  DNa and 0, 12.5 or 25  $\text{mg mL}^{-1}$  LHSS, was applied ( $4 \leq n \leq 5$ ; mean  $\pm$  SD). (b) Percent recovery (mean  $\pm$  SD) of DF from mass balance studies, following porcine IVPT using 10  $\mu\text{L}$  of the binary solvent formulations produced from TC and water (50 : 50 v/v), containing 5  $\text{mg mL}^{-1}$  DNa and 0, 12.5 or 25  $\text{mg mL}^{-1}$  LHSS.

Cumulative permeation of DF at 25 h ranged from  $0.79 \pm 0.62 \mu\text{g cm}^{-2}$ , (5DL0), to comparable amounts of  $1.48 \pm 0.65 \mu\text{g cm}^{-2}$  and  $1.52 \pm 0.32 \mu\text{g cm}^{-2}$  for the 5DL12.5 and 5DL25 formulations, respectively ( $p > 0.05$ ). The percentage value of DF that permeated followed the same order, amounting to between  $1.76 \pm 1.37\%$  for the control sample increasing to  $3.47 \pm 1.56\%$  (5DL12.5) and  $3.49 \pm 0.73\%$  (5DL25) ( $p > 0.05$ ). All formulations resulted in comparable percentages of DF being extracted from the membrane, varying from  $7.60 \pm 1.19\%$ – $11.00 \pm 7.21\%$  ( $p > 0.05$ ). To obtain a clearer picture of the total drug compound partitioning and permeating, the values for membrane retention and permeation were combined. Again, the results for all formulations were comparable, with the total percentages of DF amounting to  $9.36 \pm 2.49\%$  (5DL0),  $12.26 \pm 3.06\%$  (5DL12.5) and  $14.49 \pm 7.76\%$  (5DL25,  $p > 0$ ). Despite these amounts representing increases of approximately 55% (5DL25) and 30% (5DL12.5) relative to the control, they were not statistically significant ( $p > 0.05$ ).

As mentioned previously, TC was selected due to its proficiency as a solubiliser, particularly in relation to compounds exhibiting poor water-solubility.<sup>56–58</sup> Despite its capacity to

partition into and permeate through human skin as a neat solvent<sup>59</sup> high solubility of active ingredients in TC has not always resulted in high permeation values.<sup>46,60,61</sup> The incorporation of water to create binary solvent systems, however, has frequently served to increase the permeation of the active compound.<sup>56,62</sup> This has been corroborated by investigations concerning the solubility and thermodynamic activity of various low water-soluble compounds in TC, water and binary combinations thereof.<sup>40,63–67</sup> In these studies, the compounds exhibited high solubility in TC, and had SPs that closely aligned with that of TC. A clear relationship emerged with the introduction of water, whereby an increase in the mole fraction of water corresponded to an elevated activity coefficient of the compound in the solvent system. As both the experimental and calculated SP<sup>44,45</sup> of DNa is reported to be similar to that of TC, the addition of water increases the thermodynamic activity of the active in the formulation.<sup>67</sup> Thus, the selection of a binary solvent system comprising a 50 : 50 (v/v) ratio of TC : water, balances the requirement of solubility for both DNa and LHSS while addressing the issue of the thermodynamic activity of DNa in the formulation.

Recovery of DF was  $94.38 \pm 6.01\%$  where no LHSS was used (5DL0), increasing to  $96.54 \pm 1.81\%$  for the formulation containing 12.5  $\text{mg mL}^{-1}$  LHSS (5DL12.5). Significantly less DF ( $80.02 \pm 11.39\%$   $p < 0.05$ ) was recovered from the final formulation, L5DL25.

