



Analyst

Accepted Manuscript

This article can be cited before page numbers have been issued, to do this please use: C. van Haaren, B. Byrne and S. Kazarian, *Analyst*, 2025, DOI: 10.1039/D5AN00664C.



This is an Accepted Manuscript, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about Accepted Manuscripts in the <u>Information for Authors</u>.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard Terms & Conditions and the Ethical guidelines still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this Accepted Manuscript or any consequences arising from the use of any information it contains.



4

5 6 7

8

9

10

11

12

13

14 15

AME STATE OF THE S

45 46

47 48

49

50

51

52 53

54

55

56

57

58 59

60

Céline van Haaren¹, Bernadette Byrne^{2*}, Sergei G Kazarian^{1*}

- ¹ Department of Chemical Engineering, Imperial College London, South Kensington Campus, London, SW7 2AZ, United Kingdom
- *Email: s.kazarian@imperial.ac.uk
- ² Department of Life Sciences, Imperial College London, South Kensington Campus, London, SW7 2AZ, United Kingdom
- *Email: <u>b.byrne@imperial.ac.uk</u>

ABSTRACT

Monoclonal antibodies (mAbs) represent the largest class of biopharmaceuticals, playing an vital role in the treatment of a wide range of diseases. Although the production of high quality mAbs has significantly improved over the last three decades, particularly in terms of scale and yield, the protein's complex nature poses several challenges during bioprocessing. One of the main challenges in the production of mAbs is the formation of aggregates, which may cause harmful immunogenic responses in patients if not removed from the final drug product. Exposure to a low pH environment during protein A chromatography and viral inactivation is thought to be the major contributor to aggregate formation and has therefore been a topic of study for many years. Here, we investigate the stability of an IgG4 molecule in low pH elution buffer (pH 3.5) under flow using ATR-FTIR spectroscopic imaging. This method, making use of a microfluidic setup, enables non-destructive monitoring of the mAb's structural stability under bioprocessing-relevant conditions. Samples were (i) prepared through dialysis into elution buffer, and (ii) collected directly after elution from the protein A column, after which their stability was assessed under flow at two different temperatures (30 °C or 45 °C). Spectroscopic images and associated IR absorption spectra revealed that in both cases the protein in low pH buffer underwent small, but measurable, structural changes at 30 °C. However, at 45 °C, the protein rapidly aggregated as indicated by a major shift in the Amide I peak position from 1637 cm⁻¹ to 1625 cm⁻¹, representing the formation of inter-molecular beta sheets. These results confirm the destabilising effect of the low pH environment and demonstrate the applicability of ATR-FTIR spectroscopic imaging in combination with microfluidics as a powerful analytical tool for the analysis of protein structural stability under flow.

INTRODUCTION

Monoclonal antibody (mAb) therapeutics remain the leading class of biopharmaceuticals, both in numbers of approvals and sales, with immunoglobulin G (IgG) being the predominant mAb [1]. Due to the high specificity and affinity for their biological target, mAb-based therapeutics have proved to be successful in treating a range of diseases, including many cancers and infectious, respiratory and autoimmune diseases [2]. MAbs are typically produced in a large-scale process involving upstream mammalian cell culture and downstream purification, formulation and fill and finish steps [3]. Over the past 30 years, significant progress has been made towards the development of high yield and large-scale bioprocesses of therapeutic mAb products, however one of the main challenges during the production of these mAbs remains aggregate formation [4-6]. Aggregate formation occurs when mAb molecules self-associate, leading to the formation of dimers, oligomers and ultimately larger particles. Typically, aggregation involves some degree of conformational or chemical change at the

60

1

monomer level, which may be reversible or irreversible [5, 7]. During bioprocessing, the physical and dicte online chemical stability of mAb molecules is strongly affected by the conditions the mAbs are exposed to. For example, mechanical and interfacial stresses caused by mixing, pumping and aeration of the cell culture can result in protein unfolding, and changes in pH and ionic strength during downstream purification steps may give rise to chemical instability [8]. Since aggregates in the final drug product may induce undesirable immunogenic responses in patients, the formation of aggregates needs to be prevented throughout bioprocessing, transport and storage [9].

Research into aggregate formation is extensive, however since aggregate formation is highly dependent on the protein in question and the environmental conditions it is exposed to, a full understanding of aggregation under the complex combination of stress conditions present during bioprocessing is lacking [7]. One key step in the downstream process thought to generate significant amounts of mAb aggregates is the low pH elution step during protein A chromatography [10, 11]. Protein A chromatography is the so-called "capture" step, where mAb is highly selectively bound to protein A resin at neutral pH as the result of the formation of hydrogen bonds, salt bridges and hydrophobic interactions, while impurities flow through [12]. After a simple wash step, the mAbs are eluted from the column using a low pH elution buffer, commonly a citrate, glycine or acetate buffer at pH 2.5 – 4.0 [10, 13]. This change from neutral pH to low pH is needed to release the tightly bound mAb from the protein A resin, through electrostatic repulsion between histidine residues of protein A and the mAb [14]. This type of affinity chromatography produces large quantities of highly pure protein and is practiced in virtually all large-scale mAb purification processes. However, the downsides of this step are the high costs of the protein A resin and the reportedly high propensity for aggregates to form due to low pH effects on protein stability [10, 15]. In addition, the eluate is often subjected to a viral inactivation step, which comprises a low pH hold for up to several hours following protein A chromatography [16]. When IgG is exposed to a low pH environment, the charge distribution on the protein changes significantly, affecting its conformational and colloidal stability [16]. For example, ionizable side chains of the histidine, glutamic acid and aspartic acid residues can protonate, leaving the protein with a positive surface charge and affecting inter-molecular interactions through electrostatic repulsion [17]. The colloidal stability, however, is also largely determined by the ionic strength of the solution, as both positive and negative ions can shield the mAb surface charge [16]. Furthermore, changes in intra-molecular electrostatic interactions resulting from the acidic environment may destabilize the native protein structure and lead to partial unfolding [16, 18].

