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# Reaction intermediates recognized by *in situ* FTIR spectroscopy in CO<sub>2</sub> hydrogenation over the Cu/ZnO/SPP-zeolite catalyst†

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Cu/ZnO nanoparticles embedded in zeolites possess smaller particle sizes than those in the conventional Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst. Therefore, they exhibit a distinctive manner of interaction with the reactants in the catalytic hydrogenation of CO<sub>2</sub> to methanol. The present paper uses *in situ* FTIR spectroscopy to recognize the introduction and removal of various carbonates, carbonyl, formates and water species adsorbed on the surface of a Cu/ZnO/SPP-zeolite catalyst in reactive flows. Together with other characterization results, such as *quasi-in situ* XPS, it was revealed that the Cu surfaces have an uneven electronic distribution and that constant carbonate coverage, low water adsorption, and fast consumption of carbonyls and formates are associated with the high conversion frequency of CO<sub>2</sub> over the Cu/ZnO/zeolite material.

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## Introduction

The catalytic hydrogenation of CO<sub>2</sub> to methanol and other chemicals is under intensive study with respect to the utilization of captured CO<sub>2</sub> (CCUS) and the storage of volatile renewable energies in liquids.<sup>1</sup> An industrial project has been in operation in Iceland since 2002 at 2000 MT per an to convert CO<sub>2</sub> to methanol using a catalyst with Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> composition and has successfully demonstrated the importance of the process in reducing the carbon footprint per unit of energy production.<sup>2</sup> However, the technology is not yet competitive against the conventional syngas-to-methanol process due to several reasons. Firstly, the CO<sub>2</sub> conversion and CH<sub>3</sub>OH yields are thermodynamically restricted when CO<sub>2</sub> becomes the main carbon feed instead of CO. Secondly, equimolar H<sub>2</sub>O is produced per CO<sub>2</sub> conversion, which accelerates metal sintering and shortens the catalyst's lifetime. Therefore, new catalysts with high activity and high sintering resistance are still desired to

achieve higher space–time yields of methanol and to extend the catalyst lifetime.<sup>3,4</sup> Modifications of Cu-based catalysts, including the addition of promoters, changes in the preparation processes and the replacement of Al<sub>2</sub>O<sub>3</sub> supports as well as explorations of catalysts of new compositions, are being investigated.<sup>5–7</sup> This work presents a study of a composite material with Cu/ZnO nanoparticles entrapped in the mesopores of the self-pillared pentasil (SPP) zeolite, which shows an improved catalytic activity.

Reducing the particle size is generally an effective way of improving the activity of metal catalysts.<sup>8,9</sup> Moreover, zeolites are a type of microporous crystalline material with typical pore diameters of 0.4–1.0 nm, which are used as supports to confine and stabilize ultra-small metal particles.<sup>8,10</sup> Metal species are loaded into the micropores of zeolites through diverse preparative routes, such as ion-exchange, chemical deposition, and impregnation.<sup>11–13</sup> Metal particles larger than 1 nm can be embedded in zeolite crystals with hierarchical micropore and mesopore systems.<sup>14</sup> For example, Hu *et al.* used a Cu/Zn binary metal–organic framework material as a precursor and grew Na-ZSM-5 zeolite around it.<sup>15</sup> After calcination in air, the MOF precursor was converted to about 2 nm Cu/ZnO particles enclosed in the zeolite crystals. The material exhibited a significantly higher methanol space–time-yield than that of the Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst. Ding *et al.* prepared 2–5 nm Cu particles in Na-beta zeolite through another procedure.<sup>16</sup> The mesopores of a Na-beta zeolite with a hierarchical porous system were impregnated with a Cu salt precursor. Then, a microporous protective shell was grown on the crystal surfaces. After calcination and reduction, the metal nanoparticles remain immobilized in the crystals. In the

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catalytic test, the desorption of C1 intermediates out of the zeolite was hindered, and the subsequent reactions forming ethanol were promoted, obtaining significant yields.

In a recent study, ultra-fine Cu particles of 1–2 nm size were entrapped in the grain boundaries of a silicalite-1 zeolite,<sup>17</sup> which has a morphology of *ca.* 100 nm spheres made of ~20 nm grains.<sup>17,18</sup> *In situ* FTIR spectroscopy was useful to monitor the generations and transformations of the reaction intermediates.

Adsorbed carbonyls, carbonates, formates and formic species were observed, implying that CO<sub>2</sub> was converted on the ultra-fine Cu particles through both the formate pathway and reversed water-gas-shift pathway, similar to that of the conventional Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst,<sup>19,20</sup> which typically have Cu particles sizes in the range of 10–20 nm.<sup>21</sup> However, the formate intermediates were converted faster than carbonyl intermediates over the smaller Cu particles, indicating that the formate pathway was preferential for this catalyst and improved the methanol selectivity.

Through the addition of ZnO, the zeolite-supported smaller Cu particles can be further optimized. The role of ZnO in the Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst has been extensively investigated. It is known that ZnO serves as an electronic modifier,<sup>20,22–24</sup> *i.e.*, the oxide plays multiple roles to fine-tune the surface charges of Cu particles, acting as a bulky support and overlayers and with a small amount of Zn atoms alloyed into the Cu particles. These species cause electronic deficiency on Cu surfaces, which enhances the adsorption of the reactants.