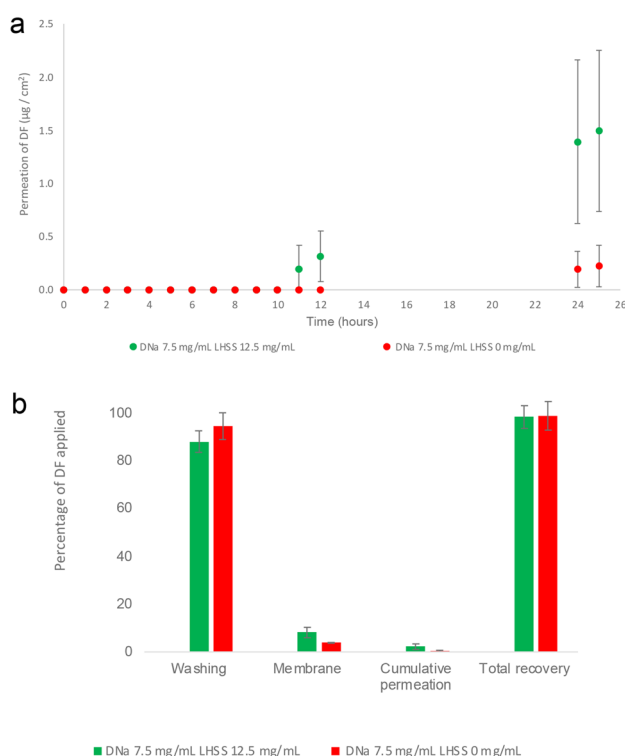
**Binary solvents: TC and water (50 : 50 v/v), containing 7.5  $\text{mg mL}^{-1}$  DNa and 0  $\text{mg mL}^{-1}$  LHSS (7.5DL0) or 12.5  $\text{mg mL}^{-1}$  LHSS (7.5DL12.5).** The results of the permeation study, as shown in Table 4 and Fig. 3(a) & (b), indicate that the addition of 12.5  $\text{mg mL}^{-1}$  LHSS to a higher concentration of DNa (7.5  $\text{mg mL}^{-1}$ ), significantly increased the permeation of DF at 25 h relative to the control. Permeation values for the LHSS-containing formulation (7.5DL12.5) amounted to  $1.49 \pm 0.76 \mu\text{g cm}^{-2}$  while the control (7.5DL0) was  $0.22 \pm 0.19 \mu\text{g cm}^{-2}$  ( $p < 0.05$ ).

Although one of the previous formulations tested (5DL12.5 shown in Table 3) contained an equivalent quantity of LHSS (12.5  $\text{mg mL}^{-1}$  LHSS), the increase in the concentration of DNa from 5–7.5  $\text{mg mL}^{-1}$ , resulted in a change to the DNa : LHSS molar ratio. Previously (5DL12.5) this ratio was 1 : 3.5, reducing to 1 : 2.35 (7.5DL12.5), as a result of the increase in DNa concentration. These changes appeared to have no significant impact on the cumulative permeation of DF from the 7.5DL12.5 formulation ( $1.49 \pm 0.76 \mu\text{g cm}^{-2}$ ) relative to the 5DL12.5 experiment ( $1.48 \pm 0.65 \mu\text{g cm}^{-2}$ ,  $p > 0.05$ ). When viewed as a percentage of the DF applied, the amount reduced from  $3.47 \pm 1.56\%$  (5DL12.5) to  $2.24 \pm 1.15\%$  (7.5DL12.5), however this was not considered statistically significant ( $p > 0.05$ ). When considering the quantity of DF in the membrane, the addition of LHSS in the current experiment (7.5  $\text{mg mL}^{-1}$  DNa) resulted in a significantly higher percentage being extracted ( $8.14 \pm 2.24\%$ ) when compared to the control ( $3.95 \pm 0.12\%$ ,  $p < 0.05$ ). This remained consistent when the percentage of DF that permeated was added to that extracted from the membrane, with values of  $10.38 \pm 2.49\%$  for



**Table 4** Results for the finite dose (10  $\mu\text{L}$ ) porcine IVPT using binary solvent formulations produced from TC and water (50 : 50 v/v), containing 7.5  $\text{mg mL}^{-1}$  DNA and 0 or 12.5  $\text{mg mL}^{-1}$  LHSS. The table shows (i) cumulative permeation of DF ( $\mu\text{g cm}^{-2}$ ) at 25 h as well as the percentages of DF applied that (ii) permeated, (iii) remained on the skin surface, (iv) remained in the membrane, (v) permeated plus remained in the membrane and (vi) were recovered. In addition, the table contains a reference to the molar ratio of LHSS relative to DNA, that was applied ( $3 \leq n \leq 4$ ; mean  $\pm$  SD)

