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Significance of Halogen Bonding in the Synergistic Nucleation of Iodine Oxoacids and Iodine Oxides

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Accepted 00th January 20xxRongjie Zhang,^{a#} Yueyang Liu,^{a#} Rujing Yin,^a Fangfang Ma,^a Deming Xia,^{a,b} Jingwen Chen,^a Hong-Bin Xie,^{a*} and Joseph S. Francisco^{b*}

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Congeneric iodine oxoacids and iodine oxides, key nucleating vapours in the marine atmosphere, have been reported to nucleate individually. However, whether they can nucleate together remains unknown. Here, we provide molecular-level evidence that I_2O_4 , the iodine oxide with the highest nucleation potential towards iodine oxoacids, can synergistically nucleate with HIO_3 - HIO_2 . The nucleation rate of HIO_3 - HIO_2 - I_2O_4 is 1.5 to 6.8 times higher than that of the known most efficient iodine-associated two-component (HIO_3 - HIO_2) nucleation at 278.15 K, enhancing the role of iodine-containing species in marine atmospheric particle formation. Microscopic analysis of the three-component cluster configurations revealed that an unexpected acid-base reaction between I_2O_4 and HIO_2 / HIO_3 is a key driver of this efficient synergistic nucleation, besides hydrogen bonds and halogen bonds. We identified halogen bond-induced basicity enhancement as the chemical nature of I_2O_4 behaving as a base in the nucleation process with HIO_2 / HIO_3 . Such a basicity enhancement effect can be extended to other iodine-containing species, e.g., HIO_2 and the more acidic HIO_3 , suggesting a common feature in interactions between iodine-containing species. Our findings clarify the synergistic nucleation of iodine oxoacids and iodine oxides and highlight the necessity of considering the effect of halogen bond-induced basicity enhancement on the formation of iodine-containing particles.

Introduction

New particle formation (NPF) initiated by the nucleation of condensable vapours produces more than half of all atmospheric aerosols.¹⁻³ These nascent particles act as cloud condensation nuclei (CCN), significantly influencing the earth's atmosphere radiative balance and modulating global climate patterns.^{4,5} Marine NPF plays a pivotal role in the global climate model, as marine clouds have high albedo and are susceptible to changes in CCN availability.⁶⁻¹⁰ However, the influence of marine NPF on climate remains uncertain, mainly due to the limited understanding of the nucleation process in the marine atmosphere, thus resulting in extensive uncertainty within global models.

Conventional theory has been based on the assumption that sulfuric acid (SA)-ammonia (NH_3) nucleation is the dominant mechanism in NPF in marine environments.^{11,12} However, recent research has highlighted the importance of iodine oxides (I_xO_y) and iodine oxoacids (HIO_z) as crucial nucleation precursors.^{10,13-28} These species are formed mainly by the transformation of iodine (I_2) and methyl iodide (CH_3I), which are emitted from the ocean surface.²⁹⁻³³ Although iodine-initiated NPF events have been frequently observed,^{13,34-37} the specific nucleation mechanism is still not fully understood. One study reported that in flow tube experiments, the nucleation of iodine oxides, particularly I_2O_2 , I_2O_3 , and I_2O_4 at high concentrations, is rapid.¹⁴ However, Cosmics

Leaving Outdoor Droplets (CLOUD) chamber experiments have shown that nucleation involving iodic acid (HIO_3) and iodous acid (HIO_2) can occur rapidly under marine boundary layer conditions.³⁸ In these CLOUD chamber experiments, the concentration of formed iodine oxides is relatively low when the precursor concentration is low, which could be one of the reasons why iodine oxide nucleation cannot compete with HIO_3 - HIO_2 nucleation. Iodine oxides and iodine oxoacids are known to coexist on the basis of their homology and can form strong halogen bonds (typical halogen bond energies ΔE ranging from 1 to 45 kcal mol⁻¹ calculated by Oliveira et al.³⁹), which are non-covalent interactions formed between an electrophilic halogen atom and a nucleophilic heteroatom (i.e., with lone-pair electrons).^{39,40} Therefore, they may be able to nucleate together, so investigating the joint nucleation mechanism for iodine oxides and iodine oxoacids is important.

In this study, the multicomponent nucleation mechanism of iodine oxides and iodine oxoacids was investigated via quantum chemical calculations and atmospheric cluster dynamic coding. Here, multicomponent nucleation was considered to occur among HIO_3 , HIO_2 and specific iodine oxides since HIO_3 and HIO_2 have been found to be able to nucleate at realistic atmospheric concentration ranges.^{38,41} Since dimer can present the foundational intermolecular interaction between iodine oxides and iodine oxoacids, and the computational cost of dimer is low, the dimer formation free energy (ΔG) between iodine oxides (IO , I_2O_2 , I_2O_3 , I_2O_4 , and I_2O_5) and iodine oxoacids (HIO_3 and HIO_2) was used to screen the propensity of specific iodine oxides towards nucleation with iodine oxoacids. I_2O_4 was found to have the greatest nucleation potential among the selected iodine oxides and can efficiently nucleate with HIO_3 - HIO_2 as a base. Halogen bond-induced basicity enhancement of I_2O_4 was found to be the chemical nature for I_2O_4 behaving as a

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base during the nucleation with $\text{HIO}_3\text{-HIO}_2$. This study reveals that iodine oxides and iodine oxoacids can synergistically nucleate, breaking through the previous findings of their independent nucleation^{14,38} and providing a new chemical mechanism for iodine-containing particles.

Methods

A multistep sampling scheme was employed to identify the global minimum for all of the studied clusters, including $\text{I}_x\text{O}_y\text{-HIO}_{2-3}$ dimer clusters and $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system clusters. This procedure has been widely used in many previous studies on atmospheric cluster formation.⁴²⁻⁴⁴ First, 5000-8000 initial configurations were generated for each cluster using the ABCluster program⁴⁵ and were optimized via the semiempirical PM7 method. The single point energy was subsequently calculated at the M06-2X/def2-TZVP level for all the converged geometries. Configurations up to 15 kcal mol⁻¹ higher than the lowest energy configuration were further fully optimized at the M06-2X/6-31++G(d,p) (for H O atoms) + aug-cc-pVTZ-PP (a basis set with relativistic pseudopotential for I atoms⁴⁶) level. Since there are tens of configurations we have to optimize for each cluster in this step, the adopted larger basis set such as aug-cc-pVTZ(-PP) (aug-cc-pVTZ for H O atoms and aug-cc-pVTZ-PP for I atoms) would lead to the high-cost for the computational time. Hence, we employed the smaller basis set here to reduce computational cost. Our previous study found that the adopted basis set here for optimization presents similar ΔG for $\text{HIO}_3\text{-HIO}_2$ clusters to that of the aug-cc-pVTZ(-PP) basis set (Table S1).⁴¹ Moreover, we also tested several clusters including $(\text{HIO}_2)_1(\text{I}_2\text{O}_4)_1$, $(\text{HIO}_3)_2(\text{I}_2\text{O}_4)_2$ and $(\text{HIO}_3)_1(\text{HIO}_2)_1(\text{I}_2\text{O}_4)_1$ with other basis set def2-TZVP. It was found that the low-energy configurations (within 1-2 kcal mol⁻¹ of the lowest-energy configuration) from def2-TZVP basis set are the same as those from 6-31++G(d,p) + aug-cc-pVTZ-PP (Figure S1). Therefore, the adopted basis set is acceptable for the first-round geometry optimization for $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system. Finally, for the lowest-energy configurations within 1-2 kcal mol⁻¹, reoptimization was performed at the M06-2X/aug-cc-pVTZ(-PP) level, and the single point energy was refined at the DLPNO-CCSD(T)/aug-cc-pVTZ(-PP) level. If the calculation results failed during optimization or ended up with imaginary frequencies, the initial configurations were adjusted and reoptimized until a successful optimization without imaginary frequencies was finished. The configuration with the lowest Gibbs free energy (G) at 298.15 K and 1 atm was chosen as the global minimum. The G values at other temperatures and pressures were obtained via a GoodVibes Python script.⁴⁷ The ΔG for the global minimum was calculated by subtracting the G of the constituent molecules from that of the cluster. We also tested the influence of the dispersion correction by reoptimizing the global minima for selected three clusters $((\text{HIO}_2)_1(\text{I}_2\text{O}_4)_1$, $(\text{HIO}_3)_2(\text{I}_2\text{O}_4)_2$ and $(\text{HIO}_3)_1(\text{HIO}_2)_1(\text{I}_2\text{O}_4)_1$) using M06-2X/aug-cc-pVTZ(-PP), with Grimme's dispersion correction with ZERO Damping GD3.⁴⁸⁻⁵⁰ The root-mean-

