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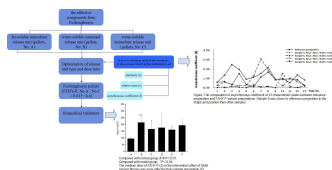


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An integral evaluation method for the synchrony of drug release based on the mathematics set was developed for guiding the preparation of multi-component TCM.

1 **An integral evaluation method for the synchrony of drug release based on the**
2 **mathematics set in guiding the preparation of a multi-component traditional**
3 **Chinese medicine**

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21 **Running title: An integral evaluation method for the synchrony of drug release**

22

1 **Abstract**

2 The quantitative characterization and evaluation of the synchrony of
3 multi-component release behavior are bottlenecks urgently needed to solve in the
4 studies on multi-component release kinetics and the quality control of
5 multi-component traditional Chinese medicine (MCTCM). MCTCM is from the
6 original prescription and preparation. At present, the evaluation of MCTCM is
7 generally full use of pharmacodynamics evaluation. But it is lack of convenience and
8 can not clearly reveal the correlation between the whole dynamic process of drug
9 release and *in vivo* absorption. It is also very limited for the control of preparation.
10 In addition, there are various types of effective ingredients in MCTCM, which exist
11 distinct differences in physicochemical properties. These differences may lead to
12 asynchrony of drug release between MCTCM and original preparation. So that they
13 thereby directly affect the efficacy. However, in this issue through the
14 pharmacodynamics evaluation we can't find the core reason. The papers reported
15 were confined to studying the release characteristics of one or a few components in
16 MCTCM and the drug release evaluation method reported was too macroscopic to
17 specifically identify the components which caused the integral asynchrony.

18 In this paper, in order to reveal the MCTCM release synchronous characteristics,
19 Fuzhenghuayu Capsules selected as the model, an original preparation, the integral
20 release evaluation method based on the mathematics set was established in guiding
21 the preparation of MCTCM. This method can not only be used in evaluating release
22 characterization of MCTCM by the parameter of asynchronous coefficient, but also

1 be used in adjusting the dose of release unit by the relative error parameter. The
2 results demonstrated that this evaluation method was feasible, stable and
3 reproducible. And the Fuzhenghuayu Pellets guided by this method were showed
4 release synchrony and similar pharmacodynamics with the original capsules, and the
5 drug release mechanism was mainly frame erosion. Through this study, we could
6 then evaluate the quantifiable release characteristics of MCTCM and it could apply
7 an integral synchronous evaluation method for MCTCM.

8

9 **Keywords:** drug release set; evaluation method of the synchrony; drug release;
10 chromatographic fingerprint; Multi-Component Traditional Chinese Medicine

11

12

1 **1. Introduction**

2 Currently, multi-component traditional Chinese medicine (MCTCM) is from an
3 effective and classical formulation, which has clear active substances in the clinic and
4 confirmed by pharmacodynamics.¹ According to the characteristics of the different
5 effective components in the formulation, the MCTCM is usually comprised of two or
6 more types of release units, such as pellets, granules, microcapsules etc. Then
7 release units were recomposed into the capsules. Moreover, through optimizing
8 different release unit composition, MCTCM can produce similar or superior effect to
9 the original preparation. Presently, optimizing release unit composition of MCTCM
10 depended on an pharmacodynamics assessment, such as pharmacological effect
11 intensity, the onset time and the continuing role of time, et al. It is available for
12 ensuring the rationality of the preparation as an terminal evaluation. However, it can
13 not describe the whole release behavior of MCTCM. Furthermore, it can not find the
14 reason of asynchronous release behaviour due to the method of preparation, the
15 different physicochemical properties of ingredients, et al. These factors may also
16 directly affect the curative effect of the drug. Therefore, attention should be paid to
17 the quantification of synchrony of multi-component release research in MCTCM.

18 At present, there are mainly following three aspects of release evaluation of
19 MCTCM.²⁻⁴ The first one is the evaluation method based on the chemical composition
20 which is with one or a few indicator components quantitative analysis.⁵⁻¹¹ This
21 method is simple, fast and strong representative, but it is only suitable for single
22 component preparation. The second one is the evaluation method based on

1 pharmacological effect and biological effect, a biological potency measurement
2 method, which is used for the terminal evaluation.¹²⁻¹⁴ The third one is the fuzzy
3 evaluation method based on component theory, including Quantified fingerprint
4 method¹⁵⁻¹⁷ and Kalman filter method¹⁸⁻²² which was used in the evaluation of the
5 synchrony of Yinqiaojiedu tablets¹⁹, Tongxuanlifei pills¹⁶, Dachuanxiongfang pellets,
6 Shaoyaogancaofang pellets and Yuchangning pellets¹. Although Kalman filtering
7 method was reported, because of its Ultraviolet spectrophotometry method with
8 detecting all absorption in only one wavelength as “component score”, this method
9 was too general to be able to specify which components caused integral asynchrony.
10 As a result, it failed to identify which component accounted for the overall
11 asynchrony and also failed to provide an effective reference for the design of
12 MCTCM.

13 Fuzhenghuayu Capsules (FZHY-C) was developed by Shuguang Hospital Affiliated
14 to Shanghai University of Traditional Chinese Medicine Institute of liver disease²³⁻²⁸,
15 which included *Radix Salviae Miltiorrhizae*, *Cordyceps brasiliensis*, *Semenpersicae*,
16 *Fiveleaf Gynostemma Herb*, *Schisandra chinensis* and *Pine Pollen*. Through the
17 further study on the material foundation of the prescription, the effective
18 components after extracted and purified and dosage ratio were obtained clear, which
19 included Cordyceps polysaccharide (240 mg·kg⁻¹), Salvianolic acid (130 mg·kg⁻¹),
20 Cordyceps fat soluble components (0.1 mL·kg⁻¹), Gynostemma pentaphyllum
21 polysaccharides (7.3 mg·kg⁻¹), Gynostemma pentaphyllum saponins (20 mg·kg⁻¹) and
22 Amygdalin (0.1 mg·kg⁻¹). The more information about FZHY-C and liver fibrosis were

1 shown in *Supplementary Data 1*.

2 The change of material composition would lead to change the coexistence
3 environment of different polar substances. It may lead to the asynchrony release
4 between the original preparation and recomposed release unit. Therefore, three
5 FZHY Pellets (FZHY-P) with different release behaviors were prepared using modern
6 technology according to the physical and chemical properties of the effective
7 components. And the integral evaluation method for the synchrony of drug release
8 based on the mathematics set was established. Moreover, relative error (ϵ),
9 asynchronous coefficient (k) and other parameters were established for achieving the
10 synchrony of the drug release behavior compared with the original preparation and
11 recomposed preparation, screening the drug release unit type and dose. Through the
12 above research, it provided an effective evaluation tool of drug release for the
13 quantitative characterization and the synchrony of MCTCM.

