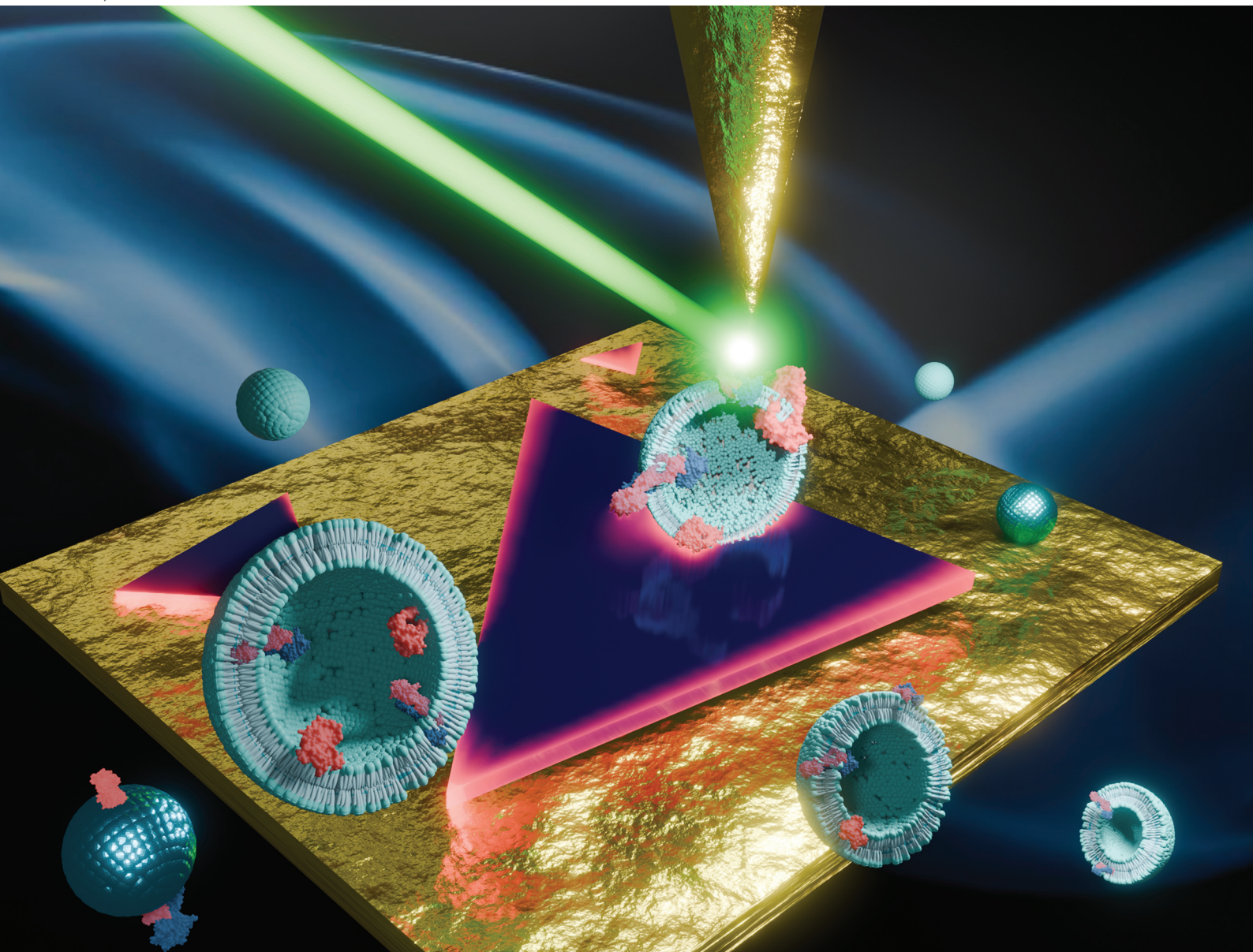


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**PAPER**

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# Emerging SERS and TERS MoS<sub>2</sub> platforms for the characterization of plasma-derived extracellular vesicles†

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Extracellular vesicles (EVs) play a crucial role in intercellular communication processes. In addition, their biomolecular cargoes such as lipids, proteins, and nucleic acids are useful for identifying potential biomarkers related to different stages of cancer disease. However, the small size and heterogeneity of tumor-related EVs represent a major challenge in properly identifying the content of EVs' cargoes with common characterization protocols. To address these issues, surface-enhanced Raman spectroscopy (SERS) and tip-enhanced Raman spectroscopy (TERS) are powerful alternatives to assign the vibrational fingerprints to the biomolecules contained in cancer EVs, providing high specificity and spatial resolution. Transition metal dichalcogenides are particularly interesting as SERS and TERS substrates due to the high sensitivity of their 2D surface through coulombic and van der Waals interactions when in contact with an analyte or small object such as the charged membranes of EVs. These interactions induce subtle changes in the work function of the flakes which can be measured through drastic changes of optical processes. We investigate the use of MoS<sub>2</sub> flakes synthesized by atmospheric pressure chemical vapor deposition as a potential label-free SERS and TERS platform for the identification of plasma EVs. To exemplify this technology, we isolated plasma EV samples from donors with early-stage [FIGO (I/II)] with high-grade serous carcinoma (HGSC) by size exclusion chromatography (SEC). Both surface- and tip-enhanced measurements were conducted individually, enabling the identification of a series of markers from ovarian cancer donors, highlighting the complementarity of SERS and TERS measurements.

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## 1. Introduction

Ovarian cancer is recognized as one of the most lethal gynecological cancers leading to the death of thousands of women around the world.<sup>1</sup> High-grade serous carcinoma (HGSC) is the most common type of ovarian cancer and tends to proliferate at an aggressive rate masked by nonspecific symptoms; thus, the diagnosis procedures often occur when HGSC has metastasized outside the ovaries.<sup>1,2</sup> As for any cancer, a common diagnosis focuses on the genetic and pathological characterization of tumor biopsy in an effort to determine the histology subtype and course of therapeutic intervention. Although

necessary, these methods are invasive and subject patients to painful recovery time. For this reason, the direct analysis of body fluids to detect prospective biomarkers is an attractive alternative due to its ease of acquisition, low cost of processing and high specificity needed for diagnosis.<sup>3</sup>

Extracellular vesicles (EVs) isolated from blood plasma offer a source of lipid-enclosed vesicles that contain diverse lipids, nucleic acids and proteomic cargoes responsible for long-distance communication to the sites of metastasis.<sup>4,5</sup> The principal challenge with EVs derived from biofluids is the heterogeneity and large dynamic range of proteomic content from multiple non-tumor sources, complicating biomarker discovery. Knowing the proteomic content in EVs is one of the major concerns because it allows us to understand the role played by some specific proteins and the discovery of new biomarkers to determine the progression of a disease such as ovarian cancer.

