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CuInS₂ quantum dots-based unipolar resistive switching for non-volatile memory applications†

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Recent advancements have established quantum dots (QDs) as a promising alternative to conventional bulk materials in the fabrication of nanoscale integrated electronic devices. The appeal of QDs lies in their amenability to low-temperature processes and solution-based methodologies, facilitating the construction of devices with enhanced versatility and efficiency. The ternary metal chalcogenide CuInS₂ QDs are one of the foremost, eco-friendly, and highly stable materials. In this study, CuInS₂ QDs are employed as a functional layer in a memristive device featuring an Al/CuInS₂/ITO configuration. The CuInS₂ QDs have been synthesized by a hot injection method and characterized using X-ray diffraction (XRD) and transmission electron microscopy (TEM) to reveal their structural features. The Al/CuInS₂/ITO device shows a unipolar resistive switching (RS) behaviour with a high on/off ratio of 10⁵. The switching parameters have been studied for 100 cycles of SET/RESET. The SET and RESET voltages are found to be 1.66 ± 0.25 V and 0.69 ± 0.17 V. The spatial variability of switching parameters in the Al/CuInS₂/ITO structure has also been studied for 9 different devices. The device also exhibits unipolar RS behaviour in the optimum temperature range of 0 °C to 50 °C. These outcomes demonstrate the impressive performance of CuInS₂ QDs, indicating their potential for future energy-efficient and large-scale non-volatile memory applications.

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1 Introduction

In recent times, the miniaturization potential of silicon-based complementary metal oxide semiconductor (CMOS) technology has reached saturation and it is leading to a surge in demand for new materials and technologies that can deliver smaller, faster, and more integrated memory units. Resistive switching random-access memory (RRAM) has emerged as an alternative to CMOS-based memory units for technological advancement.¹ The electric field-driven interchangeable resistance states with faster interstate switching time and low power consumption makes it the most promising technology for futuristic devices.^{2–7} The structure of RRAM devices is marked by its simplicity, featuring a metal–insulator–metal (MIM) arrangement where a functional layer is placed between two metal electrodes. The characteristics of the functional layer embedded between two metal electrodes are crucial in influencing key parameters and directing the switching mechanisms in RRAM. Metal oxides have hitherto undergone extensive investigation as potential candidates for the functional layer in

RRAM.^{8,9} Although metal oxides-based RRAM performed well as functional layer, they need high temperature treatment for fabrication. This limits their integration in mass-produced nanoscale electronics and flexible devices. Within the realm of RRAM devices, metal chalcogenides have emerged as viable candidates for deployment as functional layers.^{10–14} Solution-processable methodologies, coupled with low-temperature treatment for the formation of metal chalcogenides thin films, can address the imperative for scalable production and facilitate the realization of flexible devices. The electrochemically active metals like Ag and Cu-based chalcogenide materials demonstrated the RS phenomenon due to the redox behavior of the Ag and Cu ions^{15–17} and the lax bonding of metallic ions within these chalcogenides framework which promotes their migration under the influence of an applied electric field. With the same concept, ternary metal chalcogenides also show the RS behavior.^{15,18} As compared to the binary metal chalcogenides, the ternary metal chalcogenides are anticipated to exhibit enhanced properties through the incorporation of a third element, owing to the resulting stoichiometric variations and synergistic effects.¹⁹ In this aspect, ternary metal sulfide CuInS₂ is a non-toxic and stable material which renders it a prospective candidate for the advancement of next-generation green electronics.^{20,21} CuInS₂ QDs have been used for many optoelectronic applications such as solar cells, light-emitting diodes, photo-detectors, *etc.*^{21–25} Recently, nanocomposites and nanosheets of

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CuInS₂ have been investigated for RS behaviour, which exhibits a large switching window and low power consumption.^{26,27} Yun *et al.* utilized CuInS₂ quantum dots (QDs) as nano-composites with graphene oxide to enhance device performance.²⁷ T. Ahmad *et al.* employed magnetron sputtering for CuInS₂ film deposition, demonstrating bipolar RS behavior; however, the requirement for high vacuum conditions during sputtering limits scalability for mass production.²⁸ Additionally, Z. Hu *et al.* reported on a vertical aligned CuInS₂ nanosheets array for bipolar RS behavior.²⁶ Notably, Zhu *et al.* investigated the pristine CuInS₂ QDs-based film for RS applications, revealing a Pt/CuInS₂/Au structured device exhibiting bipolar RS behavior with high switching voltages of ± 4.5 V.²⁹

In this study, a pristine CuInS₂ QDs based solution processable thin film is investigated as a functional layer for novel Al/CuInS₂/ITO structured RS devices. The facile synthesis of CuInS₂ QDs, coupled with the subsequent fabrication of thin films employing solution-processable techniques and low-temperature treatment,^{30–32} renders the material amenable for the scalable production of devices. Moreover, employing pristine QDs films avoids the need for complex preparation methods associated with functional layers, such as the creation of nanocomposites, alignment of nanosheet arrays, and the use of vacuum-based techniques. Instead, it offers a straightforward, solution-processable approach to fabrication, thereby opening avenues for mass production. Here, a CuInS₂ QDs-based functional layer was generated employing a spin-coating methodology to construct the devices. While previous studies focused solely on the bipolar RS behavior, the Al/CuInS₂/ITO device shows a unipolar RS behavior marking the first investigation of unipolar RS behavior in CuInS₂ based RS devices. The device exhibited remarkable performance characteristics, including low switching voltages below 2 V, a high on/off ratio of 10⁵, and reproducible behavior. Additionally, both temporal and spatial variabilities in switching parameters were also studied which is an aspect not extensively examined previously. This investigation also included an analysis of the effect of working temperature on device performance, an essential consideration for real-world applications that has been overlooked in prior reports. Moreover, impedance spectroscopy has been performed for both the low resistance state (LRS) and high resistance state (HRS) of the device, and a reasonable switching mechanism model has been proposed. The findings underscore the potential of CuInS₂ as a promising candidate for non-volatile memory devices.