14 **2. Materials and methods**

15 **2.1 Chemicals and materials**

16 FZHY-C was purchased from Shanghai Huanghai pharmaceutical Co., LTD
17 (Shanghai, China). FZHY-P were self-made, with fat-soluble immediate release unit
18 (pellets) of 2.5% drug loading for fat soluble active components (including Cordyceps
19 fat soluble components, Gynostemma pentaphyllum saponins and Amygdalin) and
20 water-soluble immediate release unit (pellets) or water-soluble sustained release
21 unit (pellets) of 33% drug loading for water soluble active components (including
22 Cordyceps polysaccharide, Salvianolic acid and Gynostemma pentaphyllum

1 polysaccharides).The preparation of fat-soluble immediate release unit (type: pellets,
2 No: **A**), water-soluble sustained release unit (type: pellets, No: **B**) and water-soluble
3 immediate release unit (type: pellets, No: **C**) were showed in *Supplementary Data2*.
4 All these pellets with different unit type and dose ratio were put into empty capsule
5 shells.

6 Reference standards of Protocatechuic aldehyde (>98%) and salvianolic acid B
7 (>98%) were purchased from the National Institute for the Control of Pharmaceutical
8 and Biological Products (Beijing, China). 50% total salvianolic acid extract (TSA) and
9 80% total gypenoside extract (TG) were obtained from Shanghai Huanghai
10 Pharmaceutical Co., Ltd. (Shanghai, China). Dimethylnitrosamine (DMN) were
11 provided by Tokyo Chemical Industry Co., Ltd (Tokyo, Japan). 4-Dimethylamino
12 benzaldehyde, Chloramines-T, Anhydrous ethanol, Xylene, formaldehyde,
13 Pentobarbital Sodium, Isopropanol (Analytic grade), Concentrated hydrochloric acid
14 were all obtained commercially by China Sinopharm Co., Ltd of Shanghai chemical
15 reagent Company (Shanghai,China). Sodium Chloride Injection (0.9%) was bought by
16 Anhui Shuanghe Pharmaceutical Co., Ltd (Anhui, China). Hydroxyproline (Hyp) was
17 bought by Sigma-Aldrich Chemie GmbH (American). Perchloric acid was bought by
18 Shanghai taopu Chemical plant (Shanghai, China). Phosphoric acid was excellent
19 pure-grade and all other chemicals were analytical grade.Acetonitrile and methanol,
20 both HPLC grade, were purchased from Merck (Germany). Pure water system (for
21 HPLC/UP) was bought by Labconco Company (American).

22 **2.2 Dissolution test and preparation of reference solution and sample solution**

1 A DT800-Ls intelligent dissolution instrument was purchased from Tianjin
2 university wireless power plants. The dissolution test of FZHY-C and FZHY-P was
3 prepared in accordance with the China Pharmacopeia.²⁹

4 For each batch of reference solution, 20 FZHY-C were accurately weighted,
5 equivalent to 2.00 times of single capsule weight (± 0.1 mg), was “fully” dissolved in
6 250 mL of distilled water by dissolving for 4 hours. The rotational speed was set at 75
7 revolutions per minute , and the temperature was set at $37 \pm 0.5^\circ\text{C}$. The solution (5
8 mL) was filtered through a PTFE syringe filter (Millipore, pore size $0.22 \mu\text{m}$, diameter
9 13 mm) as the calibration sample with a gross concentration of 200%, which
10 represented 2.00 capsule was “fully” dissolved in 250mL of dissolution medium, then
11 dried on the water bath at 70°C , dissolved in the mobile phase (1 mL), filtered
12 through a $0.45 \mu\text{m}$ membrane, and analyzed by high performance liquid
13 chromatography (HPLC). The 200% calibration sample was serially diluted with
14 distilled water to generate the other calibration samples, whose gross concentrations
15 were 25%, 50%, 75%, 100%, 125% and 150%.

16 For each batch of sample solution, 20 FZHY-P were accurately weighted,
17 equivalent to 2.00 times of FZHY-P weight (± 0.1 mg) . FZHY-P sample solutions were
18 collected at 10, 30, 60, 120 and 240 min throughout the experiment, and all were
19 prepared and analyzed as described above. Following sample removal, the
20 appropriate media (5 mL) was immediately replenished.

21 ***2.3 Instruments and chromatographic conditions***

22 An Agilent Technologies 1200 HPLC system equipped with Agilent G1329A

1 automatic temperature control autosampler and Agilent G1314B variable wavelength
2 detector were used. Samples were separated using a Kromasil C18 column (250 mm
3 × 4.6 mm, 5 μm). The mobile phase was composed of methanol (A), acetonitrile (B)
4 and water containing 0.2% H₃PO₄ (C) and was delivered at a flow rate of 0.8 mL · min⁻¹.
5 A gradient program was used as follows: the initial elution condition at 0 min was
6 A:B:C (0.2% : 0.3% : 99.5% , v/v) , then linearly changed to A:B:C (1.2% : 1.8% :
7 97.0% , v/v) at 30 min, A:B:C (2.0% : 3.0% : 95% , v/v) at 31 min, A:B:C (4.0% : 6.0% :
8 90% , v/v) at 55 min, A:B:C (12.0% : 18.0% : 70.0% , v/v) at 90 min, A:B:C (20% : 30% :
9 50% , v/v) at 140 min, A:B:C (0.2% : 0.3% : 99.5% , v/v) at 150 min. The detection
10 wavelength was 280 nm and the column temperature was set at 30 °C.

11 Validation was performed by establishing precision, stability and repeatability
12 for all characteristic peaks. Chromatographic profiles were obtained for mixed
13 standards of protocatechuic aldehyde, salvianolic acid B, TSA, TG and the reference
14 solutions using the chromatographic conditions described above.

15 Intra-day precision tests were performed by analyzing FZHY-C reference
16 solutions during a single day and inter-day precision tests during 3 days. For
17 precision tests, reference solutions were analyzed six times continuously. For
18 repeatability tests, six independent reference solutions were prepared as described
19 above. For stability tests, six independent reference solutions were prepared and
20 analyzed at 0 h, 3 h, 6 h, 9 h, 12 h and 24 h following storage at -4° C.

21 ***2.4 Establishment of the evaluation method for the synchrony of drug release***
22 ***based on the mathematic sets***

1 A drug release set is defined as the assembly of chemical compounds dissolved
2 in a medium from the TCM preparation.¹⁸ It can also be considered as the significant
3 part of the basis for pharmacodynamics.

4 The standard chromatographic fingerprint is defined as the characteristic
5 fingerprint of a drug release set for full drug release of an original preparation at the
6 specified dose. When the reference and sample preparations display similar release
7 behavior, it is defined as synchrony. Conversely, dissimilar release behavior is defined
8 as asynchrony.

9 When FZHY-C was fully released, the drug release set was comprised of several
10 components (corresponding subscript symbols as “n”), so the peak area of drug
11 release set could be described as $\{A_{s,1}, A_{s,2}, \dots, A_{s,(n-1)}, A_{s,n}\}$. Supposing the number
12 of FZHY-P which consists of different drug release units was defined as “p” and the
13 sampling time point of its *in vitro* release was defined as “t”, then the peak area of
14 each component obtained from the “p”th sample can be expressed as $A_{p,n,t}$.

15 2.4.1 Establishment of standard curve of reference preparation

16 The reference preparation was “fully” dissolved as the calibration sample and it
17 was diluted to a series of concentrations, then the standard curve was constructed
18 by plotting peak area ($A_{s,n}$) against time on the x and y axes respectively. The
19 concentration of each component of sample preparation was obtained as followed.
20 Firstly, the $A_{p,n,t}$ of sample preparation were inputted into the standard curve
21 regression equation, then the relative release amount (C_t) of the drug release set
22 was be obtained according to the dilution ratio.

