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# Controlled tuning of HOMO and LUMO levels in supramolecular nano-Saturn complexes†

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Optoelectronics usually deals with the fabrication of devices that can interconvert light and electrical energy using semiconductors. The modification of electronic properties is crucial in the field of optoelectronics. The tuning of the highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO) and their energy gaps is of paramount interest in this domain. Herein, three nano-Saturn supramolecular complex systems are designed, *i.e.*,  $\text{Al}_{12}\text{N}_{12}@S$ -belt,  $\text{Mg}_{12}\text{O}_{12}@S$ -belt, and  $\text{B}_{12}\text{P}_{12}@S$ -belt, using *S*-belt as the host and  $\text{Al}_{12}\text{N}_{12}$ ,  $\text{Mg}_{12}\text{O}_{12}$ , and  $\text{B}_{12}\text{P}_{12}$  nanocages as guests. The high interaction energies ranging from  $-22.03$  to  $-63.64$  kcal mol<sup>-1</sup> for the complexes demonstrate the stability of these host–guest complexes. Frontier molecular orbital (FMO) analysis shows that the HOMO of the complexes originates from the HOMO of the host, and the LUMO of the complexes originate entirely from the LUMO of the guests. The partial density of states (PDOS) analysis is in corroboration with FMO, which provides graphical illustration of the origin of HOMO and LUMO levels and the energy gaps. The shift in the electron density upon complexation is demonstrated by the natural bond orbital (NBO) charge analysis. For the  $\text{Al}_{12}\text{N}_{12}@S$ -belt and  $\text{B}_{12}\text{P}_{12}@S$ -belt complexes, the direction of electron density shift is towards the guest species, as indicated by the overall negative charge on encapsulated  $\text{Al}_{12}\text{N}_{12}$  and  $\text{B}_{12}\text{P}_{12}$ . For the  $\text{Mg}_{12}\text{O}_{12}@S$ -belt complex, the overall NBO charge is positive, elaborating the direction of overall shift of electronic density towards the *S*-belt. Electron density difference (EDD) analysis verifies and corroborates with these findings. Noncovalent interaction index (NCI) and quantum theory of atoms in molecules (QTAIM) analyses signify that the complexes are stabilized *via* van der Waals interactions. Absorption analysis explains that all the complexes absorb in the ultraviolet (UV) region. Overall, this study explains the formation of stable host–guest supramolecular nano-Saturn complexes along with the controlled tuning of HOMO and LUMO levels over the host and guests, respectively.

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## 1. Introduction

Two-dimensional materials, such as graphene, are a major focus in materials science due to their novel optoelectronic properties. At room temperature, graphene exhibits zero band gap (energy gap) along with higher carrier mobility.<sup>1</sup> To date, various strategies have been designed to induce energy gaps in zero energy gap materials. Similarly, different methods are adopted to decrease the energy gaps of the materials with greater energy gaps. Some of the methods for altering the energy gap include doping,<sup>2–4</sup> strain engineering,<sup>5–8</sup> van der Waals heterostructures,<sup>9–11</sup> lateral confinement,<sup>12,13</sup> and adsorption of atoms.<sup>14–17</sup> The purpose behind the tuning of energy gaps is to improve the semiconducting characteristics of the systems. The optical properties of GaN alloys can be

controlled by tuning their energy gaps.<sup>18</sup> Due to the excellent electrical conductivity and favorable photovoltaic properties, fullerene-based molecules have attracted considerable attention in the last two decades.<sup>19</sup>

Doping is one of the extensively used methods to enhance the conductivity of materials and improve their optoelectronic characteristics. Tayyab *et al.* investigated the effect of Mg and Be doping on graphene. The findings indicated the considerable modification in electronic and structural properties after doping compared to bare graphene. They concluded that the doped graphene can be used for optoelectronic devices due to reasonable energy gap.<sup>20</sup> Strain engineering is proved to significantly reduce the energy gap of the materials. Specifically, the vertical strain impacts the gap size, resulting in a transition from semiconductor to metal.<sup>21,22</sup> In van der Waals (vdW) heterostructures, different transition metal dichalcogenide (TMD) layers are vertically stacked. A key characteristic that influences the functionality of vdW heterostructures is the alignment of the valence band maxima (VBM) and conduction band minima (CBM) of adjacent layers. To create a functional vdW

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heterostructure with required characteristics, one approach is to explore the available 2D materials for combinations of layers where the band gap sizes and band alignment meet the desired requirements. However, this process can be time-consuming and may involve materials that are challenging to acquire or work with.<sup>23</sup> Moreover, external pressure and external electric field also play important roles in energy gap engineering, but some drawbacks are also associated with these methods. Energy gap reduction is achievable through all the previously mentioned approaches, but the fine-tuning of HOMO and LUMO energy levels over the distinct species cannot be achieved. A viable solution to the challenge of energy level tuning is the design of host-guest chemistry.

Nano-Saturn complex system is one of the examples of host-guest supramolecular assembly. A nano-Saturn system is comprised of spherical nanocages as the guest species and annular macrocyclic belts as the host species. The nano-Saturn host-guest complexes are stabilized *via* van der Waals interactions. Hydrocarbon nanobelts and heteroatom-doped hydrocarbon nanobelts are commonly used as hosts in such systems. The concave cavity inside the carbon nanobelts can act as a host for the convex structures, *e.g.*, fullerenes. Cycloparaphenylenes (CPPs) and their derivatives can also be used as host molecules for the encapsulation of guests in host-guest chemistry. The derivatives of cycloparaphenylenes show very small HOMO-LUMO energy gaps due to their donor-acceptor properties. In order to tune the electronic characteristics of CPPs, various electron-donating groups have been substituted.<sup>24</sup> Nitrogen atom is extensively used for doping in carbon nanomaterials. Due to the presence of an extra electron in N, compared to C, the carbon atoms adjacent to N in carbon nanomaterials have greater positive charge density. The N atoms also give electrons to the  $sp^2$  carbon skeleton, thus enhancing the electronic properties (such as electrical conductivity) of these nanomaterials. Recently, sulphur and oxygen have gained attention as the dopants in carbon nanomaterials due to their ability to enhance the electrical properties, especially electrical conductivity and capacitance.<sup>25</sup> Shi *et al.* investigated that the structural features and capability of macrocyclic hosts (belts) to recognize other molecules (guests) was governed by the constituent building blocks and the way these blocks were interconnected.<sup>26</sup> Regarding this, carbon, heteroatom-doped nanobelts and their complexes with  $C_{60}$  were studied by George *et al.* They thoroughly examined the characteristics of the ground as well as the excited states of these complexes, which enabled the establishment of correlations between their structures and properties.<sup>27</sup>

The most common spherical nanocages in host-guest systems are fullerenes. Fullerenes consist of  $sp^2$  hybridized carbon atoms that are organized in the form of spherical nanocages.<sup>28</sup> They are comprised of pentagonal and hexagonal rings. The unique chemical, mechanical and physical properties of fullerenes are due to their zero-dimensional spherical nanostructures. These properties include higher tensile strength, efficient electrical and thermal conductivity, greater surface area and good electron donor and acceptor abilities. Fullerenes have found applications in solar cells, medicine, gas

storage, fuel cells, fullerene switches, superconductors, chemical sensors, semiconductors and optoelectronics.<sup>29,30</sup> Other fullerene-like nanostructures such as  $Al_{12}P_{12}$ ,  $Al_{12}N_{12}$ ,  $B_{12}N_{12}$ ,  $Be_{12}O_{12}$ ,  $Mg_{12}O_{12}$ ,  $Si_{12}C_{12}$ ,  $Ca_{12}O_{12}$ , and  $B_{12}P_{12}$  are also used in optoelectronic and photocatalytic applications due to their structural, optical and electronic properties.<sup>31</sup>

There are several factors that can play a role in controlling the host-guest chemistry, both in experimental and theoretical chemistry. The major factor controlling the host-guest chemistry is the host-guest size compatibility.<sup>32-36</sup> The literature highlights how host-guest systems at liquid-solid interfaces are controlled by cavity properties, surface interactions, and environmental conditions. The findings reveal that HG encapsulation is influenced by factors such as host cavity dimensions, molecular flexibility, electronic interactions ( $\pi$ - $\pi$  stacking, donor-acceptor behavior, and dipole effects), and substrate confinement. Notably,  $C_{60}$  preferentially occupies the rim of macrocyclic hosts due to donor-acceptor interactions, and surface-induced effects lead to unique stoichiometries such as 1 : 1.<sup>33</sup>

In this study of host-guest supramolecular chemistry, three nano-Saturn type complexes are designed for the fine-tuning of HOMO and LUMO energy levels over the host and guest species, respectively. The fullerene-like nanocages (*i.e.*,  $Al_{12}N_{12}$ ,  $Mg_{12}O_{12}$ , and  $B_{12}P_{12}$ ) are selected as guests and S-belt (composed of seven 1,4-benzothiazine units) as the host species. The suitable alignment of the HOMO and LUMO levels of these species make them ideal candidates for this study. The HOMO of the host (S-belt) is above the HOMO of the guests ( $Al_{12}N_{12}$ ,  $Mg_{12}O_{12}$ , and  $B_{12}P_{12}$ ) whereas the LUMO of guests is below that of the host. Thus, it is predicted that after the nano-Saturn complex formation, the HOMO and LUMO levels of the complex will lie closer to the host and guests, respectively, resulting in the tuning of HOMO and LUMO levels over the distinct species.

