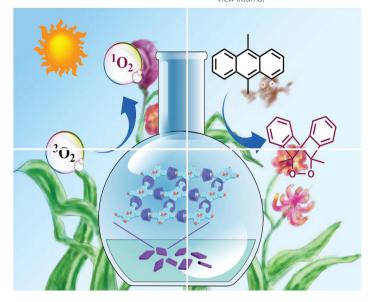
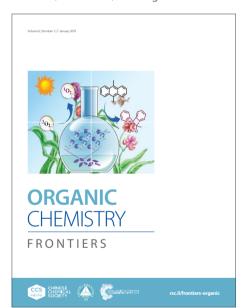
# **ORGANIC**CHEMISTRY

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# (BO)<sub>2</sub>-doped tetrathia[7]helicenes: synthesis and properties and properties change induced by "BO bonds inversion"

Luigi Menduti,\*a,b Clara Baldoli,c Simone Manetto,d Claudio Villani,d Marta Penconi,e Sara Grecchi,a Serena Arnaboldi,a Giuseppe Mazzeo,f Giovanna Longhi,f Michael Bolte,b Alexander Virovets,b Hans-Wolfram Lerner,b Matthias Wagner\*b and Emanuela Licandroa

Helical distortion of polyaromatic hydrocarbons gives rise to a special class of  $\pi$ -conjugated systems, namely helicenes. Owing to their configurational stability and easily tunable optoelectronic properties (via heteroatom-doping), helicenes have recently come to the fore as building blocks for applications in material science (CP-OLEDs, chiroptical switches); in this context, boron-doped helicenes are particularly promising. Herein, we report the synthesis of the new (BO)<sub>2</sub>-doped tetrathia[7]helicene **2**, derived by the formal inversion of (Mes)B–O moieties in the (previously reported) isomer **1**. Theoretical characterization of **2**, and comparison with **1**, revealed that the inversion of the BO vectors promotes the extension of the LUMO via the central thiophene-benzene-thiophene fragment (and not via the terminal thiophene rings, as in **1**), resulting in a considerable lowering of the LUMO energy ( $E_{LUMO}(2) = -2.22$  eV vs.  $E_{LUMO}(1) = -1.65$  eV). Spectroscopic studies revealed that the "BO bonds inversion" also contributes to the narrowing of the energy gap ( $E_g^{\text{opt}}(2) = 2.90$  eV vs.  $E_g^{\text{opt}}(1) = 3.16$  eV) and causes a significant red-shift of the absorption/emission bands ( $\approx$ 40 nm). Interestingly, besides low fluorescence quantum yield ( $\mathcal{O}_{\text{PL}}(2) = 7\%$ ), **2** shows detectable Circularly Polarized Luminescence ( $g_{\text{lum}} = 0.8 \times 10^{-3}$ ) and pronounced phosphorescence at low temperature (77 K). (P)-/(M)- enantiomers of **2** were successfully separated by CSP-UHPLC and proved to be stable ( $\Delta G_{\text{enant}}^x = 29.4 \pm 0.1$  kcal/mol at 353 K). Racemization studies combined with theoretical calculations, confirmed that BO-doping is an extremely perturbative tool for tuning the mechanical rigidity of tetrathia[7]helicenes ( $\Delta G_{\text{enant}}^x = 29.4 \pm 0.1$  kcal/mol lower than  $\Delta G_{\text{enant}}^x = 20.4 \pm 0.1$  kcal/mol lower than  $\Delta G_{\text{enant}}^x = 20.4 \pm 0.1$  kcal/mol lower than  $\Delta G_{\text{enant}}^x = 20.4 \pm 0.1$  kcal/mol lower than  $\Delta G_{\text{enant}}^x = 20.4 \pm 0.1$  kcal/mol lower

### Introduction

Helicenes, which are non-planar, screw-shaped chiral structures composed of ortho-fused aromatic or heteroaromatic rings, represent a unique class of polycyclic aromatic hydrocarbons (PAHs).¹ Configurationally stable helicenes show peculiar chiroptical and optoelectronic features suitable for their application in the field of materials science. <sup>2,3</sup>

Recently, the so-called heteroatom doping, based on the replacement of selected carbon atoms by other p-block elements,<sup>4</sup> opened new perspectives in helicene chemistry allowing the modulation of their electronic structures and optoelectronic properties. The most commonly used doping elements to date are Si, N, P, O and S, but recently several examples of B-atom introduction have been described.<sup>5</sup> Only a limited number of purely B(sp<sup>2</sup>)-doped helicenes and chiral PAHs incorporating helicene subunits have been reported so far,<sup>6</sup> while in most of the examples, C=C double bonds are replaced by B(sp<sup>2</sup>)-N/B(sp<sup>2</sup>)-O<sup>7,8</sup> or B(sp<sup>3</sup>)-N bonds.<sup>9</sup> Our groups have recently contributed to this field by establishing the synthesis and investigating the optoelectronic and chiroptical properties of the first doubly BO-doped tetrathia[7]helicene 1<sup>10</sup> (Figure 1).

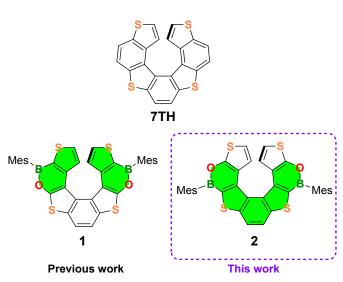


Figure 1. Structures of the parent tetrathia[7]helicene (7TH), the previously reported 1 and the newly developed (BO)<sub>2</sub>-helicene 2. Mes = 2,4,6-trimethylphenyl (mesityl). The LUMO extension in the structures 1 and 2 is highlighted in green.