1 2.4.2 Accumulative release of the drug release set

2 After obtaining the relative release amount (C_t) of each characteristic peak in the
3 drug release set, the cumulative release degree (Q_t) could be calculated in
4 accordance with the formula below, and the curve for drug release could be fitted.
5 Finally, the release mechanism could be speculated.

$$6 \quad Q_t = \{ [C_t \times V_0 + \sum(C_{t-1} \times V_i)] / M \} \times 100\%$$

7 In the equation, “ t ” represented sampling time point (h), “ Q_t ” represented
8 cumulative release degree (%) at sampling time point, “ C_t ” represented the
9 concentration of each characteristic peak (i.e. release quantity), “ V_0 ” represented
10 total volume of dissolution medium, “ V_i ” represented sampling volume, and “ M ”
11 represented release quantity of reference preparation (i.e., the product of the
12 reference “ C_t ” and “ V_0 ”).

13 2.4.3 The synchronous characterization of the drug release set

14 The information provided from the reference and the sample fingerprints at
15 different time points were compared by using the software “Similarity evaluation
16 system for chromatographic fingerprint of TCM” (2004, A Chinese Pharmacopoeia
17 Commission). The parameter (s) was closer to 1, the reference and sample
18 preparations displayed the better similar release behavior, the synchrony was the
19 better. When comparing different FZHY-P samples, the better synchrony was defined
20 as the earlier time points whose similarity was the more approaching to 1.

21 When the release of sample and reference preparations was synchronous, the
22 difference of cumulative release degree for both should be tend to zero, i.e.,

1 $\lim \sum (Q_{p,n,i} - Q_{s,n}) = 0$. Hence, the absolute value of the difference was defined as
2 “Error” and was shown as “ Q_ϵ ”. The error of each component obtained from the “p”th
3 sample could be expressed as $Q_{\epsilon(p,n,t)}$. $Q_{\epsilon(p,n,t)}$ reflected the error between reference
4 preparation and samples. In order to reduce the calculation error, and thus the
5 synchrony of each compound in the drug release set could be evaluated by “relative
6 error” ($\epsilon_{p,n,t}$), i.e. $\epsilon_{p,n,t} = Q_{\epsilon(p,n,t)} / Q_{s,n}$.

7 The error curve was obtained by plotting sample points “t” on the x-axis
8 against the relative error “ ϵ ” on the y-axis. The parameter $k_{p,n}$ (the slope of the error
9 curve) reflected the change of curve and was defined as the asynchronous coefficient
10 “k”. The “k” for the reference and sample preparations was tested by a rank sum test
11 and the difference of the overall location and shape distribution was estimated
12 respectively. When no difference was observed, we could conclude that the
13 complete release process was similar or equal between the sample and reference
14 preparations.

15 **2.5 Animals, Drug Administration, Sampling**

16 Eighty-five white Sprague-Dawley (SD) rats were obtained commercially from
17 Chinese Academy of Shanghai Experimental Animal Center [Shanghai, China,
18 certification NO: SCXK (Shanghai): 2007-0005]. They were kept in an environmentally
19 controlled breeding room in Experimental Animal Center of Shanghai Traditional
20 Chinese Medicine University (Shanghai, China) for one week before starting the
21 experiments and fed with standard laboratory food and water ad libitum. The animal
22 facilities and protocols were approved by the Institutional Animal Care and Use

1 Committee, Shanghai University of TCM. All procedures were conducted in
2 accordance with the Guide for the Care and Use of Laboratory Animal (The National
3 Academies Press, revised edition 2010).

4 Rats were divided into six groups as followed : normal group (n=10), model
5 group (n=19), the original preparation FZHY-C group (n=14), the high dose of FZHY-P
6 group (n=14), the medium dose of FZHY-P group (n=14) and the low dose of FZHY-P
7 group (n=14). The rats of model group were intraperitoneal injected with 2 mL·kg⁻¹
8 (weight) DMN (0.5%). The period of injection was lasted in 4 weeks. The first
9 injection was taken in 2/3 of the full dose. The injection was taken once a day. It had
10 the rest of 4 days after the continuous injection of 3 days. This period of injection
11 was total 3 weeks. In the 4th week, the injection was taken 1/2 the amount of the full
12 dose in 1st day and 3th day of 4th week and was stopped 1 time during the period.
13 Then after 3 days, the injection was also taken the full dose one time. The normal
14 group rats were also intraperitoneal injected with the same amount of sodium
15 chloride injection as model group rats at the same time of model group.

16 Each group were orally given with the corresponding dose of an aqueous
17 solution with 10 mL·kg⁻¹ (weight). The dose of FZHY-C group was 6.318 g·kg⁻¹ (weight).
18 The dose of the FZHY-P was shown in Table 1S. Each group was given once a day and
19 lasted 4 weeks. The normal group and the model group were given the same dose of
20 drinking water.

21 After the end of the experiment, the rats were fasted, but not limited water, and
22 they were weighed after 24 hours. Then rats were sacrificed, and the livers and

1 spleens were removed to weigh. And then about two 1.0cm × 0.8cm × 0.3cm size
2 liver tissue was taken and fixed in neutral buffered formalin (10%).

3 **2.6 Biomedical study**

4 Hydroxyproline(Hyp) content of the liver tissue was determined by Jamall
5 methods.³⁰ Microfuge lite was purchased from Beckman Company. Vortex mixer
6 (XW-80A) was obtained commercially by the Haimen Lindberg Instrument
7 Manufacturing Co., Ltd. M5 Multifunctional microplate reader was bought by
8 American Molecular Devices Company.

9 Approximately 100 mg of liver tissue was weighed, dried water out with a filter
10 paper, then it was placed in a homogenate tube, added 1.5mL amount of saline in
11 homogenizer, homogenized and transferred to ampoules. Homogenate tube was
12 flushed by 1 mL saline. The ampoule was added 2.5 mL HCl before sealed . Then the
13 sealed ampoule was put into the oven at 105 °C to hydrolyze for 20 hours. Then the
14 solution of hydrolyzate was filtered, 100 µL amount of which was plused into a
15 new homogenate tube. Each specimen was duplicated twice and each one was dried
16 in the oven at 40 °C.

17 0.2 mL amount of the chloramine-T working fluid and 1.2mL of the 50%
18 isopropanol were added to the homogenate tube. Then it was stayed at 25 °C room
19 temperature for 10 min. 1 mL of the ER working fluid was next added to it, i.e. the
20 Euclidean liquid [Ehrlich's reagent solution, 25% (w / v) dimethylamino benzaldehyde
21 and 27.3% (v / v) perchloric acid solution in isopropanol]. After mixed with shaking, it
22 was set at 50 °C warm bath for 90 min. OD value was measured at the wavelength of
23 558 nm after distilled water was used to zero. The OD value of each tube and its

1 duplicated tube were taken the mean, Hyp content was calculated based on the
2 standard curve, and corrected by precise liver tissue wet weight .

3 Standard curve was drawn as followed Table 2S. OD value was measured at the
4 wavelength of 558 nm after distilled water was used to zero. Standard curve was
5 drawn according to the standard concentration.