SPP zeolite has been chosen as the support to accommodate the Cu/ZnO composite particles in the present study. SPP zeolite is available as ellipsoidal particles in sizes of several hundred nanometers. The particles have a “house of cards” structure built up of ~2 nm thick nanosheets of the MFI-type zeolite. The nanosheets penetrate each other perpendicularly, forming chambers with 2–8 nm edges.<sup>25–27</sup> There are several works demonstrating the role of the SPP zeolite as catalyst compositions or catalyst supports. Sn- and Sn/B-containing SPP zeolites were studied as catalysts for the conversions of sugar molecules.<sup>28,29</sup> Phosphorus-loaded SPP was active in the tetrahydrofuran conversion to butadiene.<sup>30</sup> As a support for metal catalysts, SPP zeolite was found to stabilize sub-nanometer Rh and Rh–Ru clusters and was tested in the hydrogenation of various chemicals by ammonia borane.<sup>31</sup> Herein, we used the pure-silica version of SPP to eliminate the contribution of acid sites and keep the focus of the study on the Cu/ZnO species. Over a Cu/ZnO-SPP-zeolite composite, the formation and evolution of the adsorbed intermediates were followed using *in situ* FTIR spectroscopy. Also, *quasi-in situ* XPS was used to confirm the surface electronic deficiency of Cu particles.

## Experimental

### Materials

SPP zeolite was synthesized according a procedure adapted from ref. 25 Cu and Zn were loaded on the calcined SPP

zeolite through incipient wetness impregnation using aqueous solutions of Cu(NO<sub>3</sub>)<sub>2</sub>·3H<sub>2</sub>O and Zn(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O at Cu:Zn = 7:3 (molar ratio). Ethylenediamine (3.0 mol eq. referring to the total amount of the metals) was added in the impregnation solutions as an agent to aid the metal dispersions. The metal loadings were 25.56 wt% CuO and 11.21 wt% ZnO, calculated on the oxide bases (CuZn-SPP-E in Table 1). A reference material CuZn-SPP was prepared through the same procedure but without ethylenediamine in the impregnation solution. Another reference, a Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst of the composition (6.3/2.7/1.0 wt), was prepared using the conventional co-precipitation method (Table 1). The synthetic procedure and basic properties of the pure-silica SPP zeolite are elaborated in the ESI† Section 1, Fig. S1 and S2.

### Characterization

Powder X-ray diffraction was performed on a Rigaku SmartLab diffractometer using Cu K $\alpha$  radiation at 40 kV and 150 mA operating in the continuous scan mode in theta-theta geometry at  $2\theta = 5\text{--}80^\circ$  for phase identification.

SEM images were taken on a Hitachi S-4800 scanning electron microscope equipped with a cold-field emission gun operating at 5 kV. The powder samples were dusted on carbon sheets and inspected without coating.

The TEM, STEM images, and corresponding EDS were taken on a JEOL JEM-F200 electron microscope. The samples were suspended in ethanol and dispersed onto ultrathin carbon films supported on 200 mesh Au grids.

FTIR spectra were recorded using a Bruker Vertex 70 V spectrometer at a spectral resolution of 4 cm<sup>-1</sup>. The sample chamber and the optics chamber were evacuated to <1 hPa. The *in situ* FTIR studies were performed on self-sustained pellets of a density 15 mg cm<sup>-2</sup>, which were enclosed in a gas-tight and heated cell with CaF<sub>2</sub> windows. For probing the surfaces with CO<sub>2</sub> or the mixture of CO<sub>2</sub> and H<sub>2</sub>, the sample was reduced at 400 °C in a 4% H<sub>2</sub>/Ar flow at first. After cooling down to 150 °C, the background spectra were recorded. Then, a flow of CO<sub>2</sub> or CO<sub>2</sub>/3H<sub>2</sub> was introduced into the cell for 30 min at 30 mL min<sup>-1</sup>, along with spectral recording in 1 min intervals.

*Quasi-in situ* XPS (X-ray Photoelectron Spectroscopy) experiments were carried out on an ESCALAB 250Xi spectrometer (Thermo Fisher Scientific) equipped with a monochromatic Al K $\alpha$  radiation source ( $E = 1486.6$  eV). A heated chamber with gas inlets/outlets was used for the activation treatments. Samples were treated in 10% H<sub>2</sub>/Ar

**Table 1** The catalyst CuZn-SPP-E and two reference materials

| Samples                               | CuO mean size by TEM (nm) | CuO (wt%) | ZnO (wt%) | Cu dispersion by TPR (%) |
|---------------------------------------|---------------------------|-----------|-----------|--------------------------|
| CuZn-SPP-E                            | 4.5                       | 25.6      | 11.2      | 24.0                     |
| CuZn-SPP                              | 26.5                      | 18.3      | 8.1       | 7.1                      |
| Cu/ZnO/Al <sub>2</sub> O <sub>3</sub> | —                         | 63        | 27        | —                        |



flow at 350 °C for 2 h, then in the reactive feed flow (CO<sub>2</sub>/H<sub>2</sub> = 1/3) for 2 h at different temperatures between 180 and 280 °C. Afterwards, the chamber was evacuated and the sample was analyzed.