## 2. Methodology

Gaussian 09 (ref. 37) was used to perform all the density functional theory (DFT) calculations. To build and visualize the structures, GaussView 5.0<sup>38</sup> was used. The DFT functional, *i.e.*,  $\omega$ B97XD and pople-type double- $\zeta$  basis set along with polarization function, *i.e.*, 6-31G(d,p), are used for geometry optimization.<sup>39</sup> The frequency calculation using the same functional and basis set shows that all the frequencies are real, demonstrating true minimum nature of stationary points on potential energy surfaces. For quantum theory of atoms in molecules (QTAIM) and noncovalent interaction index (NCI) analyses at the same functional and basis set, the Multiwfn<sup>40</sup> and visual molecular dynamics (VMD)<sup>41</sup> software packages are used.  $\omega$ B97XD is a range-separated hybrid functional (RSH) with 22.2-100% Hartree-Fock exchange.<sup>42</sup> The electronic properties, *i.e.*, the energy of HOMO, LUMO and  $E_{\text{gap}}$ , were calculated using another DFT functional, *i.e.*, B3LYP, accompanied by the same basis set (as used for geometry optimization *i.e.*, 6-31G(d,p)). B3LYP is a global hybrid functional (GH) with 20% Hartree-Fock exchange. B3LYP is a well-known method for the



investigation of electronic properties.<sup>43–47</sup> Hence, it is only used for the calculation of electronic properties, *i.e.*, natural bond orbital (NBO), electron density difference (EDD), frontier molecular orbital (FMO) and density of states (DOS) analyses. Moreover, the  $\omega$ B97XD functional has dispersion correction factor, due to which it is more suitable for the evaluation of interaction energies ( $E_{\text{int}}$ ).<sup>36</sup> For the calculation of  $E_{\text{int}}$  of the complexes, the following equation is used.

$$E_{\text{int}} = E_{\text{complex}} - (E_{\text{S-belt}} + E_{\text{nanocage}}) \quad (1)$$

In eqn (1),  $E_{\text{int}}$  is the resulting interaction energy, while  $E_{\text{complex}}$ ,  $E_{\text{S-belt}}$  and  $E_{\text{nanocage}}$  are the energies of complexes, S-belt and nanocages ( $\text{Al}_{12}\text{N}_{12}$ ,  $\text{Mg}_{12}\text{O}_{12}$ , and  $\text{B}_{12}\text{P}_{12}$ ), respectively. Moreover, time-dependent density functional theory calculations (*i.e.*, TD-DFT) at the  $\omega$ B97XD/6-31G(d,p) level are employed for absorption analyses of the individual components (host–guest species) as well as complexes.

## 3. Results and discussion

### 3.1. Geometry optimization and interaction energy calculation

In this study, three host–guest supramolecular complexes ( $\text{Al}_{12}\text{N}_{12}@$ S-belt,  $\text{Mg}_{12}\text{O}_{12}@$ S-belt, and  $\text{B}_{12}\text{P}_{12}@$ S-belt) were assembled. The host used in the study is the S-belt made of seven 1,4-benzothiazine units. The cavity inside the S-belt is sufficient for the encapsulation of the guest species. The guests are three fullerene-like nanocages, also called heterofullerenes, or non-carbon fullerenes (inorganic fullerenes), *i.e.*,  $\text{Al}_{12}\text{N}_{12}$ ,  $\text{Mg}_{12}\text{O}_{12}$ , and  $\text{B}_{12}\text{P}_{12}$ .  $\text{Al}_{12}\text{N}_{12}$  and  $\text{B}_{12}\text{P}_{12}$  are fullerene-like nanostructures of groups III–V, whereas  $\text{Mg}_{12}\text{O}_{12}$  is the fullerene-like nanostructure of groups II–VI. The optimized structures of the designed host–guest complexes are reported in Fig. 1. Likewise, Table 1 shows the interaction energies ( $E_{\text{int}}$ ) and interaction distances ( $D_{\text{int}}$ ) of the optimized host–guest

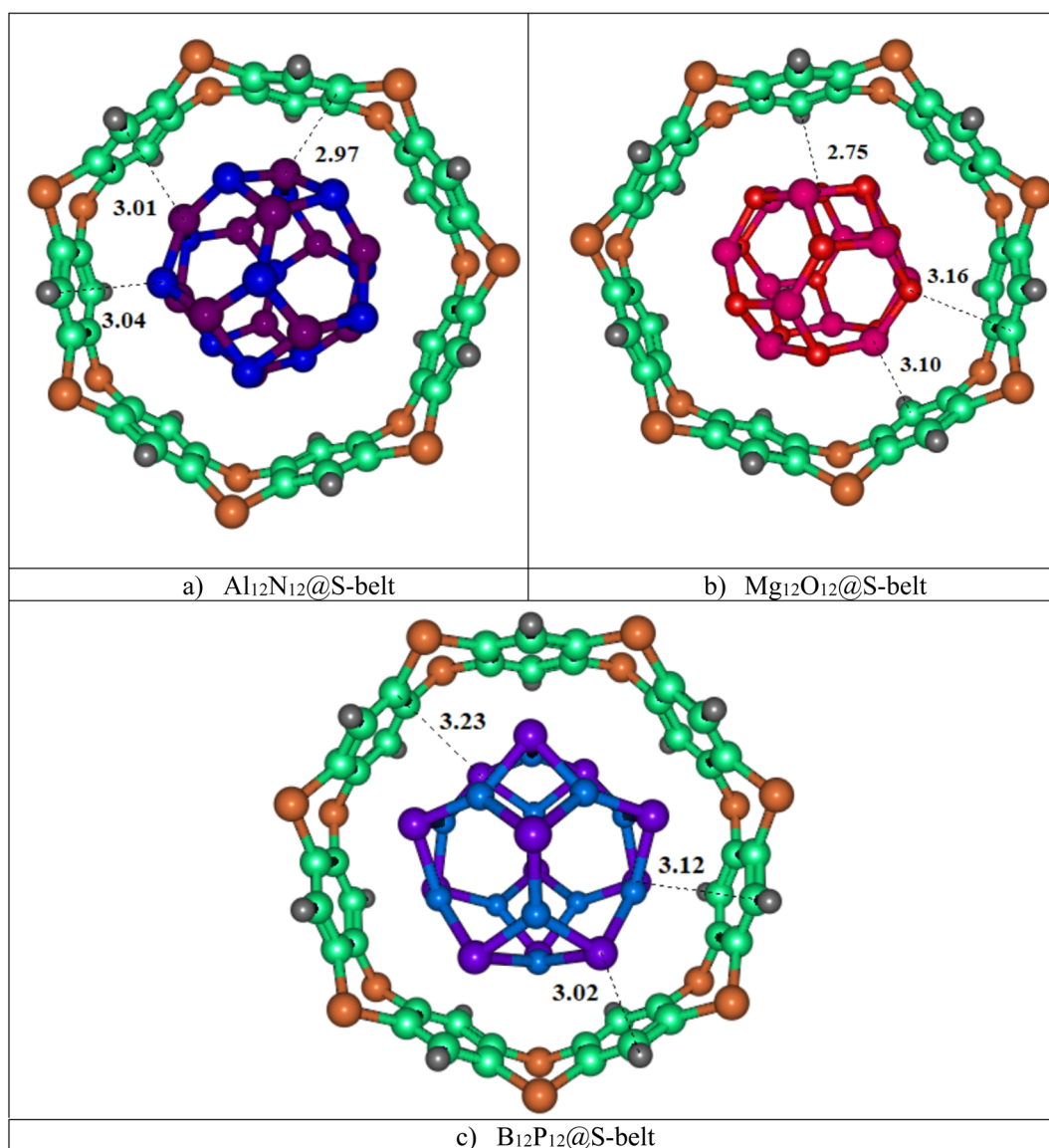


Fig. 1 Stable optimized geometries of the (a)  $\text{Al}_{12}\text{N}_{12}@$ S-belt, (b)  $\text{Mg}_{12}\text{O}_{12}@$ S-belt, and (c)  $\text{B}_{12}\text{P}_{12}@$ S-belt complexes.