6 **2.7 Statistical analysis**

7 Standard chromatographic fingerprint was generated by using the software
8 “Similarity evaluation system for chromatographic fingerprint of TCM” (2004, A
9 Chinese Pharmacopoeia Commission). Differences between parameters for each
10 group of drug release were tested by rank sum test by using SPSS 18.0 software for
11 Windows (MapInfo Corporation, Troy, NY, USA).

12 The data was expressed as means \pm SD in pharmacodynamic evaluation. One-way
13 analysis of variance (ANOVA) and further *LSD-t* multiple comparisons were also
14 employed by SPSS 18.0. A *P*-value below 0.05 or 0.01 was taken to indicate significant
15 difference between data means.

16 **3. Results and discussion**

17 **3.1 Validation of chromatography method**

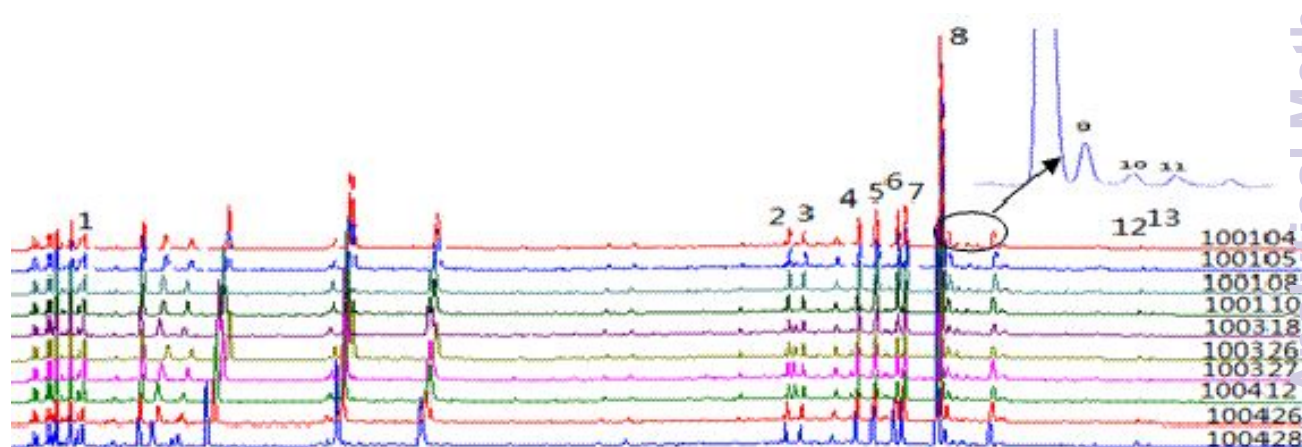
18 The results showed that linear relationship of each characteristic peak in the
19 standard fingerprint had a good linear relationship. Regression equations and
20 correlation coefficients of characteristic peaks are shown in Table 1. Table 1 also
21 listed the results of precision, repeatability and stability tests. For intra-day precision
22 analysis, the data showed that the relative standard deviations (*RSDs*) of retention

1 time and the peak area were less than 0.01% and 3.67% respectively. Meanwhile
2 those for inter-day variation were less than 0.04% and 3.80%, respectively. The
3 results for repeatability were less than 0.03% with respect to retention time and
4 5.93% for peak area. For the stability tests, the *RSDs* for retention time and peak area
5 were less than 0.03% and 4.15% respectively, indicating that the sample was stable
6 over 24 h. The validation data indicated that the analytical method was specific,
7 sensitive and stable.

8 **3.2 Standard chromatographic fingerprint of the drug release set**

9 3.2.1 Acquisition of standard chromatographic fingerprint

10 Characteristic fingerprint chromatograms of 10 batches FZHY-C were shown in
11 Fig.1. This data was generated from the reference standard fingerprint
12 chromatogram by using the software, a similarity evaluation system for
13 chromatographic fingerprint of TCM.

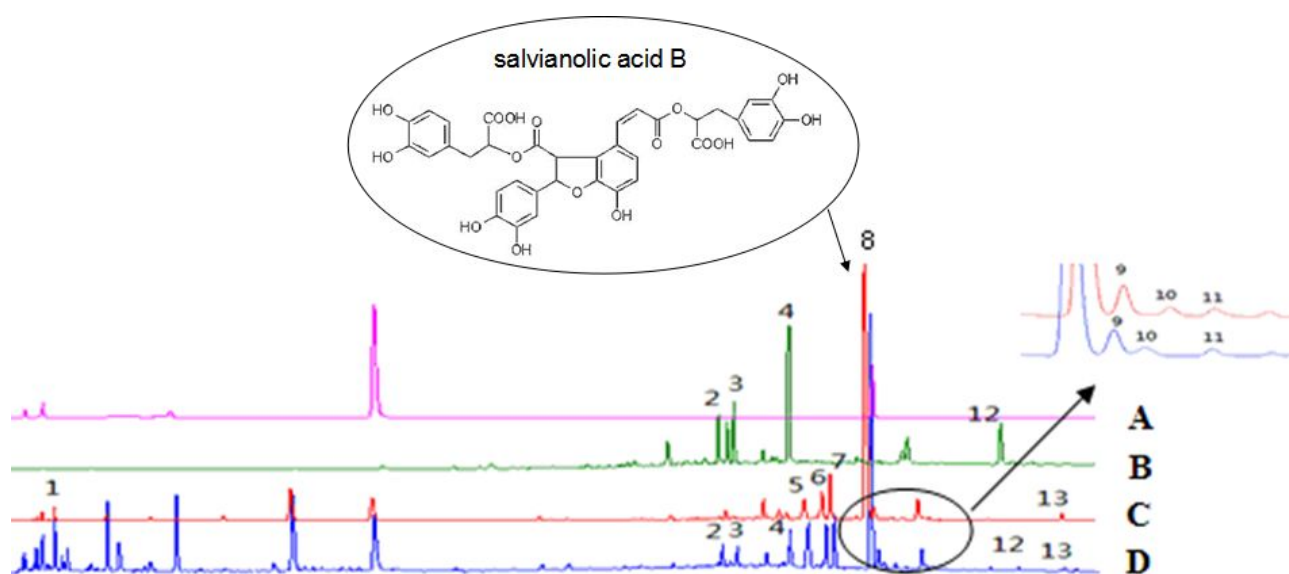


14
15 Fig. 1 HPLC fingerprint chromatograms of 10 batches FZHY- C.

17 3.2.2 Characteristic peak identification and similarity evaluation

18 13 Characteristic peaks were identified, in which the fourth peak (94.33 min) was

1 the reference peak (4th s). Others had the following relative retention times: 0.07 min
 2 (1st), 0.96 min (2nd), 0.98 min (3rd), 1.00 min (4th s), 1.02 min (5th), 1.04 min (6th), 1.06
 3 min (7th), 1.09 min (8th), 1.10 min (9th), 1.12 min (10th), 1.14 min (11th), 1.16 min (12th)
 4 and 1.23 min (13th). From the Fig.2, based on comparisons of the mixed standards of of
 5 protocatechuic aldehyde and salvianolic acid B, total salvianolic acid extract (TSA),
 6 total gypenoside extract (TG) and FZHY-C reference preparation, peak numbers 1, 5,
 7 6, 7, 8, 9, 10, 11 and 13 were from TSA, peak numbers 2, 3, 4, 12 were from total
 8 gypenoside, and peak 8 was salvianolic acid B. The average similarities of the 10
 9 randomly-selected samples were >0.96.