2 Experimental

Copper(I) iodide, indium(III) acetate, oleic acid, and 1-octadecene were purchased from Sigma Aldrich. Dodecanethiol, acetone, and toluene were purchased from Alfa Aesar.

The CuInS₂ QDs were synthesised *via* the hot injection method as reported previously^{33,34} and a schematic of the synthesis is shown in Fig. S1 (ESI[†]). First, the copper iodide (464 mg), indium acetate (76 mg), dodecanethiol (1 ml), and 10 ml octadecene were mixed in a three-neck flask equipped with a water condenser under the continuous purging of argon (Ar)

gas. The solution was continuously stirred at a temperature of 120 °C for 30 minutes. Then 0.5 ml of oleic acid was injected into the mixture and this was kept stirring at the same temperature. After 30 min, the temperature was increased to 210 °C and the mixture continued to be stirred for another 30 min until the solution was converted into a deep red colloidal solution. The colloidal solution underwent cooling to reach room temperature. Subsequently, to precipitate the CuInS₂ QDs, acetone was introduced into the solution and then centrifuged at 5000 rpm for the collection of CuInS₂ QDs. The solution was decanted, and the gathered QDs were re-dispersed in toluene. These were again precipitated by adding acetone and further collected by centrifuging at 5000 rpm. This dispersion underwent repeated precipitation by adding acetone, followed by collection through centrifugation at 5000 rpm. This purification procedure was iterated 2–3 times. Following purification, the CuInS₂ QDs were re-dispersed in toluene, serving as a precursor for the CuInS₂ film.

In the device fabrication process, the glass substrate coated with indium tin oxide (ITO) underwent ultrasonication treatment successively in DI water, acetone, and 2-propanol for 10 minutes each, followed by drying in a vacuum oven. After cleaning, the ITO substrate was spin-coated with the CuInS₂ precursor at 1000 rpm for 30 seconds, followed by drying at 120 °C for 10 minutes. The top aluminum electrode was deposited using the thermal evaporation method under a vacuum pressure of 5×10^{-6} mbar. A shadow mask was employed to achieve a top electrode with dimensions of $200 \times 200 \mu\text{m}^2$ during the deposition process. The memristor's measurements were recorded utilizing a two-probe setup with a Keithley-4200 source meter.

3 Results and discussion

The as-synthesised CuInS₂ QDs have been characterized using X-ray diffraction (XRD) with a Cu K_α source ($\lambda = 0.15418$ nm) to reveal the phase and crystallographic structure. The deposited film of QDs on the glass substrate shows three major diffraction peaks as shown in Fig. 1(a) which can be indexed to the (112), (204), and (312) planes corresponding to the standard chalcocopyrite phase of CuInS₂ (JCPDS card file no. 75-0106). The wider diffraction peaks as observed imply the smaller size or poor crystallinity of the CuInS₂ QDs.

The UV-visible absorbance spectrum of the film is shown in Fig. 1(b) with the corresponding Tauc plot (inset). This shows a characteristic absorbance peak at 580 nm and by extrapolating the linear region in the Tauc plot the corresponding band gap is found to be 1.91 eV. This band gap differs from the bulk CuInS₂ band gap which agrees with the quantum size of the material. The QDs have been characterized with high-resolution transmission electron microscopy (HRTEM) (Fig. 2(a) and (b)). The size of the QDs is found to be 1.9 ± 0.4 nm and the diffraction pattern shows an interplanar spacing of 0.32 nm which corresponds to the (112) crystallographic plane.

The fabricated device structure, *i.e.* Al/CuInS₂/ITO, as shown in Fig. 3(a), has been characterized for RS behaviour and Fig. 3(b) shows the $5 \mu\text{m} \times 5 \mu\text{m}$ scale AFM micrograph of the



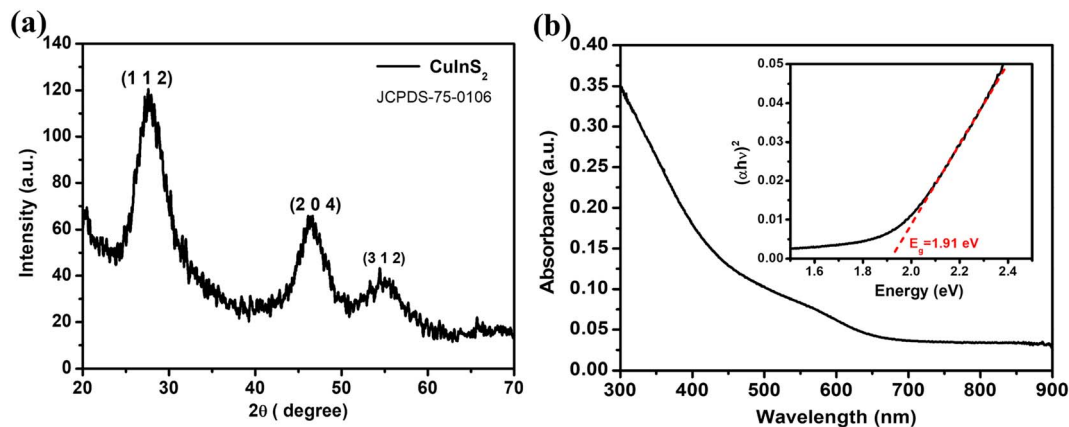


Fig. 1 (a) XRD pattern of CuInS_2 film, (b) UV-visible absorption spectrum of CuInS_2 QDs.