Although **1** showed poor optical performance ( $\mathcal{O}_{PL} = 6\%$ ,  $E_g^{\text{opt}} = 3.16$  eV), our studies have demonstrated that the incorporation of two BO fragments into the tetrathiahelicene (**7TH**; Figure **1**) scaffold provides a powerful tool to manipulate the mechanical rigidity, the chiroptical properties, and the electron distribution across the helix.

With the aim of thoroughly investigating the effect of BO doping of the **7TH** framework to obtain helicenes with improved photophysical features, we designed the new  $(BO)_2$ -helicene **2**, an isomer of **1**, derived by the formal inversion of the BO bonds in the two oxaborine rings (Figure 1).

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(BO)2-helicene 2 was tailored based on our previous results, which showed that the LUMO of 1 (highlighted in green in Figure 1) is mainly localized on the B-substituted terminal thiophene rings, 10 while the HOMO, as in 7TH, 11 is spread over the entire helix (for DFT calculations see Figure 6, Figure S49).

These results suggested that the position of the B atoms could be crucial for the extension of the LUMO across the helix, with only minor effects on the HOMO distribution/energy (as in the case of boron-doped planar polyaromatics).4c The inverted positions of the BO bonds in 2 were therefore expected to promote the extension of the LUMO via the central thiophene-benzene-thiophene fragment rather than via the terminal thiophene rings only (Figure 1). This could provide a helicene with extended and energetically lowered LUMO, narrowed HOMO-LUMO energy gap and, improved optoelectronic performance.

Herein we report the synthesis of the (BO)<sub>2</sub>-helicene 2, along with its optoelectronic and chiroptical properties and a comparison with those of the previously reported (BO)2-helicene isomer 1.10 Moreover, we describe attempts to synthesize the (BO)-doped helicene 3 (Scheme 2a), formally derived by the replacement of the central C=C bond in 7TH with a (Mes)B-O fragment. Although the target BO-helicene 3 was not obtained, the investigation of two different synthetic approaches provided important information for the design of new derivatives and allowed us to isolate the pentafused intermediate 11 (see Results and Discussion).

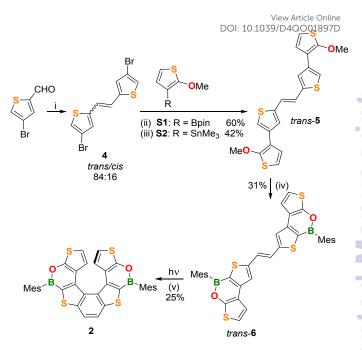
# **Results and Discussion**

# Synthesis, NMR spectra, and X-ray characterization of 2

The (BO)2-helicene 2 was prepared in four steps, starting from 4bromothiophene-2-carbaldehyde<sup>12</sup> (Scheme 1), which was converted into the alkene 4 in 89% yield (trans:cis = 84:16) via a McMurry coupling. The trans isomer was isolated in 82% yield by washing the crude product with Et<sub>2</sub>O.

The next step was performed on trans-4 and involved Suzuki or Stille coupling with the corresponding partners 2-(2-methoxythiophen-3yl)-1,3,2-dioxaborolane S1 or (2-methoxythiophen-3yl)trimethylstannane S2 and furnished the alkene trans-5 in 60% and 42% yield, respectively. Although Suzuki coupling gave a better yield, our preferred route for trans-5 remains Stille coupling, due to the easier preparation and purification of the stannane S2. The subsequent two-fold borylation of trans-5 was performed by demethylative borylation with  $BCl_3/[nBu_4N]I/Et_3N^{13}$ chlorobenzene at 135 °C, followed by the addition of MesMgBr. In this way, the alkene trans-6 was obtained in 31% yield after purification.

The structures and the trans-configurations of the double bonds in intermediates 4, 5, and 6 were confirmed by X-ray crystallography (Figure S36-S38). The last reaction to obtain the helicene structure was a Mallory photocyclization<sup>14</sup> involving as first step the isomerization of trans-6 to cis-6, which afterwards cyclized to give 2. We first investigated the trans→cis isomerization. To this end, an



Scheme 1. (i) 2.6 equiv Zn, 1.2 equiv TiCl<sub>4</sub>, THF, 0-15 °C; (ii) 2.4 equiv S1 (R = Bpin), Pd(PPh<sub>3</sub>)<sub>4</sub> 10 mol%, 4 equiv NaOH (3 M), THF, 80 °C, 15h; (iii) 2.1 equiv **S2** (R = SnMe<sub>3</sub>),  $Pd(Pt-Bu_3)_2$  10 mol%, toluene, 80 °C, 17h; (iv) 2.2 equiv  $BCl_3$ , 2 equiv  $Et_3N$ , 2.4 equiv [nBu<sub>4</sub>N]I, chlorobenzene, 135 °C, then THF, 6 equiv MesMgBr; (v) 405 nm LED, C<sub>6</sub>D<sub>6</sub>, r.t., 1.5 h. Mes = 2,4,6-trimethylphenyl (mesityl).