11 Fig. 2 The characteristic peaks in FZHY-C fingerprint chromatogram.

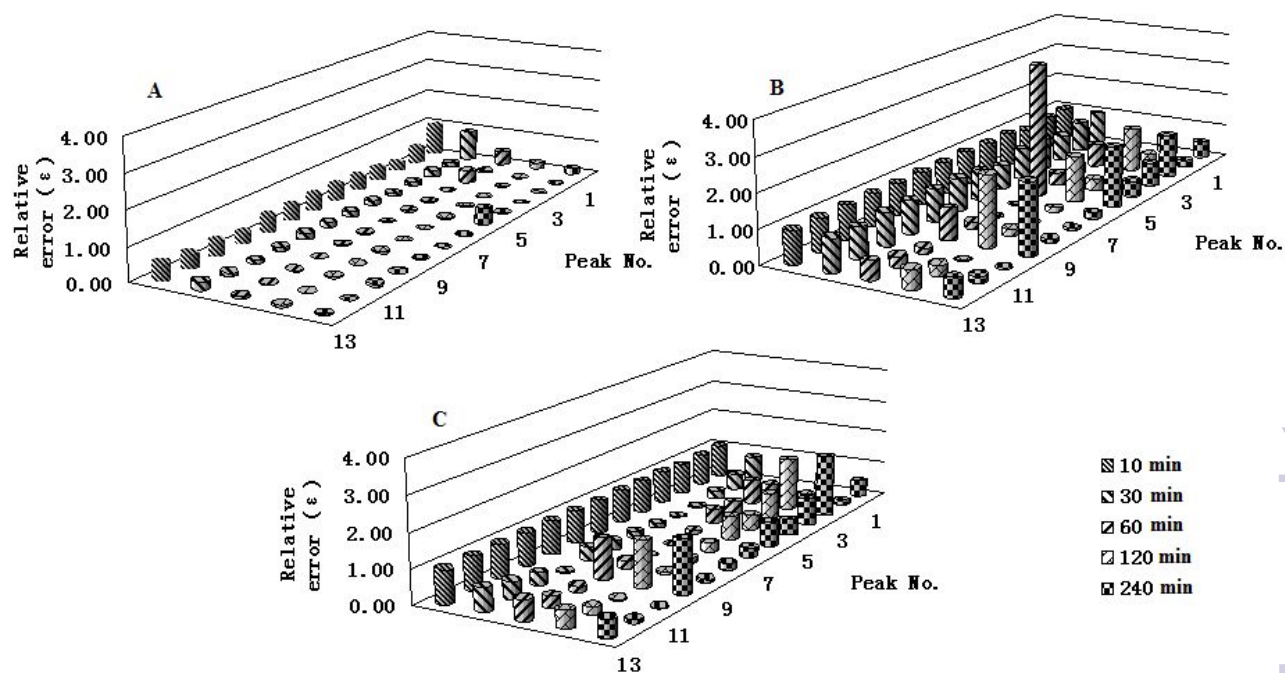
12 (A: mixed standard of protocatechuic aldehyde and salvianolic acid B. B: total
 13 salvianolic acid extract, TSA. C: total gypenoside extract, TG. D: FZHY-C fingerprint
 14 chromatogram).

16 3.2.3 Determination of standard chromatographic fingerprint

1 The reference fingerprint “R” generated by the similarity evaluation system for
2 chromatographic fingerprint of TCM software was regarded as the standard
3 fingerprint chromatography of the evaluation method. The drug release set for the
4 standard chromatogram was formed by characteristic peak areas and could be
5 expressed as: $\{A_{s,1}, A_{s,2}, A_{s,3}, A_{s,4}, A_{s,5}, A_{s,6}, A_{s,7}, A_{s,8}, A_{s,9}, A_{s,10}, A_{s,11}, A_{s,12}, A_{s,13}\} = \{79.2,$
6 $181.6, 20.7, 87.8, 168.8, 351.1, 433.8, 3949.9, 154.6, 96.4, 50.1, 31.1, 81.3\}$.

7 ***3.3 Optimization of release unit type of FZHY-P by using the synchronous***
8 ***evaluation method based on the mathematics set***

9 For optimizing the release unit type of FZHY-P, the release unit composition was
10 designed. Sample 1 was contained of fat-soluble immediate release unit (A, 0.015 g)
11 and water-soluble sustained release unit (B, 0.285 g). Sample 2 was contained of A
12 (0.015 g) and water-soluble immediate release unit (C, 0.285 g). The peak area of the
13 drug release set in both standard and sample fingerprint chromatograms were
14 recorded in the release experiment described in sections 2.2. The chromatographic
15 conditions described in section 2.3. Each experiment was repeated three times. The
16 parameter (*s*) of the reference preparation and samples are shown in Table 2, relative
17 errors are shown in Fig. 3 and the statistical results are shown in Table 3.



1
2 Fig. 3 The relative error (ϵ) of reference preparation and FZHY-P sample
3 preparations. (A. reference preparation; B. sample 1; C. sample 2).

4
5 The average similarity (s) of both samples 1 and 2 were less than 0.9, as shown in
6 Table 2, indicating a big difference between the two samples and the reference
7 preparation, especially within 60 minutes. One explanation could be the sustained
8 release effect which was caused by the sustained release pellets in sample 1. After 60
9 minutes, the similarity had become much closer to 1, which indicated that the
10 release degree of samples was becoming more synchronous to the reference
11 preparation. We further observed that the peak numbers 3, 6 and 10 in sample 1 and
12 2 showed the biggest change in relative error (ϵ) by comparison with the reference
13 preparation (Fig. 3). It explained that the asynchrony was from the above three peaks
14 and next we should pay attention to adjusting the release unit ratio to improve
15 synchrony. From Table 3, there were significant differences ($P < 0.05$) between