Several studies have investigated the stability of IgG in strongly acidic buffers, with a focus on assessing the role of salts on aggregation kinetics [13, 19, 20] and/or evaluating the thermal stability of IgG at low pH [13, 20-22]. In the context of bioprocessing, Mazzer *et al.* demonstrated that protein A chromatography results in significantly reduced IgG stability compared to exposure of IgG to the elution buffer on its own [10, 15]. Their data suggested that the chromatography step accelerates aggregation considerably in the pH and concentration ranges tested (pH 2.78 – 3.11; 0.9 – 4.5 mg/ml), but that the mechanism of aggregation remains largely the same. This is likely due to the structural changes happening upon adsorption and desorption to the protein A column, which may expose areas of the protein involved in pH-dependent unfolding and subsequent aggregation [10]. Similarly, Gagnon *et al* compared the stability of three IgG1 molecules with and without protein A elution step, and concluded that elution from the column resulted in a conformational variant of reduced size for all three proteins. Although elution did not directly cause aggregates to form, the protein was found to be more susceptible to aggregation as a result of secondary stress [15]. Furthermore, Wälchli *et al.* and Jin *et al.* studied mAb aggregation during the low pH viral inactivation

59

60

process, focusing on various parameters including protein concentration, hold time & temperature Article Online and the presence of additives [16, 17]. Both studies found that under acidic conditions and at low ionic strength, the mAbs under study partially unfolded. Under these conditions, little change in molecular size or monomer content was observed, owing to the strong electrostatic repulsion between monomers. However, after neutralisation of the solution, the mAbs became prone to aggregation, resulting in an increase in molecular size and decrease in monomer content.

In this study, we use ATR-FTIR spectroscopic imaging in combination with microfluidics to evaluate the structural stability of an IgG4 protein in low pH elution buffer under flow at two different temperatures. ATR-FTIR spectroscopic imaging has several advantages over other commonly used analytical tools for quantification and characterisation of protein aggregates, such as size exclusion chromatography (SEC), dynamic light scattering (DLS) and circular dichroism (CD). This technique yields information on the secondary structure of any aggregates formed, whereas SEC and DLS only provide information on quantity and size of aggregates. In addition, ATR-FTIR spectroscopic imaging can be applied to high concentration, viscous or turbid samples, presenting an advantage when studying aggregate formation, where protein may precipitate out of solution [23-26]. In addition, analysis of samples taken directly from the bioprocess is possible without the need for dilution steps or sample preparation. Furthermore, the combination of ATR-FTIR spectroscopic imaging with a microfluidic flow setup allows for the study of IgG under flow, more closely resembling bioprocessing conditions and presenting an opportunity for the method to be coupled to a bioprocessing unit operation. Finally, in a microfluidic setup, the protein can be subjected to stress conditions (e.g. elevated temperature) and spectroscopic data can be collected as aggregation proceeds, capturing the structural changes associated with the protein aggregation process over time.

Here, the IgG4 protein in low pH buffer was flowed through a microfluidic channel while heated to 30°C or 45°C. Analysis of the resulting chemical images and spectra allowed us to measure both the increase in precipitated protein near the IRE surface (i.e. the increase in Amide II absorbance) and changes in secondary structure associated with aggregation (i.e. shifts in wavenumber of Amide I peak position) as a result of exposure to low pH and elevated temperature.

EXPERIMENTAL SECTION

Sample preparation

IgG samples at low pH were prepared in two ways: 1) by collecting the eluted sample directly from the protein A column during purification, (2) through dialysis into 0.1 M sodium citrate (pH 3.5) after the purification process was completed.

Immunoglobulin G monoclonal antibodies were purified from Chinese Hamster Overy (CHO) cell culture supernatant provided by Prof. Cleo Kontoravdi's lab as described previously [12, 27, 28]. In brief, frozen supernatant samples were thawed overnight at 4° C, the samples centrifuged for 10 minutes at 3000 x g and filtered using a 0.45 μ m disk filter. Low molecular weight contaminants and salts were removed by three cycles through a Hiprep desalting column (GE Healthcare) with the protein eluting into 50 mM phosphate, 150 mM NaCl, pH 7.4. The collected material was loaded onto a 4.7 ml HiScreen MabSelect PrismA column (Cytiva). After a wash step with phosphate buffer (pH 7.4), IgG was eluted from the column using 0.1 M sodium citrate, pH 3.5. For the IgG samples that were studied directly after elution, the purification process stopped here. The concentration of each 300 μ l fraction was measured using the Nanodrop Lite spectrophotometer (Thermo Scientific), and an estimate of the pH was made using pH test strips. After this, selected fractions were used for measurements in the ATR-FTIR spectroscopic imaging flow setup.