N<sub>2</sub> adsorption and desorption data were collected on a Quantachrome Autosorb iQ3 at 79 K. Samples were pretreated under vacuum at 350 °C for 10 h.

H<sub>2</sub>-TPR (temperature-programmed reduction by H<sub>2</sub>) experiments were carried out in a flow equipment with a thermal conductivity detector. 100 mg samples were pretreated in Ar at 350 °C for 2 h and cooled down to room temperature. The temperature was ramped at 10 °C min<sup>-1</sup> to 800 °C in 5% H<sub>2</sub>/Ar flow.

To carry out N<sub>2</sub>O titration, 100 mg reduced sample was exposed to 20% N<sub>2</sub>O/Ar at 60 °C for 1 h. After Ar purging, the surface-oxidized sample was tested with H<sub>2</sub>-TPR again. The dispersion rate, defined as the portion of surface Cu atoms against the total atoms in the particle, was calculated as

$$D_{\text{Cu}}(\text{H}_2 \text{ TPR}) = \frac{10^{-6} \times n_{\text{H}_2 \text{ Consumption}} \times 5 \times M_{\text{Cu}}}{\omega_{\text{Cu}}}$$

where  $M_{\text{Cu}}$  is the molar mass of copper (63.55 g mol<sup>-1</sup>) and  $\omega_{\text{Cu}}$  is the Cu content determined by ICP-MS.

### Catalytic test

Catalytic tests were carried out using a fixed-bed plug-flow microreactor with  $\Phi = 0.4$  mm and  $L = 50$  mm ( $V_{\text{bed}} = 0.6$  mL with 0.1 g catalyst diluted in quartz, grain sizes 60–100 mesh). The feed was CO<sub>2</sub>/H<sub>2</sub> = 1 : 3 (3 MPa) at 30 mL min<sup>-1</sup>, GHSV = 3000 h<sup>-1</sup>, or WHSV<sub>CO<sub>2</sub></sub> = 9.3 g h<sup>-1</sup> g<sub>cat</sub><sup>-1</sup>. The activity was tested in the range of 180–300 °C for 3–5 hours at each temperature with 20 °C intervals. The outlet gas composition was analyzed by an online gas chromatograph (GC) equipped with an HP Plot/Q column connected to a thermal conductivity detector (TCD).

CO<sub>2</sub> conversion, methanol yield, selectivity, and space time yield of methanol were calculated on carbon bases using the equations below, where  $N$  is the mol fraction of the respective component in the reaction gas flow and  $M$  is the mol mass in [g mol<sup>-1</sup>]. STY in the dimension [g h<sup>-1</sup> g<sub>cat</sub><sup>-1</sup>] was calculated using  $d_{\text{cat}/\text{bed}} = 0.1$  g/0.6 mL. The turn-over frequency of CO<sub>2</sub> and CH<sub>3</sub>OH formation rate were normalized to time and Cu weight.

$$X_{\text{CO}_2} = \frac{N_{\text{CH}_3\text{OH}} + N_{\text{CO}}}{N_{\text{CO}_2} + N_{\text{CH}_3\text{OH}} + N_{\text{CO}}} \times 100\%$$

$$Y_{\text{CH}_3\text{OH}} = \frac{N_{\text{CH}_3\text{OH}}}{N_{\text{CO}_2} + N_{\text{CH}_3\text{OH}} + N_{\text{CO}}} \times 100\%$$

$$S_{\text{CH}_3\text{OH}} = \frac{N_{\text{CH}_3\text{OH}}}{N_{\text{CH}_3\text{OH}} + N_{\text{CO}}} \times 100\%$$

$$\text{STY}_{\text{CH}_3\text{OH}} = \text{GHSV} \times \frac{0.25}{1000 \times 22.4} \times Y_{\text{CH}_3\text{OH}} \times \frac{M_{\text{CH}_3\text{OH}}}{d_{\text{cat}/\text{bed}}}$$

## Results and discussion

### Basic properties of the catalyst

The catalyst under study is a SPP zeolite loaded with Cu and Zn components. Fig. 1 shows the characterization of the catalyst CuZn-SPP-E prepared by impregnating metal nitrite precursors with the aid of ethylenediamine as a dispersion agent,<sup>32</sup> in comparison with the reference material CuZn-SPP, prepared using the same precursors but without the dispersing agent. The metal loading amounts achieved 25.6 and 11.2 wt% for CuO and ZnO, respectively, in CuZn-SPP-E (Table 1). Ethylenediamine played a role in regulating the slow releases of metal ions during the preparation process, aiming at a uniform deposition of smaller metal oxide particles throughout the zeolite. In XRD, only weak and broad diffraction peaks for CuO and none were observed for ZnO were observed. Further, SEM observed that CuZn-SPP-E had the same morphology as the starting SPP zeolite, *i.e.*, ellipsoidal particles with the long axis of about 100 nm, and the particles were made of intersecting sheets of *ca.* 2 nm thicknesses. The particles had clean surfaces, without observable foreign particles attached. The TEM images show 2–8 nm metal oxide particles randomly across the entire SPP zeolite particles. The N<sub>2</sub> adsorption isotherm shows that the metal oxide particles occupied a portion of the mesopores and partially blocked the access to the micropores (Table 2). H<sub>2</sub>-TPR and N<sub>2</sub>O–H<sub>2</sub> titration experiments could determine a