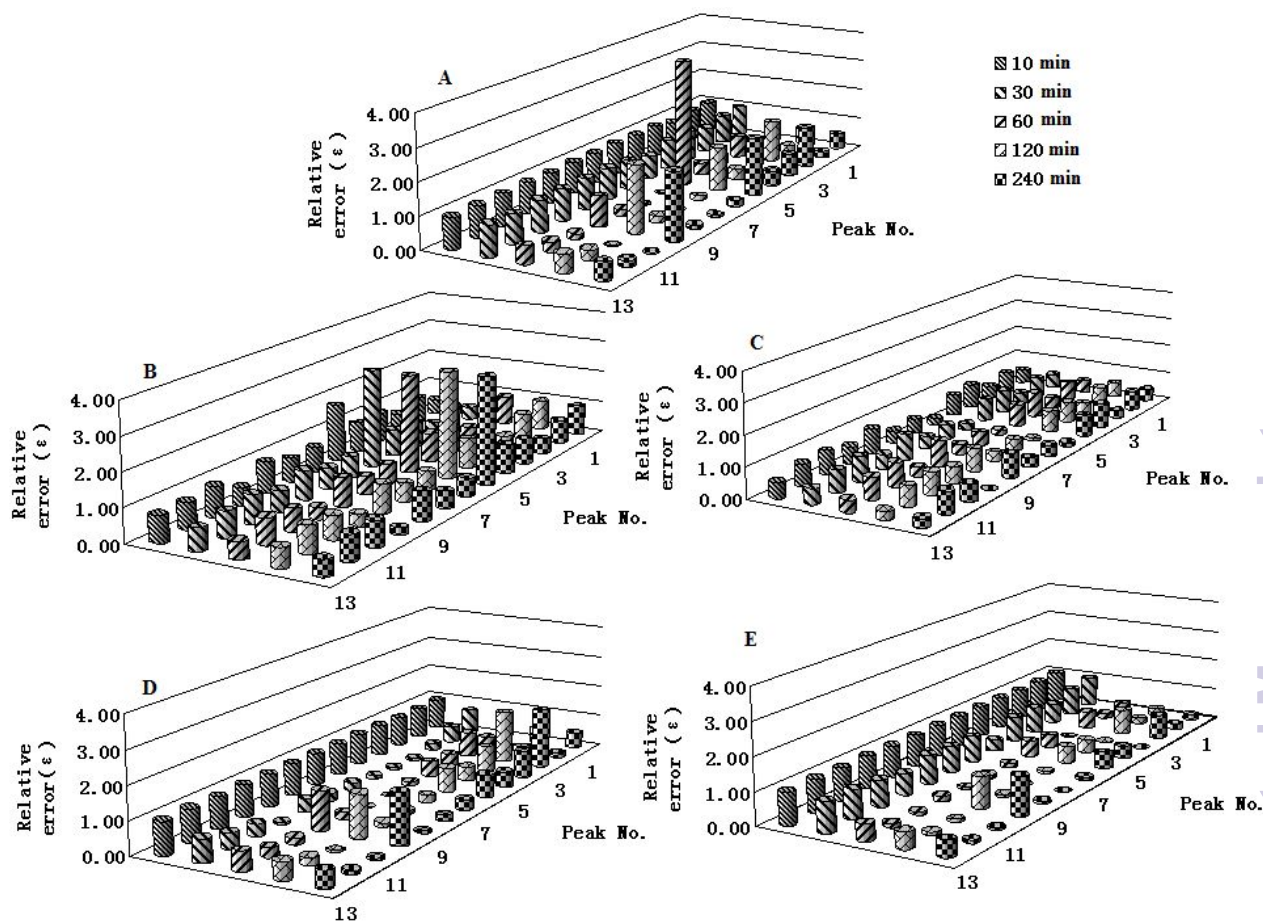
1 sample 1 and the reference preparation, but not sample 2. So the sample 2 was more
2 similar than sample 1 to the reference preparation.

3 In summary, this data showed that it was suitable that FZHY-P was composed of A
4 and C.

5 **3.4 Optimization of dose ratio of FZHY-P by using the synchronous evaluation**
6 **method based on the mathematics set**

7 While some components such as peak number 6 and 10 were found to affect the
8 synchrony of the overall sample. Since these components were mostly derived from
9 TSA, TSA was one of water soluble active component, so we adjusted the
10 water-soluble immediate pellets dose to ensure that the pellets was similar to the
11 reference preparation in release character.

12 For optimizing the ratio dose of FZHY-P, all the A were 0.015 g. The C of Sample 3,
13 Sample 4, Sample 5, Sample 6 were 0.200 g, 0.250 g, 0.285 g, 0.400 g, respectively.
14 The similarity of the reference preparation and samples are shown in Table 2, relative
15 errors are shown in Fig. 4 and the statistical results are shown in Table 3.



1

2

Fig. 4 The relative error (ϵ) of reference preparation and FZHY-P sample

3

preparations. (A. reference preparation; B. sample 3; C. sample 4; D. sample 5; E.

4

sample 6).

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6

As the average similarity of the samples was less than 0.9 (Table 2), indicating

7

that the synchrony of all the samples had big differences when compared to the

8

reference preparation. In Fig. 4, with the increase of C dose from sample 3 to sample

9

6, the parameter (ϵ) gradually improved, which indicated that the release unit ratio

10

was predictable expediently by this evaluation method based on the mathematical

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set. Meanwhile, when the dose of C increased, the difference of parameter (k)

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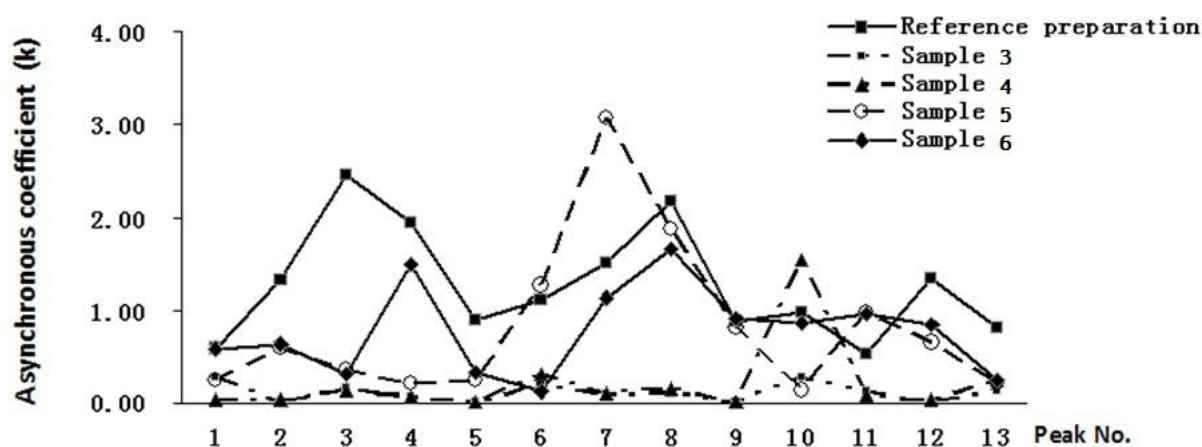
became smaller compared with the reference preparation from sample 3 to sample 6

1 (Table 3). Through the analysis of variance, sample 3 had significant difference ($P <$
2 0.05), and sample 6 was the most similar ($P = 0.42$). With the exception of sample 6,
3 the parameter (k) of the other samples all had a significant difference ($P < 0.05$, $P <$
4 0.01). So sample 6 was more similar than other samples to the reference
5 preparation.

6 In summary, this data showed that the dose ratio of the C and A were 0.4 : 0.015,
7 suggesting that the release character of FZHY-P was similar to the reference
8 preparation.

9 At the same time, we observed that statistical method could affect the accuracy
10 of the evaluation method. Initially we used the t-test²² to assess the parameter(k)
11 between each sample and reference preparation. The results showed that samples 1,
12 2, and 3 had no distinct difference ($P > 0.01$). In contrast, by the rank sum test³¹⁻³⁴,
13 samples 3, 4 and 5 were significantly different when compared to the reference
14 preparation ($P < 0.01$). We observed that the variation in the statistical methods was
15 due to the data normality and homogeneity of the variance test. It was assessed that
16 the data of the reference preparation and samples did not meet the requirements of
17 the t-test for data normality, therefore two kinds of statistical methods produced
18 different results. Unlike the t-test, the rank sum test was more suited to
19 non-normally distributed data and was not restricted by the overall distribution. Due
20 to the consideration of the overall position and peak shape, the Kolmogorov-
21 Smirnov z test was more creditable than Wilcoxon test used commonly³⁵⁻³⁹. Fig. 5
22 could demonstrated intuitively that the asynchronous coefficients of sample 6 was

1 closer to reference preparation in the shape and position than other samples.