NMR tube charged with a non-degassed C<sub>6</sub>D<sub>6</sub> solution of trans-6 was irradiated with a 405 nm LED lamp and the reaction progress was monitored by <sup>1</sup>H NMR. Surprisingly, this test showed that not only trans-6 smoothly isomerizes to cis-6 but also that cis-6 undergoes photocyclization to give 2, even in the absence of an added oxidant (e.g. I<sub>2</sub>; differently from what was required for the synthesis of **1**).<sup>10</sup> In light of these results, we then performed the synthesis of 2 under the same conditions: four NMR tubes charged with trans-6 and C<sub>6</sub>D<sub>6</sub> (10 mg/1mL) were simultaneously irradiated with the 405 nm LED lamp until the almost complete disappearance of the alkene precursor (1.5 h; NMR-spectroscopic control). The NMR solutions were then combined and, after chromatographic purification, (BO)2helicene 2 was isolated in 25% yield.

NMR characterization of the new (BO)<sub>2</sub>-helicene 2 was done along with a comparison with the chemical shifts of the isomeric helicene 1 (Figure 2a,b). The inversion of the BO-bonds in the oxaborine rings causes a substantial upfield shift of the diagnostic <sup>1</sup>H and <sup>13</sup>C signals of the terminal thiophene rings.

For instance, in **2**, the protons at the  $\alpha$  and  $\theta$  positions of the terminal thiophene rings substituted with an electron-donating O atom (Othiophene) resonate at 6.93 and 6.52 ppm, respectively, whereas in the corresponding isomer 1 they are significantly deshielded due to the electron-withdrawing effect of the B atom (B-thiophene) and resonate at 7.37 and 7.19 ppm (cf. parent **7TH**: 6.83, 6.67 ppm). The same trend is observed for the <sup>13</sup>C shifts: **2** C( $\alpha$ ) and C( $\theta$ ) resonate at 123.0 and 112.4 ppm, respectively, but are significantly deshielded in **1** ( $C(\alpha)$  = 135.6 ppm,  $C(\theta)$  = 126.5 ppm).

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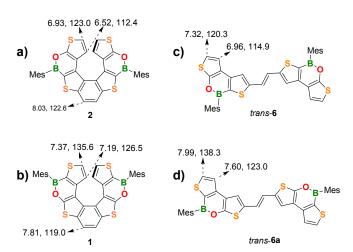


Figure 2. Comparison of diagnostic <sup>1</sup>H and <sup>13</sup>C NMR resonances (CDCl<sub>3</sub>) of the two (BO)<sub>2</sub>helicenes 1 and 2 and of the corresponding trans-alkenes 6a and 6.

A similar behavior is observed for the corresponding alkene precursors trans-6 and trans-6a for both the  $H(\alpha)/C(\alpha)$  and  $H(\beta)/C(\beta)$ resonances (Figure 2c,d). Due to the proximity of the electronwithdrawing B atoms, the protons/carbons of the central benzene ring in 2 are deshielded (8.03/122.6 ppm) with respect to those at the same positions in 1 (7.81/119.0 ppm).

Interestingly, the  $\alpha$  and  $\theta$  protons of the terminal thiophene rings of 2 are more shielded than those of its planar precursor trans-6 (6.93/6.52 ppm vs. 7.32/6.96 ppm). This effect can be attributed to the helical 3D-structure, in which each terminal thiophene ring falls into the shielding region of the corresponding ring in the opposite helicene wing. (BO)<sub>2</sub>-helicene 1 and its precursor trans-6a show the same trend.

The crystal structure of 2 was determined by single-crystal X-ray crystallography using the high-flux DESY Petra III synchrotron source. 2 crystallizes as racemic mixture of the (P)- and (M)-enantiomers in the monoclinic crystal system (Figure 3a). 2 shows a dihedral angle between the two terminal thiophene rings of 58.36(6)° (Figure 3b), significantly larger than that of 1  $(50.26(9)^\circ)^{10}$  and **7TH**  $(48.6(1)^\circ)^{15}$ while the associated intramolecular centroid---centroid distances have comparable values (4.299(4) Å for **2**; 4.153(6) Å for **1**).

As for 1, the central benzene ring of 2 is twisted (Figure 3c) as evidenced by the torsion angle of –10.5° (9.7°  $^{1}$ 6 $^{1}$ 7) between  $^{1}$ 8  $^{1}$ 8 (H)CC(H) vector and the opposite CC bond.

Contrary to 1 and similar to most of the Mes-protected B-doped polycyclic aromatic hydrocarbons (PAHs), 16 the Mes substituents of 2 are nearly orthogonal to the oxaborine rings (Figure 3c), as evidenced by the Mes//O-B-C dihedral angle (98.6(2)° for 2; 59.0(5)° and 58.6(6)° for 1). In both 2 and 1 the ortho-CH<sub>3</sub> groups of the Mes substituents are positioned at approximately 4 Å to the  $CH(\theta)$  atoms of the opposite thiophene rings, thus excluding that the different Mes orientations in the two (BO)<sub>2</sub>-helicenes could be caused by steric factors (e.g. H/H clashes). The reason for the two different orientations apparently lies in the crystal packing of 1 and 2.

The B-O bond lengths of **2** (1.393(3) Å) are slightly shorter than those of 1 (1.402(4) and 1.401(5) Å), but longer than those of the reference compound 9-mesityl-10,9-oxaboraphenanthrene (av. B-O = 1.378 Å; av. Mes//O-B-C = 104.1(3) and 84.8(3)° for two crystallographically independent molecules in the asymmetric unit).17 In the crystal, alternating molecules of (P)- and (M)-2 form infinite rods by means of  $\pi$ -stacking interactions between the central benzene rings (Figure 3a; similar to **7TH**).<sup>15</sup> The corresponding intramolecular centroid...centroid distances are 3.666(4) Å, and the shortest intermolecular C···C contacts are 3.552(4) and 3.666(4) Å (grey lines in Figure 3a). As for 1, the shortest intermolecular S···S distances are smaller than the intramolecular ones (3.665(5) and 6.140(5) Å, respectively, for **2**; 3.9911(14) and 5.8156(2) Å, respectively, for **1**).

