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## ARTICLE

# Oxidized polyethylene films for orienting polar molecules for linear dichroism spectroscopy

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Stretched polyethylene (PE) films have been used to orient small molecules for decades by depositing solutions on their surface and allowing the solvent to evaporate leaving the analyte absorbed on the polymer film. However, the non-polar hydrophobic nature of PE is an obstacle to aligning polar molecules and biological samples. In this work PE film was treated with oxygen plasma in order to increase surface hydrophilicity. Different treatment conditions were evaluated using contact angle measurement and X-ray photoelectron spectroscopy. Treated PE (PE<sup>OX</sup>) films are shown to be able to align molecules of different polarities including progesterone, 1-pyrenecarboxaldehyde, 4',6-diamidino-2-phenylindole (DAPI) and anthracene. The degree of alignment of each molecule was studied by running series of linear dichroism (LD) experiments and the polarizations of electronic transition moments were determined. For the first time optimal conditions (such as stretching factor and concentration of the sample) for stretched film LD were determined. PE<sup>OX</sup> aligning ability was compared to that of normal PE films. Progesterone showed a slightly better alignment on PE<sup>OX</sup> than PE. 1-Pyrenecarboxaldehyde oriented differently on the two different films which enabled transition moment assignment for this low symmetry molecule. DAPI (which does not align on PE) aligned well on PE<sup>OX</sup> and enabled us to obtain better LD data than had previously been collected with polyvinyl alcohol. Anthracene alignment and formation of dimers and higher order structures were studied in much more detail than previously possible, showing a variety of assemblies on PE and PE<sup>OX</sup> films.

## Introduction

Planar aromatic molecules are seeing increasing applications as spectroscopic labels in optical microscopy and spectroscopy, particularly to probe the behaviour of complex biomolecular assemblies. If one merely wishes to see where a label is and perhaps how its concentration changes in time by analysing images for localisation or dynamics of cellular components or in FRAP (fluorescence recovery after bleaching) experiments, a coloured label, usually with a good quantum yield, is all that is required. However, if one is trying to extract geometric information from an experiment, as we do in flow linear dichroism experiments, more information about transition polarizations and changes in probe spectroscopy with probe-probe interactions are required. The aim of this work was to develop methods to help us understand the spectroscopy of potential probe molecules.

Linear dichroism (LD) is the difference in absorbance,  $A$ , of light polarized parallel ( $Z$ ) and perpendicular ( $Y$ ) to the orientation direction

$$LD = A_z - A_y \quad (1)$$

Flow LD can be used to determine relative orientations of molecules, such as DNA-binding ligands and DNA bases,<sup>1-6</sup> or peptides and lipids in liposomes,<sup>7-9</sup> or nucleotides and proteins

in fibrous proteins such as FtsZ<sup>10</sup> or tubulin,<sup>11</sup> or molecules binding to carbon nanotubes.<sup>12</sup> More recently we have been attaching fluorescent probes to proteins on viruses and proteins that make up the bacterial cell division machinery and have been surprised how little spectroscopic information is available in the literature on the transition polarizations of molecules routinely used as optical probes. In a recent paper we determined the polarizations of the accessible UV absorbance bands of fluorene and the Fmoc group using stretched polyethylene (PE) films and used the results to interpret flow LD data collected on peptide fibres including the Fmoc chromophore.<sup>13</sup>

We have previously been precluded from following a similar approach for many of the molecules in which we are interested because they are too hydrophilic to be oriented on stretched PE films made from commercial sandwich-bag PE films (our preferred substrate).<sup>14</sup> Although we have previously collected stretched film data on polyvinylalcohol (PVA) films, these films take days to prepare and usually involve the analyte being integrated into the polymerising film.<sup>15</sup> This makes the kind of experiments discussed below, where we vary concentration of parent solution and degree of stretch, extremely time consuming. PVA films are also very brittle and hard to stretch reproducibly.<sup>14</sup>

1 There has been discussion in the literature about the  
2 mechanisms that operate to cause small molecules to orient on  
3 PE films. Thulstrup *et al.* in 1970<sup>16</sup> concluded that it was  
4 generally agreed that most of the solute was dissolved in the  
5 amorphous parts of a PE sheet. Despite this, Wirtz *et al.*<sup>17</sup>  
6 concluded in 2011 that the oriented chromophores are bound to  
7 PE crystals (though with a distribution about the stretch  
8 direction) and are oriented when the crystallites to which they  
9 are bound orient. They also deduced that the crystallites  
10 become oriented along the stretching direction of a film,  
11 because the large local strain destroys the lamellae (thin  
12 extended sheets of crystallites that are typically tens of  
13 nanometers thick and tens of micrometers long) and  
14 subsequently recrystallization occurs. Thus they concluded that  
15 stretching does not cause a gradual increase in alignment of a  
16 molecule but causes the crystallite to which it is bound to orient  
17 or, at higher stretch ratios, a phase change that leads to more  
18 crystallites. We do know that molecules are likely to orient on  
19 PE with their long axes parallel to the stretching direction.<sup>18</sup>

20 In this paper we report the development and application of  
21 oxidised polyethylene films (PE<sup>OX</sup>) to determine transition  
22 polarizations of polar molecules with the same versatility and  
23 ease of use that is possible with PE. We illustrate its utility by  
24 considering the spectroscopy of progesterone, 1-  
25 pyrenecarboxaldehyde (PyCO), 4',6-diamidino-2-phenylindole  
26 (DAPI) and anthracene. Contrary to our expectations, the D<sub>2h</sub>  
27 symmetric hydrocarbon anthracene proved to be the most  
28 challenging due to its propensity to assemble into higher order  
29 structures. Our results show that PE<sup>OX</sup> behaves in much the  
30 same as PE except that it attracts hydrophilic molecules. We  
31 have also made some further progress in understanding the  
32 nature of the films.

## 33 Materials and methods

### 34 Materials

35 All chemicals were purchased from Sigma-Aldrich and used  
36 without further purification. Solvents used in the measurements  
37 were 18.2 MΩ water (Millipore Direct-QTM), chloroform  
38 (spectrophotometric grade, Sigma-Aldrich), methanol  
39 (Laboratory grade, Fisher Chemicals) and methyl cyclohexane  
40 (spectrophotometric grade, Sigma-Aldrich). The PE films to be  
41 used as sample matrices were cut from Glad® Snap Lock®  
42 bags, and stretched so that the direction of stretch is parallel to

the observable faint lines in the film. Stock solutions of each  
analyte were prepared in chloroform (for PE) or methanol (for  
PE<sup>OX</sup>).

### PE<sup>OX</sup> oxidised films

PE sheets with dimensions of 2.5×4 cm were placed in an  
Emitech K1050X Plasma Asher connected to an oxygen gas  
supply for 1 min at 50 W power setting.