square deviation (RMSD) for optimized structure and difference in ΔG from method with and without dispersion correction are 0.001 ~ 0.003 Å and -0.004 ~ 0.012 kcal mol⁻¹, indicating that the dispersion correction causes slight influence on the results of this study (Table S2). All the PM7 and M06-2X calculations were carried out with the Gaussian 16 program⁵¹ and DLPNO-CCSD(T) calculations were performed with the software OCRA 5.0.3.^{52,53} In addition, natural bond orbital (NBO) analysis was carried out by Gaussian 16 program to give a detailed insight into intermolecular interactions.

The cluster formation rate and growth mechanism were analysed via Atmospheric Cluster Dynamics Code (ACDC) software, which simulates the cluster kinetics by means of explicit solution of the birth-death equations.⁵⁴ Herein, the simulated system was treated as a 3×3 box containing $(\text{HIO}_3)_{0-3}(\text{HIO}_2)_{0-3}$, $(\text{HIO}_3)_{0-3}(\text{I}_2\text{O}_4)_{0-3}$, $(\text{HIO}_2)_{0-3}(\text{I}_2\text{O}_4)_{0-3}$ and $(\text{HIO}_3)_x(\text{HIO}_2)_y(\text{I}_2\text{O}_4)_z$ ($x = 1-3$, $y + z = 2-3$) clusters. The boundary cluster settings can be found in the Supporting Information (SI). The simulation mainly ran at 278.15 K and 1 atm with a coagulation sink rate coefficient (k_{coag}) of $2 \times 10^{-3} \text{ s}^{-1}$, a typical value in coastal regions where iodine species are nucleating.⁵⁵ Additional simulations were performed at 298.15, 253, 223.15 K to probe the effect of temperature, and further simulations were conducted at 0.5 and 0.1 atm to test the effect of pressure. The concentration of HIO_3 ($[\text{HIO}_3]$) was set to 10^5 to 10^8 cm^{-3} based on the common atmospheric concentration range.^{13,36-38} The concentrations of HIO_2 and I_2O_4 were set to approximately 1/30 $[\text{HIO}_3]$ and 1/100 $[\text{HIO}_3]$, respectively, corresponding to the measured steady-state concentrations in the CLOUD chamber experiment.³⁸ The detailed discussion on the selection of $[\text{I}_2\text{O}_4]$ was presented in the SI. We accounted for the contribution of long-range interactions via an enhancement factor of 2.4 for the collision rate coefficient.^{41,56} In addition, the cluster formation rate of the SA- $\text{HIO}_3\text{-HIO}_2$ system $((\text{SA})_x(\text{HIO}_3)_y(\text{HIO}_2)_z$ ($0 \leq x + y \leq 3$, $1 \leq z \leq 3$) clusters) was simulated for comparison, with the concentration of SA ranging from 10^6 to 10^7 cm^{-3} .^{37,57}

The similar ACDC simulations based on thermodynamics data from the same theoretical methods as ones used here have been employed in the previous studies on SA- $\text{HIO}_3\text{-HIO}_2$ and $\text{HIO}_3\text{-HIO}_2$ nucleation, producing consistent cluster formation rates with those from CLOUD experiments.^{26,41} Therefore, it is reasonably believed that adopted computational level of theories combined with ACDC simulations can be reliable to describe the interactions among the iodine species and predict the cluster formation mechanism for the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system.

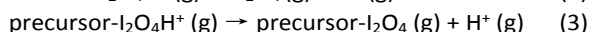
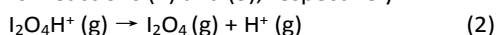
To investigate the correlation between the formation of halogen bonds (XBs) and the change in basicity of I_2O_4 , a series of I_2O_4 -containing dimer clusters that contain only one XB were manually constructed and optimized at the M06-2X/aug-cc-pVTZ(-PP) level. After optimization, the single point energies (E) of these dimer clusters were



calculated at the DLPNO-CCSD(T)/aug-cc-pVTZ(-PP) level. The bond energy (ΔE) was calculated to represent the strength of the formed XB:

$$\Delta E = |E(\text{precursor-I}_2\text{O}_4 \text{ dimer}) - E(\text{precursor}) - E(\text{I}_2\text{O}_4)| \quad (1)$$

Previous study has shown that the change in electrostatic potential (ΔESP) at specific basic site can be employed to present the change in basicity of the compounds.⁵⁸ Herein, we employed ΔESP for the basic site of I_2O_4 to represent the change in basicity of I_2O_4 when it forms XBs with various precursors. The computational details for ΔESP was presented in the SI. Furthermore, we also directly calculated the gas basicity (GB) of I_2O_4 before and after the formation of XBs, which is defined as the Gibbs free energy change (ΔG) for reactions (2) and (3), respectively:



It is noted that the terminal O atom of I_2O_4 with the most negative average ESP after forming XB was taken as the basic site for ΔESP and GB calculation. The geometries of positive precursor- $\text{I}_2\text{O}_4\text{H}^+$ dimers and $\text{I}_2\text{O}_4\text{H}^+$ were manually constructed by adding H^+ to the basic site of I_2O_4 .