### Synthesis attempts for 3

Next, we attempted the synthesis of the (BO)-helicene 3 (Scheme 2a), formally derived by the replacement of the central C=C bond of **7TH** with a (Mes)B-O fragment.

To this end, we pursued two different synthetic strategies (Scheme 2a,b). The first approach involved the late-stage borylation of 7 (Scheme 2a; see the SI for details of its synthesis). In the second approach, the oxaborine ring is constructed first (via borylative cyclization  $9\rightarrow 10$ ; see the SI for the synthesis of 9), and the obtained bis-olefinated oxaborine 10 is then subjected to Mallory photocyclization (Scheme 2b).

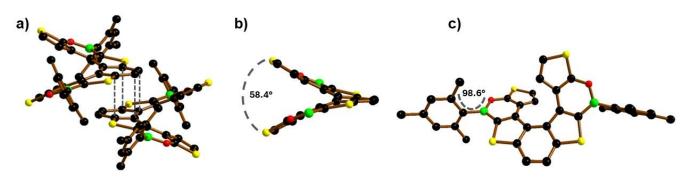


Figure 3. a)  $\pi$ -stacking interaction between a (P)/(M)-pair of 2 with H atoms omitted for clarity; <sup>18</sup> grey lines indicate the shortest intermolecular C···C contacts. b) Dihedral angle between the terminal thiophene rings of (P)-2; Mes rings are omitted for clarity. c) Front view of (P)-2. B: green, C: black, O: red, S: yellow spheres.

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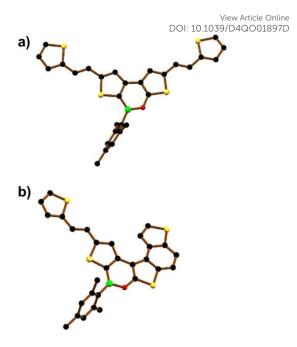
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2. MesMgBr

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 $\label{eq:Scheme 2. a) (i) 1.5 equiv BCl_3 or BBr_3, 1 equiv Et_3N, 1.2 equiv [nBu_4N]I, chlorobenzene, 135 °C, then THF, 3 equiv MesMgBr; b) (i) 1.1 equiv BCl_3, 1 equiv Et_3N, 1.2 equiv [nBu_4N]I, chlorobenzene, 135 °C, then THF, 3 equiv MesMgBr; (ii) 385 nm LED , 2.1 equiv I_2, 200 equiv propylene oxide, <math>C_6H_6$ , r.t., 3.5 h.

Unfortunately, the borylative cyclization 7→3 failed for BCl<sub>3</sub> and BBr<sub>3</sub>; only the hydroxy derivative **7-OH** was isolated besides a small amount of unidentified products (Scheme 2a; see the SI for details, Table S1). The crystal structure of the precursor compound 7 (Figure S39) indicates that the final B-C bond-forming step would have to be enforced against adverse steric factors and is therefore unfavorable. In contrast, borylation of the more flexible bis-olefin 9 (see the SI for details of its synthesis) gave the bis-olefinated oxaborine 10 in satisfactory yield (42%) as a mixture of four E/Z isomers after chromatographic purification. Treatment of the mixture with npentane allowed the isolation of crystals of E,E-10, the configuration of which was confirmed by single-crystal X-ray diffraction (Figure 4a, Figure S42). Photocyclization of 10 was carried out on the mixture of isomers in benzene solution by irradiation with a 385 nm LED lamp for 3.5 h at room temperature in the presence of 2 equiv. of I<sub>2</sub> and excess propylene oxide as HI scavenger (Scheme 2b).<sup>19</sup> In this way, the penta-fused product E/Z-11 was obtained in 50% yield as an



**Figure 4**. Solid-state structures of a) *E,E*-**10** and b) *E*-**11**. Hydrogen atoms are omitted for clarity. B: green, C: black, O: red, S: yellow spheres.

almost equimolar mixture of the E and Z-isomers. We found no indication for the formation of  $\mathbf{3}$ .  $^1H$  NMR analysis of E/Z- $\mathbf{11}$  allowed the assignment of most of the proton resonances of both structures (see the SI). An X-ray diffraction study of single crystals of E- $\mathbf{11}$  confirmed that the photocyclization had occurred at the "Othiophene" double bond (Figure 4b, Figure S43).

# Spectroscopic, Electrochemical, Theoretical, and Chiroptical Characterization of 2

The electrochemical, spectroscopic, and chiroptical properties of the new  $(BO)_2$ -helicene **2** were investigated by cyclic voltammetry, UV/Vis and fluorescence spectroscopy, as well as chiral HPLC analysis, and compared with the corresponding properties of isomer **1** 

Cyclic voltammetry measurements on **2** (THF, [ $nBu_4N$ ][PF<sub>6</sub>]; referenced against the FcH/FcH<sup>+</sup> couple) showed two irreversible reduction processes with peak potentials of  $E^I_{pc} = -1.66$  V and  $E^{II}_{pc} = -2.36$  V in the cathodic scan and an irreversible oxidation peak in the anodic scan ( $E_{pa} = 0.82$  V; Figures S28, S29, and Table S3). Helicene **2** is therefore easier to reduce than its isomer **1** ( $E^I_{pc}$ (**1**) = -2.83 V). Consequently, the electrochemically determined LUMO energy of **2** ( $E_{LUMO} = -3.14$  eV) is also significantly lower than that of **1** ( $E_{LUMO} = -1.97$  eV), thus confirming that the B-O-bond inversion contributes markedly to the stabilization of the LUMO.