### Sample preparation

For most experiments, a piece of PE or PE<sup>OX</sup> film (of size  
2.5×4 cm<sup>2</sup>) was placed between two jaws of the mechanical  
stretcher designed for this purpose (Figure 1a). The film was  
then stretched from 2.5 centimetres to different lengths. The  
analyte was sometimes added before and sometimes after  
stretching the film (see details in Results and Discussion).  
Baselines were collected on stretched films where a small  
volume of solvent had been dropped on and left to dry. Samples  
(30–40 μL in solution with the same solvent as the baseline)  
were placed on the stretched film, then left to evaporate  
creating a dried layer. The stretched film and its holder were  
usually placed horizontally into the spectrometer so that the  
light beam was incident on the analyte with the stretch  
direction was horizontal (in our instrument the direction of the  
parallel polarized light).

In order to check for the presence of higher order structures  
*e.g.* formation of dimers or sample crystallisation on the surface  
of the film, several samples were routinely prepared using serial  
dilutions of the stock solution. In the absence of higher order  
structures, shapes of the obtained LD spectra are independent of  
concentration.

In some experiments the film was mounted in a cylindrical  
holder (Figure 1b) and rotated to different orientations. For  
these film-rotation LD experiments, the film was first stretched  
in the film stretcher, the sample added and then the film  
transferred (ensuring it remained stretched) to the cylindrical  
film holder shown in Figure 1b. To secure the sample within  
the cylindrical film holder, the two quartz plates were placed on  
either side of the PE film and clamped to maintain the tension  
on film. The film was cut to remove excess PE film. The  
sandwiched film was then placed in the holder and fixed in  
place by a screw fitting which, when screwed fully into the  
holder, maintained the tension on the film.

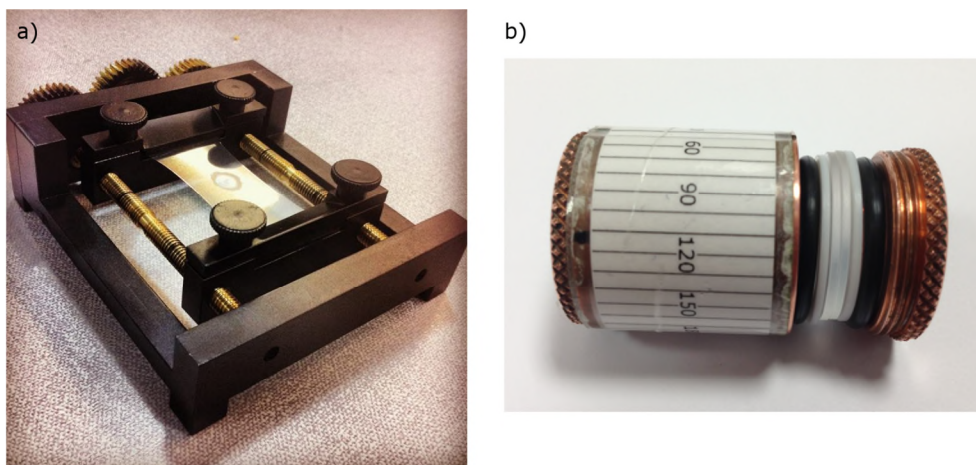


Figure 1. a) Mechanical film stretcher designed and built at the University of Warwick. b) Cylindrical film holder (originally designed for oriented circular dichroism measurements)<sup>19</sup> where film is held between two quartz plates (1 mm thick) themselves sandwiched between Teflon rings and O-rings.

### Ultra-violet absorbance and linear dichroism spectroscopy

Ultra-violet absorbance and LD spectra on films oriented with the stretch direction parallel to the horizontally polarized light were measured using a JASCO J-815 circular dichroism spectropolarimeter adapted for LD spectroscopy by collecting LD in one data channel and A in another. In general, baselines were collected on the films before the addition of the sample. The data acquisition and baseline subtraction were performed using the J-815 Spectra Manager software version 2.07.02. The spectra of samples (and baselines) were measured as a function of different stretching lengths of polyethylene. If a single film was measured at different stretch factors, baselines were measured on three independent films treated in as close to identical fashion as was possible. All measurements for film-rotation LD were performed using a Bio-Logic MOS-450 spectrometer.

Reduced LD ( $LD^r$ ), the LD divided by the isotropic absorbance, is independent of concentration and path length (sample thickness, which can change upon stretching). The usefulness of the  $LD^r$  depends on both spectra being measured on exactly the same molecules. This requires both the film not to be moved and there not to be any unoriented molecules in the light beam whose absorbance is measured but which do not contribute to the LD. In the simple case of uniaxial orientation with the horizontal polarization of the instrument along the film stretch direction<sup>14</sup>

$$LD^r = \frac{LD}{A} = \frac{3}{2} S \langle 3 \langle \cos^2 \alpha \rangle - 1 \rangle \quad (2)$$

where  $\alpha$  is the angle between the molecular orientation direction,  $z$ , and the polarization of the transition of interest,  $\langle \rangle$  denotes average over the population of molecules present, and  $S$  is the orientation parameter (0 for unoriented samples, 1 for perfectly oriented samples).

### X-ray photoemission spectroscopy

The X-ray photoemission spectroscopy (XPS) data<sup>20</sup> were collected at the Science City Photoemission Facility, University of Warwick. The samples investigated in this study were mounted on Omicron sample plates using electrically conductive carbon tape and loaded in to the fast-entry chamber. Once a pressure of less than  $1 \times 10^{-7}$  mbar had been achieved (approx. 1 hour), the samples were transferred to a 12-stage storage carousel, located between the preparation and main analysis chambers, for storage at pressures of less than  $2 \times 10^{-10}$  mbar. XPS measurements were conducted in the main analysis chamber (base pressure  $2 \times 10^{-11}$  mbar), with the sample being illuminated using an XM1000 monochromatic Al-K $\alpha$  X-ray source (Omicron Nanotechnology). The measurements were conducted at room temperature and at a take-off angle of 90° (more penetration depth) and 30° (closer to the surface). The photoelectrons were detected using a Sphera electron analyser (Omicron Nanotechnology), with the core levels recorded using a pass energy of 10 eV (resolution approx. 0.47 eV). Due to the insulating nature of the samples, a CN10 charge neutralizer (Omicron Nanotechnology) had to be used in order to prevent surface charging, whereby a low energy (typically 1.5 eV) beam of electrons was directed on to the sample during XPS

data acquisition. The data were analysed using the CasaXPS package, using Shirley backgrounds and mixed Gaussian-Lorentzian (Voigt) line shapes. All binding energies were calibrated to the C 1s peak at 284.6 eV. The energy is calibrated weekly using the Fermi edge of a polycrystalline Ag sample is accurate to ( $\pm$ )0.02 eV. Compositional analysis of Au, Cu and Ag samples was used to give a transmission function for the analyser resulting in an accuracy of around 1%.

### Contact angle measurements

Contact angle measurements were performed using a Krüss DSA (droplet shape analyser) 100 (Krüss Instruments, Germany) on a 10  $\mu$ L droplet of water dispensed onto polyethylene substrate. The Tangent-1 fitting measurement was used to yield the left and right three phase contact angles of the droplet.

### XRD measurements

X-ray diffraction (XRD) experiments were performed on Siemens D500 diffractometer with the CuK $\alpha$  ( $\lambda = 1.5428 \text{ \AA}$ ) radiation source, step  $0.02^\circ$  and step interval 20 s.