CuInS_2 film on ITO coated glass substrate. This shows a uniform film of CuInS_2 on ITO with an RMS (root mean square) roughness of 0.53 nm. For the RS behaviour study, the voltage is swept from 0 V \rightarrow 2.5 V \rightarrow 0 V keeping the compliance current as 0.1 mA and the Al electrode as the positive terminal. Initially, the device shows a high resistance $\sim 10^8 \Omega$. The device gets switched from the HRS to the LRS for higher voltage and continues to stay in the LRS during the reverse sweep 2.5 V \rightarrow 0 V. In the LRS, the device shows a low resistance on the order of $10^3 \Omega$. To reset the device from the LRS to the HRS the compliance current was increased to 1 mA and voltage was swept with the same polarity from 0 V \rightarrow 1 V \rightarrow 0 V. As the voltage keeps on increasing, the device gets switched from the LRS to HRS and continues to stay in the HRS during the reverse sweep of 1 V \rightarrow 0 V. This shows a unipolar RS behavior of the device.

To determine the repeatability of the unipolar RS behavior, 100 SET/RESET switching cycles have been studied on the same device, as shown in Fig. 4(a). The variation in the switching voltage for these 100 cycles is shown in Fig. 4(b). The SET voltage lies in the range of 1.1–2.3 V with a mean of 1.66 V and

a standard deviation of 0.3 V. The RESET voltage lies in the range of 0.4–1 V with a mean of 0.69 V and a standard deviation of 0.2 V. Fig. 4(c) and (d) show the histogram plot and the cumulative probability of the SET and RESET voltages with a bin size of 0.05 V. The variation in the resistance of the LRS and HRS for 100 switching cycles is shown in Fig. 5(a). This shows the repeatable performance of the device with a high on/off resistance ratio. The study of HRS and LRS retention at a 0.1 V read voltage revealed the device's ability to sustain state retention for up to 1.5×10^3 seconds, demonstrating a high on/off ratio of approximately 10^5 , as depicted in Fig. 5(b).

The variation in the device-to-device RS behaviour has also been studied. For this, three different samples of devices have been fabricated keeping all the deposition parameters the same. Three different devices of each sample have been studied for RS behavior.

Fig. 6 shows the RS behaviour of these different 9 devices where devices 1, 2, 3 belong to sample 1; devices 4, 5, 6 belong to sample 2 and devices 7, 8, 9 belong to sample 3. The SET/RESET voltage variation in the devices is shown in Fig. 7(a). This shows the mean of the SET voltages of the devices to be 1.45 V with

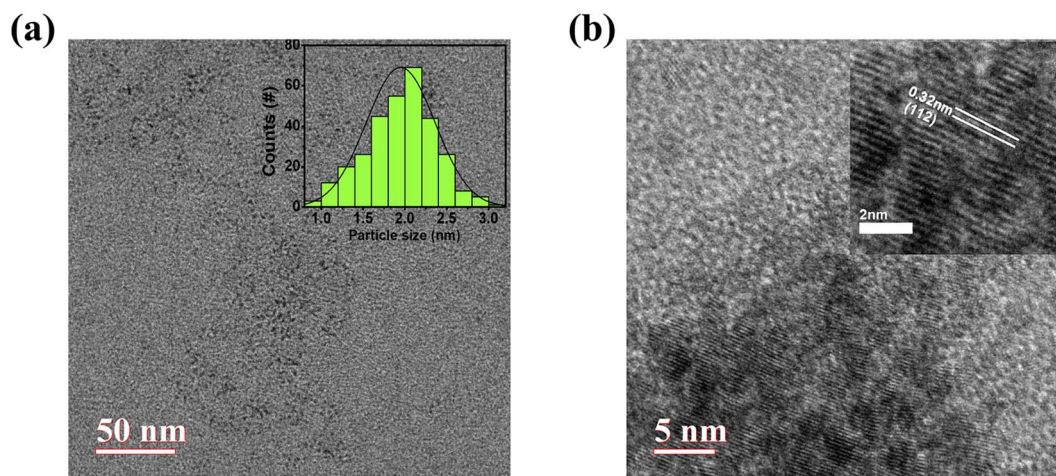


Fig. 2 (a) HRTEM micrograph of CuInS_2 QDs with size distribution (inset), (b) high-resolution HRTEM micrograph with fringes pattern (inset).