The molar absorptivity and emission spectra of **2** and **1** (*c*-hexane) are shown in Figure 5a; the data are summarized in Table 1. Both compounds show an absorption band below 300 nm and a second, more structured band at lower energy, which is red-shifted in **2** and characterized by a lower absorption coefficient relative to **1**. Consequently, the energy gap between the frontier orbitals is narrowed ( $E_a^{\text{opt}}(\mathbf{2}) = 2.90 \text{ eV}$  vs.  $E_a^{\text{opt}}(\mathbf{1}) = 3.16 \text{ eV}$ ). The emission

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spectrum of **2** shows a band with well-resolved vibrational fine structure and a maximum at 446 nm which similar to the absorption band, is bathochromically shifted with respect to the spectrum of **1**. The photoluminescence quantum efficiency of **2** remains unchanged,  $\Phi_{PL} = 7\%$  (*c*-hexane; *cf.* **1**:  $\lambda_{em} = 411$  nm,  $\Phi_{PL} = 6\%$ ; **7TH**:  $\lambda_{em} \approx 405$  nm,  $\Phi_{PL} = 6\%$ )<sup>20</sup> and the lifetime of the excited state is  $\tau = 0.82$  ns.

To gain insight into the origin of the low quantum efficiency of **2**, low-temperature photoluminescence spectra (77 K) were measured. To avoid degradation of **2** in 2-MeTHF (which usually contains peroxides), measurements were carried out in a frozen toluene matrix; for comparison, corresponding spectra of the helicene precursor *trans-***6** and the mesityl dithienooxaborine (**MesDTOB**) subunit have also been included in Figure 5b.

At 77 K, the fluorescence emission of 2 between 400 and 530 nm overlaps with the room temperature photoluminescence in c-hexane and the corresponding average lifetime of the singlet excited state is  $\tau$  = 1.09 ns. Interestingly, at low temperature, we observed a longwavelength photoluminescence, between 530 and 800 nm, not present at room temperature. This emission corresponds to phosphorescence from the triplet excited state with a typical lifetime of  $\tau$  = 63.3 ms. Both fluorescence and phosphorescence signals are highly structured and with spacing of about 1300 and 300-700 cm<sup>-1</sup>, respectively, as observed for similar thiahelicene and carbohelicene structures reported in the literature. 11,21 In particular, the lowfrequency vibronic progression of the phosphorescence band is associated with breathing vibrational modes, so-called "helix breathing". 11,22 The observed phosphorescence of 2 results from the population of the triplet state by intersystem crossing from the singlet excited state and the subsequent relaxation of the triplet state by radiative processes. In this type of structure, these spinforbidden singlet-triplet transitions become partially allowed by the enhanced spin-orbit coupling arising from two different contributions: the helically twisted molecular scaffold and the presence of heavy sulfur heteroatoms along the conjugated system. Notably, phosphorescence emission was observed also in our previous studies on  $\alpha$ -dimesitylboryl benzodithiophene systems<sup>23</sup> (resembling the B-thiophene-benzene-thiophene-B fragment of 2) and in tetrathia[7]helicene derivatives. 11

Under the same conditions, no phosphorescence was detected for the more flexible precursor *trans-6*, likely due to rotational deactivation processes. Interestingly, intense phosphorescence was also observed at 77 K for the dithienooxaborine model compound MesDTOB, albeit at much shorter wavelengths than in the case of 2 (Figure 5b). Overall, the photophysical characterization of 2 showed that the introduction of two BO moieties into the parent 7TH results in a red-shift of the phosphorescence emission and an enhanced phosphorescence/fluorescence ratio (for low-temperature emission measurements on 7TH (in 2-MeTHF) see reference<sup>11</sup>).

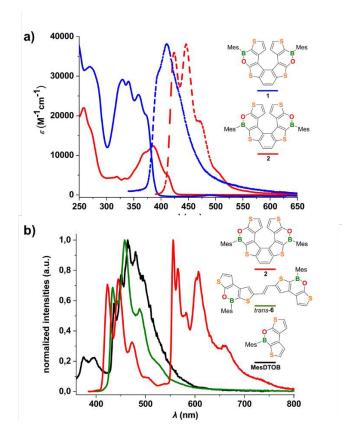


Figure 5. a) Molar absorptivity (solid lines) and emission (dashed lines) spectra of 2 (red) and 1 (blue) in c-hexane. b) Low-temperature emission spectra of 2 (red), trans-6 (green) and MesDTOB (black) in a frozen toluene matrix (77 K).