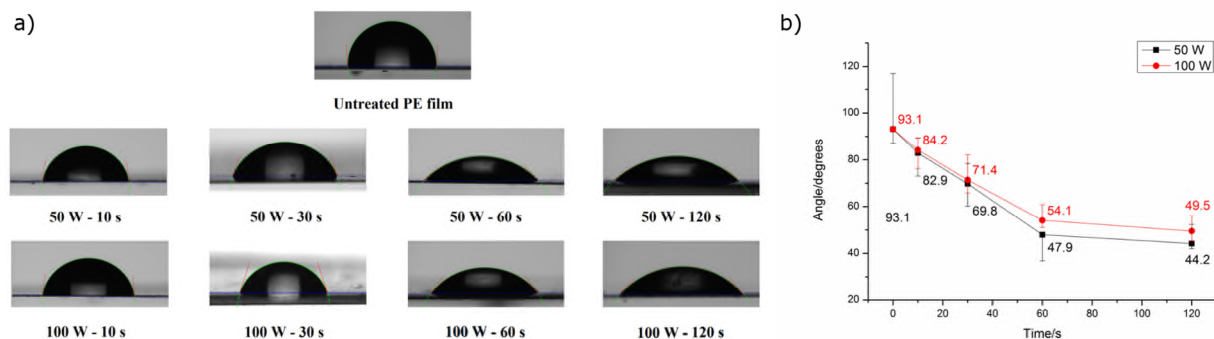
## Results and discussion

Linear dichroism, LD, is a differential absorption spectroscopy technique from which one can extract transition moment polarizations if the sample orientation is known or chromophore orientation information if transition moments have been assigned. The main work of this paper relates to a new polymer surface for LD, PE<sup>OX</sup>. The results below describe how PE<sup>OX</sup> was produced and characterised thus enabling us to collect data on previously intractable samples.

### Producing and characterising non-polar and polar PE films

Tissington, *et al.*,<sup>21</sup> showed that by using oxygen plasma treatment it is possible to form active oxygen containing groups on the surface of the polyethylene film. In this work pieces of PE film were placed in a Plasma Asher and treated with two different powers (50 and 100 W) and four different timings (10, 30, 60 and 120 seconds). As Figure 2 shows, the hydrophilicity of the surface increases with the duration of the treatment. However, the lower power showed higher final levels of hydrophilicity as deduced from the water contact angle (Figure 2). This could be caused by the degradation of the PE fibres at high plasma power.<sup>22</sup> For our spectroscopy experiments we therefore prepared films using 50 W and 1 minute and denote the treated films as PE<sup>OX</sup>.

The XPS data for oxygen functional groups on PE and PE<sup>OX</sup> are summarised in Table 1. The 30° data are indicative of surface groups as the beam penetrates less than in the 90° configuration. The treated samples show a slight enhancement over time in carbonyls relative to OH, consistent with oxidation proceeding from alcohol, to aldehyde, to carboxylic acid. The difference as a function of angle of incidence suggests that the surface is slightly enriched with carbonyls (relative to OH) compared to the depth of the film.



**Figure 2.** a) Water drops on polyethylene films treated at the indicated power levels for the indicated times. b) The contact angle of PE films placed in the plasma asher at different power levels as a function of time.

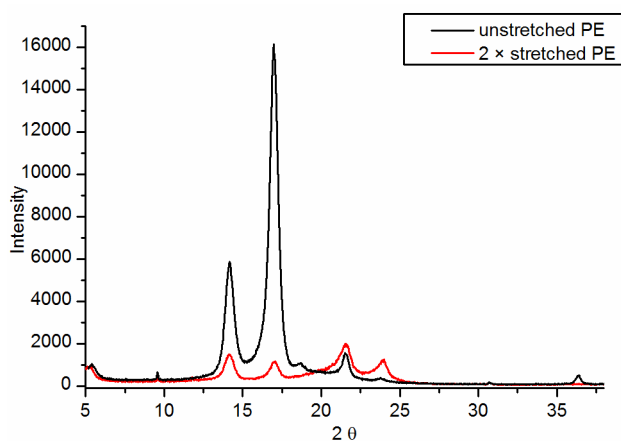
**Table 1.** Carbon 1s orbital XPS data for PE and PEOX. 30° denotes 30° angle of beam incidence. 90° denotes perpendicular incidence (so maximum penetration).

Peak Energy	Assignment	% of counts in region				
		PE 90°	PE <sup>OX</sup> 50 W, 30 s 30°	PE <sup>OX</sup> 50 W, 30 s 90°	PE <sup>OX</sup> 50 W, 60 s 30°	PE <sup>OX</sup> 50 W, 60 s 90°
284.6	C-C, C-H	54.70%	51.56%	49.46%	51.22%	48.44%
284.99	PET loss peak 1	27.35%	25.78%	24.73%	25.61%	24.22%
285.38	PET loss peak 2	5.47%	5.16%	4.95%	5.12%	4.84%
285.77	PET loss peak 3	0.88%	0.83%	0.79%	0.82%	0.78%
285.93	C-OH	3.57%	1.13%	8.10%	0.60%	8.55%
287.06	H-O-C-O-H???	0.00%	5.84%	3.46%	6.64%	4.02%
288.02	C=O	7.53%	4.39%	3.16%	3.97%	3.14%
289.31	COOH	0.51%	5.31%	5.35%	6.01%	6.02%
	Total O%	11.5%	16.5%	20.0%	17.0%	20.5%

The XRD pattern of non-stretched PE (prestretched by the manufacturer during production) and 2× stretched (using the LD stretcher) film are shown in Figure 3. Our X-ray diffraction studies seem to support the conclusion that stretching increases the crystallinity of PE. The two reflections at 22° and 24° are characteristic of the typical orthorhombic *Pnam* crystalline phase of PE.<sup>23</sup> The intensity of these reflections, however, suggests that they are not the prevalent phase in the unstretched film. The intense reflections at 14° and 17° dominate the diffractogram. There is an excellent agreement between these and other less intense reflections and the monoclinic *Cc* phase of polyisopropylene (PP),<sup>24</sup> suggesting that the film used is in fact a ethylene/propylene co-polymer—with the propylene component being highly crystalline. This is further supported by the NMR analysis (see ESI) which suggests that 1.5% of the film by weight is PP. There are also several less intense reflections of varying sharpness present in the diffractogram, suggesting presence of at least one more crystalline or semi-crystalline phase in the sample.

There are significant changes in the diffractogram after stretching 2×. The relative intensities of the reflections characteristic of the orthorhombic crystallites are much stronger than for an unstretched film, suggesting that the crystallites of this structure are now prevalent in the film. The relative intensities of the 22° and 24° reflections (orthorhombic PE) have also changed after stretching suggesting a change in preferred orientation of the crystallites in the film. Other differences include appearance of new reflections at 19° and

36° as well as disappearance of a low intensity sharp reflection at 12°, which suggests profound changes in the relative amounts and orientations of different crystal phases present throughout the film.