Results and Discussion

Of all iodine oxides, I_2O_4 is the precursor with the highest potential towards iodine oxoacids. The nucleation potential of iodine oxides towards iodine oxoacids was checked by their dimerization with HIO_2 or HIO_3 . Figure 1 presents the ΔG values and global minimum configurations of the HIO_2 -containing and HIO_3 -containing dimer clusters. The ΔG values for all dimers except IO-HIO_2 and IO-HIO_3 are negative, ranging from -9.7 to -21.6 kcal mol^{-1} . Of all the HIO_2 -containing and HIO_3 -containing dimers, $\text{HIO}_2\text{-I}_2\text{O}_4$ and $\text{HIO}_3\text{-I}_2\text{O}_4$ exhibit the lowest ΔG values, followed by $\text{HIO}_2\text{-I}_2\text{O}_2$ and $\text{HIO}_3\text{-I}_2\text{O}_2$, respectively. Therefore, I_2O_4 is expected to have the greatest intrinsic nucleation potential towards iodine oxoacids, followed by I_2O_2 . In addition, the ΔG values of $\text{HIO}_2\text{-I}_2\text{O}_4$ and $\text{HIO}_3\text{-I}_2\text{O}_4$ are even lower than that of $\text{HIO}_3\text{-HIO}_2$ (-16.79 kcal mol^{-1}), indicating that I_2O_4 has a greater ability to bind with HIO_2 or HIO_3 than HIO_3 and HIO_2 do with each other. It is noteworthy that Engsvang et al. also calculated ΔG values for dimers of iodine oxides (I_2O_4 and I_2O_5) with iodine oxoacids in a recent study.⁵⁹ They found $\text{I}_2\text{O}_4\text{-HIO}_{2-3}$ dimers have lower ΔG values than $\text{I}_2\text{O}_5\text{-HIO}_{2-3}$ dimers, consistent with results predicted here, although the specific ΔG values in this study are lower.

Observation of the configurations and NBO analysis of the dimers found that both I_2O_4 and I_2O_2 accept a proton from HIO_3 in $\text{HIO}_3\text{-I}_2\text{O}_4$ and $\text{HIO}_3\text{-I}_2\text{O}_2$ clusters in addition to forming XBs and hydrogen bonds (HBs). Accordingly, both I_2O_4 and I_2O_2 behave as bases towards HIO_3 . However, for dimers of other iodine oxides with HIO_3 , there is no proton transfer even though XBs and HBs are formed. Therefore, the additional electrostatic interaction caused by the proton transfer reaction is expected to be one reason that I_2O_4 and I_2O_2 have lower dimer ΔG values with HIO_3 than other iodine oxides do. It is noted that the structure of

$(\text{HIO}_3)_1(\text{I}_2\text{O}_4)_1$ resembles two IO_3 radicals clustered with a hypoiodous acid HOI . However, $(\text{HIO}_3)_1(\text{I}_2\text{O}_4)_1$ could not

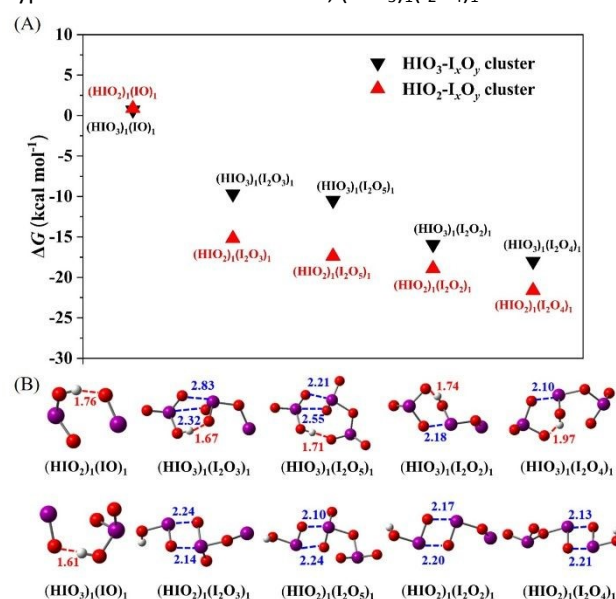


Figure 1. Formation free energy (ΔG) (A) and global minimum configurations (B) of $\text{I}_x\text{O}_y\text{-HIO}_{2-3}$ dimers at the DLPNO-CCSD(T)/aug-cc-pVTZ(-PP)//M06-2X/aug-cc-pVTZ(-PP) level of theory at 298.15 K. The red balls represent oxygen atoms, purple ones are for iodine atoms, and white ones are for hydrogen atoms. The red dashed lines in the configurations represent hydrogen bonds (HBs), and the blue dashed lines represent XBs. The red and blue numbers indicate the bond lengths of HBs and XBs, respectively. The bond lengths are given in Å.

dissociate into $\text{HOI} + 2\cdot\text{IO}_3$ rather than $\text{HIO}_3 + \text{I}_2\text{O}_4$, since the ΔG value for $(\text{HIO}_3)_1(\text{I}_2\text{O}_4)_1$ dissociating into $\text{HOI} + 2\cdot\text{IO}_3$ (76.14 kcal mol^{-1}) is much higher than $\text{HIO}_3 + \text{I}_2\text{O}_4$ (18.01 kcal mol^{-1}). For the dimers of HIO_2 with I_2O_4 and I_2O_2 , two XBs are formed. Two XBs are known to form for the dimers of HIO_2 with other iodine oxides except for IO . The lower dimer ΔG values of I_2O_4 and I_2O_2 with HIO_2 result from the stronger strength of XBs formed between them, as evidenced by the lower average energy gap between antibonding orbital $\delta^*(\text{O-I})$ and lone-pair orbital $\text{LP}(\text{O})$, which are two critical molecular orbitals for the formation of XBs with HIO_2 (Table S3). As a representative example, Figure S2 illustrates the $\delta^*(\text{O-I})$ and $\text{LP}(\text{O})$ orbitals involved in the $(\text{I}_2\text{O}_4)_1(\text{HIO}_2)_1$ dimer. Therefore, although I_2O_5 has more electronically deficient iodine centers than $\text{I}_2\text{O}_4/\text{I}_2\text{O}_2$, the additional electrostatic interaction caused by the proton transfer reaction between $\text{I}_2\text{O}_4/\text{I}_2\text{O}_2$ and HIO_3 , and the stronger average strength of two XBs between $\text{I}_2\text{O}_4/\text{I}_2\text{O}_2$ and HIO_2 , make $\text{I}_2\text{O}_4/\text{I}_2\text{O}_2$ form a more stable complex with iodine oxoacids compared to I_2O_5 .

As discussed above, I_2O_4 is expected to have the highest intrinsic nucleation potential towards iodine oxoacids. In addition, in photochemical reactions of I_2 or CH_3I with ozone (O_3) under laboratory conditions, the gaseous concentration of I_2O_4 produced was higher than that of all other iodine oxides except IO .^{15,60} Therefore, I_2O_4



has the greatest nucleation potential towards iodine oxoacids. In the following section, we describe our detailed investigation of the joint nucleation of I_2O_4 and iodine oxoacids.