**Table 1.** Photophysical data of **2**, *trans-***6** and **MesDTOB** in *c*-hexane at room temperature and in toluene at 77K.

	c-hexane, r.t. <sup>[a]</sup>						toluene, 77 K				
	$oldsymbol{\lambda}_{abs}$ [nm] ( $arepsilon$ [M $^{ ext{-}1}$ cm $^{ ext{-}1}$ ])	<b>λ</b> <sub>em</sub> [nm]	<b>r</b> [ns]	$oldsymbol{arOmega}_{ t PL}$	<b>k</b> <sub>r</sub> [10 <sup>7</sup> ]	<b>k</b> <sub>nr</sub> [10 <sup>9</sup> ]	<b>λ</b> <sub>em,FL</sub> [nm]	<b>7,</b> <sub>FL</sub> [ns]	<b>λ</b> <sub>ет,РН</sub> [nm]	<b>т,</b> <sub>РН</sub> [ms]	<b>Ε</b> τ [eV]
2	258 (21900), 271 (sh), 319 (4600), 334 (4200), 368 (10600), 385 (12400), 413 (5000)	424, 446, 472, 506 (sh)	0.82	7	8.5	1.13	423, 445, 473, 505 (sh)	1.09	556, 566, 582, 603, 607, 660, 735 (sh)	63.3	2.23
trans- <b>6</b>	270 (26500), 355 (30700), 370 (30000), 393 (sh), 414 (sh)	429, 452, 489 (sh)	0.31	6	19.3	3.03	433, 457, 489, 530 (sh)	0.48	-	-	-
MesDTOB	269 (32400), 330 (29100), 341 (28600), 359 (25300), 374 (sh)	366, 380	0.30	6	20.0	3.13	375, 395	0.70	436, 452, 464, 480	104	2.84

[a] Quantum yields and lifetimes determined on N<sub>2</sub>-saturated solutions. [FL] = fluorescence. [PH] = phosphorescence. sh = shoulder. Rate constants  $k_r$  and  $k_{nr}$  are calculated using the equations  $k_r = \Phi_{PL}/\tau$  and  $k_{nr} = (1-\Phi_{PL})/\tau$ . Absorption and emission spectra of *trans*-6 at r.t. are given in the SI. For absorption and emission spectra of *MesDTOB* at r.t. see reference.<sup>10</sup>

The HOMOs and LUMOs of the  $(BO)_2$ -helicenes  ${\bf 1}$  and  ${\bf 2}$  have been computed at the M06/6-311+G(d,p) level of theory (Figure 6, Figure S49). A comparison with the HOMO/LUMO of parent **7TH** confirms that the introduction of the BO moieties substantially influences the LUMO delocalization and that the Mes rings make no contribution to the frontier orbitals, in agreement with reports on (BN)-doped carbohelicenes. The LUMO of  ${\bf 2}$  is  $\approx 0.6$  eV lower than that of  ${\bf 1}$  (-2.22 eV vs. -1.65 eV), in line with the trend observed for experimental values (-3.14 eV vs. -1.97 eV). As expected, the LUMO of  ${\bf 2}$  is mainly delocalized over the thiophene-benzene-thiophene central fragment rather than over the terminal thiophene rings (as in the case of  ${\bf 1}$ ). Conversely, the HOMOs of  ${\bf 1}$ ,  ${\bf 2}$ , and  ${\bf 7TH}$  present only minor differences, both in terms of energy and degree of delocalization.

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Preliminary racemization tests showed that the enantiomers of **2** are stereochemically stable at room temperature. The (P)-/(M)-enantiomers of **2** were therefore separated by chiral stationary phase ultra-high performance liquid chromatography (CSP-UHPLC) on a (S,S)-Whelk-O 1 column, using n-hexane/CH<sub>2</sub>Cl<sub>2</sub> (95:5) as eluent (Figure 7a).<sup>24</sup> Later, the analytical HPLC resolution was scaled up to the semipreparative level using the same eluent and a 10 mm internal diameter column. Racemization studies were conducted on the second eluted enantiomer showing an e.e. = 97% ( $R_t \approx 7$  min; Figure 7a, red trace). The collected fractions containing the second eluted enantiomer were combined and evaporated at room temperature. The solid residue was dissolved in decalin and subjected to thermal racemization at 80 °C.

The changes in the enantiomeric excess of the sample were checked by CSP-UHPLC (Figure 7b). The racemization process was monitored by the decrease in the enantiomeric excess of the sample. Significant interconversion of the two enantiomers was visible after 12 min and the elution peak of the first enantiomer ( $R_t \approx 6 \text{ min}$ ) began to energie (Figure 7b).

After approximately 8 h, the e.e. had dropped to 65% (Figure 7b, purple trace). Data collected over this time (Figure S46) were used to determine the racemization constant  $k_{\rm rac}=1.48\times10^{-5}~{\rm s}^{-1}.^{24,25}$  The enantiomerization constant was calculated by the relation  $k_{\rm enant}=0.5\times k_{\rm rac}$ . The free energies of activation,  $\Delta G_{\rm rac}{}^{*}=28.6\pm0.1~{\rm kcal~mol}^{-1}$  (at 353 K) and  $\Delta G_{\rm enant}{}^{*}=29.4\pm~0.1~{\rm kcal/mol}$  (at 353 K), were calculated by using the Eyring equation and setting a transmission coefficient equal to 0.5 (see Section 8.1 of the SI).  $^{26}$ 

Both  $\Delta G_{\rm rac}^{\, \, \, \star}$  and  $\Delta G_{\rm enant}^{\, \, \star}$  of **2** are close to the corresponding values of **1** ( $\Delta G_{\rm rac}$  (**1**) $^{\, \star}$  = 26.9  $\pm$  0.1 kcal mol $^{-1}$ ,  $\Delta G_{\rm enant}$  (**1**) $^{\, \star}$  = 27.4 $\pm$  0.1 kcal mol $^{-1}$  at 353K), which reveals that the inversion of the B-O bonds does not reduce the configurational stability of the enantiomers.