**Figure 3.** X-ray diffraction patterns of un-stretched and 2× stretched PE films.

Using the Scherrer equation it was possible to estimate the size of the crystallites in the polymer.<sup>25</sup> The estimated values for PP crystallites do not change after stretching, while the average size of the PE crystallites decreases with stretching, which is

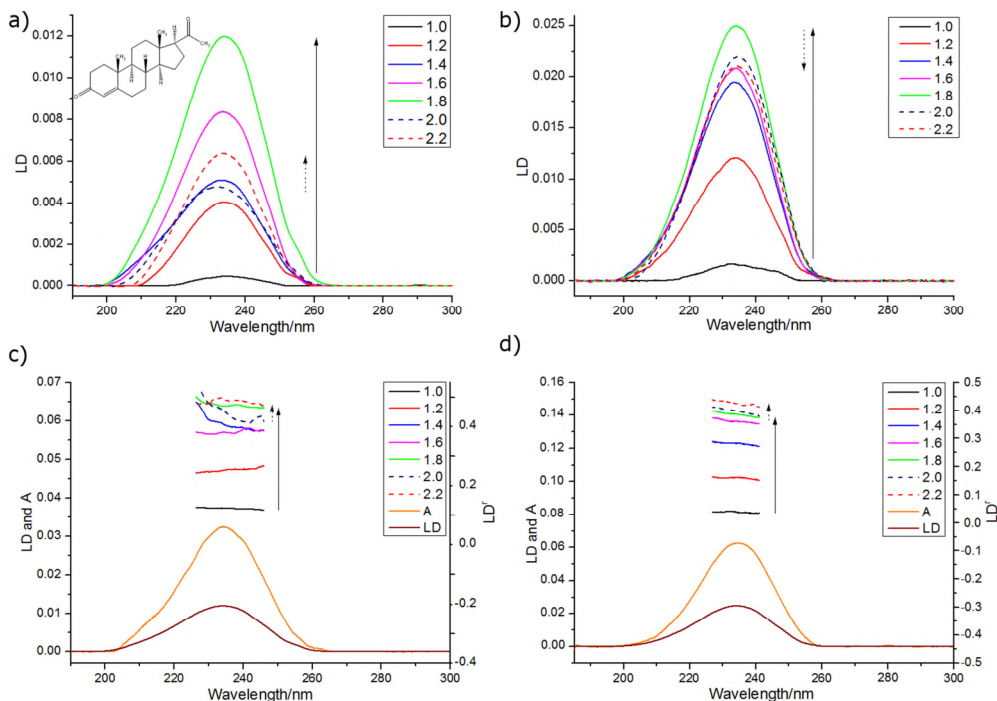
consistent with creation of new (smaller) crystallites in the polymer.

### Orienting small molecules on PE

#### Progesterone: a medium polarity molecule that orients in the same manner on PE and PE<sup>OX</sup>

Figure 4 shows the LD spectra on both PE and PE<sup>OX</sup> films of a medium polarity molecule, progesterone, with a single  $\pi$ - $\pi^*$  transition in the accessible region of the spectrum. The progesterone spreads somewhat more uniformly on PE<sup>OX</sup> when

dissolved in methanol than on PE when dissolved in chloroform which seems to have resulted in slightly better (in terms of LD<sup>f</sup>) spectra and higher orientation factor  $S$ . The LD<sup>f</sup> traces are fairly flat as expected for a single transition. If we assume that (i) the molecular orientation is uniaxial (see below for more discussion of this); (ii) the long axis is the  $z$ -axis, and (iii) the transition is polarized along the carbonyl bond, then  $S \sim 0.12$  for  $\times 1.8$  stretch PE and 0.13 for  $\times 1.8$  stretch PE<sup>OX</sup>. We can therefore conclude that PE<sup>OX</sup> orients progesterone in much the same way as PE but with marginally higher efficiency and uniformity.



**Figure 4.** Spectra of progesterone (deposited from 0.65 mg/mL in chloroform for PE and methanol for PE<sup>OX</sup> and left to dry). LD on a) PE, and b) PE<sup>OX</sup> prestretched by factors ranging from 1 to 2.2. c) and d) LD<sup>f</sup> for data from (a) and (b) respectively overlaid with absorbance and LD spectra for  $\times 1.8$  stretch.

We wished to optimise the sample orientation in the films and also to check whether degree of stretching affected the spectroscopy. The progesterone spectra suggest that the maximum LD is obtained with a stretch factor of  $\sim 1.8$  and a maximum LD<sup>f</sup> with factors of  $\sim 2.0$ . This ran counter to our expectation that more stretching would always correspond to more orientation. For progesterone, and for the other analytes discussed below, the variation in LD<sup>f</sup> maximum proved to be due mainly to variations in the absorbance; molecules unoriented on the film absorb light but may not contribute to the LD. The film thickness did not change significantly with stretching, though the changes in the spectra do show changes in the binding of the analytes to the PE.

#### Uniaxial orientation

The analysis of LD is facilitated if we can use Equation (2), which holds for uniaxially oriented samples. We have concluded (see ESI) that a sample is uniaxially oriented if its LD signal depends on the rotation about the  $X$ -axis (direction of propagation of light) according to

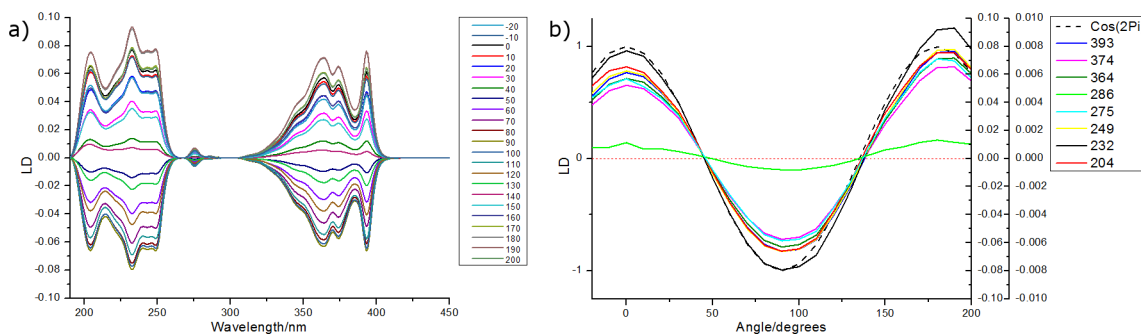
$$\begin{aligned} LD^f &= \frac{LD}{A_{iso}} = \frac{A_z - A_y}{A_{iso}} \\ &= \frac{3}{4} (3\langle \cos^2 \theta \rangle - 1) (3\langle \cos^2 \alpha \rangle - 1) \cos(2\chi) \\ &= \frac{3}{2} S (3\langle \cos^2 \alpha \rangle - 1) \cos(2\chi) \end{aligned} \quad (3)$$

where  $\chi$  is the angle between  $Z$  (the stretch direction of the film) and the horizontal direction,  $\theta$  is the angle between  $z$  (the molecular orientation axis) and  $Z$ , and  $A_{iso}$  is the isotropic absorbance. If there are multiple populations of molecules or less symmetric orientation mechanisms, then a different function of  $\chi$ ,  $\alpha$ , and  $\theta$  is observed. Thus, we can use plots of LD as a function of  $\chi$  to determine whether a molecule is uniaxially oriented.