Classical methods for analyzing proteins, such as mass spectrometry, immunoblotting and ELISA techniques, while being powerful, are often limited by high cost, a long sample preparation time and low specificity.<sup>6</sup> Regardless, our recent study demonstrated the utility of MS for profiling EV proteomes and identifying biomarkers for early-stage HGSC.

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Importantly, we also provided foundational evidence that Raman spectral characterization of plasma EVs, combined with machine learning, can effectively distinguish early-stage HGSC from benign gynecological conditions. Improving analytical Raman spectroscopy of biofluid EVs is essential for advancing biomarker discovery and enhancing early diagnostic capabilities for HGSC.

A recent approach for advancing Raman analysis has been dedicated to the combination of novel nanostructure fabrication and vibrational spectroscopy methods such as surface-enhanced Raman spectroscopy (SERS).<sup>7–9</sup> SERS is a label-free non-destructive technique that allows the collection of the chemical profile of EVs based on the interaction between excitation light, the sample and a material – generally a metal – that exhibits local surface enhancement.<sup>9–11</sup> The information contained in a vibrational SERS spectrum produces a unique fingerprint for each biomolecule contained in the EV.<sup>7,9,10,12</sup> Similarly, tip-enhanced Raman spectroscopy (TERS) allows the amplification of the Raman signal in the vicinity of a metal-coated atomic force microscope (AFM) tip that provides a sub-20 nm nanoscale spatial resolution which can be used to map a single isolated EV.<sup>13</sup> In TERS, the tip, usually coated with gold (Au) and/or silver (Ag), acts as a nanoantenna to generate a strong electromagnetic field confined at its apex through a plasmonic effect.<sup>13,14</sup> The plasmonic contribution refers to the excitation and confinement of the localized surface plasmon in the vicinity of the tip apex when illuminated with resonant incident light. The spatial resolution of TERS enables the possibility to map nano and microscale materials and biomaterials with spatial resolution typically better than 20 nm.<sup>15–18</sup> The spatial resolution of TERS experiments depends on many factors including the tip activity, the scattering Raman cross-section of the molecules to be probed and the substrate used for the measurements.<sup>19</sup> Under ambient conditions, spatial resolution of a few nm for biological objects such as isolated DNA chains onto an ultra-flat substrate has been reported.<sup>20–22</sup> On the other hand, specific SERS from transition-metal dichalcogenides is a plasmon-free chemical enhancement due mainly to charge transfer between the substrate and the analyte that finds its origin from the multiple densities of states of the TMD near the Fermi level.<sup>23,24</sup> Subsequently, due to the different origins of the enhancements in SERS and TERS measurements, the intensities of the enhanced Raman modes may differ.

In this work, we investigate SERS and TERS measurements of EVs deposited onto transition metal dichalcogenide (TMD) flakes of MoS<sub>2</sub>. TMDs have recently been investigated for their SERS activity due to stability, ease of synthesis, biocompatibility and tunable bandgap.<sup>25</sup> Intriguingly, despite the semiconducting character, these 2D materials exhibit SERS activity when combined with other materials, such as graphene or metallic nanoparticles, with better enhancement than plasmonic nanostructures. It was shown for MoS<sub>2</sub> and MoSe<sub>2</sub> that the metallic phase (1T) provides a larger enhancement compared to the semi-conductive phase (2H), highlighting the role of charge transfer in the SERS activity of TMD materials. This

charge transfer can be further amplified by altering the electronic structure of TMDs through defect engineering, doping and fabrication processes, leading to optimized 2D SERS substrates that are competitive with noble metal substrates.<sup>26–28</sup>

Herein, MoS<sub>2</sub> flakes with different sizes and shapes were fabricated by chemical vapor deposition, transferred onto an Au surface and used as SERS and TERS substrates for the characterization of plasma EVs isolated from patients with early-stage HGSC. Our label-free new platform has demonstrated the capability to identify the biochemical profile of EVs as well as provide the correlation between the Raman signals and the surface of the EVs absorbed onto the MoS<sub>2</sub> flakes at the nanoscale level. Herein, we report the first comparative investigation of SERS and TERS measurements of isolated plasma EVs deposited over 2D MoS<sub>2</sub> flakes, highlighting the complementarity of both approaches and their potential as biosensing platforms.

## 2. Experimental

### 2.1 Fabrication of MoS<sub>2</sub> flakes by CVD

MoS<sub>2</sub> flakes were fabricated following the protocol reported by Lambin *et al.*<sup>28</sup> Briefly, the flakes were grown in a silicon (Si) substrate coated with a 280 nm layer of silicon dioxide (SiO<sub>2</sub>) by atmospheric pressure chemical vapor deposition (CVD). The substrate, with dimensions of 2 × 2 cm<sup>2</sup>, was previously cleaned with acetone, isopropanol and ultrapure water and placed above the molybdenum trioxide powder (MoO<sub>3</sub>). The precursors (sulfur and MoO<sub>3</sub>) were placed in two different alumina boats in a one-zone furnace using a quartz tube (*d* = 2.5 cm) with a separation of 21 cm between them. To control the melting of sulfur, the ramping rate was initially set at 12 °C min<sup>−1</sup> until the temperature reached 550 °C. After this step, the ramp temperature was changed to 6 °C min<sup>−1</sup> to reach 850 °C. The furnace was held at this temperature for 15 min and finally, it was let to cool down naturally at room temperature.

### 2.2 Transfer of MoS<sub>2</sub> flakes onto a gold substrate

A 50 mg mL<sup>−1</sup> solution of PMMA (120 000 g mol<sup>−1</sup>) in CHCl<sub>3</sub> was prepared and used as a transfer layer. About 5–10 drops of the solution were placed in the MoS<sub>2</sub> flakes in a Si/SiO<sub>2</sub> substrate and spin-coated at 2000 rpm for 80 s. The substrate with the thin PMMA film was then baked at 150 °C for 10 min to evaporate the residual solvent. A 1 M solution of NaOH was prepared to perform the etching process. The baked substrate was placed in a small container with NaOH and let float at 80 °C for 30 min–2 hours depending on the sample size. The PMMA film containing the nanostructures was placed in ultrapure water for 30 min to eliminate the etching solution. Then, the film was slowly and carefully placed in the target substrate (80 nm gold film) and baked for 20 min at 150 °C. Finally, the PMMA film was redissolved in CHCl<sub>3</sub> and the new gold substrate containing the MoS<sub>2</sub> flakes was let dry overnight.



### 2.3 Isolation of HGSC blood plasma by SEC

The plasma samples were collected from women patients with early-stage (I/II) HGSC and obtained from the Banque Cancer de l'ovaire, Centre de recherche du CHUM (CRCHUM), in Montréal, Québec, Canada. The samples were obtained from individual patients (2009–2020) before any treatment such as chemotherapy or radiotherapy and were stored at  $-80^{\circ}\text{C}$  for less than 15 days before the isolation procedure. SEC of HGSC plasma (pooled donors) was performed using an LV70 column following the instructions established by the manufacturer. Briefly, three washes of PBS buffer were added to the column followed by  $\sim 200\ \mu\text{L}$  of blood plasma. After 5 min, 2 mL of PBS buffer was loaded onto the column and let pass until no more eluent was observed. Finally,  $1.2\ \mu\text{L}$  of PBS buffer containing 2.5 mM trehalose was added to the column to collect the fraction enriched with EVs (1.2 mL). The aliquots were stored at  $-80^{\circ}\text{C}$  until further use.