Amount DF partitioned and permeated	DNa 7.5 $\text{mg mL}^{-1}$ ; LHSS 12.5 $\text{mg mL}^{-1}$ (7.5DL12.5)	DNa 7.5 $\text{mg mL}^{-1}$ ; LHSS 0 $\text{mg mL}^{-1}$ (7.5DL0)
Cumulative permeation $\mu\text{g cm}^{-2}$ at 25 h	1.49 $\pm$ 0.76	0.22 $\pm$ 0.19
Permeated 25 h %	2.24 $\pm$ 1.15	0.35 $\pm$ 0.30
Retained on the skin surface %	88.18 $\pm$ 4.41	94.64 $\pm$ 5.66
Retained in the membrane %	8.14 $\pm$ 2.24	3.95 $\pm$ 0.12
Retained in membrane plus permeated %	10.38 $\pm$ 2.49	4.30 $\pm$ 0.42
Recovery %	98.56 $\pm$ 4.89	98.94 $\pm$ 6.08
DNa : LHSS molar ratio	1 : 2.35	1 : 0



**Fig. 3** (a) Cumulative permeation of DF from IVPT using porcine skin. A finite dose (10  $\mu\text{L}$ ) of the binary solvent formulation comprising TC and water (50 : 50 v/v) containing 7.5  $\text{mg mL}^{-1}$  DNA and 0 or 12.5  $\text{mg mL}^{-1}$  LHSS, was applied ( $3 \leq n \leq 4$ ; mean  $\pm$  SD,  $*p < 0.05$ ). (b) Percentage recovery (mean  $\pm$  SD) of DF from mass balance studies, following porcine IVPT using 10  $\mu\text{L}$  of the binary solvent formulations produced from TC and water (50 : 50 v/v), containing 7.5  $\text{mg mL}^{-1}$  DNA and 0 or 12.5  $\text{mg mL}^{-1}$  LHSS.

the LHSS formulation and  $4.30 \pm 0.42\%$  for the control ( $p < 0.05$ ).

A comparison of the percentage of DF extracted from the membrane for the 5DL12.5 samples ( $8.79 \pm 2.05\%$ ) with the results of the 7.5DL12.5 samples ( $8.14 \pm 2.24\%$ ), showed no significant differences ( $p > 0.05$ ). Moreover, the combination of the amount of DF that permeated with that extracted from the membrane amounted to  $12.26 \pm 3.06\%$  for the 5DL12.5 formulation, which was comparable to  $10.38 \pm 2.29\%$  for the

7.5DL12.5 preparation ( $p > 0.05$ ). Recovery of DF was approximately 98% for both the 7.5  $\text{mg mL}^{-1}$  DNA formulation containing LHSS as well as the control. This was consistent with the range recommended by the OECD guidelines.<sup>68</sup>

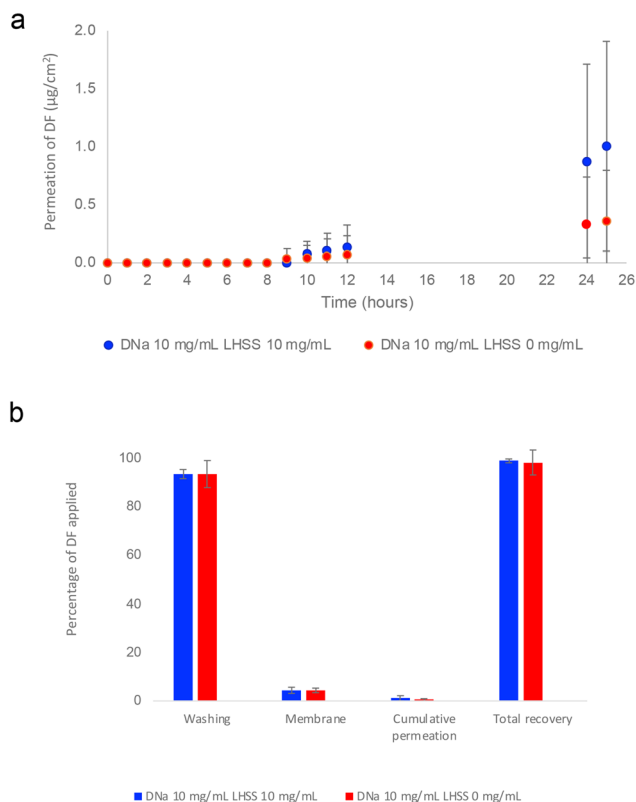
**Binary solvents: TC and water (60 : 40 v/v), containing 10  $\text{mg mL}^{-1}$  DNA and 0  $\text{mg mL}^{-1}$  LHSS (10DL0) or 10  $\text{mg mL}^{-1}$  LHSS (10DL10).** To ascertain the impact of increasing the concentration of DNA to 10  $\text{mg mL}^{-1}$  while reducing LHSS to an equivalent amount, further IVPT were performed. In addition to changes in the amounts of DNA and LHSS, the solvent ratios of TC : water were modified from 50 : 50 (v/v) to 60 : 40 (v/v). Although cumulative permeation profiles shown in Fig. 4(a) suggest enhanced permeation of DF from the LHSS-containing vehicle at 25 h, differences were not statistically significant ( $p > 0.05$ ). As shown in Table 5, permeation of DF at 25 h from the control (10DL0) was  $0.36 \pm 0.44 \mu\text{g cm}^{-2}$ , equivalent to  $0.41 \pm 0.49\%$  of the DF applied. This was consistent with the values of the LHSS formulation (10DL10), where permeation of DF was  $1.01 \pm 0.91 \mu\text{g cm}^{-2}$  or  $1.10 \pm 0.97\%$  of the DF applied ( $p > 0.05$ ).