Configurations of HIO_3 - HIO_2 - I_2O_4 clusters featuring halogen-induced acid–base reaction. We present the cluster configurations for the HIO_3 - HIO_2 - I_2O_4 system in Figure 2 and Figure S3. Since HIO_3 - HIO_2 configurations have already been investigated in our previous study,⁴¹ we mainly focus on HIO_3 - I_2O_4 and HIO_2 - I_2O_4 two-component clusters (Figure S3) and HIO_3 - HIO_2 - I_2O_4 three-component clusters (Figure 2) here. Observation and analysis of the cluster configurations shows that I_2O_4 accepts a proton from HIO_3 and HIO_2 in almost all HIO_3 - I_2O_4 and HIO_2 - I_2O_4 two-component clusters. Therefore, I_2O_4 behaves as a Brønsted–Lowry base when it clusters with HIO_3 or HIO_2 . To our knowledge, this is the first time that I_2O_4 has been revealed to behave as a base in clustering with HIO_3 or HIO_2 . In HIO_3 - HIO_2 - I_2O_4 three-component clusters, only HIO_3 , with the greater acidity, can donate a proton, and I_2O_4 or HIO_2 can accept a proton. In addition, I_2O_4 has a greater ability to accept a proton from HIO_3 than HIO_2 does. Therefore, the behaviour of I_2O_4 as a base is a common feature of HIO_3 - I_2O_4 and HIO_2 - I_2O_4 two-component clusters and HIO_3 - HIO_2 - I_2O_4 three-component clusters, in addition to the formation of typical XBs and HBs.

Why I_2O_4 can behave as a base towards HIO_{2-3} is an interesting topic for discussion. The calculated gas basicity (GB) of I_2O_4 is 199 kcal mol⁻¹, close to that of NH_3 (196 kcal mol⁻¹), which has been found to be unable to accept a proton from HIO_3 in the $(\text{HIO}_3)_1(\text{NH}_3)_1$ dimer.^{17,18} Therefore, the GB of I_2O_4 cannot explain its acid–base reaction with HIO_2 and HIO_3 . According to observation of the configurations of HIO_3 - HIO_2 - I_2O_4 clusters, the occurrence of acid–base reactions with I_2O_4 as a base is always accompanied by the formation of XBs. Therefore, it is reasonable to speculate that the formation of XBs can induce the basicity enhancement of I_2O_4 , further driving the acid–base reaction.

To verify this speculation, ΔESP for the basic site and GB of I_2O_4 when it forms XBs with various precursors, was determined. Here, the selected precursors included amines, carbonyl compounds, sulfides, alcohols, benzothiazole, peroxides and hydroperoxymethyl, which can form XBs with I_2O_4 at various bond strengths. Since I_2O_4 can form two types of XBs via its two lowest energy antibonding orbitals $\delta^*(\text{O}-\text{I})$, the effects of these two types of XBs on ΔESP and GB were considered. Figure 3A shows that the ΔESP values for the O atom as a basic site are negative when I_2O_4 forms a XB with precursors (identity of selected precursors was presented in Table S4), which leads to an increased basicity of I_2O_4 . Moreover, a higher XB energy generally results in a greater (more negative) ΔESP value. As shown in Figure 3B, the GB of I_2O_4 -precursor dimer clusters is significantly greater than that of the I_2O_4 monomer regardless of the type of XBs. In addition, a roughly positive correlation between the formed XB energy

and the GB values of I_2O_4 -precursor dimer clusters is observed. More importantly,

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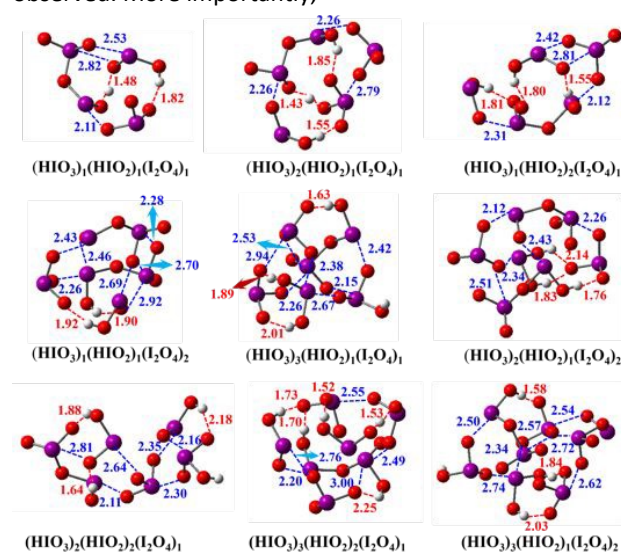


Figure 2. Global minimum configurations of the $(\text{HIO}_3)_x(\text{HIO}_2)_y(\text{I}_2\text{O}_4)_z$ ($x = 1-3$, $y + z = 2-3$) clusters calculated at the DLPNO-CCSD(T)/aug-cc-pVTZ(-PP)//M06-2X/aug-cc-pVTZ(-PP) level of theory. The red balls represent oxygen atoms, purple ones are for iodine atoms, and white ones are for hydrogen atoms. The red dashed lines represent HBs, and the blue dashed lines represent XBs. The red and blue numbers indicate the bond lengths of HBs and XBs, respectively. The bond lengths are given in Å.

even when a relatively weak XB (approximately 13 kcal mol⁻¹) is formed, the GB of I_2O_4 can be increased to 215 kcal mol⁻¹, which is close to that of dimethylamine (DMA) (215 kcal mol⁻¹), a typical base for enhancing SA-driven nucleation.⁵⁷ Therefore, the formation of XBs indeed increases the basicity of I_2O_4 , increasing it from a level similar to that of NH_3 to a level that is comparable to or even greater than that of DMA.

Notably, the present study and an earlier study also revealed that HIO_2 behaves as a base towards HIO_3 .⁴¹ Similarly, the ΔESP for the basic site of HIO_2 when it forms XBs with various precursors was determined. An effect similar to that of the formed XB on the ΔESP for the terminal O atom as a basic site was also found for the HIO_2 system (Figure S4). Therefore, the formation of XBs can greatly increase the basicity of I_2O_4 and HIO_2 . To our knowledge, this is the first study to reveal that XBs induce acid–base reactions in HIO_3 - HIO_2 and HIO_3 - HIO_2 - I_2O_4 nucleation systems.

Since XBs are weak non-covalent interactions, it is interesting to check whether other weak non-covalent interactions like HBs, commonly formed in the acid–base nucleation system, can also induce the basicity enhancement of compounds. Here, possible basicity enhancement effect of the HBs was checked by taking NH_3 as the test case. It was found that HBs indeed can induce the basicity enhancement of NH_3 (see ΔESP values for the N