### 2.4 AFM characterization of EVs

EV aliquots were analyzed by atomic force microscopy (AFM) to determine their height and size. Isolated EVs were diluted at 1:20 in ultrapure water, deposited onto a freshly cleaved mica substrate and let dry overnight in a biosafety cabinet. Preliminary AFM measurements were performed in tapping mode following the protocol reported in previous work<sup>7,9</sup> using a Bioscope Catalyst atomic force microscope (Bruker).

### 2.5 Proteomic analysis of plasma EVs by gas-phase fractionation and data-independent acquisition

The MS/MS spectra were obtained using the protocol previously reported by our group.<sup>29</sup> Briefly, proteins from SEC-isolated plasma EVs were isolated by single-pot protein precipitation (SP3) using 50% ethanol and proteolysis (50 mM ammonium bicarbonate, 1:50 TrypLysC, 18 hours,  $37^{\circ}\text{C}$ ) and analyzed on a Thermo Eclipse using gas-phase fractionation operating in data-independent acquisition (GPF-DIA). Spectral libraries were initially searched in DIA-NN against human proteome prior to quantitative analysis using Skyline software. The software parameters were set to a precursor mass tolerance of 20 ppm and a fragment ion mass tolerance of 10 ppm. The search parameters allowed for up to 2 missed cleavages and a maximum of 2 post-translational modifications per peptide. Missing value imputation, transformations, and proteomic data visualizations were performed using in-house Python code.

### 2.6 Characterization of $\text{MoS}_2$ flakes

Before the deposition of EVs over the platform, the  $\text{MoS}_2$  flakes in the gold substrate were characterized using a LEO 1530 (Zeiss) scanning electron microscope with a 3.0 kV EHT voltage and a  $30.0\ \mu\text{m}$  aperture. The optical images and SERS Raman spectra were obtained with a confocal microscope (HORIBA, Xplora) using a white light source with a  $100\times$  objective (N.A. 0.9). A laser with an excitation wavelength of 532 nm and a 600 or 1200 groove per mm grating were selected to perform all the experiments in conjunction with a slit width of

$100\ \mu\text{m}$  and a pinhole of  $300\ \mu\text{m}$ . Finally, to determine the thickness of the flakes, AFM measurements were performed using the Bioscope Catalyst (Bruker) in tapping mode.

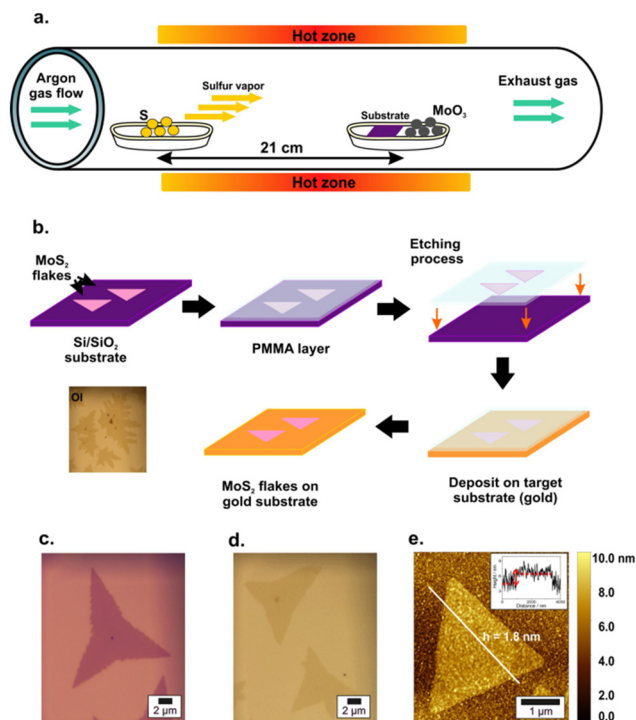
### 2.7 Sample preparation and TERS measurements

The  $\text{MoS}_2$  flakes were transferred onto a silicon substrate coated with a thin film of gold and served as the TERS platform. The Au film beneath the  $\text{MoS}_2$  flakes was used to work in gap mode TERS. The gap mode implies that the object of interest, here the EVs/ $\text{MoS}_2$ , are located between the TERS tip and the Au substrate. This geometry yields higher confinement of excitation electric fields which subsequently yield to an enhancement of Raman scattering. The EVs were deposited over the platform with an aliquot of enriched EVs. A dilution of 1:10 of the EVs was prepared and deposited over the platform of the substrate. Then the sample was placed in a Petri dish surrounded by wet Kimwipes to slow down the evaporation and ensure a homogeneous deposition. After 48 hours, the substrate was rinsed and dried gently with nitrogen gas. The TERS experiments were carried out using the same confocal microscope (HORIBA Scientific, Xplora) equipped with an atomic force microscope (HORIBA Scientific, AIST-NT OmegaScope). The TERS tips (VTESPA-300, Bruker) were coated with a 5 nm layer of titanium as an adhesion layer and an 80 nm layer of gold by electron beam evaporation. The tip radius varies between 12.5 and 25 nm with a force constant of  $42\ \text{N m}^{-1}$  and a length of  $150\ \mu\text{m}$ . A 532 nm laser was focused on the tip apex of the cantilever with side illumination (tilted by  $60^{\circ}\text{C}$ ) using a  $100\times$  objective (0.70 NA) and a  $600\ \text{gr mm}^{-1}$  grating. First, a topographic image is obtained in the tapping mode, then a TERS Raman map is obtained in point-by-point mode. The increase in the Raman signal as a function of the tip-sample distance is shown in Fig. S1.† The tip-in spectrum is obtained when the tip is in direct contact with the surface. In such measurements, the signal combines the contribution of both the near- and far-fields. Accordingly, to obtain the far-field, the tip was lifted slightly away from the surface, this separation of a few nanometers produced a drastic drop in the signal-to-noise ratio.