Furthermore, the percentage and actual amounts ( $\mu\text{g cm}^{-2}$ ) of DF that permeated from 10DL10 and 10DL0 were comparable to both 7.5  $\text{mg mL}^{-1}$  DNA formulations as well as the 5  $\text{mg mL}^{-1}$  DNA formulation control formulations ( $p > 0.05$ ). However, percentages of DF that permeated from the 5  $\text{mg mL}^{-1}$  formulations, 5DL12.5 ( $3.47 \pm 1.56\%$ ) and 5DL25 ( $3.49 \pm 0.73\%$ ), were significantly greater than the  $1.10 \pm 0.98\%$  that permeated from 10DL10 ( $p < 0.05$ ).

Analysis of the percentage of DF retained within the skin for the 10  $\text{mg mL}^{-1}$  DNA formulations, revealed no significant differences between 10DL10 ( $4.31 \pm 1.34\%$ ) and 10DL0 ( $4.39 \pm 0.95\%$ ,  $p > 0.05$ ). Furthermore, the combined values of DF extracted from the membrane and permeating, amounted to  $5.41 \pm 2.21\%$  (10DL10) and  $4.80 \pm 1.08\%$  (10DL0), were not significantly different ( $p > 0.05$ ). This suggests that the molar ratio of DNA : LHSS (1 : 1.41), did not impact either partition into the skin or permeation in this solvent system. Comparisons of the amounts of DF retained in the membrane for 7.5 and 10  $\text{mg mL}^{-1}$  DNA formulations did, however, reveal significant differences when LHSS was included. The reduction of LHSS (12.5–10  $\text{mg mL}^{-1}$ ), while simultaneously varying the solvent ratio (TC : water from 50 : 50–60 : 40),







**Fig. 4** (a) Cumulative permeation of DF from IVPT using porcine skin. A finite dose (10  $\mu\text{L}$ ) of the binary solvent formulation comprising TC and water (60 : 40 v/v) containing 10  $\text{mg mL}^{-1}$  DNa and 0 or 10  $\text{mg mL}^{-1}$  LHSS, was applied ( $n = 5$ ; mean  $\pm$  SD). (b) Percentage recovery (mean  $\pm$  SD) of DF from mass balance studies, following porcine IVPT using 10  $\mu\text{L}$  of the binary solvent formulations produced from TC and water (60 : 40 v/v), containing 10  $\text{mg mL}^{-1}$  DNa and 0 or 10  $\text{mg mL}^{-1}$  LHSS.

caused a significant decrease in the percentage of DF retained in the membrane. This amount reduced from  $8.14 \pm 2.24\%$  (7.5DL12.5) to  $4.31 \pm 1.34\%$  (10DL10) despite the increase in DNa concentration (7.5–10  $\text{mg mL}^{-1}$ ,  $p < 0.05$ ). This was not the case, however in relation to the 7.5DL0, where the DF retained in the membrane was comparable to that of the 10DL10 formulation ( $p > 0.05$ ). As mentioned previously, this could indicate that the molar quantity of LHSS was not high

enough relative to that of DNa, to result in an increase in penetration of the active. The total percentage of DF that partitioned and permeated reflected a similar pattern, significantly decreasing from  $10.38 \pm 2.49\%$  (7.5DL12.5) to  $5.41 \pm 2.21\%$  (10DL10) ( $p < 0.05$ ). There was no significant difference in the percentages that partitioned and permeated from the 7.5DL0 ( $4.30 \pm 0.42\%$ ) and 10DL10 ( $5.41 \pm 2.21\%$ ) formulations ( $p > 0.05$ ).