atom as the basic site of NH_3 when it forms HBs with

precursors in Table S5). Still, when XBs and HBs are

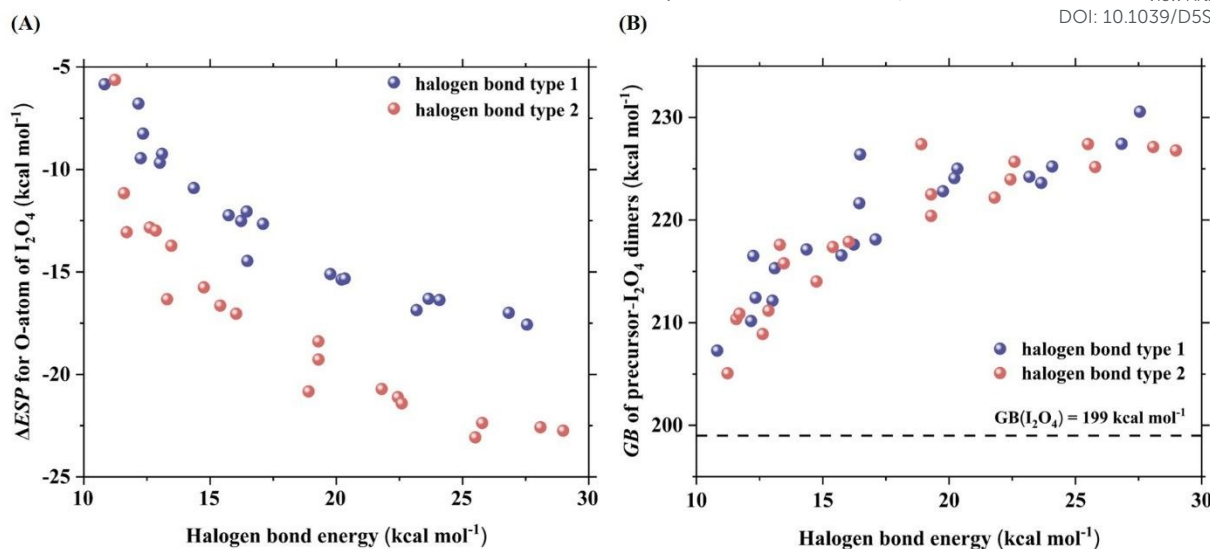


Figure 3. Change in electrostatic potential (ΔESP) values (kcal mol⁻¹) for the O atom as a basic site of I_2O_4 (A) and the gas basicity (GB) (kcal mol⁻¹) of precursor- I_2O_4 dimers (B) as a function of the halogen bond energy (kcal mol⁻¹). The purple and red spheres represent two different types of XBs. O-atom 1 is the basic site of I_2O_4 when it forms XB type 1 with precursors. O-atom 2 is the basic site of I_2O_4 when it forms XB type 2 with precursors.

considered as non-covalent interactions, the correlation of non-covalent interaction energy with ΔESP is similar to that of XB energy with ΔESP , i.e. a higher non-covalent interaction energy generally results in a greater (more negative) ΔESP value (Figure S5). Since the HB energy is weaker than XB energy considered here, the basicity enhancement effect of HBs is much lower than that of the XBs (Figure S5). If the stronger or more HBs are formed in the SA-base systems, the basicity enhancement effect of HBs could be improved. In view of potential role of HBs in inducing basicity enhancement, future investigation on effect of HB-induced basicity enhancement in different nucleation systems is warranted.

Low formation free energy of I_2O_4 -containing clusters. The cluster formation free energy of the HIO_3 - HIO_2 - I_2O_4 system at 278.15 K and 1atm is presented in Figure S6. As shown in Figure S6, the ΔG values of all HIO_3 - I_2O_4 and HIO_2 - I_2O_4 two-component clusters are lower than those of the corresponding HIO_3 - HIO_2 two-component clusters. The ΔG values of all I_2O_4 -rich clusters are lower than those of the corresponding HIO_3 or HIO_2 -rich clusters in these two-component clusters. In addition, the ΔG values of the I_2O_4 -containing three-component clusters are lower than those of the corresponding HIO_3 - HIO_2 two-component clusters with the same number of acids (HIO_3) and bases (HIO_2 and I_2O_4). All of the aforementioned results indicate that I_2O_4 has a greater ability to bind with HIO_2 or HIO_3 than HIO_3 and HIO_2 do with each other, which is consistent with the conclusion from the ΔG analysis of the dimers of I_2O_4 with

HIO_2 and HIO_3 . The higher binding ability of I_2O_4 leads to the high stability of most I_2O_4 -containing clusters, as evidenced by their low evaporation rates (Figure S7). Therefore, the participation of I_2O_4 in HIO_3 - HIO_2 nucleation may be favorable. It is noteworthy that $(\text{HIO}_2)_3(\text{I}_2\text{O}_4)_3$ and $(\text{HIO}_2)_3(\text{I}_2\text{O}_4)_2$ clusters own high evaporation rates despite they have low ΔG values. It is understandable since the evaporation rate is not only dependent on the ΔG value of the individual cluster, but also on the stability of its all possible daughter clusters.⁵⁴ The high evaporation rate of $(\text{HIO}_2)_3(\text{I}_2\text{O}_4)_3$ and $(\text{HIO}_2)_3(\text{I}_2\text{O}_4)_2$ clusters result from the higher stability of their daughter clusters (e.g. $(\text{HIO}_2)_2(\text{I}_2\text{O}_4)_2$). Tables S6 and S7 also display the ΔG values of the HIO_3 - HIO_2 - I_2O_4 system clusters at other temperatures and pressures. The ΔG values of most clusters become more negative with decreasing temperature, while they become higher with decreasing pressure.

Previous experimental and theoretical studies have shown that HBs are favorable for new particle formation, particularly for those involving organic acids.^{61,62} Herein, we also compared the effects of HBs and XBs on cluster formation by examining the formation free energy of two types of specific dimers: organic acids (benzoic acid, cis-pinonic acid, formic acid)- NH_3 dimers formed by HBs,⁶² and HIO_2 - I_2O_4 and HIO_2 - HIO_2 dimers formed by XBs.⁴¹ It is found that the formation free energies of HIO_2 - I_2O_4 and HIO_2 - HIO_2 dimers are lower than those of organic acids (benzoic acid, cis-pinonic acid, formic acid)- NH_3 dimers (Table S8). This implies that XBs here are stronger than HBs.



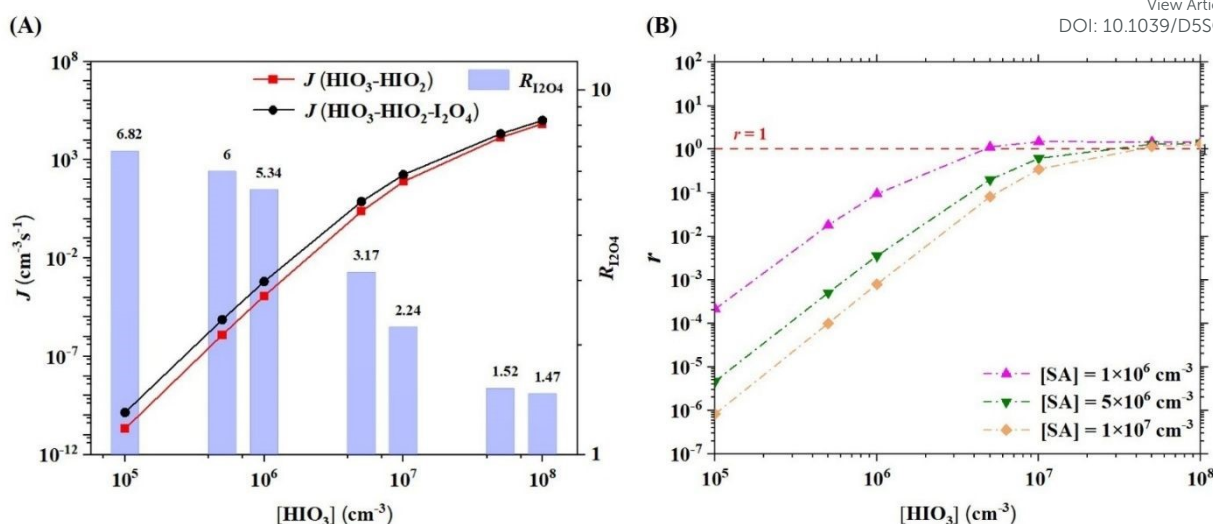


Figure 4. Cluster formation rates (J) ($\text{cm}^{-3} \text{s}^{-1}$) of the $\text{HIO}_3\text{-HIO}_2$ and $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ systems and enhancement coefficient ($R_{\text{I}_2\text{O}_4}$) (the ratio of the J value of the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system relative to that of the $\text{HIO}_3\text{-HIO}_2$ system) (A) and comparison coefficient (r) (the ratio of the J value of the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system relative to that of the $\text{SA-HIO}_3\text{-HIO}_2$ system) (B) as a function of precursor concentration at 278.15 K, 1 atm and $k_{\text{coag}} = 0.002 \text{ s}^{-1}$.