**Table 1** Interaction energies and interaction distances of the  $\text{Al}_{12}\text{N}_{12}@S$ -belt,  $\text{Mg}_{12}\text{O}_{12}@S$ -belt, and  $\text{B}_{12}\text{P}_{12}@S$ -belt complexes

Complexes	$A_{\text{ad}}$ (fullerene-belt)	$D_{\text{int}}$ (Å)	$E_{\text{int}}$ (kcal mol <sup>-1</sup> )
$\text{Al}_{12}\text{N}_{12}@S$ -belt	Al1...C4	2.97	-63.64
	Al2...N5	3.01	
	N3...C6	3.04	
$\text{Mg}_{12}\text{O}_{12}@S$ -belt	Mg1...C4	2.75	-58.02
	Mg2...C5	3.10	
	O3...C6	3.16	
$\text{B}_{12}\text{P}_{12}@S$ -belt	P1...H4	3.02	-22.03
	P2...C5	3.23	
	P3...C6	3.12	

complexes. The  $\text{Al}_{12}\text{N}_{12}@S$ -belt complex shows  $D_{\text{int}}$  ranging from 2.97 to 3.04 Å, *i.e.*, lesser  $D_{\text{int}}$  compared to that of the other complexes. Consequently, the  $E_{\text{int}}$  of the complex is greater compared to that of the other two complexes, *i.e.*, -63.64 kcal mol<sup>-1</sup>. Similarly, the  $D_{\text{int}}$  (interaction distance between the nanocage and belt) for the  $\text{Mg}_{12}\text{O}_{12}$  range of 2.75–3.16 Å, and  $E_{\text{int}}$  for the same complex is -58.02 kcal mol<sup>-1</sup>. The  $D_{\text{int}}$  for  $\text{B}_{12}\text{P}_{12}$  is greater compared to the former two complexes, resulting in a lesser  $E_{\text{int}}$ , *i.e.*, -22.03 kcal mol<sup>-1</sup>. The trends observed in  $E_{\text{int}}$  and  $D_{\text{int}}$  for the complexes are  $\text{Al}_{12}\text{N}_{12}@S$ -belt >  $\text{Mg}_{12}\text{O}_{12}@S$ -belt >  $\text{B}_{12}\text{P}_{12}@S$ -belt, and  $\text{Al}_{12}\text{N}_{12}@S$ -belt <  $\text{Mg}_{12}\text{O}_{12}@S$ -belt <  $\text{B}_{12}\text{P}_{12}@S$ -belt. The trend observed in the values of  $E_{\text{int}}$  can be attributed to the greater stability of the guest species inside the cavity of the host in the former two complexes compared to the latter one. Overall, all the complexes have reasonable  $E_{\text{int}}$ , verifying the stability of the designed supramolecular complexes.

### 3.2. FMO analysis

The optoelectronic properties of the designed complexes are determined *via* frontier molecular orbital (FMO) analysis. The energies of HOMO, LUMO and  $E_{\text{gap}}$  for the bare host and guest as well as complexes are given in Table 2. The values of  $E_{\text{HOMO}}$ ,  $E_{\text{LUMO}}$  and  $E_{\text{gap}}$  for the bare S-belt are -5.85, -1.42 and 4.43 eV, respectively. For  $\text{Al}_{12}\text{N}_{12}$ , the values of  $E_{\text{HOMO}}$ ,  $E_{\text{LUMO}}$  and  $E_{\text{gap}}$  are -6.53, -2.48 and 4.04 eV, respectively. Similarly, the values of  $E_{\text{HOMO}}$ ,  $E_{\text{LUMO}}$  and  $E_{\text{gap}}$  for  $\text{Mg}_{12}\text{O}_{12}$  are -6.84, -1.69, and 5.14 eV, respectively, and these values for  $\text{B}_{12}\text{P}_{12}$  are -6.84, -2.78, and 33.02 eV, respectively.

**Table 2** Energies of the HOMO, LUMO, and energy gaps of the host, guest species and the complexes (*i.e.*,  $\text{Al}_{12}\text{N}_{12}@S$ -belt,  $\text{Mg}_{12}\text{O}_{12}@S$ -belt, and  $\text{B}_{12}\text{P}_{12}@S$ -belt)

Compounds	$E_{\text{HOMO}}$	$E_{\text{LUMO}}$	$E_{\text{gap}}$	NBO e
S-belt	-5.85	-1.42	4.43	—
$\text{Al}_{12}\text{N}_{12}$	-6.53	-2.48	4.04	—
$\text{Al}_{12}\text{N}_{12}@S$ -belt	-5.80	-1.96	3.83	-0.307
$\text{Mg}_{12}\text{O}_{12}$	-6.84	-1.69	5.14	—
$\text{Mg}_{12}\text{O}_{12}@S$ -belt	-5.82	-1.62	4.20	0.064
$\text{B}_{12}\text{P}_{12}$	-6.84	-3.10	3.74	—
$\text{B}_{12}\text{P}_{12}@S$ -belt	-5.80	-2.78	3.02	-0.163

After complexation, the values of  $E_{\text{HOMO}}$ ,  $E_{\text{LUMO}}$  and  $E_{\text{gap}}$  for  $\text{Al}_{12}\text{N}_{12}@S$ -belt are -5.80, -1.96, and 3.83 eV, respectively. Likewise, these values for  $\text{Mg}_{12}\text{O}_{12}@S$ -belt are -5.82, -1.62 and 4.20 eV, respectively, whereas the corresponding values for the  $\text{B}_{12}\text{P}_{12}@S$ -belt complex are -5.80, -2.78, and 3.02 eV, respectively. Hence, after complex formation, it is observed that the energy of the HOMO of the complex closely resemble that of the bare host (*i.e.*, S-belt) and the energy of the LUMO of the complex is close to the energy of LUMO of the bare guests (*i.e.*,  $\text{Al}_{12}\text{N}_{12}$ ,  $\text{Mg}_{12}\text{O}_{12}$ , and  $\text{B}_{12}\text{P}_{12}$ ). Moreover, in comparison to the bare host and guests, the energy gaps of the complexes are reduced in all the three cases.

The HOMO, LUMO isosurfaces for the complexes are shown in Fig. 2. Here, in the  $\text{Al}_{12}\text{N}_{12}@S$ -belt complex, the HOMO isosurfaces are present both on S-belt and  $\text{Al}_{12}\text{N}_{12}$ . This can be attributed to the proximity of HOMO levels of the bare host and guest species, resulting in the HOMO isosurfaces on both the species in the complex. As expected, the LUMO isosurfaces are present on  $\text{Al}_{12}\text{N}_{12}$  in the complex. Moreover, the HOMO isosurfaces for the  $\text{Mg}_{12}\text{O}_{12}@S$ -belt complex mostly reside on the S-belt. The LUMO isosurfaces for this complex also reside on the S-belt. This might be due to the closeness in the LUMO levels of bare S-belt and  $\text{Mg}_{12}\text{O}_{12}$ , causing the LUMO isosurfaces to appear either on the S-belt or  $\text{Mg}_{12}\text{O}_{12}$ . The HOMO and LUMO isosurfaces for the  $\text{B}_{12}\text{P}_{12}@S$ -belt complex reside mostly on the S-belt and  $\text{B}_{12}\text{P}_{12}$ , respectively. Overall, the values of  $E_{\text{HOMO}}$ ,  $E_{\text{LUMO}}$  and  $E_{\text{gap}}$  of the complexes shows the fine tuning of the HOMO, LUMO levels and the energy gaps for all the three designed complexes, which is the main theme of the study.