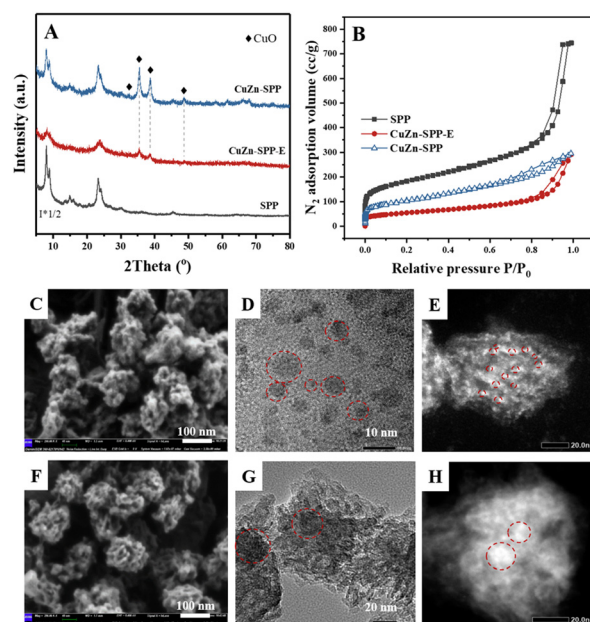


Fig. 1 Physical properties of CuZn-SPP-E, compared with CuZn-SPP: (A) powder XRD patterns, (B) N<sub>2</sub> adsorption isotherms; SEM images (C for CuZn-SPP-E and F for CuZn-SPP); TEM images in the bright and dark fields (D and E for CuZn-SPP-E, G and H for CuZn-SPP).



**Table 2** Surface areas and pore volumes of the SPP zeolite and the SPP-supported CuO/ZnO catalysts

| Materials  | BET surface area (m <sup>2</sup> g <sup>-1</sup> ) | Micropore area ( <i>t</i> -plot) (m <sup>2</sup> g <sup>-1</sup> ) | Mesopore area ( <i>t</i> -plot) (m <sup>2</sup> g <sup>-1</sup> ) | Micropore volume ( <i>t</i> -plot) (cm <sup>3</sup> g <sup>-1</sup> ) | Mesopore volume ( <i>t</i> -plot) (cm <sup>3</sup> g <sup>-1</sup> ) |
|------------|--|--|---|---|--|
| SPP        | 581.1  | 106.6  | 474.5   | 0.075   | 0.945  |
| CuZn-SPP-E | 190.7  | 24.7   | 166   | 0.013   | 0.383  |
| CuZn-SPP   | 336.2  | 50.7   | 315.5   | 0.024   | 0.326  |

value of Cu dispersion of 24.0%, defined as the portion of surface Cu atoms in the entire Cu particles in the reduced state.

Without ethylenediamine, less uniform deposition of metal particles with broad size distribution was obtained. In the reference CuZn-SPP material, a separation of the metal particles entrapped in the zeolite and those remaining at the external surface of the zeolite was observed at lower loadings, 18.3 wt% for CuO and 8.1 wt% for ZnO. XRD showed sharper and higher diffraction peaks for CuO. The SEM images show the crystallites attached to the external surfaces of the SPP zeolite particles.

TEM images displayed both smaller particles inside the zeolite and larger particles outside. Consistently, N<sub>2</sub> adsorption showed that less mesopore volumes were occupied, while more micropores remained accessible. The Cu dispersion rate was 7.1%.

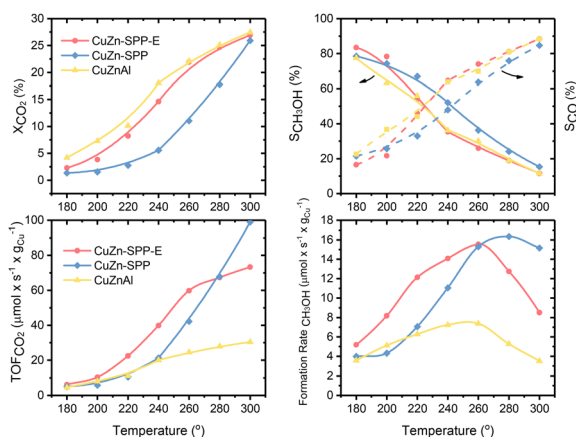
### Catalytic performance

Fig. 2 shows the steady state (3–5 hours of time-on-stream) CO<sub>2</sub> conversion, CH<sub>3</sub>OH and CO selectivity (carbon-based), CO<sub>2</sub> conversion rate and CH<sub>3</sub>OH formation rate per unit Cu for the CuZn-SPP-E material at the reaction temperatures of 180–300 °C, in comparison with CuZn-SPP and the co-precipitated Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> material of the composition (6.3/2.7/1.0 wt), which is typical for commercial catalysts.<sup>33</sup> CH<sub>3</sub>-

OH, CO and H<sub>2</sub>O were the observed products at the tested conditions. Heavier compounds such as C<sub>2</sub>H<sub>5</sub>OH and hydrocarbons were absent.