Cluster formation rates of the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system. The variation in the cluster formation rates (J) of the three-component $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system with $[\text{HIO}_3]$ ($10^5\text{-}10^8 \text{ cm}^{-3}$) ($[\text{HIO}_2] = 1/30 [\text{HIO}_3]$, $[\text{I}_2\text{O}_4] = 1/100 [\text{HIO}_3]$) at 278.15 K, 1 atm and $k_{\text{coag}} = 0.002 \text{ s}^{-1}$, along with that of the two-component $\text{HIO}_3\text{-HIO}_2$ system, is presented in Figure 4A. The detailed computational methods for J are presented in SI. Note that pure I_2O_4 nucleation is not compared with three-component nucleation since its rate is much lower than that of $\text{HIO}_3\text{-HIO}_2$ nucleation when $[\text{I}_2\text{O}_4] = 1/100 [\text{HIO}_3]$ (Figure S8). As shown in Figure 4A, the J values of the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system increase with $[\text{HIO}_3]$. The J values of the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system are higher than those of the two-component $\text{HIO}_3\text{-HIO}_2$ system under the studied conditions, especially at low $[\text{HIO}_3]$. Therefore, I_2O_4 can enhance $\text{HIO}_3\text{-HIO}_2$ nucleation. To clearly demonstrate the enhancement effect of I_2O_4 , the enhancement coefficient ($R_{\text{I}_2\text{O}_4}$), which is the ratio of the J value of the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system relative to that of the $\text{HIO}_3\text{-HIO}_2$ system, was calculated. The calculated $R_{\text{I}_2\text{O}_4}$ ranges from 1.5 to 6.8 at 278.15 K, depending on $[\text{HIO}_3]$. The lower $[\text{HIO}_3]$ is, the higher $R_{\text{I}_2\text{O}_4}$ is. The $R_{\text{I}_2\text{O}_4}$ of 6.8 applies when the absolute J is very low and the maximum $R_{\text{I}_2\text{O}_4}$ for non-negligible absolute J (around $7.5 \text{ cm}^{-3} \text{s}^{-1}$) is about 2-3. The higher enhancement effect of I_2O_4 at low $[\text{HIO}_3]$ decreases the lower limit concentration that HIO_3 -driven nucleation has a pronounced nucleation rate. The required $[\text{HIO}_3]$ values are $3.45 \times 10^6 \text{ cm}^{-3}$ and $4.35 \times 10^6 \text{ cm}^{-3}$ for J values of approximately $1 \text{ cm}^{-3} \text{s}^{-1}$ in the systems with and without I_2O_4 , respectively. This provides further support for the idea that iodine oxides play a key role in iodine oxoacid nucleation. We also noted that a very recent study by Engsvang and Elm found “oxoacid-assisted oxide” nucleation efficient.⁶³ In their study, it was found that the predicted rate of $\text{HIO}_3\text{-HIO}_2$ nucleation is much

lower than the experimental rates based on their selected theoretical method.⁶³ In our early studies, the predicted $\text{HIO}_3\text{-HIO}_2$ and $\text{SA-HIO}_3\text{-HIO}_2$ nucleation rates using the same theoretical methods as in this study are comparable to that from CLOUD data.^{26,41} Besides, the concentration of iodine oxide is much lower than that of iodine oxoacid in the ambient atmosphere.³⁸ Hence, we treat $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ synergistic nucleation as “oxide-assisted oxoacid” here. In fact, both studies found that iodine oxoacid and iodine oxide can nucleate together.

The J of $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system and $R_{\text{I}_2\text{O}_4}$ as a function of temperature at 1 atm are presented in Figure S9. J values rise as temperature declines, while further decreasing temperature exhibits a diminished effect on J below 253 K. The temperature dependence of J is more pronounced at lower $[\text{HIO}_3]$. As shown in Figure S9B, enhancement of I_2O_4 on $\text{HIO}_3\text{-HIO}_2$ nucleation becomes higher at higher temperature (298.15 K). Figure S10 illustrates the J and $R_{\text{I}_2\text{O}_4}$ as a function of pressure at 278.15 K. J of $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system and $R_{\text{I}_2\text{O}_4}$ increase slightly as pressure decreases from 1 to 0.5 atm but remain nearly constant when pressure declines from 0.5 to 0.1 atm.

A recent study revealed that SA can also enhance $\text{HIO}_3\text{-HIO}_2$ nucleation.²⁶ Therefore, comparing the enhancement potential of I_2O_4 with that of SA would be interesting. The variation in the comparison coefficient (r), which is the ratio of the J value of the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system relative to that of the $\text{SA-HIO}_3\text{-HIO}_2$ system, with $[\text{HIO}_3]$ and $[\text{SA}]$, is presented in Figure 4B. At low $[\text{HIO}_3]$ and therefore lower $[\text{I}_2\text{O}_4]$, r is much less than 1, indicating that the enhancement potential of I_2O_4 is lower than that of SA. When $[\text{HIO}_3] \geq 5 \times 10^6 \text{ cm}^{-3}$ and the corresponding $[\text{I}_2\text{O}_4] \geq 5 \times 10^4 \text{ cm}^{-3}$, r becomes approximately 1, i.e., 1.12~1.50 for