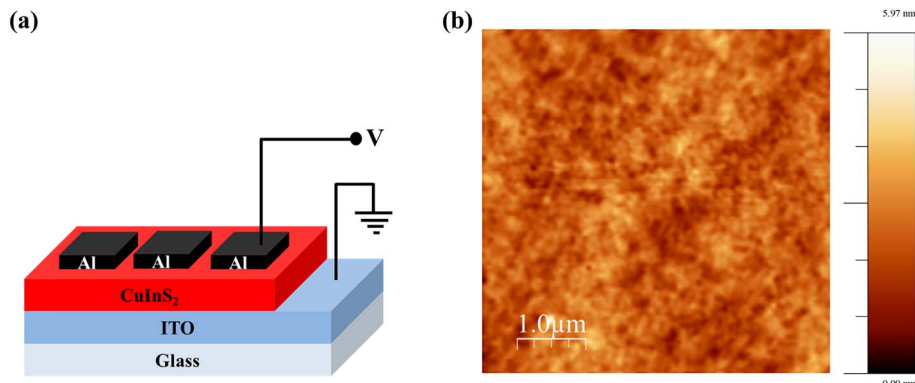


Fig. 3 (a) Schematic diagram of Al/CuInS₂/ITO device and (b) 5 μm × 5 μm AFM micrograph of CuInS₂ film on ITO coated glass substrate.

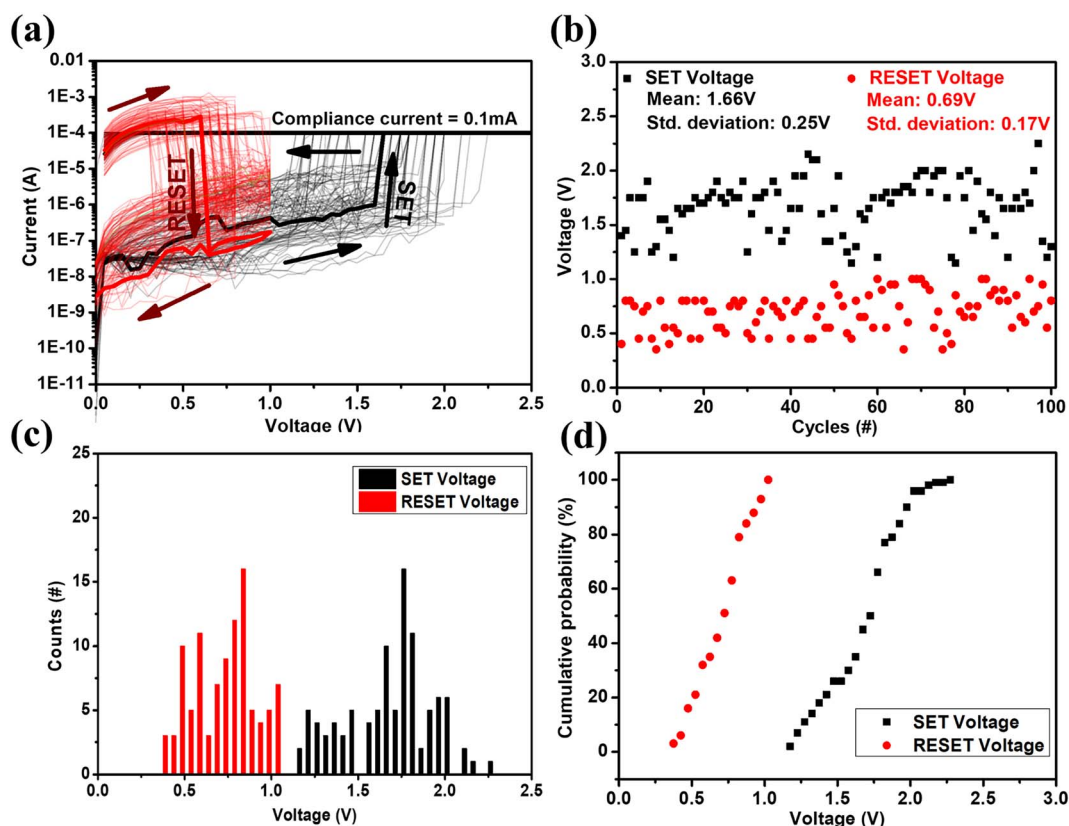


Fig. 4 (a) I - V characteristics of Al/CuInS₂/ITO memristor device showing unipolar switching for 100 SET/RESET cycles. (b) SET/RESET voltages of 100 cycles. (c) Histogram of SET and RESET voltages with 0.05 V bin size. (d) Cumulative probability of SET/RESET voltages.

a standard deviation of 0.2 V and the mean of the respective RESET voltages to be 0.85 V with a standard deviation of 0.2 V. The LRS and HRS resistance of these 9 devices is shown in Fig. 7(b). The resistance of the LRS and HRS states of the devices repeats in the same order with some variations. This shows the device-to-device consistency in unipolar RS behavior for the Al/CuInS₂/ITO structure.

Further, the RS behavior of the device has also been studied at different temperatures in the range of 0 °C to 50 °C. The SET/RESET cycles of the device at the temperatures 0 °C, 10 °C, 20 °C, 30 °C, 40 °C and 50 °C are shown in Fig. 8.

The corresponding SET/RESET voltages have been plotted in Fig. 9(a). This shows that the voltage required for both SET and RESET decreases with an increase in temperature. This might be because the thermal energy lowers the required activation energy for the ion.³⁵ Fig. 9(b) shows the device's corresponding LRS and HRS resistance at different temperatures. The HRS resistance is seen to be decreasing with an increase in temperature as CuInS₂ QDs exhibit semiconducting behaviour.³⁶

For analyzing the conduction mechanism in the device, the logarithmic V vs. I curves have been plotted for both the SET and