2
3 Fig. 5 The comparison of asynchronous coefficient of 13 characteristic peaks
4 between reference preparation and FZHY-P sample preparations.

5 **3.5 Drug release mechanism of FZHY-P**

6 There were mainly many release mechanism models for drug release
7 evaluation^{5,29}, such as Zero-order drug release equation ($Q=Kt$), One release equation
8 [$Q=1-\exp(-Kt)$], Hixcon-Crowell Dissolution equation ($1-Q^{1/3}=1-Kt$), Higuchi planar
9 diffusion model equation ($Q=Kt^{1/2}$) and Baker-Lonsdale spherical diffusion model
10 equation $\{3/2[1-(1-Q)^{2/3}]-Q=Kt\}$.

11 Model fitting was done according to the accumulative release rate of each
12 component, then it was verified by Ritger-Peppas equation, and finally the drug
13 release mechanism of each component in FZHY-P was got. The curve fitting results of
14 sample 6 are shown in Table 4. The results showed that the model fitting of the
15 Hixcon-Crowell dissolution equation was the best for the vast majority of
16 components in FZHY-P except the third peak, which indicated that the drug release
17 mechanism of FZHY-P was mainly in corrosion process.

1 According to Ritger-Peppas⁴⁰, for ball type preparation, the meaning of exponent
2 of time 't' is as followed. Drug release is mainly in the Fickian diffusion when $n \leq 0.43$.
3 When $0.43 < n < 0.85$, drug release is for non-Fickian diffusion (drug diffusion with
4 skeleton solutional phase coordination). When $n \geq 0.85$, drug release mode belongs
5 to mainly frame erosion. In this paper, the evaluation method based on the
6 mathematics set was used to represent the synchronicity of drug release on FZHY-P.
7 Through the validation of this model equations, it was shown that the third peak's n
8 value was greater than 0.43 and less than 0.85, which release mechanism belonged
9 to the diffusion dissolution synergy, meanwhile the remaining 12 characteristic peaks
10 of the release mechanism were mainly frame erosion.

11 ***3.6 Evaluation of efficacy against liver fibrosis***

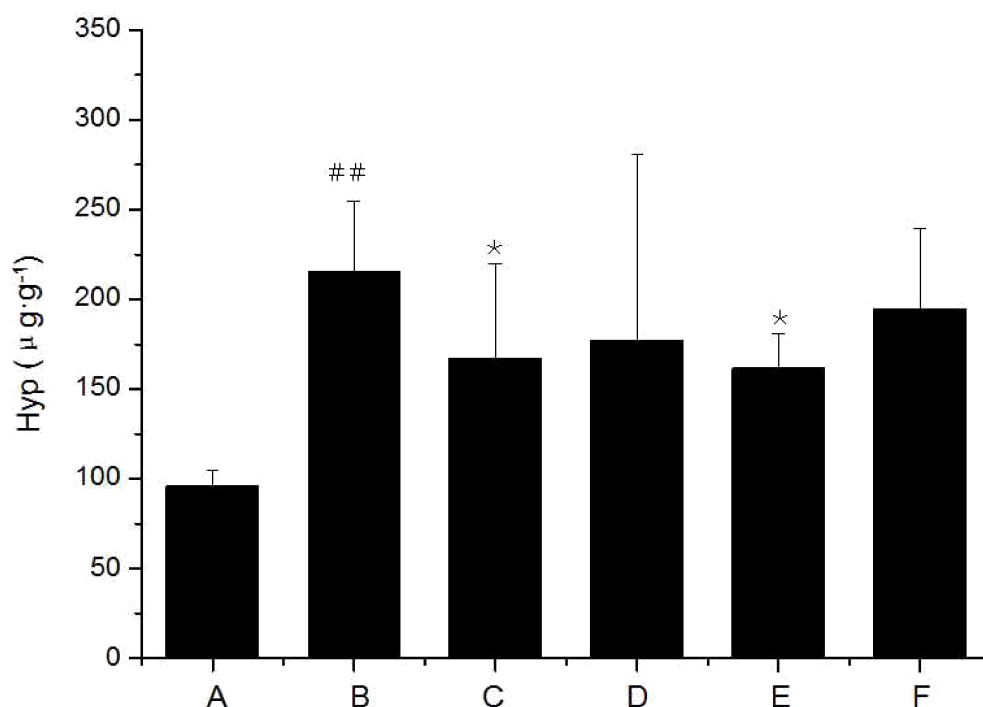
12 The fresh spleen of each group was observed (including the color, texture, surface
13 smoothness). The liver of normal group was red, tender, soft and had smooth
14 surface and the edge was sharp, and the spleen was dark red and medium texture.
15 However, the liver volume of model group was significantly reduced and the liver
16 was dark red, hard texture, rough surface and the edge of it was blunt. The spleen
17 volume of model group was also largely increased. Compared with the model group,
18 the livers of other drug groups (except the low dose of FZHY-P group) were better in
19 color and texture than model's, and spleens were also narrowed in some degree.

20 Compared with the normal group, the body weight, liver weight and liver
21 weight / weight of the model group were significantly lower ($P < 0.01$), spleen weight
22 and spleen weight /weight of model group increased significantly ($P < 0.01$).

23 Compared with the model group, the weight of original preparation FZHY-C group,

1 the high dose, medium dose of FZHY-P groups were higher than the model group
2 and the medium dose of FZHY-P group had significant higher ($P < 0.05$). Compared
3 with the model group, the body weight and liver weight of the low dose of FZHY-P
4 group decreased, and its of medium dose of FZHY-P had statistically decreased
5 ($P < 0.01$). The results were showed in Table 3S.

6 The Hydroxyproline content of each groups were also tested. Compared with
7 the same period of normal group, Hyp content of model group liver tissue was
8 significantly higher ($P < 0.01$). Compared with model group, Hyp content of the
9 original preparation FZHY-C group and medium dose of FZHY-P group liver tissues
10 had decreased significantly ($P < 0.05$). The results were showed in Table 3S and
11 Fig .6.



12

13 Fig. 6 Hyp content of each group rats' liver tissue. (A. Normal group, N=10;

14 B. Model group, N=19; C. FZHY-C group, N=14; D. FZHY-P high dose group, N=14; E.

1 FZHY-P medium dose group, N=14; F. FZHY-P lose dose group, N=14)
2 Compared with normal group, ^{##} $P < 0.01$; Compared with model group, ^{*} $P < 0.05$.

3
4 The above results had shown that the medium dose of FZHY-P in the
5 intervention effect of DMN rat liver fibrosis was more effective than original
6 preparation. In this study, the content of Hyp in liver tissue, as the main
7 pharmacodynamic indicator could evaluated the reasonableness of the FZHY-P.
8 The results demonstrated the same effect as the original preparation, indicating that
9 the evaluation method for the synchrony of drug release based on the mathematics
10 set was reasonable and convenient in guiding its preparation .