We then evaluated the energy barrier through DFT calculations, using the same functional and basis set as for compound  $1.^{10}$  Optimization of the transition state has been performed at the PBE1-PBE/TZVP level with empirical dispersion corrections,<sup>27</sup> obtaining one imaginary frequency, this gave a barrier of 36 kcal mol<sup>-1</sup>, significantly higher ( $\approx$  8 kcal mol<sup>-1</sup>) than the one obtained from the experimental data ( $\Delta G_{\rm enant}$  ( $1^{+}$  = 27.4 ± 0.1 kcal mol<sup>-1</sup>). The analysis of a relaxed scan to evaluate the racemization barrier of model compounds without Mes groups ( $1^{+}$  and  $2^{+}$ ; Figure S50) allows to increase the basis set level and to compare  $1^{+}$  and  $2^{+}$  with carbo[6]helicene and 7TH to assess the influence of the heteroatoms.<sup>28</sup> However, similar values for the enantiomerization barrier were obtained ( $\approx$  36 kcal mol<sup>-1</sup>).

By contrast, a second scan carried out for **1**, **2**, **carbo[6]helicene**, and **7TH** with a semiempirical method (AM-1 level) produced results that

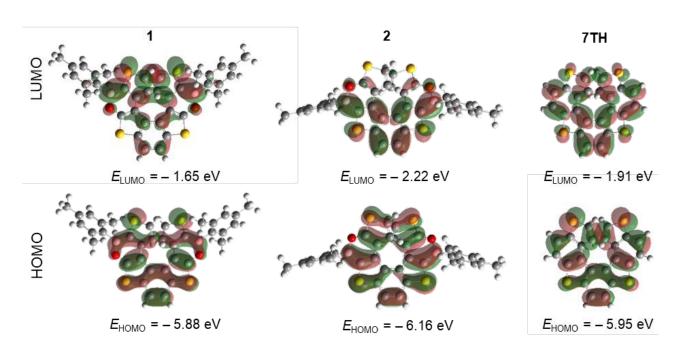
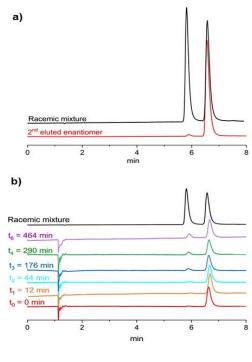


Figure 6. Isovalue surfaces for the LUMO and HOMO orbitals of 1, 2, and 7TH, calculated at the M06/6-311+G(d,p) level.

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**Figure 7.** a) CSP-UHPLC resolution of **2** (stationary phase: (*S,S*)-Whelk-O 1, mobile phase: n-hexane/CH<sub>2</sub>Cl<sub>2</sub> (95:5), flow rate = 1.0 mL min<sup>-1</sup>, 25 °C). b) Thermal racemization study conducted on the second eluted enantiomer in decalin at 80 °C; solvent peak:  $R_1 \approx 1$  min

were in better agreement with the experimental observations (27.8 kcal mol<sup>-1</sup> for **1** and 28.9 kcal mol<sup>-1</sup> for **2** (Figure S51). In any case, the enantiomerization barrier is not much influenced by the presence of the Mes groups. As for **1**, comparison of the minimum and transition-state structures of **2** and **7TH** suggests that the smaller double-bond character of the B=O pairs allows for greater flexibility of the six-membered heterocycles compared to benzene rings (Figure S52).<sup>10</sup> Circular dichroism (CD) spectra recorded in n-hexane/CH<sub>2</sub>Cl<sub>2</sub> (95:5) at 20 °C showed a perfect mirror-image relationship between the first and second eluted enantiomer of **2** (Figure 8a); the optical rotation values are  $-264^{\circ}$  (e.e. = 98%) and  $+261^{\circ}$  (e.e. = 97%), respectively, which are in the same order of magnitude as those of **1** ( $-97.5^{\circ}$ ;  $+97.7^{\circ}$ ).

To assign the absolute configuration, the (M)-structure of  ${\bf 2}$  was optimized at the M06/6-311+G(d,p) level of theory. Similar to compound  ${\bf 1}$ , only one stable conformer was found.

The comparison of calculated and experimental spectra is shown in Figure 8b: a good correspondence is obtained between the calculated spectrum and the spectrum of the first eluted enantiomer of **2** (opposite to the case of **1**, for which the (M)-structure matches the CD spectrum of the second eluted enantiomer). Figure 8a illustrates how the inversion of the B-O bonds leads to remarkably different CD spectra: while **1** shows only weak spectral features above  $\lambda = 260$  nm,<sup>10</sup> **2** has a more intense and structured CD spectrum with the first feature recorded at 414 nm, in correspondence of the first shoulder observed in the absorption spectrum (Figure 5a).