Over CuZn-SPP-E, CO<sub>2</sub> conversion started with 2.4% at 180 °C and gradually grew to 26% until 300 °C. The CuZn-SPP catalyst, *i.e.*, the material with a similar amount of metal loadings but at a lower dispersion rate, showed lower CO<sub>2</sub> conversion in the same temperature range. The larger particles on the external surfaces of the zeolite had lower activities. CuZn-SPP exhibited a sufficient activity only at higher temperatures, where the productivity of methanol is not satisfactory. Thus, the uniform distribution of smaller metal particles inside the zeolite in CuZn-SPP-E was beneficial.

Compared to Cu/ZnO/Al<sub>2</sub>O<sub>3</sub>, both SPP-supported catalysts were weak in terms of CO<sub>2</sub> conversion and CH<sub>3</sub>OH yield because of the lower loadings of Cu and Zn components. However, the performance of CuZn-SPP-E regarding the CO<sub>2</sub> conversion and CH<sub>3</sub>OH selectivity was already close to that of Cu/ZnO/Al<sub>2</sub>O<sub>3</sub>, with less than a half of the active components. When we consider the efficiency of unit Cu loadings, both CO<sub>2</sub> turnover frequency and CH<sub>3</sub>OH formation rate on unit Cu are significantly higher for the CuZn-SPP-E sample than those for Cu/ZnO/Al<sub>2</sub>O<sub>3</sub>. The SPP-supported, highly dispersed and smaller metal particles exhibited higher activity than Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> with respect to the efficiency of the Cu active component by providing a higher number of surface sites.



**Fig. 2** Catalytic performances of CuZn-SPP-E, compared with CuZn-SPP and Cu/ZnO/Al<sub>2</sub>O<sub>3</sub>: CO<sub>2</sub> conversion,  $X_{\text{CO}_2}$ ; selectivity for CH<sub>3</sub>OH,  $S_{\text{CH}_3\text{OH}}$  and for CO,  $S_{\text{CO}}$ ; turn-over-frequency of CO<sub>2</sub> per unit Cu,  $\text{TOF}_{\text{CO}_2}$ ; and the formation rate of CH<sub>3</sub>OH per unit Cu. The test conditions were feeding CO<sub>2</sub>/H<sub>2</sub> = 1/3 at  $P = 3$  MPa and  $\text{WHSV}_{\text{CO}_2} = 9.3 \text{ g h}^{-1} \text{ g}_{\text{cat}}^{-1}$ .

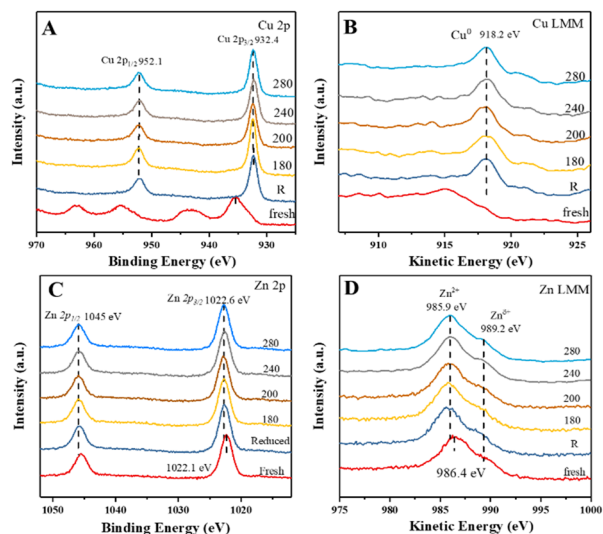
### Electron deficiency transferred from ZnO to Cu as detected by *quasi-in situ* XPS

For the Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst, it is known that ZnO interacts with the metallic Cu particles as a bulk support and as surface overlayers. Moreover, some Zn atoms alloy in the Cu particles. In both cases, Zn species causes positive electronic charges to the metallic Cu particles, enhancing the interaction with CO<sub>2</sub> by polarizing the C=O bonds.<sup>19,20,23,33,34</sup> It can be envisaged that ZnO and Zn play similar roles in the CuZn-SPP-E material. However, due to the high dispersion degrees and the SPP zeolite's unique nanostructure, our TEM inspection could not disclose more structural details of Zn species. XRD could only deduce that bulk crystalline ZnO or other Zn compounds were absent. ZnO was not supposed to be reduced in the TPR conditions. If some ZnO underwent reduction and alloyed with Cu, the small portion was also below TPR's detection ability.

Therefore, *quasi-in situ* XPS experiments were carried out to analyze the valences of Cu and Zn species under the







**Fig. 3** *Quasi-in situ* XPS spectra of CuZn-SPP-E at the calcined, reduced, and after reaction testing states at the given temperatures (180–280 °C): Cu 2p (A), Cu LMM (B), Zn 2p (C), Zn LMM (D).

reaction conditions. Fig. 3 shows the X-ray photoelectron and X-ray excited Auger electron spectra of CuZn-SPP-E for the calcined, reduced, and after reaction testing states at various temperatures. In the Cu 2p spectra of the calcined sample (Fig. 3A), besides the shift splitting of Cu 2p<sub>1/2</sub> and Cu 2p<sub>3/2</sub>, strong Cu<sup>2+</sup> satellites indicated that Cu was present as CuO. The Cu LMM curve had an Auger peak at 915.5 eV (Fig. 3B), which also corresponded to CuO. After the reduction in H<sub>2</sub>, CuO was converted completely to metallic Cu<sup>0</sup>, with the Cu 2p<sub>3/2</sub> peak at 932.4 eV, Cu 2p<sub>1/2</sub> at 952.1 eV,  $\Delta = 19.7$  eV, and the Cu LMM peak at 918.2 eV.<sup>35,36</sup> The spectra remained unchanged after the reaction tests from 180 to 280 °C. The expected electron deficiency is neither obvious for the reduced material nor for the samples that experienced the reaction conditions in the XPS spectra.