### 3.3. PDOS analysis

The HOMO, LUMO levels and energy gaps obtained through FMO analysis are validated *via* PDOS analysis. Fig. 3 shows the DOS spectra for the complexes, with black, red, and blue colored curves showing the total density of states (TDOS) for the complexes, PDOS for host (S-belt) and guests ( $\text{Al}_{12}\text{N}_{12}$ ,  $\text{Mg}_{12}\text{O}_{12}$ , and  $\text{B}_{12}\text{P}_{12}$ ), respectively. In the DOS spectra of the  $\text{Al}_{12}\text{N}_{12}@S$ -belt complex, the energy of the HOMO of the complex is -5.80 eV, represented by a vertical dotted line. Similarly, the energy of the LUMO for the complex is -1.96 eV, represented by an arrow pointing towards the x-axis, after the HOMO. Similarly, the energy gap of the complex is 3.83 eV. Similar results were obtained for the other two complexes. The positions of HOMO, LUMO and  $E_{\text{gap}}$  are labelled for all the complexes. The contribution of HOMO and LUMO of the bare host and guest towards the HOMO and LUMO of the complexes, respectively, determined *via* FMO analysis is justified by the DOS spectra for all the designed complexes.

### 3.4. NBO analysis

Natural bond orbital (NBO) analysis was performed to investigate the direction of electron density shift after complex formation. It is observed that the direction of charge transfer for the two complexes is from the host towards the guest, whereas for the third complex, the direction of overall charge transfer is from the guest towards the host. The NBO charges on Al and N



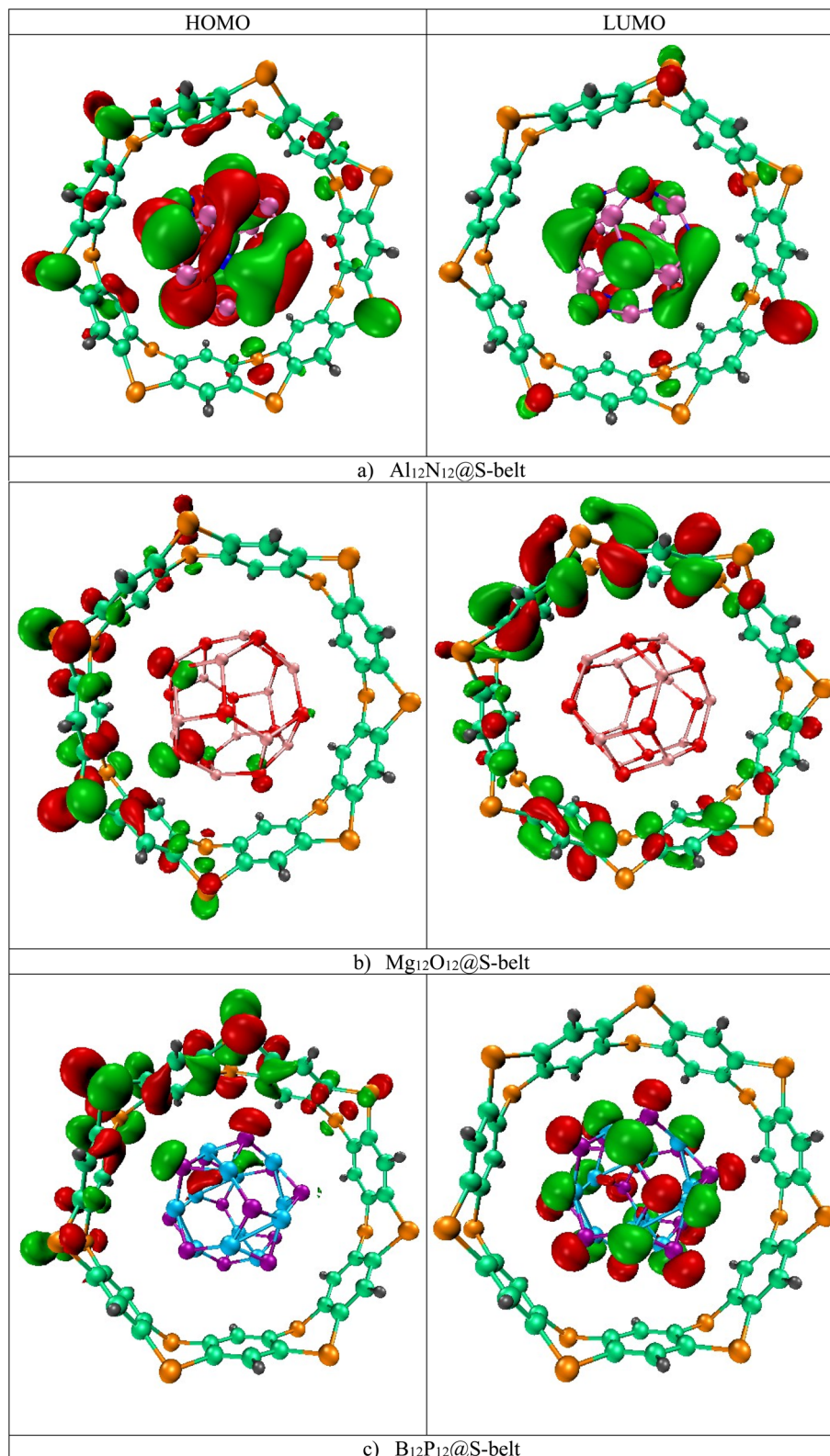


Fig. 2 HOMO and LUMO isosurfaces for the (a)  $\text{Al}_{12}\text{N}_{12}@S\text{-belt}$ , (b)  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$ , and (c)  $\text{B}_{12}\text{P}_{12}@S\text{-belt}$  complexes.

atoms of bare  $\text{Al}_{12}\text{N}_{12}$  are  $0.737|e|$  and  $-0.737|e|$ , respectively, making it an overall neutral species. Similarly, these charges on Mg are  $0.901|e|$  (on four atoms) and  $0.902|e|$  (on eight atoms)

whereas there are  $-0.901|e|$  (on four atoms) and  $-0.902|e|$  (on eight atoms) on O in bare  $\text{Mg}_{12}\text{O}_{12}$ , thus making it neutral. The charges on B and P atoms are  $-0.119|e|$  and  $0.119|e|$  before



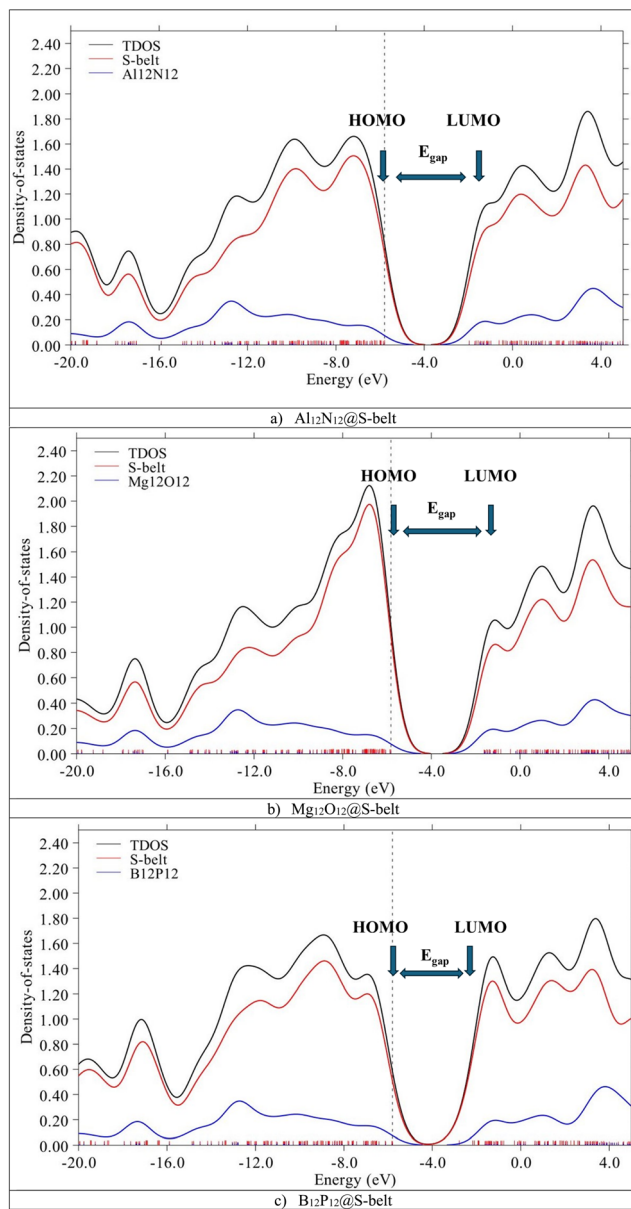


Fig. 3 DOS spectra for the (a)  $\text{Al}_{12}\text{N}_{12}@S\text{-belt}$ , (b)  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$ , and (c)  $\text{B}_{12}\text{P}_{12}@S\text{-belt}$  complexes.

complexation, making  $\text{B}_{12}\text{P}_{12}$  overall neutral. After complexation, the sum of charges for  $\text{Al}_{12}\text{N}_{12}$  and  $\text{B}_{12}\text{P}_{12}$  are  $-0.307|e|$  and  $-0.163|e|$ , respectively, showing that the electron density slightly shifts towards the guest species in these complexes. On the other hand, the sum of charges for the  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$  complex is  $0.064|e|$ , indicating a little amount of overall shift in the electron density from the guest towards the host. Compared to the former two complexes, the charge transfer behavior for the  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$  complex seems to be different. This behavior (electron density shift towards the S-belt in the  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$  complex) can be attributed to its unique behavior in FMO analysis as both the HOMO and LUMO isodensities reside over the belt. The maximum NBO charge is found for the guest species in the  $\text{Al}_{12}\text{N}_{12}@S\text{-belt}$  complex, corroborating with the highest interaction energy for the same

complex. Overall, NBO analysis indicates that for the  $\text{Al}_{12}\text{N}_{12}@S\text{-belt}$  and  $\text{B}_{12}\text{P}_{12}@S\text{-belt}$  complexes, the direction of charge transfer is from the host towards the guest, whereas for the  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$  complex, the charge transfer is from the guest towards the host.