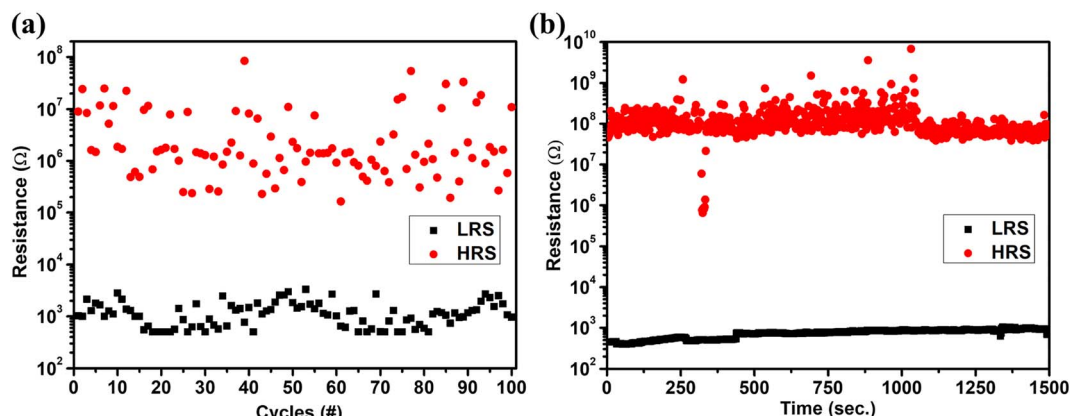


Fig. 5 (a) Endurance and (b) retention of the Al/CuInS₂/ITO memristor device.

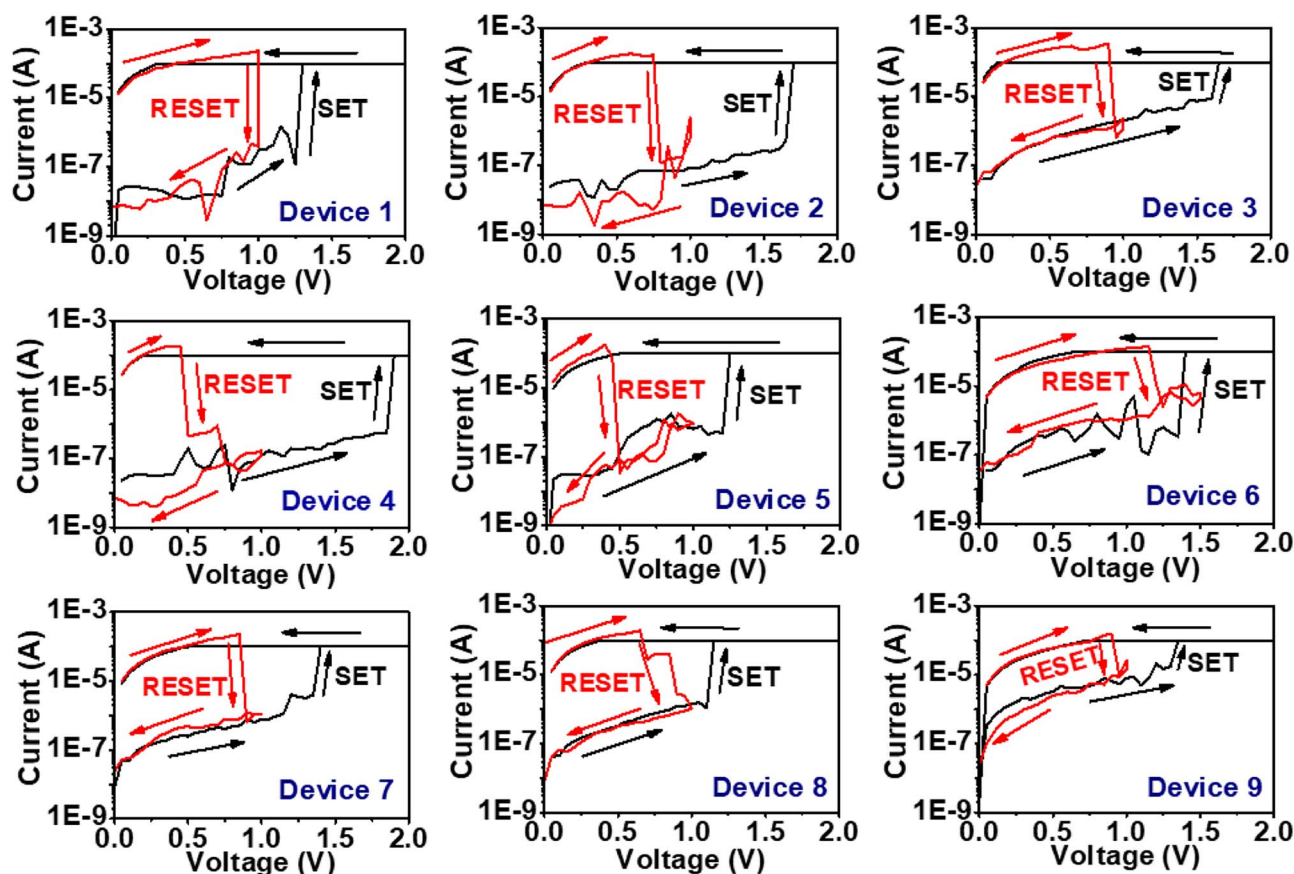


Fig. 6 I - V characteristics of 9 different devices.