## 3. Results

$\text{MoS}_2$  flakes were synthesized by atmospheric pressure CVD. Fig. 1a depicts the representation of the one-zone furnace and the reaction between the two precursors ( $\text{MoO}_3$  and S). The protocol optimized by Lambin *et al.*<sup>28</sup> allows careful and precise control of the temperature during the melting process, producing a thin layer of  $\text{MoS}_2$  with a variety of flakes in terms of shape and size. Due to the weak van der Waals forces between the TMD flakes and the Si wafer, the  $\text{MoS}_2$  flakes were transferred to suitable substrates for SERS and TERS measurements. As shown in the diagram in Fig. 1b, the flakes were transferred from the Si/ $\text{SiO}_2$  substrate to an 80 nm gold substrate *via* a PMMA-assisted method. The gold surface is of particular interest for gap mode TERS measurements, enabling



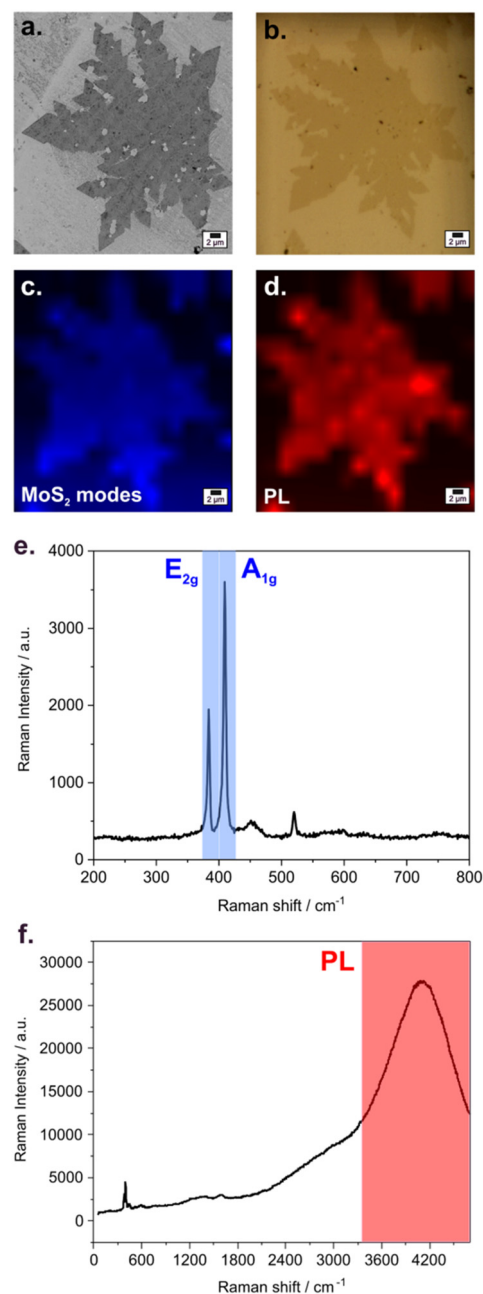


**Fig. 1** (a) Schematic representation of the atmospheric pressure CVD setup with a one-zone furnace used to grow the MoS<sub>2</sub> structures. (b) Illustration of the PMMA-assisted method to transfer the MoS<sub>2</sub> flakes to a gold substrate. Optical images (c) before and (d) after the transferring process. (e) AFM image for the MoS<sub>2</sub> flakes and their cross-section to determine the approximate thickness.

better confinement of the electromagnetic field and resulting in higher enhancement. The optical images of some flakes before and after the transferring process are shown in Fig. 1c and d; different geometries of flakes from triangular to dendritic structures were obtained. The typical thickness of the flakes was around 1.8 nm as determined by AFM (Fig. 1e), which is indicative of a double-layer MoS<sub>2</sub> flake.

### 3.1 Characterization of MoS<sub>2</sub> flakes by SEM and Raman spectroscopy

The transferred MoS<sub>2</sub> flakes were characterized by different techniques before the functionalization with EVs. A bare flake with a surface of about  $35 \times 35 \mu\text{m}^2$  was selected to ensure a large, clean and homogeneous surface to perform SERS and TERS experiments, as shown in the SEM image of Fig. 2a for a flake transferred onto a gold-coated substrate. Confocal point scanning maps were collected over the flake for both the Raman modes of MoS<sub>2</sub> and photoluminescence (PL). The confocal maps consist of  $25 \times 25$  points with a spacing of  $1.5 \mu\text{m}$ , an acquisition time of 2 s, and an irradiance of  $2.8 \times 10^4 \text{ W cm}^{-2}$  at 532 nm excitation using a  $100\times$  objective. Fig. 2b shows the optical image of the chosen flake, while Fig. 2c and d show the corresponding maps for the E<sub>2g</sub> ( $383 \text{ cm}^{-1}$ ) and A<sub>1g</sub> ( $409 \text{ cm}^{-1}$ ) Raman modes (blue map in Fig. 2e) characteristic of MoS<sub>2</sub> and the photoluminescence at  $3500\text{--}5000 \text{ cm}^{-1}$  (red-Fig. 2f). The separation between the E<sub>2g</sub> and A<sub>1g</sub> modes is  $26 \text{ cm}^{-1}$ , indicative



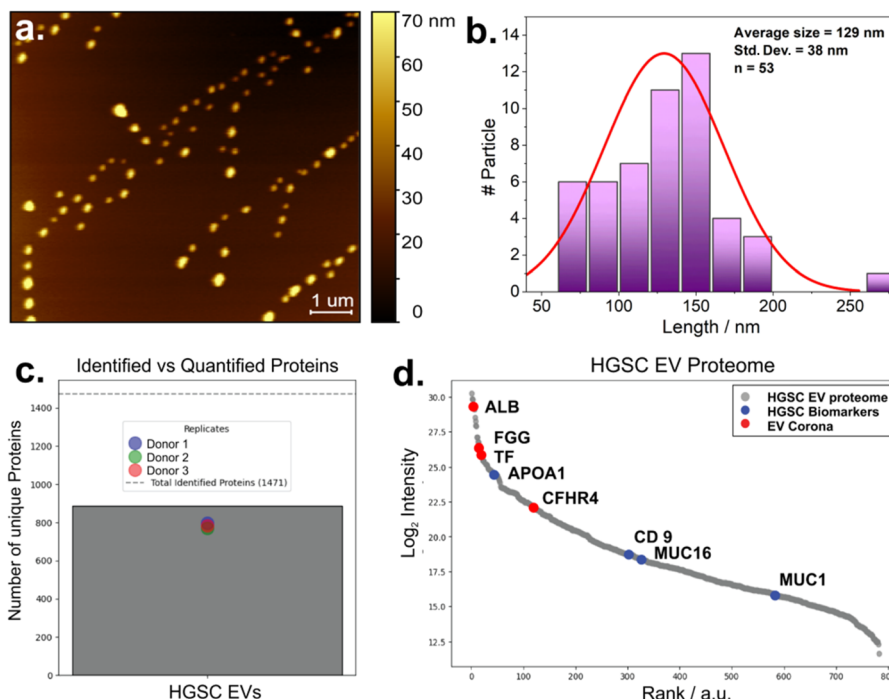
**Fig. 2** (a) SEM and (b) optical images of the MoS<sub>2</sub> flake chosen as a reference. Raman intensity mapping of (c) E<sub>2g</sub> and A<sub>1g</sub> vibrational modes and (d) PL of the MoS<sub>2</sub> flake. Confocal Raman spectra of (e) MoS<sub>2</sub> characteristic modes and (f) PL.

of ultrathin layers, confirming the AFM measurements. The Raman and PL maps reveal a uniform flake surface in correspondence with the SEM and optical images.