### 3.5. EDD analysis

Electron density difference analysis (EDD) is the visual representation for the elaboration of NBO charge transfer. Fig. 4 shows the red and blue isosurfaces, demonstrating the regions of electron density depletion and accumulation, respectively. For the  $\text{Al}_{12}\text{N}_{12}@S\text{-belt}$  complex, the red and blue colored isosurfaces reside on both the host and guest species, illustrating the electron density transfer from the host towards the guest and *vice versa* (*i.e.*, electron donation and back-donation effect). Similarly, the other two complexes (*i.e.*,  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$  and  $\text{B}_{12}\text{P}_{12}@S\text{-belt}$ ) also show the electron donating and back-donating characteristics of the host and guest species. Overall, the greater red patches over the S-belt are observed for the  $\text{Al}_{12}\text{N}_{12}@S\text{-belt}$  and  $\text{B}_{12}\text{P}_{12}@S\text{-belt}$  complexes compared to the S-belt in the  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$  complex. Moreover, a greater number of blue patches are present over the S-belt in case of the  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$  complex. It shows that the charge is depleted from the host (S-belt) and is shifted towards the guests (*i.e.*,  $\text{Al}_{12}\text{N}_{12}$  and  $\text{B}_{12}\text{P}_{12}$ ) in the former two cases compared to the latter one. These findings validate the direction of electron density shift predicted through NBO charge analysis, *i.e.*, from the host to the guest for  $\text{Al}_{12}\text{N}_{12}$  and  $\text{B}_{12}\text{P}_{12}$  and guest to the host for the  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$  complex.

### 3.6. NCI analysis

Noncovalent interaction index (NCI) analysis provides valuable information about the nature of interactions present in the complexes. The three-dimensional RDG maps and two-dimensional plots for the complexes are illustrated in Fig. 5. 3D maps show the colored isosurfaces demonstrating the nature of interactions, whereas 2D plots represent the colored spikes to delve into the nature and extent of interactions present between the host and guest species in the designed complexes. 2D RDG plots are generated based on variables of electron density ( $\rho$ ) and reduced density gradient ( $\nabla^2\rho$ ).

$$\text{RDG} = \frac{1}{2(3\pi^2)^{\frac{1}{3}}} \frac{|\nabla\rho|}{\rho^{\frac{4}{3}}} \quad (2)$$

In the 2D plots of NCI, the values of  $\text{sign}(\lambda_2)\rho$  range from  $-0.05$  to  $0.05$  a.u. on the  $x$ -axis and on the  $y$ -axis, and RDG ranges from  $0.00$  to  $2.00$  a.u. The red spikes with  $\text{sign}(\lambda_2)\rho$  values ranging from  $0.02$  to  $0.05$  a.u. represent the repulsive interactions, the blue spikes having  $\text{sign}(\lambda_2)\rho$  in the range  $-0.02$  to  $-0.05$  a.u. indicate the electrostatic interactions, whereas the green spikes with values of  $\text{sign}(\lambda_2)\rho$  ranging from  $-0.01$  to  $0.01$  a.u. are an indication of the existence of van der Waals interaction.

Here, in the 3D map of the  $\text{Al}_{12}\text{N}_{12}@S\text{-belt}$  complex, it is evident that the green patches exist predominantly between the host and guest species, demonstrating that the van der Waals interactions are the reason behind the stability of the host-



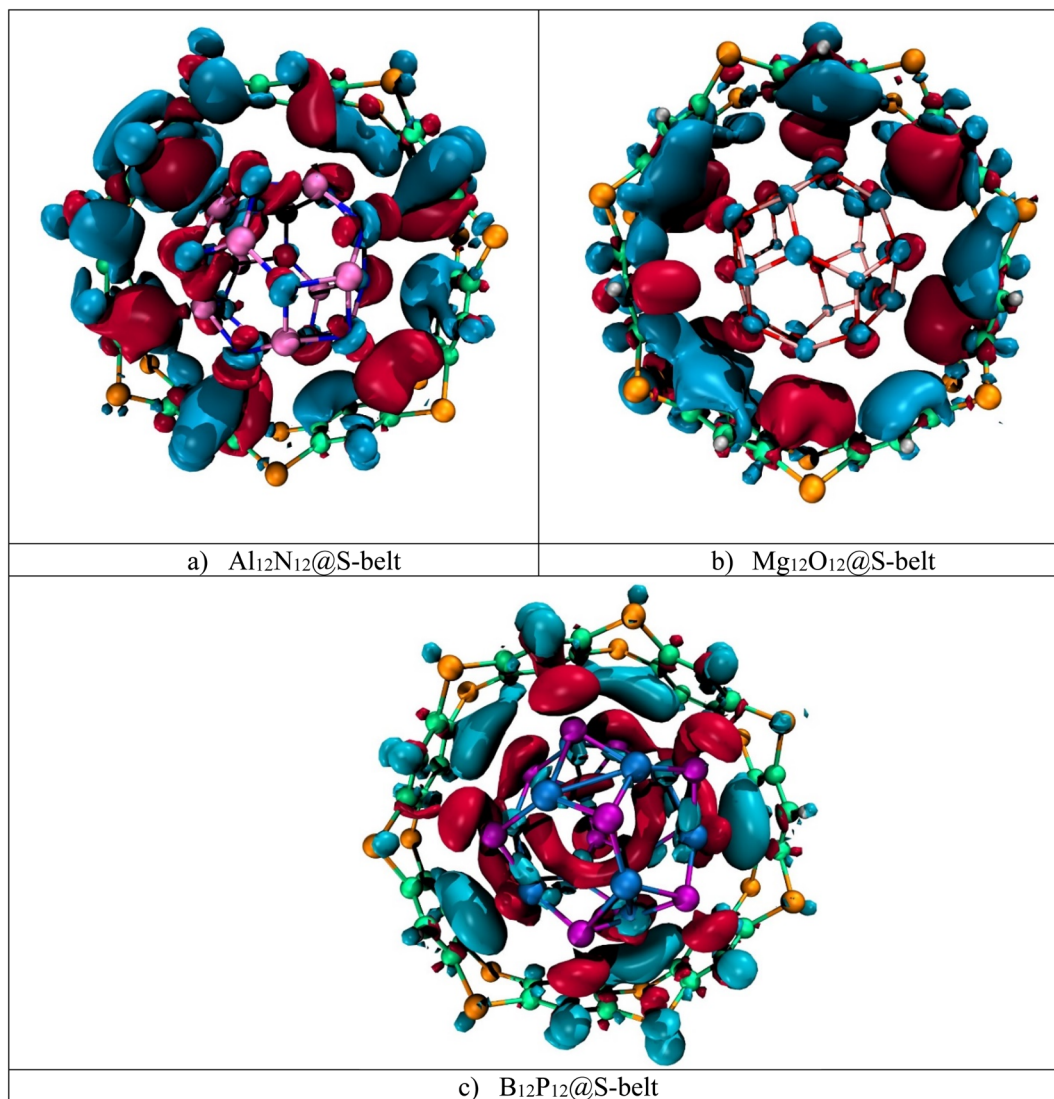


Fig. 4 EDD isosurfaces for the (a)  $\text{Al}_{12}\text{N}_{12}@S\text{-belt}$ , (b)  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$ , and (c)  $\text{B}_{12}\text{P}_{12}@S\text{-belt}$  complexes.