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15≌ .ccsseArticle_PublishedonUS & 1804034S RownJonderCon&165025 5:135:10 AM — This article. Received under a Creative Commons. Kirrbutton 3:0 Onported Lice 45 46 47 48 49 50 51 52 53 54 55 56 57

58

59

60

For the samples studied after dialysis, the purification process was completed. In this case, the eluted protein was collected in a collection tube containing 1M Tris-HCl, pH 9.0 in a 1:5 v/v ratio of buffer to eluted protein and each fraction was directly put on ice after collection. As a final purification and buffer exchange step, the pooled fractions were applied to a HiLoad® 16/600 Superdex® 200 pg column (Cytiva) pre-equilibrated with 50 mM phosphate, 150 mM NaCl, pH 7.4. The resulting IgG fractions were combined and concentrated to 5 mg/ml. The sample was then divided into aliquots, snap-frozen using liquid N₂ and stored at -70°C until further use. For each flow experiment analysing IgG at low pH, a fresh aliquot of 5 mg/ml IgG in phosphate buffer (pH 7.4) was thawed on ice and dialysed into 0.1 M sodium citrate at pH 3.5. Dialysis was performed overnight at 4°C using cellulose BioDesign™ dialysis tubing (Thermofisher Scientific) with a molecular weight cutoff of 14 kDa. The sample was then concentrated to 10 mg/ml using a 50 kDa molecular weight cut-off filter. For the control flow experiments where IgG in phosphate buffer was analysed, aliquots were thawed and directly concentrated to 10 mg/ml.

ATR-FTIR spectroscopic imaging

The spectroscopic imaging measurements were performed using a Tensor 27 spectrometer (Bruker Corp) coupled to an IMAC large sample compartment. The Golden Gate accessory (Specac Ltd., UK), with a diamond internal reflection element (IRE) and a 45° angle of incidence, was selected as the most suitable accessory for flow experiments, as the diamond crystal is compatible with low pH samples. The Golden Gate heat controller (Specac Ltd., UK) was used to heat the diamond rapidly to the desired temperatures. Using an MCT focal plane array (FPA) detector with 64 x 64 pixels, 4096 spectra could be collected simultaneously. Measurements were taken in continuous scan mode over the range 900 – 3900 cm⁻¹, co-adding 32 scans at a spectral resolution of 4 cm⁻¹. Since the imaging view of the Golden Gate accessory is ~ 0.6 mm (vertical) x 0.55 mm (horizontal) [29], a PDMS flow channel of dimensions 16 mm x 0.5 mm x 0.5 mm was fabricated such that the whole channel could fit within the imaging view. The anvil of the Golden Gate accessory was used to secure the flow channel with a PMMA top plate (inlet & outlet) onto the diamond crystal. It should be noted that the channel width decreases when it is pressed onto the crystal, due to the elasticity of the PDMS material. The inlet of the channel was connected to a 1 ml syringe through PTFE tubing of 0.5 mm inner diameter; the outlet of the channel was connected to a waste vial using the same tubing material. A constant flow of 2.5 μl/min through the channel was controlled using a syringe pump (Harvard Apparatus). A schematic overview of the setup can be found in Figure S1.

Experimental Procedure

For both sets of IgG samples at low pH, flow experiments were carried out at two temperatures, 30° C and 45° C. Each flow experiment started with a measurement of the buffer flowing through the channel at room temperature (i.e. sodium citrate buffer at pH 3.5, or phosphate buffer at pH 7.4). Then, the IgG sample was flowed through at 2.5 μ l/min and measurements taken at room temperature and after heating for 0 to 30 minutes at 3 minute intervals. The t=0 measurement was taken as soon as the desired temperature was reached.

Data Analysis

The integrated absorbance of the Amide I band (1700 – 1600 cm⁻¹) was plotted for each measurement to generate chemical images, and average spectra were subsequently extracted from the middle of the channel. Buffer-subtraction was performed on each spectrum using OPUS (Bruker Corp) and the resulting Amide I and Amide II bands were used for structural analysis and protein quantification, respectively. Second derivative spectra of the Amide I band were generated in OPUS using 13-point Savitzky-Golay smoothing, unless stated otherwise. Further analysis, including baseline subtraction, was done using OriginPro (OriginLab, Northampton, MA).

4 5

6 7

8

9

10

11

12

13 14

15

Ben.A. Gess.Anticle.Published.on.05 & 180-1845 Rownloaded.on.8/6/2025 5:35:50 AML
This afficies is leensed under a Creative Commons. Kirrbutton 3:0 Onsored Lice

44

45

46 47

48

54

55 56

57

58 59 60

View Article Online DOI: 10.1039/D5AN00664C

A. IgG in low pH elution buffer prepared through dialysis

The results of the 30 °C flow experiments, using IgG that had been dialysed back into low pH elution buffer after purification and storage, are shown in Figure 1. Spectroscopic images, generated by integrating over the Amide I band (1700 – 1600 cm⁻¹), show high absorbance for the protein solution flowing through the channel and low absorbance for the PDMS channel material. The black boxes in Figure 1A indicate the areas within the channel from which an average spectrum was extracted for each time point. A slight change in absorbance can be observed in the spectroscopic images between the room temperature measurement and the measurements taken between t=0 to t=30 minutes of heating, however, quantification of the amount of protein was achieved through integration over the Amide II band (1580 – 1490 cm⁻¹). Quantification of the protein near the IRE surface was based on the Amide II band rather than the Amide I band, as the latter overlaps with the water bending mode (~1640 cm⁻¹). Figure 1B shows the integrated Amide II absorbance over time for IgG in the sodium citrate buffer during the T=30 °C flow experiments, with the results obtained from IgG in phosphate buffer as a control. This data shows no significant difference in Amide II absorbance between the two buffer conditions at T=30 °C. However, when comparing the normalised second derivative spectra of IgG in the sodium citrate buffer (Figure 1C), a clear difference can be observed between the room temperature measurement and the measurements taken after t=0 to t=30 minutes of heating. In addition to a peak shift from 1636 cm⁻¹ to 1633 cm⁻¹, peak broadening can be observed towards the lower wavenumber region, suggesting the presence of a small population of structurally changed IgG molecules [30, 31]. In the control experiment with 10 mg/ml IgG in phosphate buffer (pH 7.4), minimal changes in the Amide I band were observed (Figure S2), in line with expectations of IgG stability at neutral pH exposed to 30 °C for a short period of time.