An overlay of the LD (not baseline corrected) of pyrenecarboxaldehyde (PyCO) as a function of film-rotation angle,  $\chi$ , is shown in Figure 5 along with a plot of signals at the LD maxima as a function of  $\chi$ . The  $\cos(2\chi)$  dependence of the signals means that either the molecule behaves as a uniaxial rod or the film ensures uniaxial orientation or a combination of the two. The equivalent plots for the other molecules discussed below are given in SI for both PE and PE<sup>OX</sup> (Figures S11–S14,

ESI). Within experimental error of loading the sample and noting none of the spectra are baseline corrected, each molecule

and film studied in this work behave consistently with a uniaxial orientation mechanism.



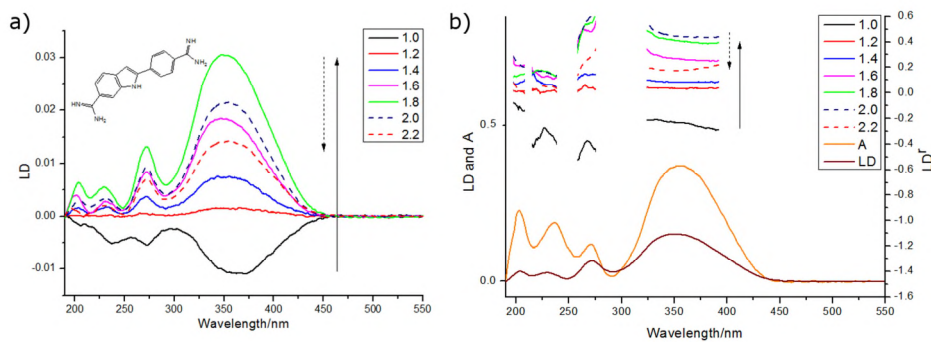
**Figure 5.** (a) LD (not baseline corrected) of pyrenecarboxaldehyde (deposited from 0.35 mg/mL in methanol) on PE<sup>OX</sup> stretched 1.8× as a function of rotation angle,  $\chi$ , of the film. 0° is where the stretch direction is as close to horizontal as loading the film in a small circle enabled. (b) LD at wavelength indicated as a function of  $\chi$ . Dashed line is the plot of  $\cos(2\chi)$ . See Figure 7 for molecular structure.

### DAPI

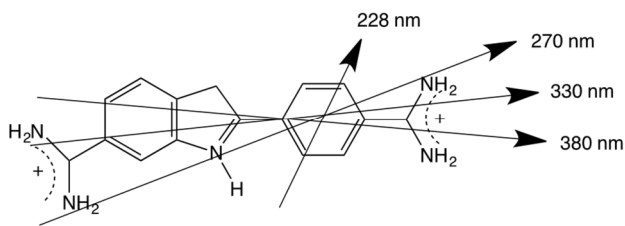
DAPI (4',6-diamidino-2-phenylindole) is a good test case for PE<sup>OX</sup> as DAPI fails to orient on PE and there is literature PVA film data available for comparison.<sup>26</sup> Figure 6 shows the variable stretch LD spectra of DAPI on PE<sup>OX</sup>. Our data go to lower wavelength than the PVA spectrum but in other respects are similar. The broad band at approximately 350 nm actually consists of two transitions, one at 330 nm and another at 380 nm. Analysis of their DAPI PVA data led Kubista *et al.* to conclude that  $S = 0.66$  and that the 380 nm transition was the most oriented and was polarized close to the indole-phenyl bond.<sup>26</sup> They assigned polarizations to the other transitions but were not able to determine whether they were + $d^\circ$  or - $d^\circ$  from  $z$ . Comparison of their data with our PE<sup>OX</sup> data (Figure 6) give

us a way to determine this since the relative magnitudes of peaks on PVA and PE<sup>OX</sup> differ, indicating a slightly different orientation.

The 270 nm transition for PE<sup>OX</sup> (Table 2) has the largest value of LD<sup>r</sup>, so we assume it is along  $z$ . It then follows that for the 1.8× stretch PE<sup>OX</sup> experiment,  $S=0.18$ . The directions of  $z$  and the other transition moments can then be deduced from LD<sup>r</sup> data and are given in Table 2 where the PVA results are also summarized. Transition moment polarizations consistent with both data sets are illustrated in Figure 7. Overall, the quality of the DAPI-PE<sup>OX</sup> spectra suggest PE<sup>OX</sup> can replace PVA for polar molecules making a much simpler quicker experiment.



**Figure 6.** Spectra of DAPI on PE<sup>OX</sup> (deposited from 0.4 mg/mL in methanol and left to dry). (a) LD prestretched by factors ranging from 1 to 2.2×. (b) LD<sup>r</sup> for data from (a) overlaid with absorbance and LD spectra for ×1.8 stretch.



**Figure 7.** 4',6-Diamidino-2-phenylindole illustrating approximate transition polarizations consistent with this work and the PVA data of reference.<sup>26</sup>

**Table 2.** Angles between DAPI transition moments and the orientation axis in the PVA data of Kubista *et al.*<sup>26</sup> and the PEOX data of this work. PE<sup>OX</sup> LD<sup>r</sup> data are for 1.8× stretch. The directions illustrated in Figure 7 are consistent with both data sets.

Wavelength	PVA angle	PE <sup>OX</sup> angle	LD <sup>r</sup> on PE <sup>OX</sup>
380 nm	0–5°	26°	0.39
330 nm	15–17°	17°	0.48
270 nm	12–19°	0°	0.55
228 nm	>50°	45°	0.14

**1-Pyrenecarboxaldehyde: different binding to PE and PEOX**

1-Pyrenecarboxaldehyde (PyCO, Figure 8) provides an interesting illustration of how PE and PE<sup>OX</sup> may, in some cases, be used to provide complementary data that can be used in a manner similar to that done for DAPI and PE<sup>OX</sup> above since PyCO turns out to orient slightly differently on the two films. The aldehyde group of PyCO is polar and the pyrene is very non-polar. LD data for the pyrene chromophore on films and in liposomes are available in the literature<sup>7,8,16</sup> (see Table 3). The absorbance spectroscopy of PyCO (Figures 8 and SI3, ESI) is similar to that of pyrene but shifted to longer wavelengths with additional features including the 234 nm peak. PyCO has C<sub>s</sub> symmetry (pyrene is D<sub>2h</sub>), so its  $\pi$ - $\pi^*$  transition are constrained to be in the plane but not along either the long axis or the short axis of the pyrene chromophore. The LD and LD<sup>f</sup> spectra (Figure 8, only one LD spectrum is shown as they retain the same shape with stretching Figure SI5, ESI) indicate spectral ranges of single polarizations as summarised in Table 3. With PE<sup>OX</sup>, the 207 nm transition is closest to  $z$  (though its LD<sup>f</sup> value does depend on how the baseline correction is done) then the 350–400 nm band, whereas with PE, 246–253 nm has the largest LD<sup>f</sup>, so is closest to  $z$ . In pyrene these transitions are all long-axis polarized. We speculate that the two films orient PyCO differently because the carbonyl interacts with the more polar PE<sup>OX</sup> surface but not with PE. The solid arrow in Figure 9 is thus a reasonable estimate of  $z$  for PE<sup>OX</sup> with (the lower limit of)  $S=0.21$ . An iterative trial and error process led us to