RESET processes as shown in Fig. 10(a) and (b) respectively. In the SET process, the device is in the HRS initially, and the current increases linearly with the voltage *i.e.* ohmic region. As the voltage rises, the current adheres to Child's square law, specifically, $I \propto V^2$. In this region, the space charge carriers get injected into the trap energy level and the current starts following the Mott-Gurney equation for space charge limited conduction (SCLC).³⁷ This equation is as follows:³⁸

$$J = \frac{9}{8} \epsilon_r \epsilon_0 \mu \frac{V^2}{L^3} \quad (1)$$

The expression involves current density (J), vacuum permittivity (ϵ_0), the relative dielectric constant of the material (ϵ_r), voltage (V), film thickness (L), and electron mobility (μ). This region is denoted as the SCLC region in Fig. 10(a). Subsequent to this region, a rapid increase in current occurs with a corresponding elevation in voltage, leading to the establishment of the LRS in



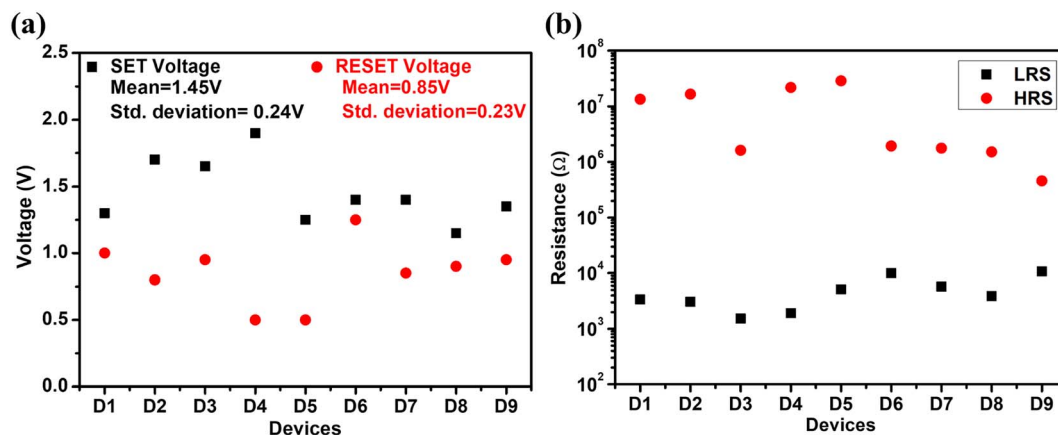


Fig. 7 (a) SET/RESET voltage and (b) LRS/HRS resistances of 9 different devices.

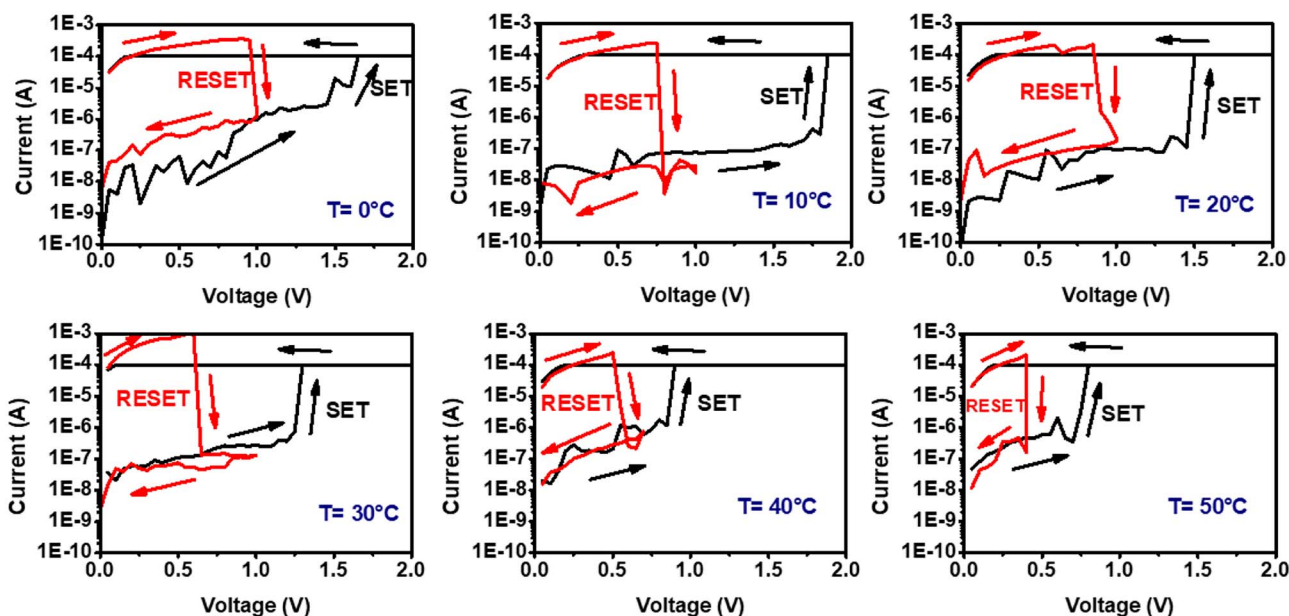


Fig. 8 I - V characteristics of Al/CuInS₂/ITO memristor at different temperatures.

the device. In the LRS, the current follows the ohmic conduction mechanism as denoted by the ohmic region in Fig. 10(a). In the RESET process, the device is in the LRS initially and follows Ohm's law for conduction as denoted by the ohmic region in Fig. 10(b). After getting RESET, the device follows the SCLC mechanism in the higher voltage region and ohmic conduction in the lower voltage region.