guest complexes. The red patches are present in 1,4-benzothiazine units of the S-belt as well as inside the  $\text{Al}_{12}\text{N}_{12}$  cage, showing the presence of repulsive interactions there. Moreover, the blue patches are present inside the  $\text{Al}_{12}\text{N}_{12}$  cage, specifying the presence of electrostatic forces of attraction. Similarly, in the 2D plot of the complex, the red, blue and green spikes are prominent, revealing the presence of the repulsive, electrostatic and van der Waals interactions, respectively. In the 3D map of the  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$  complex, the green colored patches are present between the host and guest species as well as inside the guest, indicating the van der Waals interaction between the host and the guest. The red colored patches are present in the units of the S-belt (*i.e.*, 1,4-benzothiazine) and inside the  $\text{Mg}_{12}\text{O}_{12}$  cage, showing the forces of repulsion operating there. The blue colored patches exist inside the  $\text{Mg}_{12}\text{O}_{12}$  cage, illustrating the presence of electrostatic attraction inside the cage. Furthermore, in the  $\text{B}_{12}\text{P}_{12}@S\text{-belt}$  complex, the host and guest are stabilized through the van der Waals interactions, as revealed

by the green colored patches existing between the host and guest species. The red patches are present in the individual 1,4-benzothiazine units of the S-belt and inside the  $\text{B}_{12}\text{P}_{12}$  cage. In the 2D plot of the complex, the red and green spikes are notable compared to the blue spikes due to the presence of repulsive (inside the cage and 1,4-benzothiazine units of the belt) and van der Waals interactions (between the host and guest) and the absence of electrostatic interactions, respectively. The greater spikes and patches are observed for the  $\text{Al}_{12}\text{N}_{12}@S\text{-belt}$  and  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$  complexes compared to the  $\text{B}_{12}\text{P}_{12}@S\text{-belt}$  complex, justifying the comparatively greater value of  $E_{\text{int}}$  for the former two complex. Overall, it is noted that all the designed nano-Saturn complex systems are stabilized *via* van der Waals interactions.

### 3.7. QTAIM analysis

Quantum theory of atoms in molecule (QTAIM) is a topological analysis of electron density distribution. In order to understand



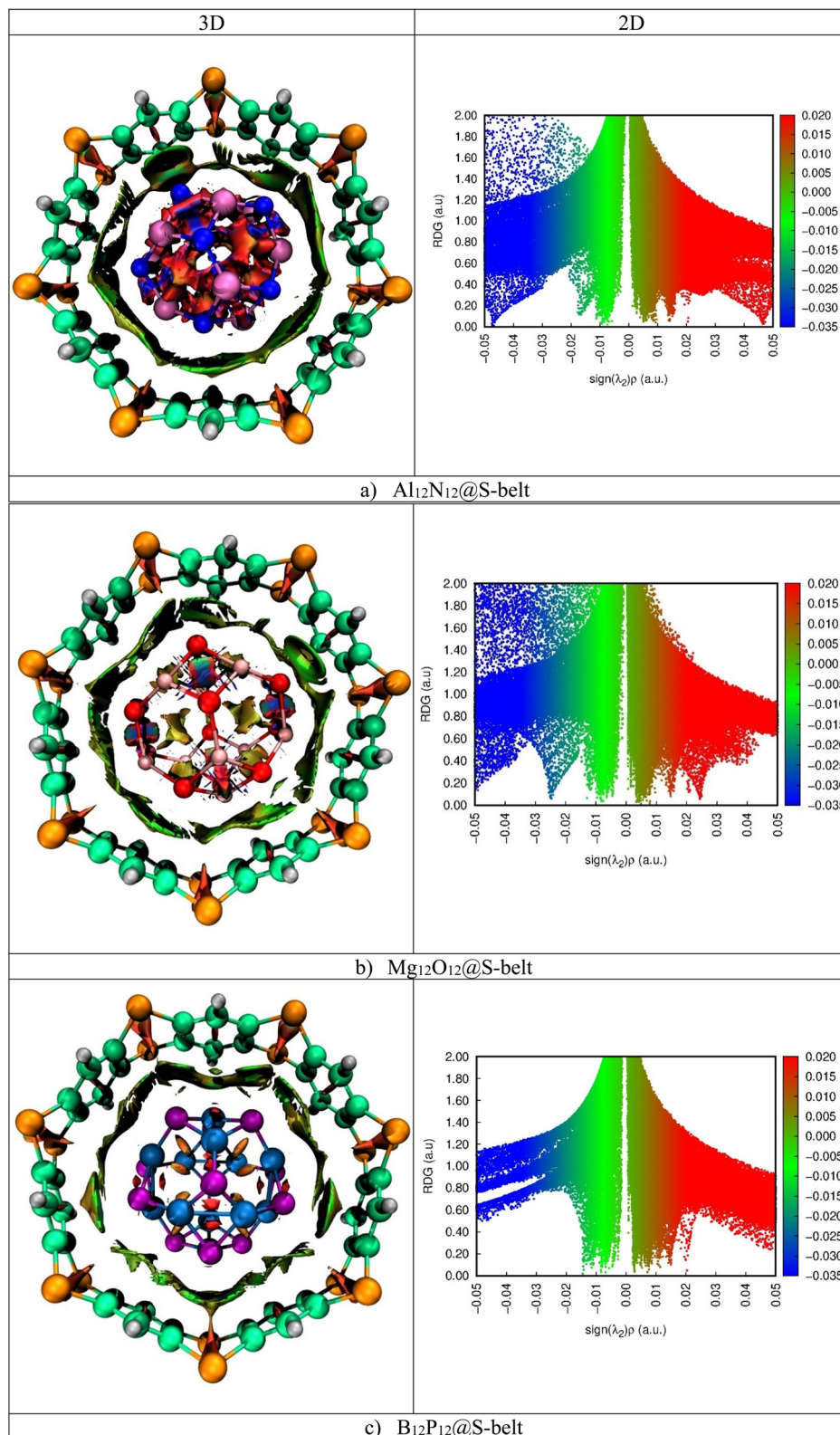


Fig. 5 3D maps and 2D RDG plots for the (a)  $\text{Al}_{12}\text{N}_{12}@S\text{-belt}$ , (b)  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$ , and (c)  $\text{B}_{12}\text{P}_{12}@S\text{-belt}$  complexes.

the nature of interactions, the electron density  $\rho(r)$  and Laplacian of electron density  $\nabla^2\rho(r)$  are calculated along with other important parameters such as total energy density  $H(r)$ , local

kinetic energy  $G(r)$ , and local potential energy  $V(r)$ . The QTAIM topological parameters for the complexes are listed in Table 3, and the bond critical points (BCPs) are shown in Fig. 6. The





Table 3 QAIM parameters for the Al<sub>12</sub>N<sub>12</sub>@S-belt, Mg<sub>12</sub>O<sub>12</sub>@S-belt, and B<sub>12</sub>P<sub>12</sub>@S-belt complexes