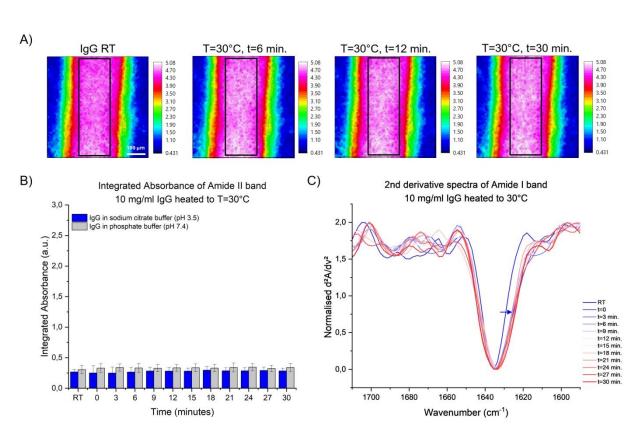


Figure 1. Flow experiments of 10 mg/ml IgG in sodium citrate buffer (pH 3.5) versus phosphate level Article Online buffer (pH 7.4) at T=30 °C. A) Chemical images of the flow channel generated by Amide I band integration (1700 – 1600 cm $^{-1}$), with the black box indicating the area of the spectral extraction; B) Integrated Amide II absorbance (1580 – 1490 cm $^{-1}$) after buffer subtraction (n=3). Error bars indicate the standard deviation; C) Second derivative spectra of IgG in 0.1 M sodium citrate buffer (pH 3.5) at room temperature (RT) and after t=0-30 minutes of heating at T=30 °C.

Following the 30 °C flow experiment, the same setup was used to investigate the mAb's stability at 45 °C. This temperature represents an extreme condition, rather than a typical temperature encountered during bioprocessing. Nonetheless, exposure to an extreme condition over a short period of time (e.g. heating to 45 °C for 30 minutes), may be useful as a proxy for prolonged exposure (e.g. room temperature for several hours). Indeed, the mAbs eluting from the protein A column may be held in low pH buffer from anywhere between 30 minutes up to several hours as part of the viral inactivation process and the process is typically carried out at temperatures >15 °C [16, 17].

Figure 2 presents the results of the 45 °C flow experiments, where Figure 2A and 2B show the spectroscopic images and average spectra of one of the three repeat experiments with IgG in sodium citrate (pH 3.5). Just from the chemical images, a clear difference can be observed in the absorbance of the Amide I band over the different time points. This increase in absorbance is reflected in the average spectra that were extracted from the channel at each time point (Figure 2B). The Amide I and Amide II band both show a steep increase in absorbance, demonstrating the increase of protein near the surface of the IRE. Finally, Figure 2C shows the integrated Amide II absorbance for IgG in sodium citrate buffer and phosphate buffer during the T=45°C flow experiments (n=3). Unlike during the T=30°C experiments, we observe a steady increase in Amide II absorbance over time for IgG in the low pH buffer, suggesting buildup of protein near the surface of the IRE, likely due to the irreversible formation and precipitation of aggregates. For the control sample, only a small increase in Amide II absorbance is observed between room temperature and t=0 of heating, and no further increase is detected for the following time points.

13

14

15

44

45

46

47

48 49

50

51

52

View Article Online

Figure 2. Flow experiments of 10 mg/ml IgG in sodium citrate buffer (pH 3.5) versus phosphate buffer (pH 7.4) at T=45 °C. A) Chemical images of flow channel generated through Amide I band integration (1700 – 1600 cm⁻¹), with the black box indicating the area of spectral extraction and the dashed lines indicating the channel wall; B) Buffer-subtracted spectra of IgG in 0.1 M sodium citrate buffer extracted from the flow channel; C) Integrated Amide II absorbance (1580 – 1490 cm⁻¹) after buffer subtraction (n=3). Error bars indicate the standard deviation.

We had observed small changes in the normalised second derivative spectra of the Amide I band upon heating to 30 °C, specifically the broadening of the main peak towards the lower wavenumber region. Exposure to the higher temperature has a much larger effect on the structural stability of IgG (Figure 3). The main peak in the second derivative spectrum has moved from 1635 cm⁻¹ (predominantly intra-molecular beta sheets) to 1624 cm⁻¹ (inter-molecular beta sheets) [28, 30, 32]. This large shift in peak position is likely due to the unfolding of the native IgG structure, followed by formation of aggregates [13]. By plotting the normalised absorbance of the two relevant wavenumbers – 1635 cm⁻¹ representing native IgG and 1624 cm⁻¹ representing aggregated IgG – the structural change over time can be quantified (Figure 3B). For IgG in phosphate buffer at pH 7.4, the second derivative spectra showed a small shift in the main peak from 1636 cm⁻¹ to 1634 cm⁻¹, in addition to broadening of the peak to lower wavenumber region (Figure S2).

4

5

6

7

Agen Access Anticle, Published on US August 2045 Rown Order Congress Anticle, 126-20 AM L. This afficiers Research and Congress Congress Act and Congress Congress Act and Congress Con

44 45

46

47

48

49

50 51

52

53

54

59 60

°C flow experiments. A) Normalised second derivative spectra of Amide I band during T=45°C flow experiment, clearly showing a shift in peak position from 1635 cm⁻¹ (intra-molecular beta sheets) to 1624 cm⁻¹ (intermolecular beta sheets); B) Normalised absorbance of second derivative spectra at 1635 cm⁻¹ and 1624 cm⁻¹ over time (n=3). Note that the values plotted in this figure were obtained by flipping the second derivate spectra of Figure 3A around the x-axis and offsetting the spectra by a value of 2. Error bars indicate the standard deviation.