11 **4. Conclusions**

12 Using the original preparation FZHY-C as the model, an integral evaluation
13 method for the synchrony of drug release based on the mathematics set for MCTCM
14 has been established. This method can not only be used in evaluating release
15 characterization of MCTCM by the parameter of asynchronous coefficient, but also
16 be used in adjusting the dose of release unit by the relative error parameter.

17 The results demonstrated that this evaluation method was feasible, stable and
18 reproducible. And the FZHY-P guided by this method showed release synchrony and
19 similar pharmacodynamics with the original preparation, and the drug release
20 mechanism was mainly frame erosion. Through this study, we could then evaluate
21 the release characteristic of MCTCM and it could apply an integral synchronous
22 evaluation method for multi-component dissolution / release.

23 **Acknowledgments**

1 The work was supported by grants from the National Natural Science
 2 Foundation of China (Grant No.30801548), the Shanghai Municipal Education
 3 Committee (Grant No. 12ZZ124), the Shanghai Science and Technology Committee
 4 (Grant No. 08DZ1971100 and 12401900402) and the Shanghai Education
 5 Commission Leading Academic Discipline Project (Grant No. J50302). Thanks to Xu
 6 Lieming researcher for the contribution on anti-hepatic fibrosis pharmacodynamics
 7 of FZHY-P.

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10 Table legends

11 Table 1 Regression equations, related coefficients of each characteristic peak,
 12 inter-day and intra-day precision, repeatability and stability.

Peak No.	Retention time	Regression equation	Retention time (% RSD)					Peak Area(% RSD)			
			Related coefficient (r)	Intra-day precision	Inter-day precision	Repeatability	Stability	Intra-day precision	Inter-day precision	Repeatability	Stability
1	6.445	Y=0.0855 X-0.6864	0.978 0	0.00	0.01	0.00	0.33	1.89	3.58	5.93	4.15
2	91.002	Y=0.0332 X+0.0175	0.999 3	0.00	0.00	0.00	0.00	1.55	1.64	0.00	1.82
3	92.809	Y=0.2501 X+0.2804	0.984 7	0.00	0.04	0.00	0.02	2.28	2.66	1.60	2.05
4	94.811	Y=0.0640 X-0.1081	0.999 6	0.00	0.04	0.00	0.02	2.36	2.50	4.39	0.71
5	96.798	Y=0.0264 X+0.0184	1.000 0	0.00	0.02	0.00	0.04	0.44	0.61	2.72	1.41
6	98.659	Y=0.0962 X-0.3394	0.999 2	0.00	0.00	0.00	0.03	3.11	3.29	2.49	0.54
7	100.231	Y=0.0129 X+0.0627	1.000 0	0.00	0.00	0.00	0.03	0.62	0.70	2.99	0.16
8	102.974	Y=0.0015 X+0.0069	1.000 0	0.01	0.03	0.00	0.08	0.26	0.31	5.20	0.16

9	104.761	Y=0.0360 X+0.0038	1.000 0	0.00	0.00	0.00	0.03	0.36	0.34	2.33	2.22
10	106.601	Y=0.0674 X-0.3836	0.997 4	0.01	0.04	0.03	0.02	0.74	0.89	3.48	2.52
11	108.017	Y=0.1186 X+0.0020	1.000 0	0.00	0.02	0.00	0.04	1.24	1.58	2.13	1.82
12	110.016	Y=0.2075 X-0.0666	0.999 7	0.00	0.02	0.00	0.04	3.15	3.68	0.96	0.76
13	116.826	Y=0.0731 X+0.0845	0.999 8	0.00	0.03	0.00	0.05	3.67	3.80	1.66	3.90

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1 Table 2 Similarity (s) of release of samples and reference preparation.

Sample No.	s					
	10min	30min	60min	120min	240min	AVERAGE
Reference	0.872	0.926	0.951	0.974	0.976	0.940
Sample 1	0.105	0.402	0.936	0.953	0.943	0.668
Sample 2	0.000	0.906	0.937	0.979	0.978	0.760
Sample 3	0.504	0.697	0.783	0.851	0.900	0.747 *
Sample 4	0.650	0.794	0.887	0.937	0.952	0.844
Sample 5	0.000	0.906	0.937	0.979	0.978	0.760
Sample 6	0.000	0.916	0.965	0.972	0.986	0.768

2 Compare to the reference preparation, * $p < 0.05$.

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1 Table 3 The statistical results of asynchronous coefficient (k) of FZHY-P and reference
 2 preparation.

No.	k						
	Reference preparation	Sample 1	Sample 2	Sample 3	Sample 4	Sample 5	Sample 6
1	0.579	0.248	0.232	0.269	0.032	0.232	0.581
2	1.330	0.564	0.594	0.021	0.033	0.594	0.627
3	2.462	0.173	0.365	0.148	0.139	0.365	0.303
4	1.934	0.134	0.1984	0.051	0.065	0.198	1.499
5	0.900	0.301	0.239	0.013	0.010	0.239	0.318
6	1.117	0.247	1.262	0.183	0.307	1.262	0.126
7	1.508	1.097	3.078	0.083	0.107	3.078	1.126
8	2.184	1.074	1.876	0.101	0.156	1.876	1.661
9	0.879	0.680	0.800	0.020	0.024	0.800	0.917
10	0.982	0.275	0.1382	0.269	1.539	0.138	0.864
11	0.534	1.397	0.972	0.119	0.081	0.972	0.965
12	1.333	0.519	0.660	0.012	0.032	0.660	0.833
13	0.800	0.211	0.210	0.140	0.232	0.210	0.239
K-S Z		1.569*	1.177	2.550**	2.353**	1.177*	0.981

3 Compare to the reference preparation, * $P < 0.05$

4 Compare to the reference preparation, ** $P < 0.01$

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1 Table 4 The drug release curve fitting results of sample 6

Peak NO.	Model	Fitting equation	Correlation coefficient(<i>r</i>)
1	Hixcon-Crowell Dissolution equation	$(1-Q)^{1/3}=1-0.6395t$	0.977 1
2	Hixcon-Crowell Dissolution equation	$(1-Q)^{1/3}=1-0.4945t$	0.989 6
3	Higuchi Diffusion equation	$Q=0.1039t^{1/2}$	0.955 3
4	Hixcon-Crowell Dissolution equation	$(1-Q)^{1/3}=1-0.2306t$	0.984 2
5	Hixcon-Crowell Dissolution equation	$(1-Q)^{1/3}=1-4.6473t$	0.941 1
6	Hixcon-Crowell Dissolution equation	$(1-Q)^{1/3}=1-1.4185t$	0.948 1
7	Hixcon-Crowell Dissolution equation	$(1-Q)^{1/3}=1-0.1969t$	0.967 6
8	Hixcon-Crowell Dissolution equation	$(1-Q)^{1/3}=1-0.8478t$	0.970 8
9	Hixcon-Crowell Dissolution equation	$(1-Q)^{1/3}=1-0.5575t$	0.980 3
10	Hixcon-Crowell Dissolution equation	$(1-Q)^{1/3}=1-1.5170t$	0.996 4
11	Hixcon-Crowell Dissolution equation	$(1-Q)^{1/3}=1-0.6989t$	0.990 2
12	Hixcon-Crowell Dissolution equation	$(1-Q)^{1/3}=1-0.8494t$	0.974 0
13	Hixcon-Crowell Dissolution equation	$(1-Q)^{1/3}=1-0.4785t$	0.994 3

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