Differences in the amounts of DF retained in the membrane between the two 5  $\text{mg mL}^{-1}$  preparations and the 10  $\text{mg mL}^{-1}$  formulation containing LHSS, were also statistically different ( $p < 0.05$ ). Notwithstanding the increase in the concentration of DNa (5–10  $\text{mg mL}^{-1}$ ), the quantity of DF extracted from the membrane reduced from  $8.79 \pm 2.05\%$  (5DL12.5) and  $11.00 \pm 7.21\%$  (5DL25) to  $4.31 \pm 1.34\%$  for the 10DL10 formulation. When the amount of DF permeating was added to that recovered from the skin, the results followed the same pattern. Values reduced from  $12.26 \pm 3.06\%$  (5DL12.5) and  $14.49 \pm 7.76\%$  (5DL25) when the concentration of DNa applied was 5  $\text{mg mL}^{-1}$  to  $5.41 \pm 2.21\%$  (10DL10) when the concentration of DNa increased to 10  $\text{mg mL}^{-1}$  ( $p < 0.05$ ). Values of DF for 5DL0 ( $9.35 \pm 2.49\%$ ) and 10DL10 ( $5.41 \pm 2.21\%$ ) were comparable ( $p > 0.05$ ).

The observed changes can be partially attributed to the adjustment of the TC : water solvent ratio from 50 : 50 to 60 : 40 (v/v). This modification directly impacts the SP of the solvent system,<sup>65,66</sup> reducing it from 34.36 to 31.83  $\text{MPa}^{1/2}$ , bringing it closer to the SPs of TC and DNa. The thermodynamic consequences of increasing the TC fraction in binary TC : water systems, where the permeant is sparingly soluble in water, but freely soluble in TC, were investigated using paracetamol,<sup>69</sup> DNa<sup>67,69</sup> and various other active ingredients.<sup>40,63–66</sup> It was shown that increasing TC relative to water decreased the thermodynamic activity of the active ingredients within the solvent systems. This effect was demonstrated by Bialik *et al.* with IVPT using ibuprofen.<sup>62</sup> Due to its low solubility in water (0.021  $\text{mg mL}^{-1}$ ) relative to TC (400  $\text{mg mL}^{-1}$ ),<sup>56</sup> ibuprofen permeation decreased with increasing TC concentration, due to the alteration of the permeant's thermodynamic activity in the vehicle.<sup>62</sup>

Apart from the alteration in solvent ratio, the reduction in the DNa : LHSS molar ratio to (1 : 1.41) could have contributed

**Table 5** Results for the finite dose (10  $\mu\text{L}$ ) porcine IVPT using binary solvent formulations produced from TC and water (60 : 40 v/v), containing 10  $\text{mg mL}^{-1}$  DNa and 0 or 10  $\text{mg mL}^{-1}$  LHSS. The table shows (i) cumulative permeation of DF ( $\mu\text{g cm}^{-2}$ ) at 25 h as well as the percentages of DF applied that (ii) permeated, (iii) remained on the skin surface, (iv) remained in the membrane, (v) permeated plus remained in the membrane and (vi) were recovered. In addition, the table contains a reference to the molar ratio of LHSS relative DNa, that was applied ( $n = 5$ ; mean  $\pm$  SD)

Amount DF partitioned and permeated	DNa 10 $\text{mg mL}^{-1}$ : LHSS 10 $\text{mg mL}^{-1}$ (10DL10)	DNa 10 $\text{mg mL}^{-1}$ : LHSS 0 $\text{mg mL}^{-1}$ (10DL0)
Cumulative permeation $\mu\text{g cm}^{-2}$ at 25 h	1.01 $\pm$ 0.91	0.36 $\pm$ 0.44
Permeated 25 h %	1.10 $\pm$ 0.98	0.41 $\pm$ 0.49
Retained on the skin surface %	93.55 $\pm$ 1.90	93.43 $\pm$ 5.49
Retained in the membrane %	4.31 $\pm$ 1.34	4.39 $\pm$ 0.95
Retained in membrane plus permeated %	5.41 $\pm$ 2.21	4.80 $\pm$ 1.08
Recovery %	98.96 $\pm$ 0.86	98.23 $\pm$ 5.13
DNa : LHSS molar ratio	1 : 1.41	1 : 0

to a decrease in DF partitioning and permeation. This may indicate that a minimum amount of LHSS is required to achieve any increased partition and permeation results. Prior studies have indicated a correlation between DF partitioning and increased LHSS counter ion concentration.<sup>37</sup>