Based on these results, it can be concluded that IgG in sodium citrate buffer (pH 3.5) is much more prone to aggregation than IgG in phosphate buffer (pH 7.4), which is in line with the previous studies [13, 18]. The deleterious effects of the low pH environment on IgG are magnified during heating for 30 minutes, and this gives an indication of what might happen upon a several hour low pH hold following protein A chromatography. The results presented here suggest that the melting temperature of IgG in the low pH environment has significantly dropped compared to IgG in the neutral pH environment. IgG in phosphate buffer at pH 7.4 shows minimal signs of aggregation when heated to 45 °C during the flow experiments, while IgG in the elution buffer at pH 3.5 undergoes significant structural changes and precipitates at the channel surface. This is in agreement with a previous study by Ejima et al. which investigated the melting temperature of a humanised IgG4 mAb under acidic conditions using differential scanning calorimetry (DSC). In this case the native IgG4 sample in phosphate buffer (pH 6.0) exhibited a major endothermic peak at 78 °C and a minor peak at 67°C, however, these peaks shifted to 58°C and 35°C, respectively, for the same protein in low pH elution buffer (pH 3.5) [21]. Furthermore, Jin et al. measured the melting temperature of an IgG4-N1 mAb at a range of pH values (pH 3.3 - pH 9.0) using differential scanning fluorimetry (DSF) and found that the protein's melting temperature dropped from 67°C at pH 7.0 to 39°C at pH 3.6 [16]. Additional data using a ZnSe IRE obtained from our lab, shows that 10 mg/ml IgG in phosphate buffer (pH 7.4) only starts aggregating from temperatures > 60°C (Figure S3), comparable to results obtained using a DLS temperature ramp study and reported melting temperatures of IgG mAbs in literature [32-34].

B. IgG directly eluted from column

15ຊື

Shedon (35 5425-54) AML Shedon (35 5435-54) AML Hich Self inder & Creaive Commons, Kirrbuiton 50 6 moored Lice Licenself and a Creaive Commons, Kirrbuiton 50 6 moored Lice

The results described in section A provided sufficient confidence in the applicability and View Article Online reproducibility of ATR-FTIR spectroscopic imaging to study IgG subsequently after eluting from the protein A column. However, the IgG samples studied in section A were prepared through overnight dialysis of previously purified IgG into low pH elution buffer, followed by centrifugation to reach a concentration of 10 mg/ml. This does not fully represent the conditions of the low pH elution during protein A chromatography and subsequent low pH hold of a typical bioprocess. Therefore, we also studied IgG samples directly eluted from the protein A column, as this more closely resembles the conditions the protein would be found in during bioprocessing.

Three individual purifications were completed to obtain three IgG samples, each with different concentrations after fraction collection (i.e. 13.6 mg/ml, 19.5 mg/ml and 22.2 mg/ml). The range of concentrations was a result of the starting concentration of IgG in the supernatant, as each of the supernatant samples was produced from a different fed-batch cell culture. Figure S4 presents the IgG concentration and pH of each of the collected fractions of purifications 1 - 3. In order to obtain sufficient material for the flow experiments, three $300 \, \mu l$ fractions were selected and combined after elution was completed. This selection was based on the pH and concentration (i.e. highest combined concentration possible where solution pH of each fraction reached pH 3.5).

Flow experiments were conducted at 30 °C and 45 °C for each of the IgG samples, starting with the lower temperature (i.e. half of the protein sample was used for the 30 °C flow experiment, while the other half was kept on ice until the start of the 45 °C flow experiment, roughly 3 hours later). The same trends were found for these samples as those observed for the IgG samples prepared through dialysis (Figure 4). That is, (i) the Amide II absorbance increases slightly between the RT measurement and the first time point of heating at 30°C; (ii) the Amide II absorbance remains stable between timepoint t=0 and t=30 minutes of heating to 30°C; and (iii) small changes in the second derivative of the Amide I band can be observed, indicating changes in secondary structure of at least part of the IgG population near the IRE upon heating. The three different IgG concentrations behaved similarly, as can be seen in Figure 4 and Figure S5. As expected, the Amide II absorbance of the 13.6 mg/ml sample resulting from purification 1 is measurably smaller than that of the 19.5 mg/ml and 22.2 mg/ml samples resulting from purification 2 and 3, respectively (Figure 4A).

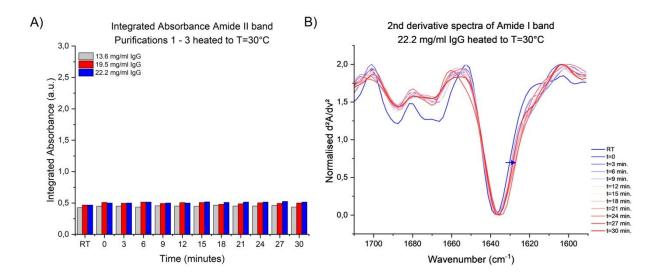


Figure 4. Results of T=30 °C flow experiments with IgG in 0.1 M sodium citrate (pH 3.5) after elution from the protein A column. A) Integrated Amide II absorbance (1580 -1490 cm⁻¹) after buffer

Analyst Accepted Manuscrip

58

59 60

1 2 3

subtraction for samples from purification 1 - 3; B) Second derivative spectra of IgG sample obtained article Online from purification 3 (22.2 mg/ml) at room temperature (RT) and after t=0 and t=30 minutes of heating to T=30 $^{\circ}$ C.