To further understand the switching mechanism, impedance spectroscopy was performed under a DC voltage of 0.2 V across a frequency range of 500 Hz–1 MHz in both the LRS and HRS of the device. The impedance vs. frequency characteristics for the HRS and LRS are shown in Fig. S2 (ESI†). The Cole–Cole curves *i.e.* real part of impedance (Z') vs. imaginary part (Z'') are plotted for both the HRS and LRS states as shown in Fig. 11(a) and (b) respectively. In the HRS state, the Cole–Cole curve shows a semicircular behavior with both imaginary and real parts on

the order of $10^6 \Omega$. This behavior corresponds to a parallel combination of resistor and capacitor.³⁹ The characteristics of the parallel resistor and capacitor as shown in Fig. 11(a) were fitted to the experimental curve by utilizing the z-simpwin software which gives a series resistance $R_s = 68.4 \Omega$, parallel resistance $R_H = 1.7 \text{ M}\Omega$, and parallel capacitance $C = 17.5 \text{ pF}$. Thus, the device shows combined capacitive and resistive elemental behavior in the HRS. In the Cole–Cole curve of the LRS, a small horizontal linear behavior is seen as depicted in Fig. 11(b). In this state, the real part lies in the $10^2 \Omega$ range whereas the imaginary part is negligible in comparison to the real part as seen in Fig. 11(b) (inset). This type of behavior can correspond to a simple resistive component between the electrodes. The increment in the real component with frequency can be attributed to fluctuations in the resistive element, arising from diffusive dynamics within the filamentary component in

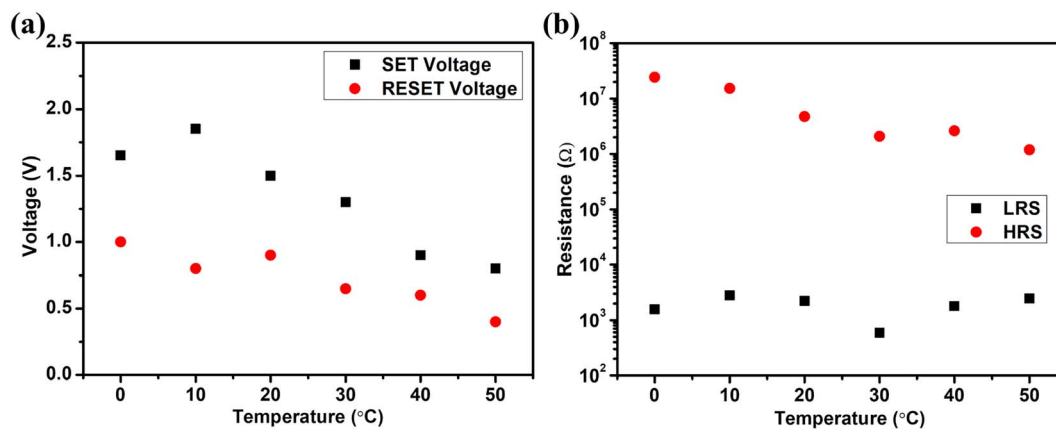


Fig. 9 (a) SET/RESET voltages and (b) LRS/HRS resistance of the device at different temperatures.

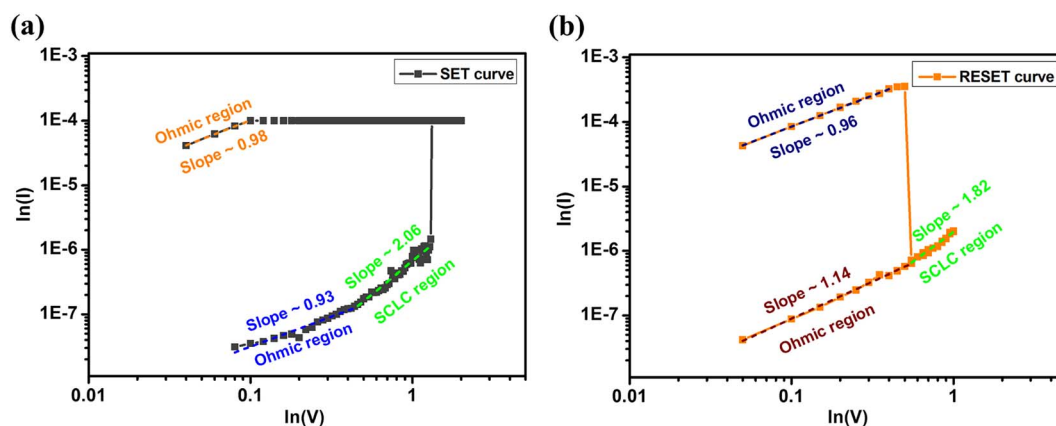


Fig. 10 ln(I) vs. ln(V) curves of (a) SET and (b) RESET process.

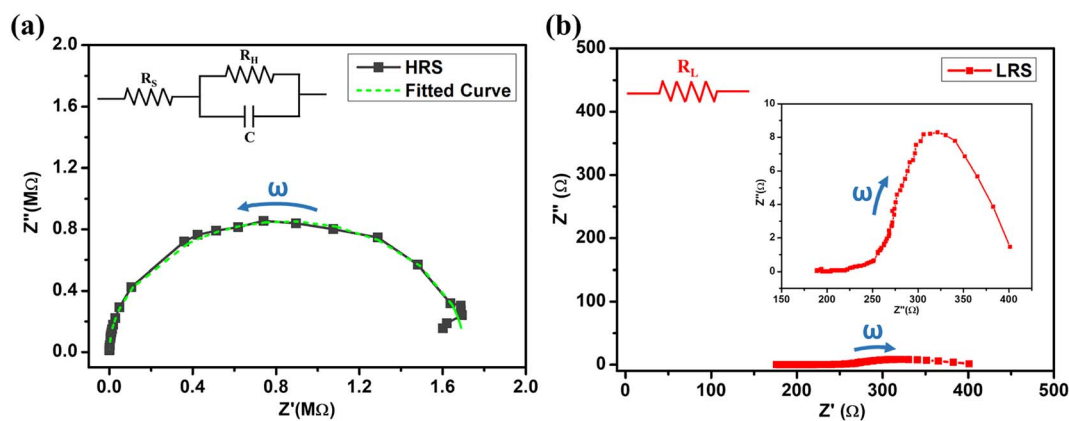


Fig. 11 Cole-Cole plots of the device in (a) HRS and (b) LRS.