Finally, as shown in Table 5, recovery of the DF applied exceeded 98% for both the 10DL10 and the control sample sets, satisfying the guidelines set out by the OECD.<sup>68</sup>

## Conclusion

Building on previous research, the current study has addressed challenges pertaining to solubility and identified a binary solvent model comprising TC and water to evaluate DNA:LHSS ion pairs. The tested formulations included (i) fixed concentrations of DNA (5 mg mL<sup>-1</sup>) and solvent ratios (50:50 (v/v)) while varying the counter ion concentration (12.5 or 25 mg mL<sup>-1</sup>), (ii) an increased concentration of DNA (7.5 mg mL<sup>-1</sup>) at fixed solvent ratios (50:50 (v/v)) and counter ion concentrations (12.5 mg mL<sup>-1</sup>), and (iii) increasing the concentration of DNA (10 mg mL<sup>-1</sup>) while varying the TC:water solvent ratio (60:40 (v/v)) and decreasing the counter ion concentration (10 mg mL<sup>-1</sup>). All formulations complied with the MDE and MPPUD for TC outlined in the FDA's Inactive Ingredient Database.

The selection of TC, a solvent with a SP similar to that reported for DNA, resulted in a large increase in the solubility of the active when compared to our previous work. Challenges associated with this choice, such as a reduction in the activity coefficient of DNA in the solvent system and its ability to partition out of the formulation and into the membrane, were addressed by the inclusion of water. The effect of reducing the water content was demonstrated by the alteration of the TC:water ratio from 50:50 to 60:40 (v/v). Although the increase in TC enabled the DNA concentration to be doubled (5–10 mg mL<sup>-1</sup>), this had no significant effect on the actual amount of DF partitioning and permeating from the 10DL0 system, relative to any of the other control samples. Furthermore, the reduction in the dielectric constant of the solvent system attributable to the increase in the TC fraction, was not able to offset the drop in the quantity of LHSS from 25 mg mL<sup>-1</sup> and 12.5 mg mL<sup>-1</sup> to 10 mg mL<sup>-1</sup>. This was evidenced by the significant reduction the amount of DF partitioning and permeating from the 10DL0 formulation relative to the 5DL12.5, 5DL25 and 7.5DL12.5 samples.

The studies showed that while the inclusion of LHSS at 5 mg mL<sup>-1</sup> increased the partition and permeation of DF by approximately 30% (5DL12.5) and 55% (5DL25) relative to the control; this was not statistically significant. However, when the concentration of DNA was increased to 7.5 mg mL<sup>-1</sup> (7.5DL12.5), the inclusion of LHSS significantly enhanced the amount of DF that partitioned and permeated (approximately 145%), when compared to the control formulation. The increase in the amount of DNA from 5–7.5 mg mL<sup>-1</sup> had no

significant effect on the partition and permeation of DF, when the quantity of LHSS remained constant at 12.5 mg mL<sup>-1</sup>.

In accordance with our previous investigations, the current work suggests that the inclusion of LHSS with DNA in a formulation may increase the partition and permeation of DF. This represents a further step in the development of an ion pair formulation where less DNA may be required within the preparation to achieve a therapeutic result. In continuing this process, the solubility of the active and the counter ion require further consideration, particularly in relation to the ratio in which they are most effective. Additionally, the importance of the activity coefficient of the active in the formulation should be balanced with the potential to stabilise the ion pairs, by increasing the use of solvents with a lower dielectric constant than water. Further work has already commenced exploring the implications of substituting TC with an alternative solvent, DiPG, as the DNA-solubiliser. This substitution should enable the impact of a solvent change on IVPT results to be determined. Additional investigations will incorporate more than one solvent into the DNA-solubilising fraction. These ternary systems will be tested *via* IVPT to further optimize the ion pair formulation.

## Author contributions

Mignon Cristofoli: conceptualization, methodology, validation, investigation, formal analysis, visualization, writing – original draft. Jonathan Hadgraft: conceptualization. Majella E. Lane: conceptualization, resources, supervision, writing – review & editing. Bruno C. Sil: conceptualization, resources, supervision, writing – review & editing.

## Conflicts of interest

There are no conflicts to declare.

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