conclude that  $S$  was the same for both films; there was 6° difference between their molecular orientation axes (the orientation axis on PE is illustrated by the dashed line in Figure 9 and by the solid arrow on PE<sup>OX</sup>); and the transition polarizations are approximately as illustrated in Figure 9 and summarized in Table 3. The 207 nm, 380 nm and 234 nm transitions are thus close to the pyrene long axis, whereas the others, particularly the former pyrene short axis polarized transitions, are significantly perturbed from their pyrene values.

Table 3. LD<sup>r</sup> data for 1-pyrenecarboxaldehyde on PE and PEOX at 1.8× stretch, literature transition polarizations for pyrene, and PyCO transition moment polarizations ( $\pm 3^\circ$ ) with respect to the PEOX  $z$  as illustrated in Figure 6 (+ denotes anticlockwise rotation as illustrated).

Wavelength	LD <sup>f</sup> on PE	LD <sup>f</sup> on PE <sup>OX</sup>	Pyrene assignment <sup>16</sup>	PyCO assignment
207 nm	0.39	0.64		~0°
234 nm	0.53	0.62		+9°
246–253 nm	0.57	0.51	220–240 nm: Long	-21°
276 nm	0.28	0.13	260 nm: ?Long	-46°
288 nm	0.077	0.038	270–280 nm: Short	+53°
350–400 nm	0.51	0.56	300–340 nm: Long	-16°

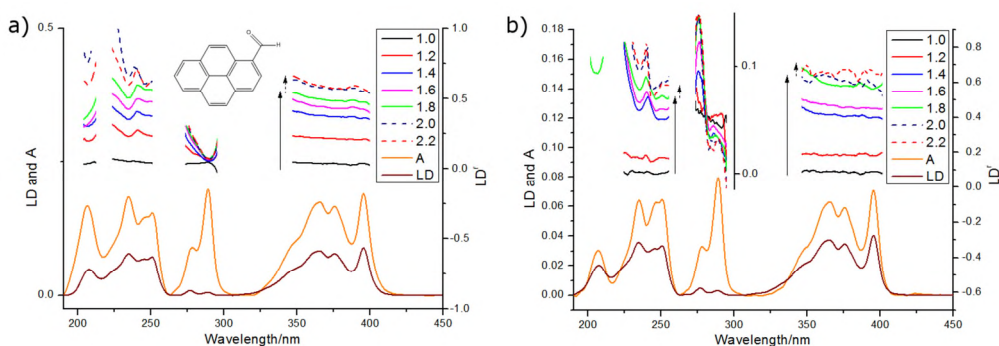


Figure 8. LD<sup>f</sup> spectra of pyrenecarboxaldehyde (deposited from 0.4 mg/mL in methanol and left to dry) on films prestretched by factors ranging from 1 to 2.2 × overlaid with absorbance and LD spectra for ×1.8 stretch. (a) PE and (b) PE<sup>OX</sup>.

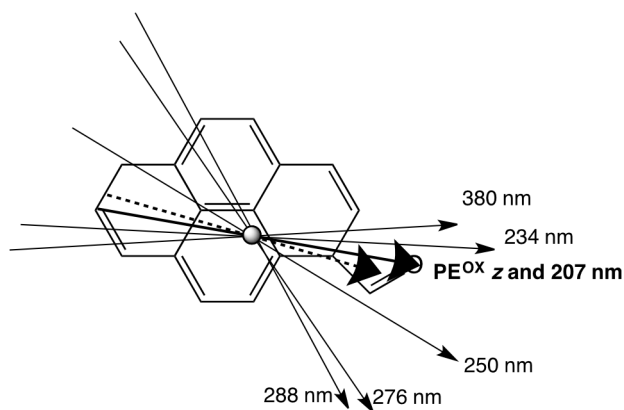


Figure 9. Polarizations of PyCO transitions assuming the orientation direction of PE<sup>OX</sup> is as indicated by the thick solid line (the longest molecular axis with O lying flat on the film). The thick dashed line is then the orientation axis on PE.

**Anthracene**

Anthracene is a well-studied molecule with extensive literature on its spectroscopy in solution, crystal and on films. We begin our analysis by accepting the work of Friedrich *et al.*,<sup>27</sup> namely that the long wavelength band for anthracene is the <sup>1</sup>L<sub>a</sub> band of B<sub>2u</sub> symmetry (*i.e.*  $y$ -polarized in an axis system where  $z$  is the anthracene long axis and  $y$  is its in-plane short axis) with the origin at about 379 nm (depending on the solvent). This transition has clear vibronic progressions in solution and on film (Figure 10a). We can see totally symmetric ( $a_{1g}$ ) vibrational progressions due to a ~1420 cm<sup>-1</sup> stretching vibration and a 399 cm<sup>-1</sup> skeletal bending vibration (which is apparent as a high energy shoulder at ~372 nm in our spectra). Overlaid on these is a 1480 cm<sup>-1</sup> (Michl *et al.*<sup>28</sup>) or 1630 cm<sup>-1</sup> or perhaps 1481 cm<sup>-1</sup> (Friedrich *et al.*<sup>27</sup>)  $b_{3g}$  progression that mixes in intensity from the 250 nm <sup>1</sup>B<sub>b</sub> long axis polarized band resulting in intensity of B<sub>1u</sub> symmetry. The electronic polarization of this progression is therefore along  $z$ .



A further complication is the possibility of anthracene forming dimers (or other higher order structures).<sup>29</sup> Chandros *et al.* identified two dimer forms with different spectroscopy: a so-called sandwich dimer which required a cryogenic glass to stabilize it and a stable dimer (or higher order structure) which they concluded had  $\sim 55^\circ$  between the short axes and not more than  $5^\circ$  between the long axes. They were unable to study the 254 nm band of their stable dimer of anthracene as they found that the transition was shifted beyond their range of observation.<sup>29</sup> Consistent with these structures, the crystal structure<sup>30</sup> has two distinct anthracene pairs, one parallel and slightly displaced and one skewed with angles of  $54^\circ$  between the short axes and  $14^\circ$  between the long axes (more information on the angles between the monomer units is given in Table S11, ESI). However, we found we could not interpret our film data in terms only of these structures.