Complexes	Ana-belt	CPs	$\rho$ (a.u.)	$\nabla^2\rho$ (a.u.)	$G(r)$ (a.u.)	$V(r)$ (a.u.)	$H(r)$ (a.u.)	$-V/G$	$E_{\text{int}}$ (kcal mol <sup>-1</sup> )
Al <sub>12</sub> N <sub>12</sub> @S-belt	N8–C36	132	0.0086	0.025	0.0055	–0.0049	0.0007	0.89	1.54
	N6–C58	157	0.0113	0.026	0.0061	–0.0058	0.0004	0.95	1.82
	N2–C50	193	0.0085	0.025	0.0054	–0.0046	0.0008	0.85	1.44
	N2–C46	214	0.0093	0.026	0.0057	–0.0050	0.0007	0.88	1.57
	N1–C45	223	0.0081	0.016	0.0039	–0.0037	0.0002	0.95	1.16
	N1–C44	244	0.0058	0.017	0.0036	–0.0030	0.0007	0.83	0.94
	Al14–C31	249	0.0175	0.038	0.0112	–0.0013	–0.0017	0.12	0.41
	N3–C28	262	0.0099	0.028	0.0064	–0.0056	0.0008	0.88	1.76
	N11–C32	247	0.0069	0.020	0.0044	–0.0037	0.0007	0.84	1.16
	N11–C76	229	0.0101	0.017	0.0045	–0.0047	–0.0002	1.04	1.47
	N4–C75	224	0.0085	0.025	0.0055	–0.0048	0.0007	0.87	1.51
	N4–C69	197	0.0065	0.018	0.0039	–0.0033	0.0006	0.85	1.04
	N12–C71	167	0.0113	0.033	0.0073	–0.0064	0.0009	0.88	2.01
	N9–C64	134	0.0080	0.023	0.0051	–0.0044	0.0006	0.86	1.38
	N9–C63	123	0.0075	0.014	0.0035	–0.0033	0.0001	0.94	1.04
	Mg <sub>12</sub> O <sub>12</sub> @S-belt	N8–C38	121	0.0075	0.016	0.0037	–0.0034	0.0003	0.92
O24–C77		256	0.0100	0.032	0.0071	–0.0063	0.0008	0.89	1.98
Mg3–C76		261	0.0079	0.033	0.0069	–0.0056	0.0013	0.81	1.76
O23–C76		249	0.0082	0.019	0.0045	–0.0043	0.0002	0.96	1.35
O23–C73		223	0.0076	0.022	0.0049	–0.0041	0.0007	0.84	1.29
Mg1–C71		197	0.0084	0.036	0.0075	–0.0061	0.0014	0.81	1.91
O21–C71		186	0.0079	0.018	0.0044	–0.0044	0.0002	1.00	1.38
O21–C65		174	0.0087	0.029	0.0064	–0.0054	0.0009	0.84	1.69
O20–C63		142	0.0130	0.038	0.0090	–0.0084	0.0005	0.93	2.64
O19–C58		124	0.0064	0.016	0.0035	–0.0031	0.0004	0.88	0.97
O19–C39		119	0.0090	0.029	0.0064	–0.0055	0.0008	0.86	1.72
O18–C37		132	0.0097	0.024	0.0024	–0.0055	0.0003	2.29	1.72
Mg10–C37		131	0.0089	0.037	0.0037	–0.0065	0.0013	1.76	2.04
O17–C55		144	0.0079	0.026	0.0026	–0.0049	0.0008	1.88	1.54
O16–C45		223	0.0076	0.022	0.0022	–0.0041	0.0007	1.86	1.29
O14–C44		239	0.0125	0.040	0.0040	–0.0085	0.0008	2.12	2.67
B <sub>12</sub> P <sub>12</sub> @S-belt	O14–C27	253	0.0085	0.026	0.0026	–0.0050	0.0008	1.92	1.57
	O13–C31	271	0.0092	0.030	0.0030	–0.0054	0.0011	1.80	1.69
	O24–C29	263	0.0064	0.020	0.0020	–0.0035	0.0008	1.75	1.10
	P18–C78	246	0.0116	0.033	0.0072	–0.0061	0.0011	0.85	1.91
	B1–C79	230	0.0073	0.020	0.0042	–0.0033	0.0009	0.78	1.04
	P16–S72	212	0.0057	0.015	0.0031	–0.0023	0.0008	0.74	0.72
	P18–C68	178	0.0145	0.043	0.0095	–0.0083	0.0012	0.87	2.60
	P14–C60	122	0.0069	0.022	0.0043	–0.0030	0.0013	0.70	0.94
	P20–C58	123	0.0131	0.037	0.0082	–0.0073	0.0010	0.89	2.29
	P20–C39	118	0.0125	0.035	0.0078	–0.0069	0.0010	0.88	2.16
	P22–H84	111	0.0074	0.024	0.0046	–0.0033	0.0013	0.72	1.04
	P24–C53	153	0.0142	0.043	0.0094	–0.0080	0.0014	0.85	2.51
	P28–S52	190	0.0061	0.016	0.0033	–0.0025	0.0008	0.76	0.78
	P24–C43	235	0.0118	0.034	0.0073	–0.0062	0.0011	0.85	1.94
P24–C26	247	0.0079	0.023	0.0048	–0.0038	0.0010	0.79	1.19	
B8–C28	242	0.0090	0.024	0.0052	–0.0045	0.0007	0.86	1.41	
P18–C29	252	0.0084	0.024	0.0051	–0.0041	0.0010	0.80	1.29	

Al<sub>12</sub>N<sub>12</sub>@S-belt complex shows 16 BCPs, whereas the Mg<sub>12</sub>O<sub>12</sub>@S-belt and B<sub>12</sub>P<sub>12</sub>@S-belt complexes show 18 and 14 BCPs, respectively. Table 3 shows that the values of  $\rho(r)$  are greater than 0.1, implying the presence of nonbonding (van der Waals) interactions. The values of  $\nabla^2\rho(r)$  and  $H(r)$  for all the complexes are positive, justifying the presence of van der Waals interactions. The values of  $-V/G$  for the Al<sub>12</sub>N<sub>12</sub>@S-belt, Mg<sub>12</sub>O<sub>12</sub>@S-belt and B<sub>12</sub>P<sub>12</sub>@S-belt complexes are in the range of 0.12–1.04, 0.81–2.29, and 0.70–0.89 a.u., respectively. The lower values of  $-V/G$  verify the presence of nonbonding interactions. Furthermore, the interaction energy values for individual bonds are less than 3, *i.e.*, signifying the presence of van

der Waals interactions. The BCPs and  $E_{\text{int}}$  of individual bonds for the Al<sub>12</sub>N<sub>12</sub>@S-belt and Mg<sub>12</sub>O<sub>12</sub>@S-belt complexes are greater compared to the B<sub>12</sub>P<sub>12</sub>@S-belt complex. Overall, these results are in corroboration with the NCI analysis, demonstrating that the main reason for the stability of the nano-Saturn host–guest complexes is the nonbonding or van der Waals interactions.

### 3.8. Absorption analysis

The UV-vis absorption analysis of the individual host, guest species and the complexes is performed and the absorption



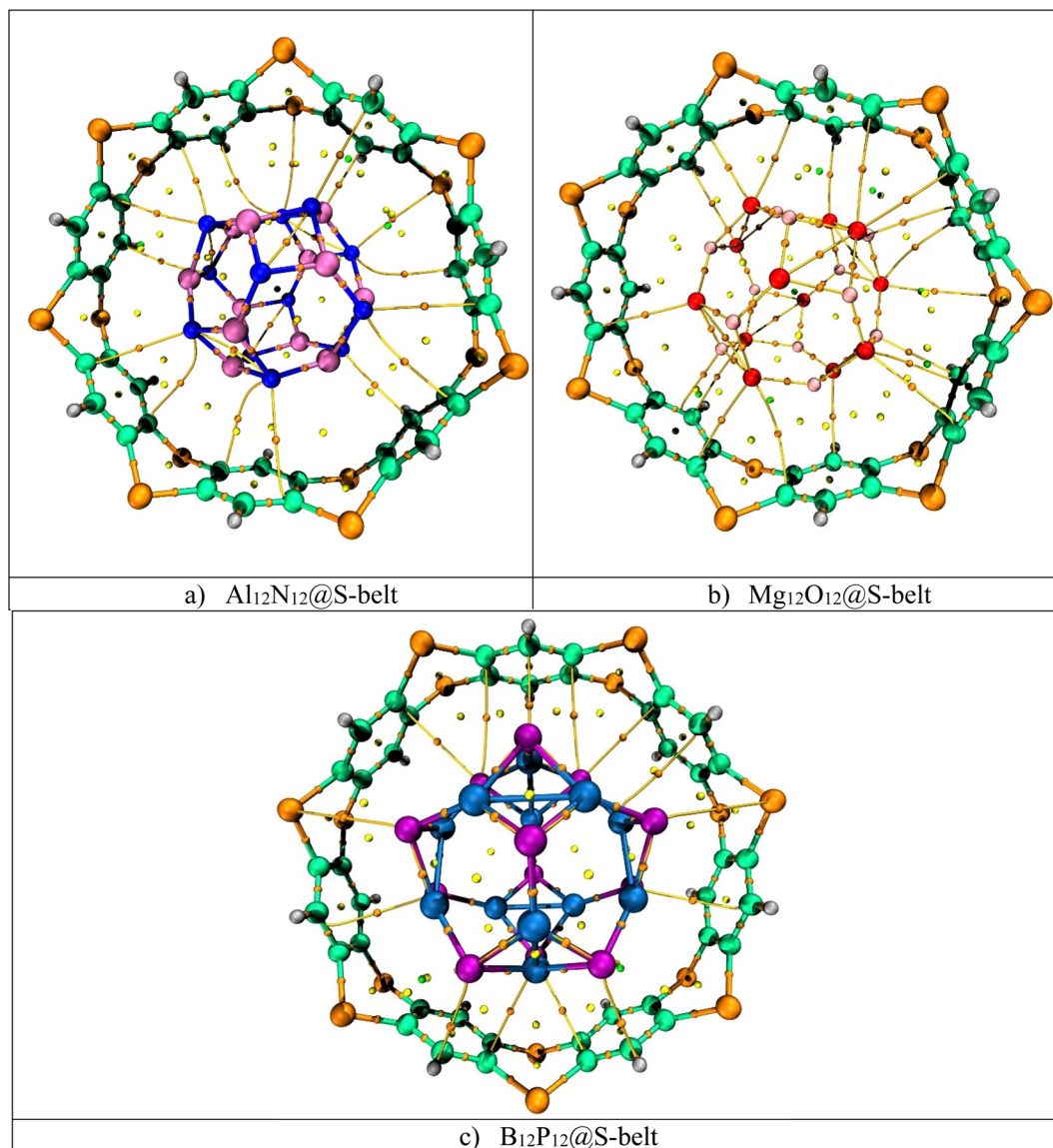


Fig. 6 BCPs obtained through QAIM for the (a)  $\text{Al}_{12}\text{N}_{12}@S\text{-belt}$ , (b)  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$ , and (c)  $\text{B}_{12}\text{P}_{12}@S\text{-belt}$  complexes.