The rather large splitting of Zn 2p<sub>3/2</sub> (1022.1 eV) and Zn 2p<sub>1/2</sub> (1045.5 eV) of  $\Delta = 22.4$  eV implies that Zn was present as ZnO in the calcined CuZn-SPP-E sample (Fig. 3C). In the Zn LMM spectra, the Auger peak corresponding to Zn<sup>2+</sup> appeared at 986.4 eV (Fig. 3D), while the Zn <sup>$\delta$ +</sup> ( $\delta < 2$ ) peak at 989.2 eV also had a non-negligible intensity. Here, the O vacancies caused an electron deficiency in the ZnO species. After the reduction and the catalytic tests, the 2p spectra moved to slightly higher binding energies (1022.6 and 1046.0 eV) while the Auger binding energies became lower (985.9 eV), indicating that Zn was in a more oxidized state after the reduction and during the reaction, *i.e.*, the oxygen vacancy was partly filled by taking over the oxygen from CuO and/or electron from Cu. Also, it was plausible to deduce that the electron deficiency was transferred to the Cu particles, thus strengthening the adsorption and polarization of C=O bonds under the reaction conditions.

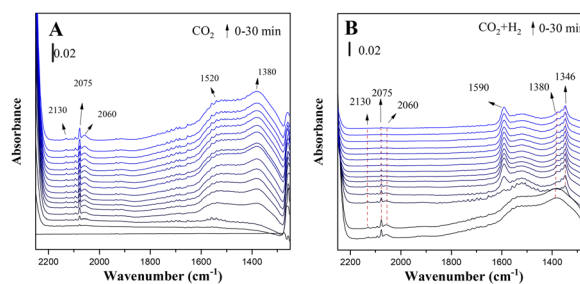
The *quasi-in situ* XPS spectra of the CuZn-SPP catalysts are provided in the ESI† in Fig. S3. Despite different spectroscopic intensities due to the different amounts of

exposed surface sites, they supported the same hypothesis that the electron deficiency at the O vacancy of ZnO transferred to Cu particles after the reduction and during the catalytic reaction.

In the XPS spectra for O 1s (Fig. S4 in the ESI†), only one shift at 532.8 eV was observed for the freshly prepared CuZn-SPP-E sample. It covers the oxygen bonds in the zeolite framework as well as the ones in CuO and ZnO.<sup>37</sup> After the exposure to H<sub>2</sub>, this peak shifted to a higher binding energy at 533.3 eV due to the reduction of CuO to Cu<sup>0</sup>. The same procedure was observed for CuZn-SPP. Red shifts of the binding energy occurred when the materials were exposed to the reaction conditions, where binding and chemisorption of oxygen-containing reaction intermediates at the Cu surfaces, especially H<sub>2</sub>O, were present. For CuZn-SPP-E, the red shift was about 0.2 eV, whereas it was 0.5 eV for CuZn-SPP. The catalyst with smaller and uniform metal particles favored smooth transitions and desorption of these intermediates. Unfortunately, no signal related to the charge exchange between Cu particles and the O vacancies in ZnO could be resolved. The contributions of the rather small amount of O vacancies to the shifts in the binding energy were insignificant.

### *In situ* FTIR-recognizable reaction intermediates

Fig. 4 shows the *in situ* FTIR spectra of the reduced CuZn-SPP-E catalysts exposed to flowing CO<sub>2</sub> and then to CO<sub>2</sub>/3H<sub>2</sub> at 150 °C. Several reaction intermediate species already appeared upon the initial adsorption of CO<sub>2</sub>. The peaks at 2075 cm<sup>-1</sup> and 2060 cm<sup>-1</sup> corresponded to the stretching vibration of carbonyl that bonded to Cu metal surfaces (Cu-C=O).<sup>24,38,39</sup> The appearance of two distinctive carbonyl bands for Cu implied that the charge distribution on the metal surfaces was not even. A weaker d- $\pi$  feedback along the bond Cu-C=O caused the band at a higher frequency and indicated electron-deficient spots.<sup>40</sup> One reason for the electron deficiency was the surface oxidation by CO<sub>2</sub>. Additional electron deficiency was caused by the transformation from the oxygen vacancy of ZnO, in accordance with *quasi-in situ* XPS. One more carbonyl peak at 2130 cm<sup>-1</sup> was due to the surface carbonyl on ZnO.<sup>24,41</sup> Further carbonyl stretching was observed at 1900 cm<sup>-1</sup>, which



**Fig. 4** *In situ* FTIR spectra of the reduced CuZn-SPP-E catalyst exposed to flowing CO<sub>2</sub> (A) and then to CO<sub>2</sub>+3H<sub>2</sub> (B) at 150 °C.