the LRS. Meanwhile, the diminished imaginary component is ascribed to influences at the interfaces. This study suggests that a resistive filament has formed between the electrodes in the LRS state which was absent in the HRS state. Thus, impedance spectroscopy suggests a filamentary switching mechanism for the RS behavior of the device.

As impedance spectroscopy reveals a filamentary nature in the LRS, we put forward a filamentary working mechanism to account for the RS behavior of the device, as depicted in Fig. 12. In CuInS₂, copper metal ions are present and copper is a highly electrochemically active material. The oxidation of Cu and its migration in the presence of the external electric field give rise



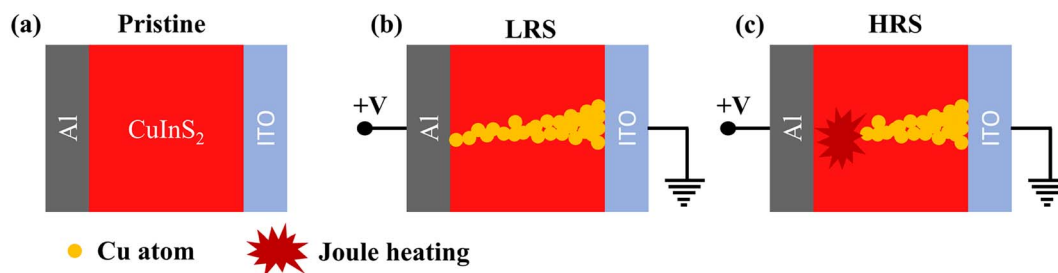


Fig. 12 Schematic of filament formation and rupture in the device.

to the RS phenomenon in many device structures where Cu is present as an electrode or in the functional layer matrix.^{15,17,26} The presence of copper ions will have a notable impact on inducing the switching behavior in the device. Initially, the device was in the HRS as shown in Fig. 12(a). As the electric field is applied to the devices, the Cu atoms get oxidized into Cu^+ or Cu^{2+} ions. These ions migrate toward the cathode (ITO electrode) in the presence of an electric field and start accumulating at the $\text{CuInS}_2/\text{ITO}$ interface. These Cu ions get reduced to Cu atoms at the Schottky interface of CuInS_2 with ITO. Further increment in the electric field migrates more Cu ions which accumulate to form the conducting filament (CF) between the electrodes. The CF connects both electrodes as shown in Fig. 12(b) and the device gets switched from the HRS to LRS. While forming the filament the compliance current limits the electric field which limits the filament size and the permanent breakdown of the device.

To switch the device back to the HRS, the compliance current limit was removed. This lets the higher current flow through the filament during the RESET process. The higher current creates joule heating in the filament. Due to the joule heating the CF gets ruptured^{40,41} as shown in Fig. 12(c). This switches the device to the HRS. So, the formation and rupture of the Cu atoms based CF can be the most plausible switching mechanism for the $\text{Al}/\text{CuInS}_2/\text{ITO}$ structured RS device. Further, the shorter retention time of the LRS, lasting only up to 1.5×10^3 s, may be attributed to the diffusive tendencies of the metal cations.⁴²

4 Conclusion

In summary, CuInS_2 QDs with a particle size of 2.0 nm have been synthesized *via* the hot injection method. These QDs are used to fabricate an $\text{Al}/\text{CuInS}_2/\text{ITO}$ memristive device that exhibits unipolar RS behavior. The device exhibits consistent switching behaviour for 100 SET/RESET switching cycles. The mean voltages for setting and resetting the device are 1.66 V and 0.69 V respectively. The device retains the LRS and HRS for up to 1.5×10^3 seconds with a high HRS to LRS resistance ratio of $\sim 10^5$. For the spatial variance, 9 different devices were tested for the RS behavior and these exhibit the same unipolar RS behavior with minimal variation. The achieved high reproducibility using solution-processable methods represents a significant advancement for mass-producible non-volatile memory applications. The RS behavior at different temperatures has also

been studied and the device shows consistency of the unipolar RS behavior in the optimum temperature range of 0–50 °C. For the switching, impedance spectroscopy suggested a filamentary switching mechanism for the RS behaviour. Thus, the formation of the CF due to the migration of Cu^+ or Cu^{2+} ions under the external applied field and rupture of filament due to the joule heating under higher current flow through it has been proposed as a mechanism for the RS behavior in the device. This can be marked as an advancement to establish the switching mechanism in the CuInS_2 QDs-based device. These characteristics make CuInS_2 QDs suitable for RS-based mass-producible non-volatile memory applications.

Author contributions

HS and L synthesized the QDs. HS fabricated the device, analysed the data and prepared the manuscript. HS, NS and DK did the measurements. AK and RS did the overall planning, editing, and supervision of the work.

Conflicts of interest

On behalf of all authors, the corresponding authors state that there is no conflict of interest.

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