### 3.2 Preliminary characterization of EVs: AFM and proteomics

A preliminary characterization of the extracted EVs was performed to determine the dimensions and size distribution. Fig. 3a shows the AFM image, demonstrating the existence of EV-like particles with a flattened spherical shape due to the





**Fig. 3** (a) AFM image and height profile of the HGSC EV sample and (b) average size calculated for the sample ( $n = 53$ ). (c) Number of unique proteins identified and quantified by GPF-DIA in the 3 replicates for the HGSC sample. (d) Complete proteomic profile for the HGSC sample showing the specific biomarkers identified, including HGSC biomarkers MUC16 and MUC1.

restructuring of the EVs over the surface under ambient conditions. The statistical analysis in Fig. 3b indicates a diameter distribution of  $129 \pm 38$  nm, while the height distribution is  $50 \pm 12$  nm, in agreement with our previous characterization of size by nano particle tracking analysis.<sup>7,29</sup> To further validate the enrichment of EVs from plasma using SEC, the proteomic content of these EV samples was analyzed using GPF-DIA. Three different donor pools of HGSC-EVs were analyzed by MS to identify a total of 1427 unique proteins, of which 837 were suitable for label-free quantification (Fig. 3c). Fig. 3d shows an enrichment of EV-specific and HGSC-relevant biomarkers. For example, we detected the EV marker CD9 and the current HGSC biomarker MUC16. Importantly, our proteomic analysis was able to uncover prospective early-stage biomarkers, such as MUC1, despite a persistent presence of common plasma proteins.<sup>29</sup> Despite significant depletion of common plasma protein using SEC, we still detected significant levels of albumin, tissue factor (TF), fibrinogen (FGG), and complement factors (e.g. CFHR4) that can be explained by the formation of a proteomic corona often observed in EVs from complex biofluids. While additional EV purity could be obtained with combinatorial techniques to SEC, such as ultracentrifugation or ultrafiltration, we opted to maintain a simplified EV workflow to retain the high yield for downstream analysis and technological development.

### 3.3 Characterization of EVs using SERS

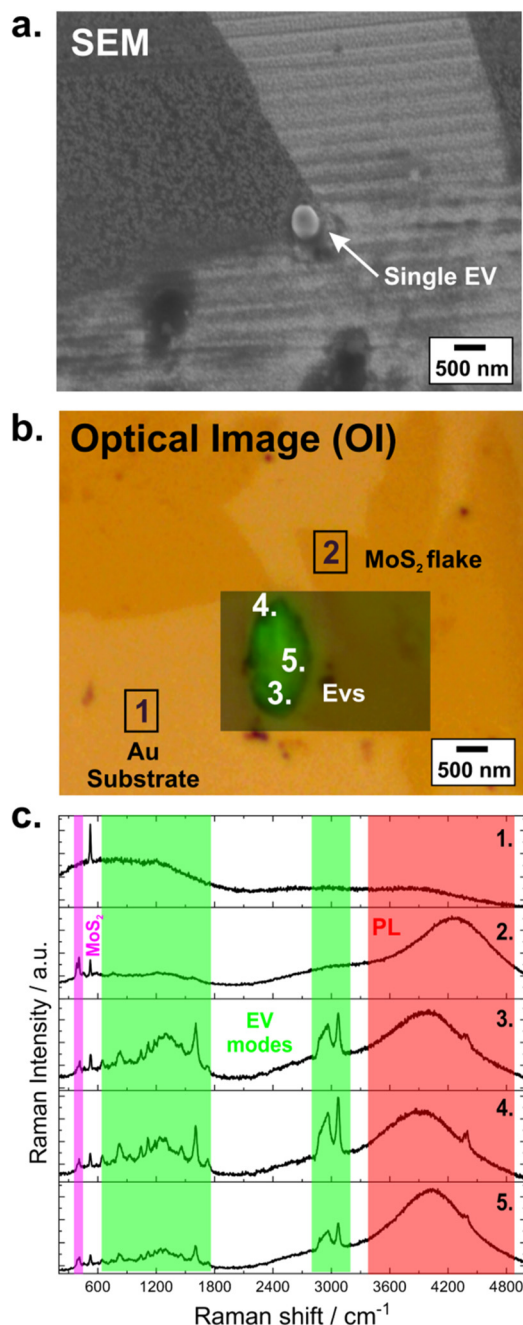
Here, different substrates such as an Au thin layer (control),  $\text{MoS}_2/\text{SiO}_2$  and  $\text{MoS}_2/\text{Au}$  were evaluated for SERS measure-

ments to investigate the content of EVs. The  $\text{MoS}_2/\text{Au}$  platform produced the highest-quality spectrum under low-intensity laser power and short acquisition time conditions, highlighting the interest of a hybrid TMD/Au heterostructure for SERS measurements. A comparison between the three substrates is provided in Fig. S2.†

As discussed in previous sections, SERS is a novel approach for characterizing EVs because it provides access to the vibrational signature of the EV content, revealing the presence of specific chemical groups that belong to the proteins, lipids and nucleic acid cargoes encapsulated inside the vesicles. Raman measurements were performed after depositing 10 μL of EV solution over the  $\text{MoS}_2/\text{Au}$  platform and letting it dry before the experiments. First, several SEM images were taken in the selected area of analysis, allowing the identification of an EV-like particle at the top of the  $\text{MoS}_2$  flakes, as shown in Fig. 4a. Then, several Raman maps were obtained in the same area to record the EV signals; the overlay of the map and the optical image is shown in Fig. 4b. The SERS maps consisted of  $15 \times 15$  points with a spacing of 0.8 μm, an acquisition time of 4 s, an irradiance of  $2.8 \times 10^4 \text{ W cm}^{-2}$  using a 100× objective, an excitation wavelength of 532 nm and a 600 groove per mm grating and collected over the 300–5000  $\text{cm}^{-1}$  spectral range (Fig. 4c). The SERS spectra are indicative of the presence of amino acids and proteins such as the C–C twisting ( $651 \text{ cm}^{-1}$ ) and out-of-plane ring breathing ( $824 \text{ cm}^{-1}$ ) of tyrosine, the symmetric breathing ( $752 \text{ cm}^{-1}$ ) and ring breathing ( $1192 \text{ cm}^{-1}$ ) of tryptophan, and the C=C bending mode for







**Fig. 4** (a) Single EV observed close to position 4 observed by SEM. (b) Optical image of an area in a MoS<sub>2</sub> flake functionalized with the EV solution and overlay Raman mapping showing the presence of EVs. (c) Raman intensity spectra of different positions in the selected area (1–5) where EV modes (green) were detected.