is attributed to the bridged C=O on Cu or ZnO surfaces.<sup>42,43</sup> Bands belonging to surface adsorbed carbonate were observed, which were due to the asymmetric bending vibration at 1520 cm<sup>-1</sup> and symmetric bending at 1380 cm<sup>-1</sup>.<sup>42,44,45</sup>

On co-feeding 3 mol eq. H<sub>2</sub> with CO<sub>2</sub>, both the surface-adsorbed carbonyls and carbonates were consumed rapidly. Two new bands appeared: the peak at 1590 cm<sup>-1</sup>, which was due to the O–C–O bending vibration of mono-dentate formate adsorbed on Cu surfaces, and at 1346 cm<sup>-1</sup> due to the bi-dentate formate on Cu.<sup>38,39,42,46–48</sup> The peak intensity changes approached a steady state within approximately 5 min.

Physisorbed water was built-up during the adsorption of CO<sub>2</sub>, together with the formation of surface carbonyls and formates, which is recognized by the –O–H stretching at 1630 cm<sup>-1</sup>.<sup>39,46,49</sup> The initial source of hydrogen must be the layer of chemisorbed H atoms on the reduced Cu surfaces. The overall intensities of the adsorbed water were not high and became even lower when the reactions proceeded. The reaction intermediates expelled a portion of the adsorbed water.

Fig. 5 shows the *in situ* FTIR spectra of CuZn-SPP at the same conditions. CuZn-SPP, which had larger Cu particles at the external surfaces of SPP zeolite, accumulated more bridged carbonyl and more physisorbed water when exposed to CO<sub>2</sub>. Thus, both signals stayed during the reactions. Bridged carbonyl is less reactive than the linearly adsorbed one. This explains that the material performed less efficiently, especially at lower reaction temperatures.

The *in situ* FTIR spectra of the reduced catalysts directly exposed to the reaction feeds (without the pre-adsorption of CO<sub>2</sub>) were also recorded and are illustrated as the ESI† in Fig. S5 and S6. Without the initial accumulation of the first carbonyls and carbonates out of CO<sub>2</sub>, the reactions still approached the same steady states within *ca.* 5 minutes.

Compared to the 1 nm Cu particles entrapped in the grain boundaries of nanosilicalite-1 reported before,<sup>17</sup> less intermediate species were observable on CuZn-SPP-E and CuZn-SPP, specifically, HCOOH\* and HCO\* were absent. Fig. 6 summarizes the expected reaction intermediates when the reactions proceed through both the formate route and the RWGS route.<sup>19,20</sup> The red circles indicate the reaction

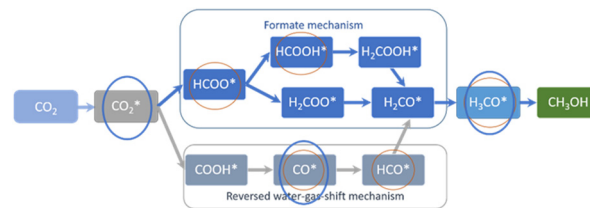


Fig. 6 The expected reaction intermediates for CO<sub>2</sub> hydrogenation to methanol, and the ones recognized by *in situ* FTIR over 1 nm Cu particles entrapped in silicalite-1 (red circles),<sup>17</sup> and 2–8 nm Cu/ZnO in SPP zeolite (blue circle).

intermediates detected by *in situ* FTIR over the 1 nm Cu particles entrapped in silicalite-1.<sup>17</sup> The blue circles indicate the ones recognized in the present study over 2–8 nm Cu/ZnO in SPP zeolite. The intermediates related to the formate-route were preferentially consumed than the carbonyls over the smaller Cu particles. But the current study on ZnO modified and larger Cu particles would not allow to distinguish both. The absence of COOH\* and HCOO\* and subsequent intermediates and the fast consumption of carbonyls and formates implies that these reaction intermediates were very rapidly converted to the products. The reactions proceed faster on the ZnO-modified and zeolite enclosed 2–8 nm Cu particles.

The *in situ* XPS and FTIR results together support the conclusion that the transfer of electron deficiency from the ZnO oxygen vacancy to the Cu surfaces promotes the activation of CO<sub>2</sub>. Thus, it is interesting to study how the ZnO species affect the small Cu nanoparticles entrapped in zeolites. Here, as a first attempt, Fig. 7 illustrates the TEM images and EDX elemental mapping of Si, O, Cu, and Zn for CuZn-SPP-E, which showed that the distribution area of Cu and Zn elements overlapped each other rather than that of the individual element crowded in certain spots. In the future, the structural relationship of Cu clusters and ZnO species could be characterized in detail through further experimental and theoretical studies.

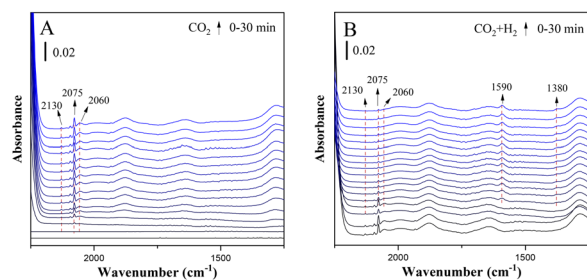


Fig. 5 *In situ* FTIR spectra of the reduced CuZn-SPP catalyst exposed to flowing CO<sub>2</sub> (A) and then to CO<sub>2</sub>+3H<sub>2</sub> (B) at 150 °C.