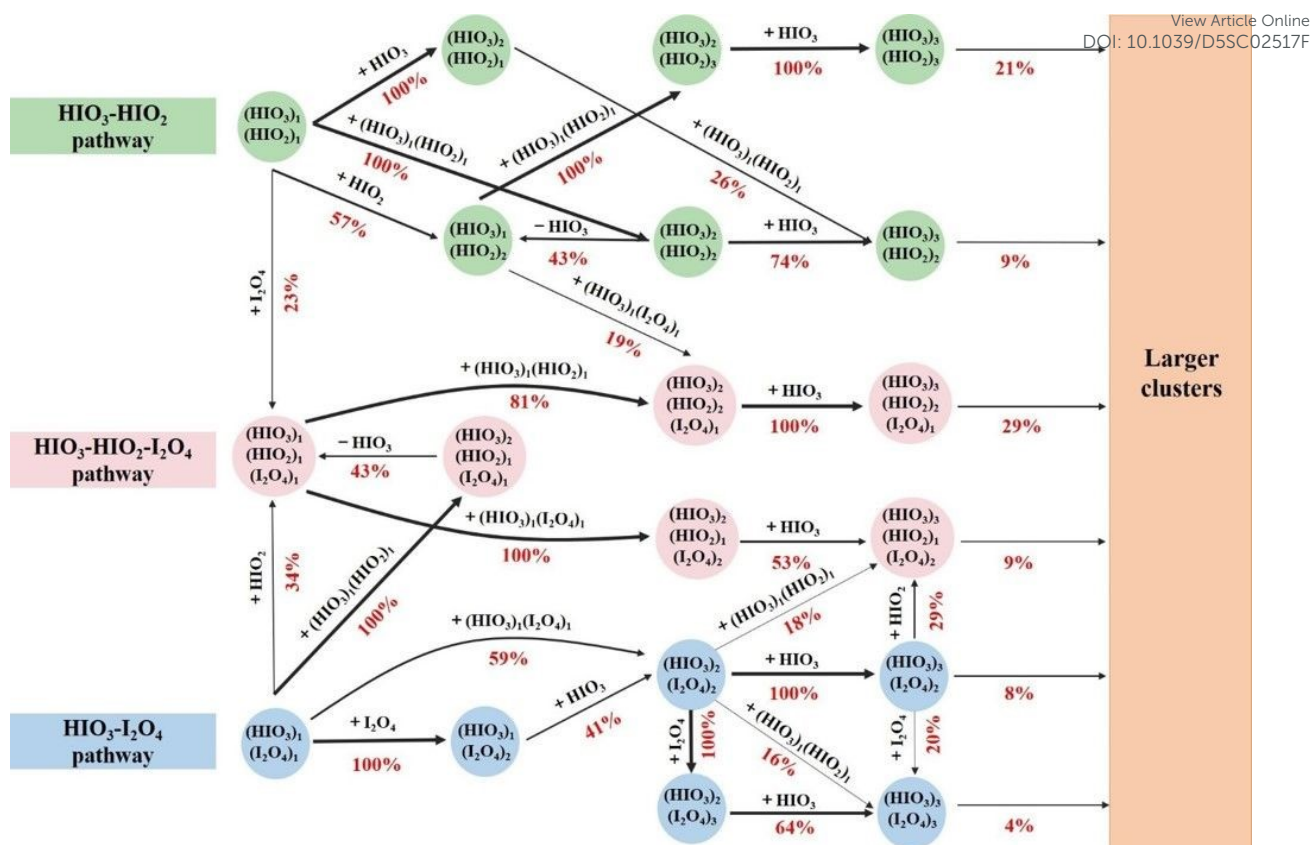


Figure 5. Cluster formation pathways for the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system at 278.15 K, $[\text{HIO}_3] = 1 \times 10^7 \text{ cm}^{-3}$, $[\text{HIO}_2] = 3.33 \times 10^5 \text{ cm}^{-3}$, $[\text{I}_2\text{O}_4] = 1 \times 10^5 \text{ cm}^{-3}$ and $k_{\text{coag}} = 0.002 \text{ s}^{-1}$. The pathways contributing less than 15% to the flux of cluster formation are not show.

$[\text{SA}] = 1 \times 10^6 \text{ cm}^{-3}$; 0.20~1.38 for $[\text{SA}] = 5 \times 10^6 \text{ cm}^{-3}$, indicating that the enhancement potential of I_2O_4 is comparable to or even greater than that of SA for $\text{HIO}_3\text{-HIO}_2$ nucleation. In most cases for which r is approximately 1, $[\text{I}_2\text{O}_4]$ is much lower than $[\text{SA}]$. Therefore, I_2O_4 , as a base, has a greater enhancement efficiency than SA for $\text{HIO}_3\text{-HIO}_2$ nucleation.

Synergistic nucleation mechanism of the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system. The cluster growth pathway for the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ system is shown in Figure 5. Overall, the cluster growth pathway involves three channels: the I) $\text{HIO}_3\text{-HIO}_2$ pathway, II) $\text{HIO}_3\text{-I}_2\text{O}_4$ pathway and III) $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ pathway. The contributions of the two-component pathways ($\text{HIO}_3\text{-HIO}_2$ and $\text{HIO}_3\text{-I}_2\text{O}_4$) are 30% and 12%, respectively, and the contribution of the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ pathway is 38%. At the very early cluster formation stage, two-component clusters of $\text{HIO}_3\text{-HIO}_2$ and $\text{HIO}_3\text{-I}_2\text{O}_4$ evolved into three-component $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ clusters. Note that almost all clusters growing out of the box had O/I ratios ranging from 2.3 to 2.5, which is consistent with the O/I ratio of approximately 2.5 reported in a previous laboratory study,¹⁵ supporting the occurrence of the proposed synergistic nucleation mechanism of iodine oxoacids and iodine oxides in the atmosphere.

Implications

This study reveals that I_2O_4 , HIO_3 and HIO_2 can nucleate together, breaking through the previous findings that iodine oxoacids and iodine oxides independently nucleate.^{14,38} More importantly, I_2O_4 , HIO_3 and HIO_2 nucleate in a synergistic way. This synergistic nucleation increases the contribution of iodine-containing species to NPF beyond what was previously thought. Figure 6 shows the significance of $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ nucleation on a global scale. It is noted that $\text{HIO}_3\text{-HIO}_2$ system was selected as the base case, since $\text{HIO}_3\text{-HIO}_2$ is the only two-component system that was verified to have high nucleation rate at the realistic atmospheric concentration ranges of HIO_2 and HIO_3 ³⁸ and has the highest nucleation rate among three two-component systems in most conditions. As shown in Figure 6, the nucleation rate increased by at least 1.5 times in areas where HIO_3 was detected once I_2O_4 was involved in nucleation. Under conditions of relatively high temperature and 10^7 cm^{-3} HIO_3 in Helsinki, the nucleation rate can be increased by up to 23.3 times. In addition, I_2O_4 enhances $\text{HIO}_3\text{-HIO}_2$ nucleation as a base. Atmospheric acids such as SA and methanesulfonic acid (MSA) have been proposed to enhance $\text{HIO}_3\text{-HIO}_2$ nucleation.^{25,26,64,65} Therefore, multicomponent nucleation involving HIO_2 , HIO_3 , I_2O_4 , SA, MSA and other acids can further increase the contribution of iodine-containing species to NPF, which deserves future ambient field and laboratory study.