Following the 30 °C flow experiment, the remainder of the IgG sample, which was stored on ice while the first flow experiment proceeded, was used for the 45 °C flow experiment. As before, the results are in line with those obtained from the protein samples prepared through dialysis. Figure 5A presents the increase in Amide II absorbance upon heating to 45 °C for all three concentrations. Interestingly, the 19.5 mg/ml sample (purification 2) seems to aggregate at a higher rate than the 22.2 mg/ml, based on the slope of the Amide II absorbance increase over time. The 13.6 mg/ml sample (purification 1) and the 22.2 mg/ml sample (purification 3), although differing substantially in concentration, result in a comparable slope (i.e. integrated Amide II absorbance over time). This suggests that a higher IgG concentration does not necessarily result in a higher rate of aggregation – on the contrary, these results indicate that there must be (an)other factor(s) at play. One possible explanation for this difference can be found based on the SEC traces of the pooled leftover sample of purification 2 and 3 (Figure S6). These SEC samples consisted of all the collected fractions that were not used for flow experiments, pooled and combined with TRIS buffer (pH 9.0). The SEC step was performed the day after protein A purification and the associated flow experiments. Figure S6 clearly shows an aggregation peak for the SEC trace of purification 2, however no such peak is present in the SEC trace of purification 3. This could be an indication that the sample of purification 2 that was used for the flow experiments (19.5 mg/ml sample) may have contained a small amount of aggregates, leading to its higher Amide II absorbance increase over time compared to the sample of purification 3 (22.2 mg/ml sample). Although it is unclear why purification 2 contained a small amount of aggregates after protein A chromatography, this variability in IgG sample was reflected in the results of the heated flow experiments, demonstrating the method's applicability to protein stability testing.

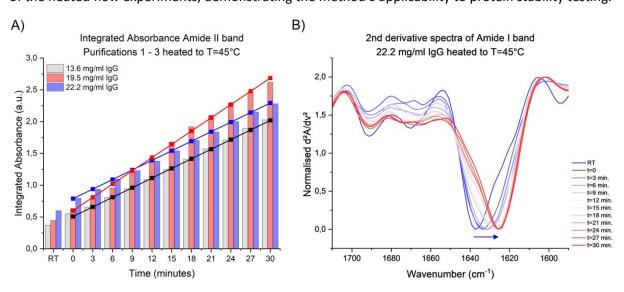


Figure 5. Results of T=45 °C flow experiments with IgG in 0.1 M sodium citrate (pH 3.5) after elution from the protein A column. A) Integrated Amide II absorbance (1580 -1490 cm⁻¹) after buffer subtraction for samples from purification 1 - 3; B) Second derivative spectra of IgG sample obtained from purification 3 (22.2 mg/ml) at room temperature (RT) and after t=0 to t=30 minutes of heating to T=45 °C.

59 60 View Article Online DOI: 10.1039/D5AN00664C

Another observation is the change in the second derivative spectrum of IgG measured at room temperature prior to the T=30 °C and T=45 °C flow experiments. There is a change in the lower wavenumber region between the first RT measurement and the second RT measurement about 3 hours later, suggesting a change in secondary structure of the protein. This phenomenon was consistent between the three purifications, although more profound in the higher concentration samples (Figure S7). In a simple control experiment, we prepared 10 mg/ml and 20 mg/ml IgG in sodium citrate (pH 3.5) through dialysis and measured the absorption spectrum every hour for a period of 8 hours while keeping the sample on ice. For the t=0 measurement the Amide I band shows a main peak at 1637 cm⁻¹, representing predominantly native IgG structure, and for the timepoints thereafter, no significant spectral changes are observed (Figure S8). These results suggest that IgG in the low pH elution buffer can be stored on ice for at least up to 8 hours without undergoing secondary structural changes, which is in agreement with studies previously reported [13, 21]. Since these control samples were prepared through dialysis, they may not behave the same as the samples prepared in purifications 1-3, which were collected directly from the column. However, the change in secondary structure between the two room temperature measurements is likely not solely due to the storage on ice. One other possible factor that may have played a role is the cleaning and reuse of the PDMS channel between the two flow experiments.

Nonetheless, the structural changes observed in the second derivative spectra seem to have a limited effect on the degree of aggregation. This can be concluded from the comparison of the Amide II integrated absorbance graphs of the dialysis-prepared samples versus the samples obtained directly after elution (Figure 6B). From the changed secondary structure of IgG at room temperature, one would expect a relatively high aggregation rate for protein heated to 45°C. However, the dialysis-prepared samples of 10 mg/ml IgG, which show a mostly native secondary structure profile at room temperature, exhibit a significantly higher aggregation rate. The most probable explanation for the relatively low stability of these samples is the preparation method: (i) overnight dialysis includes prolonged exposure to acidic conditions, as well as exposure to the dialysis membrane; (ii) concentration through multiple rounds of centrifugation. Interestingly, when comparing the normalised structural change over time between the two sample preparation methods, we observe a highly similar profile (Figure 3B and Figure 6A).

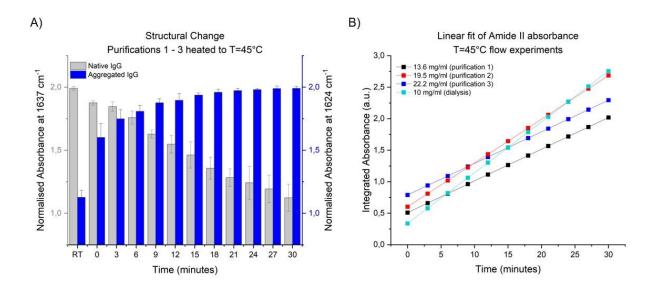


Figure 6. Structural change based on Amide I band. A) Normalised absorbance of second derivative Article Online spectra at 1637 cm $^{-1}$ and 1624 cm $^{-1}$ over time (purifications 1-3). Error bars indicate the standard deviation; B) Comparison of linear fits of Amide II absorbance over time of IgG prepared through dialysis (cyan) versus IgG obtained directly after protein A chromatography (black, red, blue).