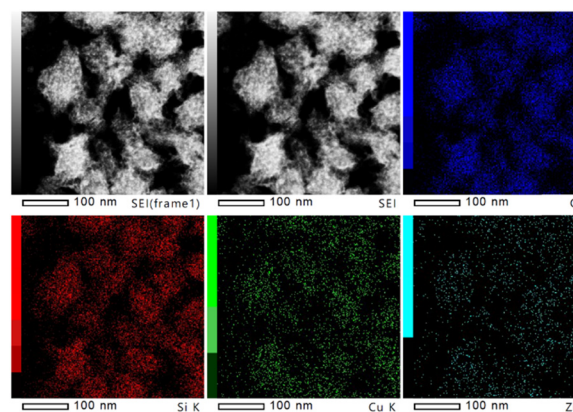


Fig. 7 TEM images and elemental mapping of Si, O, Cu, and Zn for CuZn-SPP-E.



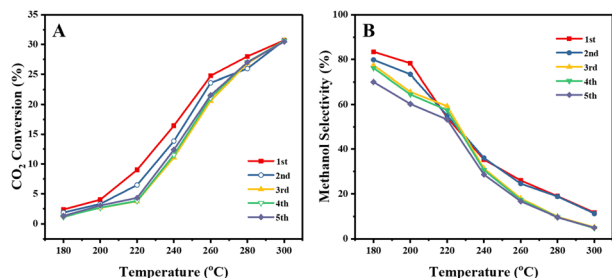


Fig. 8 CO<sub>2</sub> conversion (A) and methanol selectivity (B) of the CuZn-SPP-E catalyst in 5 test cycles.

It is also expected that the confinement in the mesopores of the SPP zeolite would stabilize the metal particles against sintering. We begin to investigate this point by cycling the catalytic test experiments. Fig. 8 shows the CO<sub>2</sub> conversion and CH<sub>3</sub>OH selectivity over the CuZn-SPP-E catalyst in 5 test cycles for 5 hours at each temperature step and total 175 hours in the whole experiment. The results show that both conversion and selectivity deteriorated slightly in the 2nd and 3rd cycles but were stabilized in the subsequent cycles. Taking the values at 240 °C, the CO<sub>2</sub> conversion stabilized from 17% to about 13% and the CH<sub>3</sub>OH selectivity from 36% to 30%. Considering that the catalyst was exposed to harsh temperature conditions of up to 300 °C in each cycle, the deterioration in performance can be considered not severe. The potential of the SPP zeolite to stabilize smaller metal particles by trapping them in the mesopores is thus confirmed. In addition, the stability of the catalyst should be compared with that of the commercial Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst by longer and more extensive tests, *e.g.*, at a temperature of about 230 °C for at least 1000 h, as described by Schlögl *et al.* in a benchmark study.<sup>33</sup> The spent catalyst in various stages of deactivation will be thoroughly characterized in order to develop further means of preventing sintering and other types of deterioration.

## Conclusions

The Cu/ZnO/SPP-zeolite composite, with CuO particle sizes in the range of 2–8 nm that are uniformly embedded within the mesopores of the SPP zeolite having loading amounts up to 25.6 wt% for CuO and 11.2 wt% for ZnO, acts as an active catalyst in CO<sub>2</sub> hydrogenation to CH<sub>3</sub>OH and CO. It performs better than the Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst in terms of CO<sub>2</sub> turnover frequency and methanol formation rate per unit Cu. But the overall performance of the zeolite-supported materials is still not able to match that of the Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst because of the low metal loadings.

Reduced metal particle size accelerated the reaction speeds. *Quasi-in situ* XPS and *in situ* FTIR experiments determined that the electronic deficiency due to the oxygen vacancy in ZnO can be transferred to Cu particles during the reactions and promote the interaction with CO<sub>2</sub>. CuZn-SPP-E can maintain a constant carbonate coverage and low water

adsorption during the catalytic reaction. Intermediates related with the hydrogenation processes, such as adsorbed carbonyl and formate species, are turned over very rapidly. The structures of ZnO and its relationship with Cu particles and SPP zeolite have not been characterized yet and will be the focus of future studies. It is also discovered in the *in situ* experiments that larger Cu/ZnO particles on SPP zeolite are prone to stronger water adsorption.

Detailed investigations into the structure and role of the ZnO promoter as well as longer and larger stability tests are being carried out.

## Data availability

The data supporting this article have been included as part of the ESI,† including the preparation and characterization of the starting SPP zeolite, and additional *in situ* FTIR and *quasi-in situ* XPS spectra recorded in various reactive flows. Further details are available from the authors upon request.

## Author contributions

X. Liu: investigation. G. Fu: conceptualization, methodology, supervision, investigation. Q. Lang, R. Ding, Q. Guo, K. Liang, S. Gao: investigation. X. Yang: methodology, investigation, writing – original draft. B. Yu: supervision.

## Conflicts of interest

There are no conflicts to declare.

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