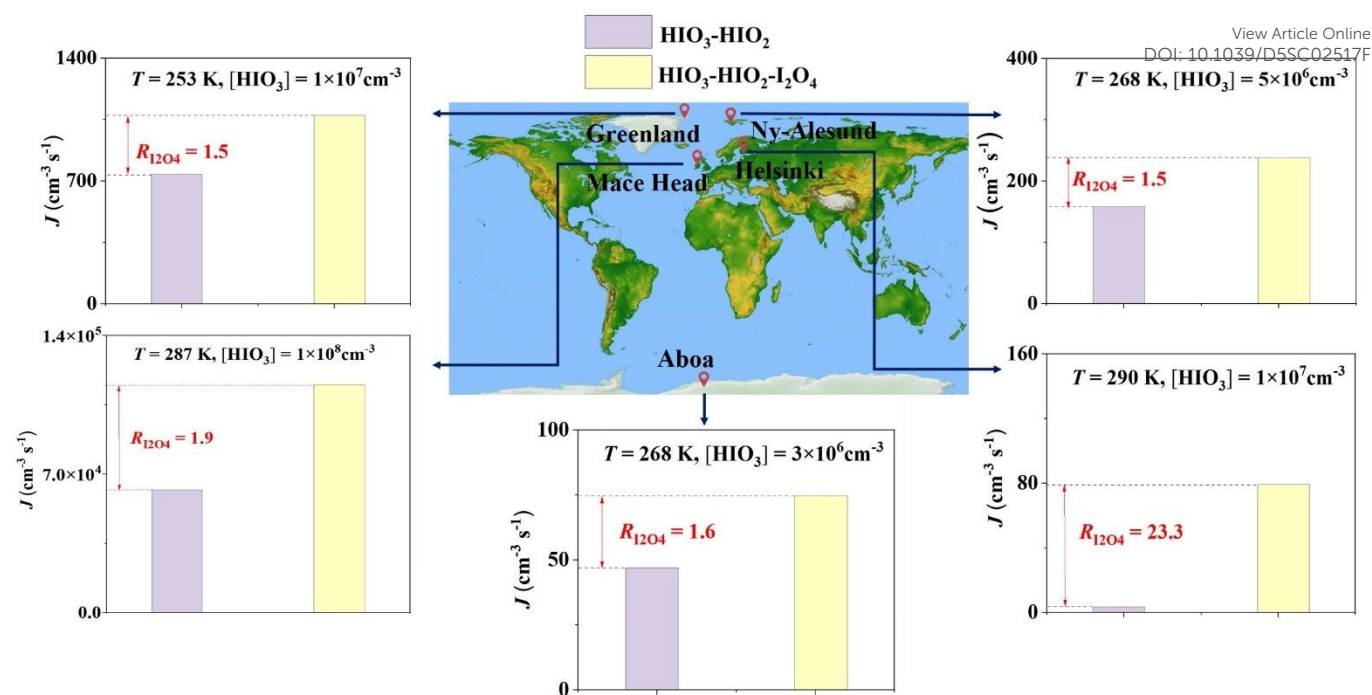


Figure 6. Cluster formation rate (J) ($\text{cm}^{-3} \text{s}^{-1}$) of the $\text{HIO}_3\text{-HIO}_2\text{-I}_2\text{O}_4$ and $\text{HIO}_3\text{-HIO}_2$ systems and the enhancement coefficient ($R_{12\text{O}4}$) in different areas with different temperatures (T) and $[\text{HIO}_3]$. The T and $[\text{HIO}_3]$ in different areas were obtained from He et al.³⁸ The k_{coag} in Helsinki and Mace Head was set to $2 \times 10^{-3} \text{s}^{-1}$,⁵⁵ and k_{coag} in Aboa, Greenland, and Ny-Ålesund was set to $1 \times 10^{-4} \text{s}^{-1}$.³⁸



This study revealed that XBs can induce the basicity enhancement of I_2O_4 and HIO_2 , further driving their acid–base reactions and therefore facilitating nucleation. Since the formation of XBs is common in iodine and oxygen-containing clusters, the mechanism by which XBs induce basicity enhancement could also be extended to other nucleation systems containing iodine oxoacids and iodine oxides. We found that XBs even can induce the basicity enhancement of more acidic HIO_3 in our preliminary study. Therefore, the mechanism by which XBs induce basicity enhancement is expected to be a common mechanism in nucleation systems containing iodine oxoacids and iodine oxides, necessitating further study. In addition, XB-induced basicity enhancement is also expected to occur during the growth of iodine oxoacids and iodine oxides-containing particles. However, more species and more complicated interactions should be involved in particle growth. The possible role of such a mechanism in particle growth is worthy of further investigation.

Conclusions

In this study, quantum chemical methods and ACDC were employed to investigate the nucleation mechanism and kinetics of iodine oxides and iodine oxoacids. Of all iodine oxides, I_2O_4 was found to have the strongest nucleation potential towards HIO_3 - HIO_2 . I_2O_4 can synergistically nucleate with HIO_3 - HIO_2 , breaking through the previous findings that iodine oxoacids and iodine oxides independently nucleate.^{14,38} The synergistic nucleation rate of HIO_3 - HIO_2 - I_2O_4 is 1.5 to 6.8 times higher than that of the known most efficient iodine-associated two-component (HIO_3 - HIO_2) nucleation at 278.15 K. The high synergistic nucleation rate enhances the role of iodine-containing species in marine atmospheric particle formation. Microscopic analysis of the three-component cluster configurations revealed that an unexpected acid–base reaction between I_2O_4 and $\text{HIO}_2/\text{HIO}_3$ is a key driver of this efficient synergistic nucleation, in addition to traditional HBs and XBs. The halogen bond-induced basicity enhancement was further identified as the chemical nature of I_2O_4 behaving as a base in the nucleation with HIO_2 or HIO_3 . Such a basicity enhancement effect can be extended to other iodine-containing species, e.g., HIO_2 and even more acidic HIO_3 , suggesting that this is a common feature in interactions between iodine-containing species. Our findings clarify the synergistic nucleation of iodine oxoacids and iodine oxides and highlight the importance of halogen bond-induced basicity enhancement in the formation of iodine-containing particles.

Data Availability

The ESI[†] contains the details of selection for boundary clusters, concentration of I_2O_4 and computational methods for ΔESP and J ; biases of ΔG calculated by the mixed basis set; RMSD and difference in ΔG for global minima with and without dispersion correction; energy sorting of configurations after optimization at M062X method with different basis sets; NBO images and information for formed XBs; identity of selected precursors of I_2O_4 -precursor dimers; effects of XB on the ΔESP of O atom in

HIO_2 ; comparison of the effects of HB and XB on the ΔESP ; comparison of ΔG for HB-formed dimers and XB-formed dimers; ΔG of HIO_3 - HIO_2 - I_2O_4 system clusters at different temperatures and pressures; global minimum configurations of two-component HIO_3 - I_2O_4 and HIO_2 - I_2O_4 clusters; evaporation rates of HIO_3 - HIO_2 - I_2O_4 system clusters; J of HIO_3 - HIO_2 and pure- I_2O_4 systems; J of HIO_3 - HIO_2 - I_2O_4 system and R_{1204} at different temperatures and pressures; cartesian coordinates of the global minimum configurations.

Author Contributions

H.B.X. and J.S.F. designed the study. R.J.Z. and Y.Y.L. performed the quantum chemical calculation and analyzed data. R.J.Z., Y.Y.L., R.J.Y., F.F.M., H.B.X. and J.S.F. wrote the manuscript. D.M.X., J.W.C., H.B.X. and J.S.F. commented on and revised the manuscript. All coauthors participated in relevant scientific discussion of the manuscript.

Conflicts of interest

The authors declare no competing financial interest.

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The ESI[†] contains the details of selection for boundary clusters and concentration of I₂O₄; NBO images and information for formed XBs; identity of selected precursors of I₂O₄-precursor dimers; comparison of the effects of HB and XB on the Δ ESP; comparison of ΔG for HB-formed dimers and XB-formed dimers; global minimum configurations of two-component HIO₃-I₂O₄ and HIO₂-I₂O₄ clusters; effects of XB on the Δ ESP of O atom in HIO₂; ΔG and evaporation rates of HIO₃-HIO₂-I₂O₄ system clusters; J of HIO₃-HIO₂ and pure-I₂O₄ systems.