Circularly polarized luminescence (CPL) spectra of  $\bf 2$  showed a band at  $\lambda$  = 440 nm (Figure 8c; the resolution of our CPL apparatus does not permit to reproduce all the vibronic features observed in the

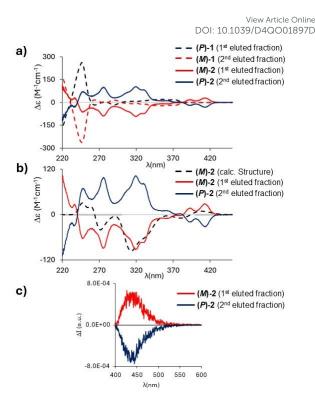


Figure 8. a) Circular dichroism (CD) spectra of the 1 (dashed lines) and 2 (solid lines) enantiomers in n-hexane/CH<sub>2</sub>Cl<sub>2</sub> (95:5) at 20 °C; red traces correspond to the (M)-structures, blue traces to the (P)-structures. b) CD spectra of (M)-2 (red trace) and (P)-2 (blue trace) in n-hexane/CH<sub>2</sub>Cl<sub>2</sub> (95:5) at 20 °C; computed CD spectrum of the optimized (M)-2 structure is shown for comparison (brown dashed trace; multiplied by a factor of 1.5). c) Circularly Polarized Luminescence (CPL) spectra of (M)-2 (red trace) and (P)-2 (blue trace) in n-hexane/CH<sub>2</sub>Cl<sub>2</sub> (95:5) at 20 °C.

emission spectrum of **2** (Figure 5a). The dissymmetry ratio (defined as  $g_{\text{lum}} = \Delta I/I$  and  $g_{abs} = \Delta A/A)^{29}$  in emission is  $g_{\text{lum}} = 0.8 \times 10^{-3}$  and is comparable to those of other organic molecules,<sup>30</sup> and lower than  $g_{abs}$  of the first CD band ( $g_{abs} = 2 \times 10^{-3}$ ; Figure 8b). The first transition, responsible for the absorption/emission band (in the two optimized geometries), is associated with an electric and magnetic dipole transition moment parallel to the  $C_2$ -axis. Interestingly, the most intense CD band ( $g_{abs} = 8.5 \times 10^{-3}$ ) is found at 320 nm (Figure 8b); conversely, in the corresponding region of the absorption spectrum, a low-intensity band is observed (Figure 5a). This indicates that electric and magnetic dipole moments have the prevalent component along the helical axis (see Table S15).

# Conclusions

We reported the synthesis and full characterization of the (BO)<sub>2</sub>-helicene **2**, which was obtained through a four-step synthesis involving a Mallory photocyclization as last step. Moreover, the study of the synthesis of the singly-doped BO-helicene (**3**) was also described.

A combined experimental and theoretical effort allowed us to evaluate: 1) the properties-switch produced by the BO bonds inversion  $1\rightarrow 2$ , along with 2) the effect of BO-doping on **7TH**.

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Although the effect on the quantum yield is negligible ( $\mathcal{O}_{PL}(\mathbf{2}) = 7\%$  vs.  $\mathcal{O}_{PL}(\mathbf{1}) = 6\%$ ), switching of BO vectors proved to be a useful tool to achieve a lowered LUMO, red-shifted absorption/emission bands ( $\approx$ 40 nm), and a smaller energy gap ( $E_g^{\text{opt}}(\mathbf{2}) = 2.90 \text{ eV vs. } E_g^{\text{opt}}(\mathbf{1}) = 3.16 \text{ eV}$ ).

As previously observed for **1**, comparison of **2** with **7TH**, highlighted that the incorporation of BO bonds does not significantly improve fluorescence performances ( $\mathcal{O}_{PL}$  (**2**) = 7% vs.  $\mathcal{O}_{PL}$  (**7TH**) = 5%), but provides a detectable CPL signal ( $g_{lum} = 0.8 \times 10^{-3}$ ).

At low-temperature (77 K), **2** showed an intense and structured phosphorescence (peaked at 556 nm;  $\tau = 63.3$  ms) reflecting noticeable vibronic components from "helix breathing" modes. This is important evidence that the incorporation of B-O bonds enhances the flexibility of the helical scaffold, as it is also highlighted by kinetics studies ( $\Delta G_{\rm enant}^{z}(2)$ ) is 10 kcal/mol lower than  $\Delta G_{\rm enant}^{z}(7TH)$ ).

These findings now indicate how BO-doping is a means of influencing the rigidity of tetrathia[7]helicenes and demonstrate how it is possible to manipulate the electronic distribution across the helix by changing the position of the B centers within the scaffold. Overall, our results provide essential information for the synthesis and the structural design of new (BO)-helicene derivatives with tailored optoelectronic and mechanical properties.

# **Author contributions**

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59 60 L.M. and M.W conceived the idea. L.M., M.W., E.L., and C.B. wrote the manuscript. All authors discussed and commented on the manuscript. In detail: L.M. and C.B. synthesized the compounds; L.M. and M.P. performed spectroscopical measurements; S.A. and S.G. performed the electrochemical measurements; S.M. and C.V. performed the enantiomers separation and measured CD spectra. G.L. and G.M. performed the theoretical calculations and measured CPL spectra; A.V. and M.B. performed single crystal X-ray diffraction studies. L.M., M.W., E.L. and C.B. directed and supervised the project.

# **Conflicts of interest**

There are no conflicts to declare.

# **Data availability**

The data that support the findings of this study are available from the corresponding authors upon request.

# **Acknowledgements**

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The data supporting this article have been included as part of the Supplementary Information.

The CIF files containing the crystallographic information are deposited in the Cambridge Crystallographic Data Centre under the deposition codes 2384683-2384691 and can be obtained free of charge via <a href="https://www.ccdc.cam.ac.uk/data\_request/cif">www.ccdc.cam.ac.uk/data\_request/cif</a>. Deposition numbers CCDC 2384683 (for 2), CCDC 2384684 (for 4), CCDC 2384685 (for 5), CCDC 2384686 (for 6), CCDC 2384687 (for 7), CCDC 2384688 (for 8), CCDC 2384689 (for 9), CCDC 2384690 (for 10) and CCDC 2384691 (for 11) contain the supplementary crystallographic data for this paper.

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