maxima ( $\lambda_{\text{max}}$ ), excitation energies ( $\Delta E$ ), and oscillator strengths ( $f_o$ ) are reported in Table 4. The absorption spectra of the individual components and the complexes are showcased in Fig. 7. The excitation energy values ( $\Delta E$ ) for the S-belt and the nanocages are greater, ranging from 4.24 to 5.66 eV. This results in maximum absorption at smaller wavelength, *i.e.*, in the UV region (219–292 nm). The oscillator strength of the S-belt (host) is higher than that of the nanocages (guests). After the host-guest complex formation, a minor decrease in the values of excitation energies is seen (4.08–4.93 eV). Due to this decrease, the absorption maxima of the complexes is slightly increased (251–304 nm). The bathochromic or red shift is observed for all the complexes, but the region of maximum absorption still remains the same, *i.e.*, the UV region. The oscillator strength of the  $\text{B}_{12}\text{P}_{12}@S\text{-belt}$  complex is minimum compared to the other two complexes due to the minimum oscillator strength of the

bare  $\text{B}_{12}\text{P}_{12}$ . Hence, it can be concluded from absorption analysis that all the complexes show maximum absorption in the UV region.

Table 4 Absorption analysis parameters, *i.e.*, excitation energies ( $\Delta E$ ), absorption maxima ( $\lambda_{\text{max}}$ ) and oscillator strength ( $f_o$ ) for the  $\text{Al}_{12}\text{N}_{12}@S\text{-belt}$ ,  $\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$ , and  $\text{B}_{12}\text{P}_{12}@S\text{-belt}$  complexes

Compounds	$\Delta E$ (eV)	$\lambda_{\text{max}}$ (nm)	$f_o$ (a.u)
S-belt	5.04	246	1.62
$\text{Al}_{12}\text{N}_{12}$	5.56	223	0.10
$\text{Al}_{12}\text{N}_{12}@S\text{-belt}$	4.89	254	0.52
$\text{Mg}_{12}\text{O}_{12}$	5.66	219	0.44
$\text{Mg}_{12}\text{O}_{12}@S\text{-belt}$	4.93	251	0.99
$\text{B}_{12}\text{P}_{12}$	4.24	292	0.06
$\text{B}_{12}\text{P}_{12}@S\text{-belt}$	4.08	304	0.03



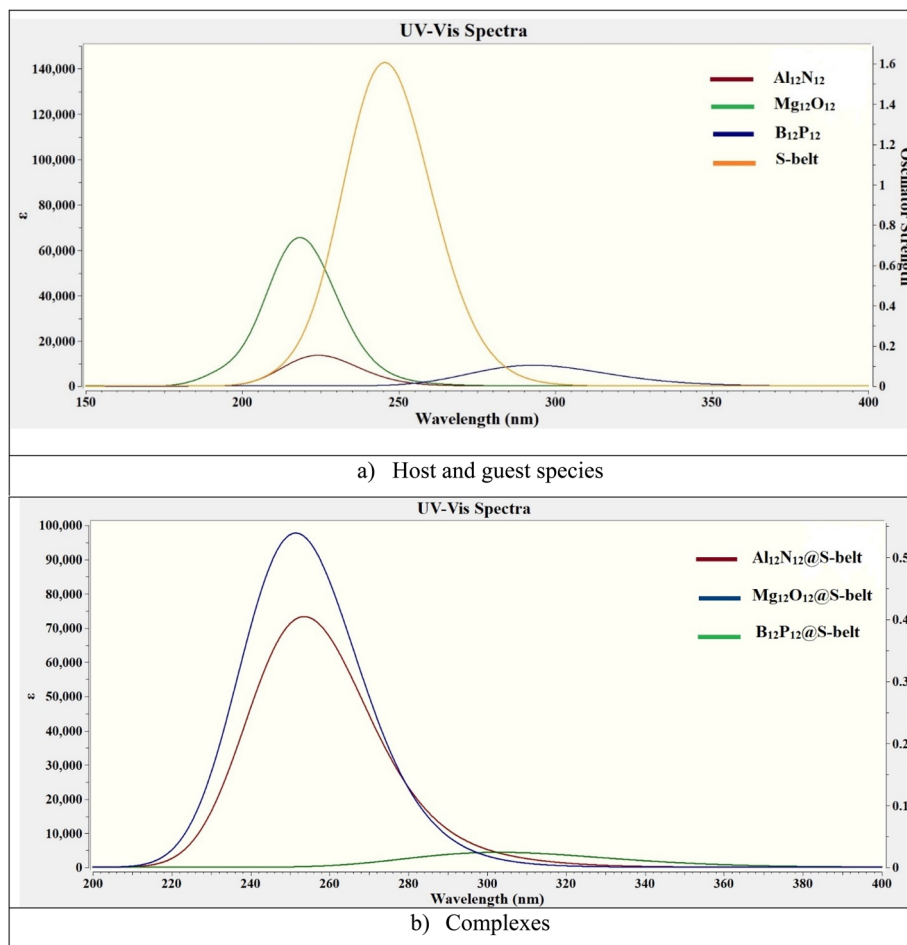


Fig. 7 UV-vis absorption spectra for the bare (a) host and guest species, and (b) complexes.

## 4. Conclusion

In summary, three supramolecular complexes are designed (Al<sub>12</sub>N<sub>12</sub>@S-belt, Mg<sub>12</sub>O<sub>12</sub>@S-belt, and B<sub>12</sub>P<sub>12</sub>@S-belt) by encapsulating the fullerene-like nanostructures inside the S-belt. The greater values of  $E_{\text{int}}$  up to 63.64 kcal mol<sup>-1</sup> indicate the stability of the complexes. FMO analysis demonstrates the greater role of HOMO of the host (S-belt) and LUMO of the guests (Al<sub>12</sub>N<sub>12</sub>, Mg<sub>12</sub>O<sub>12</sub>, and B<sub>12</sub>P<sub>12</sub>) towards the HOMO and LUMO levels of the designed supramolecular complexes, respectively. The energies of the HOMO and LUMO of the complexes resemble the energy of HOMO of the host and energy of LUMO of the guests, respectively. Compared to those of bare host and guest species (3.74–514 eV), the energy gap of the complexes also experience a slight decrease, *i.e.*, 3.02–4.20 eV. PDOS spectra analysis further clarifies the FMO. Furthermore, the negative NBO charges on the guest species of the two complexes show the electron density shift towards the guest species, whereas for one of the complexes, the magnitude of NBO charge on the guest species is positive, indicating the electron density shift towards the host (S-belt). The highest NBO charge of  $-0.307|e|$  is observed for the guest species encapsulated inside the S-belt in the Al<sub>12</sub>N<sub>12</sub>@S-belt complex, corroborating with the highest  $E_{\text{int}}$  for the complex. EDD verifies the

NBO analysis. NCI and QTAIM analyses explain that the host-guest supramolecular complexes are stabilized *via* van der Waals interactions. The results of absorption analysis shows that the bare host and guests as well as the designed complexes show absorption maxima in the UV region range of 251–304 nm. Overall, this work highlights the design of stable complexes and controlled tuning of the HOMO and LUMO levels over the distinct species in the complexes. This study opens the doors for the controlled tuning of electronic properties and the design of other similar systems with aligned HOMO, LUMO levels for applications in optoelectronic devices.

## Data availability

Data will be made available on request.

## Conflicts of interest

There are no conflicts to declare.

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