CONCLUSION

ATR-FTIR spectroscopic imaging in combination with microfluidics has proved to be a useful method for the study of protein solutions [28, 35], and was employed in this study to assess the structural stability of IgG in low pH elution buffer. The results presented here confirm that IgG in low pH elution buffer (pH 3.5) is much less stable than IgG in phosphate buffer (pH 7.4), and this effect is exacerbated by exposure to elevated temperatures.

IgG samples in elution buffer were prepared through (i) dialysis, and (ii) elution directly from the protein A column. The overall trend found for both samples was similar, with only small structural changes detected during 30 °C heating and rapid aggregate formation during 45 °C heating. Interestingly, the samples obtained directly after elution seemed more stable, based on the slower increase in Amide II absorbance over time for all three concentrations tested, compared to the 10 mg/ml IgG sample prepared through dialysis.

Previous work by our group has demonstrated the potential of using ATR-FTIR spectroscopic imaging as an in-situ measurement technique during protein A chromatography, with a focus on protein A resin fouling and the effect of cleaning-in-place on the column [12, 36, 37]. In this work, we focused on the stability of the IgG eluate obtained after protein A chromatography. The fabrication of a microfluidic channel for the Golden Gate accessory allowed for measurement of IgG formulations at low pH under flow, while heating at the same time. This setup can easily be adapted to cater to the study of various conditions that may be of interest to formulation scientists, as well as provide an inline measurement technique in conjunction with other bioprocessing operations, not limited to protein A chromatography. Future work may be focused on the development of more sophisticated channel designs, including multiple channels, and making in-line measurements possible.

DATA AVAILABILITY

Data for this article, including excel files containing processed spectra, absorbance data and purification data are available at figshare at 10.6084/m9.figshare.29370368.

ACKNOWLEDGEMENTS

CvH was funded by the Marit Mohn PhD studentship at the Department of Chemical Engineering.

REFERENCES

- 1. Walsh, G. and E. Walsh, *Biopharmaceutical benchmarks 2022.* Nature Biotechnology, 2022. **40**(12): p. 1722-1760.
- 2. Lu, R.M., Y.C. Hwang, et al., *Development of therapeutic antibodies for the treatment of diseases*. Journal of Biomedical Science, 2020. **27**(1).

54

55

56

57

58 59 60

- 3. Tiernan, H., B. Byrne, and S.G. Kazarian, *ATR-FTIR spectroscopy and spectroscopic imaging for its and Society and Spectroscopy and spectroscopic imaging for its analysis of biopharmaceuticals.* Spectrochimica Acta Part a-Molecular and Biomolecular Spectroscopy, 2020. **241**.
- 4. Kelley, B., The history and potential future of monoclonal antibody therapeutics development and manufacturing in four eras. Mabs, 2024. **16**(1).
- 5. Sheehan, K., H. Jeon, et al., *Antibody Aggregation: A Problem Within the Biopharmaceutical Industry and Its Role in AL Amyloidosis Disease*. Protein Journal, 2025. **44**(1): p. 1-20.
- 6. Paul, A.J., K. Schwab, and F. Hesse, *Direct analysis of mAb aggregates in mammalian cell culture supernatant*. Bmc Biotechnology, 2014. **14**.
- 7. Das, T.K., D.K. Chou, et al., *Nucleation in Protein Aggregation in Biotherapeutic Development:* A look into the Heart of the Event. Journal of Pharmaceutical Sciences, 2022. **111**(4): p. 951-959.
- 8. Krause, M.E. and E. Sahin, *Chemical and physical instabilities in manufacturing and storage of therapeutic proteins.* Current Opinion in Biotechnology, 2019. **60**: p. 159-167.
- 9. Shah, M., Commentary: New perspectives on protein aggregation during Biopharmaceutical development. International Journal of Pharmaceutics, 2018. **552**(1-2): p. 1-6.
- 10. Mazzer, A.R., X. Perraud, et al., *Protein A chromatography increases monoclonal antibody aggregation rate during subsequent low pH virus inactivation hold.* Journal of Chromatography A, 2015. **1415**: p. 83-90.
- 11. Shukla, A.A., P. Gupta, and X. Han, *Protein aggregation kinetics during Protein A chromatography Case study for an Fc fusion protein.* Journal of Chromatography A, 2007. **1171**(1-2): p. 22-28.
- 12. Beattie, J.W., R.C. Rowland-Jones, et al., *Insight into purification of monoclonal antibodies in industrial columns studies of Protein A binding capacity by ATR-FTIR spectroscopy.* Analyst, 2021. **146**(16): p. 5177-5185.
- 13. Singla, A., R. Bansal, et al., *Aggregation Kinetics for IgG1-Based Monoclonal Antibody Therapeutics*. Aaps Journal, 2016. **18**(3): p. 689-702.
- 14. Beattie, J.W., A. Istrate, et al., *Causes of Industrial Protein A Column Degradation, Explored Using Raman Spectroscopy.* Analytical Chemistry, 2022. **94**(45): p. 15703-15710.
- 15. Gagnon, P., R. Nian, et al., *Transient conformational modification of immunoglobulin G during purification by protein A affinity chromatography.* Journal of Chromatography A, 2015. **1395**: p. 136-142.
- 16. Jin, W.X., Z.Z. Xing, et al., *Protein aggregation and mitigation strategy in low pH viral inactivation for monoclonal antibody purification*. Mabs, 2019. **11**(8): p. 1479-1491.
- 17. Wälchli, R., M. Ressurreiçao, et al., *Understanding mAb aggregation during low pH viral inactivation and subsequent neutralization*. Biotechnology and Bioengineering, 2020. **117**(3): p. 687-700.
- 18. Chi, E.Y., S. Krishnan, et al., *Physical stability of proteins in aqueous solution: Mechanism and driving forces in nonnative protein aggregation.* Pharmaceutical Research, 2003. **20**(9): p. 1325-1336.
- 19. Bickel, F., E.M. Herold, et al., *Reversible NaCl-induced aggregation of a monoclonal antibody at low pH: Characterization of aggregates and factors affecting aggregation.* European Journal of Pharmaceutics and Biopharmaceutics, 2016. **107**: p. 310-320.
- 20. Arosio, P., S. Rima, and M. Morbidelli, *Aggregation Mechanism of an IgG2 and two IgG1 Monoclonal Antibodies at low pH: From Oligomers to Larger Aggregates.* Pharmaceutical Research, 2013. **30**(3): p. 641-654.
- 21. Ejima, D., K. Tsumoto, et al., *Effects of acid exposure on the conformation, stability, and aggregation of monoclonal antibodies.* Proteins-Structure Function and Bioinformatics, 2007. **66**(4): p. 954-962.