phenylalanine at 1606 cm<sup>-1</sup>. In this case, vibrational modes associated with amino acids could be related to the presence of cancer; however, the SERS signals obtained are still weak in intensity, challenging the interpretation of the complete spectra. In addition, the detected Raman modes indicate the presence of carbohydrates; the vibrational mode at 931 cm<sup>-1</sup> and the glucose signal at 1118 cm<sup>-1</sup> can be assigned to the C–C and C–O stretching vibrational modes, respectively. Other

Raman modes were assigned to lipids such as the CH<sub>2</sub> deformation at 1297 cm<sup>-1</sup>, the C=O ester stretching mode at 1735 cm<sup>-1</sup>, and the CH<sub>3</sub> stretching bands at 2960 cm<sup>-1</sup> and 3070 cm<sup>-1</sup>. The importance of carbohydrates lies in the alteration of the glycemic index in a patient; it was reported that high amounts of these biomolecules increase the risk of generating illnesses such as cancer.<sup>30</sup> However, even when a correlation between a high carbohydrate-based diet and cancer risk can be defined, there are still not enough studies to establish a tendency regarding the significant presence of carbohydrate modes and cancer stages. Finally, a few characteristic signals that can be associated with tumors were also identified such as the formalin peaks appearing in fixed tumor tissues at 1039 cm<sup>-1</sup>, the asymmetric PO<sub>2</sub><sup>-</sup> from the phosphodiester group at 1240 cm<sup>-1</sup>, which suggests a possible increase in the nucleic acids in malignant tissue, and the structural protein (C–H bending) mode of tumors at 1455 cm<sup>-1</sup>.<sup>31</sup> A summary of the Raman peaks identified in this sample and their assignment is provided in Table 1, following the values reported in the literature.

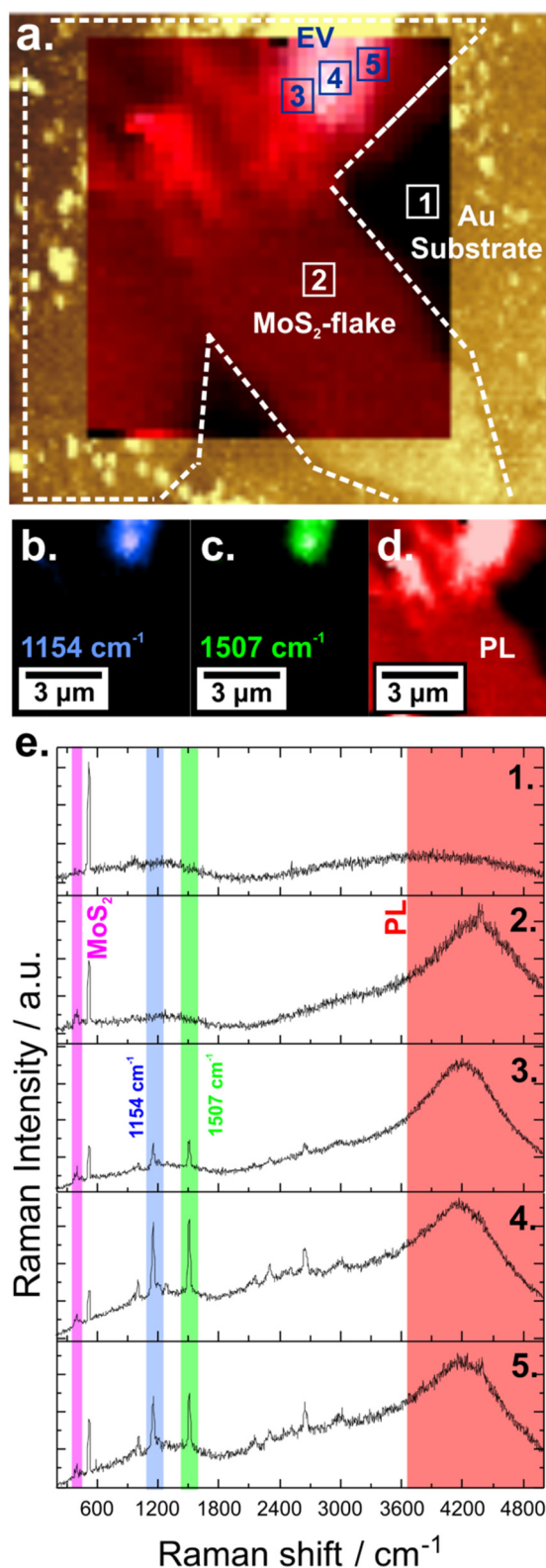
### 3.4 Characterization of EVs using TERS

TERS has been used to characterize biological materials such as proteins, lipids, nucleic acids, and EVs.<sup>34,37</sup> In this work, the TERS experiments were performed similarly to the SERS experiments after depositing 10 μL of HGSC-EV solution in the MoS<sub>2</sub>@Au/Si platform and letting it dry (Fig. 5). A shorter exposure time ( $t = 0.3$  s per spectrum) during the acquisition of the maps and a lower intensity ( $I = 0.89$  mW) at the samples were selected to minimize thermal effects on the sample. Topography over a surface of 15 × 15 μm<sup>2</sup> and TERS maps over a surface of 7.12 × 7.87 μm<sup>2</sup> are presented in Fig. 5a. The edges of a MoS<sub>2</sub> flake and the EVs deposited at the top of the substrate are observed clearly in the AFM image. Selected

**Table 1** Summary of the Raman signals identified in the HGSC sample with their possible assignment following the data reported in the corresponding literature

Position (cm <sup>-1</sup> )	Possible assignment of Raman peaks	Ref.
651	C–C twisting mode of tyrosine	32
752	Symmetric breathing of tryptophan	32
824	Out-of-plane ring breathing of tyrosine	31 and 32
931	Carbohydrate peak	31
1039	Formalin peaks appear in the fixed tumor tissue	31
1118	Glucose or C–C stretching (breast lipid)	33
1192	Tryptophan ring breathing	32
1240	Asymmetric PO <sub>2</sub> <sup>-</sup> from the phosphodiester of nucleic acids	31
1297	CH <sub>2</sub> deformation in lipids	31 and 34
1455	Structural protein mode from tumors	31
1606	C=C bending of phenylalanine	35
1736	C=O ester (lipids) stretching	36
2960	Out-of-plane chain end antisymmetric CH <sub>3</sub> stretching band	31 and 37
3070	CH <sub>3</sub> stretching band	34