Despite being a non-polar molecule, anthracene orients well on both PE and PE<sup>OX</sup>. The PE<sup>OX</sup> data are usually slightly better as the sample spreads more uniformly on the film. LD film-rotation experiments show that the anthracene orientation is always uniaxial in our experiments (Figure S14, ESI). By depositing anthracene from a dilute solution of CHCl<sub>3</sub> (0.1 mg/mL) we are able to ensure a fairly pure monomer spectrum as shown by a comparison of the film and solution (methylcyclohexane) absorption spectra (Figure 10a) where the solution has a slight blue shift relative to the 0.1 mg/mL film spectra. The monomer spectra as a function of stretch factor are shown in Figure 10c, d. The longest wavelength band (the 0-0 vibronic band of the <sup>1</sup>L<sub>a</sub> band) in solution absorbance, film absorbance and film LD occurs at  $\sim 378$  nm and the main vibronic components occur at  $\sim 1400$  cm<sup>-1</sup> intervals. The third vibronic band has a positive LD signal indicating that the contribution of the 254 nm band is becoming dominant. If we assume that the LD from 245–258 nm is long axis polarized with  $\alpha=0^\circ$ , then from equation (2)  $S\sim 0.22$  for the  $\times 1.8$  stretch (PE or PE<sup>OX</sup>). It then follows that the vibronic components of the 377 nm band have average angles as indicated in Table 4 with gradually increasing contribution from the long axis polarized electronic transition. The fact that the 378 nm (fundamental band)  $\alpha$  is not  $90^\circ$  reflects the fact that we do have some oligomeric species present (see below).

**Table 4.** Approximate effective polarizations (error is of the order of 5%) of the vibronic components of the 379 nm B<sub>2u</sub> vibronic progression of anthracene, and the fractions of short axis and long axis electronic contributions to the total determined from  $\times 1.8$  stretch data in Figure 10b assuming the 254 nm band is long axis polarised. Calculations are performed on the centre of each band from low energy half height to high energy half height. The fraction of the  $a_g$  progression is fraction of intensity that is due to the short axis polarized component assuming the same oscillator strength for the two components.

Wavelength maximum assuming 1400 cm <sup>-1</sup> progression	Wavelength maximum of LD	LD sign	$\alpha_{\text{effective}}$	Fraction of $a_g$ progression (assuming only monomer present)
378 nm	378 nm	–	$75^\circ\text{--}90^\circ$	0.93–1.0
360 nm	360 nm	–	$62^\circ$	0.78
342 nm	339 nm	+	$47^\circ$	0.59
327 nm	323.5 nm	+	$34^\circ$	0.45
313 nm	309 nm	+	$31^\circ$	0.36

#### Anthracene dimers or higher order structures

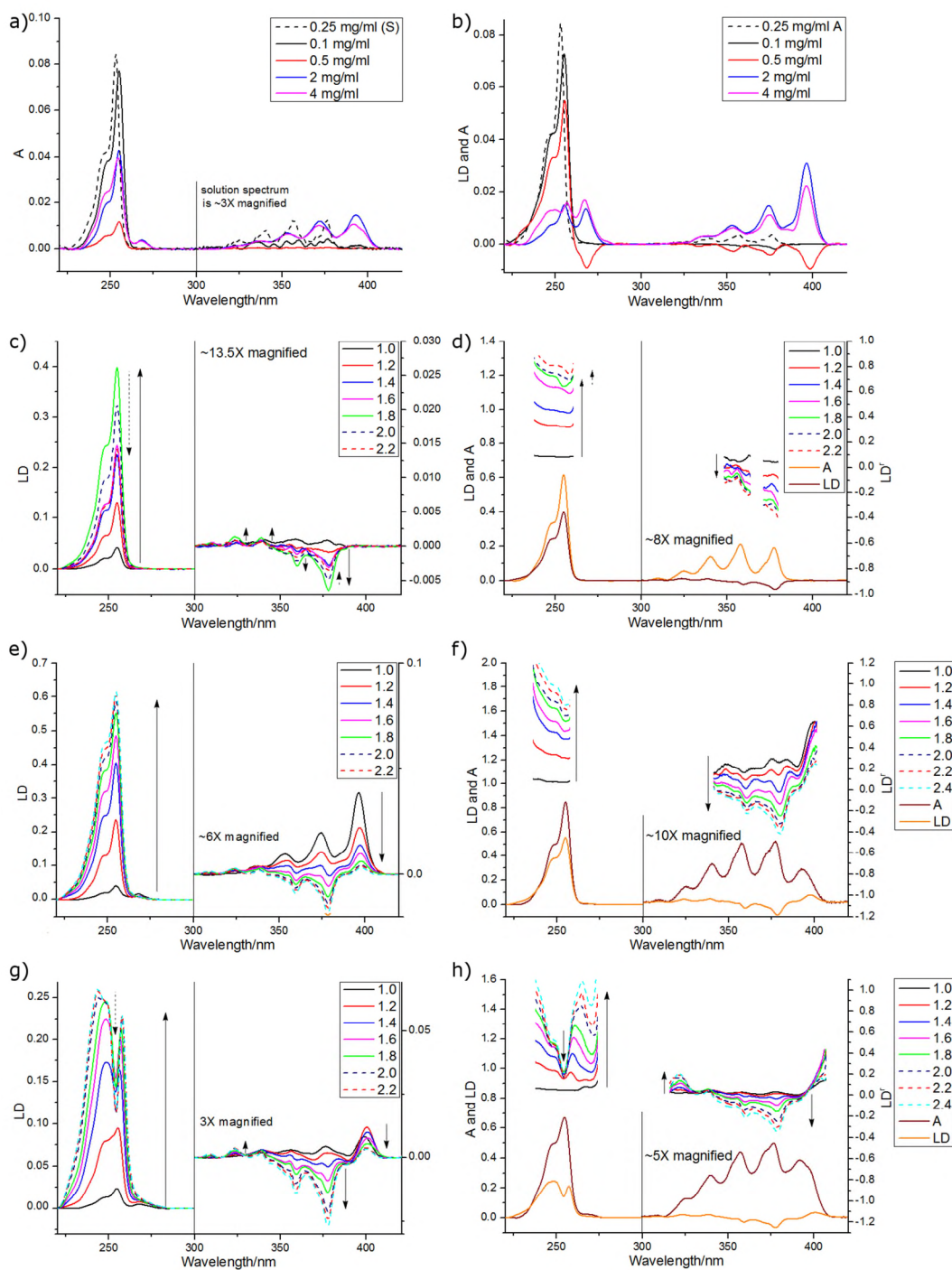
If we prepare anthracene films from higher concentration solutions we observe absorbance and LD signals in the region of 400 nm and see intensity at  $\sim 268$  nm and changes in the 245 nm region. What is observed depends on the way the

experiment is done, whether the films are pre-stretched or stretched after the sample is added and also on the concentration of the solution that is added. Some examples are given in Figure 10a, b, e–h showing the effect of exciton coupling<sup>31</sup> between anthracene units with intensity appearing at either high energy or low energy or both (see ESI for more details).