2

3

4

5

6

7

8

9

10 11

- Fukada, H., K. Tsumoto, et al., Long-Term Stability and Reversible Thermal Unfolding of View Article Online Online
- 23. Yang, H.Y., S.N. Yang, et al., *Obtaining information about protein secondary structures in aqueous solution using Fourier transform IR spectroscopy.* Nature Protocols, 2015. **10**(3).
- 24. Greenfield, N.J., *Using circular dichroism spectra to estimate protein secondary structure.* Nature Protocols, 2006. **1**(6): p. 2876-2890.
- 25. Stetefeld, J., S.A. McKenna, and T.R. Patel, *Dynamic light scattering: a practical guide and applications in biomedical sciences*. Biophys Rev, 2016. **8**(4): p. 409-427.
- 26. Burgess, R.R., *A brief practical review of size exclusion chromatography: Rules of thumb, limitations, and troubleshooting.* Protein Expression and Purification, 2018. **150**: p. 81-85.
- 27. Tiernan, H., B. Byrne, and S.G. Kazarian, *Insight into Heterogeneous Distribution of Protein Aggregates at the Surface Layer Using Attenuated Total Reflection-Fourier Transform Infrared Spectroscopic Imaging*. Analytical Chemistry, 2020. **92**(7): p. 4760-4764.
- 28. van Haaren, C., B. Byrne, and S.G. Kazarian, *Study of Monoclonal Antibody Aggregation at the Air-Liquid Interface under Flow by ATR-FTIR Spectroscopic Imaging*. Langmuir, 2024. **40**(11): p. 5858-5868.
- 29. Possenti, E., C. Colombo, et al., *Time-Resolved ATR-FTIR Spectroscopy and Macro ATR-FTIR Spectroscopic Imaging of Inorganic Treatments for Stone Conservation*. Analytical Chemistry, 2021. **93**(44): p. 14635-14642.
- 30. Matheus, S., W. Friess, and H.C. Mahler, *FTIR and nDSC as analytical tools for high-concentration protein formulations.* Pharmaceutical Research, 2006. **23**(6): p. 1350-1363.
- 31. van de Weert, M., P.I. Haris, et al., Fourier transform infrared spectrometric analysis of protein conformation: Effect of sampling method and stress factors. Analytical Biochemistry, 2001. **297**(2): p. 160-169.
- 32. Baird, G., C. Farrell, et al., FTIR Spectroscopy Detects Intermolecular β-Sheet Formation Above the High Temperature Tm for Two Monoclonal Antibodies. Protein Journal, 2020. **39**(4): p. 318-327.
- 33. Ito, T. and K. Tsumoto, *Effects of subclass change on the structural stability of chimeric, humanized, and human antibodies under thermal stress.* Protein Science, 2013. **22**(11): p. 1542-1551
- 34. Koepf, E., R. Schroeder, et al., *The film tells the story: Physical-chemical characteristics of IgG at the liquid-air interface*. European Journal of Pharmaceutics and Biopharmaceutics, 2017. **119**: p. 396-407.
- 35. Tiernan, H., B. Byrne, and S.G. Kazarian, *ATR-FTIR spectroscopy and spectroscopic imaging to investigate the behaviour of proteins subjected to freeze-thaw cycles in droplets, wells, and under flow.* Analyst, 2021. **146**(9): p. 2902-2909.
- 36. Boulet-Audet, M., B. Byrne, and S.G. Kazarian, *Cleaning-in-place of immunoaffinity resins monitored by in situ ATR-FTIR spectroscopy.* Analytical and Bioanalytical Chemistry, 2015. **407**(23): p. 7111-7122.
- 37. Boulet-Audet, M., S.G. Kazarian, and B. Byrne, *In-column ATR-FTIR spectroscopy to monitor affinity chromatography purification of monoclonal antibodies*. Scientific Reports, 2016. **6**.

Opera A cosses, Article, Published and Solusus 12045, Provide April 64, 2018, 5135-50 AM 1

View Article Online DOI: 10.1039/D5AN00664C

Data for this article, including excel files containing processed spectra, absorbance data and purification data are available at figshare at 10.6084/m9.figshare.29370368.