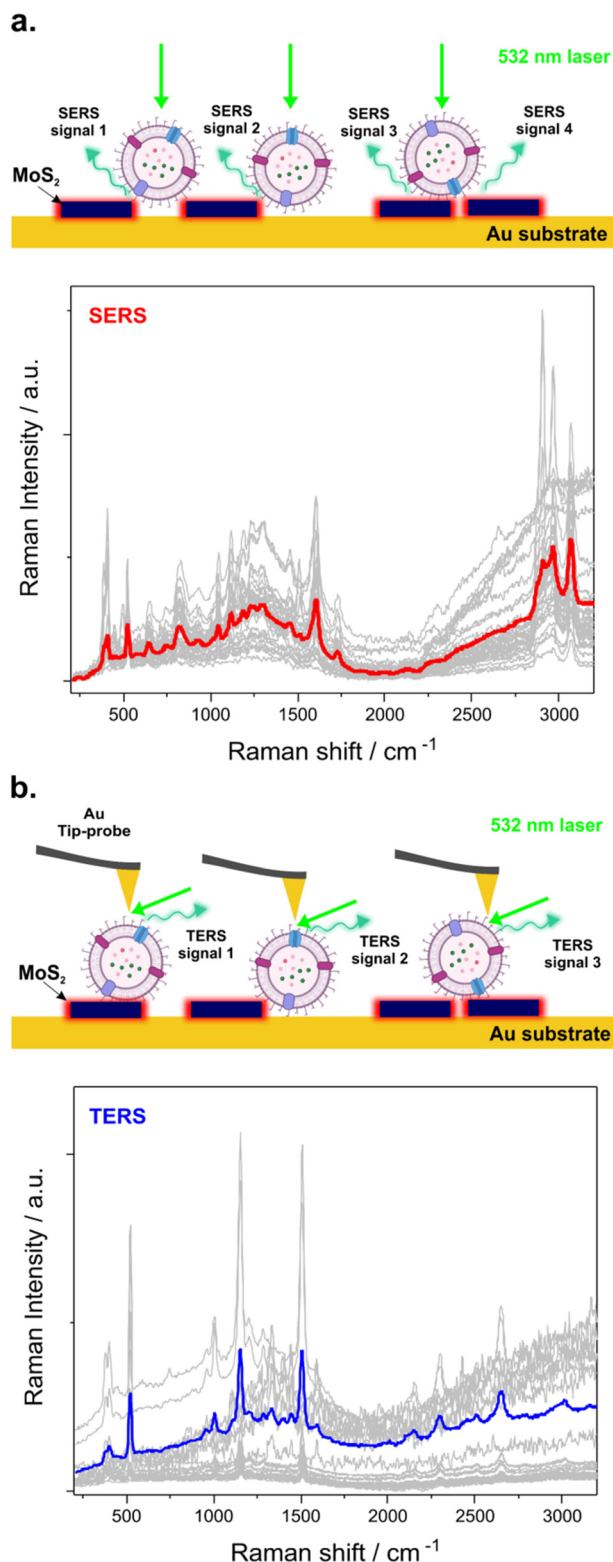
**Fig. 5** (a) AFM and overlay TERS maps showing the EVs on the surface of MoS<sub>2</sub>. TERS maps showing the Raman modes coming from the strong EV signals at (b) 1154 cm<sup>-1</sup> (blue) and (c) 1507 cm<sup>-1</sup> (green) and (d) PL (red) originating from the MoS<sub>2</sub> flake. (e) TERS spectra at different positions (1–5) around the flake. MoS<sub>2</sub> characteristic Raman modes (A<sub>1</sub> and E<sub>2</sub>) are highlighted in pink.

Raman modes (1154 cm<sup>-1</sup> and 1507 cm<sup>-1</sup>) reveal the location of the EVs in Fig. 5b and c, while PL in Fig. 5d provides contrast for the MoS<sub>2</sub> flake. These maps enable the correlation of the specific spectral features of the EVs over the MoS<sub>2</sub> flakes. TERS spectra obtained after several measurements taken at different spots over the vesicle are reported in Fig. 5e. Two major Raman modes were observed in the TERS spectra, the first one reveals the C–C and C–N stretching modes of proteins at 1154 cm<sup>-1</sup> and can be related to its presence all around the EV membrane. The conformation of the EVs is normally based on the phospholipid bilayer to protect the internal cargo; however, EV proteins also play a crucial role. For instance, they maintain their functionality and conformation for long periods, allowing the transfer of unaltered information from one cell to another. The proteome of EVs provides better and more precise details regarding the parental cells, the micro-environment and even the progression of the disease.<sup>38</sup> In addition, the second intense signal at 1507 cm<sup>-1</sup> related to the vibration of the N–H bond in nucleic acids is shown and supports the previous literature on DNA and RNA species within EVs. This Raman mode contains information about cytosine; this nitrogen base is well recognized for its role in the demethylation reaction which is an important part of the DNA transcription process.<sup>39,40</sup> The control of gene expression plays a substantial role in the regulation of cell proliferation; thus a small change in the gene sequence or the overexpression of the enzyme that catalyzes the reaction will produce an alteration in the DNA strand, leading to catastrophic biological events such as cancer development. The intensity of this peak can be associated with the deregulation of cytosine content and work as a possible marker peak for early ovarian cancer stages.<sup>40</sup> In order to compare the SERS and TERS measurements collected over an EV, the average spectra collected from a series of 30 spectra are reported in Fig. 6. Differential EV fingerprints were obtained for TERS compared to SERS, thus supporting that the enhancement of the signals depends on the substrate and the effect of the coated tip. Comparison between SERS and TERS signal intensities are provided in Table S1.†

Besides the two major Raman modes discussed previously (1154 and 1507 cm<sup>-1</sup>), several Raman signals were also detected in the TERS spectra such as the vibrational modes of carotenoids and cholesterol at 959 cm<sup>-1</sup> and 1395 which were reported in the literature and are strongly related to the presence of tumoral cells in cervical and breast cancer.<sup>32</sup> Also, Raman modes from amino acids such as phenylalanine (1006 cm<sup>-1</sup>) and signals from tyrosine and tryptophan as well as amide III (1207 cm<sup>-1</sup>) were also observed.<sup>31,41</sup> In speculation with our proteomic analysis, the presence of differential proteins in a malignant tissue could be related to inflammation derived from the proliferation of the malignant ovarian cancer cells.<sup>42,43</sup> Although we did not directly compare HGSC cohorts in this study, we speculate the intensity and stability of some of these signals can be potentially used to differentiate between the presence and absence of cancer in further prognosis assays. Likewise, vibrational modes for the glycine backbone and proline chains were also identified at 1283 cm<sup>-1</sup>; the







**Fig. 6** SERS (a) and TERS (b) experimental schemes highlighting the EVs/MoS<sub>2</sub> interaction with an excitation light source (532 nm). The average spectra calculated ( $n = 30$ ) are represented for both SERS and TERS experiments.

presence of these signals can be connected to the activity of serine hydroxymethyl transferase (SHMT1) that produces glycine as part of nucleotide biosynthesis. Overexpression of this catalytic enzyme was reported in patients with HGSC, enabling tumor growth and cell propagation.<sup>44</sup> A signal from collagen and CH<sub>3</sub>-CH<sub>2</sub> wagging modes for nucleic acids is noticed at 1331 cm<sup>-1</sup> and 1448 cm<sup>-1</sup>. The importance of these signals lies in the role of collagen in creating an adequate microenvironment for the tumor, influencing the cancer cell activity and intensifying the progression of the illness.<sup>43</sup> In addition, the peak at 1331 cm<sup>-1</sup> can be potentially useful for detecting slight differences in the amount of DNA (nucleic acids), suggesting the evolution of tumorigenesis. Collectively, these results demonstrate the potential of TERS to provide comprehensive Raman fingerprints of biofluid EVs which may be used for diagnostic applications.