Most of our concentrated films showed positive LD signals for the longest wavelength signal at 397 nm (the maximum of the stable dimer of Chandros *et al.*<sup>29</sup>) and at 266–267 nm. Some showed negative signals at both 399 nm and at 268 nm. There are at least 4 different component spectra apparent in our spectra, all overlaid on each other and the monomer. The monomer is dominant at low concentration (see above) and becomes dominant at high stretch with medium concentration stock solutions (1 mg/mL), reflecting the increased crystallinity of the PE with stretch making more monomer binding sites available. The high concentration (8 mg/mL) spectra seems to be an overlay of the 1 mg/mL unstretched spectrum with one that has negative LD occurring at the monomer transition energies for both the long and short axis polarized transitions. There is also a spectrum that has positive signals at energies lower than the monomer transitions (396 nm plus vibronic progression and 268 nm), but which matching higher energy exciton component is small or zero. Another spectrum with negative LD at 399 nm and 268 nm and positive (shoulder) at 230 nm is observed in a 0.5 mg/mL film. The features of these spectra are summarized in Table 5.

The monomer, as discussed above, is uniaxially oriented with its long axis along the stretch direction giving positive 250 nm LD and negative 379 nm LD. The complex spectral features discussed above are the result of higher order anthracene structures adopting other orientations on the film and exciton coupling to give signals at higher and lower energies usually with one positive and one negative in sign.

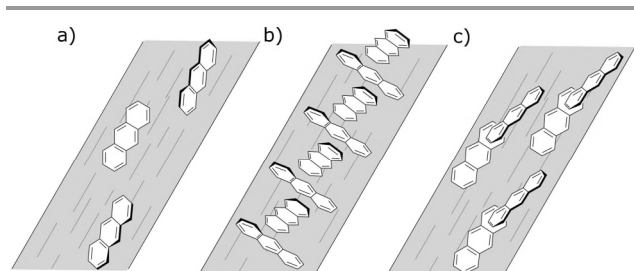
To have completely negative LD at the monomer transitions (8 mg/mL, last line of Table 5), the anthracenes must be oriented with their planes perpendicular to the stretch direction. If the anthracenes have parallel molecular planes, but the nearest neighbor long axes are twisted by  $90^\circ$  with respect to one another then there is zero exciton energy shift (see ESI). An extended cross-hatched structure as illustrated in Figure 11b is consistent with this and is expected to be stable on the stretched film. We postulate this is what is happening in the 8 mg/mL film. The geometries giving rise to the 1 mg/mL unstretched and 0.5 mg/mL spectra are harder to identify uniquely. Possible arrangements include the following. A geometry similar to that of the skew pair in the crystal structure<sup>32</sup> gives rise to almost no intensity for the out-of-phase couplings for both long and short-axis anthracene transitions and (if the long axes are along the stretch direction) positive LD for the in-phase coupling (Table S12, ESI). A geometry where one layer of anthracenes are aligned in a head-to-tail trail along the stretch direction (as are the monomers) and a second layer is tilted as in the crystal ( $14.4^\circ$  between long axes and  $54^\circ$  between short axes) but displaced enough to ensure  $V<0$  (Table S11, ESI) as illustrated in Figure 11c accounts for the 1 mg/mL unstretched spectrum. The 0.5 mg/mL spectrum is consistent with a geometry where the first layer is the same as this but the second layer has the molecules parallel to the first and rotated about X axis by  $2\gamma=60^\circ$  (Figure 11c). This geometry has  $V>0$  (Table S11, ESI), both in-phase and out-of-phase short axis transitions positive, and long-axis in-phase positive but out-of-phase negative.



**Figure 10.** Spectra of anthracene. (a) Absorbance of anthracene in solution (0.3 mg/mL cyclohexane) overlaid on absorbance spectra of  $\times 1.8$  stretch films (PE) deposited from chloroform solutions of the concentrations indicated in the figure. (b) LD corresponding to (a) film spectra with solution absorbance overlaid normalised to have areas under the curves equal to one. (c) LD (deposited from 0.1 mg/mL solution in methanol, to ensure monomer, see text) on PE<sup>OX</sup> as a function of stretch factor (indicated - sample deposited after stretching). LD deposited from solutions in chloroform in (e) 1 mg/mL (g) 8 mg/mL as a function of stretch (sample added before stretching). (d), (f), and (h) LD<sup>f</sup> for data from respectively (c), (e), and (g) overlaid with absorbance and LD spectra for  $\times 1.8$  stretch.

**Table 5** Different component spectra identified in the anthracene film LD spectra of Figure 10. 'Large' indicates where the long wavelength region has enhanced intensity relative to the 250 nm region. Vibronic progressions are indicated where they are apparent. Sh denotes shoulder.

Spectrum in which component is most apparent	LD at ~230 nm	LD at ~254 nm	LD at ~268 nm	LD at 379 nm	LD at ~400 nm	Geometry consistent with LD
Monomer	0	+ve	0	-ve, from 379 nm 14000 cm <sup>-1</sup>	0	Fig. 10a
0.5 mg/mL, Fig. 9b	+ve (sh)	?	-ve	-ve, from 399 nm, 16000 cm <sup>-1</sup> (large)		Fig. 10d
1 mg/mL, Fig. 9e, unstretched	0	?	+ve	+ve, from 396 nm, 14800 cm <sup>-1</sup> (large)		Fig. 10c
1 mg/mL, Fig. 9e, stretched	0	+	+ve (small)	-ve	+ve (small)	Fig. 10a(+10c)
8 mg/mL, Fig. 9g, stretched	0	-ve	0	-ve (large), from 378 nm, ~14000 cm <sup>-1</sup>	0	Fig. 10b



**Figure 11.** The three proposed orientations of anthracene on PE<sup>OX</sup> films, a) uniaxially oriented single molecules, b) extended cross-hatched structure and c) skewed dimers.

## Conclusions

In this work we have shown that we can change the surface properties of polyethylene (PE) by oxygen plasma treatment enough to enable even the most polar of small molecules to be oriented on its oxidised surface (PE<sup>OX</sup>). Overall, the quality of the DAPI PE<sup>OX</sup> spectra suggest PE<sup>OX</sup> can replace polyvinyl alcohol for polar molecules making a much simpler and quicker experiment. In general we found that the sample deposition was more uniform with the PE<sup>OX</sup> surfaces making it usually the preferred substrate. The orientation of our films increased with stretch factor due to changes in crystallinity and crystallite orientation in the PE substrate (which also includes crystalline polypropylene). For some molecules (e.g. 1-pyrenecarboxaldehyde) we found the different interactions between analyte and the two films enabled us to assign transition moments of low symmetry molecules. Easy transition moment assignment follows if the molecules orient uniaxially on the polymer film. We have shown that a simple matrix rotation experiment can be used to determine whether or not the orientation may be assumed to be uniaxial.

Our work on anthracene stretched film samples shows the importance of knowing what is being studied. For anthracene, the analyte must be deposited from quite low concentration solutions to ensure monomers dominate the spectroscopy. Films prepared from high concentration stock solutions of anthracene showed a variety of different higher order structures with corresponding variations in spectra resulting from different exciton couplings. The LD sign patterns can be readily used to eliminate possible oligomer geometries, however, the overlay of spectra for different structures usually makes it challenging to definitively identify structures.

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## Notes and references

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