Interestingly, extra signals are observed in the region of 2000–3000 cm<sup>-1</sup> for the TERS spectra compared to the SERS spectra (Fig. 6a and b).

It is worth mentioning that this region is usually known as a silent region due to the scarcity of vibrational modes derived from biomolecules. The first clear signal at around 2150 cm<sup>-1</sup> is attributed to the possible presence of the thiocyanate group (C-N stretch). This ion is not produced naturally by the body; however, there are some vegetables and foods recognized as natural sources of thiocyanate such as brassica vegetables, milk or cheese. The presence of thiocyanate was reported in saliva samples for oral cancer diagnosis, yet it can also be found in different fluids such as human serum or urine. Indeed, the presence of this ion can be associated with diseases, such as cancer. In the work presented by Fălămaș *et al.*,<sup>45</sup> all cancer samples presented this characteristic peak, highlighting the importance of tracking this signal and considering it a possible biomarker. Moreover, signals at 2300 and 2650 cm<sup>-1</sup> can be related to the vibrational stretching modes of CN, NH and OH groups, which are important components of a wide variety of proteins and carbohydrates in human body fluids. Once again, these peaks were not observed in the SERS experiments. Lastly, contributions of intense lipid signals and acyl-related vibrational modes at around 2800–3100 cm<sup>-1</sup> were observed in the SERS spectra. Lipids also play a key role in the development and progression of cancer, serving as an energy source for tumoral cells. Thus, an alteration in the lipid content can suggest an uncontrollable growth of the cells from early stages to metastasis.<sup>46</sup> However, as shown in the TERS spectra (Fig. 6b), the lipid signals are lower in intensity. A summary of the TERS signals observed is shown in Table 2.

The presence of the new modes observed in TERS spectra and the difference in lipid peak intensity are related to the configuration of the TERS system. The presence of the Au-coated AFM tip and its subsequent localized Raman enhancement can be affected by several parameters such as the illumination angle of the AFM probe with respect to the surface. The in- and out-of-plane vibrations may be enhanced differently since the z-component of the field along the tip axis is mostly enhanced in the TERS configuration. As a result, not only the



**Table 2** Summary of the Raman signals identified in the HGSC sample by TERS with their possible assignment following the data reported in the corresponding literature

Position (cm <sup>-1</sup> )	Possible assignment of Raman peaks	Ref.
959	Carotenoids (absent in normal tissue), cholesterol	32
1006	Phenylalanine, protein assignment. Carotenoids	11
1154	C-C (and C-N) stretching of proteins	11 and 31
1207	Hydroxyproline, tyrosine or tryptophan. Amide III (protein)	31 and 32
1283	Amide III and CH <sub>2</sub> wagging vibrations from the glycine backbone and proline chains	31
1331	CH <sub>3</sub> -CH <sub>2</sub> wagging mode in nucleic acids. Twisting and wagging modes in collagen.	32
1395	CH rocking, carotenoids.	31 and 35
1448	CH <sub>3</sub> CH <sub>2</sub> deformation and CH <sub>2</sub> deformation, collagen	32
1507	N-H bending, cytosine	31
2150	C-N stretch	45
2300–3000	Stretching vibration of CH, NH and OH groups	34 and 37
3005	Contribution from acyl chains	34 and 37

MoS<sub>2</sub> modes but also the EV fingerprints are affected in TERS by producing the presence of new signals or the absence of some of the modes detected previously in the SERS spectra due to different selection rules. Additionally, recent work highlights the challenges of obtaining homogeneous EV fingerprints due to the variety of binding phenomena between the sample and the substrate (illustrated in Fig. 6) and the complex composition of the EVs with high concentrations of biological compounds at the surface of the phospholipid membrane.<sup>47</sup> Moreover, EVs are normally larger than the possible hotspots generated in the substrate. Then, the position of the EV over the substrate has a strong and direct effect on the signal intensity because not all cargoes can be in proximity to an effective hotspot, resulting in a low amplification of the signal and the collection of different spectra even in the same sample.

As discussed before, small fluctuations or alterations in the spectral pattern can also be observed depending on the deposition strategies, capturing methods, and the distribution of the EV around the sample. Thus, further efforts and methodologies must be applied to ensure good reproducibility and sensitivity in the analysis of EV fingerprints.

In summary, meanwhile SERS provides an averaged analysis in the EV population, TERS makes possible single EV analysis opening the characterization and mapping the distribution of biomolecules inserted into the EV surface. TERS is suited for the study of proteins, nucleic acids and lipids within a complex biological object with a good signal/noise ratio for the analysis of critical Raman modes such as the ones observed at 1154 and 1507 cm<sup>-1</sup> (proteins and nucleic acids, respectively). Furthermore, TERS was used for the identification of new signals from distinct domains such as the ones observed at around 2300 and 2650 cm<sup>-1</sup> (OH, CH and NH stretching vibrations) associated with carbohydrates and proteins present in the EV lipid membrane.

## 4. Conclusions

In this work, SERS and TERS platforms using MoS<sub>2</sub> flakes deposited over a gold substrate were used for the characterization of HGSC-EVs derived from plasma fluid. The isolation of the samples was achieved using SEC to enrich our EV samples;

additionally, the size and proteomic content were determined by AFM and MS. To our knowledge, this is the first time that a comparative study between two spectroscopy techniques such as SERS and TERS is performed in a complex EV sample. The new MoS<sub>2</sub>@Au substrate has shown excellent capabilities as SERS and TERS platforms, enhancing the Raman signal in the HGSC-EV sample and providing a complete fingerprint with characteristic signals from nucleic acids, proteins, and lipids. Of interest is that TERS allowed the complete characterization of the sample, distinguishing between the presence of raw protein cargoes and the potential HGSC-EVs, highlighting the correlation between the topography and the Raman spectra. Moreover, TERS spectra permit the identification of three new vibrational modes (at 2150, 2300 and 2650 cm<sup>-1</sup>) that were not present in SERS measurements, which can be used for diagnosis purposes and work as powerful differentiation Raman modes in machine learning procedures.

## Author contributions

The manuscript was written through the contributions of all authors. All authors have approved the final version of the manuscript. L. Veliz and C. Lambin have contributed equally to this manuscript.

## Data availability

The data supporting this article have been included as part of the ESI.†

## Conflicts of interest

There are no conflicts to declare.

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